



Enclosure A  
L-20-178

Redacted *Owners, Officers, Directors, and Executive Personnel* Forms for  
Energy Harbor Corp., Energy Harbor Nuclear Corp.,  
and Energy Harbor Nuclear Generation LLC  
(Fifteen pages follow)

Redacted *Owners, Officers, Directors, and Executive Personnel* Form for  
Energy Harbor Corp.  
(Four pages follow)

**OFFICIAL USE ONLY - SECURITY RELATED INFORMATION**

<b>NRC FORM 405F</b> (12-2018) 10 CFR Part 95		 <b>U. S. NUCLEAR REGULATORY COMMISSION</b>	<b>APPROVED BY OMB: 3150-0047</b>		<b>EXPIRES: 12/31/2018</b>
<b>List ALL Owners, Officers, Directors, and Executive Personnel (OOEP's)</b>			<small>Estimated burden per response to comply with this collection request: 10 minutes. This collection is a voluntary means of complying with the requirements in 10 CFR 95.17. This notification is being made available to report changes to owners, officers, directors or executive personnel entities holding existing Facility Clearances under 10 CFR 95 or MD 12.2. Send comments regarding burden estimate to the Information Services Branch (O-1 F21), U. S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by e-mail to <a href="mailto:Infocollects.Resource@nrc.gov">Infocollects.Resource@nrc.gov</a>, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0047), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.</small>		
<b>Company's Current Legal Name, Address, and Phone Number of Company's Principal Executive Offices:</b>  Energy Harbor Corp. 168 E. Market Street Akron, Ohio 44308  1-330-315-6824		<b>Other names used (e.g., doing business as):</b>  N/A	<b>Date Submitted:</b>	06/25/2020	
			<b>Tax Identification No.</b>	84-3992199	
			<b>Date Company was Incorporated:</b>	12/16/2019	
			<b>State of Incorporation:</b>	Delaware	
<b>(Must provide any name or address change of the Company's principal executive office and date(s) of change during the past 10 years on the Attachment page).</b>					
<b>Organization Status:</b>			<b>Stock Ownership:</b>		
<input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> General <input type="checkbox"/> Limited <input type="checkbox"/> Other			<input checked="" type="checkbox"/> Privately-Owned <input type="checkbox"/> Subsidiary of: <input type="checkbox"/> Publicly-Traded		
<b>NAME</b> (First Name, Initial, Last Name)	<b>POSITION TITLES</b>	<b>IF OWNER, % OF OWNERSHIP</b>	<b>SSN</b>	<b>CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY</b>	<b>CITIZENSHIP</b>
See attached.	---	---	---	---	---
N/A	Facility Security Officer	N/A	N/A	N/A	N/A
<small><sup>1</sup>The above information, i.e., name, titles, etc., must be provided for the following: (a) the proprietor of a sole proprietorship; (b) all individuals managing the business affairs of a corporation. In most cases, these individuals are identified in the Articles of Incorporation or By-Laws and include the Board of Directors, President, Secretary, and Treasurer; (c) the General Partner(s) of a Limited Partnership; and (d) all partners of a General Partnership. <sup>2</sup> In addition, privately-held entities must provide the above information, i.e., name, titles, etc., for all owners, and also provide the percentage of issued stock/shares held by each owner.</small>					
List certified correct by: (Typed or Printed Name and Title)  Darin M. Benyak Vice President, Nuclear Support and Regulatory Affairs		SIGNATURE  Benyak, Darin C64161 Jun 25 2020 8:31 AM			DATE  06/25/2020

**List ALL Owners, Officers, Directors, and Executive Personnel (OODEP's)**

**INSTRUCTIONS:**

Provide requested OODEP information in accordance with the following instructions. In addition, the OODEP listing must be certified as being accurate, current, and complete by an authorized official of the organization [i.e., a person authorized to represent and sign for the organization as officially recorded by the organization (that is, in the document which sets forth the terms and conditions for its operation and management—the bylaws, operating agreement, partnership agreement, etc.)) or any other employee, identified by name, of the organization, if designated in writing by such an authorized official as having been delegated authority to execute the FOCI repre. & certt. On behalf of the organization:

Owners. The requested information on owners and representatives or agents of stockholders is to be attached to the organization's OODEP listing:

