APPENDIX A

NOTICE OF VIOLATION

Rensselaer Polytechnic Institute (RPI) Troy, New York 12181 Docket No. 50-225 License No. CX-22

As a result of the inspection conducted on March 4-7, 1986, and in accordance with the NRC Enforcement Policy (10 CFR 2, Appendix C), the following violations were identified:

A. Section 2.C(3) of Amendment 4 to Facility License No. CX-22, dated July 7, 1983, states, in part, that the licensee shall maintain and fully implement all provisions of the Commission's approved physical security plan, including amendments made pursuant to the authority of 10 CFR 50.54(p).

10 CFR 50.54(p) states, in part, that the licensee shall maintain records of changes to the plans made without prior Commission approval for a period of two years from the date of the change, and shall furnish to the Commission a report containing a description of each change within two months after the change was made.

Contrary to the above, on March 5, 1986, the inspector identified that the licensee had made changes between August and December, 1985, but had not furnished to the Commission a report containing a description of each change within two months after the change was made. Examples of the changes made are as follows:

a.

b.

THIS PARAGRAPH CONTAINS 2.790(d)
INFORMATION AND IS NOT FOR
PUBLIC DISCLOSURE. IT IS
INTENTIONALLY LEFT BLANK.

C.

This is a Severity Level V violation (Supplement III, E).

B.

THIS PARAGRAPH CONTAINS 2.790(d)
INFORMATION AND IS FOT FOR
PUBLIC DISCLOSURE. IT IS
INTENTIONALLY LEFT BLANK.

Contrary to the above, on March 6, 1986, the inspector determined that:

THIS PARAGRAPH CONTAINS 2.790(d)
INFORMATION AND US NOT FOR
PUBLIC DISSLOSUFE. IT IS
INTENTIONALLY LEFT BLANK.

This is a Severity Level IV violation (Supplement III, D).

C. Part II, subsections II.2 and II.3, of the licensee's physical security plan states that the following documents shall be maintained:

1.

2.

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INFORMATION AND IS NOT FOR
PUBLIC DISCLOSURE. IT IS
INTENTIONALLY LEFT BLANK.

Contrary to the above, on March 5 and 6, 1986, the inspector determined that:

a.

b.

THIS PARAGRAPH CONTAINS 2.790(d)
INFORMATION AND IS NOT FOR
PUBLIC DISCLOSURE. IT IS
INTENTIONALLY LEFT BLANK.

This is a Severity Level V violation (Supplement III, E).

D.

THIS PARAGRAPH CONTAINS 2.790(d)
INFORMATION AND IS NOT FOR
PUBLIC DISCLOSURE. IT IS
INTENTIONALLY LEFT BLANK.

This is a Severity Level IV violation (Supplement III, D).

E.

THIS PARAGRAPH CONTAINS 2.790(d)
INFORMATION AND IS NOT FOR
PUBLIC DISCLOSURE. IT IS
INTENTIONALLY LEFT BLANK.

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INFORMATION AND IS NOT FOR
PUBLIC DISCLOSURE. IT IS
INTENTIONALLY LEFT BLANK.

This is a Severity Level IV violation (Supplement III, D).

F.

THE PARAGRAPH CONTAINS 2.790(d)
INFORMATION AND IS NOT FOR
PUBLIC BISCLOSURE. IT IS
INTENTIONABLY LEFT BLANK.

This is a Severity Level V violation (Supplement III, E).

Pursuant to the provisions of 10 CFR 2.201, Rensselaer Polytechnic Institute is hereby required to submit to this office within thirty days of the date of the letter which transmitted this Notice, a written statement or explanation in reply, including: (1) the corrective steps which have been taken and the results achieved; (2) corrective steps which will be taken to avoid further violations; and (3) the date when full compliance will be achieved. Where good cause is shown, consideration will be given to extending this response time.