

December 3, 1985

MEMORANDUM FOR: Robert Martin, Regional Administrator
Region IV

FROM: Richard K. Herr, Director
Office of Investigations Field Office, Region IV

SUBJECT: COMANCHE PEAK STEAM ELECTRIC STATION:
ALLEGED FALSIFICATION OF COATINGS QUALITY CONTROL
TRAINING RECORDS (Q4-84-045)

Enclosed, for whatever action you deem appropriate, is the Office of
Investigations Report of Inquiry concerning this matter.

Enclosure:
As stated

cc w/encl:
W. Hutchison, OI:HQ
J. Hunt, OI:HQ

8805120020 880506
PDR FOIA
HARRY84-487 PDR

Distribution:
OI s/f (Q4-84-045; OI c/f; r/f (RED 1); WHutchison; JHunt; DW/Q84045/LOT

OI:RIV *ABY*
BGriffin
85/12/2

OI:RIV
RHerr *Ad*
85/12/3

r. leese

17

ALLEGATION DATA FORM

Instructions on reverse side

RECEIVING OFFICE

1. Facility(ies) Involved:
(If more than 3, or if generic, write GENERIC)

(Name) CPSES

Docket Number (if applicable)

0	5	0	4	4	5		
0	5	0	4	4	6		

2. Functional Area(s) Involved:
(Check appropriate box(es))

<input type="checkbox"/> operations	<input type="checkbox"/> onsite health and safety
<input checked="" type="checkbox"/> construction	<input type="checkbox"/> offsite health and safety
<input type="checkbox"/> safeguards	<input type="checkbox"/> emergency preparedness
<input type="checkbox"/> other (Specify) _____	

3. Description:
(Limit to 100 characters)

SENSITIVE

4-84-045

4. Source of Allegation:
(Check appropriate box)

<input type="checkbox"/> contractor employee	<input type="checkbox"/> security guard
<input type="checkbox"/> licensee employee	<input type="checkbox"/> news media
<input type="checkbox"/> NRC employee	<input type="checkbox"/> private citizen
<input type="checkbox"/> organization (Specify) _____	
<input checked="" type="checkbox"/> other (Specify) <u>NRC TRT contract employee</u>	

5. Date Allegation Received:

MM DD YY
10 04 84

6. Name of Individual Receiving Allegation:

(First two initials and last name) Bryan Hodgson

7. Office:

CPSES TRT
OIRY

ACTION OFFICE

8. Action Office Contact:

(First two initials and last name) _____

9. FTS Telephone Number:

____ - _____

10. Status:
(Check one)

Open, if followup actions are pending or in progress
 Closed, if followup actions are completed

11. Date Closed:

MM DD YY

12. Remarks:

13. Allegation Number:

FOIA-84-487 Office Year Number
____ - ____ - A - ____

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INVESTIGATION STATUS RECORD

INSTRUCTIONS: This form is to be completed whenever significant activity has occurred relative to a case or at least every 30 days. If no change has occurred during the 30 day reporting period, indicate "No Change" in the status block. Keep the original with the case file and send one copy to Headquarters, Office of Investigations.

CASE NUMBER Q4-84-045	CATEGORY		OFFICE O1 FIELD OFFICE REGION IV
	<input type="checkbox"/> O - OPERATING REACTOR	<input type="checkbox"/> I - INDIVIDUAL LICENSEE	
	<input checked="" type="checkbox"/> C - REACTOR UNDER CONSTRUCTION	<input type="checkbox"/> M - MATERIALS/FUEL	
	<input type="checkbox"/> V - VENDOR	<input type="checkbox"/> X - OTHER	
ASSIGNED TO GRIFFIN	SUBJECT COMANCHE PEAK STEAM ELECTRIC STATION: ALLEGED FALSIFICATION OF COATINGS QUALITY CONTROL TRAINING RECORDS		
STATUS (Specify date, and provide brief description)			

On October 4, 1984, the OI Field Office, Region IV, was apprised by a NRC Comanche Peak Steam Electric Station Technical Review Team (TRT) member that the TRT, through interviews with Quality Control (QC) coating inspectors and a review of training records, determined that records for a number of QC inspectors had been falsified. The TRT member explained that the training records in question reflect that three QC inspectors had received and passed oral examinations when in fact they had not taken the oral examinations. When the NRC representative questioned the responsible QC supervisor about the training records, the supervisor confirmed the QC inspectors had not taken the test and that the training records were incorrect. ECD: Unknown

