

# Reference Fuel Design

## SVEA-96 Optima3

**WCAP-17769-NP-A**  
**Revision 0**

**Reference Fuel Design**  
**SVEA-96 Optima3**

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**May 2020**

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<b>C</b>	<b>Submittal of Topical Report</b>  Westinghouse Letter LTR-NRC-13-74, November 13, 2013, “Submittal of WCAP-17769-P, Revision 0 and WCAP-17769-NP, Revision 0, ‘Reference Fuel Design SVEA-96 Optima3’ (Proprietary/Non-Proprietary).”
<b>D</b>	<b>Submittal of Responses to Requests for Additional Information</b>  Westinghouse Letter LTR-NRC-16-52, August 1, 2016, “Responses to NRC Request for Additional Information for the Westinghouse Electric Company (Westinghouse) Topical Report WCAP-17769-P/ WCAP-17769-NP, Revision 0, ‘Reference Fuel Design SVEA-96 Optima3’ (Proprietary/Non-Proprietary).”  Westinghouse Letter LTR-NRC-17-2, January 10, 2017, “Responses to NRC Request for Additional Information for the Westinghouse Electric Company Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, ‘Reference Fuel Design SVEA-96 Optima3’ (Proprietary/Non-Proprietary).”
<b>E</b>	<b>Audit Information</b>  Westinghouse Letter LTR-NRC-16-40, June 15, 2016, “Meeting Minutes for the NRC Combined Audit of WCAP-16182-P, Rev. 2, ‘Westinghouse BWR Control Rod CR 99 Licensing Report - Update to Mechanical Design Limits,’ and WCAP-17769-P, Rev. 0, ‘Reference Fuel Design SVEA-96 Optima3’ (Non-Proprietary).”

**Section A**  
**List of Changes**

As part of the NRC review, several changes were identified to provide additional clarification and updates to the information in the TR. These changes are included in this Approved version of the TR as described in the RAI responses. The changes are listed in the table below along with the sections of the report that are modified and comments as appropriate.

As noted in the Conditions and Limitations of the NRC’s Final Safety Evaluation, Section B of this TR, the use of **Low Tin ZIRLO™** for the Optima3 fuel channels requires that the Limitations and Conditions imposed in the Final Safety Evaluation in WCAP-15942-P-A, Supplement 1-A, Revision 1 / WCAP-15942-NP-A, Supplement 1-A, Revision 1, “Material Changes for SVEA-96 Optima2 Fuel Assemblies,” must also be met.

#### List of Changes

NRC RAI No.	Affected Report Section	Comments
RAI-01	Section 4.3.1	Under the subheading “ <i>Sample Application</i> ” on TR Page 4-73, the second sentence of the first paragraph is modified.
RAI-05	Section 4.3.2	In the paragraph that follows item 2 on TR Page 4-79, and that begins with “ <i>The dependence of the maximum...</i> ”, the last sentence is modified.
RAI-06, as clarified by Supplemental RAI #1	Section 4.3.3	The subsection under “ <u><i>Acceptable Differential Pressure (MPa)</i></u> ” including Table 4.3.3-1, is modified.
RAI-11	Section 4.3.2	Under the subheading “ <i>Maximum Internal Pressure,</i> ” a typo in the next to last sentence of the second paragraph (appears at the top of TR Page 4-82) is corrected.

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**Section B**  
**Final Safety Evaluation**

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**UNITED STATES  
NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

February 13, 2020

Ms. Camille Zozula, Manager  
Infrastructure & Facilities Licensing  
Westinghouse Electric Company  
1000 Westinghouse Drive, Building 1, Suite 165  
Cranberry Township, PA 16066

**SUBJECT: FINAL SAFETY EVALUATION FOR WESTINGHOUSE ELECTRIC COMPANY  
TOPICAL REPORT WCAP-17769-P/NP, REVISION 0, "REFERENCE FUEL  
DESIGN SVEA-96 OPTIMA3" (EPID L-2014-TOP-0003)**

Dear Ms. Zozula:

By letter dated November 13, 2013 (Agencywide Documents Access and Management System Accession No. ML13323A100), Westinghouse Electric Company (Westinghouse) submitted for U.S. Nuclear Regulatory Commission (NRC) staff review Topical Report (TR) WCAP-17769-P/NP, Revision 0, "Reference Fuel Design SVEA-96 Optima3" (Proprietary/ Non-Proprietary). By letter dated June 8, 2016 (ADAMS Accession No. ML16103A580), the NRC issued its requests for additional information (RAI) questions for the review of TR WCAP-17769-P/NP, Revision 0. By letter dated December 13, 2016 (ADAMS Accession No. ML16313A350), the NRC issued additional RAI questions for the review of TR WCAP-17769-P/NP, Revision 0.

The enclosed final SE addresses the applicability of WCAP-17769-P/NP, Revision 0, "Reference Fuel Design SVEA-96 Optima3."

The NRC staff has found that WCAP-17769-P/NP, Revision 0, "Reference Fuel Design SVEA-96 Optima3" is acceptable for referencing in licensing applications to the extent specified and under the limitations delineated in the TR and the enclosed SE.

Our acceptance applies only to material provided in the subject TRs. In accordance with the guidance provided on the NRC website, we request that Westinghouse publish accepted proprietary and non-proprietary versions of these TRs within three months of receipt of this letter. The accepted versions shall incorporate this letter and the enclosed final SE after the title page. Also, they must contain historical review information, including NRC RAI questions and your responses. The accepted versions shall include an "-A" (designating accepted) following the TRs identification symbol.

As an alternative to including the RAIs and RAI responses behind the title page, if changes to the TRs were provided to the NRC staff to support the resolution of RAI responses, and the NRC staff reviewed and approved those changes as described in the RAI responses, there are two ways that the accepted version can capture the RAI questions:

**NOTICE: Enclosure 2 transmitted herewith contains SUNSI. When separated from Enclosure 2, this transmittal document is decontrolled.**

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C. Zozula

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1. The RAI questions and RAI responses can be included as an Appendix to the accepted version.
2. The RAI questions and RAI responses can be captured in the form of a table (inserted after the final SE) which summarizes the changes as shown in the approved version of the TRs. The table should reference the specific RAI questions and RAI responses which resulted in any changes, as shown in the accepted version of the TRs.

If future changes to the NRC's regulatory requirements affect the acceptability of this TR, Westinghouse will be expected to revise the TR appropriately or justify its continued applicability for subsequent referencing. Licensees referencing this TR would be expected to justify its continued applicability or evaluate their plant using the revised TR.

Sincerely,

*/RA/*

Dennis C. Morey, Chief  
Licensing Projects Branch  
Division of Operating Reactor Licensing  
Office of Nuclear Reactor Regulation

Docket No. 99902038

Enclosures:

1. Final SE (Non-Proprietary)
2. Final SE (Proprietary)

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SUBJECT: FINAL SAFETY EVALUATION FOR WESTINGHOUSE ELECTRIC COMPANY  
 TOPICAL REPORT WCAP-17769-P/NP, REVISION 0, "REFERENCE FUEL  
 DESIGN SVEA-96 OPTIMA3" (EPID: L-2014-TOP-0003) DATED FEBRUARY 13,  
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DATE	12/30/2019	02/06/2020	02/10/2020	02/13/2020

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**U.S. NUCLEAR REGULATORY COMMISSION**  
**FINAL SAFETY EVALUATION FOR**  
**TOPICAL REPORT WCAP-17769-P/NP, REVISION 0,**  
**"REFERENCE FUEL DESIGN SVEA-96 OPTIMA3"**  
**(EPID L-2014-TOP-0003)**  
**WESTINGHOUSE ELECTRIC COMPANY**

**1.0 INTRODUCTION**

By letter dated November 13, 2013 (Agencywide Documents Access and Management System (ADAMS) Accession No. ML13323A100), Westinghouse Electric Company (Westinghouse) submitted for the U.S. Nuclear Regulatory Commission (NRC) staff review topical report (TR) WCAP-17769-P/NP, Revision 0, "Reference Fuel Design SVEA-96 Optima3" (Proprietary/Non-Proprietary) (Ref. 1). This TR describes improvements to the previously approved boiling water reactor (BWR) fuel mechanical design methodology intended to support fuel design and licensing applications up to a rod average burnup of 62 gigawatt-days per metric ton of uranium (GWd/MTU). This TR also provides a reference product description, including mechanical specifications and performance aspects, of the SVEA-96 Optima3 fuel assembly design.

The NRC staff's review was assisted by Pacific Northwest National Laboratory (PNNL). The NRC staff's conclusions on the acceptability of WCAP-17769-P/NP, Revision 0, are supported by the PNNL Technical Evaluation Report, which is being withheld from public availability as it contains Westinghouse proprietary information.

During this review, two rounds of request for additional information (RAI) questions were issued by the NRC and responded to by Westinghouse (Refs. 3 and 4). Additionally, the NRC staff performed a regulatory audit on May 19-20, 2016 (Audit Plan: Ref. 5, Audit Summary: Ref. 6).

**2.0 REGULATORY EVALUATION**

Regulatory guidance for the review of fuel system designs and adherence to applicable General Design Criteria (GDCs) is provided in NUREG-0800, "Standard Review Plan for the Review of Safety Analysis Reports for Nuclear Power Plants" (SRP) Section 4.2, "Fuel System Design" (Ref. 7). As stated in Section 4.2 of SRP:

The fuel system safety review provides assurance that (1) the fuel system is not damaged as a result of normal operation and anticipated operational occurrences (AOOs), (2) fuel system damage is never so severe as to prevent control rod insertion when it is required, (3) the number of fuel rod failures is not underestimated for postulated accidents, and (4) coolability is always maintained. General Design Criterion (GDC) 10, within Appendix A to 10 CFR Part 50, also addresses item 1 above. Specifically, GDC 10 establishes specified acceptable fuel design limits (SAFDLs) that should not be exceeded during any condition of normal operation, including the effects of AOOs. Therefore, the SAFDLs are

established to ensure that the fuel is not damaged. Within this context, “not damaged” means that the fuel rods do not fail, fuel system dimensions remain within operational tolerances, and functional capabilities are not reduced below those assumed in the safety analysis. The design limits of GDC 10 (i.e., the SAFDLs) accomplish these objectives. In a “fuel rod failure,” the fuel rod leaks and the first fission product barrier (the cladding) is breached. The dose analysis required by 10 CFR Part 100 for postulated accidents must account for fuel rod failures. “Coolability,” in general, means that the fuel assembly retains its rod-bundle geometry with adequate coolant channels to permit removal of residual heat even after a severe accident. The general requirements to maintain control rod insertability and core coolability appear repeatedly in the GDC found in Appendix A to 10 CFR Part 50 (e.g., GDC 27 and 35). In particular, 10 CFR 50.46 provides the specific coolability requirements for the loss-of-coolant accident (LOCA).

The NRC staff’s review of WCAP-17769-P/NP, Revision 0, was to ensure that the mechanical design methodology adequately addresses the applicable regulatory requirements identified in SRP Section 4.2. In addition, the NRC staff reviewed the SVEA-96 Optima3 fuel assembly design to ensure its performance satisfies these requirements.

### **3.0 TECHNICAL EVALUATION**

The objectives of the NRC staff’s review of WCAP-17769-P/NP, Revision 0, were to verify that:

1. The fuel assembly component and fuel rod design criteria are consistent with applicable regulations and the acceptance criteria identified in SRP Section 4.2.
2. The fuel mechanical design methodology is capable of accurately or conservatively evaluating each component with respect to its applicable design criteria.
3. The reference SVEA-96 Optima3 fuel assembly design satisfies the regulatory requirements.
4. The Westinghouse experience database supports the operating limits being requested.

This TR also describes the evolutionary design features of the SVEA-96 Optima3 fuel assembly that distinguish this design from the previous SVEA-96 Optima2 fuel assembly design. Since a separate review of a material change for the Optima2 fuel channel was still ongoing when this TR was submitted (Ref. 11), and as this TR includes the same fuel channel material, it was noted that any changes, conditions, and limitations required by the safety evaluation (SE) for the Optima2 channel would be likewise required for the Optima3 design with Low Tin ZIRLO™ channels.

Sections 3.2, 3.3, and 3.4 of the draft SE describe the NRC staff's review of Westinghouse's BWR fuel assembly and fuel rod design criteria supporting a peak rod average burnup of 62 GWd/MTU. Licensees must ensure that all of these design criteria are satisfied on a cycle-specific basis.

### 3.1 General Description

Section 2 of WCAP-17769-P/NP, Revision 0, provides a description of the reference Optima3 fuel assembly design and is supported by detailed illustrations in Figures 2-1a through 2-15 of the TR. This description focusses on features that are different from the previously approved SVEA-96 Optima2 design described in WCAP-15942-P-A, Revision 1 (Ref. 7).

Westinghouse also included, in Section 2.5 of the TR, plant dependent features that are modified to accommodate the reactor internals dimensions and co-resident fuel dimensions and may vary between plants. These features are:

1. Channel length and compatibility with co-resident fuel
2. Fuel rod/bundle length
3. Channel bypass flow hole size
4. Channel alignment and offset
5. Adaptations of handle dimensions
6. Bottom tie plate flow hole size

Westinghouse currently does not have an approved fuel design change process for its BWR fuel designs. As such, modifications to the fuel assembly design, beyond the mechanical compatibility changes identified in Section 2.5 of the TR, fall outside the scope of the NRC staff's review and approval of the SVEA-96 Optima3 reference fuel design and would require further review by the NRC staff. The provisions described in TR's Section 3.1.4, "New Design Features," are not approved. As part of their license amendment request, licensees must describe any plant-specific changes to the reference SVEA-96 Optima3 assembly design and demonstrate that these changes are within the scope of the review performed by the NRC staff, as noted in Section 4.0, "Limitations and Conditions," of this SE.

### 3.2 Design Criteria

Westinghouse has applied the previously-approved design criteria described in WCAP-15942-P-A (Ref. 8) and CENPD-287-P-A, "Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors" (Ref. 12) to the Optima3 fuel assembly.

Section 3.1.1 of the submittal identifies the design criteria for normal operations and AOOs. The design criteria in this section are unchanged from CENPD-287-P-A. During its review PNNL concluded that the design criteria are consistent with GDC 10 as specified in SRP Section 4.2 and are acceptable for application to SVEA-96 designs. Based on compliance to SRP Section 4.2 guidance and PNNL's technical assessment, the NRC staff finds the design criteria for normal operation and AOOs acceptable. Section 3.1.2 of the TR identifies the design criteria for accident conditions. In Section 3.2 of PNNL report it is concluded that the design criteria are consistent with SRP Section 4.2 and are acceptable for application to SVEA-96 designs. Based

upon compliance to SRP Section 4.2 guidance and PNNL's technical assessment, the NRC staff finds the design criteria for accident conditions acceptable.

Section 3.2 of the TR identifies the design criteria for Fuel Assembly Components. These criteria are consistent with previous SVEA-96 fuel, including Optima2. As Optima3 represents only an evolutionary change to these components, and based on PNNL's technical assessment, the NRC staff finds this design criteria acceptable for use with SVEA-96 Optima3.

Section 3.3 of the TR identifies the design criteria for fuel rods. While the design bases remain unchanged from previously approved methods, the modeling methodology used in the structural design evaluation has been modified. As described in PNNL's assessment, the source of the design bases is the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code 2010, Section III Subsection NB, which offered different options for demonstrating that the design rules have been met. These criteria are met with the exception of the Level B load limit, which WCAP-17769-P/NP, Revision 0, defines to be 10% greater than the Level A load limit, while Subsection NB stress limits are the same for Level A and B loads. This was the topic of RAI-02 and was discussed during the regulatory audit. The NRC does not require adherence to ASME Boiler and Pressure Vessel Committee (BPVC) criteria. The 10% increase in the Level B load limit is a small deviation from the ASME BPVC criteria and still maintains significant margin to the actual collapse limit. Additionally, this same modified Level B load limit was previously found acceptable by the NRC staff for Westinghouse's CR-99 control blade analysis (Ref. 13). PNNL therefore found it acceptable through the use of engineering judgement. Based on PNNL's technical evaluation, the NRC staff finds the criteria acceptable.

### 3.3 Design Methodology and SVEA-96 Optima3 Evaluation

The fuel assembly mechanical design methodology applied to SVEA-96 Optima3 fuel is largely unchanged from that previously approved for application to SVEA-96 Optima2 in WCAP-15942-P-A and CENPD-287-P-A (Ref. 7). The methodology is used to evaluate the fuel assembly mechanical integrity for normal operation and AOOs relative to the design criteria described in Section 3.2 of this SE, and Section 3 of the TR. The changes from the previously approved methodologies will be the focus of this section of the SE.

WCAP-15942-P-A/WCAP-15942-NP-A, Supplement 1, Revision 1, "Material Changes for SVEA-96 Optima2 Fuel Assemblies" (Ref. 11) that supersedes original Westinghouse' submittal of TR WCAP-15942-P-A/WCAP-15942-NP-A, Supplement 1, Revision 0, "Material Changes for SVEA-96 Optima2 Fuel Assemblies," for the NRC review and approval (Ref. 10), requested an approval of a new fuel channel material was reviewed concurrently with the review of this TR, and the NRC staff's final SE was issued on August 6, 2019 (Ref. 16). This channel is also approved for use with Optima3 fuel assemblies, provided the conditions and limitations included in the NRC's SE for that TR (Ref. 16) are met.

#### 3.3.1 Methodology for evaluation of General Design Criteria

The methodology for evaluating GDC is consistent with the previously approved methodology and, since these criteria are administrative, identification of technical methods for their evaluation is not applicable.

The NRC staff finds the use of a previously approved methodology for evaluating the GDC appropriate and applicable to the Optima3 fuel design.

### 3.3.2 Fuel Assembly Components Evaluation

The fuel assembly components evaluation addresses compatibility considerations regarding other fuel assembly types as well as reactor internals for the lifetime of the assembly.

#### 3.3.2.1 Compatibility with Other Fuel Types and Reactor Internals

The approach used to evaluate compatibility with other fuel types and reactor internals has been updated to state that [

provided in TR. ] Sample applications are

Based on the supporting information and sample applications, the NRC staff finds this acceptable.

#### 3.3.2.2 Geometric Changes in the Assembly During Operation

Two items were added to the methodology for Optima3 under the heading “Geometric Changes in the Assembly during Operation”: [

evaluations were provided. Based on the data provided and the sample evaluations, the NRC staff finds these evaluations acceptable. ] Sample applications of these

Part of the methodology for evaluating geometric changes that is unchanged from Optima2 involves ensuring that the [

] As the spacer grid for Optima3 is constructed using a different welding technique, RAI-03 was asked to clarify two points regarding structural component burnup limits. The first part requests further justification for the requested burnup limits given that no spacer grids from lead test assemblies had been examined at burnups greater than [ ] (assembly average).

Westinghouse responded that there is more recent data collected at an assembly average burnup of [ ] and that Optima2 assemblies, which use the same alloy as Optima3, have been irradiated to the requested burnup limit without incident. They also state that Optima3 assemblies have been operated in excess of the requested limit, and while structural component data has not been collected, there were no failures related to burnup effects on structural components. Based on the recommendation of PNNL, the NRC staff finds this explanation acceptable.

The second part of RAI-3 asked if any part of the Optima3 fuel assembly was intended to be reused after discharge. Westinghouse responded that no assembly parts are intended for reuse, apart from test assembly programs designed to collect high burnup data. The NRC staff finds this clarification acceptable.

### 3.3.2.3 Transport and Handling Loads

The Westinghouse criterion for transport and handling loads is that assembly components shall be able to withstand handling and shipping loads without damage. This criterion is not discussed in SRP. However, PNNL has reviewed this criterion and concludes that this criterion is acceptable for application to SVEA-96 designs.

Section 4.2.3 of WCAP-17769-P/NP, Revision 0, describes the methodology associated with transportation and handling loads and provides an application for Optima3. PNNL reviewed the methodology associated with ensuring that assembly components are able to withstand handling loads without damage and concluded that it is acceptable for application to SVEA-96 fuel designs. PNNL's technical evaluation did not include transportation loads which need to be addressed in a separate review on shipping container design.

PNNL identified the simplified bottom tie plate as a change from the Optima2 design that could impact the ability of the bundle to withstand handling loads. This tie plate was evaluated by Westinghouse and found to have no measurable deformation when loaded significantly above the design load.

The NRC finds the handling loads criteria, methodology, and sample application to Optima3 acceptable. Transportation loads were not included as part of this review.

### 3.3.2.4 Hydraulic Lifting Loads During Normal Operation and Anticipated Operational Occurrences

The Westinghouse criterion is that hydraulic lift loads on the assembly during normal operation and AOOs are not sufficient to unseat the assembly bottom nozzle from the fuel support piece. The impact of these hydraulic lift forces on the sub-bundles are also evaluated to confirm that they are insufficient to unseat sub-bundles from the lower support piece in the bottom nozzle. PNNL concluded that this criterion is consistent with SRP Section 4.2 and applicable to SVEA-96 fuel designs.

Section 4.2.4 of WCAP-17769-P/WCAP-17769-NP, Revision 0, describes the methodology associated with hydraulic lift loads and provides a sample application for Optima3. Westinghouse states that [

PNNL reviewed the hydraulic lift loads methodology, which relies on an approved core thermal-hydraulic code and concluded that it is acceptable for application to SVEA-96 fuel designs.

PNNL identified [ ] as a modification from the Optima2 design that impacts hydraulic lifting loads. [

] The NRC staff finds that the hydraulic lift criterion, methodology, and sample application to Optima3 are acceptable.

### 3.3.2.5 Assembly Stress and Strain during Normal Operation and Anticipated Operational Occurrences

The Westinghouse criterion for assembly stress and strain is that assembly component mechanical failure shall not occur. This is consistent with SRP Section 4.2 and therefore is acceptable for application to SVEA-96 designs.

Section 4.2.5 of WCAP-17769-P/NP, Revision 0, describes the methodology associated with evaluating component stress and strain and provides an application for Optima3. PNNL reviewed the methodology for ensuring that excess stress or strain does not result in mechanical failure in assembly components and concluded that it is acceptable for application to SVEA-96 fuel designs. PNNL evaluated the example stress analyses on SVEA-96 Optima3 which considered the spacer and fuel channel. [ ]

Optima2 spacers are constructed using [ ] while Optima3 spacers use a [ ] This change resulted in RAI-04, in which Westinghouse was asked to clarify the qualification of the [ ] In response, Westinghouse described the ASME standards used to qualify the welds and the personnel performing the welds. The NRC staff finds this process acceptable.

PNNL concluded that the mechanical tests and in-reactor performance confirm that the spacer will not fail in SVEA-96 Optima3 due to operational stress. For structural analysis, an FE model was created in ANSYS by PNNL. For the design limit of [ ] the displacement of the channel is slightly different than that calculated for the Optima2 channel, but still negligible with respect to the ability to affect the function of the fuel. The NRC staff notes that [ ] The NRC staff reviewed WCAP-17769-P/NP, Revision 0, the response to RAI-04, and finds the stress and strain evaluation criterion, methodology, and sample application to Optima3 acceptable.

### 3.3.2.6 Fatigue of Assembly Components

The Westinghouse criterion for fatigue of assembly components is that fatigue failure shall not occur. This is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.2.6 of WCAP-17769-P/NP, Revision 0, describes the methodology associated with evaluating fatigue failure of assembly components and provides a sample application for Optima3. The methodology is unchanged from that previously approved for Optima2 (Ref. 7), and the NRC staff therefore finds its application to Optima3 acceptable.

### 3.3.2.7 Fretting Wear of Assembly Components

The Westinghouse criterion for fretting wear of assembly components is that fuel rod failure due to fretting shall not occur in an environment free of foreign material (i.e., debris). Assembly fretting wear must be accounted for in evaluating stress and fatigue limits. This is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.2.7 of WCAP-17769-P/NP, Revision 0, describes the methodology and strategies for avoiding fretting failure and provides an application for Optima3. The methodology is



unchanged from that previously approved for Optima2 (Ref. 7), and the NRC staff therefore finds its application to Optima3 acceptable.

#### 3.3.2.8 Corrosion of Assembly Components

The Westinghouse criterion for corrosion of assembly components is that corrosion and crud from assembly components must be accounted for in evaluating functionality, stress, dimensional changes, and thermal hydraulics. This is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.2.8 of WCAP-17769-P/NP, Revision 0, describes the methodology for minimizing the impact of corrosion and evaluating its effect on assembly components and provides a sample application for Optima3. The methodology is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable.

#### 3.3.2.9 Hydriding of Zirconium Assembly Components other than Fuel Rods

The Westinghouse criterion for hydriding of Zircaloy assembly components (other than fuel rods) is that hydriding shall not result in unacceptable strength loss. The impact of hydriding on calculated stress in assembly components shall be addressed. This is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.2.9 of WCAP-17769-P/NP, Revision 0, describes the methodology for minimizing the impact of hydriding and evaluating its effect on assembly components and provides a sample application for Optima3. The methodology is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable.

### 3.3.3 Fuel Rods Evaluation

Westinghouse has identified ten specific criteria to prevent fuel rod damage or failure during normal operation and AOOs. These design criteria, the supporting methodology, and application to the sample Optima3 fuel assembly design are discussed below. As described in Section 3.1 of the SRP, plant-specific changes may be needed to ensure mechanical compatibility with core components and co-resident fuel. As a result, each licensee must ensure that all of the design criteria are satisfied for its specific Optima3 fuel assembly.

#### 3.3.3.1 Fuel Rod Power Histories

Section 4.3.1 of WCAP-17769-P/NP, Revision 0, described the fuel rod power histories used in the fuel rod design analyses. [

]

The methodology for evaluation of fuel histories is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable.

#### 3.3.3.2 Rod Internal Pressure

The Westinghouse criterion for rod internal pressure is not to exceed a value which would cause the outward cladding creep rate to exceed the fuel swelling rate. This is often referred to as the no-clad-lift-off criterion and is consistent with previous rod pressure criteria approved by the NRC. Section 4.3.2 of WCAP-17769-P/NP, Revision 0, describes the methodology and application to Optima3. The methodology is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable. RAI-05 was asked because of a lack of clarity regarding uncertainties included in the rod internal pressure methodology. In the response, Westinghouse revised the text in the TR to indicate which uncertainties were considered. The NRC staff finds this clarification (and the updated TR text) acceptable.

### 3.3.3.3 Cladding Stress

Westinghouse is using a different methodology for evaluating cladding stress in Optima3 than it used for Optima2. Whereas Optima2 used the VIK-3 code, the vendor will use finite element simulations in the ANSYS program, following ASME Boiler and Pressure Vessel Code 2010, Section III, Subsection NB (Ref. 9).

Due to the change in methodology, RAI-06 requested additional details of the ANSYS model. Additionally, clarification of Table 4.3.3-1 (of the TR) was requested. Westinghouse responded by providing a review of the ANSYS models during an audit conducted on May 19-20, 2016 (Ref. 5). Westinghouse updated Table 4.3.3-1 and clarified that the values in Table 4.3.3-1

[ ] In a supplemental response, Westinghouse further clarified that these [ ] Westinghouse provided additional details regarding the stress analyses, which are described in greater detail in Section 3.2 of this SE. Among the details discussed during the audit was that the Level B collapse load limit was [ ] described in ASME Boiler and Pressure Vessel Code 2010, Section III Subsection NB. As discussed in Section 3 of this SE, this was not a safety concern.

The NRC staff reviewed information provided by Westinghouse and finds this new methodology acceptable for evaluating the cladding stress in Optima3 fuel rods.

RAI-07 asked how cladding corrosion is accounted for in the cladding stress methodology. Westinghouse replied that [

] The NRC staff finds this clarification to be acceptable.

### 3.3.3.4 Cladding Strain

The Westinghouse criterion for fuel rod cladding strain is that the total transient-induced elastic and plastic circumferential strain shall not exceed 1%. The 1% strain criterion is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.3.4 of WCAP-17769-P/NP, Revision 0, describes the methodology for performing the cladding stresses analysis and provides a sample application for Optima3. The methodology is unchanged from that previously approved for Optima2 (Ref. 7), and the NRC staff therefore finds its application to Optima3 acceptable.

### 3.3.3.5 Hydriding

The Westinghouse criterion for cladding hydriding is to prevent premature failure due to either internal hydriding or waterside corrosion. The impact of hydrides is accounted for in the stress and strain calculations. The treatment of cladding hydriding is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs. Section 4.3.5 of WCAP-17769-P/NP, Revision 0, describes the methodology to evaluate hydriding and provides a sample application for Optima3. The methodology is unchanged from that previously approved for Optima2 (Ref. 7), and the NRC staff therefore finds its application to Optima3 acceptable.

RAI-08 requested additional information on Westinghouse's plans to submit a new hydrogen pickup model for analyzing transients outside of the scope of this TR. Westinghouse responded that it intends to submit such a model as part of a separate TR. The NRC staff finds this acceptable.

### 3.3.3.6 Cladding Corrosion

The Westinghouse criterion for cladding corrosion is that excessive corrosion shall not lead to fuel rod failure. In addition, the effect of cladding corrosion shall be included in the thermal and mechanical evaluation of the fuel design. This is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs. The methodology for evaluating cladding corrosion is unchanged from that previously approved for Optima2 (Ref. 7), and the NRC staff therefore finds its application to Optima3 acceptable.

### 3.3.3.7 Cladding Collapse (Elastic and Plastic Instability)

The Westinghouse criterion for cladding collapse (elastic and plastic instability) is that collapse will not occur during the life of the fuel rod. This is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.3.7 of WCAP-15942-P describes the methodology for cladding collapse and provides a sample application for Optima3. The methodology is unchanged from that previously approved for Optima2 (Ref. 7), and the NRC staff therefore finds its application to Optima3 acceptable.

### 3.3.3.8 Cladding Fatigue

The Westinghouse criterion for fatigue damage is that failure shall not occur, taking into account the effects of cladding corrosion. This is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.3.8 of WCAP-17769-P/NP, Revision 0, describes the methodology for cladding fatigue and provides a sample application for Optima3. The methodology is unchanged from that previously approved for Optima2 (Ref. 7), and the NRC staff therefore finds its application to Optima3 acceptable.

### 3.3.3.9 Cladding Temperature

The Westinghouse criterion for cladding temperature is that cladding overheating shall not cause fuel rod failure. This is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.3.9 of WCAP-17769-P/NP, Revision 0, describes the methodology for avoiding cladding overheating. This methodology is based on maintaining adequate margin to boiling transition. This approach has been previously approved by the NRC staff for Optima2. The critical power correlation for the Optima3 fuel design was reviewed outside of this topical report (Ref. 15). Associated methods for determining the minimum critical power ratio safety limit have been previously approved by the NRC staff. These methods are applicable to Optima2 fuel and therefore continue to be applicable to Optima3 fuel. The methodology is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable.

### 3.3.3.10 Fuel Temperature

The Westinghouse criterion for fuel temperature is that the maximum fuel centerline temperature shall remain below the fuel melting temperature. This is consistent with Section 4.2 of the SRP and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.3.10 of WCAP-17769-P/NP, Revision 0, describes the methodology for predicting the maximum fuel temperature during normal operations and AOOs to compare those temperatures to the fuel melting temperatures of the limiting pellets and provides a sample application for Optima3. The methodology is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable.

RAI-09 requested justification for [

] Westinghouse responded in a supplement to the RAI response by stating that the Westinghouse [

] The NRC staff finds this explanation acceptable.

### 3.3.3.11 Fuel Rod Bow

The Westinghouse criterion for fuel rod bowing is that excessive bowing shall be precluded for the fuel assembly life and its impact on fuel rod performance shall be accounted for, if necessary, in the thermal and mechanical evaluation of the fuel rods and assembly. This is consistent with SRP Section 4.2 and, therefore, is acceptable for application to SVEA-96 designs.

Section 4.3.11 of WCAP-17769-P/NP, Revision 0, describes the methodology for confirming that excessive bowing shall not occur during the life of the fuel. Excessive bowing is defined as that degree of fuel rod bow which leads to fuel rod damage or significantly impacts the nuclear or thermal-hydraulic performance of the assembly. The methodology is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable.

### 3.3.3.12 Pellet-Cladding Interaction

SRP Section 4.2 identifies PCI as a failure mechanism but does not specify criteria to prevent PCI failure other than the 1 percent uniform strain and no fuel melting criteria, both of which reduce the potential for fuel failure due to PCI. These design criteria have been addressed in Section 3.4.4 and Section 3.4.10 of this SE.

Section 4.3.12 of WCAP-17769-P/NP, Revision 0, describes the additional measures employed to avoid PCI-related fuel failure, which is unchanged from the Optima2 TR. This methodology applies to fuel without a liner; however, the Optima3 fuel assembly features LK3 fuel, which is lined with a Zirc-tin alloy shown to reduce the potential for PCI damage. TR states that the lined fuel clad is the most effective measure in the Westinghouse long-term program for PCI failure mitigation. Westinghouse also institutes generic/plant-specific PCI guidelines and best practices, including ramp rate restrictions, conditioning thresholds, and preconditioning requirements.

Due to the adherence to the cladding strain and fuel melt requirements, the addition of the cladding liner, and the guidelines and best practices, the NRC staff finds Westinghouse's PCI criteria and methodology acceptable.

### 3.3.4 Steady-State Initialization of Transients and Accidents

The initialization of transients and accidents using STAV7.2 is largely unchanged from that approved for Optima2 fuel. The few changes from the previously reviewed methods are detailed in this section.

#### 3.3.4.1 Calculation of Gap Heat Transfer Coefficients

Section 4.4.1 of WCAP-17769-P/NP, Revision 0, describes the methodology for calculating nominal, upper bound, and lower bound gap heat transfer coefficients. The methodology is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable.

#### 3.3.4.2 Fast Transient Analysis

Section 4.4.2 of WCAP-17769-P/NP, Revision 0, describes the methodology for selecting the gap heat transfer coefficients for the average and hot channel calculations. The methodology is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable.

#### 3.3.4.3 Control Rod Drop Accident Analysis

Control rod drop accident (CRDA) analyses use gap heat transfer coefficients based on a built-in best-estimate STAV7.2 model in POLCA-T. The CRDA methodology, including treatment of uncertainties, is described in Appendix A of WCAP-16747-P-A, "POLCA-T: System Analysis Code with Three-Dimensional Core Model."

RAI-10 resulted from confusion over whether or not Westinghouse intends to use the boiling water reactor (BWR) pellet-clad mechanical interaction (PCMI) fuel cladding failure criteria from SRP 4.2 for control rod drop accident (CRDA). It was also unclear if a dose would be calculated for CRDA with failed fuel. Westinghouse responded by indicating that the CRDA methodology

and acceptance criteria are addressed outside the scope of WCAP-17769-P/NP, Revision 0, which provides only the description of the fuel design and determination of the SAFDLs for the fuel design in question as specified in SRP Chapter 4.

The methodology, including acceptance criteria, for the safety analyses described in SRP Chapter 15 are described in other TRs. Evaluation of the dose consequence based on fuel damage determined with either POLCA-T or RAMONA is beyond the scope of the subject TR. In WCAP-16747-P-A (POLCA-T), Westinghouse has committed to the following criteria:

- Until final acceptance criteria are published by the NRC, POLCA-T methodology will determine the extent of fuel damage using the interim acceptance criteria in SRP 4.2, Revision 3 Appendix B for new reactor applications.
- Once the final acceptance criteria are published by the NRC, the POLCA-T methodology will adopt these criteria for all CRDA analysis.

Based on the information presented in WCAP-17769-P/NP, Revision 0, and PNNL's technical evaluation, the NRC staff finds the methodology for initializing CRDA analysis acceptable.

#### 3.3.4.4 Loss-of-Coolant Accident Analyses

Section 4.4.4 of WCAP-17769-P/NP, Revision 0, describes the use of the STAV7.2 and CHACHA-3 codes in the LOCA analysis and the treatment of uncertainties. Inputs to STAV7.2 assure that the gap heat transfer coefficient will be conservatively small and ensure that 10 CFR Part 50 Appendix K requirement I.A.1 is met. The methodology is unchanged from that previously approved for Optima2, and the NRC staff therefore finds its application to Optima3 acceptable.

#### 3.3.4.5 Stability Analysis

Section 4.4.5 of WCAP-17769-P/NP, Revision 0, describes the methodology for selecting the gap heat transfer coefficients for the stability analysis. As this methodology was previously approved for Optima2, and the uncertainties are appropriately accounted for, the NRC staff finds its application to Optima3 acceptable.

#### 3.3.4.6 Dose Calculations

Section 4.4.6 of WCAP-17769-P/NP, Revision 0, states that the fission product inventory predicted by STAV7.2 [ ] The dose calculations are performed in accordance with current NRC regulations and guidance on radiological source terms. Since the [ ] the assumptions used in the dose calculations are outside the scope of this review.

#### 3.3.5 Applicability of the Loss-of-Coolant Accident Methods and Methodology

The Westinghouse Emergency Core Cooling System (ECCS) evaluation methodology is implemented using two computer codes: GOBLIN and CHACHA-3D (Ref. 16). The GOBLIN code is used to determine the thermal-hydraulic response of the reactor system to postulated large- and small-break LOCAs. These calculations include interactions between the reactor system and the various safety systems. The CHACHA-3D code determines the detailed

temperature distribution and cladding oxidation at selected axial cross sections of the hot assembly analyzed by GOBLIN. The results include peak cladding temperature, local maximum oxidation, core wide oxidations, and maximum average planer linear heat generation rate operating limits for each new fuel design.

As this methodology was previously approved for Optima2, the NRC staff finds its application to Optima3 acceptable. The modest differences between Optima2 and Optima3 do not invalidate the applicability of the methodologies.

An Optima3-specific critical power correlation must be approved by the NRC prior to the application of these LOCA methods.

### 3.3.6 Additional Assessments of Applying the Westinghouse Methodology to Optima3 Fuel Assembly

Three RAI questions were asked that cover multiple sections or additional topics.

RAI-01 was asked to clarify the discrepancy between a statement made in Section 2.1, which describes changes to [ ] and a statement in Section 4.3.1 of the TR that Optima3 fuel assemblies are [ ] Westinghouse responded that the statement in Section 4.3.1 was only illustrative of the strong similarities. The NRC staff finds this explanation acceptable.

RAI-11 requested clarification as to why the cladding strain sample application for Optima3 was evaluated at 1 effective full power day (EFPD), while Optima2 was evaluated at 30 EFPD. Westinghouse responded that this was a typo and corrected the text in question. The NRC staff finds this correction acceptable.

RAI-12 asked whether the rod burnup limits were the same for full and part-length fuel rods. It further requested information on peak pellet burnup limits. Westinghouse stated that the supporting analysis show that part length rods satisfy the 62 MWd/kgU rod burnup limit. They further stated that the maximum achievable pellet burnups are covered by the analyses presented in the TR. Based on this response, and the PNNL's technical evaluation, the NRC staff finds that the fuel assembly can satisfy the fuel performance, mechanical, thermal, and materials design bases under normal operations and AOOs to a maximum rod-average burnup of 62 MWd/kgU. This burnup corresponds to an assembly average of [ ]

### 3.4 Technical Data

Westinghouse provides technical data and specifications for the Optima3 fuel bundle in Section 5 of the TR. SRP 4.2 lists description and design drawings as an area of review. The NRC staff finds these specifications to be acceptable and sufficient to provide an accurate representation of the fuel assembly design.

#### **4.0 LIMITATIONS AND CONDITIONS**

Licenses referencing WCAP-17769-P/NP, Revision 0, must comply with the following limitations and conditions:

- 1) Following the fuel assembly and fuel rod mechanical design methodology described in WCAP-17769-P/NP, Revision 0, as amended by RAI responses, the licensee must ensure that all of the design criteria (described in Sections 3.2, 3.3, and 3.4 of this SE) are satisfied on a cycle-specific basis.
- 2) The reference fuel assembly design, SVEA-96 Optima3, is approved up to a peak rod average burnup of 62 GWd/MTU.
  - a. In addition to referencing this report in their license amendment request submittal for implementing SVEA-96 Optima3, licensees must include a description of the plant-specific changes which are being made to ensure mechanical compatibility with core components and co-resident fuel. Further, the licensee must demonstrate that these changes are within the envelope of approved plant-specific changes discussed in Section 3.1.
  - b. Modifications to the fuel assembly design, beyond the mechanical compatibility changes identified in Section 3.1, fall outside the envelope of the NRC staff's approval of the SVEA-96 Optima3 reference fuel design and would require further review by the NRC staff. The provisions described in Section 3.1.4 of WCAP-17769-P/NP, Revision 0, "New Design Features," are not approved.
- 3) The fuel mechanical design methodology and design criteria are approved up to a peak rod average burnup of 62 GWd/MTU.
- 4) For SVEA-96 Optima3 fuel bundles utilizing Low Tin Zirlo as a channel material, all limitations and conditions imposed in the SE for WCAP-15942-NP Supplement 1, Revision 1, "Material Changes for SVEA-96 Optima2 Fuel Assemblies" (Ref. 16 and 18) must also be met.

#### **5.0 CONCLUSION**

Based on its review of this TR and technical support provided by PNNL, the NRC staff finds Westinghouse's fuel mechanical design methodology acceptable. Licensees referencing this TR will need to comply with the conditions listed in Section 4.0 of this SE.

The fuel design criteria presented in WCAP-17769-P/NP, Revision 0, as amended by the RAI responses, satisfy all SRP Section 4.2 fuel assembly criteria and are acceptable for application to SVEA-96 designs.

The fuel mechanical design methodology presented in WCAP-17769-P/NP, Revision 0, as amended by the RAI responses, is acceptable for application to SVEA-96 fuel designs up to a peak rod average burnup of 62 GWd/MTU.

Section 3.1, of WCAP-17769-P/NP, Revision 0, defines the SVEA-96 Optima3 fuel assembly design product description, including the allowed mechanical compatibility changes. The sample application reviewed by the NRC staff demonstrates that this fuel assembly design



meets all of the requirements. Plant-specific and cycle-specific evaluations are required to ensure that the Optima3 assembly design continues to satisfy all of the design criteria.

The NRC staff's review did not include the performance of the SVEA-96 Optima3 fuel design under Seismic/LOCA and transportation loads. In addition to the provisions described in Section 3.1.4 of WCAP-17769-P/NP, Revision 0, "New Design Features," are not approved.

The NRC staff's approval of WCAP-17769-P/NP, Revision 0, establishes the licensing basis of Westinghouse's BWR fuel design criteria and fuel mechanical design methodology and of the SVEA-96 Optima3 reference fuel design. Licensees wishing to implement this fuel design criteria and methodology, which supports a peak rod average burnup of 62 GWd/MTU, are required to submit a license amendment request updating their core operating limit report list of methodologies to include the approved "-A" version of WCAP-17769-P/NP, Revision 0.

## **6.0 REFERENCES**

1. Letter from J. Gresham (Westinghouse Electric Company (Westinghouse)), to U. S. Nuclear Regulatory Commission (NRC), "Submittal of WCAP-17769-P, Revision 0 and WCAP-17769-NP, Revision 0, 'Reference Fuel Design SVEA-96 Optima3' (Proprietary/Non-Proprietary)," November 13, 2013 (Agencywide Documents Access and Management System (ADAMS) Accession No. ML13323A100).
2. Letter from J. Gresham (Westinghouse), to NRC, "Responses to NRC Request for Additional Information for the Westinghouse Electric Company (Westinghouse) Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, 'Reference Fuel Design SVEA-96 Optima 3,'" August 1, 2016 (ADAMS Accession No. ML16313A350).
3. Letter from J. Gresham (Westinghouse), to NRC, "Responses to NRC Request for Additional Information for the Westinghouse Electric Company Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, 'Reference Fuel Design SVEA-96 Optima3,'" LTR-NRC-17-2 dated January 10, 2017 (ADAMS Accession No. ML17018A109).
4. Memorandum from E. Lenning (NRC) to K. Hsueh (NRC), "Meeting Notice with Agenda for May 17-20, 2016, 'Forthcoming Closed Audit for the WCAP-16182-P/NP, Revision 2, 'Westinghouse BWR Control Rod CR 99 Licensing Report – Update to Mechanical Design Limits,' and WCAP-17769-P/NP, Revision 0, 'Reference Fuel Design SVEA-96 Optima3,'" May 13, 2016 (ADAMS Accession No. ML16126A636).
5. Memorandum from E. Lenning (NRC) to K. Hsueh (NRC), "Summary of May 17-20, 2016, Closed Audit with Westinghouse Electric Company to Discuss Responses to the Requests for Additional Information for the Topical Reports WCAP-16182-P/NP, Revision 2, 'Westinghouse BWR Control Rod CR 99 Licensing Report – Update to Mechanical Design Limits,' and WCAP-17769-P/NP, Revision 0, 'Reference Fuel Design SVEA-96 Optima3,'" November 10, 2016 (ADAMS Accession No. ML16242A311).
6. NUREG-0800, NRC Standard Review Plan, Section 4.2., Revision 3, "Fuel System Design," March 2007.