1. For privately-owned organizations:

- a. Provide the following information for each person (i.e., human being) who directly or indirectly owns or has beneficial ownership of 5 percent or more of any class of the organization's securities or who has directly or indirectly subscribed 5 percent or more of the organization's total capital commitment:
  - (1) If the beneficial owner holds a position as an OODEP in the organization and is identified on the OODEP listing, provide the individual's name with the class and number of shares of capital stock he/she owns of the organization's securities or the capital commitment he/she has subscribed.
  - (2) If the beneficial owner does not hold a position as an OODEP in the organization and is not identified on the OODEP listing, provide the individual's name, address, and the class and number of shares of capital stock he/she owns of the organization's securities or the capital commitment he/she has subscribed. If known, information on the individual's citizenship should also be provided.
- b. Provide the following information for each legal entity which directly or indirectly owns or has beneficial ownership of 5 percent or more of any class of the organization's securities or which has directly or indirectly subscribed 5 percent or more of the organization's total capital commitment:

The legal name (i.e., as specified in charter) with the address of its principal executive offices and the class and number of shares of capital stock the entity owns of the organization's securities or the capital commitment the entity has subscribed. In addition, if the entity is controlled by another organization, the country of ultimate legal residence must be provided.
- c. Provide the name of any representative(s) or agent(s) of any owner (person or entity), if any, who hold positions, such as Board member (or similar type of governing body), officers, executive personnel, or general partners in your organization. Also identify the owner which the individual is representing.

2. For publicly-traded organizations:

- a. Provide the most recent copies of any Schedules 13D and/or 13G received from any beneficial owners (foreign or domestic) who hold 5 percent or more of the organization's securities.
- b. Provide the name of any representative(s) or agent(s) of any stockholder (person or entity), if any, who hold positions, such as Board member (or similar type of governing body), officers, executive personnel, or general partners in your organization. Also identify the stockholder which the individual is representing.

Officers: Identify and provide requested information (i.e., names, titles, etc.) for all of the organization's officers on the OODEP listing.

The definition of officers is: Those persons in positions established as officers as officially recorded by the organization (that is, in the document filed for the organization's existence and the document which sets forth the terms and conditions for its operation and management—the articles of incorporation and bylaws; articles of organization and operating agreement; certificate of limited or general partnership and the partnership agreement; etc.). However, excluded from this definition are: (a) assistant vice presidents who have no management responsibilities related to performance on classified contracts; (ii) assistant secretaries; and (iii) assistant treasurers.

Directors: Identify and provide requested information (i.e., names, titles, etc.) for all of the organization's directors on the OODEP listing, to include identification of the Chairman of the Board and any Vice Chairman. If the organization has no Chairman of the Board of Directors, identify the individual who performs those functions at board meetings.

The definition of directors is: Members of the board or similar governing body chosen to direct the affairs of a corporation or institution.

Executive Personnel: Identify and provide requested information (i.e., names, titles, etc.) for all of the organization's executive personnel on the OODEP listing.

The definition of executive personnel is: Those individuals who perform policy making functions for the organization, or any other person in charge of a principal business unit, division, or function.

Facility Security Officer: Identify and provide requested information (i.e., name, title, etc.) for the organization's Facility Security Officer.

The definition of a Facility Security Officer is: The individual at the facility assigned the responsibility of administering the requirements of the Safeguards and Security Program within the facility.

Spouse of a sole proprietor: For those sole proprietorships operating in community property states, identify and provide requested information (i.e., all information required on the OODEP listing) on a sole proprietor's spouse, if any. If there is no spouse, so state.

Vacant positions: If any OODEP position is vacant, so state and include estimated date vacancy will be filled.

Mail Completed NRC Form 405F to the following address: USNRC  
Office of Nuclear Security and Incident Response  
Mail Stop: O2 D15  
11555 Rockville Pike  
Rockville, MD 20852-2738

**NOTE:** Consistent with Executive Order 12829 and the requirements in 10 CFR 95.18, individuals identified as Key Management Personnel (KMP) are required to disclose their Social Security numbers in order to obtain a personnel security clearance.

Company's Current Legal Name, Address, and Phone Number  
 Of company's Principal Executive Offices:  
Energy Harbor Corp.

168 E. Market Street

Akron, OH 44308

1-330-315-6824

(Must provide any name or address change of the Company's  
 Principal executive office and date(s) of change during past  
 10 years on the Attachment page.)

