



OCT 27 1998
L-98-267
10 CFR § 73.71

U. S. Nuclear Regulatory Commission
Attn: Document Control Desk
Washington, D. C. 20555

Re: Turkey Point Units 3 and 4
Docket Nos. 50-250/251
Reportable Event: 1998-006-00
Date of Event: October 6, 1998
Unescorted Access Granted to Contractor
Employee Who Falsified Background Information

The attached Licensee Event Report 1998-006 is being submitted pursuant to the requirements of 10 CFR § 73.71 to provide notification of the subject event.

This letter and the attachment do not contain safeguards information as defined by 10 CFR 73.21, or personal and confidential information as defined by 10 CFR 2.790 (a)(6) and (a)(7).

Very truly yours,

R. J. Hovey
Vice President
Turkey Point Nuclear Plant

CM
Attachment

cc: Regional Administrator, USNRC Region II
Senior Resident Inspector, USNRC, Turkey Point Nuclear Plant

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PDR ADOCK 05000250
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LICENSEE EVENT REPORT (LER)

(See reverse for required number of digits/characters for each block)

Estimated burden per response to comply with this mandatory information collection request: 50 hrs. Reported lessons learned are incorporated into the licensing process and fed back to industry. Forward comments regarding burden estimate to the Records Management Branch (T-6 F33), U.S. Nuclear Regulatory Commission, Washington, DC 20555-0001, and to the Paperwork Reduction Project (3150-0104), Office of Management and Budget, Washington, DC 20503. If an information collection does not display a currently valid OMB control number, the NRC may not conduct or sponsor, and a person is not required to respond to, the information collection.

FACILITY NAME (1) Turkey Point Unit 3	DOCKET NUMBER (2) 05000250	PAGE (3) Page 1 of 3
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TITLE (4)
 Unescorted Access Granted to Contractor Employee Who Falsified Background Information

EVENT DATE (5)			LER NUMBER (6)			REPORT DATE (7)			OTHER FACILITIES INVOLVED (8)	
MONTH	DAY	YEAR	YEAR	SEQUENTIAL NUMBER	REVISION NUMBER	MONTH	DAY	YEAR	FACILITY NAME	DOCKET NUMBER
10	06	1998	1998	006	00	10	27	1998	Turkey Point Unit 4	05000251
									FACILITY NAME	DOCKET NUMBER

OPERATING MODE (9)	6	THIS REPORT IS SUBMITTED PURSUANT TO THE REQUIREMENTS OF 10 CFR 4: (Check one or more) (11)								
POWER LEVEL (10)	000	20.2201(b)			20.2203(a)(2)(v)			50.73(a)(2)(i)		50.73(a)(2)(viii)
		20.2203(a)(1)			20.2203(a)(3)(i)			50.73(a)(2)(ii)		50.73(a)(2)(x)
		20.2203(a)(2)(i)			20.2203(a)(3)(ii)			50.73(a)(2)(iii)		X 73.71
		20.2203(a)(2)(ii)			20.2203(a)(4)			50.73(a)(2)(iv)		OTHER
		20.2203(a)(2)(iii)			50.36(c)(1)			50.73(a)(2)(v)		Specify in Abstract below or in NRC Form 366A
20.2203(a)(2)(iv)			50.36(c)(2)			50.73(a)(2)(vii)				

LICENSEE CONTACT FOR THIS LER (12)

NAME Craig Mowrey, Compliance Specialist	TELEPHONE NUMBER (Include Area Code) (305) 246 - 6204
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COMPLETE ONE LINE FOR EACH COMPONENT FAILURE DESCRIBED IN THIS REPORT (13)

CAUSE	SYSTEM	COMPONENT	MANUFACTURER	REPORTABLE TO EPIX	CAUSE	SYSTEM	COMPONENT	MANUFACTURER	REPORTABLE TO EPIX
-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-

SUPPLEMENTAL REPORT EXPECTED (14)				EXPECTED SUBMISSION DATE (15)		
YES (If yes, complete EXPECTED SUBMISSION DATE.)	X	NO				

ABSTRACT (Limit to 1400 spaces, i.e., approximately 15 single-spaced typewritten lines) (16)

On October 6, 1998, at 2200 hours, Florida Power and Light's (FPL) Turkey Point Unit 3 was in Mode 6 (Refueling) with the reactor core offloaded to the spent fuel pool, and Unit 4 in Mode 1 (Power Operation) operating at 100 percent power. FPL access authorization personnel determined that a contractor employee had knowingly provided false information during pre-employment screening in order to obtain unescorted access to the protected area. Access authorization personnel concluded that the individual would not have been granted unescorted access had the information been disclosed. The individual's access was denied. FPL judged that the individual falsified the background information to obtain employment and not to perform acts to impact plant safety, i.e., there was no malevolent intent.