7. Letter from B. Maurer, Westinghouse, to NRC, "Submittal of WCAP-15942-P-A/WCAP-15942-NP-A, 'Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors, Supplement 1 to CENP-287,'" March 31, 2006 (ADAMS Accession No. ML061110247).
8. NRC Title 10 of the Code of Federal Regulations Part 50, Appendix A, "General Design Criteria for Nuclear Power Plants."
9. ASME Boiler and Pressure Vessel Code, Section III, "Rules for Construction of Nuclear Facility Components," American Society of Mechanical Engineers.
10. Letter from J. Gresham (Westinghouse), to NRC, "Submittal of WCAP-15942-P-A/WCAP-15942-NP-A, Supplement 1, Revision 0, 'Material Changes for SVEA-96 Optima2 Fuel Assemblies' (Proprietary/Non-Proprietary)," September 9, 2010 (ADAMS Accession No. ML102590063).
11. Letter from J. Gresham (Westinghouse), to NRC, "Response to the NRC's Request for Additional Information on WCAP-15942-P-A, Supplement 1, 'Material Changes for SVEA-96 Optima2 Fuel Assemblies' and Submittal of WCAP-15942-P-A, Supplement 1, Revision 1, 'Material Changes for SVEA-96 Optima 2 Fuel Assemblies' (Proprietary/Non-Proprietary)," LTR-NRC-12-60, August 29, 2012 (ADAMS Accession No. ML12262A251).
12. Westinghouse TR CENPD-287-P-A, Revision 0 (Proprietary), CENPD-287-NP-A, Revision 0 (Non-Proprietary), "Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors," July 31, 1996.
13. Letter from J. Gresham (Westinghouse), to NRC, "Submittal of WCAP-16182-P-A/WCAP-16182-NP-A, Revision 3, 'Westinghouse BWR Control Rod CR 99 Licensing Report - Update to Mechanical Design Limits' (Proprietary/Non-Proprietary)," November 9, 2017 (ADAMS Accession No. ML17321A717).
14. Letter from J. Gresham (Westinghouse), to NRC, "Submittal of WCAP-17794-P, Revision 0 and WCAP-17794-NP, Revision 0, '10x10 SVEA Fuel Critical Power Experiments and New CPR Correlation: D5 for SVEA-96 Optima3' (Proprietary/Non-Proprietary)," LTR-13-76, November 22, 2013 (ADAMS Accession No. ML13333A274).
15. Letter from J. Gresham (Westinghouse), to NRC, "Submittal of the approved version of WCAP-16865-P-A, Revision 1, and WCAP-16865-NP-A, Revision 1, 'Westinghouse BWR ECCS Evaluation Model Updates: Supplement 4 to Code Description, Qualification, and Application,'" October 28, 2011 (ADAMS Accession No. ML11308A063).
16. Letter from D. Morey (NRC) to C. Zozula (Westinghouse), "Final Safety Evaluation For Westinghouse Electric Company Topical Report WCAP-15942-P-A/WCAP-15492-NP-A, Supplement 1, Revision 1, 'Material Changes For Svea-96 Optima2 Fuel Assemblies' (EPID: L-2018-TOP-0032)," August 9, 2019 (ADAMS Accession No. ML19190A293).

17. Letter from K. Hosack (Westinghouse), to NRC, "Submittal of WCAP-15942-P-A Supplement 1-A /WCAP-15942-NP-A Supplement 1-A, Revision 1, 'Material Changes for SVEA-96 Optima2 Fuel Assemblies" (Proprietary/Non-Proprietary)," LTR-19-57, September 23, 2019 (ADAMS Accession No. ML19273B312).
18. Letter from D. Morey (NRC) to C. Zozula (Westinghouse), "Verification Letter of the Approval Version of Westinghouse Electric Company Topical Report WCAP-15942-P-A/WCAP-15942-NP-A, Supplement 1, Revision 1, "Material Changes for SVEA-96 OPTIMA2 Fuel Assemblies," October 17, 2019 (ADAMS Accession No. ML19283A038).

Attachment: Comment Resolution

Principal Contributors: Josh Whitman - NRR/DSS  
PNNL staff

Date: February 13, 2020

**U. S. NUCLEAR REGULATORY COMMISSION**  
**RESOLUTION OF COMMENTS ON DRAFT SAFETY EVALUATION FOR**  
**TOPICAL REPORT WCAP-17769-P/NP, REVISION 0,**  
**“REFERENCE FUEL DESIGN SVEA-96 OPTIMA3”**  
**WESTINGHOUSE ELECTRIC COMPANY**

By letter dated December 17, 2019 (Agencywide Documents Access and Management System Accession No. ML19352D854), Westinghouse Electric Company (Westinghouse) provided comments on the draft safety evaluation (SE) for Topical Report (TR) WCAP-17769-P/NP, Revision 0, “Reference Fuel Design SVEA-96 Optima3.” Westinghouse stated that there is proprietary information in the draft SE. The following is the U.S. Nuclear Regulatory Commission (NRC) staff’s resolution of these comments:

Draft SE comments for TR WCAP-17769-P/NP, Revision 0:

1. Westinghouse provided proprietary markings on the draft SE.

NRC Resolution for Comment 1 on Draft SE:

The NRC staff reviewed the Westinghouse markings and incorporated them into the final SE except proprietary marking on page three. Westinghouse confirmed via email dated January 2, 2020, that information marked as proprietary on page three lines 12 through 23 is not proprietary and indicated that approved version will not have this proprietary marking on the page 2-7 of Westinghouse TR.

2. Westinghouse provided editorial comments.

NRC Resolution for Comment 2 on Draft SE:

The NRC staff reviewed the Westinghouse comments and finds them acceptable because the changes are editorial in nature.

**Section C**  
**Submittal of Topical Report**



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LTR-NRC-13-74

November 13, 2013

Subject: Submittal of WCAP-17769-P, Revision 0 and WCAP-17769-NP, Revision 0, "Reference Fuel Design SVEA-96 Optima3," (Proprietary/Non-Proprietary)

Enclosed are the proprietary and non-proprietary versions of WCAP-17769, Revision 0, "Reference Fuel Design SVEA-96 Optima3," dated November 2013, submitted for review and approval under the NRC's licensing topical report program for referencing in licensing actions.

In support of the Office of Nuclear Reactor Regulation's (NRR) prioritization efforts, a prioritization scheme matrix is attached. Optima3 is an enhancement to the Optima2 fuel product and improves fuel reliability by mitigation of debris fretting and channel distortion. Approval for this topical report is requested by November 2015 in order to support potential LAR applications during this timeframe.

Also enclosed is:

1. One (1) copy of the Application for Withholding Proprietary Information from Public Disclosure, AW-13-3846 (Non-Proprietary) with Proprietary Information Notice and Copyright Notice.
2. One (1) copy of Affidavit (Non-Proprietary).

This submittal contains proprietary information of Westinghouse Electric Company LLC. In conformance with the requirements of 10 CFR Section 2.390, as amended, of the Commission's regulations, we are enclosing with this submittal an Application for Withholding Proprietary Information from Public Disclosure and an Affidavit. The Affidavit sets forth the basis on which the information identified as proprietary may be withheld from public disclosure by the Commission.

Correspondence with respect to the proprietary aspects of the application for withholding or the Westinghouse Affidavit should reference AW-13-3846 and should be addressed to James A. Gresham, Manager, Regulatory Compliance, Westinghouse Electric Company, Suite 310, 1000 Westinghouse Drive, Cranberry Township, Pennsylvania 16066.

Very truly yours,

A handwritten signature in black ink, appearing to read 'BF Maurer'.

Bradley F. Maurer, Principal Engineer  
Plant Licensing

Attachment A  
Enclosures

## Attachment A

TR Prioritization Scheme Matrix for Metric and Resources			
<b>Title:</b> WCAP-17769-P, Revision 0, "Reference Fuel Design SVEA-96 Optima3"			
<b>Expect submitting FY</b>	<b>TAC</b>	<b>PM</b>	<b>Today's Date:</b> 11/13/2013
<b>Technical Review Division(s)</b>		<b>Technical Review Branch(s)</b>	
<b>Factors</b>	<b>Select the Criteria That the TR satisfies</b>	<b>Points can be Assigned for Each Criteria</b>	<b>Assigned Points</b>
<b>TR Classification</b> (Select one only)	Resolve Generic Issue (GSI)	6	2
	Emergent NRC Technical Issue	3	
	New technology improves safety	2	
	TR Revision reflecting current requirements or analytical methods	2	
	Standard TR	1	
<b>TR Applicability</b> (Select one only)	Potential industry-wide applications	3	2
	Potentially applicable to entire groups of licensees	2	
	Intended for only partial groups of licensees	1	
<b>TR Implementation Certainty</b> (Select one only)	Industry-wide Implementation expected	3	0
	Expected implementation by an entire group of licensees (BWROG, PWROG, BWRVIP, etc.) who sponsored the TR.	2	
	Docketed intent by U.S. plant(s) but no formal LAR schedule yet	1	
	No US plants have indicated strong intent on docket to implement yet	0	
<b>Tie to a LAR</b> (Select if applicable)	A SE is requested by a certain date (less than two years) to support a licensing activity or renewal date (note it in Comments)	3	0
<b>Review Progress</b> (Points are cumulative as applicable)	Accepted for review	0.3	0
	RAI issued	0.5	0
	RAI responded	1.2	0
	SE Drafted	2.0	0
<b>Management (LT/ET) discretion adjustment</b>		-3 to +3	
<b>Total Points (Add the total points from each factor and total here):</b>			4
<b>Comments:</b>			
<ul style="list-style-type: none"> <li>TR Classification: The new technology improves the reliability of the Westinghouse BWR fuel product. SVEA-96 Optima3 was designed to mitigate the top two reliability issues in the BWR industry; 1) Debris Fretting and 2) Channel Distortion.</li> <li>TR Applicability: The topical report is applicable to BWRs.</li> </ul>			



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AW-13-3846

November 13, 2013

APPLICATION FOR WITHHOLDING PROPRIETARY  
INFORMATION FROM PUBLIC DISCLOSURE

Subject: WCAP-17769-P, Revision 0, "Reference Fuel Design SVEA-96 Optima3" (Proprietary)

Reference: Letter from Bradley F. Maurer to Document Control Desk, LTR-NRC-13-74, dated  
November 13, 2013

The Application for Withholding Proprietary Information from Public Disclosure is submitted by Westinghouse Electric Company LLC (Westinghouse), pursuant to the provisions of paragraph (b)(1) of Section 2.390 of the Commission's regulations. It contains commercial strategic information proprietary to Westinghouse and customarily held in confidence.

The proprietary information for which withholding is being requested is identified in the proprietary version of the subject report. In conformance with 10 CFR Section 2.390, Affidavit AW-13-3846 accompanies this Application for Withholding Proprietary Information from Public Disclosure, setting forth the basis on which the identified proprietary information may be withheld from public disclosure.

Accordingly, it is respectfully requested that the subject information which is proprietary to Westinghouse be withheld from public disclosure in accordance with 10 CFR Section 2.390 of the Commission's regulations.

Correspondence with respect to the proprietary aspects of this application for withholding or the accompanying Affidavit should reference AW-13-3846 and should be addressed to James A. Gresham, Manager, Regulatory Compliance, Westinghouse Electric Company, Suite 310, 1000 Westinghouse Drive, Cranberry Township, Pennsylvania 16066.

Very truly yours,

A handwritten signature in black ink, appearing to read 'BF Maurer'.

Bradley F. Maurer, Principal Engineer  
Plant Licensing

Enclosures



AFFIDAVIT

COMMONWEALTH OF PENNSYLVANIA:

ss

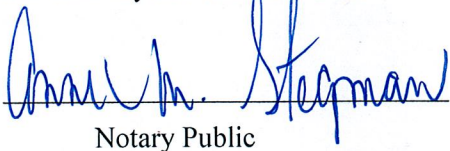
COUNTY OF BUTLER:

Before me, the undersigned authority, personally appeared Bradley F. Maurer, who, being by me duly sworn according to law, deposes and says that he is authorized to execute this Affidavit on behalf of Westinghouse Electric Company LLC (Westinghouse), and that the averments of fact set forth in this Affidavit are true and correct to the best of his knowledge, information, and belief:

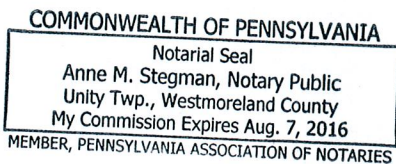


Bradley F. Maurer, Principal Engineer  
Plant Licensing

Sworn to and subscribed before me  
this 13th day of November 2013



Notary Public



- (1) I am Principal Engineer, Plant Licensing, in Engineering, Equipment and Major Projects, Westinghouse Electric Company LLC (Westinghouse), and as such, I have been specifically delegated the function of reviewing the proprietary information sought to be withheld from public disclosure in connection with nuclear power plant licensing and rule making proceedings, and am authorized to apply for its withholding on behalf of Westinghouse.
- (2) I am making this Affidavit in conformance with the provisions of 10 CFR Section 2.390 of the Commission's regulations and in conjunction with the Westinghouse Application for Withholding Proprietary Information from Public Disclosure accompanying this Affidavit.
- (3) I have personal knowledge of the criteria and procedures utilized by Westinghouse in designating information as a trade secret, privileged or as confidential commercial or financial information.
- (4) Pursuant to the provisions of paragraph (b)(4) of Section 2.390 of the Commission's regulations, the following is furnished for consideration by the Commission in determining whether the information sought to be withheld from public disclosure should be withheld.
  - (i) The information sought to be withheld from public disclosure is owned and has been held in confidence by Westinghouse.
  - (ii) The information is of a type customarily held in confidence by Westinghouse and not customarily disclosed to the public. Westinghouse has a rational basis for determining the types of information customarily held in confidence by it and, in that connection, utilizes a system to determine when and whether to hold certain types of information in confidence. The application of that system and the substance of that system constitutes Westinghouse policy and provides the rational basis required.

Under that system, information is held in confidence if it falls in one or more of several types, the release of which might result in the loss of an existing or potential competitive advantage, as follows:

- (a) The information reveals the distinguishing aspects of a process (or component, structure, tool, method, etc.) where prevention of its use by any of

Westinghouse's competitors without license from Westinghouse constitutes a competitive economic advantage over other companies.

- (b) It consists of supporting data, including test data, relative to a process (or component, structure, tool, method, etc.), the application of which data secures a competitive economic advantage, e.g., by optimization or improved marketability.
  - (c) Its use by a competitor would reduce his expenditure of resources or improve his competitive position in the design, manufacture, shipment, installation, assurance of quality, or licensing a similar product.
  - (d) It reveals cost or price information, production capacities, budget levels, or commercial strategies of Westinghouse, its customers or suppliers.
  - (e) It reveals aspects of past, present, or future Westinghouse or customer funded development plans and programs of potential commercial value to Westinghouse.
  - (f) It contains patentable ideas, for which patent protection may be desirable.
- (iii) There are sound policy reasons behind the Westinghouse system which include the following:
- (a) The use of such information by Westinghouse gives Westinghouse a competitive advantage over its competitors. It is, therefore, withheld from disclosure to protect the Westinghouse competitive position.
  - (b) It is information that is marketable in many ways. The extent to which such information is available to competitors diminishes the Westinghouse ability to sell products and services involving the use of the information.
  - (c) Use by our competitor would put Westinghouse at a competitive disadvantage by reducing his expenditure of resources at our expense.

- (d) Each component of proprietary information pertinent to a particular competitive advantage is potentially as valuable as the total competitive advantage. If competitors acquire components of proprietary information, any one component may be the key to the entire puzzle, thereby depriving Westinghouse of a competitive advantage.
  - (e) Unrestricted disclosure would jeopardize the position of prominence of Westinghouse in the world market, and thereby give a market advantage to the competition of those countries.
  - (f) The Westinghouse capacity to invest corporate assets in research and development depends upon the success in obtaining and maintaining a competitive advantage.
- (iv) The information is being transmitted to the Commission in confidence and, under the provisions of 10 CFR Section 2.390, it is to be received in confidence by the Commission.
- (v) The information sought to be protected is not available in public sources or available information has not been previously employed in the same original manner or method to the best of our knowledge and belief.
- (vi) The proprietary information sought to be withheld in this submittal is that which is appropriately marked in WCAP-17769-P, Revision 0, "Reference Fuel Design SVEA-96 Optima3" (Proprietary), dated November 2013, for submittal to the Commission, being transmitted by Westinghouse letter, LTR-NRC-13-74, and Application for Withholding Proprietary Information from Public Disclosure, to the Document Control Desk. The proprietary information as submitted by Westinghouse is that associated with Westinghouse's request for NRC approval of WCAP-17769, and may be used only for that purpose.

- (a) This information is part of that which will enable Westinghouse to:
  - (i) Obtain NRC approval of WCAP-17769, "Reference Fuel Design SVEA-96 Optima3."
  
- (b) Further this information has substantial commercial value as follows:
  - (i) Westinghouse plans to sell the use of similar information to its customers for the purpose of implementing a new fuel design.
  
  - (ii) The information requested to be withheld reveals the distinguishing aspects of a methodology which was developed by Westinghouse.

Public disclosure of this proprietary information is likely to cause substantial harm to the competitive position of Westinghouse because it would enhance the ability of competitors to provide similar technical evaluation justifications and licensing defense services for commercial power reactors without commensurate expenses. Also, public disclosure of the information would enable others to use the information to meet NRC requirements for licensing documentation without purchasing the right to use the information.

The development of the technology described in part by the information is the result of applying the results of many years of experience in an intensive Westinghouse effort and the expenditure of a considerable sum of money.

In order for competitors of Westinghouse to duplicate this information, similar technical programs would have to be performed and a significant manpower effort, having the requisite talent and experience, would have to be expended.

Further the deponent sayeth not.

### **PROPRIETARY INFORMATION NOTICE**

Transmitted herewith are proprietary and/or non-proprietary versions of documents furnished to the NRC in connection with requests for generic and/or plant-specific review and approval.

In order to conform to the requirements of 10 CFR 2.390 of the Commission's regulations concerning the protection of proprietary information so submitted to the NRC, the information which is proprietary in the proprietary versions is contained within brackets, and where the proprietary information has been deleted in the non-proprietary versions, only the brackets remain (the information that was contained within the brackets in the proprietary versions having been deleted). The justification for claiming the information so designated as proprietary is indicated in both versions by means of lower case letters (a) through (f) located as a superscript immediately following the brackets enclosing each item of information being identified as proprietary or in the margin opposite such information. These lower case letters refer to the types of information Westinghouse customarily holds in confidence identified in Sections (4)(ii)(a) through (4)(ii)(f) of the Affidavit accompanying this transmittal pursuant to 10 CFR 2.390(b)(1).

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**LIST OF ACRONYMS AND ABBREVIATIONS**

Acronym	Definition
AISI	American Iron and Steel Institute
AMS	Aerospace Material Specification
AOO	Anticipated Operational Occurrence
APLHGR	Average Planar Linear Heat Generation Rate
ASME	American Society of Mechanical Engineers
ASTM	American Society for Testing and Materials
BA	Burnable Absorber
BE	Best Estimate
BOC	Beginning of Cycle
BOL	Beginning of Life
BWR	Boiling Water Reactor
CCFL	Counter-Current Flow Limit
CF	Continuous anneal Furnace
CHF	Critical Heat Flux
CILC	Crud Induced Localized Corrosion
CPR	Critical Power Ratio
CRDA	Control Rod Drop Accident
DM	Design Multiplier
ECCS	Emergency Core Cooling System
EFPH	Effective Full Power Hours
EOC	End of Cycle
EOL	End of Life
FE	Finite Element
FEA	Finite Element Analysis
FEM	Finite Element Methodology
FGR	Fission Gas Release
FLR	Full-length Rod
FSAR	Final Safety Analysis Report
GDC	General Design Criteria

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ID	Inner Diameter
LHGR	Linear Heat Generation Rate
LTR	Licensing Topical Report
LOCA	Loss-of-Coolant Accident
MAPLHGR	Maximum Average Planar Linear Heat Generation Rate
MCPR	Minimum Critical Power Ratio
MF	Maneuvering Factor
NCLO	No Clad Lift Off
NFIR-V	Nuclear Fuels Industry Research phase V
NRC	Nuclear Regulatory Commission
OD	Outer Diameter
OLMCPR	Operating Limit Minimum Critical Power Ratio
PCI	Pellet-Cladding Interaction
PIE	Post-Irradiation Examination
PLR	Part-Length Rod
PPM	Parts-per-million
PWR	Pressurized Water Reactor
RAI	Request for Additional Information
RIP	Rod Internal Pressure
RMS	Root Mean Square
SAFDL	Specified Acceptable Fuel Design Limit
SPH	Segment Power History
SRP	Standard Review Plan
TD	Theoretical Density
TIG	Tungsten Inert Gas
TMOL	Thermal Mechanical Operating Limit
Zry-2	Zircaloy-2
Zry-4	Zircaloy-4
3-D	3-dimensional



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# 1 SUMMARY AND CONCLUSIONS

## 1.1 SUMMARY

WCAP-15942-P-A, “Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors Supplement 1 to CENP-287” (Reference 1.0) and WCAP-15942-P Supplement 1, “Material Changes for SVEA-96 Optima2 Fuel Assemblies” Reference 4.3 describe the Westinghouse methodology for conducting fuel assembly and fuel rod mechanical evaluations that are identified in Section 4.2 of the Standard Review Plan (SRP), NUREG-0800 (Reference 1.4) and WCAP-15836-P-A, “Fuel Rod Design Methods for Boiling Water Reactors – Supplement 1” (Reference 1.2) describes the fuel rod design methods. This Licensing Topical Report (LTR) describes the application of these methods and methodologies to the Westinghouse SVEA-96 Optima3 fuel assembly and it also includes some minor improvements to the methodology.

In conjunction with an expanded fuel rod and assembly inspection database and test basis, this sample application demonstrates that the SVEA-96 Optima3 assembly satisfies the Westinghouse design criteria to a rod-average burnup of [ ]<sup>a,c</sup> for the sample plant application. As discussed in Reference 1.0 and Reference 4.3, satisfaction of the Westinghouse design criteria assures compliance with the objectives of Section 4.2 of the SRP and, therefore, assures compliance with General Design Criteria 10, 27, and 35 of 10 CFR 50, Appendix A, “General Design Criteria for Nuclear Power Plants” (Reference 1.5). Similar information, supporting the thermal-hydraulic, nuclear, and safety analyses evaluations, is provided in CENPD-300-P-A, “Reference Safety Report for Boiling Water Reactor Reload Fuel” (Reference 1.1).

The SVEA-96 Optima3 fuel assembly contains, as does its predecessor SVEA-96 Optima2, part-length rods in addition to full-length rods.

Design criteria and methods in References 1.0, 1.1 and 4.3 have not been changed and will continue to be used for the reference fuel design for SVEA-96 Optima3. Reference 4.3 is currently under NRC review. Any SER required changes will be implemented for SVEA-96 Optima3 with **Low Tin ZIRLO™** channels. Therefore, this LTR relies on References 1.0, 1.1 and 4.3 for design criteria and methodology. In Sections 2 through 10 of this report, in order to clearly discriminate text already presented in References 1.0, 1.1, 4.3 regarding such subjects as design criteria, methodology, and sample analyses, these texts are given in italics.

The numbering of sections in this document basically follows that of Reference 1.0 in order to assist the reader in relating this LTR to Reference 1.0. However, equation, table, figure, and reference numbering in this LTR is independent of the numbering in Reference 1.0.

The contents of this report can be summarized as follows:

1. A description of the Westinghouse SVEA-96 Optima3 boiling water reactor (BWR) fuel assembly design,
2. A modified stress analysis using the ANSYS code,

3. A sample application of the Westinghouse design evaluation methodology demonstrating compliance of the SVEA-96 Optima3 assembly with the design criteria for normal operations and anticipated operational occurrences (AOOs) to a fuel rod burnup of [ ]<sup>a,c</sup>,
4. An applicability demonstration of the Westinghouse LOCA methodology for SVEA-96 Optima3,
5. A summary of the computer codes used in the Westinghouse methodology described in References 1.0 and 1.1,
6. A description of the manufacturing inspection measures which assure that the assembly is constructed as required by the design specifications described in References 1.0 and 1.1,
7. A summary of the operating experience with the SVEA-96 Optima3 design and similar Westinghouse designs,
8. A summary of the ex-core prototype test programs relative to the methodology described in References 1.0 and 1.1,
9. An updated summary discussion of ongoing testing, inspection, and surveillance plans relative to the methodology described in References 1.0 and 1.1.

Therefore, in conjunction with References 1.0 and 1.1, general design criteria as well as the design criteria for the fuel rods and other assembly components are clearly stated. The mechanical design methods used to evaluate assembly and component performance against these design criteria for normal operations and AOOs are then systematically addressed. An illustrative evaluation of the SVEA-96 Optima3 design relative to the design criteria using the methodology described is also provided. This evaluation is described in conjunction with the methodology description to assist the reader in understanding compliance with the requirements of Reference 1.4.

## 1.2 CONCLUSION

The information contained in this report in conjunction with References 1.0, 1.1, and 4.3 supports the following conclusions:

1. The design bases identified in Reference 1.0 are sufficient to assure that the requirements and guidelines identified in Section 4.2 of NUREG-0800 (Reference 1.4), 10 CFR 50, Appendix A (Reference 1.5), and Section III of the American Society of Mechanical Engineers (ASME) Boiler and Pressure Vessel Code, "Rules for Construction of Nuclear Facility Components" (Reference 1.3) will be satisfied.
2. The methodology for evaluating fuel assembly and fuel rod mechanical behavior relative to the design basis remains acceptable for design and licensing applications to a rod-average burnup of [ ]<sup>a,c</sup> (References 1.0, 1.1, and 4.3).
3. The evaluation of the SVEA-96 Optima3 fuel assembly applying Westinghouse methodology demonstrates the capability of this fuel assembly to satisfy the fuel performance, mechanical, and

materials design bases under normal operation and AOOs to a peak rod-average burnup of [ ]<sup>a,c</sup>.

4. Westinghouse LOCA methodology is applicable for the SVEA-96 Optima3 fuel design.

## 2 GENERAL DESCRIPTION

The SVEA-96 Optima3 fuel with its 4x(5x5-1) lattice with three part-length rods in each subbundle is an evolution of the SVEA-96 Optima2 fuel and a new generation of Westinghouse 10x10 fuel.

The SVEA-96 Optima3 fuel has, compared to SVEA-96 Optima2:

- Simplified mechanical design
- Increased fuel rod plenum volume
- Reduced pressure drop
- Reduced parasitic neutron absorption
- Improved fuel reliability

These improvements have been achieved by:

- The subbundle top tie plate is replaced with a top spacer and the bottom tie plate is simplified. All fuel rods, except the tie fuel rods, which also have the spacer capture function, rest freely on the bottom tie plate,
- The modified design in the subbundle ends allows shorter end plugs and correspondingly longer cladding tube, where the starting point of the pellet stack is lowered by [ ]<sup>a,c</sup>,
- A new spacer design (sleeve type), where a simplified version without mixing vanes is used at [ ]<sup>a,c</sup>. This, in combination with the simplified bottom tie plate, leads to reduced pressure drop,
- The new spacer design leads to a reduced amount of parasitic neutron absorption material in the active fuel region,
- The spacer is designed to minimize the risk of debris getting caught in the spacer and thus reduces the risk of debris fretting damage to the fuel,
- The **TripleWave**+™ debris filter is introduced with improved debris catching efficiency.

### 2.1 ASSEMBLY DESCRIPTION

The SVEA-96 Optima3 fuel is based on the same general principles as SVEA-96 Optima2 and previous SVEA-96 fuel types which have been delivered to several plants in the U.S. including Columbia Generating Station, Hope Creek, Dresden 2&3, Quad Cities 1&2, and Hatch-1. This section contains a general description of the SVEA-96 Optima3 fuel and a discussion of the main differences between SVEA-96 Optima3 and SVEA-96 Optima2 (Reference 1.0).

As with previous SVEA-96 fuel designs, the SVEA-96 Optima3 fuel assembly consists of one fuel bundle, arranged in four subbundles, one fuel channel and one handle with spring. The subbundles are separated by a cruciform internal structure (water cross) in the channel. The subbundles are inserted into the channel from the top and are supported at the bottom end by a stainless steel inlet piece (transition

piece and bottom support), which is bolted to the channel. This design principle eliminates any leakage flow uncertainties at the bottom end of the channel. Since the handling load is carried by the channel via the bottom support and the four screws, stresses in the tie rods are also avoided during normal fuel handling. The bottom support is equipped with a **TripleWave+**<sup>TM</sup> debris filter, which is designed to prevent potentially harmful debris from entering the fuel bundle. The fuel assembly is lifted by the handle, which is connected to the top end of the channel, and supported against adjacent assemblies in the core module by a double leaf spring. A schematic overview of the SVEA-96 Optima3 assembly with its main components is shown in Figure 2-1a and the fuel assembly design is shown in Figure 2-1b.

As with previous SVEA-96 fuel designs, the fuel bundle consists of 96 fuel rods arranged in four 5x5-1 subbundles. The outer channel forms, together with the water cross structure, four subchannels for the subbundles. The water cross has a square central canal and smaller water channels in each of the four wings for non-boiling water during operation. As with SVEA-96 Optima2, there are eight part-length fuel rods with about 2/3<sup>rd</sup> active length (two in each subbundle) and four part-length fuel rods with about 1/3<sup>rd</sup> active length (one in each subbundle) in the fuel bundle. Consequently, the lower part of the fuel bundle (zone 1) consists of 96 fuel rods, the middle part (zone 2) consists of 92 fuel rods and the upper part (zone 3) consists of 84 fuel rods. The cross section of the fuel assembly is shown in Figure 2-2.

The fuel assembly outer dimensions are maintained from previous SVEA-96 fuels, including SVEA-96 Optima2. The control rod gap, and the gap that does not contain a control rod, depends on the plant lattice geometry. Typical values for SVEA-96 Optima3 fuel assemblies in a C-lattice plant are shown in Figures 2-3a and 2-3b. These gap widths provide adequate clearances to the control blades and pads/rollers. The SVEA-96 Optima3 assemblies also provide adequate clearances to instrument guide tubes. The excellent resistance of the SVEA channel to bulge and bow assures that these conclusions based on beginning of life dimensions continue to apply throughout the lifetime of the bundle. As with the previous SVEA-96 fuel designs, the SVEA-96 Optima3 transition piece (bottom nozzle) can be modified to offset the assembly toward the control rod gap in a D-lattice configuration as discussed in Section 2.5.

The number of spacers is increased and the SVEA-96 Optima3 fuel is equipped with 40 (10x4) sleeve type spacers. [

] <sup>a,c</sup>

The SVEA-96 Optima3 subbundle has no top tie plate and has a simplified bottom tie plate. The normal rods and the part-length rods rest freely on the bottom tie plate. [

] <sup>a,c</sup>

The lengths of the part-length rods are increased compared to the SVEA-96 Optima2 design.

As with SVEA-96 Optima2, all rods in the SVEA-96 Optima3 design have the [

] <sup>a,c</sup> These dimensions were optimized to achieve optimum uranium content while preserving acceptable fuel rod thermal-mechanical performance.

The SVEA-96 Optima3 assembly for the U.S. contains about [ ] <sup>a,c</sup> than SVEA-96 Optima2.

The M8 nuts and the external compression springs in the subbundle top end of previous SVEA-96 fuel designs have been excluded as a consequence of the replacement of the top tie plate by a spacer.

As with SVEA-96 Optima2, the top end plugs are equipped with a notch for a more safe lift of a single rod as well as a subbundle. The top end plugs for SVEA-96 Optima3 are shortened and simplified due to the replacement of the top tie plate by a spacer.

Since only the tie rods are fastened to the simplified bottom tie plate, the number of M6 nuts in the subbundle is reduced to two.

The bottom end plug design for normal and part-length rods is shortened and simplified since these rods rest freely on the simplified bottom tie plate upper surface. The threaded bottom end plug for the tie rods is shortened due to the simplified bottom tie plate with reduced height.

The starting point of the pellet stack is [ ]<sup>a,c</sup> due to the simplified bottom tie plate. This allows the possibility to increase the pellet stack and thereof increasing the amount of Uranium in the fuel.

Both tie rods in the subbundle also carry the spacer capture function, which hence is doubled for redundancy. The previous separate spacer capture rod is then replaced by a normal fuel rod.

The fuel assembly individual identification number and subbundle identification previously engraved on the top tie plate is moved to the bottom tie plate.

The bottom tie plate is resting on the flat surface of the fuel channel bottom support. The lateral guiding of the subbundle bottom end in the fuel channel is provided by the lowermost spacer, positioned adjacent to the bottom tie plate.

### 2.1.1 Lattice and Fuel Rod Types

The fuel bundle has four mechanically different types of fuel rods, namely:

- 76 normal fuel rods
- 8 tie fuel rods (with spacer capture function)
- 8 part-length fuel rods with 2/3<sup>rd</sup> active length
- 4 part-length fuel rods with 1/3<sup>rd</sup> active length

The pitch between the central rods is [ ]<sup>a,c</sup> The lattice configuration is shown in Figure 2-4.

## 2.2 FUEL SUB-BUNDLE DESCRIPTION

The subbundle consists of 24 fuel rods arranged in a 5x5-1 lattice. Each subbundle is assembled as a separate unit with free standing rods, resting on a bottom tie plate. Two tie fuel rods are guided by their end plugs in the bottom tie plates, fastened by nuts, and keep, together with the ten spacers, the subbundle together as one unit. The tie fuel rods also secure the axial positions of the spacers.

The fuel bundle is shown in Figure 2-5.

The fuel rods consist of a Zircaloy cladding tube sealed by end plugs and containing a stack of fuel pellets. In the upper end of the fuel rod there is a space for axial expansion of the fuel pellet stack and for fission gases released from the fuel pellets. The fuel pellet stack is prevented from moving up into this space during transport by means of a plenum spring. The cladding and the end plugs are made of low corrosion material and the cladding tubes are provided with liner on the inside. The fuel pellet stack consists of sintered and ground uranium dioxide pellets. A few rods also contain pellets with burnable absorber in the form of gadolinium oxide mixed with uranium dioxide. The fuel rods are prepressurized with helium and sealed by welding.

The tie fuel rods are connected to the bottom tie plate by threaded end plugs, extending through the plate, and nuts. They are placed next to the central position and are locked against rotation by slits in the bottom tie plate, which engage the bottom end plugs. Above each spacer position, the tie fuel rods have small heads welded to the cladding tube. These heads provide the spacer capture function. The tie fuel rods permit small movements of the spacer to avoid strong axial forces on the spacer. A typical tie fuel rod is shown in Figure 2-6.

Twelve part-length rods are included in the fuel bundle. Eight part-length rods (two in each subbundle) are placed adjacent to the central water channel. They end about [ ]<sup>a,c</sup> above the seventh spacer and are about 2/3<sup>rd</sup> in active length. Four more part-length rods (one in each subbundle) are placed in the outer corners. They end about [ ]<sup>a,c</sup> above the fourth spacer and are about 1/3<sup>rd</sup> in active length. The part-length rods have in principle the same design as full-length rods, with plenum spring in the upper end. The part-length rods have the same type of end plugs as the normal fuel rods. The positions of the part-length rods are chosen for shut down margin improvement and, at the same time, to optimize the critical power performance. These part length rods are in the same location as for SVEA-96 Optima2. Typical normal full-length and part-length rods are shown in Figure 2-7 and typical plenum springs for full-length and part-length rods are shown in Figure 2-8.

The fuel pellet design of SVEA-96 Optima3 is identical to that of SVEA-96 Optima2. The fuel pellet design is also the same for uranium oxide pellets and pellets with burnable absorber (BA) containing Gd<sub>2</sub>O<sub>3</sub>. The fuel pellet design is shown in Figure 2-9.

### 2.2.1 Bottom Tie Plate

The bottom tie plate is machined from stainless steel bar, type American Iron and Steel Institute (AISI) 304 L, and has the fuel assembly individual identification number and subbundle identification engraved in the side. The number is used for administrative control of the correct positioning of the subbundle, with respect to subchannel position. The bottom tie plate rests on the flat surface of the fuel channel bottom support.

[

] <sup>a,c</sup> The bottom tie plate is shown in Figure 2-10.



## 2.2.2 Spacers

The SVEA-96 Optima3 fuel is equipped with a new, sleeve type spacer design. The material, Aerospace Material Specification (AMS) 5542 (Inconel<sup>®</sup> X-750), is the same as in previous SVEA and 8x8 spacers. Each spacer consists of 24 cells (sleeves) welded to each other and to 3 frames. The cells are fabricated from Nickel Base Alloy strip, punched, stamped and coiled to form octagonal cells. Every cell has four lines for contact with the fuel rod. The sides of the cell provide the deflection necessary to maintain contact with the rod. [

] <sup>a,c</sup> The wall thickness is [ ] <sup>a,c</sup> in the cells and [ ] <sup>a,c</sup> in the frames. Spacer levels [ ] <sup>a,c</sup> have a simplified spacer design [ ] <sup>a,c</sup>. The simplified spacer is shown in Figure 2-11a and the spacer [ ] <sup>a,c</sup> is shown in Figure 2-11b.

The basic element in the spacer is the sleeve type cell. [

] <sup>a,c</sup> has shown superior properties with a minimum risk for grid-to-rod fretting as well as a reduced risk for debris hang-up and ensuing fretting. The cells are welded together to form a structure resembling honeycomb.

The vanes are almost identical with the vane in SVEA-96 Optima2 but slightly larger. This vane design is well protected at handling, both at assemblage and during service and inspections.

The cells have “waists” at the attachment to the neighbors. The cell height is [ ] <sup>a,c</sup> and the height at the waist is [ ] <sup>a,c</sup>. The waists have several purposes:  
[

] <sup>a,c</sup>

The frame has been designed with the same basic criteria as for SVEA-96 Optima2.

## 2.3 FUEL CHANNEL

The fuel channel design is basically identical with the SVEA-96 Optima2 fuel channel (Reference 1.0). Only minor changes have been introduced:

- Introduction of a further optimized **TripleWave**<sup>™</sup> debris filter, the **TripleWave+**<sup>™</sup> debris filter.
- Adaptation of the bottom support for the new bottom tie plate and the **TripleWave+**<sup>™</sup> debris filter.
- Slight modification of outer channel-water cross connection dimensions (embossing) for improved manufacturability.
- Including the possibility of using **Low Tin ZIRLO**<sup>™</sup> channel material as alternative material instead of Zircaloy-2 channel material.

The bottom support, which is machined from stainless steel bar material, type AISI 304 L, is on its inlet side equipped with four **TripleWave+™** debris filter units. The bottom support is designed with grooves for fitting the **TripleWave+™** debris filter units below each subbundle. The **TripleWave+™** debris filter is an evolution of the previous **TripleWave™** debris filter. The legacy from the previous model is obvious; the same design with the three waves, the same material, and the same manufacturing processes. The filter units are secured to the bottom support by lock welds. The filter is built from corrugated or wavy plates, formed from stainless steel sheet metal, type AISI 316 L, which is a well-known and proven material. The filter plates are joined at a large number of weld points, which gives a robust and redundant design.

The **TripleWave+™** debris filter is aimed at catching all debris with length [ ]<sup>a,c</sup> as those are regarded to pose the largest risk for fretting on the fuel rods.

The plates have a wavy shape across the flow in the inlet, a wavy shape along the flow in the center, and then again a wavy shape across the flow in the outlet, hence the name **TripleWave™**. The wavy shape of the inlet and the outlet edge serves several purposes. It functions as support points where the plates can be welded together. It also forms a grid that provides a first filter for large objects. In the inlet it reorients medium size objects parallel to the flow that are subsequently trapped at their head-on entrance into the filter. Finally, the design of the plates forms a flow path with a smooth and vertical outward flow from the filter outlet.

The channel dimple design is slightly modified (reduced width and depth) compared to SVEA-96 Optima2 for improved manufacturing margins. The cross edge is also modified to maintain the hydraulic communication area between subchannels when dimple depth is reduced.

The fuel channel is shown in Figure 2-13 and the bottom support with **TripleWave+™** debris filter is shown in Figure 2-14.

## 2.4 HANDLE WITH SPRING

The handle, which is identical to the SVEA-96/-96+/-96 Optima2 handle, is made from stainless steel bar material, type AISI 304 L, and has a double leaf spring of AMS 5542 (Inconel X-750).

After insertion of the four subbundles into the channel, the handle is fastened to the central lifting screw with a nut. The nut is locked in position by deforming an integral washer. For redundancy the handle is also connected to the channel in two corners. Two spring-loaded plungers that extend through openings in the channel wall achieve this connection.

The handle with spring and the mounting to the fuel channel is shown in Figure 2-15.

The handle is designed for lifting with the ordinary handling equipment of the reactor. An individual identification number for the fuel assembly is engraved in the handle.

The top end of the channel and the handle are designed in such a way that the handle can be mounted in only one way, thus assuring correct orientation of the handle relative to the fuel assembly.

The leaf spring has the same function as the leaf springs in other fuel assembly designs, i.e., to interact with the corresponding springs on adjacent fuel assemblies and press the fuel assembly into the corner of the core grid module.

## 2.5 PLANT DEPENDENT FEATURES

Sections 2.1 to 2.4 provide, in combination with Reference 1.0, a complete specification of the mechanical design features of the fuel product and most of these will be common for each plant specific application. However, some of the mechanical design parameters are determined to accommodate the reactor internals dimensions and co-resident fuel dimensions and may vary with each plant specific implementation. These are identified as compatibility features and are listed below:

1. Channel length and compatibility with co-resident fuel
2. Fuel rod/bundle length
3. Channel bypass flow hole size
4. Channel alignment and offset
5. Adaptations of handle dimensions
6. Bottom tie plate flow hole size

### 2.5.1 Channel Length & Mechanical Compatibility with Co-resident Fuel

Different generations of BWR plant designs have adopted different active fuel lengths. The range of active fuel lengths for SVEA-96 Optima3 in approved U.S. BWR plant designs is typically between [ ]<sup>a,c</sup>.

The length of the channel may be adjusted to accommodate the active fuel length and the co-resident fuel length to ensure worst case differential channel growth between different fuel types. Minimum engagement of the channel springs along with locations and size of channel dimples ensure that proper lateral spacing at the top of the fuel is maintained throughout the life of the fuel. The evaluation is performed as defined in Section 4.2.1.

### 2.5.2 Fuel Rod/Bundle Length

The active fuel rod length and fuel bundle length varies according to the plant design as described above. The overall length of the fuel rod may be changed for plant specific applications according to the plant specific active fuel length to a length that ensures the fuel rod design criteria described in Section 3.3 are met. The methodology that will be used to assess the design criteria is presented in Section 4.