Other names used (e.g., doing business as):

N/A

Tax Identification No. 84-3992199

Date Company was Incorporated: 12/16/2019

State of Incorporation: Delaware

Organization Status:

<input checked="" type="checkbox"/> Corporation	<input type="checkbox"/> Sole Proprietorship	Partnership: <input type="checkbox"/> General <input type="checkbox"/> Limited	<input type="checkbox"/> Other: _____
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Stock Ownership:

<input checked="" type="checkbox"/> Privately-Owned	<input type="checkbox"/> Publicly-traded	<input type="checkbox"/>
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NAME First Name, Initial, Last Name	POSITION TITLES	IF OWNER, % OF OWNERSHIP <sup>2</sup>	SSN	CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY	CITIZENSHIP
John C. Blickle	Director	N/A	[ ] <sup>3</sup>	[ ]	[ ]
Douglas G. Johnston <sup>4</sup>	Director	N/A	[ ]	[ ]	[ ]
Jennifer R. Kneale	Director	N/A	[ ]	[ ]	[ ]
Kevin T. Howell	Director	N/A	[ ]	[ ]	[ ]
John W. Pitesa <sup>4</sup>	Director	N/A	[ ]	[ ]	[ ]
John (No Middle Name) Kiani	Director, Executive Chairman	N/A	[ ]	[ ]	[ ]
John W. Judge	Director, President and Chief Executive Officer	N/A	[ ]	[ ]	[ ]
Stephen E. Burnazian <sup>5</sup>	Director, Executive Vice President, Corporate Development, Corporate Secretary, and Chief Strategy Officer	N/A	[ ]	[ ]	[ ]
Jay K. Bellingham	Senior Vice President, Fossil	N/A	[ ]	[ ]	[ ]

David L. Griffing	Executive Vice President, Governmental Affairs	N/A	[     ]	[     ]	[     ]
Jason S. Petrik	Executive Vice President, Chief Financial Officer, Treasurer and Chief Risk Officer	N/A	[     ]	[     ]	[     ]
David B. Hamilton	Executive Vice President	N/A	[     ]	[     ]	[     ]
Rickey C. Giannantonio	Executive Vice President and General Counsel	N/A	[     ]	[     ]	[     ]
N/A	Facility Security Officer	N/A	N/A	N/A	N/A

1. The above information, i.e., name, titles, etc., must be provided for the following: (a) the proprietor of a sole proprietorship; (b) all individuals managing the business affairs of a corporation. In most cases, these individuals are identified in the Articles of Incorporation or By-Laws and include the Board of Directors, President, Secretary, and Treasurer; (c) the General Partner(s) of a Limited Partnership; and (d) all partners of a General partnership.
2. In addition, privately held entities must provide the above information, i.e., name, titles, etc., for all owners, and also provide the percentage of issued stock/shares held by each owner.
3. Information contained within the brackets [   ] is considered security-related or personal privacy information.
4. Individuals are appointees of Nuveen Asset Management, LLC. Mr. Johnston is an employee of Nuveen Asset Management, LLC.
5. Individual is an appointee of Avenue Capital Management II, L.P.

Redacted *Owners, Officers, Directors, and Executive Personnel* Form for  
Energy Harbor Nuclear Corp.  
(Four pages follow)