This event report is being submitted pursuant to 10 CFR 73.71(d).

LICENSEE EVENT REPORT (LER)
TEXT CONTINUATION

FACILITY NAME (1)	DOCKET NUMBER (2)	LER NUMBER (6)			PAGE (3)
		YEAR	SEQUENTIAL NUMBER	REVISION NUMBER	
Turkey Point Unit 3	05000250	1998	006	00	Page 2 of 3

TEXT (If more space is required, use additional copies of NRC Form 366A) (17)

I. Description of Event

On October 6, 1998, at 2200 hours, Florida Power and Light's (FPL) Turkey Point Unit 3 was in Mode 6 (Refueling) with the reactor core offloaded to the spent fuel pool, and Unit 4 in Mode 1 (Power Operation) operating at 100 percent power. FPL access authorization personnel determined that a contractor employee had falsified information to gain unescorted access to the protected area. Access authorization personnel concluded that the individual would not have been granted unescorted access had the information been disclosed. At 2243, FPL completed a one-hour notification to the NRC, in accordance with 10 CFR 73.71 and with the safeguards reporting guidelines contained in Generic Letter 91-03, Reporting of Safeguards Events.

On August 31, 1998, FPL granted temporary unescorted access privileges, in accordance with FPL procedures, to a contractor employee with the responsibility of supervising insulators while the individual's background information was being verified. No unusual conditions existed at the time of in-processing regarding Fitness-For-Duty (FFD) or training.

On October 6, 1998 as part of the background check of the individual, the Federal Bureau of Investigations (FBI) returned the individual's FBI fingerprint file to FPL. The fingerprint file contained information that the individual had previous arrests and convictions. The FBI file also showed three arrests in the last six years but did not show a disposition for these arrests. The FPL Access Program Supervisor pulled the individual's file and determined that the individual had not entered this information on the "Personal History Questionnaire." On October 6, 1998, the Turkey Point Fitness-for-Duty coordinator interviewed the individual. The individual acknowledged that the arrest and conviction information supplied by the FBI was correct and that the three arrests in the last six years had ended in convictions. The individual also acknowledged that the information was not entered on the form since the charges and convictions would have prevented the individual from being able to work the Turkey Point Unit 3 outage. On October 6, 1998, the individual's access was denied and the individual was notified of the appeal rights under 10 CFR 73.56(e). Further investigation revealed that this individual did not have a personal history record in the Personnel Access Data System (PADS).

II. Cause of the Event

An individual who falsified background information provided for authorization of unescorted access caused the event. The individual knowingly provided false information during pre-employment screening in order to obtain unescorted access. The access authorization program requires background checks for all individuals requesting unescorted access, including fingerprint history, and is based in part on verification of information provided by the applicant.

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TEXT (If more space is required, use additional copies of NRC Form 366A) (17)

III. Analysis of Event

This event report is being submitted pursuant to 10 CFR 73.71(d). A one hour notification was made in accordance with 10 CFR 73.71(b)(1) for an event type described in 10 CFR 73, Appendix G, paragraph I.(b), using the guidance contained in Generic Letter 91-03. Falsification of background information for unescorted access is required to be reported by Generic Letter 91-03 if the licensee determines that unescorted access would have been denied after discovery of new information. FPL determined that a contractor employee falsified background information to obtain employment. As a result of the discovery, FPL concluded that unescorted access would not have been authorized if the information had been provided initially.

This event had no effect on the health and safety of the public. The contractor employee was not licensed under 10 CFR 55 to operate a power reactor. The individuals pre-employment FFD testing was negative, and FPL did not observe any aberrant behavior. FPL judged that the individual falsified the background information to obtain employment and not to perform acts to impact plant safety, i.e., there was no malevolent intent. Work performed by the individual was assessed, and a determination was made that no safety systems were affected or threatened during the unescorted access period. There was no evidence to suggest that the individual committed, or attempted to cause, or made a credible threat to cause, significant physical damage to the reactor or its equipment, or nuclear fuel. FPL concluded that the individual's access to Turkey Point's protected area did not constitute an unreasonable risk to the health and safety of the public.

IV. Corrective Actions

1. The contractor individual's access was denied on October 6, 1998.
2. The maintenance contractor that employed the individual was notified of the denial of access through a Turkey Point site representative.
3. FPL evaluated a report of work performed by the individual on safety-related components and determined that no safety systems were affected or threatened during the unescorted access period. The equipment and piping that the individual worked on are part of systems that are tested in accordance with site startup procedures.
4. The contractor individual's personal history information was entered into the Personnel Access Data System (PADS) to alert other nuclear stations that additional information exists.

V. Additional Information

Similar Occurrence:

NONE