### 2.5.3 Channel Bypass Flow Hole Size

Hydraulic compatibility of the fuel bundles is required per the design criterion identified in Section 3.2.4 of CENPD-287-P-A, "Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors" (Reference 2.0) for assembly lift and implicitly in critical power ratio (CPR) assessment of the fuel [

] <sup>a,c</sup>

#### 2.5.4 Channel Alignment and Offset

For some plant applications, [

] <sup>a,c</sup>

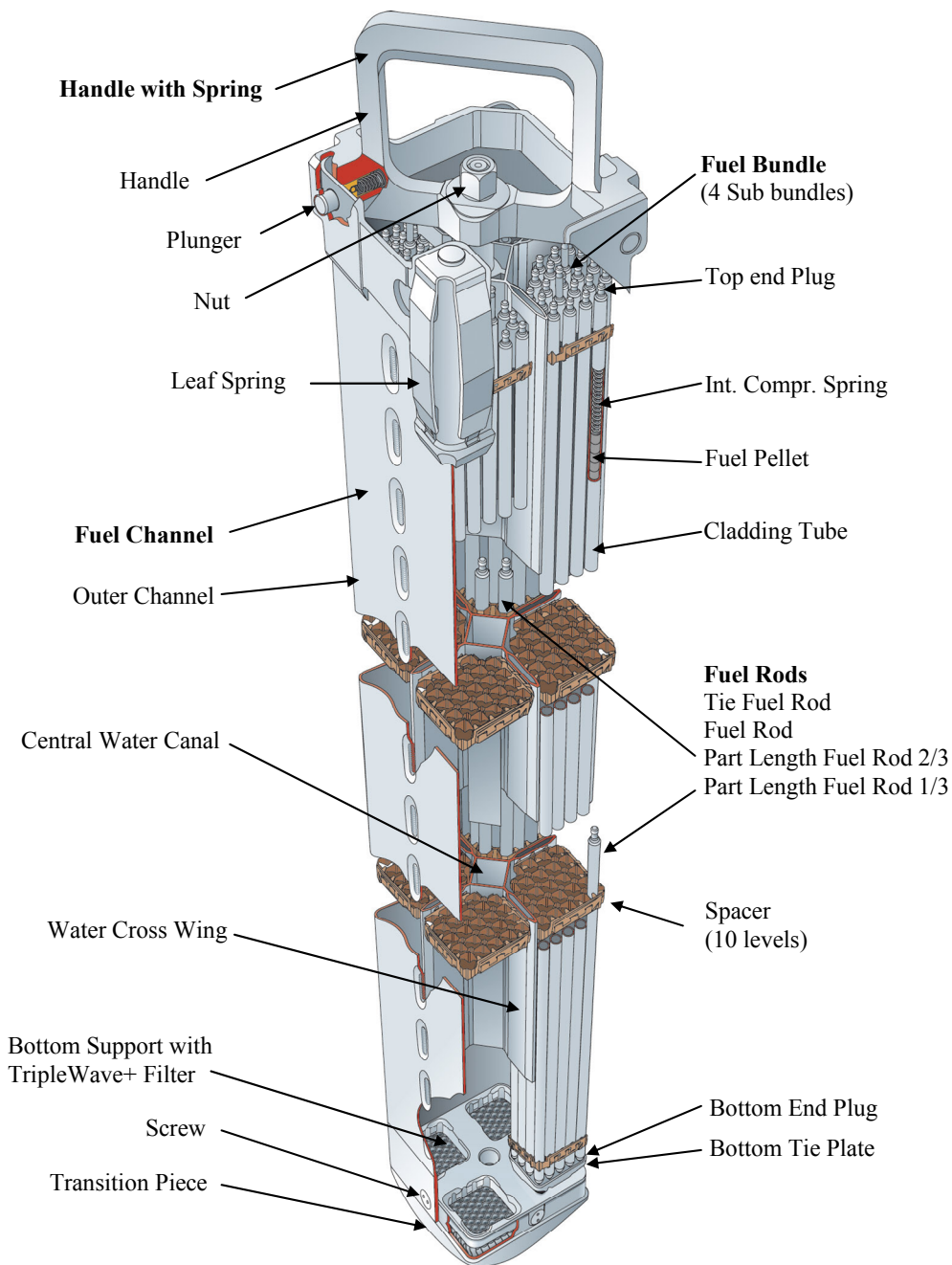
#### 2.5.5 Adaptations of Handle Dimensions

Handle dimensions such as lifting beam height and control rod gap fixed support/spacer button dimensions may be adjusted to ensure geometrical compatibility at all conditions with other fuel types as well as core internals, handling equipment, and storage facilities. Handle leaf spring dimensions may also be adjusted to ensure minimum engagement with channel springs as well as matching the spring force of adjacent fuel.

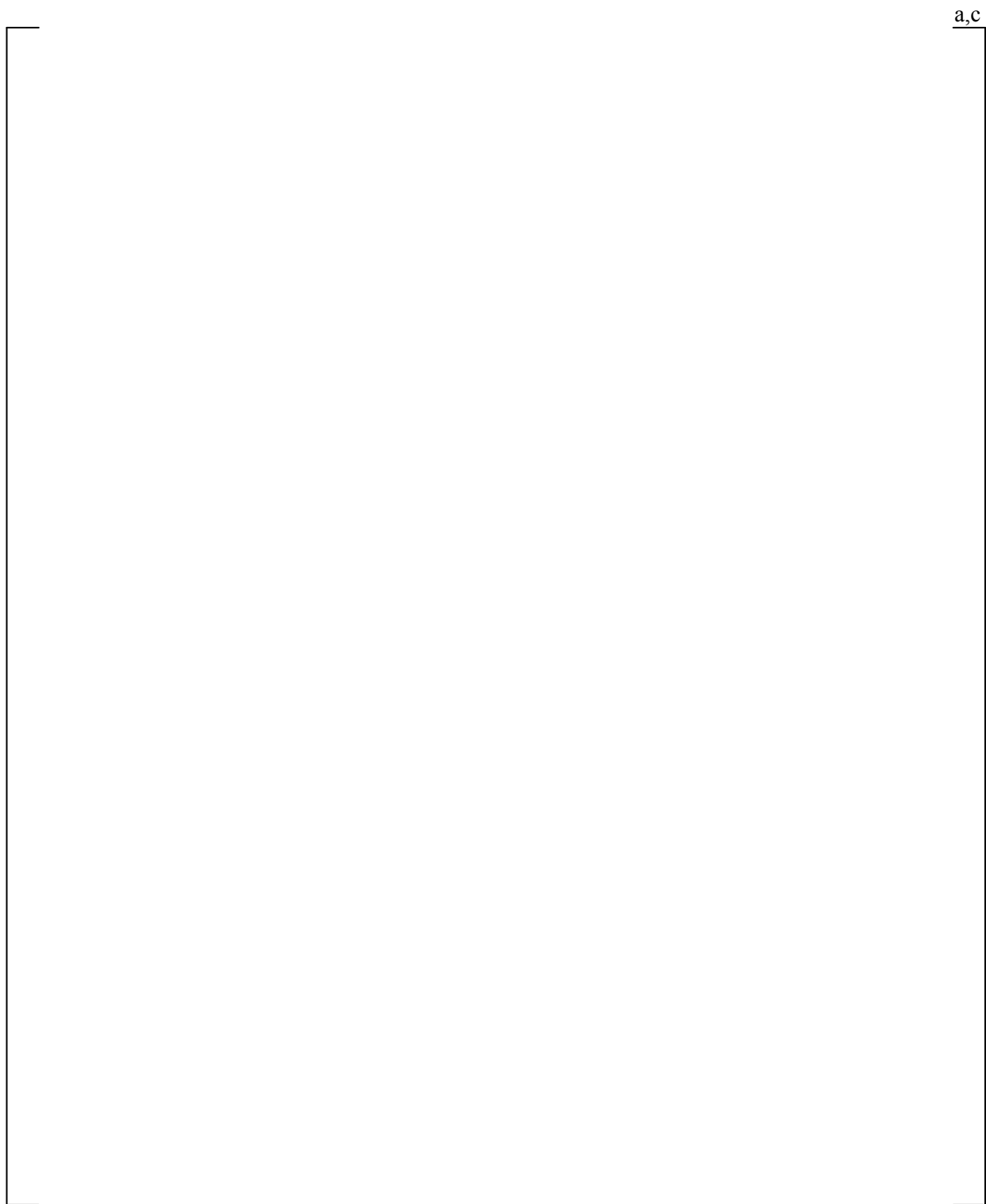
#### 2.5.6 Bottom Tie Plate Flow Hole Size

[

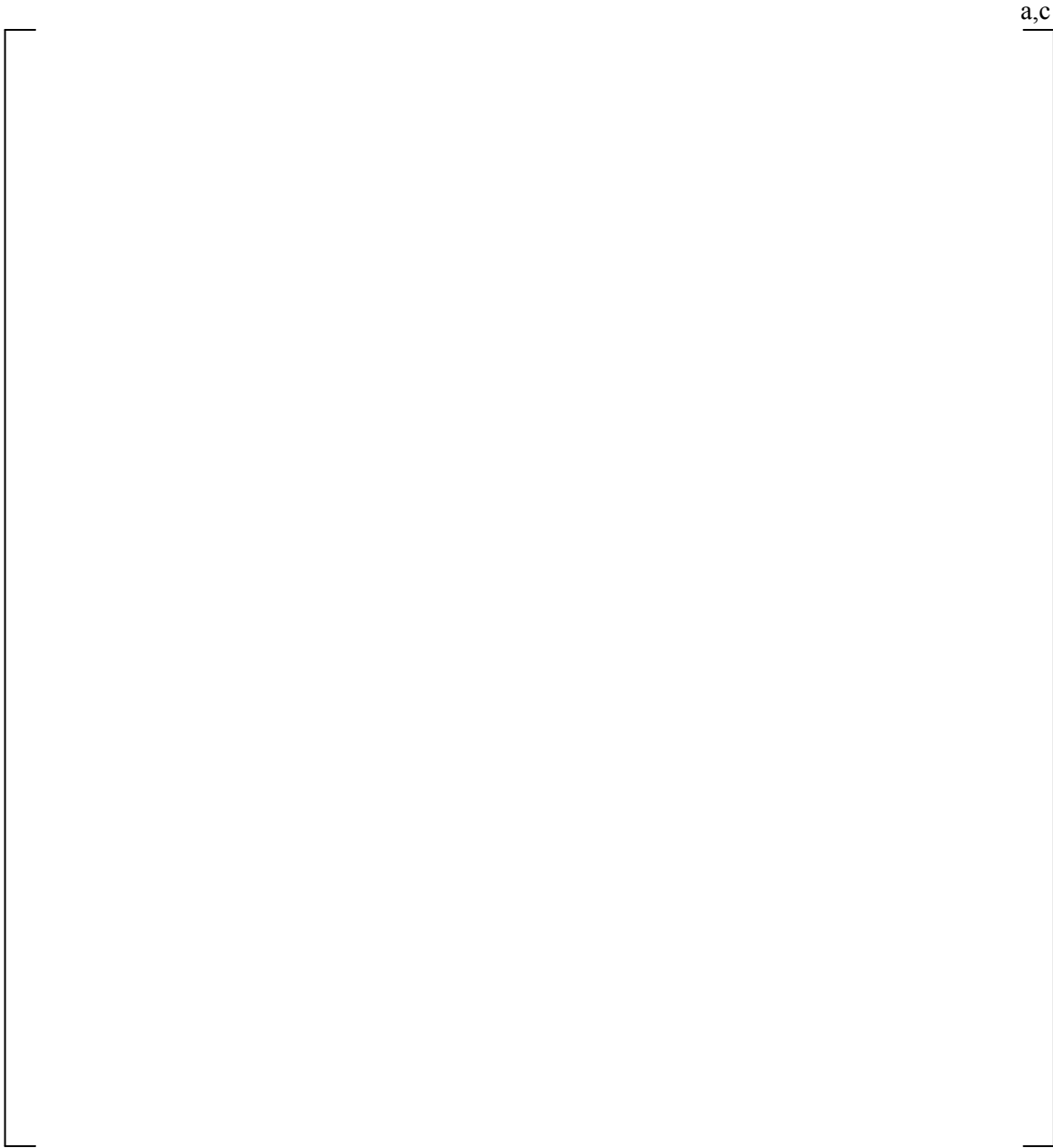
] <sup>a,c</sup>



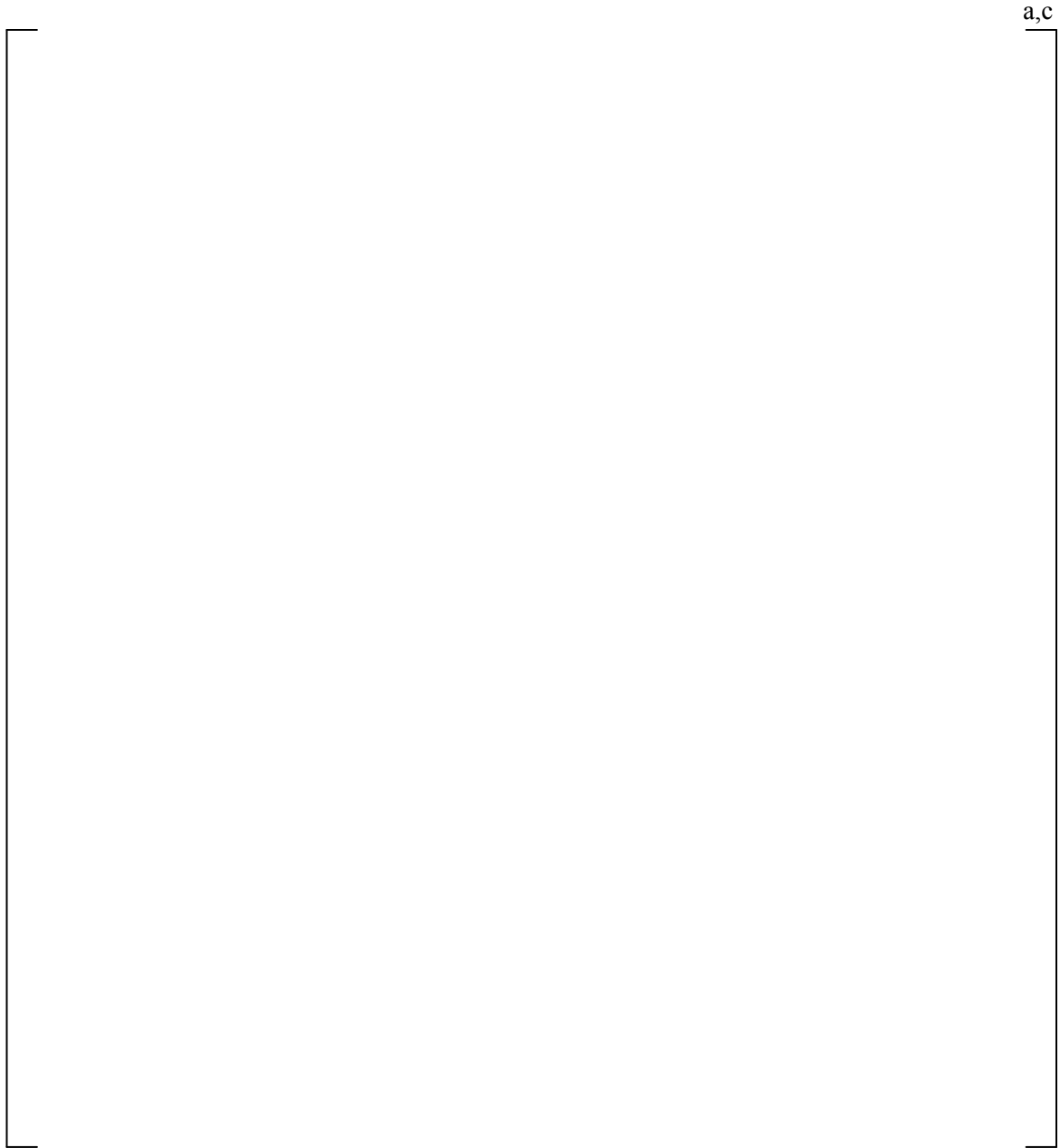
**Figure 2-1a SVEA-96 Optima3 Fuel Assembly Overview**



**Figure 2-1b SVEA-96 Optima3 Fuel Assembly**

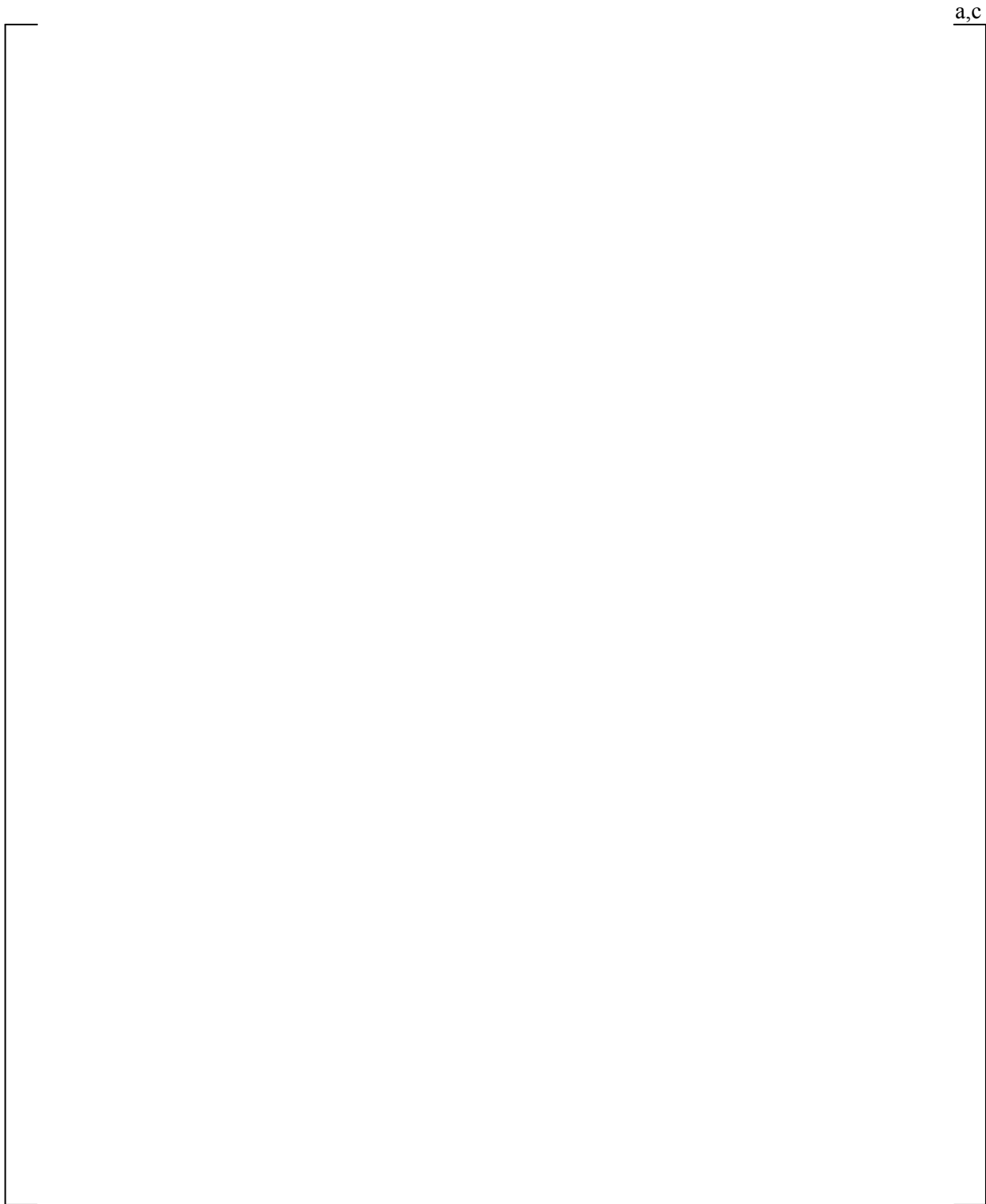


**Figure 2-2 SVEA-96 Optima3 Fuel Assembly Cross Section**

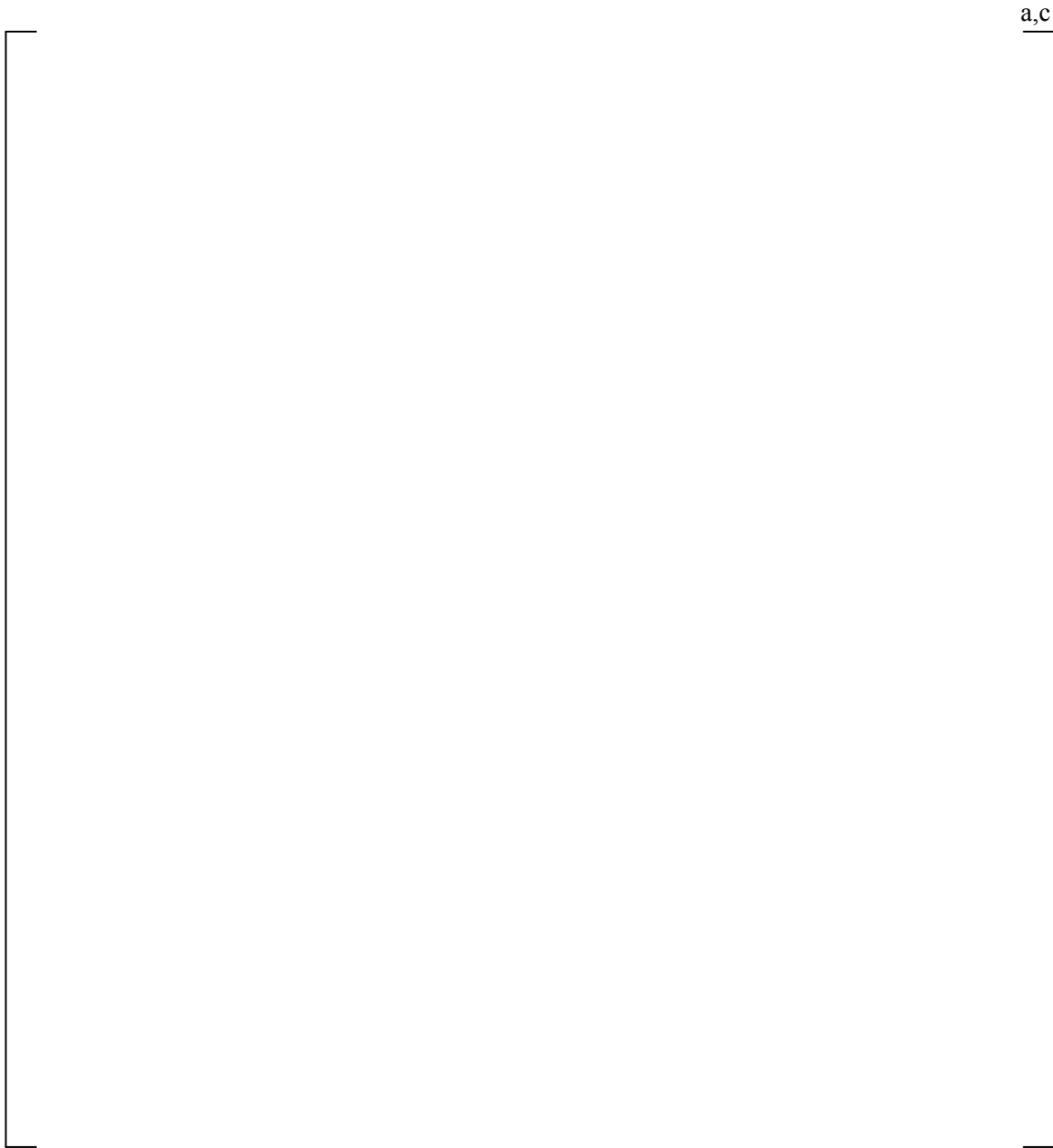


**Figure 2-3a SVEA-96 Optima3 Assembly and Control Rod Orientation in a C-lattice Plant**

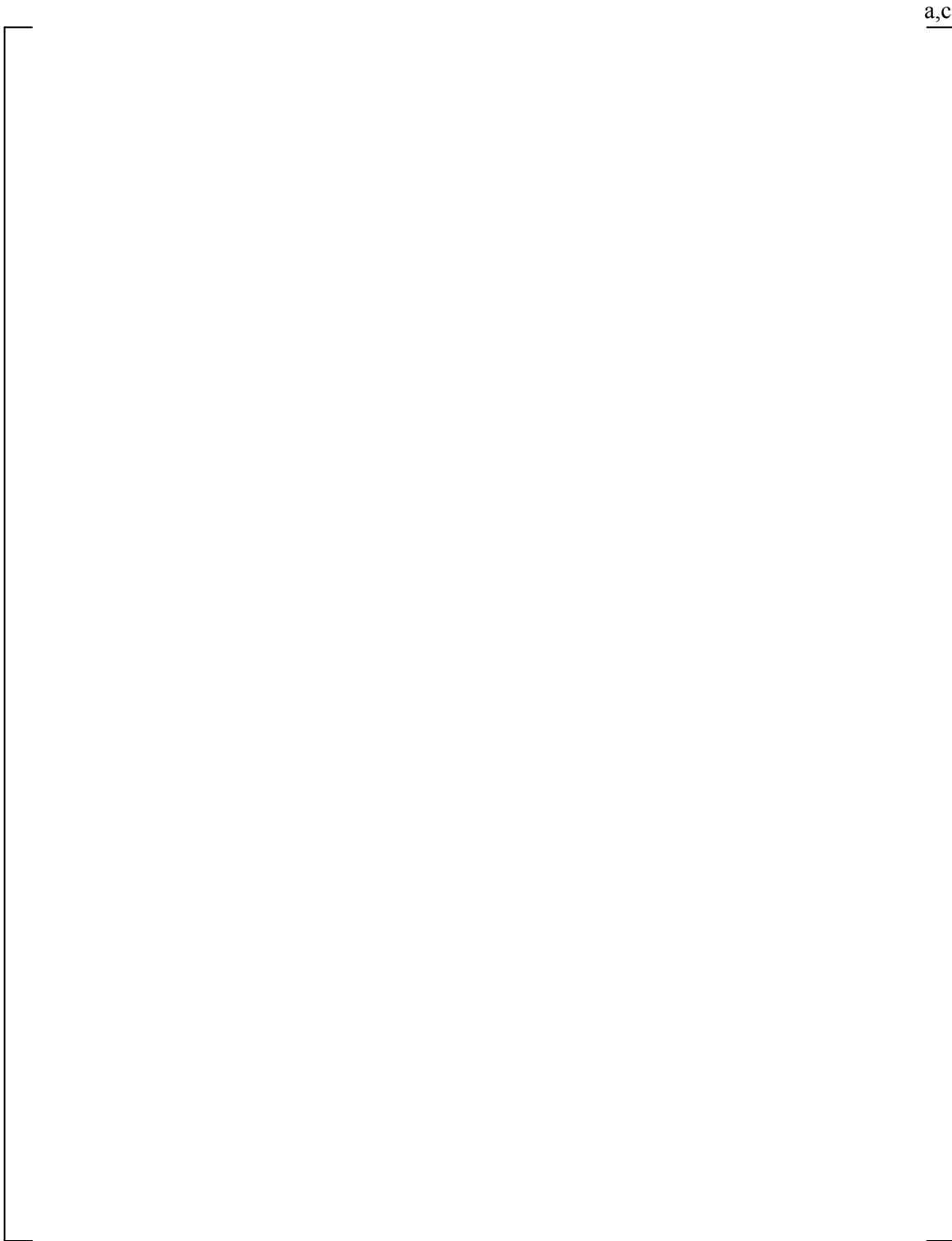




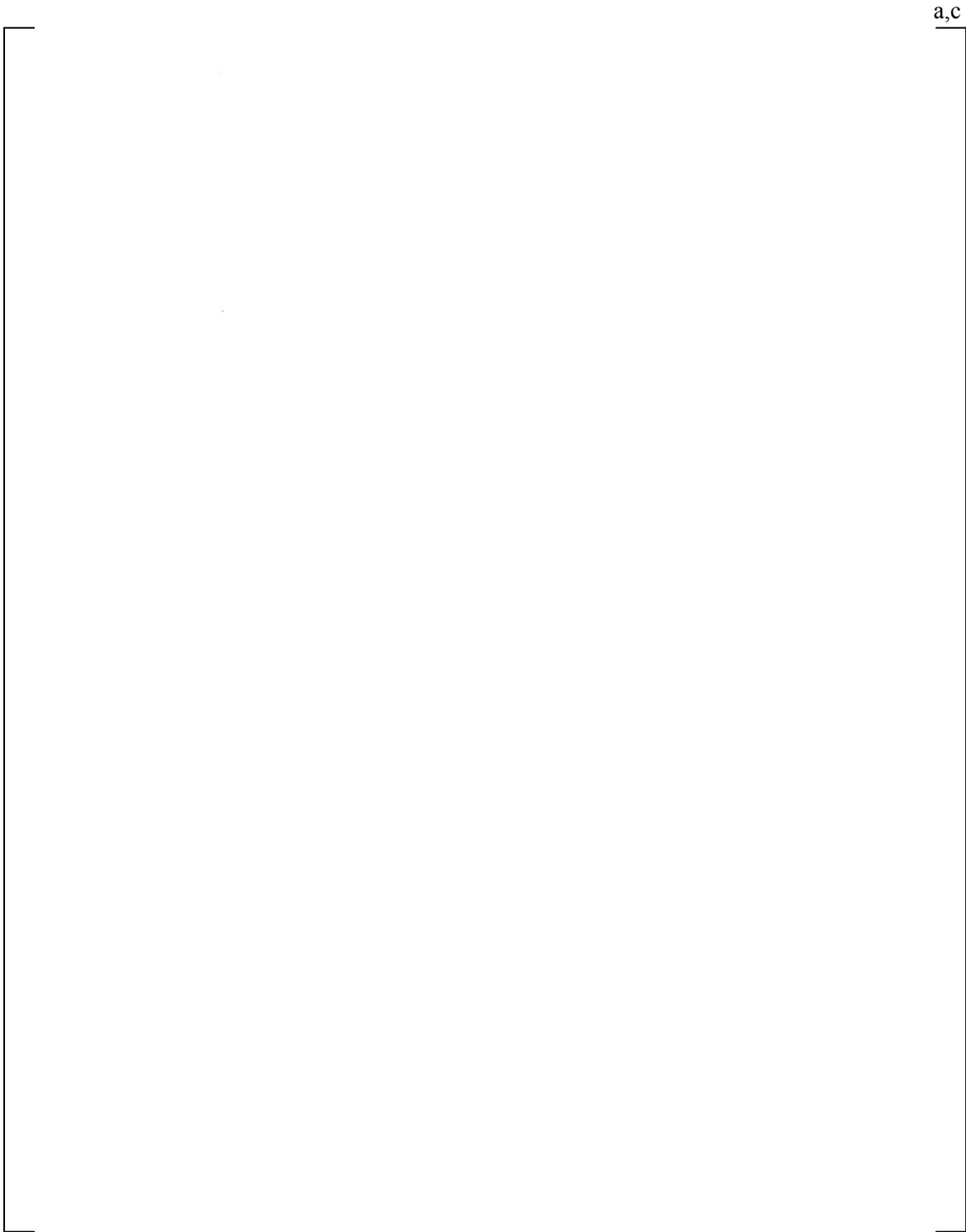
**Figure 2-3b Typical Control Rod Gap Dimensions with SVEA-96 Optima3 Fuel in a C-lattice Plant**



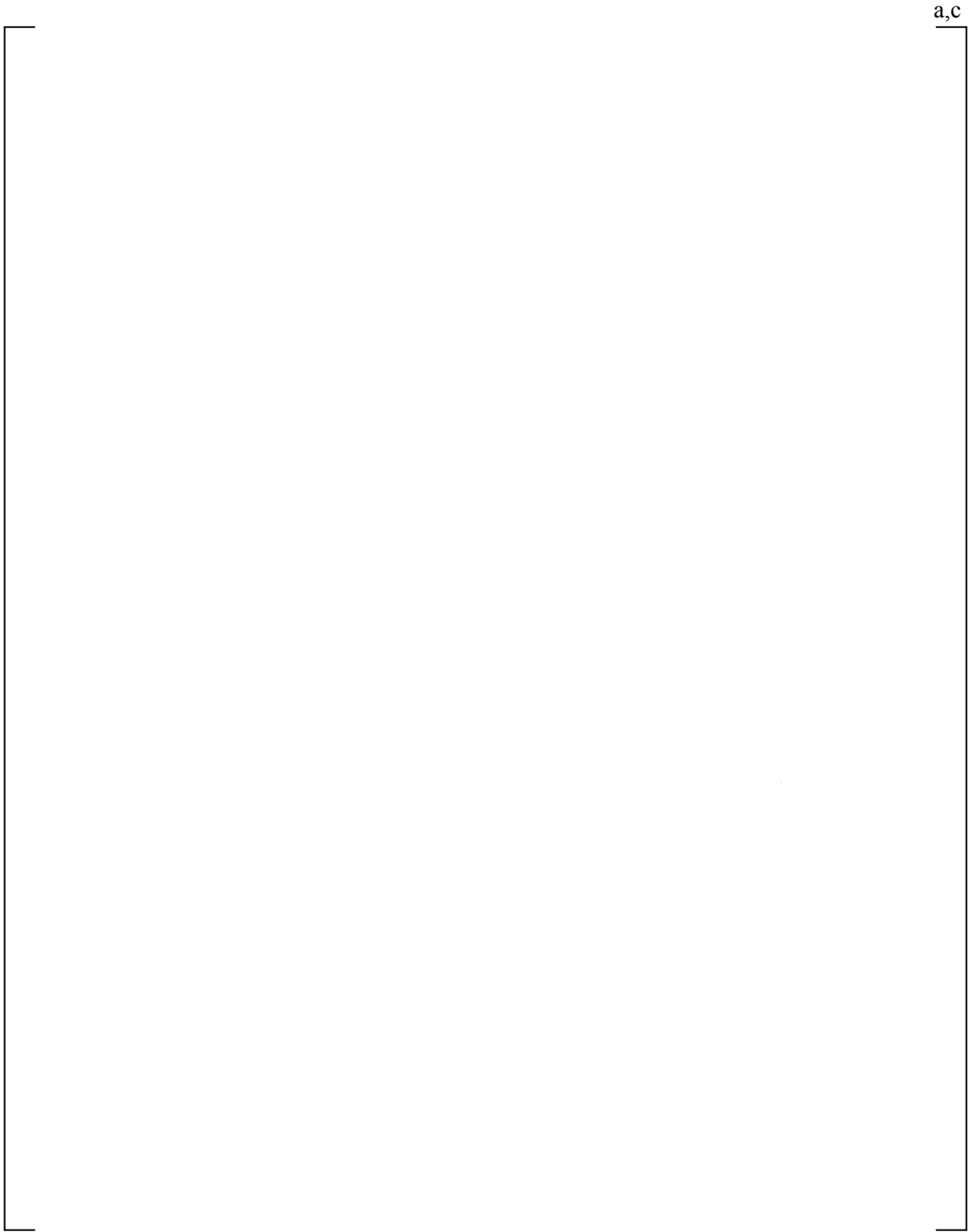
**Figure 2-4 SVEA-96 Optima3 Fuel Assembly Lattice**



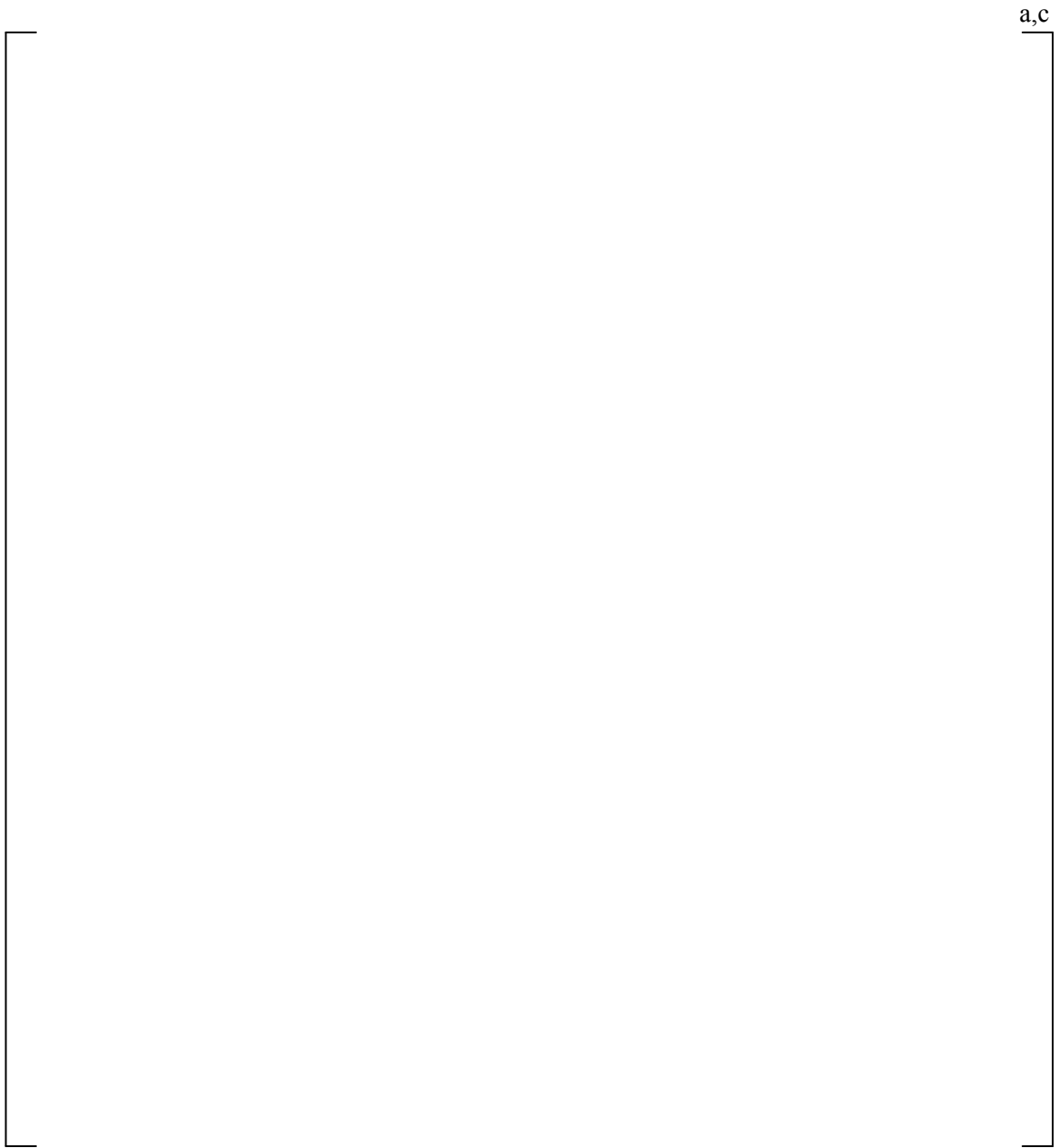
**Figure 2-5 SVEA-96 Optima3 Fuel Bundle**



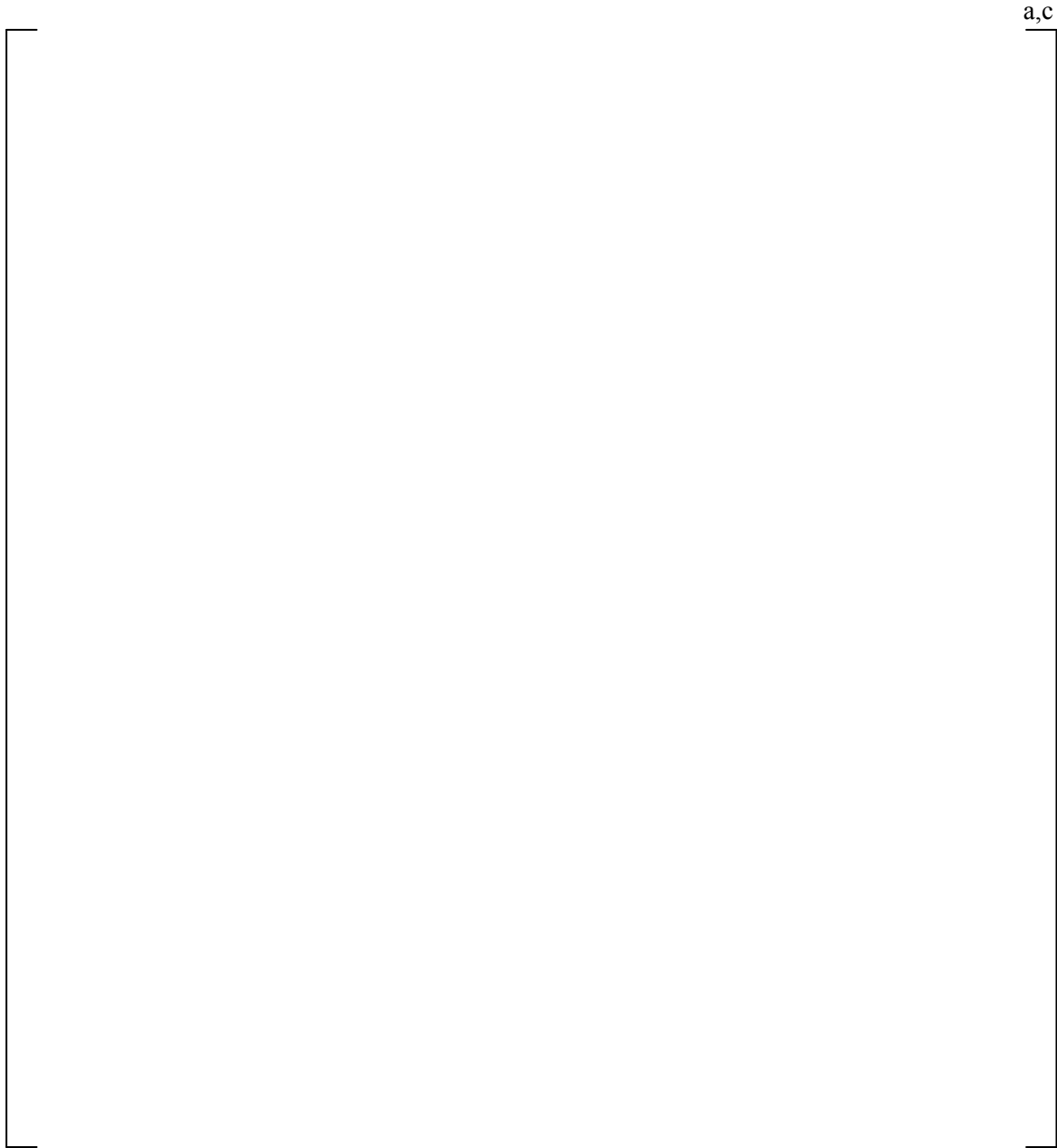
**Figure 2-6 SVEA-96 Optima3 Tie Fuel Rod**



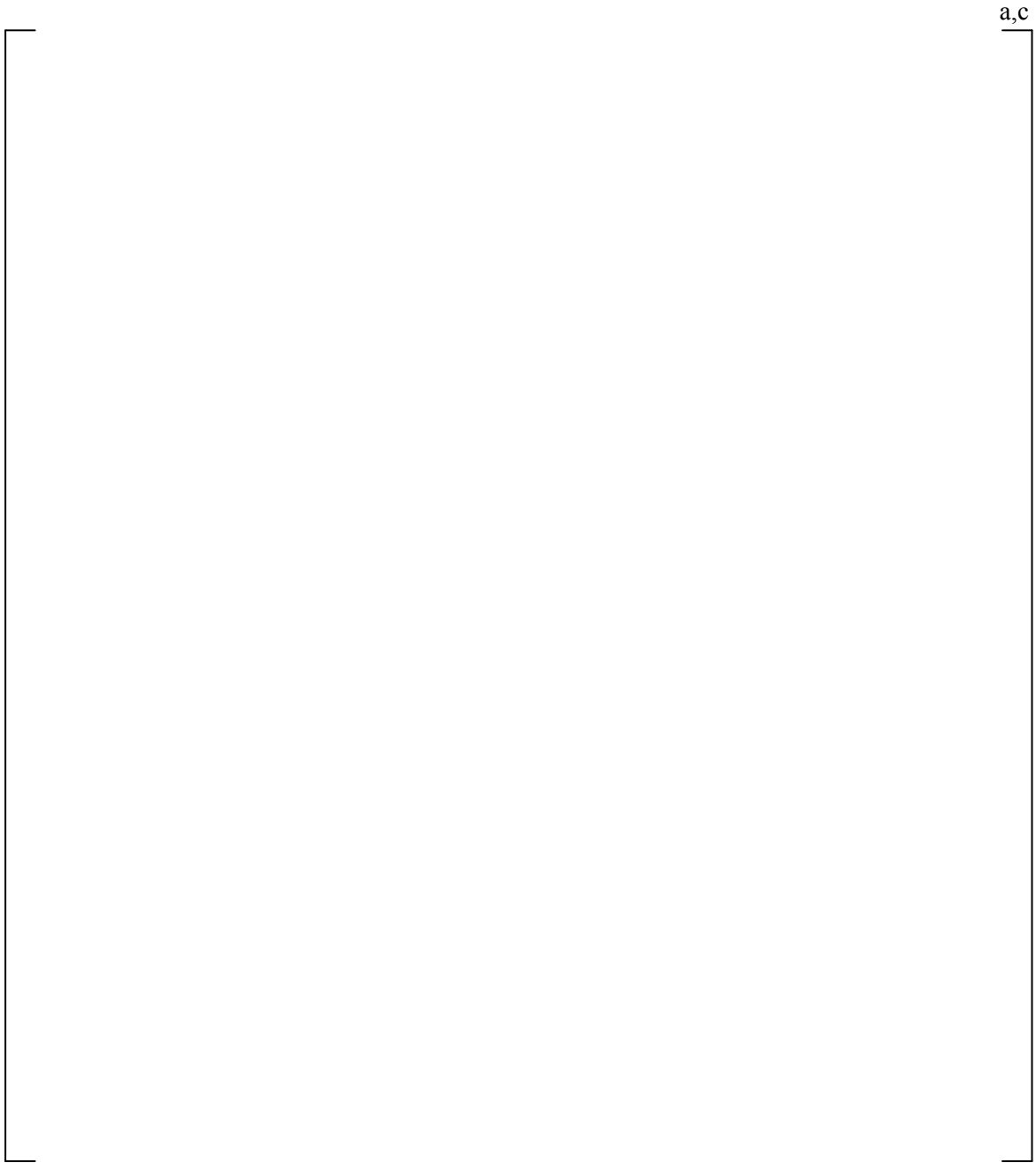
**Figure 2-7 SVEA-96 Optima3 Normal- and Part-length Rods**