~~OFFICIAL USE ONLY - SECURITY RELATED INFORMATION~~

<b>NRC FORM 405F</b> (12-2018) 10 CFR Part 95			<b>U. S. NUCLEAR REGULATORY COMMISSION</b>	<b>APPROVED BY OMB: 3150-0047</b>	<b>EXPIRES: 12/31/2018</b>
<b>List ALL Owners, Officers, Directors, and Executive Personnel (OOEP's)</b>			<small>Estimated burden per response to comply with this collection request: 10 minutes. This collection is a voluntary means of complying with the requirements in 10 CFR 95.17. This notification is being made available to report changes to owners, officers, directors or executive personnel entities holding existing Facility Clearances under 10 CFR 95 or MD 12.2. Send comments regarding burden estimate to the Information Services Branch (O-1 F21), U. S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by e-mail to <a href="mailto:Infocollects.Resource@nrc.gov">Infocollects.Resource@nrc.gov</a>, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0047), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.</small>		
<b>NOTE:</b> Consistent with Executive Order 12829 and the requirements in 10 CFR 95.18, individuals identified as Key Management Personnel (KMP) are required to disclose their Social Security numbers in order to obtain a personnel security clearance.					
<b>Company's Current Legal Name, Address, and Phone Number of Company's Principal Executive Offices:</b>		<b>Other names used (e.g., doing business as):</b>		<b>Date Submitted:</b>	06/25/2020
Energy Harbor Nuclear Corp. 168 E. Market Street Akron, Ohio 44308  1-330-315-6787		N/A		<b>Tax Identification No.</b>	34-1881483
				<b>Date Company was Incorporated:</b>	2/27/2020
				<b>State of Incorporation:</b>	Delaware
<b>(Must provide any name or address change of the Company's principal executive office and date(s) of change during the past 10 years on the Attachment page).</b>					
<b>Organization Status:</b>			<b>Stock Ownership:</b>		
<input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Other <input type="checkbox"/> General <input type="checkbox"/> Limited			<input type="checkbox"/> Privately-Owned <input checked="" type="checkbox"/> Subsidiary of: <input type="checkbox"/> Publicly-Traded   Energy Harbor Corp.		
<b>NAME</b> (First Name, Initial, Last Name)	<b>POSITION TITLES</b>	<b>IF OWNER, % OF OWNERSHIP</b>	<b>SSN</b>	<b>CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY</b>	<b>CITIZENSHIP</b>
See attached.	---	---	---	---	---
See attached.	Facility Security Officer	---	---	---	---
<small><sup>1</sup>The above information, i.e., name, titles, etc., must be provided for the following: (a) the proprietor of a sole proprietorship; (b) all individuals managing the business affairs of a corporation. In most cases, these individuals are identified in the Articles of Incorporation or By-Laws and include the Board of Directors, President, Secretary, and Treasurer; (c) the General Partner(s) of a Limited Partnership; and (d) all partners of a General Partnership. <sup>2</sup> In addition, privately-held entities must provide the above information, i.e., name, titles, etc., for all owners, and also provide the percentage of issued stock/shares held by each owner.</small>					
List certified correct by: (Typed or Printed Name and Title)		SIGNATURE			DATE
Darin M. Benyak Vice President, Nuclear Support and Regulatory Affairs		 Benyak, Darin C64161 Jun 25 2020 8:32 AM			06/25/2020



**List ALL Owners, Officers, Directors, and Executive Personnel (OODEP's)**

**INSTRUCTIONS:**

Provide requested OODEP information in accordance with the following instructions. In addition, the OODEP listing must be certified as being accurate, current, and complete by an authorized official of the organization [i.e., a person authorized to represent and sign for the organization as officially recorded by the organization (that is, in the document which sets forth the terms and conditions for its operation and management—the bylaws, operating agreement, partnership agreement, etc.)) or any other employee, identified by name, of the organization, if designated in writing by such an authorized official as having been delegated authority to execute the FOCI repre. & certt. On behalf of the organization:

Owners. The requested information on owners and representatives or agents of stockholders is to be attached to the organization's OODEP listing:

1. For privately-owned organizations:

- a. Provide the following information for each person (i.e., human being) who directly or indirectly owns or has beneficial ownership of 5 percent or more of any class of the organization's securities or who has directly or indirectly subscribed 5 percent or more of the organization's total capital commitment:
  - (1) If the beneficial owner holds a position as an OODEP in the organization and is identified on the OODEP listing, provide the individual's name with the class and number of shares of capital stock he/she owns of the organization's securities or the capital commitment he/she has subscribed.
  - (2) If the beneficial owner does not hold a position as an OODEP in the organization and is not identified on the OODEP listing, provide the individual's name, address, and the class and number of shares of capital stock he/she owns of the organization's securities or the capital commitment he/she has subscribed. If known, information on the individual's citizenship should also be provided.
- b. Provide the following information for each legal entity which directly or indirectly owns or has beneficial ownership of 5 percent or more of any class of the organization's securities or which has directly or indirectly subscribed 5 percent or more of the organization's total capital commitment:

The legal name (i.e., as specified in charter) with the address of its principal executive offices and the class and number of shares of capital stock the entity owns of the organization's securities or the capital commitment the entity has subscribed. In addition, if the entity is controlled by another organization, the country of ultimate legal residence must be provided.
- c. Provide the name of any representative(s) or agent(s) of any owner (person or entity), if any, who hold positions, such as Board member (or similar type of governing body), officers, executive personnel, or general partners in your organization. Also identify the owner which the individual is representing.