PK

DATE: October 31, 1984 - Due to other higher-priority investigations, I do not anticipate this investigation to begin until the Spring 1985 time frame. ECD: Unknown

DATE: November 30, 1984 - Due to other higher-priority investigations, I do not anticipate this investigation to begin until the Spring 1985 time frame. ECD: Unknown

DATE: December 31, 1984 - Due to other higher-priority investigations, I do not anticipate this investigation to begin until the Spring 1985 time frame. ECD: Unknown

DATE: January 31, 1985 - Due to other higher-priority investigations, I do not anticipate this investigation to begin until the Spring 1985 time frame. ECD: Unknown

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NRC FORM 306
(7-82)

U.S. NUCLEAR REGULATORY COMMISSION

INVESTIGATION STATUS RECORD

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CASE NUMBER Q4-84-045	CATEGORY		OFFICE OI FIELD OFFICE REGION IV
	<input type="checkbox"/> O OPERATING REACTOR	<input type="checkbox"/> I INDIVIDUAL LICENSEE	
	<input type="checkbox"/> C REACTOR UNDER CONSTRUCTION	<input type="checkbox"/> M MATERIALS/FUEL	
	<input type="checkbox"/> V VENDOR	<input type="checkbox"/> X OTHER	
ASSIGNED TO GRIFFIN	SUBJECT COMANCHE PEAK: ALLEGED FALSIFICATION OF COATINGS QUALITY CONTROL TRAINING RECORDS		
STATUS (Specify date and provide a brief description)			

DATE: February 28, 1985 - Due to other higher-priority investigations, I do not anticipate this investigation to begin until the spring 1985 time frame.
ECD: Unknown

DATE: March 31, 1985 - Due to other higher-priority investigations, I do not anticipate this investigation to begin until the fall 1985 time frame.
ECD: Unknown

DATE: April 30, 1985 - Due to other higher-priority investigations, anticipate this investigation to begin during the fall 1985 time frame. ECD: 9/85

DATE: May 31, 1985 - Due to other higher-priority investigations, anticipate this investigation to begin during the fall 1985 time frame. ECD: 9/85

DATE: JUNE 28, 1985 - DUE TO OTHER HIGHER PRIORITY CASES, ANTICIPATE THIS INVESTIGATION TO BEGIN DURING JULY OF 1985. ECD: 8/85

DATE: July 31, 1985 - Awaiting supplemental SER from TRT (NRR) for determination of proper OI action. Anticipate receiving this information during the next two months. ECD: 10/85

DATE: August 30, 1985 - Awaiting supplemental SER from TRT (NRR) for determination of proper OI action. Anticipate having this information during the next month. ECD: 11/85

DATE: Sept. 30, 1985 - Awaiting supplemental SER from TRT (NRR) for determination of proper OI action. Anticipate having this information during the next month. ECD: 11/85

INVESTIGATION STATUS RECORD

INSTRUCTIONS: This form is to be completed whenever significant activity has occurred relative to a case or at least every 30 days. If no change has occurred during the 30 day reporting period, indicate "No Change" in the status block. Keep the original with the case file and send one copy to Headquarters, Office of Investigations.

CASE NUMBER Q4-84-045	CATEGORY			OFFICE OI FIELD OFFICE REGION IV
	<input type="checkbox"/> D - OPERATING REACTOR	<input type="checkbox"/>	I - INDIVIDUAL LICENSEE	
	<input checked="" type="checkbox"/> C - REACTOR UNDER CONSTRUCTION	<input type="checkbox"/>	M - MATERIALS/FUEL	
	<input type="checkbox"/> V - VENDOR	<input type="checkbox"/>	X - OTHER	
ASSIGNED TO GRIFFIN	SUBJECT COMANCHE PEAK: ALLEGED FALSIFICATION OF COATINGS QUALITY CONTROL TRAINING RECORDS.			

STATUS (Specify date, and provide a brief description)

DATE: Oct. 31, 1985 - Awaiting supplemental SER from TRT (NRR) for determination of proper OI action. Anticipate having this information during the next month. ECD: 12/85

DATE: Nov. 29, 1985 - Received SER from TRT. Attempting to interview KEY WITNESS in Dec. 1985. ECD: 1/86

DATE: Dec. 3, 1985 - This inquiry was closed on Dec. 3, 1985. Inquiry delivered to R. Martin on 12/3/85.