**Figure 2-8 Typical Internal Compression Springs Used for the Various Rod Lengths**

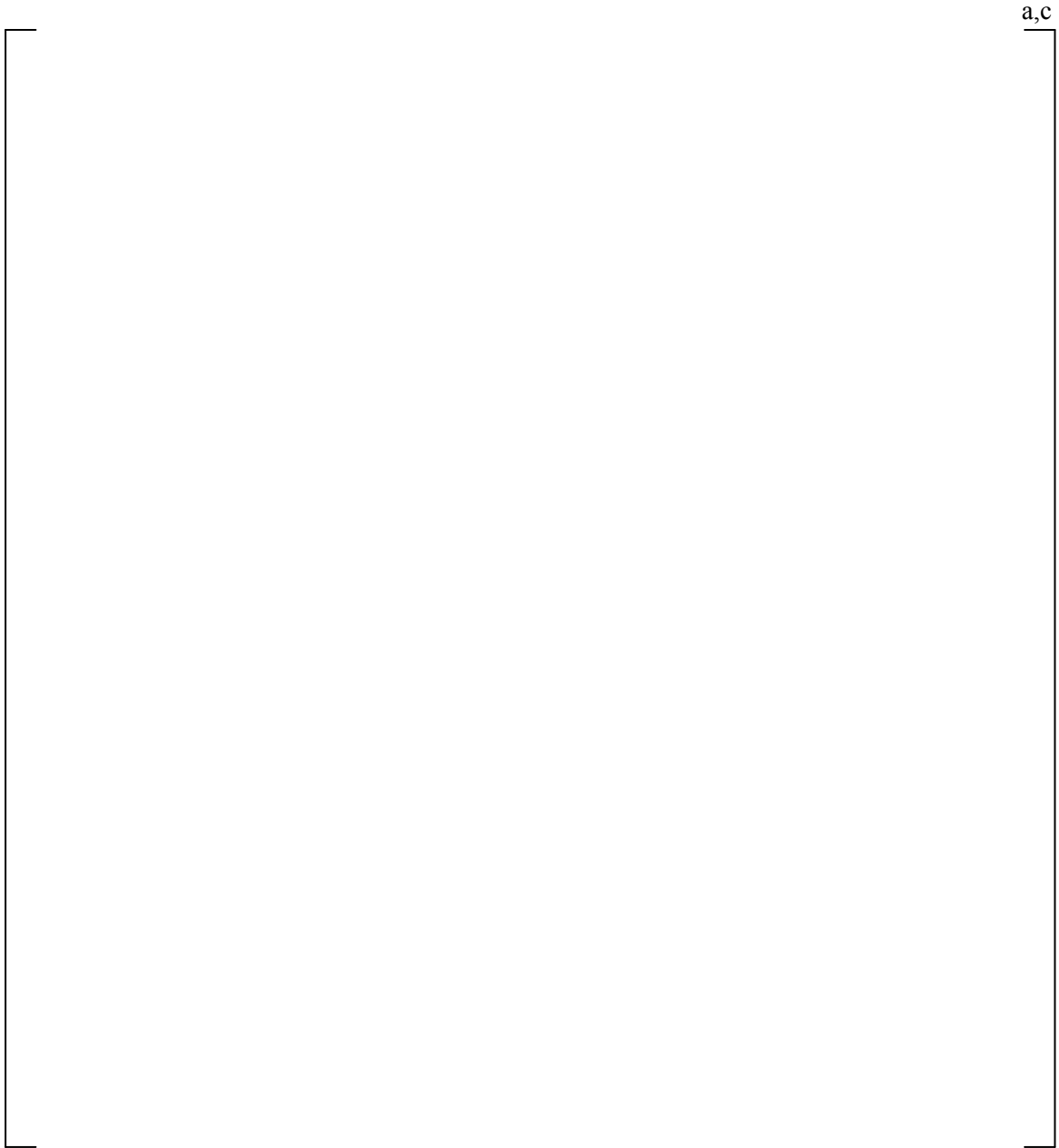


**Figure 2-9 UO<sub>2</sub> and UO<sub>2</sub>+Gd<sub>2</sub>O<sub>3</sub> Pellet Dimensions**



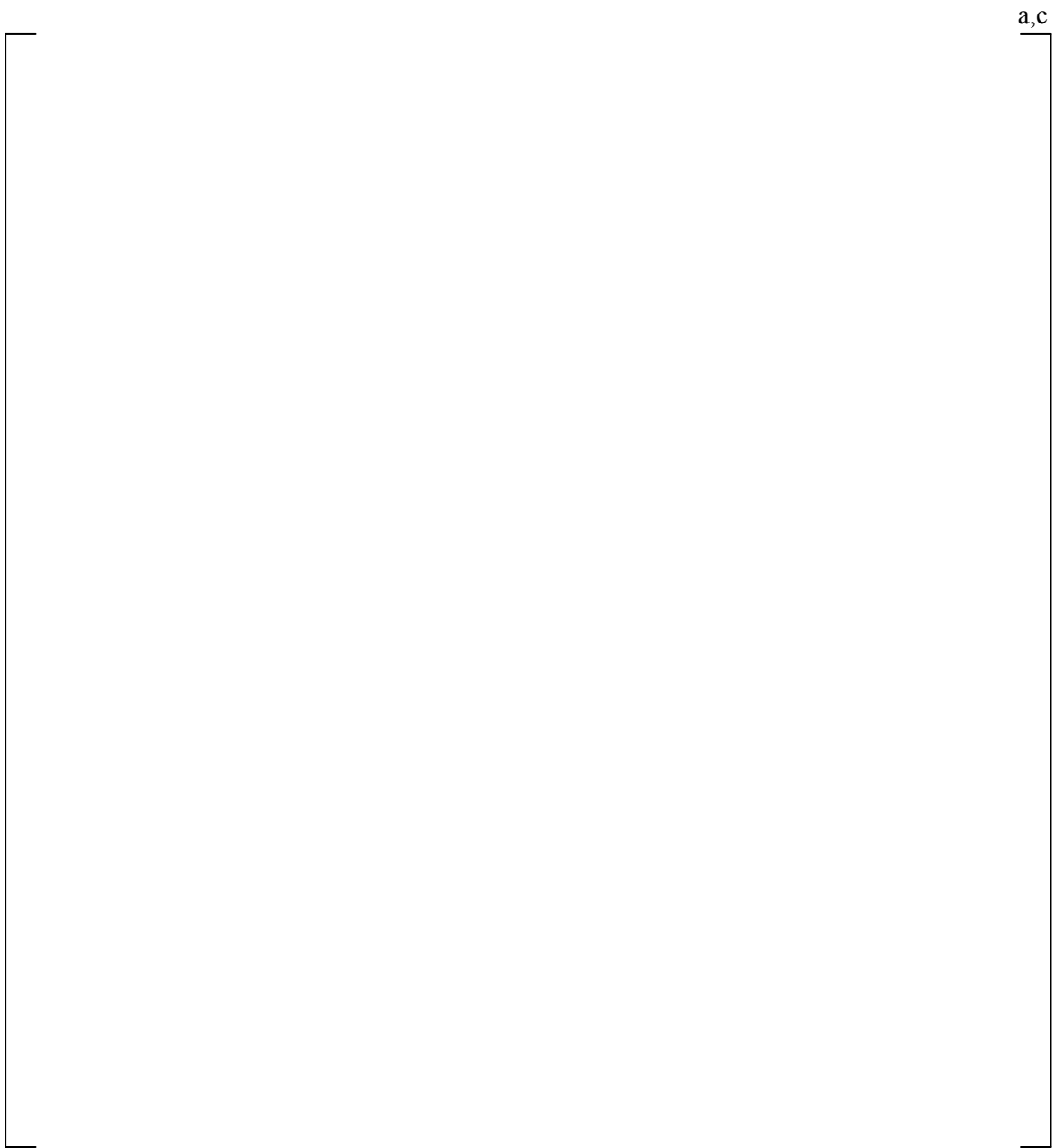
**Figure 2-10 SVEA-96 Optima3 Bottom Tie Plate**





**Figure 2-11a SVEA-96 Optima3 Spacer [**

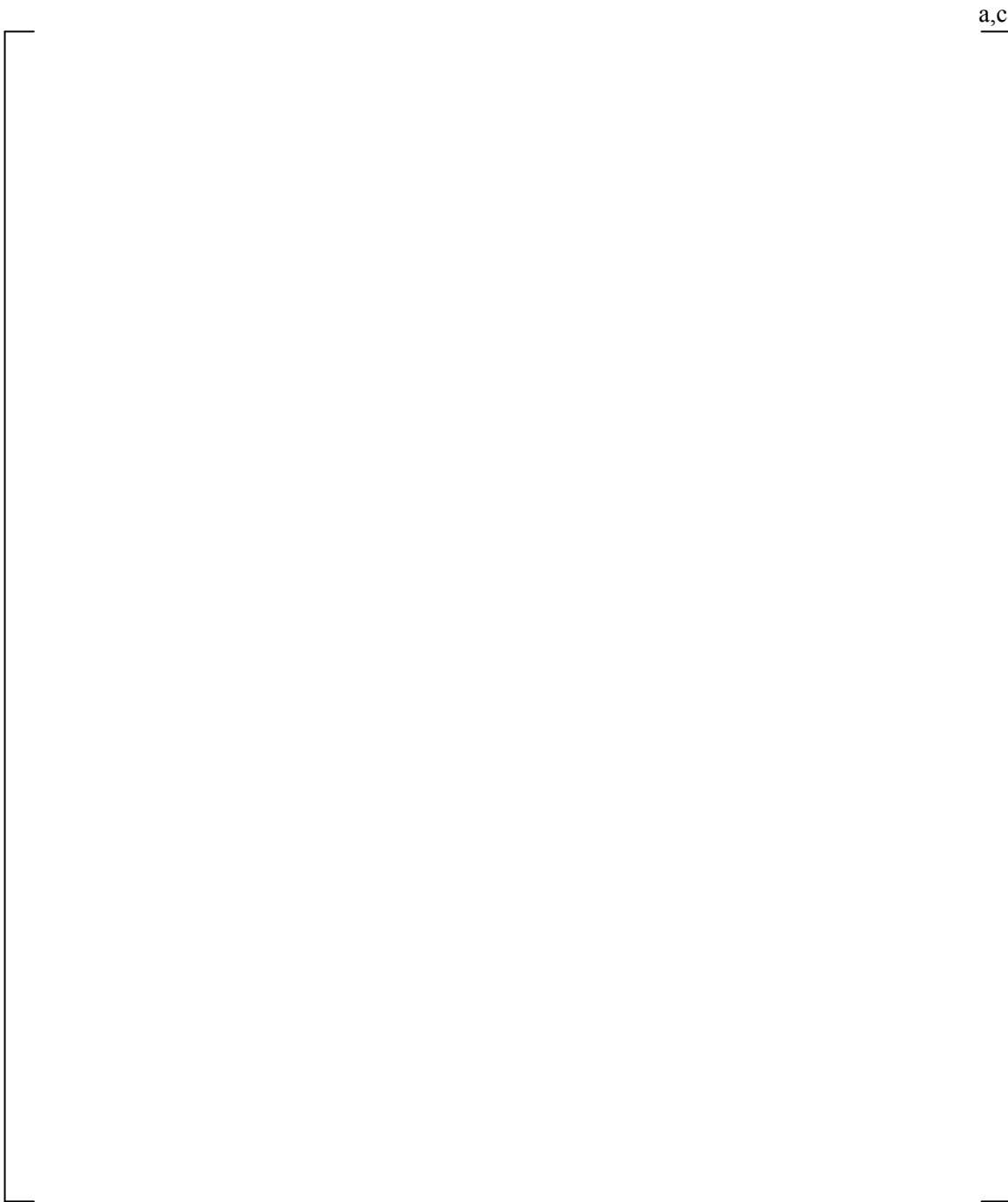
**] <sup>a,c</sup>**



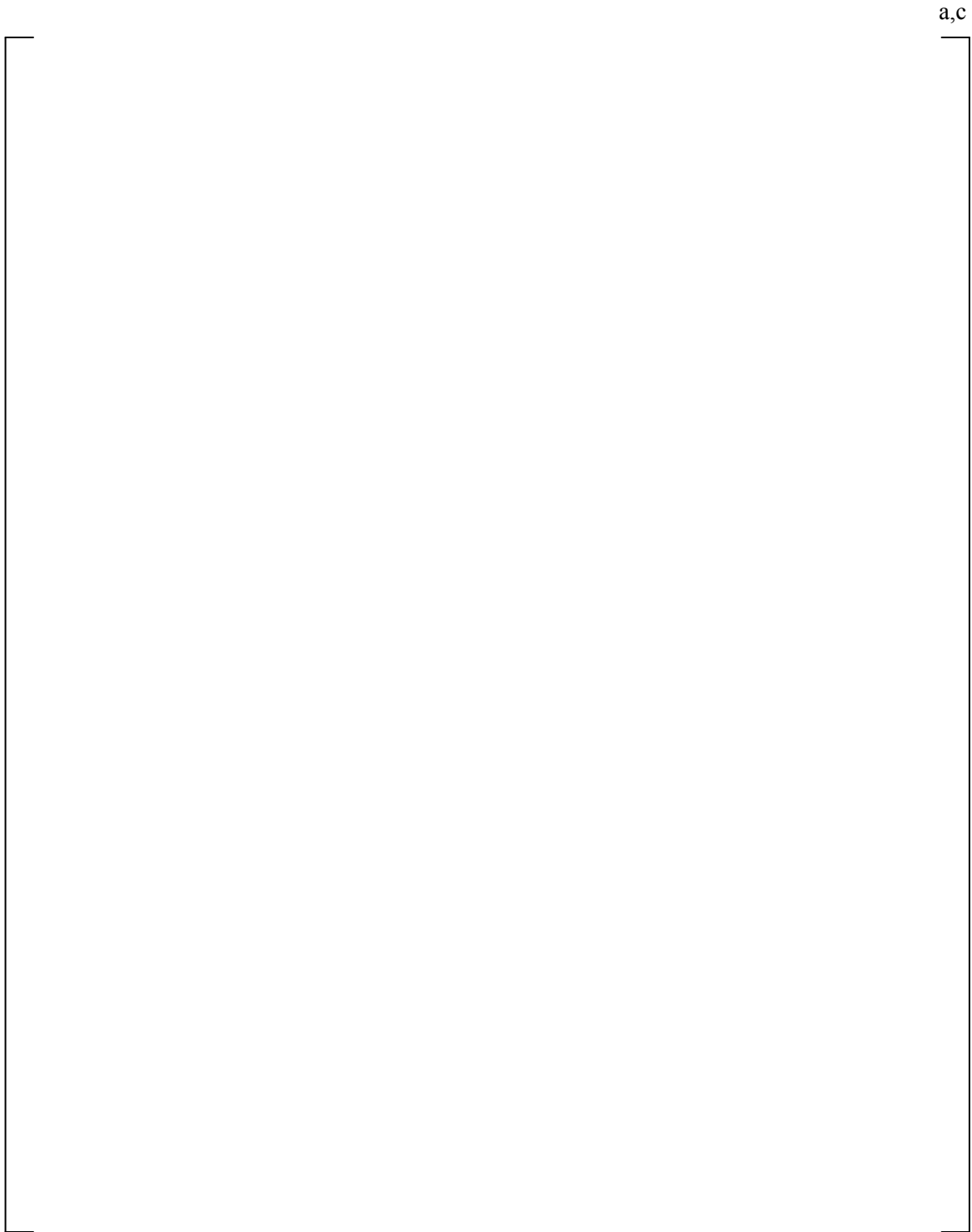
**Figure 2-11b SVEA-96 Optima3 Spacer [ ]<sup>a,c</sup>**



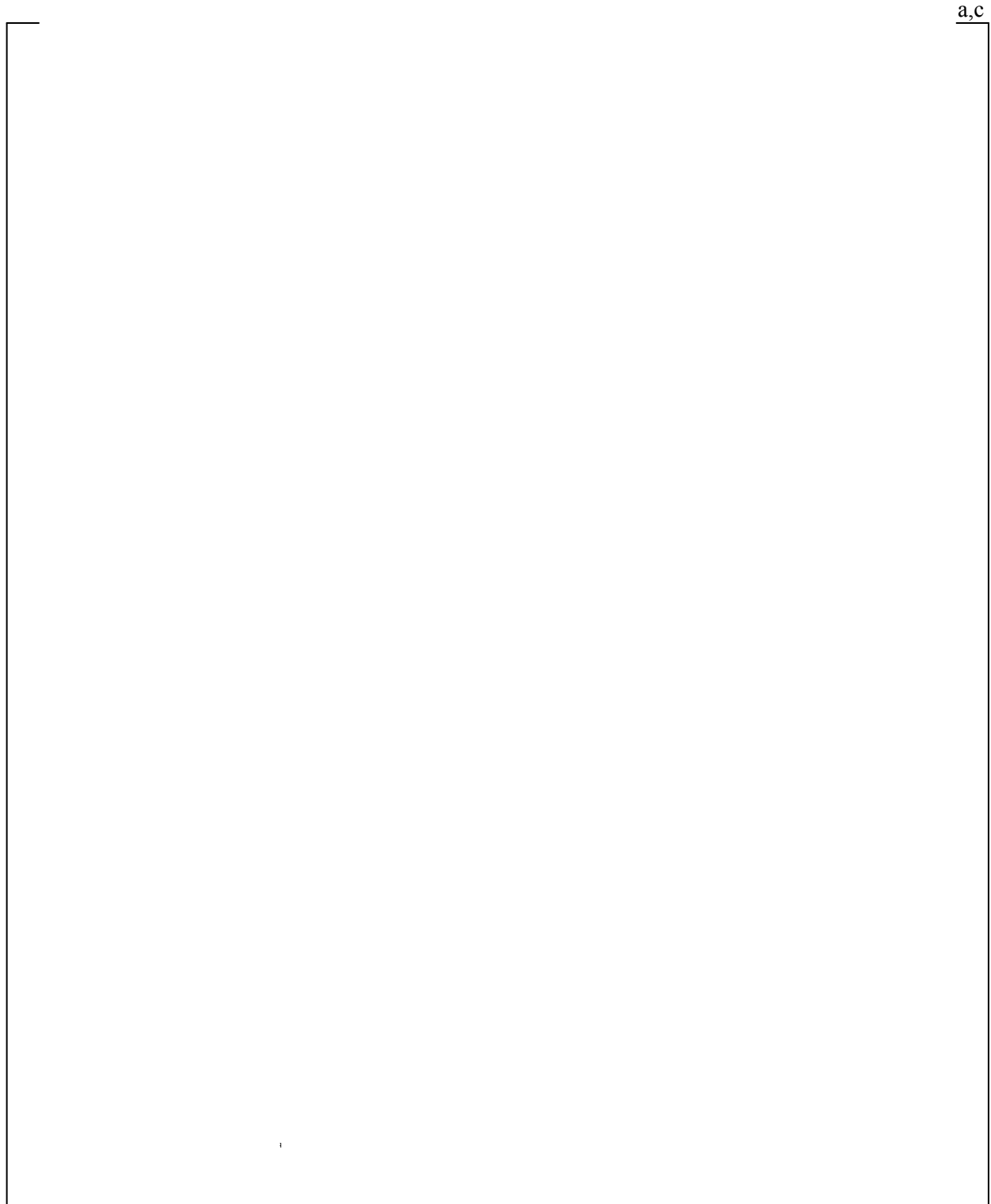
**Figure 2-12 SVEA-96 Optima3 Spacer Cell [ a,c**



**Figure 2-13 SVEA-96 Optima3 Fuel Channel**



**Figure 2-14 Bottom Support with TripleWave+™ Debris Filter**



**Figure 2-15 Mounting of Handle with Spring**

### 3 DESIGN CRITERIA

The design criteria described in WCAP-15942-P-A/CENPD-287-P-A (References 1.0 and 2.0) were applied to the reference fuel SVEA-96 Optima3 design without change, but are repeated below to facilitate the reading of this topical report.

*The principal objective of the fuel assembly mechanical design is to meet the acceptable fuel design limits of General Design Criteria (GDC) 10, the rod insertability requirements of GDC 27, and the core coolability requirements of GDC 35 (Reference 1.5). To accomplish these objectives the fuel is designed to meet the acceptance requirements outlined in SRP, Section 4.2 (Reference 1.4), relative to:*

- 1. No calculated fuel system damage for normal operation and anticipated operational transients, which includes no predicted fuel rod failure (defined as a breach of fuel rod cladding), fuel system dimensions remaining within operational tolerances, and fuel system functional capabilities not reduced below those assumed in the safety analysis; and*
- 2. Retention of fuel coolability and control rod insertion when required during postulated accidents which includes retention of rod-bundle geometry with adequate coolant channels to permit removal of residual heat considering the potential for cladding embrittlement, violent expulsion of fuel, generalized cladding melting, gross structural deformation, and extreme co-planar fuel rod ballooning.*

*The mechanical integrity design criteria below are provided in three categories:*

- 1. General design criteria to assure that all required fuel system damage, fuel rod failure, and fuel coolability issues are addressed for new assembly designs and design changes,*
- 2. Specific design criteria for the assembly components other than fuel rods to assure that the general design criteria are satisfied, and*
- 3. Specific design criteria for the fuel rods to assure that the general design criteria are satisfied.*

*Discussions of the design criteria are provided in those cases for which clarification is considered necessary.*

#### 3.1 DESIGN CRITERIA, GENERAL

##### 3.1.1 Normal Operations and AOs

###### *Criterion*

*The fuel assembly shall be designed to avoid fuel damage during normal operation including anticipated transients. The term “fuel damage” refers to fuel rod failure leading to release of radioactive material, mechanical failure of fuel assembly components, or gross geometric distortions which make the assembly unsuitable for continued operation.*

### *Discussion*

*The goal is zero failures. The design approach to achieve zero failures is to identify and eliminate to the greatest extent possible all causes of failure by establishing conservative design criteria and confirming that these criteria are satisfied. Sections 3.2 and 3.3 provide fuel assembly mechanical design criteria for assembly components other than fuel rods and for the fuel rods, respectively. These design criteria are provided for normal operations and Anticipated Operational Occurrences (AOOs) to assure that this general criterion is satisfied.*

## **3.1.2 Accident Conditions**

*The fuel assembly shall be designed to avoid unacceptable damage and maintain coolability during design basis accidents. This general criterion is satisfied by meeting the following specific criteria:*

### **3.1.2.1 Fuel Rod Mechanical Failure**

#### *Criterion*

*Mechanical fracture refers to fuel rod failure caused by external loads such as hydraulic loads and earthquakes. The fuel assemblies must withstand these external loads without fracturing the fuel cladding or causing unacceptable distortions.*

#### *Discussion*

*The methodology for evaluating fuel assembly performance and in illustration the performance of the fuel assembly for mechanical fracture under seismic/loss-of-coolant accident (LOCA) external loads are described in CENPD-288-P-A, "ABB Seismic/LOCA Evaluation Methodology for Boiling Water Fuel" (Reference 3.0).*

### **3.1.2.2 Fuel Coolability**

#### *Criterion*

*The fuel assembly design must be such that the fuel assembly retains its rod-bundle geometry with adequate clearances to permit removal of residual heat. In order to meet this general criterion, the following specific criteria are established:*

- 1. Cladding embrittlement is limited by requiring that the peak clad temperature (PCT) during a postulated LOCA be less than 1204 °C (2200 °F), and the calculated local oxidation of the cladding shall nowhere exceed 0.17 times the local cladding thickness before oxidation.*
- 2. The fuel assembly design must be such that unacceptable melting, fragmentation, and dispersal of the fuel do not occur during a postulated control rod drop accident (CRDA). Specifically, limits on the peak fuel enthalpy must be in compliance with U.S. Nuclear Regulatory Commission (NRC) requirements.*



3. *Fuel rod ballooning must be limited such that unacceptable flow blockage does not occur during a postulated LOCA.*
4. *The spacer grids must be such that large distortion or failure does not occur under a postulated seismic plus LOCA event.*

#### *Discussion*

*During normal operation and AOOs the maintenance of a coolable geometry is assured by the conformance with the design criteria in Sections 3.2 and 3.3.*

*The Westinghouse methodology for evaluating fuel coolability during postulated LOCAs is described in References 3.1 through 3.6.*

*The Westinghouse methodology for evaluating the consequences of a BWR CRDA and an illustrative application for a core loaded with Westinghouse fuel during a CRDA is described in CENPD-284-P-A, "Control Rod Drop Accident Analysis Methodology for Boiling Water Reactors: Summary and Qualification" (Reference 3.7).*

*The Westinghouse methodology for evaluation of the consequences during a seismic plus LOCA event is given in Reference 3.0.*

### **3.1.2.3 Clad Bursting**

#### *Criterion*

*Unacceptable rupture of the cladding shall not occur during a postulated LOCA.*

#### *Discussion*

*The Westinghouse methodology for evaluating fuel rupture during postulated LOCAs is described in References 3.1 through 3.6.*

### **3.1.2.4 Excessive Fuel Enthalpy**

#### *Criterion*

*The number of fuel rods predicted to reach assumed fuel failure thresholds during a CRDA shall be input to a radiological evaluation. The assumed failure threshold(s) must be in compliance with NRC requirements.*

#### *Discussion*

*The Westinghouse methodology for evaluating the consequences of a BWR CRDA and an illustration of the application methodology are described in Reference 3.7.*

### 3.1.3 Evaluation Methodology

#### *Criterion*

*The methodology utilized for evaluation of the fuel assembly and fuel rod mechanical performance of the assembly relative to the design bases will be provided to the NRC for review and approval.*

#### *Discussion*

*The policy of NRC review of design bases and evaluation methodology is identified in the SRP and is consistent with past practice.*

### 3.1.4 New Design Features

#### *Criterion*

*All new designs and design features will be evaluated with the methodology accepted by the NRC relative to the approved design bases.*

*Significant new design features will be tested prior to full reload application.*

*The NRC will be notified of the first application of new fuel designs prior to loading into a reactor. New fuel designs and design features will be provided to the NRC for information as supplements to a topical report.*

#### *Discussion*

*New design features will be tested with out-of-reactor prototype testing, with Lead Fuel Assemblies, or with a combination of both approaches. As illustrated in Section 7, Westinghouse practice is to utilize Lead Fuel Assembly programs extensively to confirm satisfactory performance of new designs and design features.*

### 3.1.5 Post-Irradiation Fuel Examination

#### *Criterion*

*Sufficient post-irradiation fuel examination will be performed to confirm that the fuel, including fuel assemblies with new design features, are operating as expected.*

#### *Discussion*

*The post-irradiation surveillance program described in Section 9 has been fashioned to meet the guidance provided in the SRP. As illustrated by the extensive inspections of the various 10x10 SVEA designs to date discussed in Section 7, the primary thrust has been on a generic post-irradiation inspection program.*

### 3.1.6 New Safety Issues

#### *Criterion*

*Each new safety issue identified by Westinghouse or the NRC, which is related to fuel, will be evaluated relative to the existing Westinghouse design criteria and methodology to confirm that it is properly addressed. If the new issue is not properly addressed, new criteria or revised methodology will be submitted to the NRC for review.*

### 3.1.7 Failure to Satisfy Criteria

#### *Criterion*

*Any new fuel design feature which does not meet the approved design criteria will be submitted to the NRC for review.*

#### *Discussion*

*Any additional information required for the review of the non-conforming feature will be submitted to assist NRC staff review.*

### 3.1.8 Burnup

#### *Criterion*

*Assembly and fuel rod burnups shall be limited. Burnup limits are based on operational experience or experimental data which are sufficient to demonstrate the satisfactory performance of the assemblies to those burnups or confirm the satisfactory application of the analytical models to those burnups.*

#### *Discussion*

*An important aspect of the Westinghouse mechanical design evaluation methodology is the use of experimental and plant operating data to support analytical modeling and direct confirmation of adequate performance of the design to specific burnup values. Westinghouse design burnup limits are established based on in-plant experience typically utilizing Lead Fuel Assemblies. Prototype ex-core testing is utilized to augment the in-reactor program in supporting analytical predictions with a firm experimental database.*

## 3.2 DESIGN CRITERIA, FUEL ASSEMBLY COMPONENTS

*This section provides design criteria for fuel assembly components and combinations of components. Design criteria for the fuel rods themselves are provided in Section 3.3.*

### 3.2.1 Compatibility with Other Fuel Types and Reactor Internals

#### *Criterion*

*The external envelope and positioning of the fuel assembly shall be mechanically compatible with other fuel types as well as core components such as control rods, the fuel support piece, and the core grid. "Mechanical compatibility" is defined as that characteristic of the assembly which assures that the other fuel assembly types and the core components shall not damage or be damaged by the presence of the assembly. Compatibility must be maintained for the design life of the fuel.*

*The fuel assembly must also be compatible with plant fuel storage facilities and handling equipment.*

### 3.2.2 Geometric Changes in the Assembly during Operation

#### *Criterion*

*Changes in the geometry of the fuel assembly components must not cause unacceptable interferences or impair the performance of the assembly. Dimensional changes of the assembly and its components as a function of burnup must be included in the design analysis. The effects of irradiation induced growth of fuel rods and channels, growth resulting from loads, bowing, spring relaxation, and creep are included. The mechanical and thermal-hydraulic functions of the bundle must not be impaired by geometrical distortions. The design shall provide sufficient space for unrestricted growth to occur.*

*The design shall provide sufficient clearances to accommodate differential axial growth of the fuel rods for the design life of the assembly.*

### 3.2.3 Transport and Handling Loads

#### *Criterion*

*The assembly design shall be such that shipping and handling loads, including acceleration loads, do not cause damage to the fuel assembly. The spacer grids and fuel pellets shall not be significantly affected when transport and handling procedures are complied with.*

### 3.2.4 Hydraulic Lifting Loads during Normal Operation and AOOs

#### *Criterion*

*The maximum hydraulic lift loads on the assembly during normal operations and AOOs shall not exceed the hold down capability of the fuel assembly.*

#### *Discussion*

*Assembly lifting loads resulting from accident conditions are addressed in Reference 3.0.*

### 3.2.5 Stress and Strain during Normal Operation and AOOs

#### *Criterion*

*Mechanical failure of assembly components shall not occur. Assembly component dimensions must be maintained within operational tolerances, and functional capabilities shall not be reduced below those assumed in the safety analysis. This criterion is implemented by establishing design limits for stresses, alternatively using collapse load analysis criteria, in accordance with Reference 1.3 to assure that failure does not occur and that component dimensions and functional capabilities remain within acceptable limits.*

#### *Discussion*

*Specific stress limits and collapse load analysis criteria are based on Reference 1.3. Strain limits are not identified specifically for components other than the fuel rod cladding but are implicit in the stress limits as well as the functional design requirements on compatibility and dimensional changes stated in Sections 3.2.1 and 3.2.2.*

### 3.2.6 Fatigue of Assembly Components during Normal Operation and AOOs

#### *Criterion*

*The design criterion on assembly component fatigue is that fatigue failure of assembly components shall not occur during normal operation and AOOs.*

### 3.2.7 Fretting Wear of Assembly Components

#### *Criterion*

*Fretting wear at contact points on the structural members of the assembly should be limited in an environment free of foreign material such that the function of the assembly is not impaired. No specific design limit is applied, but any significant component wear must be accounted for in evaluating the component relative to stress and fatigue limits.*

*Fuel rod failure due to fretting in an environment free of foreign material shall not occur.*

#### *Discussion*

*The primary fretting wear concern is fuel rod wear. However, this design criterion is also applied to the other assembly components to assure that this aspect is addressed in evaluating new designs and design changes.*

*This design criterion is primarily intended to provide that the design of the fuel rods and spacer grids shall be such that damaging wear is avoided and failures due to fretting wear between fuel assembly components is precluded.*

---

*This design criterion does not address fretting wear due to foreign material in the reactor.*

### **3.2.8 Corrosion of Assembly Components**

#### *Criterion*

*Corrosion of structural assembly components must be accounted for when evaluating the functionality, stress, and dimensional design criteria.*

*The impact of corrosion products (crud) on assembly components should be limited to avoid undue radioactive contamination of the primary system.*

#### *Discussion*

*The impact of crud formation on the assembly components must also be addressed in the thermal-hydraulic evaluation. This effect is addressed in Reference 1.1.*

### **3.2.9 Hydriding of Zircaloy Assembly Components other than Fuel Rods**

#### *Criterion*

*Hydriding of Zircaloy structural components should be limited to avoid unacceptable strength losses. The impact of hydriding on evaluated stresses in structural components shall be addressed.*

## **3.3 DESIGN CRITERIA, FUEL RODS**

### **3.3.1 Rod Internal Pressure**

#### *Criterion*

*The design criterion for fuel rod internal pressure requires that the internal pressure of the fuel rod shall not exceed a value which would cause the outward cladding creep to increase the diametrical fuel pellet-cladding gap. This value of fuel rod internal pressure is defined to be that internal pressure which causes the outward cladding creep rate to exceed the fuel effective swelling rate. This requirement is referred to as "the lift-off criterion".*

#### *Discussion*

*This criterion is based on the recognition that the physical phenomenon to be avoided is an increase in the pellet-to-cladding gap at high burnups which could cause a rapid fuel pellet temperature increase and fission gas release resulting from the thermal feedback mechanism associated with an increasing gap. This criterion is believed to meet the intent of the SRP guidance. The fuel rod internal pressure must be limited to avoid an increase in gap size which could cause positive thermal feedback and rapidly increasing pellet temperatures. The Westinghouse criterion is considered to more directly address this issue than the requirement suggested in the SRP that fuel and burnable poison rod internal gas pressure remain below the nominal system pressure during normal operation.*

### 3.3.2 Cladding Stresses

#### *Criterion*

*Fuel rod stresses must be maintained within acceptable limits. This criterion is implemented by establishing design limits for stresses in accordance with Reference 1.3 to assure that failure does not occur and that stresses on the fuel rod remain within acceptable limits.*

### 3.3.3 Cladding Strain

#### *Criterion*

*The total transient induced elastic and plastic cladding circumferential strain should not exceed 1%. In this context, total transient induced strain is the elastic and plastic strain which can occur during normal operation and AOOs excluding the effects of steady-state creep down and irradiation growth.*

#### *Discussion*

*These criteria result from the requirements that the fuel rods shall not be damaged due to excessive fuel cladding strains. The 1% limit on cladding strain is in compliance with Reference 1.4, SRP Section 4.2.*

### 3.3.4 Hydriding

#### *Criterion*

*Clad hydriding from waterside corrosion and internal sources shall be maintained sufficiently low that premature cladding failure shall not occur due to hydrogen embrittlement.*

#### *Discussion*

*This design criterion augments the 1% transient strain criterion by providing a limitation on the loss of ductility at high burnups. Excessive loss of ductility at high burnups could in principal allow fuel rod failure without exceeding the 1% uniform strain criterion. Limitation of the cladding oxidation will limit clad hydriding and, concomitantly, limits the loss of ductility associated with hydriding.*

### 3.3.5 Cladding Corrosion

#### *Criterion*

*Clad corrosion must be limited to assure that excessive cladding corrosion does not lead to premature fuel rod failures due to excessive metal thinning or excessive cladding temperatures. The effect of cladding corrosion shall be included in the thermal-mechanical evaluation of the cladding.*

### **3.3.6 Cladding Collapse (Elastic and Plastic Instability)**

#### *Criterion*

*Cladding collapse shall not occur during the design life of the fuel rod. Cladding collapse or “elastic and plastic instability” refers to the pressure across the tubing walls at which the cladding will buckle in the elastic and plastic ranges.*

### **3.3.7 Cladding Fatigue**

#### *Criterion*

*Cladding fatigue shall not cause fatigue damage during normal operation and AOOs. The fatigue evaluation shall account for the effects of cladding corrosion.*

### **3.3.8 Cladding Temperature**

#### *Criterion*

*Cladding overheating during normal operation and AOOs shall not cause fuel rod failure.*

### **3.3.9 Fuel Temperature**

#### *Criterion*

*The maximum centerline pellet temperature shall remain below the melting temperature of the fuel during normal operations and AOOs.*

### **3.3.10 Fuel Rod Bow**

#### *Criterion*

*Excessive fuel rod bowing shall be precluded for the design life of the fuel assembly. Fuel rod bowing shall be evaluated, and the impact on fuel rod performance shall be accounted for, if necessary, in the thermal and mechanical evaluation of the fuel rods and the assembly. Fuel rod bow shall not lead to loss of integrity due to cladding overheating.*



## 4 DESIGN METHODOLOGY AND SVEA-96 OPTIMA3 EVALUATION

The design methodology described in WCAP-15942-P-A/CENPD-287-P-A/WCAP-15942-P Supplement 1 (References 1.0, 2.0, and 4.3) was applied to the reference fuel SVEA-96 Optima3 design without change with the exception of a few improvements identified in Section 4.3.

*This section provides the Westinghouse methodology for evaluation of fuel assembly mechanical integrity for normal operation and AOOs relative to the design criteria given in Section 3. The evaluation methodology for accident conditions is covered in References 3.0 through 3.7 and 4.0 and summarized in Reference 1.1.*

*An evaluation of the fuel assembly relative to the design criteria provided in Section 3 is performed for each plant application. If appropriate conditions such as plant operating conditions, burnup requirements, and assembly design do not change, a single evaluation can be applied to all cycles for a given plant for many of the criteria. Similarly, if appropriate conditions such as core and plant operating conditions and design, burnup requirements, and assembly design do not change substantially, a single evaluation can be applied to more than one plant for many of the criteria. Therefore, whenever possible, sufficiently conservative conditions are assumed to accommodate conditions from cycle-to-cycle for each plant or for more than one plant.*

*In addition to the methodology description, the Westinghouse methodology described in this report is applied to the SVEA-96 Optima3 assembly as an illustration. This illustration is provided to help the reader understand the methodology and to provide an indication of the margins relative to the design criteria inherent in the SVEA-96 Optima3 design. It should be noted that the design criteria in Section 3 and the methodology in this section are general and can be applied to any BWR assembly for which the supporting information is available.*

*The sample design evaluations demonstrate that the criteria are satisfied up to a [ ]<sup>a,c</sup>*

*This section is organized in the same manner as Section 3. The evaluation methodology for any assembly design and the sample application to SVEA-96 Optima3 are provided in Sections 4.2 and 4.3 for each of the specific criteria in the order in which they appear in Sections 3.2 and 3.3. The correspondence between the subsection numbers in Sections 3.2/4.2 and 3.3/4.3 is consistent. Supporting information in Section 4.3 which does not directly correspond to any criteria in Section 3.3 has been provided in Section 4.3.1.*

### **Mechanical Properties**

*The materials used in the SVEA-96 Optima3 BWR fuel assembly are identified in Section 5. As indicated in Section 7, these materials are proven and have had extensive in-reactor experience in domestic and foreign BWRs.*

*The Westinghouse practice is to utilize the best available mechanical property data for the various materials in the assembly for the design evaluations. The mechanical properties utilized in the design evaluations are based on open literature sources, such as those given in NUREG/CR-0497, "A Handbook*

*of Materials Properties for Use in the Analysis of Light Water Reactor Fuel Rod Behavior” (Reference 4.2), Westinghouse materials specifications, Westinghouse measurement data, and data provided by suppliers. The material properties for the fuel cladding and UO<sub>2</sub> and UO<sub>2</sub>-Gd<sub>2</sub>O<sub>3</sub> fuel pellets used in the fuel rod performance evaluations are discussed in Reference 1.2.*

*Typical properties for unirradiated Zircaloy, **Low Tin ZIRLO™** channel material, and Stainless Steel components currently used for the fuel assembly design evaluations are provided in Table 4-1.*

[

]<sup>a,c</sup>

*The dependence of irradiation on cladding yield and tensile strength are specifically treated in STAV7.2 and are based on Reference 4.2 as described in Reference 1.2 Appendix A.*

*When unirradiated values are utilized for irradiated components, the effects of irradiation are treated conservatively. For example, conservative estimates of the increase in outer channel and water cross peak stresses associated with wall thinning due to corrosion are assumed. However, the yield and tensile strengths are expected to increase by factors of [*

]<sup>a,c</sup>

### ***Design Stress Intensities***

*Mechanical properties, such as those discussed in Table 4-1, are used to establish stress limits defined by the design bases for the design evaluations of the assembly and assembly components.*

*Stress limits are based on Reference 1.3. [*

]<sup>a,c</sup>

[

] <sup>a,c</sup>

The design stress intensity,  $S_m$ , for [

] <sup>a,c</sup>

The design stress intensity,  $S_m$ , [

] <sup>a,c</sup>

$Rp_{0.2}$  is the 0.2% offset yield strength. [

] <sup>a,c</sup>

The specified minimum tensile and yield strengths at material temperature are used unless specific data are available to support the use of less conservative values.

Sample design stress intensities,  $S_m$ , are shown in Table 4-1 and are derived in this manner and based on the mechanical properties which are also provided.

The fuel assembly structural component stresses under accident conditions are evaluated using the methods outlined in Appendix F of Reference 1.3. The stress intensities ( $S_m$ ) are defined in accordance with the rules described above for normal operating and anticipated operational transient conditions.

[

] <sup>a,c</sup>

These limits need not be satisfied at a specific location if it can be shown that the design loadings do not exceed  $2/3^{rd}$  of the test collapse load determined in compliance with Section III of Reference 1.3.

Unless otherwise stated, stress intensities are calculated with the Tresca criterion specified in the Reference 1.3:

$$S = \text{Maximum}\{|\sigma_1 - \sigma_2|, |\sigma_1 - \sigma_3|, |\sigma_2 - \sigma_3|\}, \text{ where the } \sigma_i \text{ are the principal stresses.}$$

*Under certain circumstances, which are identified in the text, stress intensities are calculated with the Von Mises criterion:*

$$S = 1/\sqrt{2} [(\sigma_1 - \sigma_2)^2 + (\sigma_1 - \sigma_3)^2 + (\sigma_2 - \sigma_3)^2]^{1/2}$$

### ***Design Loads***

*Design loads are established to provide conservative evaluation of the assembly and fuel rod performance in a given application relative to each design basis to assure that the design basis is satisfied during service. Selection of design loads are discussed in the following sections as part of the methodology for evaluating performance relative to each of the applicable design bases.*

## **4.1 METHODOLOGY FOR EVALUATION OF GENERAL DESIGN CRITERIA**

*The design criteria in Sections 3.1.3 through 3.1.8 provide controls governing fuel assembly design evaluation. These controls are administrative, and identification of technical methods for their evaluation is not applicable.*

## **4.2 FUEL ASSEMBLY COMPONENTS EVALUATION**

### **4.2.1 Compatibility with Other Fuel Types and Reactor Internals**

#### ***Methodology***

*For each plant application of a Westinghouse fuel assembly type (e.g. SVEA-96 Optima3) and each application involving a mixed core with fuel other than that fuel assembly type (e.g. fuel manufactured by a different vendor), an evaluation is performed to confirm compatibility with other fuel types and reactor internals. Specifically, this evaluation addresses the following compatibility considerations for the design lifetime of the assembly:*

#### **1. Geometrical Compatibility with Other Fuel Types in the Core**

*A systematic evaluation of the relative positions of the Westinghouse fuel assembly type and other resident adjacent fuel assembly types over the design life of both fuel assembly types is performed. [*

]<sup>a,c</sup>

2. *Geometrical Compatibility with Control Rods and Detectors*

*Clearances to control rods and in-core detectors are evaluated for the design lifetime of the fuel. Satisfactory clearances to, or interferences with, control rods and detectors, are specifically confirmed. [*

*]*<sup>a,c</sup>

***Creep Deformation***

[

<sup>a,c</sup>

[

] <sup>a,c</sup>**Channel Bow**

*The effect of channel bow is explicitly included in evaluating clearances to control rods, in-core instrumentation, and adjacent assemblies.*

Control rod interference due to the combined effects of channel bow and creep is evaluated to be sufficiently low during the life of the fuel bundle to ensure that maximum SCRAM insertion times for operable rods given in the technical specifications are not exceeded. This criterion is confirmed on a plant specific basis for SVEA fuel by [

] <sup>a,c</sup>.