2. For publicly-traded organizations:

- a. Provide the most recent copies of any Schedules 13D and/or 13G received from any beneficial owners (foreign or domestic) who hold 5 percent or more of the organization's securities.
- b. Provide the name of any representative(s) or agent(s) of any stockholder (person or entity), if any, who hold positions, such as Board member (or similar type of governing body), officers, executive personnel, or general partners in your organization. Also identify the stockholder which the individual is representing.

Officers: Identify and provide requested information (i.e., names, titles, etc.) for all of the organization's officers on the OODEP listing.

The definition of officers is: Those persons in positions established as officers as officially recorded by the organization (that is, in the document filed for the organization's existence and the document which sets forth the terms and conditions for its operation and management—the articles of incorporation and bylaws; articles of organization and operating agreement; certificate of limited or general partnership and the partnership agreement; etc.). However, excluded from this definition are: (a) assistant vice presidents who have no management responsibilities related to performance on classified contracts; (ii) assistant secretaries; and (iii) assistant treasurers.

Directors: Identify and provide requested information (i.e., names, titles, etc.) for all of the organization's directors on the OODEP listing, to include identification of the Chairman of the Board and any Vice Chairman. If the organization has no Chairman of the Board of Directors, identify the individual who performs those functions at board meetings.

The definition of directors is: Members of the board or similar governing body chosen to direct the affairs of a corporation or institution.

Executive Personnel: Identify and provide requested information (i.e., names, titles, etc.) for all of the organization's executive personnel on the OODEP listing.

The definition of executive personnel is: Those individuals who perform policy making functions for the organization, or any other person in charge of a principal business unit, division, or function.

Facility Security Officer: Identify and provide requested information (i.e., name, title, etc.) for the organization's Facility Security Officer.

The definition of a Facility Security Officer is: The individual at the facility assigned the responsibility of administering the requirements of the Safeguards and Security Program within the facility.

Spouse of a sole proprietor: For those sole proprietorships operating in community property states, identify and provide requested information (i.e., all information required on the OODEP listing) on a sole proprietor's spouse, if any. If there is no spouse, so state.

Vacant positions: If any OODEP position is vacant, so state and include estimated date vacancy will be filled.

Mail Completed NRC Form 405F to the following address: USNRC  
Office of Nuclear Security and Incident Response  
Mail Stop: O2 D15  
11555 Rockville Pike  
Rockville, MD 20852-2738

**NOTE:** Consistent with Executive Order 12829 and the requirements in 10 CFR 95.18, individuals identified as Key Management Personnel (KMP) are required to disclose their Social Security numbers in order to obtain a personnel security clearance.

Company's Current Legal Name, Address, and Phone Number  
 Of company's Principal Executive Offices:  
Energy Harbor Nuclear Corp.

168 E. Market Street

Akron, OH 44308

1-330-315-6787

(Must provide any name or address change of the Company's  
 Principal executive office and date(s) of change during past  
 10 years on the Attachment page.)

Other names used (e.g., doing business as):

N/A

Tax Identification No. 34-1881483

Date Company was Incorporated: 2/27/2020

State of Incorporation: Delaware

Organization Status:

<input checked="" type="checkbox"/> Corporation	<input type="checkbox"/> Sole Proprietorship	Partnership: <input type="checkbox"/> General <input type="checkbox"/> Limited	<input type="checkbox"/> Other: _____
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Stock Ownership:

<input type="checkbox"/> Privately-Owned	<input type="checkbox"/> Publicly-traded	<input checked="" type="checkbox"/> Subsidiary of Energy Harbor Corp.
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NAME First Name, Initial, Last Name	POSITION TITLES	IF OWNER, % OF OWNERSHIP <sup>2</sup>	SSN	CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY	CITIZENSHIP
John W. Judge	Director	N/A	[ ] <sup>3</sup>	[ ]	[ ]
Stephen E. Burnazian	Director, Corporate Secretary	N/A	[ ]	[ ]	[ ]
David B. Hamilton	Director, President and Chief Nuclear Officer	N/A	[ ]	[ ]	[ ]
Raymond A. Lieb	Senior Vice President, Fleet Engineering	N/A	[ ]	[ ]	[ ]
Darin M. Benyak	Vice President, Nuclear Support and Regulatory Affairs	N/A	[ ]	[ ]	[ ]
Terry J. Brown	Vice President, Davis- Besse	N/A	[ ]	[ ]	[ ]
Rod L. Penfield	Vice President, Beaver Valley	N/A	[ ]	[ ]	[ ]