OFFICIAL USE ONLY

CASE CHRONOLOGY

FILE NUMBER Q4-84-045	DATE OPENED 10-04-84	OPENED BY GRIFFIN
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DATE	ACTIVITY
5-29-85	work started - due to other higher priority case this case on hold + waiting for TRT SSER #10 report. per OH. FOD Review Referr
6-5-85	awaiting TRT Report will contact NRR MR. Poslusney in 30 days to obtain status of report - Referr FOD Review
6-12	Comanche Peak Meeting (Exhibit) Commitment by TRT to provide notes documenting interviews of coatings inspectors and one supervisor in regard of SER.
7-2-85	awaiting document from TRT SSER in order to complete investigation if necessary 01/24/85 not receiving document & lab. will contact TRT this week. FOD Review Referr
7-18-85	still no document rec. after making inquiries will try again next wk. FOD Review Referr
8-05-85	discussed this case with Bill Fletcher (7-31-85) said Roger wanted to read "O" before sign off by 01:RIV. FOD Review awaiting results of TRT Finding (Supp). Referr
9-04-85	still waiting results of NRR TRT Finding FOD Review Referr
9-30-85	NO change FOD Review Referr
10-21-85	NO change as per B.G. discussion FOD Review Referr
11-21-85	NO change per B.G. FOD Review Referr
12-03-85	

ACTIVITY CODES L/M = LETTER OR MEMO TC = TELEPHONE CALL INSP = INSPECTION
 MTO = MEETING INV = INVESTIGATION REP = REPORT ISSUED

OFFICIAL USE ONLY DO NOT DISCLOSE

#3

10-4-84

Sag.

Coatings Falsification

From: TRT Bryan Hodgson Brookhaven

10 QC inspectors

3 said had not been examined for OIT
or cert. for level 1. Records
show oral exams were given

QC Super. M.G. Krisher - no exam
given. Evals. made on performance.

~~reverse CMS~~

22 May 85

REVIEW DATE

PLANT/VENDOR COMMANCHE PEAK

GRIFFIN

LOCATION : Glen Rose, TX (Dallas)

(false QC Training Records)

SUMMARY : - CT initiated from TRT findings

- Training for QC "coating" inspectors
- TRT has lead per C.H. + JoI

On October 4, 1984, the OI Field Office, Region IV, was apprised by a NRC Comanche Peak Steam Electric Station Technical Review Team (TRT) member that the TRT, through interviews with Quality Control (QC) coating inspectors and a review of training records, determined that records for a number of QC inspectors had been falsified. The TRT member explained that the training records in question reflect that three QC inspectors had received and passed oral examinations when in fact they had not taken the oral examinations. When the NRC representative questioned the responsible QC supervisor about the training records, the supervisor confirmed the QC inspectors had not taken the test and that the training records were incorrect. ECD: Unknown

- SER 9 out by TRT + addresses this
- Appears TRT found no problems - may still be some
- TRT interview all witnesses + super.

INVESTIGATIVE PLAN :

- Int project supervisor of TRT
- Review SER 9 in depth + evaluate
- upon Review of TRT Rept - IF OK AGREES - WILL
CLOSE : ADMIN --- IF OT. DISAGREES - WILL
RE-INTERVIEW WITNESSES APPROX 4/5 WITNESS + SUPERVISOR

May 14, 1985

MEMORANDUM FOR: Vincent S. Noonan, Director
for Comanche Peak Project
Division of Licensing, NRR

FROM: Ben B. Hayes, Director
Office of Investigations

SUBJECT: OPEN OFFICE OF INVESTIGATIONS REPORTS ON
COMANCHE PEAK, UNITS 1 AND 2

Please be advised that the list of open Office of Investigations cases regarding Comanche Peak, Units 1 and 2, identified in your memorandum of April 18, 1985 is correct. The estimated dates for the investigative reports to be issued are as follows:

<u>Case Numbers</u>	<u>Estimated Completion Date</u>
Q4-84-041	9/85
✓ Q4-84-045	9/85
4-84-047	7/85
4/84/050	6/85
Q4-84-003	8/85
4-84-013	7/85
4-84-025	5/85
4-84-030	7/85
4-84-039	5/85

cc: D. Eisenhut

Distribution:
OI:s/f Comanche Peak Fac File
OI:c/f
OI:r/f
ECGilbert

OI
ECGilbert/jh
05/13/85
DW:MEMOS2/Memo/V.Noonan/jh

EEH
OI
BBHayes
05/13/85

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