*The impact of channel bow on thermal performance is evaluated as discussed in Reference 1.1.*

[

] <sup>a,c</sup>

*A feature of the Westinghouse methodology for the treatment of channel bow is to utilize materials and manufacturing processes to minimize the impact of channel bow.*

3. **Geometric Compatibility with Other Core Components**

*The compatibility of the fuel assembly with the fuel support piece and upper core grid is specifically confirmed.*

4. **Geometric Compatibility with Storage Facilities**

*The available space in the new fuel storage facility is compared with the BOL envelope for the fuel assembly. The EOL envelope of the fuel assembly based on upper limit channel growth, channel bow, and channel bulge is compared with the available space in the spent fuel facility to confirm that discharged fuel dimensions will be compatible with the spent fuel racks.*

5. **Geometric Compatibility with Handling Equipment.**

*A complete review of site equipment and clearances relative to procedures for fuel assembly handling and channeling is performed for any new application prior to shipment. For example, the following items are checked to confirm compatibility with site handling equipment:*

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>***Sample Application***

*This section contains an example of the methodology for evaluating compatibility in a mixed core by evaluating the SVEA-96 Optima3 assembly in a C-lattice in a BWR/6 type plant equipped with 3810 mm (150-inch) active fuel. The resident fuel to which the SVEA-96 Optima3 fuel must be compatible is referred to as the “non-SVEA” fuel assembly.*

1. *Geometrical Compatibility with Other Fuel Types in the Core*

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>



[

] <sup>a,c</sup>

*Therefore, the SVEA-96 Optima3 assembly is concluded to be compatible with the resident non-SVEA assembly with regard to axial growth.*

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

The SVEA-96 Optima3 handle leaf spring provides a nominal force of [ ] <sup>a,c</sup>. This corresponds to a stress of [ ] <sup>a,c</sup> which is well below the yield stress of [ ] <sup>a,c</sup> shown in Table 4-1. [

] <sup>a,c</sup>

This example demonstrates the compatibility of the SVEA-96 Optima3 assembly with the non-SVEA assembly over the design life of the assemblies. The conclusions regarding compatibility are typical of those for various non-SVEA fuel designs.

## 2. Geometrical Compatibility with Control Rods and Detectors

The SVEA-96 Optima3 assembly and control rod orientation for a full core of SVEA-96 Optima3 fuel in a BWR/6 C-Lattice plant is shown in Figures 2-3a and 2-3b. In Figure 2-3a, the in-core detectors are located below the intersection of the upper core grid plates and have a typical diameter of 27 mm. The available minimum space for the detector is [ ] <sup>a,c</sup> when surrounded by SVEA-96 Optima3 assemblies at beginning of life (BOL) (Figure 2-3a). The width of the control blade in this example is 8.33 mm at the blade location and 10.1 mm at the control rod roller location.

As noted above, the maximum SVEA-96 Optima3 channel dimension on a side at BOL is [ ] <sup>a,c</sup>. From Figure 2.3a, this maximum dimension provides at least [

] <sup>a,c</sup>

[ ]<sup>a,c</sup>. Therefore, adequate clearances are available at BOL to avoid interference.

The effects of irradiation on the SVEA-96 Optima3 channel dimensions and the resulting effects on compatibility with the control rods and detectors are considered by evaluating the channel bulge and bow.

### **Channel Bulge**

The following example illustrates the impact of channel bulge due to the pressure differential across the channel to a bundle burnup [ ]<sup>a,c</sup>.

The SVEA channel has very favorable creep properties. The support of the channel walls by the water cross reduces creep deformation and stresses associated with deformation. [

] <sup>a,c</sup>

Due to the Nb presence in **Low Tin ZIRLO™** material, [

] <sup>a,c</sup>

Consequently, the calculations and assumptions described below are still valid.

Since the correlation has [

] <sup>a,c</sup>

[

] <sup>a,c</sup>

*Application of the creep model described above demonstrates that for the SVEA-96 Optima3 channel the combination of the axial variations of [*

] <sup>a,c</sup>

<i>Percent of Period</i>	<i>Percent Core Flow</i>

[

] <sup>a,c</sup>

<i>Location</i>	<i>Deformation (mm)</i>	<i>Direction</i>

[

] <sup>a,c</sup>***Channel Bow***

[

] <sup>a,c</sup>

*Measurements on irradiated SVEA channels have shown a good dimensional stability. SVEA channel bow in a symmetrical lattice is shown in Figure 4.2-6. [*

] <sup>a,c</sup>

Figure 4.2-7 shows the SVEA Zircaloy-2 channel bow database for a symmetrical core lattice, including a statistical evaluation. 2 x standard deviation have been calculated for intervals of 5 MWd/kgU (first interval 0-5 MWd/kgU, second interval 5-10 MWd/kgU etc.) and each interval is represented by a midpoint of the interval. The average bow is, as expected in symmetrical lattice, about zero.

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

*The methodology for evaluation of compatibility with control rod, introduced in the response to request for additional information (RAI)-15 of Reference 1.0, included an extensive statistical evaluation of the Westinghouse SVEA-10x10 channel bow database, including previously used Zry-4 channel material. Control rod [*

*] <sup>a,c</sup> as input in the analysis for SVEA-96 Optima3 with the current Zry-2 and **Low Tin ZIRLO™** channel materials.*

[

] <sup>a,c</sup>

[  
] <sup>a,c</sup>

**Sample Application to a BWR/3-4 plant**

*Applying the methodology described in the response to RAI-15 of Reference 1.0 to SVEA-96 Optima3 in a BWR/3-4 asymmetric lattice plant – results:*

Maximum bow toward control rod:

[  
] <sup>a,c</sup>

Manufacturing tolerance, creep and elastic deflection (one channel side):

[  
] <sup>a,c</sup>

Nominal control rod roller/pad - channel clearance (average top and bottom):

[ ] <sup>a,c</sup>

Nominal control blade - channel clearance (average top and bottom):

[ ] <sup>a,c</sup>

Maximum channel - control rod interference – roller/pad:

[  
] <sup>a,c</sup>

Maximum channel - control rod interference – blade:

[  
] <sup>a,c</sup>

*The calculated interference is, [*

*]* <sup>a,c</sup>

[  
] <sup>a,c</sup>

### Discussion

As mentioned above, *the increased dimensional stability of the SVEA-96 Optima3 channel and its greater flexibility substantially reduces the risk of unacceptable control rod interference relative to open lattice designs.* The SVEA-channel is also more flexible than known [  
] <sup>a,c</sup>

*Furthermore, the experience with SVEA fuel and reduced control rod gaps in Westinghouse reactors is very extensive and no case of control rod maneuverability problems due to the SVEA fuel has been indicated or reported. Therefore, it is concluded that SVEA-96 Optima3 fuel in C- and D-lattice BWR reactors will not pose a risk of jeopardizing control rod maneuverability.*

*The SVEA-96 Optima3 channel could bow sufficiently to contact an instrument guide tube. However, the relatively flexible SVEA channel will not damage the instrument guide tube, and operational experience to date has not indicated that channel bow adversely affects the operation of the in-core instrumentation.*

*Therefore, this example demonstrates the compatibility of the SVEA-96 Optima3 assembly with control rods and detectors. Similar compatibility evaluations as the one presented in the sample application are performed for each new plant application.*

### 3. Geometrical Compatibility with Other Core Components

*Compatibility with the fuel support piece is assured by the design of the lower nozzle which is specifically designed to match the fuel support piece design in U.S. BWRs.*

[  
] <sup>a,c</sup>

*When it is required, custom design changes to the channel are made to assure proper orientation. For example, some plants are equipped with an upper core grid with a larger internal span than the standard C-lattice upper core grid and a C-lattice lower core plate. Under these circumstances, an assembly equipped with the standard channel appropriate for a “pure” C-lattice plant would tilt. [  
] <sup>a,c</sup>*

*In this manner compatibility of the SVEA-96 Optima3 assembly with the upper core grid and fuel support piece is assured.*



---

#### 4. *Geometric Compatibility with Storage Facilities*

[

] <sup>a,c</sup>

#### **4.2.2 Geometric Changes in the Assembly during Operation**

##### ***Methodology***

*For each plant application of a Westinghouse fuel assembly design (e.g. SVEA-96 Optima3), an evaluation is performed to confirm that the assembly and assembly components will not experience dimensional changes which will impair the performance of the assembly. The scope of this evaluation can depend on the assembly design. The following considerations are typical and address the SVEA-96 Optima3 design for the design lifetime of the assembly:*

[

] <sup>a,c</sup>

4. *The following assembly components are evaluated to assure that their intended function is maintained during operation in the reactor and effects associated with operation in the reactor do not adversely affect assembly performance during the design life of the assembly:*

a. *Bottom Tie Plate*

[ ]<sup>a,c</sup>

b. *Assembly Handle Configuration*

[ ]<sup>a,c</sup>

c. *Spacer Capture Function*

[ ]<sup>a,c</sup>

d. *Spacer*

[ ]<sup>a,c</sup>

*A feature of the Westinghouse methodology when applied to Westinghouse designs to avoid unacceptable interactions of assembly and assembly components is to utilize materials for which excessive relaxation, growth, or differential growth is avoided. Proven corrosion-resistant materials are utilized for all components to the greatest extent possible. Continuing post-irradiation examinations are utilized to confirm or update expected performance of components with burnup and identify any adverse trends which could impact performance.*

*For non-Westinghouse designs, publicly available information or data obtained from the fuel vendor or the utility are utilized. The level of conservatism in the application of these data is based on the quality and completeness of the data.*

### ***Sample Application***

*This section contains an example of the methodology for evaluating the interference of SVEA-96 Optima3 assembly components as a function of burnup. [*

]<sup>a,c</sup>

[

] <sup>a,c</sup>

*The fuel rod growth can be a result of different contributions, e.g. anisotropic creep down, pellet cladding contact, cladding hydriding and stress free growth.*

1. *Sub-bundle Growth*

*The differential growth between the SVEA-96 Optima3 channel and subbundles based on the most current data base can be summarized as follows:*

[

] <sup>a,c</sup>

2. *Differential Fuel Rod Growth*

*An application of the methodology for evaluating the differential growth of the fuel rods based on typical rod growth data is summarized below and the design limits are shown in Figure 4.2-12:*

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

## a. Normal Fuel Rods

Minimum margin to maintain a satisfactory guidance for normal fuel rods is calculated below:

Maximum differential rod growth between the shortest tie fuel rod and the normal fuel rods within a subbundle is expected to be [ ] <sup>a,c</sup> according to above.

The axial distance between the spacer and the heads of a tie fuel rod is [ ] <sup>a,c</sup> BOL, see Figure 4.2-12.

Maximum differential rod growth in combination with spacer movement up to the tie rod heads reduces the margin for minimum guidance of a full-length fuel rod with [ ] <sup>a,c</sup>, which is less than the minimum margin for satisfactory guidance, [ ] <sup>a,c</sup>.

Also in the worst case according to above, the requirement on rod guidance in the spacer is fulfilled.

The maximum rod length above the spacer for a full-length rod is calculated below:

Maximum rod length above the spacer is [ ] <sup>a,c</sup> BOL.

The maximum differential growth of a normal fuel rod is [ ] <sup>a,c</sup> compared to the shortest tie fuel rod.

The maximum rod length above the spacer is [ ] <sup>a,c</sup> mm. This is acceptable, since this rod length above the spacer is covered by performed fretting tests, with no signs of fretting.

Also in the worst case according to above, the requirement on freedom from fretting wear is fulfilled.

## b. Part-length Fuel Rods

[

] <sup>a,c</sup> Therefore, the requirements on rod guidance in the spacer and freedom from fretting wear are fulfilled also for part-length fuel rods.

3. Fuel Rod Guiding in Bottom Spacer

A known mechanism that can lift a fuel rod during operation is high friction between top tie plate hole and top end plug extension, combined with differential rod growth within the subbundle. This mechanism is eliminated in SVEA-96 Optima3, since the top tie plate is replaced by a spacer. [

] <sup>a,c</sup> and the margin for normal rods are larger than for the subbundle, due to the resulting lifting force by the spacers acting on the subbundle. Subbundle and fuel assembly lift forces are evaluated in Section 4.2.4.

The full guidance below the bottom spacer is for normal and part-length rods [

] <sup>a,c</sup>

4. Performance of bottom tie plates, assembly handle configuration, spacer capture function, and spacer:

a. Bottom Tie Plates

[

] <sup>a,c</sup>

b. *Assembly Handle Configuration*

[

] <sup>a,c</sup>

[  
] <sup>a,c</sup>

c. Spacer Capture Function

*The spacer-capture function must not be impaired for the lifetime of bundle by hydraulic forces, neutron irradiation, or corrosion.*

[

] <sup>a,c</sup>

d. Spacer

The SVEA-96 Optima3 spacer is a sleeve type design with linear contact to the fuel rod rather than point contact used in previous SVEA and 8x8 spacers. There are four lines in each spacer cell supporting the fuel rod, while previous SVEA spacers were equipped with six contact points (two springs and four fixed supports) per spacer cell. The material, Nickel Base Alloy type AMS 5542 (Inconel X-750), is the same as in previous SVEA and 8x8 spacers and the basic manufacturing techniques for spacer and spacer components are the same [  
] <sup>a,c</sup>

---

[

] <sup>a,c</sup>

Spacers with the same material as the SVEA-96 Optima3 spacer have been used in 8x8, SVEA-64, SVEA-100, SVEA-96/96+, SVEA-96 Optima, and SVEA-96 Optima2 assemblies. Extensive reactor experience has not shown any indication of stress corrosion cracking or fatigue failure. [

] <sup>a,c</sup> Furthermore, laboratory tests described in Section 8 demonstrate that the SVEA-96 Optima3 spacer can withstand repeated seismic-type loads. [

] <sup>a,c</sup>

Therefore, reactor experience with the SVEA-96 Optima3 spacer, as well as other spacer designs of the same material, manufacturing techniques and stress level, has confirmed that operation in the reactor will not impair the capability of the spacers to accomplish their function of maintaining the rod spacing during the design life of the fuel.

### 4.2.3 Transport and Handling Loads

#### **Methodology**

*For each Westinghouse fuel assembly type, an evaluation is performed to confirm that the assembly and assembly components will not be damaged during transportation or handling at the plant site.*

[

] <sup>a,c</sup>

#### **Shipping**

*Special over-the-road shipping tests are performed to confirm that damage to the fuel assembly will not occur for loads less than the design shipping load. These tests are performed under the following circumstances:*

[

] <sup>a,c</sup>

#### **Handling**

*A stress evaluation is performed for assembly components which experience potentially limiting loads during handling operations. The potential impact of thinning due to corrosion is included in the evaluation.*

*Stresses induced by these loads are compared with stress intensity limits ( $S_m$ ) established in accordance with Reference 1.3. [*

] <sup>a,c</sup>



[

] <sup>a,c</sup>**Sample Application**

The current design loads for shipping and handling of SVEA-96 Optima3 fuel for U.S. applications can be summarized as follows:

<i>Load Description</i>	<i>Design Load</i>	a,c

**Sample Evaluation of Response to Shipping Loads - SVEA-96 Optima3**

Shipping tests have been performed in both the U.S. and Europe to qualify the current shipping methods of SVEA assemblies, [

] <sup>a,c</sup>

[

] <sup>a,c</sup>

*Subsequent to the transport test, the inner steel container went through a handling sequence (i.e., shock tests) to verify the acceptable shock limits. These handling tests included:*

[

] <sup>a,c</sup>

*Prior to testing the fuel assembly components were carefully inspected and characterized for later comparisons. Furthermore, a sub-assembly was disassembled and the spacers were inspected again.*

*After completion of the test, the subbundles were disassembled, and the spacers and rods were carefully examined. [*

*] <sup>a,c</sup> The examination after these tests showed no indication of unacceptable deformation of the fuel assembly components. Small dimensional changes on the spacers were observed. However, most of these changes were within the same range of dimensional changes introduced by the assembly/disassembly process, and all spacer square dimensions were within drawing tolerances.*

To date, [ <sup>a,c</sup> fully assembled (channeled) SVEA-96 Optima2 fuel assemblies have been shipped in the U.S. from the Westinghouse Columbia fuel fabrication facility.

Similar transport test as described above has also successfully been performed in Europe with fully assembled (channeled) SVEA-96 Optima3 fuel in EMBRACE (former RA-2/3) shipping container. Also

here the transport route was chosen to conservatively represent the road quality experienced when performing fuel transports between the Westinghouse facility and reactor sites. The total route distance was [

] <sup>a,c</sup>.

Similar handling tests as described above were also performed as part of the qualification. Shock loads in excess of [ ] <sup>a,c</sup> were applied and the dimensional changes found were within what is considered as normal due to assembly and disassembly. All requirements were fulfilled.

The results from the performed tests have verified that SVEA-96 Optima3 can be transported and handled with the tested equipment without damages and SVEA-96 Optima3 fuel is currently being shipped in reload quantities in EMBRACE (RA-2/3) shipping containers in Europe.

### **Sample Evaluation to Response to Handling Loads – SVEA-96 Optima3**

*The evaluation of the SVEA-96 Optima3 assembly for design handling loads addresses the stresses in the channel assembly, the lifting handle, the bottom tie plate, and the tie rods.*

#### ***Channel***

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

<i><b>Bolt Stresses</b></i>	<i><b>Calculated (N/mm<sup>2</sup>)</b></i>	<i><b>Allowable (N/mm<sup>2</sup>)</b></i>	<sup>a,c</sup>
-----------------------------	---	--	----------------

[

] <sup>a,c</sup>**Handle**

*The sample evaluation of the handle is performed on a SVEA-96 Optima3 handle design with dimensions typically used in U.S. BWRs. A tension test has been performed, in accordance with Reference 1.3 (Experimental Analysis), on the SVEA-96/SVEA-96 Optima2/SVEA-96 Optima3 handle to verify that the handle meets the design requirements. [*

] <sup>a,c</sup>

[

] <sup>a,c</sup>

*This result demonstrates that the requirements are fulfilled, and the design requirement with respect to mechanical loads is thus met for the handle.*

**Bottom Tie Plate**

[

] <sup>a,c</sup>**Tie Rods**

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

	<i>Maximum Calculated stress N/mm<sup>2</sup></i>	<i>Maximum Allowable Stress (S<sub>m</sub>) N/mm<sup>2</sup></i>	
			a,c

[

] <sup>a,c</sup>

Therefore, margins to very conservative stress limits for the tie rods during handling operations are substantial and the stresses would be within the limits also if only one of the tie fuel rods would take the total load.

#### 4.2.4 Hydraulic Lifting Loads during Normal Operation and AOOs

##### Methodology

Hydraulic lift loads on the assembly during normal operation and AOOs are evaluated to assure that vertical liftoff forces are not sufficient to unseat the assembly bottom nozzle from the fuel support piece. The impact of these hydraulic lift loads on the subbundles is also evaluated to confirm that they are insufficient to unseat the subbundles from the lower support piece in the bottom nozzle. The methodology for addressing this circumstance under accident conditions (seismic/LOCA loads) is discussed in Reference 3.0.

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>**Sample Application**

[

] <sup>a,c</sup>**4.2.5 Assembly Stress and Strain during Normal Operation and AOOs**

*A stress evaluation is performed for assembly components which experience potentially limiting loads during normal operation and AOOs. [*

] <sup>a,c</sup>

[

] <sup>a,c</sup>**Sample Application**

The sample application provided is for SVEA-96 Optima3 assemblies in a BWR/6 plant.

Stresses in SVEA-96 Optima3 fuel assembly components have been evaluated for loads during normal operation and AOOs for several BWR plants. [

] <sup>a,c</sup>**Spacer**

The SVEA-96 Optima3 spacer material, Nickel Base Alloy type AMS 5542 (Inconel X-750), is the same as in previous SVEA and 8x8 spacers and the basic manufacturing techniques for spacer and spacer components are the same [

] <sup>a,c</sup>

As discussed in Section 8, SVEA-96 Optima3 spacers have been demonstrated to be capable of withstanding lateral seismic-type loads. [

] <sup>a,c</sup>**Channel**

[

] <sup>a,c</sup>



[

]a,c

[

] <sup>a,c</sup>

Location	Displacement [mm]	a,c
[		]

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

*It is concluded that the stress limits for the overpressure expected to bound most BWR plant applications are satisfied at both BOL and EOL conditions. It is also concluded that the channel deflections are small and are negligible relative to their potential impact on the function of the assembly.*

#### 4.2.6 Fatigue of Assembly Components

##### Methodology

*Each assembly design is evaluated for each plant application to identify any components which could experience damage or fail as a result of fatigue during normal operation and AOOs. A fatigue analysis is performed for each of the components for which there is a potentially adverse impact due to fatigue for each unique plant application.* [

] <sup>a,c</sup>

*Component stresses are calculated for the assumed loads. Alternating stress intensities are established from the calculated stresses in accordance with the guidance in the Reference 1.3. The fatigue usage factor for the  $i^{\text{th}}$  load cycle is given by  $\frac{n_i}{N_i}$  where:*

*$n_i$  = number of cycles for the  $i^{\text{th}}$  load cycle,*

*$N_i$  = the allowed number of cycles for the  $i^{\text{th}}$  load cycle from Nuclear Science and Engineering, Vol. 20, "Fatigue Design Basis for Zircaloy Components" (Reference 4.4) or from specific test data obtained and evaluated in accordance with Reference 1.3. Therefore,  $N_i$  includes the more limiting of a factor of [*

] <sup>a,c</sup>.

$$\text{Cumulative Usage Factor} = \sum_1^m \frac{n_i}{N_i}$$

*where  $m$  is the number of load cycles.*

*The Cumulative Usage Factor must be less than 1.0. The potential impact of thinning due to corrosion is included in the evaluation. Mechanical test results or operational experience may be utilized in place of, or to augment, the fatigue analysis to confirm satisfactory response to operational loads.*

**Sample Application**

*The only SVEA-96 Optima3 components which experience appreciable fatigue loads during normal operations and AOOs are the fuel rods and the channel. The fuel rods are addressed in Section 4.3, and this section provides a sample evaluation for the SVEA-96 Optima3 channel.*

[

] <sup>a,c</sup>

<i>Relative Bundle Power</i>	<i>Relative Core Flow, %</i>	<i>Percent of Channel Lifetime</i>	<i>Number of Cycles</i>	<i>Maximum Channel Pressure Load kPa</i>	a,c

[

]a,c

#### 4.2.7 Fretting Wear of Assembly Components

##### Methodology

*The assembly components are evaluated for their potential for fretting wear during normal operations and AOOs, and strategies for avoiding wear in any component with the potential for fretting wear are implemented.*

[

] <sup>a,c</sup>**Sample Application**

The potential for damaging wear in the SVEA-96 Optima3 design has been minimized by retaining materials from previous designs, and designing the fuel to maintain or improve margins compared to previous designs for which the effectiveness in minimizing wear has been demonstrated. In addition, both SVEA-96 Optima3 prototype loop tests and post irradiation examinations of SVEA-96 Optima3 fuel and fuel components have demonstrated that wear of SVEA-96 Optima3 components is minimal and does not impair the function of the assemblies.

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

The conclusion from the tests is that the mechanical behavior of the SVEA-96 Optima3 fuel is satisfactory and that reactor operation without unacceptable wear for the design life of the fuel caused by fretting can be expected.

#### 4.2.8 Corrosion of Assembly Components

##### Methodology

*The methodology for minimizing and treating fuel rod cladding corrosion is addressed in Section 4.3.5. The methodology for treatment of corrosion in the remaining assembly components is provided in this section.*

*The assembly components are evaluated for their corrosion potential, and measures for avoiding excessive corrosion which could cause an unacceptable impact on the mechanical or thermal-hydraulic performance of the assembly are implemented as required. [*

] <sup>a,c</sup>

*The impact of corrosion products (crud) on radioactive contamination of the primary system assembly components is limited to the extent that this buildup is affected by the design of assembly components.*

*The Westinghouse methodology for minimizing the impact of corrosion and evaluating its effect on assembly components of Westinghouse design is as follows:*

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

*Evaluation of the potential for component corrosion in non-Westinghouse fuel is based on test data and post irradiation examination results for that fuel provided by the utility or the fuel vendor.*

### **Sample Application**

*Based on industry data and Westinghouse experience with the component materials used in the SVEA-96 Optima3 design (Section 5.2.2), the SVEA-96 Optima3 assembly components for which the potential for corrosion must be specifically addressed are:*

[

*]* <sup>a,c</sup> *A summary of the operating experience and recent inspections are provided in Section 7.*

*Corrosion of the fuel rod cladding is addressed separately in Section 4.3.5. The end plugs are made of Zircaloy-2 material, [ ]* <sup>a,c</sup> *By replacing the top tie plate with a spacer in SVEA-96 Optima3 the risk of fuel rod bow caused by differential rod growth combined with high friction in the top tie plate due to excessive top end plug corrosion is eliminated. Extensive operating experience and post irradiation examinations have verified satisfactory corrosion behavior of the end plugs.*

*As discussed in Section 4.2.2, the spacer-capture head weld does not reduce the corrosion resistance of the fuel rod.*

*Based on the extensive in-reactor experience with Inconel X-750 spacers discussed in Section 7,*

[

] <sup>a,c</sup> *The level of corrosion observed on these*



*spacers is much less than that which would impair the function of the spacer or lead to sufficient corrosion to significantly impact the activity level of the coolant.*

*Figures 4.2-20a and 4.2-20b show the measured maximum and average oxide thickness as a function of the bundle average burnup for SVEA channels. As shown in these figures, [*

*]<sup>a,c</sup>.*

*Westinghouse has gathered an extensive database pertaining to oxide thickness measurements with Zircaloy-4, Zircaloy-2 super- $\alpha$  (Continuous anneal Furnace, CF) and  $\beta$ -quenched Zircaloy-2 channels. [*

*]<sup>a,c</sup>*

*During the inspections reflected by the data in Figures 4.2-20a and 4.2-20b, channel welds are examined. These inspections have not revealed any substantial corrosion in the vicinity of the channel welds that could impact channel functionality.*

***Low Tin ZIRLO™** channels are continuously being examined with respect to corrosion, [*

*]<sup>a,c</sup>. Shadow corrosion is believed to drive hydrogen pick-up, and this early-life hydrogen enrichment in combination with fast neutron fluence is believed to drive channel distortion. [*

[

] <sup>a,c</sup>

*Assembly component corrosion is also maintained at a low level to keep the contribution to coolant activity by the assembly at a level which is as low as reasonably achievable. A related program to meet this goal is utilization of low-cobalt material. Westinghouse has maintained an ongoing program for more than 35 years to minimize cobalt concentration in core components, including fuel assembly components, as a means of reducing personnel exposures.*

*Particular emphasis has been placed on reducing cobalt concentrations in those components which represent relatively large potential sources of cobalt to the coolant. As a result, cobalt concentrations in Westinghouse fuel assembly components are maintained at a relatively low level as shown in the following table.*

<i>SVEA-96 Optima3 Component</i>	<i>Westinghouse Maximum Cobalt Specification Requirement (wt %)</i>

a,c

#### **4.2.9 Hydriding of Zirconium Assembly Components other than Fuel Rods**

##### **Methodology**

*The methodology for treating fuel rod cladding hydriding is addressed in Section 4.3.4. The methodology for treatment of hydriding in the remaining Zirconium based assembly components is provided in this section.*

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

*The following measures are taken to minimize the impact of hydriding and to support the evaluation of its effect on structural assembly components for assemblies of Westinghouse design:*

[

] <sup>a,c</sup>

*Evaluation of the potential for hydriding of Zirconium based materials in non-Westinghouse fuel is based on test data and post irradiation examination results for that fuel provided by the utility or the fuel vendor.*

**Sample Application**

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

	<i>Zircaloy-2</i>	<i>Low Tin ZIRLO™ material</i>	<sup>a,c</sup>
--	-------------------	--------------------------------	----------------

[

] <sup>a,c</sup>

**Table 4-1 Typical Fuel Assembly Material Properties**

a,c

**Table 4-1      Typical Fuel Assembly Material Properties  
(cont.)**

a,c

**Table 4-1      Typical Fuel Assembly Material Properties  
(cont.)**

a,c





**Figure 4.2-1 SVEA Channel Growth**

a,c



**Figure 4.2-2 SVEA-96 Optima3 Assembly (BOL) and non-SVEA Assembly (BOL)**

a.c

**Figure 4.2-3 SVEA-96 Optima3 Assembly (BOL) and non-SVEA Assembly (EOL)**



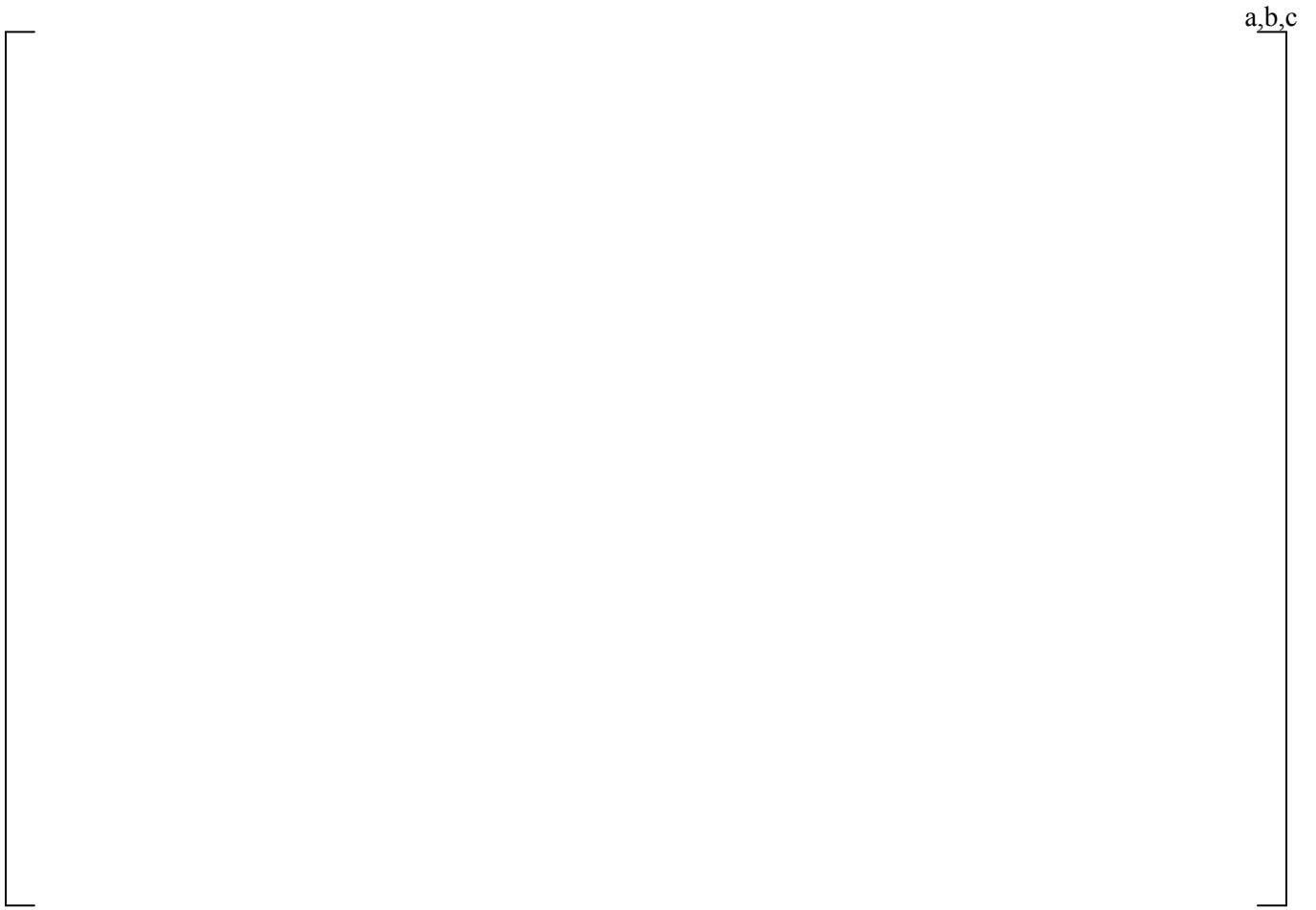
**Figure 4.2-4 SVEA-96 Optima3 Assembly (EOL) and non-SVEA Assembly (BOL)**



**Figure 4.2-5 SVEA-64 Channel Creep Deformation**



**Figure 4.2-6 SVEA-10x10 Channel Bow Measurements in a Symmetric Lattice Plant**



**Figure 4.2-7 Statistical Evaluation of Zry-2 Channel Bow in a Symmetric Lattice Plant**



**Figure 4.2-8 SVEA-10x10 Channel Bow Measurements in Asymmetric Lattice Plants**





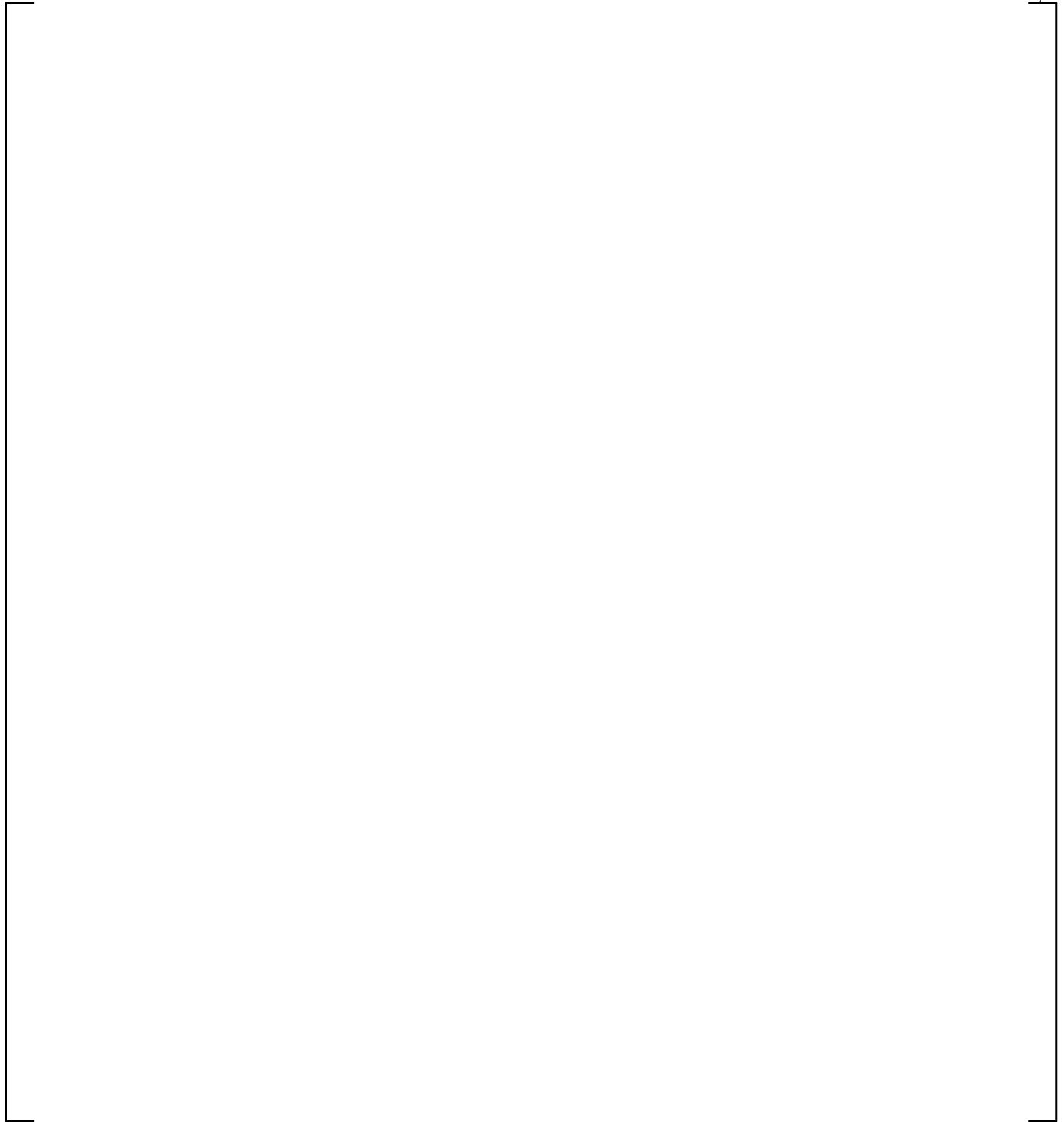
**Figure 4.2-9 SVEA-96 Optima2/Optima3 Fuel Rod Growth**



**Figure 4.2-10a SVEA-96 Optima2/Optima3 Differential Fuel Rod Growth**



**Figure 4.2-10b SVEA-96 Optima2/Optima3 Differential Growth of Tie Fuel Rods**



**Figure 4.2-11 Clearance Between Subbundle and Handle**



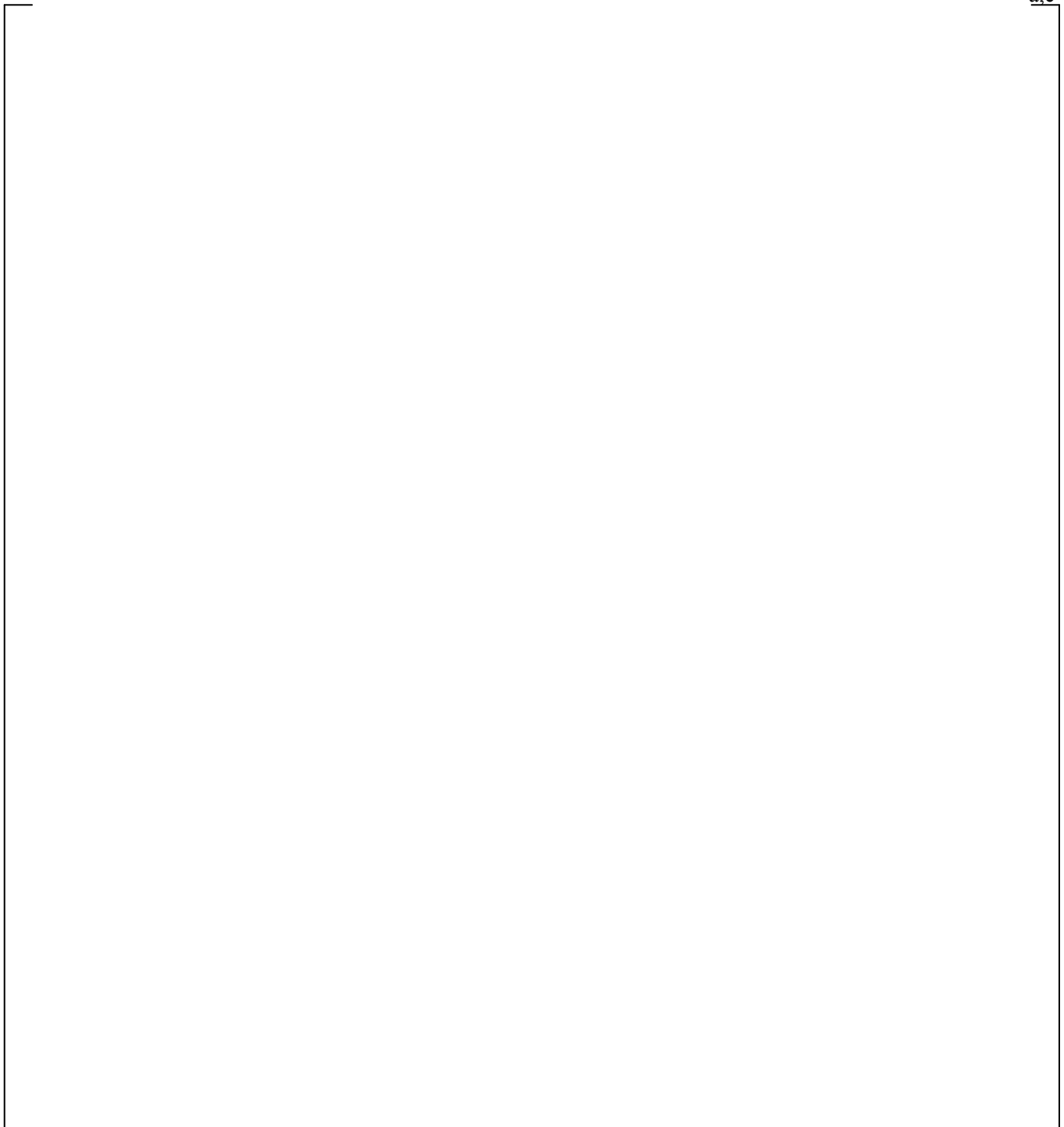
**Figure 4.2-12 Fuel Rod Growth Allowances**



**Figure 4.2-13 Spacer Spring Relaxation**



**Figure 4.2-14 Low Tin ZIRLO™ Material Model and Tensile Test Curves**



**Figure 4.2-15 SVEA-96 Optima3 Channel Section for FE-modeling**





**Figure 4.2-16 FE-Model of SVEA-96 Optima3 Channel**

a,c

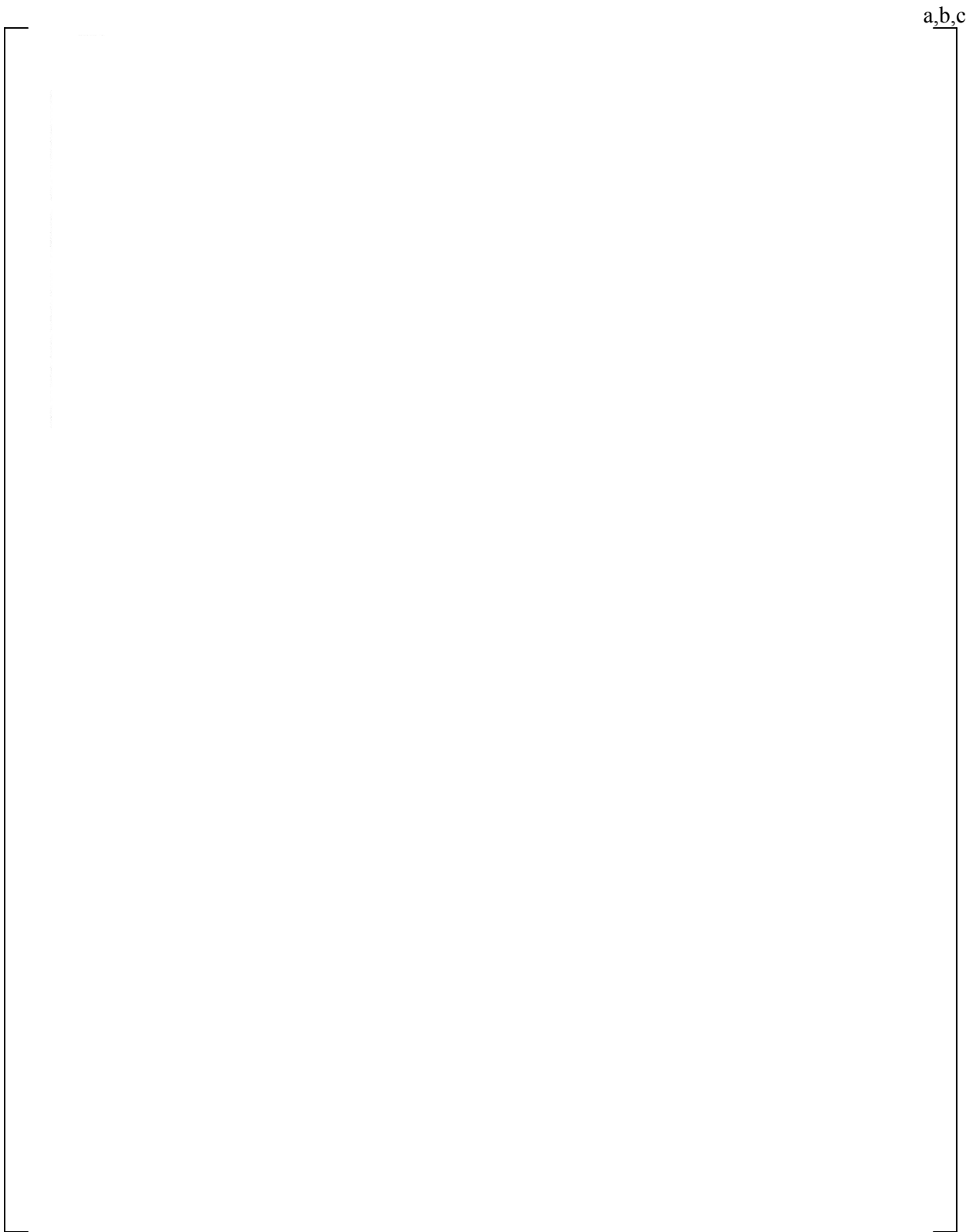
**Figure 4.2-17 Collapse Load Diagram of SVEA-96 Optima3 Channel**



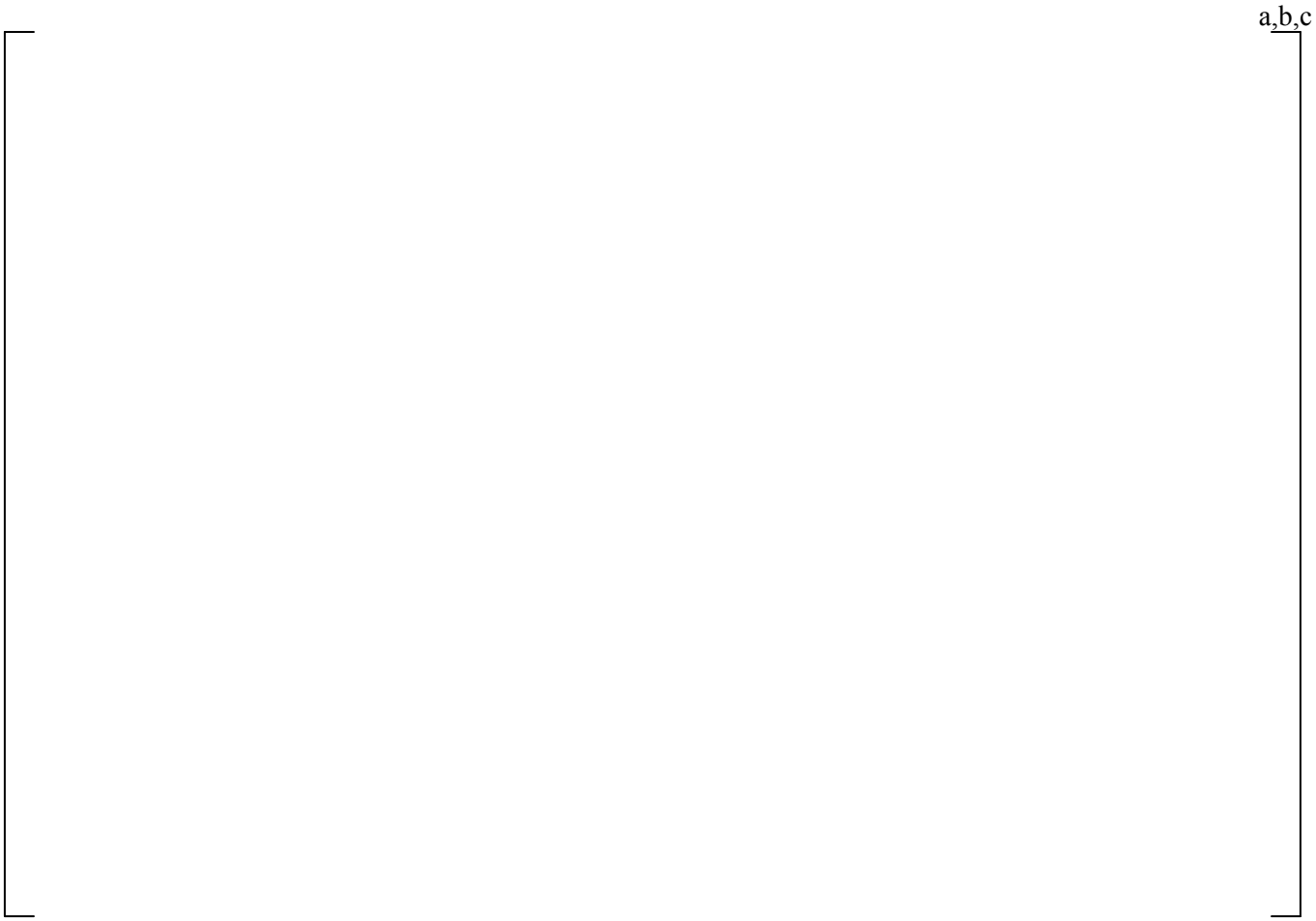
**Figure 4.2-18 Equivalent Plastic Strain at SVEA-96 Optima3 Channel Spot Weld**



**Figure 4.2-19 Stress Range at SVEA-96 Optima3 Channel Spot Weld**



**Figure 4.2-20a Maximum SVEA Channel Oxide Thickness**



**Figure 4.2-20b Average SVEA Channel Oxide Thickness**

### 4.3 FUEL RODS EVALUATION

The Westinghouse fuel rod evaluation methodology was originally licensed in Reference 2.0. This methodology was then updated in Reference 1.0 in 2006 for SVEA-96 Optima2 to reflect code improvements and the current industry practices. The methodology in this submittal remains basically unchanged, except for changes to address the SVEA-96 Optima3 hardware changes and updates to current industry practices.