Jason S. Petrik	Executive Vice President, Chief Financial Officer, Treasurer and Chief Risk Officer	N/A	[ ]	[ ]	[ ]
David L. Griffing	Executive Vice President, Governmental Affairs	N/A	[ ]	[ ]	[ ]
Frank R. Payne	Vice President, Perry	N/A	[ ]	[ ]	[ ]
Vincent F. Forbus	Facility Security Officer; Manager, Perry Site Protection	N/A	[ ]	[ ]	[ ]
William L. Willis IV	Facility Security Officer; Manager, Davis-Besse Site Protection	N/A	[ ]	[ ]	[ ]
Michael A. Fox	Facility Security Officer; Manager, Beaver Valley Site Protection	N/A	[ ]	[ ]	[ ]
Michael P. Roberts	Fleet Facility Security Officer; Manager, Fleet Security	N/A	[ ]	[ ]	[ ]
Mark B. Bezilla	Special Assignment	N/A	[ ]	[ ]	[ ]

1. The above information, i.e., name, titles, etc., must be provided for the following: (a) the proprietor of a sole proprietorship; (b) all individuals managing the business affairs of a corporation. In most cases, these individuals are identified in the Articles of Incorporation or By-Laws and include the Board of Directors, President, Secretary, and Treasurer; (c) the General Partner(s) of a Limited Partnership; and (d) all partners of a General partnership.
2. In addition, privately held entities must provide the above information, i.e., name, titles, etc., for all owners, and also provide the percentage of issued stock/shares held by each owner.
3. Information contained within the brackets [ ] is considered security-related or personal privacy information.

Redacted *Owners, Officers, Directors, and Executive Personnel* Form for  
Energy Harbor Nuclear Generation LLC  
(Four pages follow)

**OFFICIAL USE ONLY - SECURITY-RELATED INFORMATION**

<b>NRC FORM 405F</b> (12-2018) 10 CFR Part 95		<b>U. S. NUCLEAR REGULATORY COMMISSION</b>  <b>List ALL Owners, Officers, Directors, and Executive Personnel (OOEP's)</b>	<b>APPROVED BY OMB: 3150-0047</b>  <small>Estimated burden per response to comply with this collection request: 10 minutes. This collection is a voluntary means of complying with the requirements in 10 CFR 95.17. This notification is being made available to report changes to owners, officers, directors or executive personnel entities holding existing Facility Clearances under 10 CFR 95 or MD 12.2. Send comments regarding burden estimate to the Information Services Branch (O-1 F21), U. S. Nuclear Regulatory Commission, Washington, DC 20555-0001, or by e-mail to <a href="mailto:InfoCollects.Resource@nrc.gov">InfoCollects.Resource@nrc.gov</a>, and to the Desk Officer, Office of Information and Regulatory Affairs, NEOB-10202, (3150-0047), Office of Management and Budget, Washington, DC 20503. If a means used to impose an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.</small>	<b>EXPIRES: 12/31/2018</b>								
<p><b>NOTE:</b> Consistent with Executive Order 12829 and the requirements in 10 CFR 95.18, individuals identified as Key Management Personnel (KMP) are required to disclose their Social Security numbers in order to obtain a personnel security clearance.</p>												
<b>Company's Current Legal Name, Address, and Phone Number of Company's Principal Executive Offices:</b>  Energy Harbor Nuclear Generation LLC 168 E. Market Street Akron, Ohio 44308  1-330-315-6787		<b>Other names used (e.g., doing business as):</b>  N/A	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:30%;"><b>Date Submitted:</b></td> <td>06/25/2020</td> </tr> <tr> <td><b>Tax Identification No.</b></td> <td>84-3992199</td> </tr> <tr> <td><b>Date Company was Incorporated:</b></td> <td>2/27/2020</td> </tr> <tr> <td><b>State of Incorporation:</b></td> <td>Delaware</td> </tr> </table>		<b>Date Submitted:</b>	06/25/2020	<b>Tax Identification No.</b>	84-3992199	<b>Date Company was Incorporated:</b>	2/27/2020	<b>State of Incorporation:</b>	Delaware
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<b>Organization Status:</b>			<b>Stock Ownership:</b>									
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NAME (First Name, Initial, Last Name)	POSITION TITLES	IF OWNER, % OF OWNERSHIP	SSN	CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY	CITIZENSHIP							
See attached.	---	---	---	---	---							
None assigned.	Facility Security Officer	N/A	N/A	N/A	N/A							
<p><sup>1</sup>The above information, i.e., name, titles, etc., must be provided for the following: (a) the proprietor of a sole proprietorship; (b) all individuals managing the business affairs of a corporation. In most cases, these individuals are identified in the Articles of Incorporation or By-Laws and include the Board of Directors, President, Secretary, and Treasurer; (c) the General Partner(s) of a Limited Partnership; and (d) all partners of a General Partnership. <sup>2</sup> In addition, privately-held entities must provide the above information, i.e., name, titles, etc., for all owners, and also provide the percentage of issued stock/shares held by each owner.</p>												
List certified correct by: (Typed or Printed Name and Title)  Darin M. Benyak Vice President, Nuclear Support and Regulatory Affairs			SIGNATURE <div style="font-family: cursive; font-size: 1.2em;">Darin M Benyak</div> Benyak, Darin C64161 Jun 25 2020 8:32 AM		DATE  06/25/2020							

**List ALL Owners, Officers, Directors, and Executive Personnel (OODEP's)**

**INSTRUCTIONS:**

Provide requested OODEP information in accordance with the following instructions. In addition, the OODEP listing must be certified as being accurate, current, and complete by an authorized official of the organization [i.e., a person authorized to represent and sign for the organization as officially recorded by the organization (that is, in the document which sets forth the terms and conditions for its operation and management—the bylaws, operating agreement, partnership agreement, etc.)] or any other employee, identified by name, of the organization, if designated in writing by such an authorized official as having been delegated authority to execute the FOCI repre. & certt. On behalf of the organization:

Owners. The requested information on owners and representatives or agents of stockholders is to be attached to the organization's OODEP listing:

1. For privately-owned organizations:

- a. Provide the following information for each person (i.e., human being) who directly or indirectly owns or has beneficial ownership of 5 percent or more of any class of the organization's securities or who has directly or indirectly subscribed 5 percent or more of the organization's total capital commitment:
  - (1) If the beneficial owner holds a position as an OODEP in the organization and is identified on the OODEP listing, provide the individual's name with the class and number of shares of capital stock he/she owns of the organization's securities or the capital commitment he/she has subscribed.
  - (2) If the beneficial owner does not hold a position as an OODEP in the organization and is not identified on the OODEP listing, provide the individual's name, address, and the class and number of shares of capital stock he/she owns of the organization's securities or the capital commitment he/she has subscribed. If known, information on the individual's citizenship should also be provided.
- b. Provide the following information for each legal entity which directly or indirectly owns or has beneficial ownership of 5 percent or more of any class of the organization's securities or which has directly or indirectly subscribed 5 percent or more of the organization's total capital commitment:

The legal name (i.e., as specified in charter) with the address of its principal executive offices and the class and number of shares of capital stock the entity owns of the organization's securities or the capital commitment the entity has subscribed. In addition, if the entity is controlled by another organization, the country of ultimate legal residence must be provided.
- c. Provide the name of any representative(s) or agent(s) of any owner (person or entity), if any, who hold positions, such as Board member (or similar type of governing body), officers, executive personnel, or general partners in your organization. Also identify the owner which the individual is representing.

2. For publicly-traded organizations:

- a. Provide the most recent copies of any Schedules 13D and/or 13G received from any beneficial owners (foreign or domestic) who hold 5 percent or more of the organization's securities.
- b. Provide the name of any representative(s) or agent(s) of any stockholder (person or entity), if any, who hold positions, such as Board member (or similar type of governing body), officers, executive personnel, or general partners in your organization. Also identify the stockholder which the individual is representing.

Officers: Identify and provide requested information (i.e., names, titles, etc.) for all of the organization's officers on the OODEP listing.

The definition of officers is: Those persons in positions established as officers as officially recorded by the organization (that is, in the document filed for the organization's existence and the document which sets forth the terms and conditions for its operation and management—the articles of incorporation and bylaws; articles of organization and operating agreement; certificate of limited or general partnership and the partnership agreement; etc.). However, excluded from this definition are: (a) assistant vice presidents who have no management responsibilities related to performance on classified contracts; (ii) assistant secretaries; and (iii) assistant treasurers.