This section contains the methodologies for fuel rod design evaluations of the individual fuel rods in the assembly for normal operation and AOOs. Sections 4.3.1 through 4.3.12 describe the methodologies and provide a specific application to SVEA-96 Optima3 for evaluation relative to the design criteria described in Sections 3.3.1 through 3.3.10.

The treatment of uncertainties may utilize one of [ ]<sup>a,c</sup>. All proposed approaches are applied in a manner which assures that adequate margins to design limits are maintained.  
[

] <sup>a,c</sup> This approach is deterministic and is the  
most conservative.

Westinghouse will apply an [ ] <sup>a,c</sup> as described in each  
application.

*A description of the procedure for selection of power histories for limiting fuel rod performance is described in Section 4.3.1.*

### 4.3.1 Fuel Rod Power Histories

The methodology for fuel rod power history selection is unchanged compared to Reference 1.0 WCAP-15942-P-A.

*Evaluation of the fuel rods for compliance with some of the design criteria in Section 3.3 requires the application of specific fuel rod power histories. Therefore, Westinghouse has established a systematic approach for assuring that [*

*]<sup>a,c</sup> The projected fuel rod power histories are established from plant- and cycle specific calculations utilizing a three-dimensional nodal simulator and lattice physics codes accepted for referencing in licensing applications by the NRC.*

#### **Methodology**

*Individual limiting power histories and a [*

*]<sup>a,c</sup>*



---

**Sample Application**

[

] <sup>a,c</sup>**Limiting Assemblies**

Assuming that the assemblies composing the equilibrium reload cycle are in the core for the cycles  $N_o$ ,  $N_{o+1}$  ...  $N_{L-1}$ ,  $N_L$ , fuel rod power histories are selected for evaluation and used in design analyses as follows:

[

] <sup>a,c</sup>**Base Power Histories**

From the [

] <sup>a,c</sup>

From the [

]<sup>a,c</sup> The value for a specific plant and feed fuel assembly design application should be established based on the specific application.

These power histories [

]<sup>a,c</sup>

### **Limiting Fuel Rods for Specified Acceptable Fuel Design Limit (SAFDL) and Rod Internal Pressure (RIP) Evaluations**

The Westinghouse SAFDLs which are based on LHGR protect against excessive cladding strain and fuel temperature. [

]<sup>a,c</sup> These limiting power histories are used in the evaluation of fuel rod performance under transient conditions associated with plant maneuvers and AOOs.

### **Power History Envelopes**

Using the base power histories, [

]<sup>a,c</sup>

**Thermal Mechanical Operating Limits (TMOLs)**

The TMOLs for [

] <sup>a,c</sup> The evaluations are performed with computer codes accepted for referencing in licensing applications by the NRC. The performance of the fuel rod for each application is evaluated for the limiting power histories and/or the TMOL relative to the design bases in Section 3.3 which are sensitive to fuel rod power history. The TMOL is provided to the plant operator in terms of a LHGR operating limit which should not be exceeded during normal operation. [

] <sup>a,c</sup> The enveloping LHGR and sample SPH1 and SPH3 for  $UO_2$  fuel rods are shown in Figures 4.3.1-1 and 4.3.1-2, respectively. Similarly, the enveloping LHGR and sample SPH1 and SPH3 for  $UO_2$ - $Gd_2O_3$  fuel rods are shown in Figures 4.3.1-3 and 4.3.1-4, respectively.

**Treatment of Part-Length Rods**

[

] <sup>a,c</sup>

**Treatment of AOO Power Ramps**

[

] <sup>a,c</sup>

[ ]<sup>a,c</sup>

### **Axial Power Shape**

[

] <sup>a,c</sup>

For the sample analysis a generic axial power profile will be used.

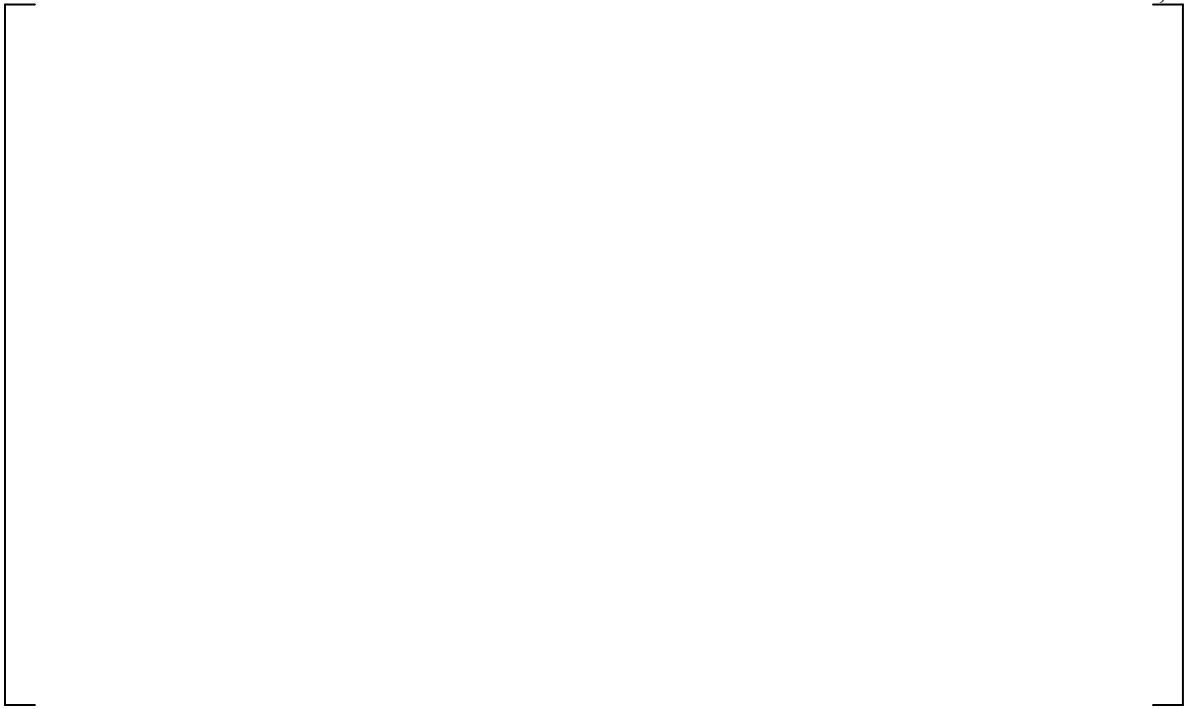
*The sample applications which depend on fuel rod power history and, therefore utilize the TMOL and these limiting fuel rod power histories are described in subsequent sections.*



**Figure 4.3.1-1 UO<sub>2</sub> TMOL and Corresponding SPH 1**



**Figure 4.3.1-2 UO<sub>2</sub> TMOL and Corresponding SPH 3**



**Figure 4.3.1-3 UO<sub>2</sub>-Gd<sub>2</sub>O<sub>3</sub> TMOL and Corresponding SPH 1**



**Figure 4.3.1-4 UO<sub>2</sub>-Gd<sub>2</sub>O<sub>3</sub> TMOL and Corresponding SPH 3**

## 4.3.2 Rod Internal Pressure

### Methodology

The rod internal pressure methodology is unchanged compared to Reference 1.0.

*For each plant application, maximum fuel rod internal pressure is evaluated to confirm that the lift-off criterion identified in Section 3.3.1 is not violated. The evaluation is a two-step process involving:*

1. *Calculation of the internal fuel rod pressure required to violate the lift-off criterion is performed. This calculation is a burnup-dependent comparison of the outward creep rate of the cladding with swelling rate of the fuel pellets. A cladding creep correlation and pellet swelling rate accepted by the NRC for licensing applications are used for this purpose. Appropriate uncertainties, such as those associated with fuel rod dimensions, clad creep rate and pellet swelling rate, are accounted for in the calculation to assure that the lift-off pressure is not over-estimated.*
2. *The fuel rod internal pressure is calculated as a function of burnup to End-of-Life (EOL) using a fuel rod performance code accepted for referencing in licensing applications by the NRC. The calculations are performed for the [*  

*]<sup>a,c</sup>.*

*The dependence of the maximum fuel rod internal pressure on uncertainties in parameters to which the fuel rod pressure is sensitive is established, and an EOL value encompassing the significant uncertainties is established for comparison with the critical pressure required for fuel rod lift-off established in Step 1. The most limiting value of any parameter with a significant impact on fuel rod pressure, which is not included in the uncertainty evaluation, is utilized in the nominal calculation. [*  

*]<sup>a,c</sup>*

[

*]<sup>a,c</sup>*

[

] <sup>a,c</sup>**Sample Application**

*This sample application uses the STAV7.2 code described in Reference 1.2 and the cladding creep and fuel pellet swelling models described in Reference 1.2 to evaluate the SVEA-96 Optima3 fuel rod design described in Section 2.*

**Critical Lift-Off Pressure**

*The BWR cladding creep correlation in Reference 1.2, Section 2.2.3, is applied to the calculation of critical lift-off pressure. [*

*pressure is required to be less than the critical lift-off pressure. ] <sup>a,c</sup> This upper bound internal*

*Solid swelling of the fuel pellet is defined in Reference 1.2, Section 2.1.3. [*

] <sup>a,c</sup>



[

] <sup>a,c</sup>

***Maximum Internal Pressure***

[

] <sup>a,c</sup> *The results are compared with the critical pressure established for lift-off.*

*A RIP analysis was then performed to accommodate the potential impact of AOOs [*

] <sup>a,c</sup>

[

<sup>a,c</sup> *The STAV7.2 code described in Reference 1.2 was used for this evaluation.*

[

<sup>a,c</sup>

[

] <sup>a,c</sup>

The results of these calculations are summarized in Table 4.3.2-1.

<b>Table 4.3.2-1 Fuel Rod Maximum Internal Pressures (MPa)</b>
--

] <sup>a,c</sup>

The internal fuel rod pressure required for lift-off is [

] <sup>a,c</sup>



**Figure 4.3.2-1 Irradiation Hardening of BWR Cladding**



**Figure 4.3.2-2 Critical NCLC Pressure Limit**

### 4.3.3 Cladding Stresses

#### Methodology

The methodology for cladding stress evaluation has been changed compared to Reference 1.0. The VIK-3 code is replaced with finite element simulations done in the ANSYS program described in Section 6. The methodology follows the directives in Reference 1.3 ASME Boiler and Pressure Vessel Code 2010, Section III, Subsection NB.

[

] <sup>a,c</sup> was selected for this example.

#### Cladding Thickness

The measured yield limit and tensile stress is for the base material together with the liner. The liner is however softer than the base component and the mechanical properties of the base components are higher than showed by data from tensile testing of the bi-material tube. The tensile load capacity of the bimaterial cladding tube is expressed as:

$$1.5 \cdot S_m \cdot A_{tot} = R_{base} \cdot A_{base} + R_{liner} \cdot A_{liner}$$

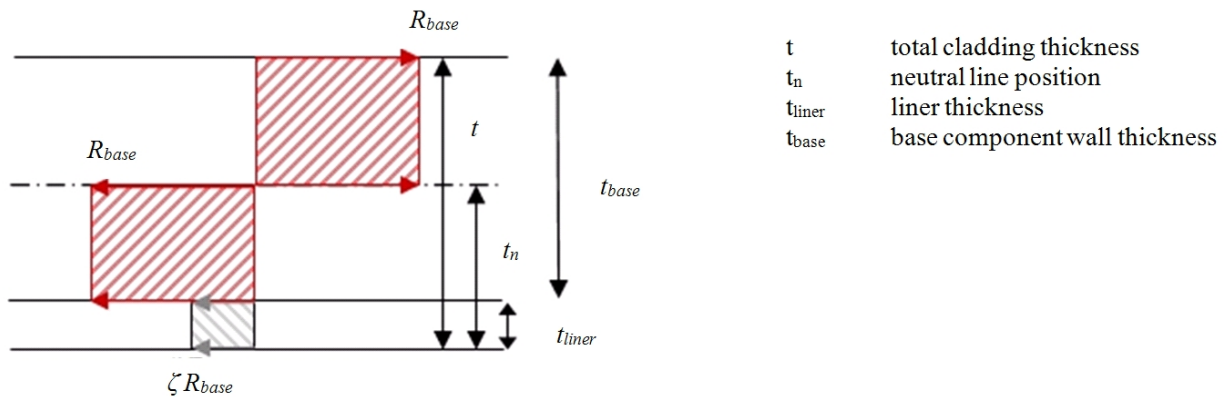
Where  $A_{tot}$  is total cross section area,  $A_{base}$  is base component cross section area and  $A_{liner}$  is liner cross section area.  $R_{base}$  is yield limit of base component and  $R_{liner}$  yield limit of liner material. The yield limit of the liner material is specified as:

$$R_{liner} = \zeta \cdot R_{base}$$

where  $\zeta$  is unknown and belongs to the interval  $0 \leq \zeta \leq 1$  because liner is softer than base component. The yield limit of the base component is then expressed as:

$$R_{base} = \frac{1.5 \cdot S_m \cdot A_{tot}}{A_{base} + \zeta \cdot A_{liner}}$$

The stress state at moment collapse is drawn in Figure 4.3.3-1 below, once again assuming that nonlinear material behaviors of the base component and liner are described by ideally plastic material models.



**Figure 4.3.3-1 Stress Distribution through Cladding at Moment Collapse**

Collapse moment (moment/length unit) for the bimaterial tube is:

$$M_{bimaterial} = R_{base} \cdot (t - t_n)^2$$

Where the position of the neutral line is:

$$t_n = \frac{t^2 - t_{liner}^2 (1 - \zeta)}{2 \cdot (t - t_{liner} (1 - \zeta))}$$

The stress calculation of the cladding tube is based on geometry that guarantee that load and moment capacity of the geometry is conservatively calculated and derivation of allowable stress is based on material specification. Thus, find the wall thickness in the stress calculation model that gives the same collapse moment as described by the bimaterial model presented above. The yield limit in the material specification is by definition  $1.5 \cdot S_m$  and collapse moment (moment/length unit) is therefore:

$$M_{mod\ el} = \frac{3 \cdot S_m \cdot t_{mod\ el}^2}{8}$$

The equivalent wall thickness in the stress calculation model is defined as:

$$M_{\text{model}} = M_{\text{bimaterial}}$$

giving

$$t_{\text{model}} = (t - t_n) \cdot \sqrt{\frac{8 \cdot R_{\text{base}}}{3 \cdot Sm}} = 2 \cdot \left( t - \frac{t^2 - t_{\text{liner}}^2 (1 - \zeta)}{2 \cdot (t - t_{\text{liner}} (1 - \zeta))} \right) \cdot \sqrt{\frac{A_{\text{tot}}}{(A_{\text{base}} + \zeta \cdot A_{\text{liner}})}}$$

[

] <sup>a,c</sup>

### **Mechanical Data of Liner Material**

The measured yield limit and tensile stress is for the base material together with the liner. The liner is however softer than the base component and the mechanical properties of the base components are higher than showed by data from tensile testing of the bi-material tube. That is a conservative approach for the base material.

[

] <sup>a,c</sup> (tubes delivered from SANDVIK to Westinghouse between years 2000 and 2011).

Liner material has been tensile tested and results are summarized below.

Test no.	Room Temperature			300 °C		
	R <sub>p0.2</sub> (MPa)	R <sub>p1.0</sub> (MPa)	R <sub>m</sub> (MPa)	R <sub>p0.2</sub> (MPa)	R <sub>p1.0</sub> (MPa)	R <sub>m</sub> (MPa)

a,c

These data above is used to determine parameter  $\zeta$  in the calculation of conservative cladding thickness.

As stated above the standard deviation of yield stress and tensile strength is [

] <sup>a,c</sup>.

Cladding Temperature in Plenum Regions

The cladding temperature,  $T_{Clad}$ , in the plenum region in the primary stress evaluation shall be [ ]<sup>a,c</sup> higher than the coolant temperature:

[ ]<sup>a,c</sup>

Acceptable Differential Pressure (MPa)

[ ]

]<sup>a,c</sup>

The input data used in the STAV7.2 calculations are given in Section 5 and below

Parameter	Deviation from Nominal Value	Value
-----------	------------------------------	-------

a,c

The results of these calculations are summarized in Table 4.3.3-1.



<b>Coolant Pressure</b>	<b>Cladding Temperature</b>	<b>Example Power<sup>(1)</sup></b>	<b>Maximum Allowed Defferential Pressure</b>	<b>Calculated Differential Pressure Over Cladding</b>	<b>Margin In Differential Pressure</b>

a,c

(1) [

] a,c

Since the maximum allowed differential pressure exceeds the calculated differential pressure over the cladding [ ] a,c it is concluded that the margin to the stress limits for the SVEA-96 Optima3 will be acceptable for any credible BWR application. For example, [

] a,c

### 4.3.4 Cladding Strain

*The basic methodology identified in Reference 1.0 is unchanged.*

#### Methodology

*For each plant application, cladding strain is evaluated as a function of fuel rod burnup for the design life of the cladding using a fuel performance code accepted for referencing in licensing applications by the NRC. [*

] a,c

1. [

] <sup>a,c</sup>

The maximum cladding strains calculated in this manner are compared with the 1% limit on elastic and plastic strain excluding the effects of steady-state creep and irradiation growth.

**Sample Application**

[

] <sup>a,c</sup>

<i>Parameter</i>	<i>Deviation from Nominal Value</i>	<i>Value</i>

[

] <sup>a,c</sup>

[

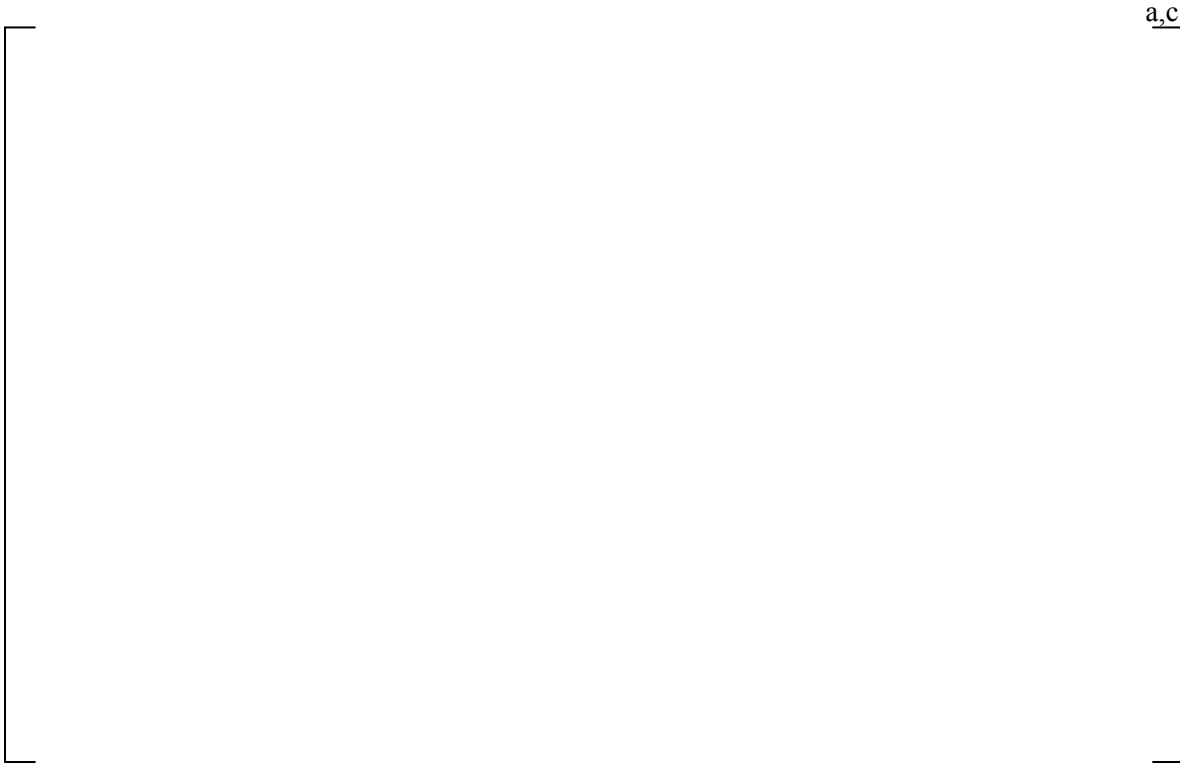
] <sup>a,c</sup>

*The resultant power history for clad strain calculation is shown in Figure 4.3.4-1.*

*The calculations were performed with the STAV7.2 code described in Reference 1.2.*

*The transient hoop strain from this calculation is shown on Figure 4.3.4-2. [*  
*] <sup>a,c</sup>*

*This example demonstrates that ample margins to cladding strain limits are available for peak rod average burnups to [*  
*] <sup>a,c</sup>.*



**Figure 4.3.4-1 SVEA-96 Optima2 Limiting Strain Power History**



**Figure 4.3.4-2 Maximum SVEA-96 Optima2 Transient Cladding Strain**

### 4.3.5 Hydriding

#### *Methodology*

*The methodology identified in Reference 1.0 is unchanged.*

*The methodology for treating hydriding of assembly components other than the fuel rod cladding is addressed in Section 4.2.9. The methodology for treatment of hydriding in fuel rod cladding is provided in this section.*

*The level of hydriding during the design life of the fuel rod is established. [*

*]<sup>a,c</sup>*

*Due to the complexity, and resultant uncertainties, involved in incorporating hydride concentration, distribution, size, and shape directly into stress and strain analyses, the impact of hydrides in the fuel cladding is not specifically treated on a microscopic basis in the fuel cladding stress and strain analyses. Instead, a conservative design limit on hydride concentration in the Zircaloy cladding is established based on available industry and Westinghouse experience and testing. The design lifetime of the fuel rod is restricted such that this limit is not exceeded.*

*The following measures are taken to minimize the impact of Zircaloy hydriding on the cladding and to establish the rate of hydrogen pick-up in the fuel rod:*

*[*

*]<sup>a,c</sup>*

*Evaluation of the potential for cladding corrosion in non-Westinghouse fuel is based on test data and post irradiation examination results provided by the utility or the fuel vendor.*

### Sample Application

This example is for the **LK3™** Zircaloy-2 cladding currently utilized for the SVEA-96 Optima3 assembly.

[

] <sup>a,c</sup>

Zircaloy cladding accumulates hydrogen during BWR reactor operation. This hydrogen pick-up leads to the formation of zirconium hydride. The main source of hydrogen in the cladding is the corrosion reaction of zirconium and water. A secondary potential source of hydrogen is moisture or hydrogen inside the fuel tube.

#### Control of Hydrogen Inside the Fuel Rod

Hydrogen in elemental form, or as an unstable chemical compound, may be trapped in the UO<sub>2</sub> pores in the pellet, absorbed on the pellet surface, or dissolved in the pellet material. The following specifications on SVEA-96 Optima3 fuel rod manufacturing are currently applied to minimize the hydrogen trapped in a sealed fuel rod:

Component	Hydrogen or Equivalent H <sub>2</sub> O/UO <sub>2</sub> by Weight	a,c
[		]

It should be noticed that the 2 ppm requirement on the water in the entire rod is a very conservative application of the ASTM limit of  $\leq 2$  ppm hydrogen cited in the SRP Reference 1.4).

#### Hydrogen Pickup in Service for SVEA-96 Optima3 Cladding

Measurements of hydrogen concentrations in fuel rods in Westinghouse BWR assemblies following plant operation are utilized to establish average hydrogen pick-up rates for design and licensing applications. The data base is updated continuously as additional data becomes available. Recent measurements of the hydrogen content in Westinghouse cladding materials show that the hydrogen pickup is generally low.

Figure 4.3.5-1 shows the hydrogen pick-up for the modern **LK3/L™** cladding as a function of burnup for each sample. The **LK3/L™** cladding has a low and stable hydrogen pick-up up to the burnup level expected at the assembly end of life. This example demonstrates that ample margins to the hydrogen content limits of [ ] <sup>a,c</sup> are available for fuel rod average burnups to [ ] <sup>a,c</sup>.

[

] <sup>a,c</sup>

<sup>a,b,c</sup>

**Figure 4.3.5-1 Total Hydrogen Concentration versus Burnup**

### 4.3.6 Cladding Corrosion

#### Methodology

*The methodology identified in Reference 1.0 is unchanged.*

*The methodology for minimizing and treating the corrosion of assembly components other than the fuel rod cladding is addressed in Section 4.2.8. The methodology for treatment of corrosion of the fuel cladding is provided in this section*

*The fuel rod cladding is evaluated for the potential for corrosion for each plant application for the design life of the cladding. In addition measures for avoiding excessive corrosion which could cause an unacceptable impact on the mechanical or thermal-hydraulic performance of the cladding are implemented as required. [*

]<sup>a,c</sup>

*The Westinghouse methodology for minimizing the impact of corrosion and evaluating its effect on fuel rod performance for the Westinghouse-designed fuel assemblies is as follows:*

1. [

]<sup>a,c</sup>



b. [

] <sup>a,c</sup>

### Sample Application

This example is for the **LK3™** Zircaloy-2 cladding currently utilized for the SVEA-96 Optima3 assembly.

A substantial cladding corrosion database for a wide variety of operating conditions has been obtained for Westinghouse BWR cladding. Routine oxide thickness measurements are currently performed for fuel assemblies manufactured by Westinghouse containing **LK3™** cladding in Nordic, continental European, and U.S. plants. These measurements and oxide observations have provided a broad database, which encompasses the entire range of conditions expected in BWRs.

Typical in-pile data for Westinghouse 10x10 BWR fuel showing rod-average and maximum oxide layer thicknesses are shown in Figures 4.3.6-1 and 4.3.6-2, respectively. The data include measurements on SVEA-96 Optima2 and SVEA-96 Optima3 fuel assemblies. Note the data points labeled as “two-life rods” refers to fuel rods removed from fuel assemblies which achieved their normal design EOL burnup, and were reinserted in lower burnup assemblies to achieve the high burnup levels shown.

[

] <sup>a,c</sup>



**Figure 4.3.6-1 Rod Average Oxide Thickness**



**Figure 4.3.6-2 Rod Maximum Oxide Thickness**

### 4.3.7 Cladding Collapse (Elastic and Plastic Instability)

#### Methodology

*The basic methodology identified in Reference 1.0 is unchanged.*

*For each plant application, cladding collapse is evaluated as a function of fuel rod burnup for the design life of the cladding using cladding collapse methods accepted for referencing in licensing applications by the NRC.*

[

]<sup>a,c</sup>

*Conservative design limits are utilized for both instantaneous and creep collapse to establish the margin to collapse. Minimum design requirements are specified at BOL for instantaneous elastic and plastic collapse based on standard, accepted classical expressions. For creep, collapse margin from to the projected irradiation time to the creep collapse time will be maintained. The creep collapse time will be identified as the time when rapid increase in ovality (simulated by the infinite slope of the ovalization curve) as agreed upon in RAI-6 of Reference 1.0.*

*Westinghouse also implements manufacturing controls on fuel and cladding to minimize the potential for cladding collapse. Specifically, the fuel rod cladding is controlled to ovality, clad thickness, and strength specifications during the manufacturing. In addition, the thermal stability of the pellets is carefully controlled to assure that unacceptable pellet densification and variations in densification do not occur in service.*

*Fuel rod cladding is examined for ovality during post irradiation examination of high burnup assemblies after service in reactors to confirm that unacceptable flattening of the cladding is not occurring. To the*

[

]<sup>a,c</sup>

***Sample Application***

*It should be emphasized that cladding collapse is a highly improbable event since the occurrence of open axial gaps between the pellets is very unlikely. The high resintering stability of modern fuel prevents this effect. [*

] <sup>a,c</sup>

*The current design limits for SVEA-96 Optima3 fuel can be summarized as follows:*

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

*The results for instantaneous collapse at BOL for a maximum over pressurization transient and instantaneous and creep collapse for the maximum credible steady-state pressure differential after BOL can be summarized as follows:*

*Instantaneous Collapse at Beginning of life*

[

] <sup>a,c</sup>

*The margin would be even greater if the pellet support were to be credited.*

*Collapse Calculations after BOL*

*The COLLAPS-3.3D code described in Reference 1.2 was used to calculate the cladding ovality as a function of burnup for the limiting conditions provided in Reference 1.0 and above.*

[

] <sup>a,c</sup>

<i>Rod Power (kW/m)</i>	[ <i>Time to (hours)</i> ] <sup>a,c</sup>	<i>STAV EOL Oxide Thickness Microns</i>	<i>Collapse Time Hours</i>	<i>Collapse Safety Factor</i>
[				

] <sup>a,c</sup>

*These very conservative examples, therefore, demonstrate that ample margins to cladding collapse are available for any realistic operation for peak rod burnups to [ ] <sup>a,c</sup>.*



**Figure 4.3.7-1 Calculated Worst-case Ovality as a Function of Time for Constant Power of 25 kW/m**

### 4.3.8 Cladding Fatigue

*The methodology identified in Reference 1.0 WCAP-15942-P-A is unchanged*

#### **Methodology**

*For each plant application, clad fatigue is evaluated for the design life of the cladding. The effect of clad fatigue is calculated for alternating stress on the cladding resulting from [ ]<sup>a,c</sup>.*

*Alternating stress intensities are calculated in accordance with Reference 1.2. A Zircaloy fatigue design curve based on the work by O'Donnell and Langer in Reference 4.4 is used to calculate the fatigue usage factors. [ ]<sup>a,c</sup>*

*The sum of individual usage factors represents the cumulative usage factor over the life of the fuel rod. The calculated cumulative usage factor must be less than 1.0 for the design life of the fuel.*

#### **Fatigue Due to Fuel Rod Power Changes**

*Clad fatigue due to fuel rod power changes is evaluated for the design life of the cladding using a fuel performance code accepted for referencing in licensing applications by the NRC.*



[

] <sup>a,c</sup>

***Sample Application***

[

] <sup>a,c</sup>

*Example of Fatigue Calculation Due to Fuel Rod Power Changes*

*Load Follow Cycles*

[

] <sup>a,c</sup>

*The STAV7.2 code described in Reference 1.2 was used for this evaluation.*

[

] <sup>a,c</sup>

*An example of plant specific fatigue analysis was also performed. [*

] <sup>a,c</sup>

*Start-Up Cycles*

[

] <sup>a,c</sup>

[

]<sup>a,c</sup> which are less than 1.0.

Therefore, the results demonstrate that the SVEA-96 Optima3 fuel design, has considerable margin to fatigue failure for any credible reactor operation to peak rod average burnups of [ ]<sup>a,c</sup>.

### 4.3.9 Cladding Temperature

The methodology identified in Reference 1.0 is unchanged

#### **Methodology**

The Westinghouse methodology for evaluating the potential for cladding failure due to overheating follows the traditional practice of assuming that failures will not occur if adequate margin to boiling transition is maintained. Margin to boiling transition is addressed in terms of the minimum critical power ratio (MCPR) as discussed in Reference 1.1. The MCPR correlation for SVEA-96 Optima3 fuel is documented in WCAP-17794-P, “10x10 SVEA Fuel Critical Power Experiments and New CPR Correlation: D5 for SVEA-96 Optima3” (Reference 4.5).

### 4.3.10 Fuel Temperature

The methodology identified in Reference 1.0 is unchanged.

#### **Methodology**

The objective of this analysis is to predict the maximum fuel temperature in SVEA-96 Optima3 fuel rods both during normal plant operation and Anticipated Operational Occurrences (AOOs) and to compare those temperatures to the melting temperatures of the limiting fuel pellets.

Fuel pellet temperatures are calculated from BOL to EOL using a fuel performance code accepted for referencing in licensing applications by the NRC.

[

]<sup>a,c</sup>

[

] <sup>a,c</sup>**Sample Application**

[

] <sup>a,c</sup> typical of BWR/4, BWR/5, and BWR/6 plants. The rods are [] <sup>a,c</sup>

*The STAV7.2 code described in Reference 1.2 was used for this evaluation.*

[

] <sup>a,c</sup>

*The SPHs for the UO<sub>2</sub> TMOL and the UO<sub>2</sub>-Gd<sub>2</sub>O<sub>3</sub> TMOL are shown in Figures 4.3.1-1 through 4.3.1-4. The maximum fuel centerline temperature power history, including AOO transients, is shown in Figure 4.3.10-1. [*

] <sup>a,c</sup>

[ ]<sup>a,c</sup>

*The total uncertainty due to the combination of these effects [*

*]*<sup>a,c</sup>

*The results of the TMOL temperature calculations are shown in Tables 4.3.10-2 through 4.3.10-4 for the UO<sub>2</sub> rod designs (full-length (FL) and part-length (PL) (2/3<sup>rd</sup> and 1/3<sup>rd</sup> length), respectively), and are shown in Table 4.3.10-5 for UO<sub>2</sub>-Gd<sub>2</sub>O<sub>3</sub> rods also referred to as burnable absorber (BA) rods. A summary is provided in Table 4.3.10-6.*

*Similarly, the results for the “limiting centerline temperature” rod which includes the AOO transients are shown in Table 4.3.10-7*

*The maximum pellet temperatures remain well below the melting temperature of the fuel, where the melting temperature of the fuel has been calculated from*

[

*]*<sup>a,c</sup>

**Table 4.3.10-1 Parameters and Values used for Fuel Temperature Uncertainties**

<i>Parameter</i>		<i>Units</i>	<i>Nominal</i>	<i>Limiting</i>
------------------	--	--------------	----------------	-----------------

a,c

**Table 4.3.10-2 Maximum Fuel Temperature in FL-UO<sub>2</sub> Rods**

	<i>Burnup at Max Temp.</i>	<i>Melting Temperature</i>	<i>Max Temp. NOM</i>	<i>Max Temp UB</i>
<i>Power History</i>	<i>(MWd/kgU)</i>	<i>(°C)</i>	<i>(°C)</i>	<i>(°C)</i>

a,c

<b>Table 4.3.10-3 Maximum Fuel Temperature in PL-2/3<sup>rd</sup> UO<sub>2</sub> Rods</b>				
<b>Power History</b>	<b>Burnup at Max Temp.</b>	<b>Melting Temperature</b>	<b>Max Temp. NOM</b>	<b>Max Temp UB</b>
	<i>(MWd/kgU)</i>	<i>(°C)</i>	<i>(°C)</i>	<i>(°C)</i>

a,c

<b>Table 4.3.10-4 Maximum Fuel Temperature in PL-1/3<sup>rd</sup> UO<sub>2</sub> Rods</b>				
<b>Power History</b>	<b>Burnup at Max Temp.</b>	<b>Melting Temperature</b>	<b>Max Temp. NOM</b>	<b>Max Temp UB</b>
	<i>(MWd/kgU)</i>	<i>(°C)</i>	<i>(°C)</i>	<i>(°C)</i>

a,c

<b>Table 4.3.10-5 Maximum Fuel Temperature in Gadolinia Rods</b>				
<b>Power History</b>	<b>Burnup at Max Temp.</b>	<b>Melting Temperature</b>	<b>Max Temp. NOM</b>	<b>Max Temp UB</b>
	<i>(MWd/kgU)</i>	<i>(°C)</i>	<i>(°C)</i>	<i>(°C)</i>

a,c

<i>Table 4.3.10-6 Summary of Maximum Pellet Centerline Temperatures</i>		
	<i>Melting (°C)</i>	<i>Max UB Temp (°C)</i>

a,c

<i>Table 4.3.10-7 Maximum AOO UO<sub>2</sub> Pellet Centerline Temperatures</i>		
<i>Rod Average Burnup</i>	<i>Melting Temperature</i>	[ ] <sup>a,c</sup> <i>Fuel Centerline Temperature</i>

a,c

a,c

**Figure 4.3.10-1 Transient Power History (AOO) for Maximum Temperatures**



### 4.3.11 Fuel Rod Bow

#### Methodology

*The methodology identified in Reference 1.0 is unchanged and the chapter has been updated with the Optima3 experience.*

*The potential for bowing of the fuel rods is evaluated to confirm that excessive bowing shall not occur during the design life of the fuel. Excessive bowing is defined as that degree of fuel rod bowing which leads to fuel rod damage or significantly impacts the nuclear or thermal-hydraulic performance of the assembly.*

*The assembly is evaluated to identify the potential for rod bow during the design life of the fuel for each plant application. [*

]<sup>a,c</sup>

*Evaluation of the potential for fuel rod bow in non-Westinghouse fuel is based on test data and post irradiation examination results for that fuel provided by the utility or the fuel vendor.*

**Sample Application**

*Features are specifically incorporated into the SVEA-96 Optima3 design to preclude fuel rod bow. Based on Westinghouse experience, as well as PWR and BWR industry experience, the following phenomena are believed to be the prime contributors to fuel rod bow:*

[

] <sup>a,c</sup>

*The SVEA-96 Optima3 bundle design includes the following design features to address these phenomena and minimize fuel rod bow:*

[

] <sup>a,c</sup>

*As discussed in Section 7, Westinghouse maintains a very extensive post irradiation examination program. [*

<sup>a,c</sup> *Therefore, based on Westinghouse experience with SVEA fuel,*

*fuel rod bow in U.S. plants is not expected to be significant. This conclusion will be confirmed by continuing post-irradiation examination programs as described in Section 9.*

[

J<sup>a,c</sup>

[

] <sup>a,c</sup>

### 4.3.12 Pellet-Cladding Interaction

The basic methodology described in Reference 1.0 is unchanged and should be applied for Westinghouse fuel without liner.

#### Methodology

The most effective measure in the Westinghouse long-term program for PCI failure mitigation has been the development of the modern Westinghouse fuel rod design with the tin-alloy liner (described in Section 2.5.2 in Reference 2.0). The cladding with liner is a standard feature of Westinghouse current products since the introduction of SVEA-96 Optima2 fuel. The efficiency of the liner has been demonstrated by the extensive operation experience of the different Westinghouse fuel designs, without ever detecting any PCI failures, in either the 8x8 or the 10x10 lined fuel products.

As stated in the SRP, Reference 1.4, there are no specific criteria for fuel failures resulting from Pellet-Cladding Interaction (PCI). In accordance with the guidance in the SRP, design criteria limiting the uniform cladding strain to 1% (Section 3.3.3) and precluding fuel melting (Section 3.3.9) are applied, which reduce the potential for fuel failure due to PCI. In addition to this, no specific design criteria are applied to PCI. However, Westinghouse has implemented the cladding liner and institutes generic/plant specific PCI guidelines and best practices that add additional levels of protection against PCI in addition to the 1% strain and fuel melting criteria.

The PCI best practices/guidelines include components such as ramp rate restrictions, conditioning thresholds, and preconditioning requirements. Both the Westinghouse hardware and best practices have been proven in various power ramp tests, exposing the fuel rods to very challenging conditions. Westinghouse routinely evaluates plant operation, fuel duty, and new data for incorporation into the PCI best practices.

## 4.4 STEADY-STATE INITIALIZATION OF TRANSIENTS AND ACCIDENTS

The methodology for initializing various dynamic analyses with STAV7.2 results is basically the same as described in Reference 1.0. A few improvements were introduced and are identified below.

*The methodology for the calculation of gap heat transfer coefficients and the treatment of different dynamic analyses are summarized in Sections 4.4.1 through 4.4.6.*

#### 4.4.1 Calculation of Gap Heat Transfer Coefficients

*Under certain circumstances, the use of minimum or maximum gap heat transfer coefficients throughout the fuel rod lifetime can be shown to provide a conservative response. In these cases, fuel rod design characteristics, model parameters, and power histories can be selected to achieve minimum or maximum gap heat transfer coefficients which provide the desired level of conservatism in the parameter being calculated.*

[

]<sup>a,c</sup>

*Nominal or bounding gap heat transfer coefficients are selected by utilizing nominal or conservative inputs to the STAV7.2 calculation of gap heat transfer coefficients. In either case, [*

*]<sup>a,c</sup> An assembly type is defined as an assembly with a specific mechanical and nuclear design. [*

]<sup>a,c</sup>

*Some dynamic analyses are performed on a cycle-specific basis. If it cannot be confirmed that the gap heat transfer coefficients established for the previous cycle(s) continue to be applicable for these analyses for the current cycle, the full process described above is utilized to calculate gap heat transfer coefficients for the current cycle being evaluated.*

#### 4.4.2 Fast Transient Analyses

*The current Westinghouse fast transient analysis methodology used to evaluate Anticipated Operational Occurrences (AOOs) utilizes the BISON family of codes and methodology described in RPA 90-90-P-A, “BISON – A One Dimensional Dynamic Analysis Code for Boiling Water Reactors” (Reference 4.9) and CENPD-292-P-A, “BISON – One Dimensional Dynamic Analysis Code for Boiling Water Reactors: Supplement 1 to Code Description and Qualification” (Reference 4.10). [*

] <sup>a,c</sup>

*For the fast transient analyses, [*

] <sup>a,c</sup>

*In addition, the fast transient analysis can be performed [*

] <sup>a,c</sup>

#### 4.4.3 Control Rod Drop Accident (CRDA) Analysis

The control rod drop accident uses gap heat transfer coefficients based on a built-in best-estimate STAV7.2 model in POLCA-T. The STAV7.2 model works dynamically and calculates in each time step the gap heat transfer coefficient based on the actual fuel rod conditions (burnup, burnup history, pressure, power, pellet temperature, etc.).

[

] <sup>a,c</sup>

[

] <sup>a,c</sup>

The CRDA methodology, including treatment of uncertainties, are described in Appendix A of WCAP-16747-P-A, "POLCA-T: System Analysis Code with Three-Dimensional Core Model" (Reference 4.1).

#### 4.4.4 LOCA Analysis

*The Westinghouse BWR Appendix K LOCA analysis methodology is described in References 3.1 through 3.6 and 4.0 (RPB 90-93-P-A, RPB 90-94-P-A, CENPD-283-P-A, CENPD-293-P-A, WCAP-15682-P-A, WCAP-16078-P-A and WCAP-16865-P-A). Gap heat transfer coefficients input to the LOCA calculations are based on STAV7.2 calculations.*

Section 4.4.4 of Reference 1.0 (WCAP-15942-P-A) describes how the initial fuel rod gap conditions for the LOCA Analysis are generated using the STAV7.2 code. The SVEA-96 Optima2 fuel design was used to describe the methodology. The inputs to STAV7.2 are selected to assure that the gap heat transfer coefficient will be conservatively small to ensure the 10CFR50 Appendix K requirement IA1 is met:

The methodology described in Section 4.4.4 of Reference 1.0 is used without modification for SVEA-96 Optima3 applications. The calculation to generate initial conditions for the CHACHA-3D fuel rod heat-up calculations uses [

] <sup>a,c</sup>

#### 4.4.5 Stability Analysis

*The nominal [*

] <sup>a,c</sup> in accordance with the Safety

Evaluation Reports (SERs) for Reference 4.11.

#### 4.4.6 Dose Calculations

*The fission product inventory predicted by STAV7.2 [*

] <sup>a,c</sup> incorporates the appropriate conservative assumptions outlined in Regulatory Guide 1.3,

*“Assumptions Used for Evaluating the Potential Radiological Consequences of a Loss of Coolant Accident for Boiling Water Reactors” (Reference 4.13) as required by Section 15.6.5 of Reference 1.4. The calculation of doses due to a hypothetical Fuel Handling Accident incorporates the appropriate conservative assumptions outlined in Regulatory Guide 1.25, “Assumptions Used for Evaluating the Potential Radiological Consequences of a Fuel Handling Accident in the Fuel Handling and Storage Facility for Boiling and Pressurized Water Reactors” (Reference 4.12) as required by Section 15.7.4 of Reference 1.4.*

## **4.5 APPLICABILITY OF THE LOCA METHODS AND METHODOLOGY**

The purpose of this section is to describe the Westinghouse LOCA methodology and the effect of implementing the SVEA-96 Optima3 fuel design on the methodology.

### **4.5.1 LOCA Methodology**

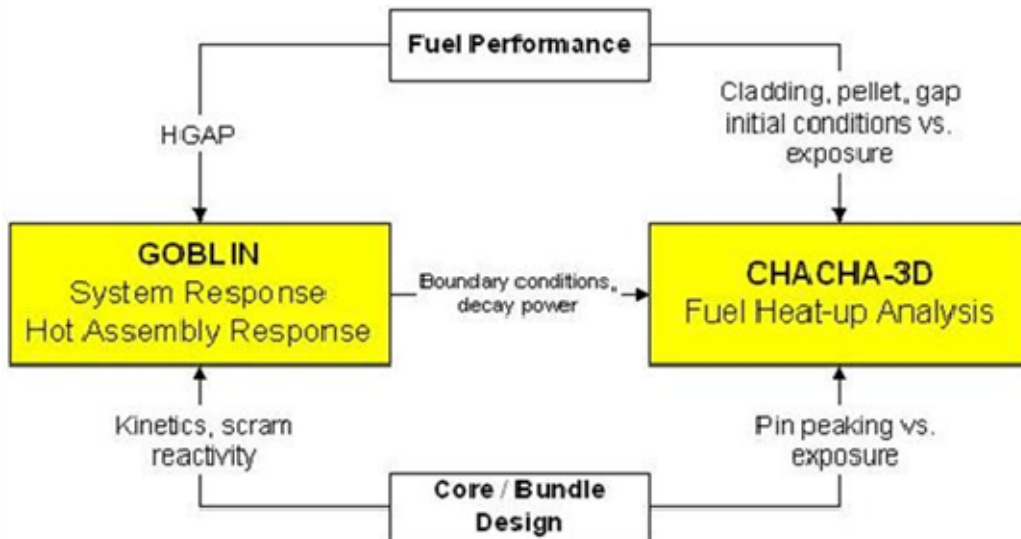
The Westinghouse Emergency Core Cooling System (ECCS) evaluation methodology is implemented using the two computer codes shown below in Figure 4.5.1-1.

The GOBLIN code is used to determine the thermal-hydraulic response of the reactor system to the postulated large- and small-break LOCAs. The calculations include interactions between the reactor system and the various safety systems. The results of the calculation are the thermal-hydraulic response of the hot assembly and the sequence of key events. This calculation may be done in one or two steps. In the one-step process, the hot assembly is modeled as a channel parallel to the average core. In the two-step process, the hot assembly is modeled as a stand-alone channel using the DRAGON option in GOBLIN where boundary conditions are provided by the single-channel average core analysis.

The CHACHA-3D code determines the detailed temperature distribution and cladding oxidation at a selected axial cross section of the hot assembly analyzed by GOBLIN. The results of the calculation are peak cladding temperature, local maximum oxidation, core wide oxidation and maximum average planar linear heat generation rate (MAPLHGR) operating limits for each new fuel design.

The inputs to the computer codes and the flow of information between the two codes are also presented in Figure 4.5.1-1.





*Figure 4.5.1-1 Flow of Information Between Codes*

The Westinghouse Appendix K methodology was first approved by the NRC in 1989. Several supplements to the original topical report have been submitted as described in References 1.0-1.1, 3.1-3.6, and 4.0. The application of the LOCA methodology was extended to the SVEA-96 Optima2 fuel design in WCAP-16078-P-A, “Westinghouse BWR ECCS Evaluation Model: Supplement 3 to Code Description, Qualification and Application to SVEA-96 Optima2 Fuel” (Reference 3.6).

#### 4.5.2 Comparison of SVEA-96 Optima3 to SVEA-96 Optima2 Fuel

Table 4.5.2-1 compares the design parameters for SVEA-96 Optima2 and Optima3. As shown, the two designs are very similar. The design change with the largest impact on LOCA is the increased plenum volume for SVEA-96 Optima3. The larger plenum volume will reduce the rate of pressure increase as the gas temperature increases during the LOCA transient. Assuming the initial fill gas pressure is the same, this will delay cladding rupture. The other design differences have a negligible impact on the response to the LOCA event. Except for the CPR correlation, these differences are easily accommodated by code input changes. The CPR correlation requires a modification to the GOBLIN code.

**Table 4.5.2-1 Comparison of SVEA-96 Optima3 to SVEA-96 Optima2**

Quantity	Optima2	Optima3
----------	---------	---------

a,c

### 4.5.3 Evaluation Model Changes

#### 4.5.3.1 Nodalization

##### Methodology

The number of axial nodes used to represent the active fuel rods in the GOBLIN code for SVEA-96 Optima2 fuel as described in Section 5.1.2 of WCAP-16078-P-A, "Westinghouse BWR ECCS Evaluation Model: Supplement 3 to Code Description, Qualification and Application to SVEA-96 Optima2 Fuel" (Reference 3.6) were found to adequately predict the initial boiling transition during a LOCA. Due to the very similar design of the SVEA-96 Optima3, at least as many number of axial nodes will be used for LOCA analysis.

The number of nodes used to represent the lattice cross section in CHACHA-3D is not changed and remains the same as described in Section 4.3.1 of CENPD-283-P-A, “Boiling Water Reactor Emergency Core Cooling System Evaluation Model: Code Sensitivity” (Reference 3.3).

### Discussion

The GOBLIN code is used to determine the system and hot assembly responses to a LOCA transient. The hot assembly responses are provided to the downstream heat-up calculations by CHACHA-3D. The GOBLIN nodalization for the SVEA-96 Optima2 fuel design is described in References 3.6.

The fuel design has 3 zones. The first zone starts at the bottom of the active fuel and ends at the top of active fuel of the 1/3<sup>rd</sup> length rods. The second zone starts at the top of active fuel of the 1/3<sup>rd</sup> length rods and ends at the top of active fuel of the 2/3<sup>rd</sup> length rods. The third zone starts at the top of active fuel of the 2/3<sup>rd</sup> length rods and ends at the top of active fuel of the full-length rods. Consistent with Reference 3.6, at least [ ]<sup>a,c</sup>. Since the SVEA-96 Optima3 fuel design is very similar to SVEA-96 Optima2 fuel design as shown in Table 4.5.2-1 above, the GOBLIN noding remains adequate.

The standard CHACHA-3D noding is described in Section 4.3.1 of Reference 3.2. Sensitivity studies performed there and in Section 6.3.1 of Reference 3.3 show little sensitivity to fuel rod noding. Since the SVEA-96 Optima3 fuel design is very similar to other SVEA-96 fuel designs, the standard CHACHA noding remains adequate.

#### 4.5.3.2 CPR Correlation for SVEA-96 Optima3 Fuel

### Methodology

The fuel-specific critical power ratio (CPR) correlation for SVEA-96 Optima3 is the D5 correlation, which is described in Reference 4.5. The use of GOBLIN for licensing calculations involving SVEA-96 Optima3 fuel requires that the D5 licensing topical report (LTR) be reviewed and approved by NRC, and that the approved CPR correlation be installed in the GOBLIN code.

### Discussion

Fuel-specific CPR correlations are part of the heat transfer model in GOBLIN. The fuel-specific CPR correlation and the pool boiling critical heat flux (CHF) correlation are used to determine the transition between non-dryout and post-dryout heat transfer during a LOCA event. The transition CHF is determined conservatively by [ ]<sup>a,c</sup>.

The fuel-specific CPR correlation is also used to establish the initial power of the hot assembly by establishing a conservative operating limit minimum CPR (OLMCPR).

### 4.5.4 Justification of Existing Models

#### 4.5.4.1 Spray Heat Transfer Model

##### Methodology

The convective spray heat transfer coefficients described in Section 6.1. of WCAP-16078-P-A, “Westinghouse BWR ECCS Evaluation Model Updates: Supplement 3 to Code Description, Qualification and Application to SVEA-96 Optima2 Fuel” (Reference 3.6) are applied without modification to analyses determining the hot plane heat-up response for a reactor containing SVEA96 Optima3 fuel. These heat transfer coefficients are based on the values prescribed by 10CFR50 Appendix K as described in Section 7.2 of Reference 3.3. As shown in Table 4.5.2-1 the SVEA-96 Optima3 geometry is essentially identical to SVEA-96 Optima2. The spray heat transfer coefficients are given in Table 4.5.4-1.

<i>Table 4.5.4-1 SVEA-96 Optima3 Spray Cooling Heat Transfer Coefficients (W/m<sup>2</sup>-°C)</i>			
Corner Rods	Side Rods	Inner Rods	Channel

a,c

##### Discussion

Section 6.1 of Reference 3.6 and the response to Question 27 of Reference 3.6 present the basis for the spray heat transfer coefficients used for SVEA-96 Optima2 applications. The spray heat transfer coefficients are based on spray cooling tests that simulated a 7x7 array (the BWR FLECHT program). Since the SVEA-96 Optima3 geometrical design is nearly identical to the SVEA-96 Optima2 design (see Table 4.5.2-1), the same spray heat transfer coefficients apply to the SVEA-96 Optima3 fuel design.

#### 4.5.4.2 Radiation Heat Transfer Model

##### Methodology

Thermal radiation is an important phenomenon for LOCA transients that result in sustained uncover of the core when core cooling is due only to convective spray heat transfer and radiation. The limiting fuel rod location for LOCA transients is located in the [ ]<sup>a,c</sup> of the 5x5-1 subbundle when radiation is important. The thermal radiation model in CHACHA-3D, which is described in RPB 90-93-P-A, “Boiling Water Reactor Emergency Core Cooling System Evaluation Model: Code Description and Qualification” (Reference 3.1), is applied to the SVEA-96 Optima3 fuel design without change. However, the model [

]<sup>a,c</sup>

## Discussion

The radiation model in CHACHA-3D determines the gray body factors throughout the transient accounting for the fuel rod dimensional changes due to cladding deformation. As shown in Figure 4.5.4-1 below, [

] <sup>a,c</sup>

<sup>a,c</sup>

**Figure 4.5.4-1 SVEA-96 Optima3 Sub Assembly Cross Section (see Table 4.5.2-1)**

### 4.5.4.3 Counter-Current Flow Limit (CCFL)

#### Methodology

The CCFL methodology described in Section 5.4.2 of Reference 3.6 is applied without modification to the SVEA-96 Optima3 fuel design.

#### Discussion

The CCFL model in GOBLIN, which is described in Section 3.3 of Reference 3.1, was modified slightly in Section 5.4.2 of Reference 3.6 where it was approved for SVEA-96 Optima2 applications. The

correlation constants are expressed in terms of basic geometrical parameters, which enable the correlation to be applied to a variety of geometries, provided there isn't a significant departure from the experimental database. Since the SVEA-96 Optima2 and SVEA-96 Optima3 fuel designs are nearly identical geometrically, the CCFL model continues to be applicable to the SVEA-96 Optima3 fuel design.

#### 4.5.4.4 Transition Core Evaluation

##### Methodology

[

] <sup>a,c</sup>

If this simplification is not justified, the mixed core model will be used for the system response analysis to determine the MAPLHGR limits for the Westinghouse fuel. In this case, Westinghouse will inform the utility of the results so that the other fuel vendor may assess the impact of the transition core on its MAPLHGR limits.

##### Discussion

When a utility changes the fuel vendor, the reactor core will be loaded with different types of fuel bundles. These reload cycles are referred to as mixed, or transition cores. The presences of non-Westinghouse fuel challenges the usual LOCA analysis approach [

] <sup>a,c</sup>

#### 4.5.5 Conclusion

Westinghouse Appendix K methodology was extended to the SVEA-96 Optima2 fuel design in Reference 3.6. As discussed in Section 4.5.3 and 4.5.4 above applicability of the LOCA methodology to the SVEA-96 Optima3 fuel design is justified due to the similarities of the fuel designs. A fuel-specific CPR correlation for SVEA-96 Optima3 (D5) will be implemented after NRC acceptance and at least as many number of axial nodes will be used for LOCA analysis of a SVEA-96 Optima3.

## 5 TECHNICAL DATA

The data in this table are typical for domestic BWRs. Some data, such as assembly and fuel rod length, can differ from plant to plant. For example, Style 1 provides typical data for BWR/4, BWR/5, and BWR/6 plants, while Style 2 is typical of a BWR/3 plant. Furthermore, some parameters can be cycle-specific. For example, bundle mass will change as the  $UO_2$ - $Gd_2O_3$  design changes.

All dimensions are at room temperature and BOL.

### 5.1 FUEL RODS

#### 5.1.1 Pellets

##### 5.1.1.1 Pellet Dimensions

UO <sub>2</sub> and Gadolinia Pellets			
	<i>Units</i>	<i>Nominal Value</i>	<i>Note</i>

a,c

**5.1.1.2 Pellet Data**

	<i>Units</i>	<i>Nominal Value</i>
[		

a,c

**5.1.1.3 Pellet Densification**

[

]a,c

**5.1.1.4 Burnable Poison Pellet**

*Westinghouse utilizes gadolinia ( $Gd_2O_3$ ) as a burnable poison. The pellets are a mixture of  $Gd_2O_3$  and  $UO_2$*

[

]a,c



2. [

] <sup>a,c</sup>

## 5.1.2 Fuel Rod Cladding

### 5.1.2.1 Cladding Dimensions

	<i>Units</i>	<i>Nominal Value</i>	<i>Note</i>

a,c

### 5.1.2.2 Cladding Chemical and Physical Properties

[

] <sup>a,c</sup>

**5.1.3 Fuel Rod Length**

	<b>Units</b>	<b>Nominal Value</b>
--	--------------	----------------------

a,c

**5.1.4 Fuel Rod Miscellaneous Data**

	<b>Units</b>	<b>Nominal Value</b>
--	--------------	----------------------

a,c

---

<b>(cont.)</b>	<b>Units</b>	<b>Nominal Value</b>
----------------	--------------	----------------------

a,c

(cont.)	Units	Nominal Value
---------	-------	---------------

a,c

### 5.1.5 Fuel Rod Materials

a,c

### 5.1.6 Typical Fuel Rod Weights

	Units	Nominal Value
--	-------	---------------

a,c

(cont.)	Units	Nominal Value
---------	-------	---------------

a,c

--	--	--

**5.1.7 Spacer Grid**

	Units	Nominal Value
--	-------	---------------

a,c

--	--	--

**5.2 FUEL ASSEMBLY DATA**

**5.2.1 Fuel Assembly Miscellaneous Data**

	Units	Nominal Value
--	-------	---------------

a,c

--	--	--

a,c

<b>(cont.)</b>	<b>Units</b>	<b>Nominal Value</b>
----------------	--------------	----------------------

**5.2.2 Fuel Assembly Materials**

<i>Component</i>	<i>Material</i>	a,c

### 5.2.3 Typical Fuel Assembly Weights

	Units	Nominal Value	a,c



## 6 CODE DESCRIPTION

*This section contains a brief description of the computer codes used by Westinghouse in the thermal mechanical design calculations. More detailed descriptions of the fuel rod design codes are contained in Reference 1.2.*

STAV7.2 is the primary fuel performance analysis tool. COLLAPS II is used to calculate cladding ovality as a function of irradiation. Westinghouse utilizes the finite element code ANSYS for stress analysis of the SVEA-96 Optima2 and Optima3 fuel assembly. ANSYS will additionally be used for fuel rod cladding stress analysis for SVEA-96 Optima3 and in future applications. The ANSYS code is well known in Europe and the U.S., and has been broadly used for reactor design and analysis applications within the nuclear industry.

Cladding stress analysis was performed using VIK-3 for the previous fuel designs. The code has become obsolete and difficult to maintain so Westinghouse has decided to replace the code with the commercial ANSYS code as described in section 4.3.3.

### 6.1.1 STAV7.2

*The STAV7.2 code is used by Westinghouse in Europe for both BWR and PWR fuel rod performance analyses. This report addresses the application of STAV7.2 in the United States for BWR applications only. STAV7.2 offers a best-estimate analytical tool for predicting steady-state fuel performance for operation of Light Water Reactor (LWR) fuel rods including  $UO_2$ - $Gd_2O_3$  fuel.*

*STAV7.2 calculates the variation with time of all significant fuel rod performance quantities including fuel and cladding temperatures, fuel densification, fuel swelling, fission product gas release, rod internal pressure, and pellet-cladding gap conductance. Stresses and strains in the cladding due to elastic, thermal, creep and plastic deformations are calculated. Also, cladding oxidation is evaluated and included in the evaluation of fuel rod performance parameters. Other sub-models include burnup-dependent radial power distributions for both  $UO_2$  and  $(U, Gd)O_2$  fuel, fuel grain growth, and helium release.*

Details of the STAV7.2 code description are presented in Section 2 of Reference 1.2.

### 6.1.2 COLLAPS-II VERSION 3.3D

*The computer code COLLAPS-3.3D is used for prediction of cladding ovality in BWR fuel rods as a function of irradiation time.*

*The COLLAPS-3.3D code models the cladding as a long, thin cylindrical tube which is subject to creep as a result of a uniform net external pressure. The cross section of the tube is assumed to have a slight initial deviation from circularity. The standard assumptions appropriate to creep deformation analysis of shells are utilized in the COLLAPS-3.3D code.*

*COLLAPS-3.3D calculates the following quantities as a function of irradiation time:*

- *Cladding ovality,*
- *Creep down strain and total axial strain of the cladding, and*
- *Bending moments of the cladding.*

Details of the COLLAPS-3.3D code description are presented in Section 6 of Reference 1.2.

### **6.1.3 ANSYS**

ANSYS is a large-scale, general purpose finite-element code. The code's capabilities include:

- Static and dynamic structural analysis, with linear and nonlinear transient methods, harmonic response methods, mode-frequency method, modal seismic method, and vibration analysis,
- Buckling and stability analysis with linear and nonlinear buckling,
- Heat transfer analysis with transient capability and coupled thermal-structural capabilities,
- Nonlinear material properties such as plastic deformation, creep, and swelling,
- Fracture mechanics analysis.

The ANSYS element library consists of several distinct element types. However, many have option keys for further element specialization, effectively increasing the size of the element library.

The reliability and accuracy of ANSYS software is maintained by a rigorous quality assurance program. A library of verification problems is used for verification of new versions, and is continuously updated to reflect new features in the program.

## 7 OPERATING EXPERIENCE

### 7.1 HISTORY

*The evolution of the Westinghouse BWR fuel designs is shown in Figure 7-1. Westinghouse started out with an 8x8 lattice design instead of the 7x7 lattice, and then went directly to 10x10 instead of the intermediate 9x9 lattice. The trend towards longer cycles and higher burnups combined with plant uprating made 10x10 the optimum choice.*

*Westinghouse started manufacturing and delivering 8x8 BWR fuel in 1967. First cores and reload quantities of 8x8 fuel have been delivered to all eleven Westinghouse-built BWR plants in Sweden and Finland. In addition, 8x8 Lead Fuel Assemblies have been delivered to two Siemens-built plants. Fuel performance and reliability of the Westinghouse 8x8 fuel has been excellent. The last 8x8 fuel was manufactured in 1987.*

*The second generation of Westinghouse fuel designs, SVEA-64, has four 4x4 subbundles and a water cross in the center. Lead testing of SVEA-64 occurred from 1981 to 1985. Since 1984, SVEA-64 fuel has been delivered to nine Westinghouse built plants, one GE plant, and three Siemens plants.*

*The design of the top handle in the SVEA-64S fuel, which is used in Swedish and Finnish reactors, is slightly different from the SVEA-64C fuel used in non-Westinghouse built reactors. These differences are required primarily to adapt the design to existing fuel handling equipment and core internals. Therefore, the experience gained from SVEA-64S fuel is also valid for SVEA-64C fuel. The SVEA-64C design with Zircaloy spacers was introduced in the U.S. by Westinghouse as the QUAD+ assembly.*

*The third evolutionary generation, SVEA-96/SVEA-100, has four 5x5 subbundles and a water cross using the same channel design as SVEA-64. The SVEA-96 fuel is very similar to the SVEA-100 fuel. [*

] <sup>a,c</sup>

*The other components in the SVEA-96 and the SVEA-100 designs are the same with the exception that SVEA-96 has four 5x5-1 subbundles versus the 5x5 subbundles for SVEA-100. [*

] <sup>a,c</sup>

*The fourth evolutionary generation involves the introduction of part-length rods, and includes the SVEA 96 Optima and SVEA-96 Optima2 designs. The SVEA-96 Optima design contains [*

] <sup>a,c</sup>

*The SVEA-96 Optima2 design has a total of [*

] <sup>a,c</sup>

[ ]<sup>a,c</sup>

The next generation fuel is SVEA-96 Optima3 [

]<sup>a,c</sup> The top tie plate is replaced with a top spacer and all fuel rods except tie rods rest freely on the bottom tie plate. The spacer in SVEA-96 Optima3 is of a sleeve type design with a four line contact compared to the six point contact in SVEA-96 Optima2.

Since many of the basic mechanical design features of the SVEA design have not been changed, the experience gained on earlier designs is also applicable to SVEA-96 Optima3 design.

The experience base is steadily increasing and as of January 2013, [

]<sup>a,c</sup>. As of January 2013, Westinghouse has contracts for the delivery of [ ]<sup>a,c</sup>.

## 7.2 EXPERIENCE

*A complete summary of Westinghouse 10x10 fuel assembly burnup experience is shown in Figure 7-2.*

### 7.2.1 SVEA-64

*The first four SVEA-64 Lead Fuel Assemblies (LFAs) were loaded into the Ringhals 1 reactor in 1981. Two of these were discharged in 1987 after six years of operation with a peak burnup of [ ]<sup>a,c</sup>, and the other two in 1988, also with a peak burnup of [ ]<sup>a,c</sup> after their seventh cycle. In Oskarshamn 2, one SVEA-64 assembly reached [ ]<sup>a,c</sup> and another SVEA-64 assembly reached [ ]<sup>a,c</sup>. Since 1981, SVEA-64 assemblies have been loaded into Swedish reactors on an annual schedule. In 1985, SVEA-64 fuel was loaded into the Finnish reactor Olkiluoto 2. Since 1986, SVEA-64 fuel assemblies have been loaded into the German reactors Krümmel, Philippsburg 1, Brunsbüttel, and the Swiss reactor Leibstadt. In total [ ]<sup>a,c</sup> SVEA-64 assemblies have been delivered, with the last assemblies loaded in Oskarshamn 1 in 2000.*

### 7.2.2 SVEA 10x10 Fuel

*A summary of all SVEA 10x10 fuel deliveries is shown in Table 7-1.*

#### 7.2.2.1 SVEA-100

*The first SVEA-100 Lead Fuel Assemblies were loaded in 1986: four into the Oskarshamn 3 and two into the Forsmark 3 reactors. In 1990 the first full SVEA-100 reload consisting of 100 assemblies, was loaded into Oskarshamn 3. Since then SVEA-100 assemblies have been loaded into five Swedish and one Finnish reactor on an annual schedule.*

More than [ ]<sup>a,c</sup>. Several of these assemblies have reached an average of [ ]<sup>a,c</sup>.

### 7.2.2.2 SVEA-96/SVEA-96+

*The initial eight SVEA-96 Lead Fuel Assemblies (LFAs) were loaded into Forsmark 3 in 1988. Since this first delivery, SVEA-96/SVEA-96+ fuel have been delivered to seven Westinghouse and four Siemens built reactors.*

In 1990, [ ]<sup>a,c</sup> SVEA-96 fuel assemblies were delivered to the Swiss Leibstadt reactor, which is a General Electric BWR/6 plant. The same fuel design has also been delivered to the Spanish BWR/6, Cofrentes. [ ]<sup>a,c</sup> reloads have currently been delivered to these two European GE reactors.

*In 1990 and 1991 [ ]<sup>a,c</sup> SVEA-96 Lead Fuel Assemblies were installed in four U.S. GE BWR reactors. The first [ ]<sup>a,c</sup> U.S. LFAs were loaded in Columbia Generating Station in 1990, to be followed by [ ]<sup>a,c</sup> LFAs in Fermi2, Peach Bottom 2 and Limerick 2 (all BWR/4s) the following year. In addition, Susquehanna received [ ]<sup>a,c</sup> SVEA-96+ LFAs in 1996.*

*Reload quantities of SVEA-96 fuel were delivered to the Columbia Generating Station for five consecutive cycles during the period from 1996 to 2001, and three reloads of SVEA-96+ fuel were loaded in the Hope Creek Generating Station in the period from 1999 to 2003.*

More than [ ]<sup>a,c</sup>

### 7.2.2.3 SVEA-96 Optima/SVEA-96 Optima2

*SVEA-96 Optima LFAs were inserted into [ ]<sup>a,c</sup>*

As of January 2013, [ ]<sup>a,c</sup>

SVEA-96 Optima2 LFAs were inserted into Forsmark 2 and Leibstadt reactors in 2000 and reload quantities were delivered in 2002. In January 2013, [ ]<sup>a,c</sup> assemblies have been delivered. The leading SVEA-96 Optima2 assembly has reached an assembly average burnup of [ ]<sup>a,c</sup>. The SVEA-96 Optima2 design has been delivered in reload quantities to Quad Cities and Dresden units and as of January 2013, [ ]<sup>a,c</sup> reloads have been delivered in the U.S.

### 7.2.2.4 SVEA-96 Optima3

SVEA-96 Optima3 LFAs were inserted in [ ]<sup>a,c</sup>.

As of January 2013, [ ]<sup>a,c</sup> have been delivered. SVEA-96 Optima3 assemblies have been inserted in [ ]<sup>a,c</sup>.

## 7.3 FUEL RELIABILITY

### 7.3.1 General

*Primary fuel leakers in SVEA 10x10 fuel is shown in Figure 7-3. Debris fretting has been identified as the only cause of primary failure in all fuel inspected equipped with modern LK3/L™ cladding material with liner. To mitigate this failure mode, Westinghouse developed the TripleWave™ and TripleWave+™ debris filters described in Section 2.3 and the spacer with sleeve type design described in Section 2.2.2. These improvements are expected to significantly reduce the probability of harmful debris reaching the fuel rods.*

*Note that the data in Figure 7-3 are based on failed fuel rods, not assemblies. It is Westinghouse practice to identify the cause of all fuel failures to the greatest extent possible. To this end many of the failed rods have been taken to hot cells for further investigation. The majority of the remaining unidentified cases are believed to be debris failures.*

### 7.3.2 8x8

*Fuel performance for Westinghouse 8x8 fuel has been good with the majority [*

*]*<sup>a,c</sup>

### 7.3.3 SVEA-64

*Fuel performance following the introduction of Westinghouse SVEA-64 fuel has been excellent in an environment which included plant power uprating and initiation of extended operating flexibility including extended flow windows in most of the Nordic plants. The primary cause of SVEA-64 primary leakers has been debris-related. Fuel reliability per cycle for Westinghouse 8x8 and SVEA-64 fuel is*

[ ]<sup>a,c</sup>. *The unknown failures are suspected to be debris related. Hence the actual fuel performance is even better than stated above.*

*Four of the SVEA-64 failures were caused by the Dryout Event [*

]<sup>a,c</sup>

#### **7.3.4 SVEA 10x10 Fuel**

*One of the driving forces behind Westinghouse's choice of the 10x10 array was increased fuel reliability via a substantial reduction in fuel rod duty. The impact of the 10x10 design on fuel reliability can be summarized as follows:*

[

]<sup>a,c</sup>

Westinghouse 10x10 fuel performance for lined fuel during the period 2000 through 2012 has been excellent, with [

]<sup>a,c</sup>

---

*Westinghouse has extensive experience with Sn-alloyed Zirconium liner beginning with [*

*]*<sup>a,c</sup>

*The Westinghouse 10x10 fuel experience with secondary degradation is summarized in Figure 7 4. About [*

*]*<sup>a,c</sup>

### **7.3.5 Reliability Improvement**

*In the interest of pursuing the goal of failure-free fuel, improvements to both avoid primary failures as well as secondary degradation should a failure occur are being introduced on a continuing basis. [*

*]*<sup>a,c</sup>



## 7.4 INSPECTIONS

### 7.4.1 SVEA-64

*Westinghouse maintains ongoing post irradiation examination programs to confirm the acceptable operation of the fuel and identify potential design improvements. This section provides an overview of the inspection program for SVEA fuel. Inspection programs of this scope are anticipated for the future as well and are discussed in Section 9.*

Over [ ]<sup>a,c</sup>. *The poolside inspections and measuring programs have verified equipment and procedures for safe handling of irradiated SVEA fuel assemblies. In addition, a substantial operating data base has been established.*

[

] <sup>a,c</sup>

*The results of these inspections indicate excellent fuel performance. The behavior of the SVEA-64 fuel assemblies is completely within expectations.*

### 7.4.2 SVEA 10x10 Fuel

From a [

] <sup>a,c</sup> *Therefore, the experience gained from operation of SVEA-64 supports that for the SVEA 10x10 designs.*

Fuel inspections have been carried out on the lead 10x10 fuel [ ]<sup>a,c</sup>. These inspections have shown that the fuel assemblies are in good general condition with the expected mechanical performance as well as cladding corrosion levels.

The first high burnup SVEA-100 was inspected in August 1993 in Forsmark 3. [ ]<sup>a,c</sup>

SVEA-96 assemblies in [

] <sup>a,c</sup>

SVEA-96 Optima LFAs have been inspected [

] <sup>a,c</sup>

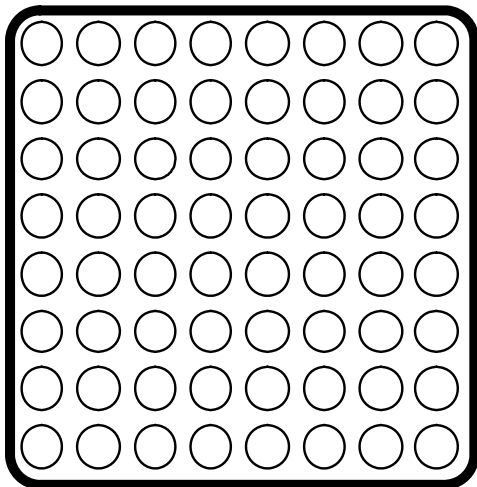
Table 7-1 SVEA 10x10 Fuel Deliveries					
Plant	SVEA-96/96+/100	Optima	Optima2	Optima3	Total
[ ] <sup>a,c</sup>					

**Table 7-1 SVEA 10x10 Fuel Deliveries  
(cont.)**

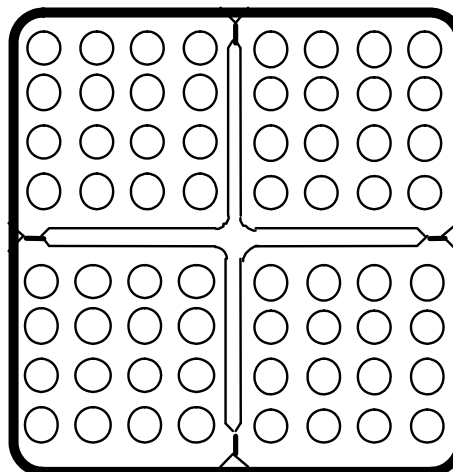
<b>Plant</b>	<b>SVEA-96/96+/100</b>	<b>Optima</b>	<b>Optima2</b>	<b>Optima3</b>	<b>Total</b>
--------------	------------------------	---------------	----------------	----------------	--------------

a,c

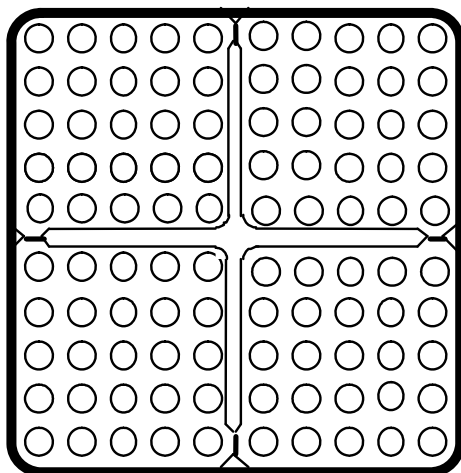
**8x8 in 1968**



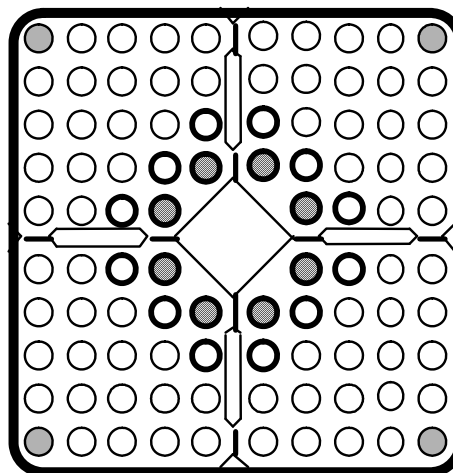
**SVEA-64 in 1981**



**SVEA-100 in 1986**



**SVEA-96 in 1988**

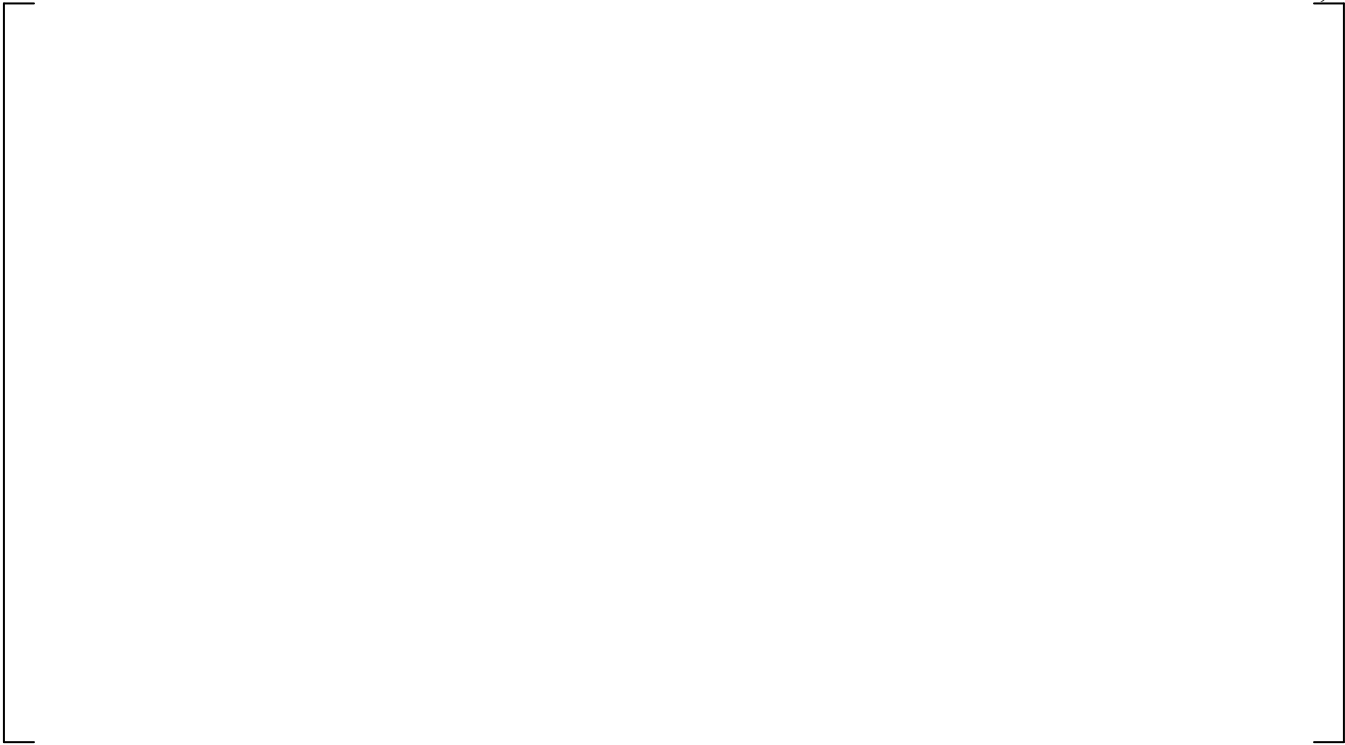


Note: The SVEA-96 design shown above contains 96 full-length rods with the same diameter in SVEA-96 and SVEA-96+. The part-length rods for SVEA-96 Optima, Optima2, and Optima3 are identified as follows.

- 2/3<sup>rd</sup> Part-length Rods in SVEA-96 Optima, Optima2 and Optima3
- 1/3<sup>rd</sup> Part-length Rods in SVEA-96 Optima2 and Optima3
- Increased diameter (10.3 mm) in SVEA-96 Optima

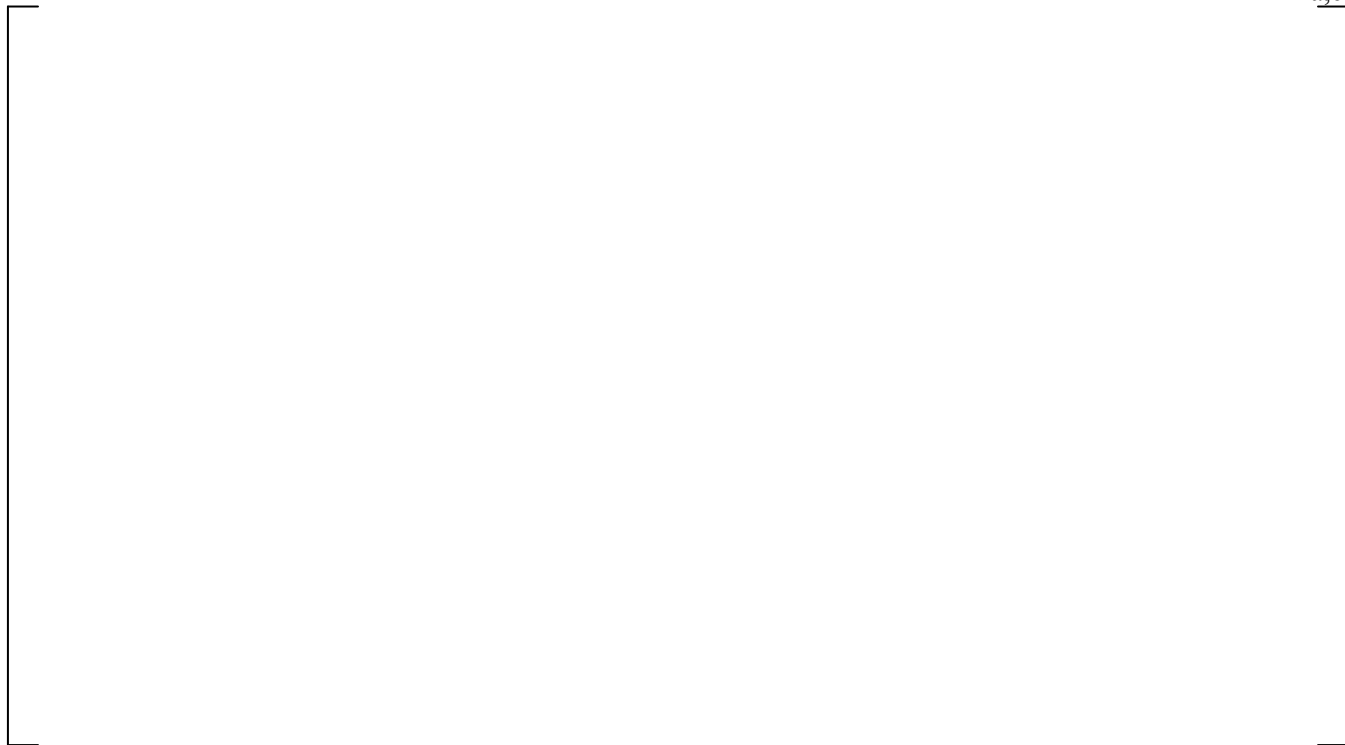
**Figure 7-1 SVEA Fuel Designs**

a,c



**Figure 7-2 Burnup Statistics as of December 2012**

a,c



**Figure 7-3 Primary Failure Experience in Lined SVEA 10x10 Fuel**



**Figure 7-4 Secondary Degradation Experience in Lined SVEA 10x10 Fuel**

## 8 PROTOTYPE TESTING

Westinghouse has a continuing program to perform prototype testing for all of their fuel assembly designs. Tests have been performed on the Westinghouse 8x8 design, the SVEA-64 design, the SVEA-100 design, the SVEA 96/96+ design, the SVEA-96 Optima design, the SVEA 96 Optima2 design and the SVEA-96 Optima3 design. The types of testing include seismic testing of the assemblies, strength tests on individual components, fretting tests, and hydraulic endurance and performance tests. This section describes some of the tests that have been performed which support the SVEA-96 Optima3 design and design evaluation.