Directors: Identify and provide requested information (i.e., names, titles, etc.) for all of the organization's directors on the OODEP listing, to include identification of the Chairman of the Board and any Vice Chairman. If the organization has no Chairman of the Board of Directors, identify the individual who performs those functions at board meetings.

The definition of directors is: Members of the board or similar governing body chosen to direct the affairs of a corporation or institution.

Executive Personnel: Identify and provide requested information (i.e., names, titles, etc.) for all of the organization's executive personnel on the OODEP listing.

The definition of executive personnel is: Those individuals who perform policy making functions for the organization, or any other person in charge of a principal business unit, division, or function.

Facility Security Officer: Identify and provide requested information (i.e., name, title, etc.) for the organization's Facility Security Officer.

The definition of a Facility Security Officer is: The individual at the facility assigned the responsibility of administering the requirements of the Safeguards and Security Program within the facility.

Spouse of a sole proprietor: For those sole proprietorships operating in community property states, identify and provide requested information (i.e., all information required on the OODEP listing) on a sole proprietor's spouse, if any. If there is no spouse, so state.

Vacant positions: If any OODEP position is vacant, so state and include estimated date vacancy will be filled.

Mail Completed NRC Form 405F to the following address: USNRC  
Office of Nuclear Security and Incident Response  
Mail Stop: O2 D15  
11555 Rockville Pike  
Rockville, MD 20852-2738

**NOTE:** Consistent with Executive Order 12829 and the requirements in 10 CFR 95.18, individuals identified as Key Management Personnel (KMP) are required to disclose their Social Security numbers in order to obtain a personnel security clearance.

Company's Current Legal Name, Address, and Phone Number  
 Of company's Principal Executive Offices:  
Energy Harbor Nuclear Generation LLC

168 E. Market Street

Akron, OH 44308

1-330-315-6787

(Must provide any name or address change of the Company's  
 Principal executive office and date(s) of change during past  
 10 years on the Attachment page.)

Other names used (e.g., doing business as):  
N/A

Tax Identification No. 84-3992199

Date Company was Incorporated: 2/27/2020

State of Incorporation: Delaware

Organization Status:

<input type="checkbox"/> Corporation	<input type="checkbox"/> Sole Proprietorship	Partnership: <input type="checkbox"/> General <input type="checkbox"/> Limited	<input checked="" type="checkbox"/> Other: <u>Limited Liability Company</u>
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Stock Ownership:

<input type="checkbox"/> Privately-Owned	<input type="checkbox"/> Publicly-traded	<input checked="" type="checkbox"/> Subsidiary of Energy Harbor Corp.
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NAME First Name, Initial, Last Name	POSITION TITLES	IF OWNER, % OF OWNERSHIP	SSN	CLEARANCE HELD AND/OR BEING OBTAINED AND ISSUING AGENCY	CITIZENSHIP
John W. Judge	Manager	N/A	[ ] <sup>3</sup>	[ ]	[ ]
Stephen E. Burnazian	Manager and Corporate Secretary	N/A	[ ]	[ ]	[ ]
David B. Hamilton	Manager, President and Chief Nuclear Officer	N/A	[ ]	[ ]	[ ]
Raymond A. Lieb	Senior Vice President, Fleet Engineering	N/A	[ ]	[ ]	[ ]
Jason S. Petrik	Executive Vice President, Chief Financial Officer, Treasurer and Chief Risk Officer	N/A	[ ]	[ ]	[ ]
N/A	Facility Security Officer	N/A	N/A	N/A	N/A

1. The above information, i.e., name, titles, etc., must be provided for the following: (a) the proprietor of a sole proprietorship; (b) all individuals managing the business affairs of a corporation. In most cases, these individuals are identified in the Articles of Incorporation or By-Laws and include the Board of Directors, President, Secretary, and Treasurer; (c) the General Partner(s) of a Limited Partnership; and (d) all partners of a General partnership.

2. In addition, privately held entities must provide the above information, i.e., name, titles, etc., for all owners, and also provide the percentage of issued stock/shares held by each owner.

3. Information contained within the brackets [ ] is considered security-related or personal privacy information.