This information is provided to supplement the analytical and operating experience bases of the 10x10 SVEA fuel, including the SVEA-96 Optima3 design. A discussion of in-reactor experience, which includes inspection data from Lead Fuel Assemblies at various plants in addition to reload quantities of 10x10 SVEA fuel is provided in Section 7.

### 8.1 FRETTING TESTS

Full-scale tests using one- and two-phase flow have been carried out on SVEA-96 Optima3 test fuel assemblies in the Westinghouse BURE test loop in Västerås, Sweden. The intent of these tests was to verify that unacceptable fretting wear would not occur under operating conditions. The spacers were adjusted to [

] <sup>a,c</sup>. Conditions for the tests are described in the table below.

Nominal SVEA-96 Optima3 Test, Operating Parameters			
		2-Phase Test	1-Phase Test

The test assemblies and all their components were carefully inspected after the tests. [

] <sup>a,c</sup>

The conclusion from the fretting tests is that the mechanical behavior of the SVEA-96 Optima3 fuel is satisfactory and that reactor operation without unacceptable wear for the design life of the fuel caused by fretting can be expected.

## 8.2 PRESSURE CYCLING TEST

A pressure cyclic test was performed [ ]<sup>a,c</sup> to verify its ability to withstand load following during reactor operation. The test is [ ]<sup>a,c</sup>.

*The test was performed [*

*]*<sup>a,c</sup>

## 8.3 LATERAL LOAD CYCLING TEST, CHANNEL AND SPACER

*Lateral load cycling tests have been performed with low-cycle fatigue tests with the purpose of qualifying spacers and channel for seismic loads. During a seismic event, dynamic forces from the sub-bundle are transmitted by the spacers to the water cross and the outer channel.*

*The test was performed by [*

*]*<sup>a,c</sup>

A similar test has also been performed with a [

*]*<sup>a,c</sup>



[

] <sup>a,c</sup>

#### 8.4 SPACER CAPTURE TEST

The spacer capture function has been tested on SVEA-96 Optima3 tie rods and spacers.

Test of spacer capture force with SVEA-96 Optima3 spacers shows [

] <sup>a,c</sup>

*Shear tests show [*

] <sup>a,c</sup>

#### 8.5 HANDLE TENSION TEST

[

] <sup>a,c</sup>

*The handles were fastened to the tension testing machine with screws fitting the holes for the channel screws, and the upward force on the handle beam was applied by a simulated fuel grapple. [*

] <sup>a,c</sup>

---

*Furthermore, additional tension tests have been performed on SVEA-96/SVEA-96 Optima2/SVEA-96 Optima3 handles. The minimum measured margins against handle rupture in these tests were [ ]<sup>a,c</sup>.*

## **8.6 TENSION TEST ON SCREW MOUNTED IN CHANNEL**

[

] <sup>a,c</sup>

## **9 TESTING, INSPECTION AND SURVEILLANCE PLANS**

### **9.1 TESTING AND INSPECTION OF NEW FUEL**

#### **9.1.1 Inspection and Testing Associated with Manufacturing**

*The specific manufacturing inspections and tests are continually updated to improve manufacturing processes and product quality. A general summary of typical inspections and tests performed as part of the fabrication process is provided to give an indication of the general scope and nature of manufacturing tests and inspections.*

#### **Fuel Rods**

[

] <sup>a,c</sup>

- [

] <sup>a,c</sup>

**Fuel Subbundles**

[

] <sup>a,c</sup>

**Fuel Channel**

[

] <sup>a,c</sup>

**Handle**

[

] <sup>a,c</sup>

– [ ]<sup>a,c</sup>

## Fuel Assembly

[

]<sup>a,c</sup>

## 9.2 ON-LINE FUEL SYSTEM MONITORING

*On-line monitoring is plant specific. It is addressed in the applicants Final Safety Analysis Report, (FSAR).*

## 9.3 POST-IRRADIATION SURVEILLANCE

*As illustrated in Section 7, Westinghouse considers inspection of Westinghouse fuel assemblies a crucial aspect part of the goal to achieve zero failures. Specific post irradiation examination programs depend on the design and the application. A general overview is provided in this section.*

[

]<sup>a,c</sup>

*The data from these examinations, plus historical records are collected, summarized, documented, stored and readily retrievable by Westinghouse in Europe and the U.S. The information is made available to fuel users. Lessons learned are fed back into the design to improve the fuel performance, decrease the risk, and to reduce cost. Westinghouse has performed fuel surveillance on irradiated SVEA-10x10 fuel in Swedish reactors during outages every year since 1987. The experience with SVEA-10x10 fuel is directly applicable to SVEA-96 Optima3. Furthermore, Westinghouse has performed examinations of various SVEA-96 fuel types in Westinghouse Nordic plants, Siemens plants in Germany, GE plants in Switzerland, Spain and the U.S. This work has included dismantling of SVEA assemblies and subbundles and inspection of fuel rods and spacer capture rods.*

*Westinghouse has routinely inspected, and performed operations on 8x8 fuel since the early 1970's and on SVEA fuel since 1982. Westinghouse has performed most of the fuel surveillance in Sweden, Finland, Germany and Switzerland.*

*Surveillance work may include any or all of the following:*

[

] <sup>a,c</sup>

*Additional details on inspections of SVEA fuel is given in Section 7.4. This experience provides Westinghouse with a very solid record of fuel performance.*

---

## 10 REFERENCES

- 1.0 Westinghouse Report WCAP-15942-P-A, Rev. 0 (Proprietary), WCAP-15942-NP-A, Rev. 0 (Non-Proprietary), “Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors Supplement 1 to CENP-287,” March 2006.
- 1.1 Westinghouse Report CENPD-300-P-A, Rev. 0 (Proprietary), CENPD-300-NP-A, Rev. 0 (Non-Proprietary), “Reference Safety Report for Boiling Water Reactor Reload Fuel,” July 1996.
- 1.2 Westinghouse Report WCAP-15836-P-A, Rev. 0 (Proprietary), WCAP-15836-NP-A, Rev. 0 (Non-Proprietary), “Fuel Rod Design Methods for Boiling Water Reactors – Supplement 1,” April 2006.
- 1.3 ASME Boiler and Pressure Vessel Code, Section III, “Rules for Construction of Nuclear Facility Components,” American Society of Mechanical Engineers.
- 1.4 NUREG-0800, Rev. 3, “Fuel System Design,” U.S. Nuclear Regulatory Commission Standard Review Plan Section 4.2, March 2007.
- 1.5 10 Code of Federal Regulations (CFR) 50, Appendix A, “General Design Criteria for Nuclear Power Plants,” U.S. Nuclear Regulatory Commission.
- 2.0 Westinghouse Report CENPD-287-P-A, Rev. 0 (Proprietary), CENPD-287-NP-A, Rev. 0 (Non-Proprietary), “Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors,” July 1996.
- 3.0 Westinghouse Report CENPD-288-P-A, Rev. 0 (Proprietary), CENPD-288-NP-A, Rev. 0 (Non-Proprietary), “ABB Seismic/LOCA Evaluation Methodology for Boiling Water Fuel,” July 1996.
- 3.1 Westinghouse Report RPB 90-93-P-A, Rev. 0 (Proprietary), RPB 90-91-NP-A, Rev. 0 (Non-Proprietary), “Boiling Water Reactor Emergency Core Cooling System Evaluation Model: Code Description and Qualification,” October 1991.
- 3.2 Westinghouse Report RPB 90-94-P-A, Rev. 0 (Proprietary), RPB 90-92-NP-A, Rev. 0 (Non-Proprietary), “Boiling Water Reactor Emergency Core Cooling System Evaluation Model: Code Sensitivity,” October 1991.
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- 3.4 Westinghouse Report CENPD-293-P-A, Rev. 0 (Proprietary), CENPD-293-NP-A, Rev. 0 (Non-Proprietary), “BWR ECCS Evaluation Model: Supplement 1 to Code Description and Qualification,” July 1996.

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- 3.5 Westinghouse Report WCAP-15682-P-A, Rev. 0 (Proprietary), WCAP-15682-NP-A, Rev. 0 (Non-Proprietary), “Westinghouse BWR ECCS Evaluation Model: Supplement 2 to Code Description, Qualification and Application,” April 2003.
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- 4.1 Westinghouse Report WCAP-16747-P-A, Rev. 0 (Proprietary), Report WCAP-16747-NP-A, Rev. 0 (Non-Proprietary), “POLCA-T: System Analysis Code with Three-Dimensional Core Model”, Appendix A “Control Rod Drop Analysis,” September 2010.
- 4.2 MATPRO-Version 11 (Revision 2), “A Handbook of Materials Properties for Use in the Analysis of Light Water Reactor Fuel Rod Behavior,” NUREG/CR-0497, TREE-1280.
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- 4.10 Westinghouse Report CENPD-292-P-A, Rev. 0 (Proprietary), CENPD-292-NP-A, Rev. 0 (Non-Proprietary), “BISON – One Dimensional Dynamic Analysis Code for Boiling Water Reactors: Supplement 1 to Code Description and Qualification,” July 1996.
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  - 4.12 Regulatory Guide 1.25, “Assumptions Used for Evaluating the Potential Radiological Consequences of a Fuel Handling Accident in the Fuel Handling and Storage Facility for Boiling and Pressurized Water Reactors,” U.S. Nuclear Commission, March 1972.
  - 4.13 Regulatory Guide 1.3, Rev. 2, “Assumptions Used for Evaluating the Potential Radiological Consequences of a Loss of Coolant Accident for Boiling Water Reactors,” U.S. Nuclear Regulatory Commission, June 1974.

**Section D**  
**Submittal of Responses to Requests for Additional Information**



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LTR-NRC-16-52

August 1, 2016

Subject: Responses to NRC Request for Additional Information for the Westinghouse Electric Company (Westinghouse) Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, "Reference Fuel Design SVEA-96 Optima3" (Proprietary/Non-Proprietary)

Enclosed are copies of the proprietary and non-proprietary versions of "Responses to NRC Request for Additional Information for the Westinghouse Electric Company (Westinghouse) Topical Report WCAP-17769-P/ WCAP-17769-NP, Revision 0, 'Reference Fuel Design SVEA-96 Optima3' " (Proprietary/Non-Proprietary). This transmittal contains the responses for all 12 RAIs.

Also enclosed are:

1. An Application for Withholding Proprietary Information from Public Disclosure, AW-16-4459 with Proprietary Information Notice and Copyright Notice
2. An Affidavit (Non-Proprietary).

This submittal contains proprietary information of Westinghouse Electric Company LLC. In conformance with the requirements of 10 CFR Section 2.390, as amended, of the Commission's regulations, we are enclosing with this submittal an Application for Withholding Proprietary Information from Public Disclosure and an Affidavit. The Affidavit sets forth the basis on which the information identified as proprietary may be withheld from public disclosure by the Commission.

Correspondence with respect to the proprietary aspects of the Application for Withholding or the Westinghouse Affidavit should reference AW-16-4459 and should be addressed to James A. Gresham, Manager, Regulatory Compliance, Westinghouse Electric Company, 1000 Westinghouse Drive, Building 3 Suite 310, Cranberry Township, Pennsylvania 16066.

A handwritten signature in black ink, appearing to read 'James A. Gresham', written over a horizontal line.

James A. Gresham, Manager  
Regulatory Compliance

Enclosures

cc: Ekaterina Lenning  
Kevin Hsueh



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AW-16-4459

August 1, 2016

APPLICATION FOR WITHHOLDING PROPRIETARY  
INFORMATION FROM PUBLIC DISCLOSURE

Subject: LTR-NRC-16-52 P-Attachment, "Responses to NRC Request for Additional Information for the Westinghouse Electric Company (Westinghouse) Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, 'Reference Fuel Design SVEA-96 Optima3' " (Proprietary)

Reference: Letter from James A. Gresham to Document Control Desk, LTR-NRC-16-52, dated August 1, 2016

The Application for Withholding Proprietary Information from Public Disclosure is submitted by Westinghouse Electric Company LLC (Westinghouse), pursuant to the provisions of paragraph (b)(1) of Section 2.390 of the Commission's regulations. It contains commercial strategic information proprietary to Westinghouse and customarily held in confidence.

The proprietary information for which withholding is being requested in the above-referenced report is further identified in Affidavit AW-16-4459 signed by the owner of the proprietary information, Westinghouse Electric Company LLC. The Affidavit, which accompanies this letter, sets forth the basis on which the information may be withheld from public disclosure by the Commission and addresses with specificity the considerations listed in paragraph (b)(4) of 10 CFR Section 2.390 of the Commission's regulations.

Correspondence with respect to the proprietary aspects of this Application for Withholding or the accompanying Affidavit should reference AW-16-4459 and should be addressed to James A. Gresham, Manager, Regulatory Compliance, Westinghouse Electric Company, 1000 Westinghouse Drive, Building 3 Suite 310, Cranberry Township, Pennsylvania 16066.

A handwritten signature in black ink, appearing to read 'JA Gresham', written in a cursive style.

James A. Gresham, Manager  
Regulatory Compliance

AW-16-4459  
August 1, 2016

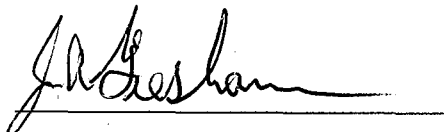
AFFIDAVIT

COMMONWEALTH OF PENNSYLVANIA:

ss

COUNTY OF BUTLER:

I, James A. Gresham, am authorized to execute this Affidavit on behalf of Westinghouse Electric Company LLC (Westinghouse), and that the averments of fact set forth in this Affidavit are true and correct to the best of my knowledge, information, and belief.

A handwritten signature in black ink, appearing to read "J. A. Gresham", is written over a horizontal line.

James A. Gresham, Manager  
Regulatory Compliance

- (1) I am Manager, Regulatory Compliance, Westinghouse Electric Company LLC (Westinghouse), and as such, I have been specifically delegated the function of reviewing the proprietary information sought to be withheld from public disclosure in connection with nuclear power plant licensing and rule making proceedings, and am authorized to apply for its withholding on behalf of Westinghouse.
- (2) I am making this Affidavit in conformance with the provisions of 10 CFR Section 2.390 of the Commission's regulations and in conjunction with the Westinghouse Application for Withholding Proprietary Information from Public Disclosure accompanying this Affidavit.
- (3) I have personal knowledge of the criteria and procedures utilized by Westinghouse in designating information as a trade secret, privileged or as confidential commercial or financial information.
- (4) Pursuant to the provisions of paragraph (b)(4) of Section 2.390 of the Commission's regulations, the following is furnished for consideration by the Commission in determining whether the information sought to be withheld from public disclosure should be withheld.
  - (i) The information sought to be withheld from public disclosure is owned and has been held in confidence by Westinghouse.
  - (ii) The information is of a type customarily held in confidence by Westinghouse and not customarily disclosed to the public. Westinghouse has a rational basis for determining the types of information customarily held in confidence by it and, in that connection, utilizes a system to determine when and whether to hold certain types of information in confidence. The application of that system and the substance of that system constitute Westinghouse policy and provide the rational basis required.

Under that system, information is held in confidence if it falls in one or more of several types, the release of which might result in the loss of an existing or potential competitive advantage, as follows:

    - (a) The information reveals the distinguishing aspects of a process (or component, structure, tool, method, etc.) where prevention of its use by any of Westinghouse's competitors without license from Westinghouse constitutes a competitive economic advantage over other companies.

- (b) It consists of supporting data, including test data, relative to a process (or component, structure, tool, method, etc.), the application of which data secures a competitive economic advantage, e.g., by optimization or improved marketability.
  - (c) Its use by a competitor would reduce his expenditure of resources or improve his competitive position in the design, manufacture, shipment, installation, assurance of quality, or licensing a similar product.
  - (d) It reveals cost or price information, production capacities, budget levels, or commercial strategies of Westinghouse, its customers or suppliers.
  - (e) It reveals aspects of past, present, or future Westinghouse or customer funded development plans and programs of potential commercial value to Westinghouse.
  - (f) It contains patentable ideas, for which patent protection may be desirable.
- (iii) There are sound policy reasons behind the Westinghouse system which include the following:
- (a) The use of such information by Westinghouse gives Westinghouse a competitive advantage over its competitors. It is, therefore, withheld from disclosure to protect the Westinghouse competitive position.
  - (b) It is information that is marketable in many ways. The extent to which such information is available to competitors diminishes the Westinghouse ability to sell products and services involving the use of the information.
  - (c) Use by our competitor would put Westinghouse at a competitive disadvantage by reducing his expenditure of resources at our expense.
  - (d) Each component of proprietary information pertinent to a particular competitive advantage is potentially as valuable as the total competitive advantage. If competitors acquire components of proprietary information, any one component may be the key to the entire puzzle, thereby depriving Westinghouse of a competitive advantage.

- (e) Unrestricted disclosure would jeopardize the position of prominence of Westinghouse in the world market, and thereby give a market advantage to the competition of those countries.
- (f) The Westinghouse capacity to invest corporate assets in research and development depends upon the success in obtaining and maintaining a competitive advantage.
- (iv) The information is being transmitted to the Commission in confidence and, under the provisions of 10 CFR Section 2.390, is to be received in confidence by the Commission.
- (v) The information sought to be protected is not available in public sources or available information has not been previously employed in the same original manner or method to the best of our knowledge and belief.
- (vi) The proprietary information sought to be withheld in this submittal is that which is appropriately marked in LTR-NRC-16-52 P-Attachment, “Responses to NRC Request for Additional Information for the Westinghouse Electric Company (Westinghouse) Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, ‘Reference Fuel Design SVEA-96 Optima3’ ” (Proprietary), for submittal to the Commission, being transmitted by Westinghouse Letter, LTR-NRC-16-52, and Application for Withholding Proprietary Information from Public Disclosure, to the Document Control Desk. The proprietary information as submitted by Westinghouse is that associated with Westinghouse’s request for NRC approval of WCAP-17769, and may be used only for that purpose.
  - (a) This information is part of that which will enable Westinghouse to obtain NRC approval of the application of the Westinghouse design methodology to the SVEA-96 Optima3 fuel assembly, as documented in WCAP-17769, Revision 0, “Reference Fuel Design SVEA-96 Optima3.”
  - (b) Further, this information has substantial commercial value as follows:
    - (i) Westinghouse plans to sell the use of similar information to its customers for the purpose of assisting customers in obtaining license changes with respect to the SVEA-96 Optima3 fuel design.



- (ii) Westinghouse can sell support and defense of industry guidelines and acceptance criteria for plant-specific applications.
- (iii) The information requested to be withheld reveals the distinguishing aspects of a methodology which was developed by Westinghouse.

Public disclosure of this proprietary information is likely to cause substantial harm to the competitive position of Westinghouse because it would enhance the ability of competitors to provide similar technical evaluation justifications and licensing defense services for commercial power reactors without commensurate expenses. Also, public disclosure of the information would enable others to use the information to meet NRC requirements for licensing documentation without purchasing the right to use the information.

The development of the technology described in part by the information is the result of applying the results of many years of experience in an intensive Westinghouse effort and the expenditure of a considerable sum of money.

In order for competitors of Westinghouse to duplicate this information, similar technical programs would have to be performed and a significant manpower effort, having the requisite talent and experience, would have to be expended.

Further the deponent sayeth not.

### **PROPRIETARY INFORMATION NOTICE**

Transmitted herewith are proprietary and non-proprietary versions of a document, furnished to the NRC in connection with requests for generic and/or plant-specific review and approval.

In order to conform to the requirements of 10 CFR 2.390 of the Commission's regulations concerning the protection of proprietary information so submitted to the NRC, the information which is proprietary in the proprietary versions is contained within brackets, and where the proprietary information has been deleted in the non-proprietary versions, only the brackets remain (the information that was contained within the brackets in the proprietary versions having been deleted). The justification for claiming the information so designated as proprietary is indicated in both versions by means of lower case letters (a) through (f) located as a superscript immediately following the brackets enclosing each item of information being identified as proprietary or in the margin opposite such information. These lower case letters refer to the types of information Westinghouse customarily holds in confidence identified in Sections (4)(ii)(a) through (4)(ii)(f) of the Affidavit accompanying this transmittal pursuant to 10 CFR 2.390(b)(1).

### **COPYRIGHT NOTICE**

The reports transmitted herewith each bear a Westinghouse copyright notice. The NRC is permitted to make the number of copies of the information contained in these reports which are necessary for its internal use in connection with generic and plant-specific reviews and approvals as well as the issuance, denial, amendment, transfer, renewal, modification, suspension, revocation, or violation of a license, permit, order, or regulation subject to the requirements of 10 CFR 2.390 regarding restrictions on public disclosure to the extent such information has been identified as proprietary by Westinghouse, copyright protection notwithstanding. With respect to the non-proprietary versions of these reports, the NRC is permitted to make the number of copies beyond those necessary for its internal use which are necessary in order to have one copy available for public viewing in the appropriate docket files in the public document room in Washington, DC and in local public document rooms as may be required by NRC regulations if the number of copies submitted is insufficient for this purpose. Copies made by the NRC must include the copyright notice in all instances and the proprietary notice if the original was identified as proprietary.

**Responses to NRC Request for Additional Information for the Westinghouse Electric Company (Westinghouse) Topical Report (TR) WCAP-17769-P/WCAP-17769-NP, Revision 0, “Reference Fuel Design SVEA-96 Optima3”**

**August 2016**

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**RAI-01**

Given that Page 2-3 states that the Optima3 design could lead to increased fuel loading, and that the longer part-length rods could similarly increase loading, justify the statement that the Optima2 and Optima3 designs are neutronically the same (Page 4-73).

**Response to RAI-01**

It should be noted that the example in Page 4-73 is only illustrative, whereas the safety analyses are always performed for plant- and cycle-specific power histories, with the explicit modeling of the actual fuel dimensions and material properties. For the sole purpose of illustrating the methodology, the strong similarities between SVEA-96 Optima2 and Optima3, from the physics point of view, were considered sufficient to motivate the use of SVEA-96 Optima2-based power histories.

To avoid any confusion, the second sentence of the first paragraph on Page 4-73 of the licensing topical report will be modified as follows. The first sentence of that paragraph is included here for context.

**Current Rev. 0:**

[

] <sup>a,c</sup>

**Revised:**

[

] <sup>a,c</sup>

**RAI-02**

Section 3.2.5 mentions using collapse load analysis criteria as an alternative to the design limits for stress determined by the American Society of Mechanical Engineers (ASME) boiling and pressure vessel committee (BPVC), yet the discussion also says it is based on the ASME BPVC. Please clarify this contradiction.

Assuming both methods are part of the ASME BPVC, there should be some discussion regarding the criteria used to determine the appropriate method. Describe how the collapse load analysis will be selected as opposed to the nominal ASME BPVC approach to establish design limits for stress during normal operation and anticipated operational occurrences.

**Response to RAI-02**

The proposed methodology is based on ASME Boiler and Pressure Vessel Code 2010, Section III Subsection NB. The Westinghouse collapse load analysis is the Plastic Analysis defined in ASME BPVC 2010 NB-3228.3. The Westinghouse collapse load analysis is performed using nonlinear finite element simulations based on large deformation theory in order to capture cladding ovality effects on collapse.

[

] <sup>a,c</sup>

[

( Description of the Westinghouse collapse load analysis method )

] <sup>a,c</sup>

**RAI-03**

It is unclear whether or not predictions based on data collected from tie plates and spacers irradiated to an [ ]<sup>a,c</sup> respectively, is adequate justification for reaching [ ]<sup>a,c</sup> Please justify the use of data collected at lower assembly-average (or rod-average) burnup to reach [ ]<sup>a,c</sup> respectively. Please state if there is intent to re-use irradiated fuel channels in new fuel assemblies.

**Response to RAI-03**

The general approach for SVEA fuel development, as can be seen in WCAP-17769 Section 2 and Section 7, is evolution rather than revolution. In addition to prototype testing, new fuel features are, to the largest extent possible, based on previous successful operating experience of similar fuel features, followed by verification via lead test programs. This approach is also valid for SVEA-96 Optima3 fuel.

SVEA-96 Optima3 fuel was inspected in November 2014 at an assembly average burnup of [ ]<sup>a,c</sup>. Two fuel assemblies were inspected, including bottom tie plates and detailed inspection of spacers and also including extraction and reinsertion of fuel rods. There were no findings and both assemblies were approved for further irradiation. The extrapolation of SVEA-96 Optima3 inspection results from an assembly average burnup of [ ]<sup>a,c</sup> to an assembly average burnup of [ ]<sup>a,c</sup> is thus small and successful SVEA-96 Optima3 operational experience with assembly average burnup reaching above [ ]<sup>a,c</sup> already exists. For comparison the maximum rod exposure for SVEA-96 Optima2 has been determined to be [ ]<sup>a,c</sup> using approved methods from WCAP-15942-P-A, "Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors - Supplement 1 to CENP-287," March 2006. The SVEA-96 Optima2 and SVEA-96 Optima3 burnup limits are thus consistent and appropriate.

Furthermore, both bottom tie plates and spacers are exposed to very moderate loads during normal operation and have in tests shown large margins to loads at special events. Bottom tie plates and spacers with the same materials, similar manufacturing techniques and similar operational conditions and functional requirements have also successfully been used in all SVEA fuels, including [ ]<sup>a,c</sup>

Therefore, the inspection results and operational experience collected for SVEA-96 Optima3 bottom tie plates and spacers, supported by extensive and successful experience of bottom tie plates and spacers in all other SVEA fuels, with the same materials, similar manufacturing techniques and similar operational conditions and functional requirements for these components, is judged to be adequate justification for reaching [ ]<sup>a,c</sup> However, the SVEA-96 Optima3 inspection program continues and detailed inspections at higher burnup will follow.

No SVEA fuel components, neither channel nor any other fuel component, is re-used in new fuel assemblies except in single cases where test assemblies, under controlled conditions, may be equipped with re-used components such as channels or fuel rods to gain further experience at higher burnup. In these single cases each component to be re-used is carefully characterized before insertion into a new (lower burnt) assembly and the continued operation of the test assembly is followed in an inspection program.

**RAI-04**

Are there weld qualifications that should be referenced? Aside from irradiation experience, is there any documentation that can be cited to indicate that the welds have undergone a rigorous weld qualification that ensures the laser beam welds are as robust as the electron beam welds?

**Response to RAI-04**

The SVEA-96 Optima3 spacer is built from spacer cells and frames. The cells are fabricated from Nickel Base Alloy strip, punched, stamped and coiled to form octagonal cells. All manufacturing steps as from coiling of cells to welded spacer are made in a fully automated manufacturing line at the Westinghouse Electric Sweden (WSE) Nuclear Fuel Facility.

Welding processes at WSE are performed according to internal instructions and qualified according to [

] <sup>a,c</sup>

Personnel for welding are qualified according to the ISO standard, SS-EN ISO 14732, “Welding personnel – Qualification testing of welding operators and weld setters for mechanized and automatic welding of metallic materials.”

There have never been any fuel failures in SVEA fuel related to spacer malfunction, [ <sup>a,c</sup> SVEA-96 Optima3 type spacers were introduced in 2004, and the manufacturing as well as operating experience is now significant with more than [ <sup>a,c</sup> SVEA-96 Optima3 spacers delivered, corresponding to about [ <sup>a,c</sup>

The welding qualification documents are considered internal documentation and are typically not referenced in our fuel licensing topical reports. However these documents can be made available for audit by NRC personnel.



**RAI-05**

The TR does not specifically state which of the uncertainties will be used for rod internal pressure. On TR Page 4-79 it is stated that the following uncertainties are typically considered for rod internal pressure. How does the methodology decide which uncertainties are actually considered? On Page 4-81 sample calculation of the critical lift-off pressure concludes that it is [ ]<sup>a,c</sup> Where does the [ ]<sup>a,c</sup> come from since evaluation seems to be done for worst case models (lower bound swelling and upper bound creep rate, max clad inner diameter, min clad outer diameter)?

**Response to RAI-05**

Within the SVEA-96 Optima2 Topical Report (WCAP-15942-P-A, “Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors - Supplement 1 to CENP-287,” March 2006) supporting calculations a study was performed to identify the parameters and uncertainty directions to be considered. This has been carried forward for the SVEA-96 Optima3 supporting calculations as the fuel rod design does not have significant differences. Consequently the methodology for SVEA-96 Optima3 is consistent with that of SVEA-96 Optima2.

The uncertainty stated for the critical lift-off pressure represents the range of lift-off pressures achieved for different LHGRs (see Figure 4.3.2-2 in the topical report). In the sample application the lower bound of this range is chosen as the critical lift-off pressure to be compared against.

To provide a clear statement on the uncertainties considered in the rod internal pressure evaluation, the topical report will be modified. The last sentence of the fourth paragraph in Section 4.3.2, Page 4-79, will be modified as follows. The complete paragraph is included here for context.

**Current Rev. 0:**

*The dependence of the maximum fuel rod internal pressure on uncertainties in parameters to which the fuel rod pressure is sensitive is established, and an EOL value encompassing the significant uncertainties is established for comparison with the critical pressure required for fuel rod lift-off established in Step 1. The most limiting value of any parameter with a significant impact on fuel rod pressure, which is not included in the uncertainty evaluation, is utilized in the nominal calculation. Uncertainties in the following parameters are typically considered:*

**Revised:**

*The dependence of the maximum fuel rod internal pressure on uncertainties in parameters to which the fuel rod pressure is sensitive is established, and an EOL value encompassing the significant uncertainties is established for comparison with the critical pressure required for fuel rod lift-off established in Step 1. The most limiting value of any parameter with a significant impact on fuel rod pressure, which is not included in the uncertainty evaluation, is utilized in the nominal calculation. [ ]<sup>a,c</sup>*

**RAI-06**

The use of ANSYS was approved for determining assembly stress in Reference 2 of the submittal, but not for determining cladding stress. The description of the ANSYS model is very limited. With regard to Table 4.3.3-1 on Page 4-89, please explain what is meant by items in the first column. Also, how is maximum allowed differential pressure calculated?

**Response to RAI-06**

The unmarked column in Table 4.3.3-1 is the fuel rod power during the AOO overpressure transient. Included in the table are two examples of fuel rod power [ ]<sup>a,c</sup> for limiting AOO overpressure transients. As can be seen, the margin to Maximum Allowed Differential Pressure is [ ]<sup>a,c</sup> It can then be concluded that the margin to the stress limits for the SVEA-96 Optima3 will be acceptable for any credible BWR application. For example [ ]<sup>a,c</sup>

Maximum allowed differential pressure is calculated by using Westinghouse collapse load analysis. [ ]

] <sup>a,c</sup>

I

( Description of calculation of allowed differential pressure using collapse load analysis )

I<sup>ac</sup>

To avoid confusion, the licensing topical report will be modified as follows:

**Current Rev. 0:**

Table 4.3.3-1 Maximum Differential Pressure Over Cladding				
	Coolant Pressure	Cladding Temperature	Maximum Allowed Differential Pressure	Calculated Differential Pressure Over Cladding

Since the maximum allowed differential pressure exceeds the calculated differential pressure over the cladding [ ]<sup>a,c</sup> it is concluded that the margin to the stress limits for the SVEA-96 Optima3 will be acceptable for any credible BWR application.

**Revised:**

Table 4.3.3-1 Maximum Differential Pressure Over Cladding				
Coolant Pressure	Cladding Temperature	Example Power <sup>1)</sup>	Maximum Allowed Differential Pressure	Calculated Differential Pressure Over Cladding

[ ]<sup>a,c</sup>

Since the maximum allowed differential pressure exceeds the calculated differential pressure over the cladding [ ]<sup>a,c</sup>, it is concluded that the margin to the stress limits for the SVEA-96 Optima3 will be acceptable for any credible BWR application. For example [ ]<sup>a,c</sup>

**RAI-07**

On Page 4-90 the sample application for cladding strain does not show in the table how cladding corrosion is used (e.g., max, min, model parameter maximized). [ ]<sup>a,c</sup>  
Please provide details of how cladding corrosion is used in the uncertainty analysis for cladding strain.

**Response to RAI-07**

The cladding corrosion is internally calculated by the STAV code and the effect of [ ]<sup>a,c</sup>  
accounted for within the STAV calculation of the strain. [ ]

[ ]<sup>a,c</sup>

**RAI-08**

Although the methodology is unchanged, NRC staff recommends that, moving forward, it may be better to have an approved hydrogen pickup model in STAV7.2 rather than no approved model and a methodology that relies on data. An approved model is also recommended to support reactivity insertion accident and loss-of-coolant-accident criteria. [

] <sup>a,c</sup>

**Response to RAI-08**

Westinghouse's intention is to [

] <sup>e</sup>

The STAV7.2 hydrogen model has been accepted by the NRC, in connection with the review of Appendix A to WCAP-16747-P-A, "POLCA-T: System Analysis with Three-Dimensional Core Model," for the purpose of determining the cladding hydrogen content at the onset of postulated transients such as a BWR control rod drop accident. However, the model is an upper-bound model that was developed to predict the maximum hydrogen uptake at licensed discharge burnups.

**RAI-09**

On TR Page 4-108 the cladding temperature methodology does not specify that [ ]<sup>a,c</sup> be accounted. Please provide justification for not considering [ ]<sup>a,c</sup> in the cladding temperature analysis.

**Response to RAI-09**

Cladding failure due to overheating is not a credible mechanism during normal operation or anticipated operational occurrences (AOOs). Cladding temperature calculations are therefore not performed for normal operation and AOOs and consequently, [ ]<sup>a,c</sup> Specific cladding temperature calculations are performed for initiating events of lower frequency of occurrence. Methods and methodologies for analysis of these accidents are described in other Licensing Topical Reports and are outside the scope of WCAP-17769-P.

As stated in Section 4.3.9, the Westinghouse methodology for evaluating the potential for cladding failure due to overheating follows the traditional industry practice of assuming that failures will not occur if adequate margin to boiling transition (the Safety Limit Minimum Critical Power Ratio, SLMCPR) is maintained. The plant Operating Limit Minimum Critical Power Ratio (OLMCPR) is established for this purpose considering all possible plant transients classified as AOOs. The OLMCPR is determined such that MCPR reduction due to anticipated operational transients does not result in a MCPR below the SLMCPR. The criterion is, however, considered to be overly conservative regarding cladding overheating damage.

**RAI-10**

It is unclear if Westinghouse will use the boiling water reactor (BWR) pellet-clad mechanical interaction (PCMI) fuel cladding failure criteria from Standard Reactor Plan 4.2 for control rod drop accident (CRDA). Also, it is not clear if dose will be calculated for CRDA with failed fuel. Such calculations should be checked against Regulatory Guide (RG) 1.3 and RG 1.25. Will the BWR PCMI fuel cladding failure criteria be applied to CRDA? Will a dose be calculated for a CRDA assuming failed fuel and, if so, how will the dose be calculated?

**Response to RAI-10**

The Control Rod Drop Accident (CRDA) methodology and acceptance criteria are addressed outside the scope of WCAP-17769-P. The CRDA is included in Topical Reports CENPD-284-P-A, "Control Rod Accident Analysis Methodology for Boiling Water Reactors: Summary and Qualification," for the RAMONA code and Appendix A to WCAP-16747-P-A, "POLCA-T: System Analysis with Three-Dimensional Core Model," for the POLCA-T code. New fuel reload applications will be analyzed with POLCA-T.

In WCAP-16747-P-A (POLCA-T), Westinghouse has committed to the following criteria. The same criteria would be applied if the RAMONA methodology is used.

- Until final acceptance criteria are published by the NRC, POLCA-T methodology will determine the extent of fuel damage using the interim acceptance criteria in SRP 4.2, Revision 3 Appendix B for new reactor applications.
- Once the final acceptance criteria are published by the NRC, the POLCA-T methodology will adopt these criteria for all CRDA analysis.

It should be noted that WCAP-17769-P, "Reference Fuel Design SVEA-96 Optima3," provides only the description of the fuel design and the determination of the Specified Acceptable Fuel Design Limits for the fuel design in question as specified in the Chapter 4 Standard Review Plans. The methodology, including the acceptance criteria, for the safety analyses described in the SRP Chapter 15 are described in various other Licensing Topical Reports. Also, the evaluation of radiological consequences based on the fuel damage determined using either POLCA-T or RAMONA is beyond the scope of this LTR.



**RAI-11**

A cladding strain sample application previously applied to Optima2 for [ ]<sup>a,c</sup> was repeated for Optima3 for only [ ]<sup>a,c</sup> Show the sensitivity to hold time or justify why [ ]<sup>a,c</sup> is not realistic.

**Response to RAI-11**

In the SVEA-96 Optima2 Topical Report (WCAP-15942-P-A, “Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors - Supplement 1 to CENP-287,” March 2006) supporting calculations, Westinghouse performed the Rod Internal Pressure for Anticipated Operational Occurrences (AOO) calculations using a hold time of [ ]<sup>a,c</sup> The cladding strain AOO calculations were performed using a conservative hold time of [ ]<sup>a,c</sup> This is consistent with the treatment in the SVEA-96 Optima3 calculations.

To correct this typo in Section 4.3.2 of the licensing topical report, the last sentence in the second paragraph under the subheading “Maximum Internal Pressure,” Page 4-82, will be modified as follows:

**Current Rev. 0:**

/ ]<sup>a,c</sup>

**Revised:**

/ ]<sup>a,c</sup>

**RAI-12**

Are rod burnup limits the same for full and part-length fuel rods? What is the peak pellet burnup limit?

**Response to RAI-12**

The supporting analyses presented in the topical report show that both the full and part-length fuel rods satisfy the criteria up to the rod burnup limit of [ ]<sup>a,c</sup> An explicit peak pellet burnup has not been presented in the topical report, although the analyses presented cover the expected maximum achievable pellet burnups up to a rod burnup limit of [ ]<sup>a,c</sup>



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LTR-NRC-17-2

January 10, 2017

Subject: Responses to NRC Request for Additional Information for the Westinghouse Electric Company Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, "Reference Fuel Design SVEA-96 Optima3" (Proprietary/Non-Proprietary)

Enclosed are copies of the proprietary and non-proprietary versions of Responses to NRC Request for Additional Information for the Westinghouse Electric Company Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, "Reference Fuel Design SVEA-96 Optima3" (Proprietary/Non-Proprietary). This submittal contains revised responses for RAI-06 and RAI-09 to address the additional RAIs. In addition, minor editorial changes are included for RAI-02.

Also enclosed are:

1. An Application for Withholding Proprietary Information from Public Disclosure, AW-17-4529 with Proprietary Information Notice and Copyright Notice
2. An Affidavit (Non-Proprietary)

This submittal contains proprietary information of Westinghouse Electric Company LLC ("Westinghouse"). In conformance with the requirements of 10 CFR Section 2.390, as amended, of the Nuclear Regulatory Commission's ("Commission's") regulations, we are enclosing with this submittal an Application for Withholding Proprietary Information from Public Disclosure and an Affidavit. The Affidavit sets forth the basis on which the information identified as proprietary may be withheld from public disclosure by the Commission.

Correspondence with respect to the proprietary aspects of the Application for Withholding or the Westinghouse Affidavit should reference AW-17-4529 and should be addressed to James A. Gresham, Manager, Regulatory Compliance, Westinghouse Electric Company, 1000 Westinghouse Drive, Building 3 Suite 310, Cranberry Township, Pennsylvania 16066.

A handwritten signature in black ink, appearing to read 'J. A. Gresham'.

James A. Gresham, Manager  
Regulatory Compliance

Enclosures

cc: Ekaterina Lenning  
Kevin Hsueh



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AW-17-4529

January 10, 2017

APPLICATION FOR WITHHOLDING PROPRIETARY  
INFORMATION FROM PUBLIC DISCLOSURE

Subject: LTR-NRC-17-2 P-Attachment, Responses to NRC Request for Additional Information for the Westinghouse Electric Company Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, "Reference Fuel Design SVEA-96 Optima3" (Proprietary)

Reference: Letter from James A. Gresham to Document Control Desk, LTR-NRC-17-2, dated January 10, 2017

The Application for Withholding Proprietary Information from Public Disclosure is submitted by Westinghouse Electric Company LLC ("Westinghouse"), pursuant to the provisions of paragraph (b)(1) of Section 2.390 of the Nuclear Regulatory Commission's ("Commission's") regulations. It contains commercial strategic information proprietary to Westinghouse and customarily held in confidence.

The proprietary information for which withholding is being requested in the above-referenced report is further identified in Affidavit AW-17-4529 signed by the owner of the proprietary information, Westinghouse. The Affidavit, which accompanies this letter, sets forth the basis on which the information may be withheld from public disclosure by the Commission and addresses with specificity the considerations listed in paragraph (b)(4) of 10 CFR Section 2.390 of the Commission's regulations.

Correspondence with respect to the proprietary aspects of this Application for Withholding or the accompanying Affidavit should reference AW-17-4529 and should be addressed to James A. Gresham, Manager, Regulatory Compliance, Westinghouse Electric Company, 1000 Westinghouse Drive, Building 3 Suite 310, Cranberry Township, Pennsylvania 16066.

A handwritten signature in black ink, appearing to read "JA Gresham", written over a horizontal line.

James A. Gresham, Manager  
Regulatory Compliance

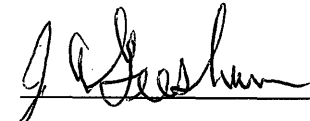
AFFIDAVIT

COMMONWEALTH OF PENNSYLVANIA:

SS

COUNTY OF BUTLER:

I, James A. Gresham, am authorized to execute this Affidavit on behalf of Westinghouse Electric Company LLC ("Westinghouse"), and declare that the averments of fact set forth in this Affidavit are true and correct to the best of my knowledge, information, and belief.

  
\_\_\_\_\_  
James A. Gresham, Manager  
Regulatory Compliance

Executed on: 1/18/17

- (1) I am Manager, Regulatory Compliance, Westinghouse Electric Company LLC (“Westinghouse”), and as such, I have been specifically delegated the function of reviewing the proprietary information sought to be withheld from public disclosure in connection with nuclear power plant licensing and rule making proceedings, and am authorized to apply for its withholding on behalf of Westinghouse.
- (2) I am making this Affidavit in conformance with the provisions of 10 CFR Section 2.390 of the Nuclear Regulatory Commission’s (“Commission’s”) regulations and in conjunction with the Westinghouse Application for Withholding Proprietary Information from Public Disclosure accompanying this Affidavit.
- (3) I have personal knowledge of the criteria and procedures utilized by Westinghouse in designating information as a trade secret, privileged or as confidential commercial or financial information.
- (4) Pursuant to the provisions of paragraph (b)(4) of Section 2.390 of the Commission’s regulations, the following is furnished for consideration by the Commission in determining whether the information sought to be withheld from public disclosure should be withheld.
  - (i) The information sought to be withheld from public disclosure is owned and has been held in confidence by Westinghouse.
  - (ii) The information is of a type customarily held in confidence by Westinghouse and not customarily disclosed to the public. Westinghouse has a rational basis for determining the types of information customarily held in confidence by it and, in that connection, utilizes a system to determine when and whether to hold certain types of information in confidence. The application of that system and the substance of that system constitute Westinghouse policy and provide the rational basis required.

Under that system, information is held in confidence if it falls in one or more of several types, the release of which might result in the loss of an existing or potential competitive advantage, as follows:

- (a) The information reveals the distinguishing aspects of a process (or component, structure, tool, method, etc.) where prevention of its use by any of

Westinghouse's competitors without license from Westinghouse constitutes a competitive economic advantage over other companies.

- (b) It consists of supporting data, including test data, relative to a process (or component, structure, tool, method, etc.), the application of which data secures a competitive economic advantage, e.g., by optimization or improved marketability.
  - (c) Its use by a competitor would reduce his expenditure of resources or improve his competitive position in the design, manufacture, shipment, installation, assurance of quality, or licensing a similar product.
  - (d) It reveals cost or price information, production capacities, budget levels, or commercial strategies of Westinghouse, its customers or suppliers.
  - (e) It reveals aspects of past, present, or future Westinghouse or customer funded development plans and programs of potential commercial value to Westinghouse.
  - (f) It contains patentable ideas, for which patent protection may be desirable.
- (iii) There are sound policy reasons behind the Westinghouse system which include the following:
- (a) The use of such information by Westinghouse gives Westinghouse a competitive advantage over its competitors. It is, therefore, withheld from disclosure to protect the Westinghouse competitive position.
  - (b) It is information that is marketable in many ways. The extent to which such information is available to competitors diminishes the Westinghouse ability to sell products and services involving the use of the information.
  - (c) Use by our competitor would put Westinghouse at a competitive disadvantage by reducing his expenditure of resources at our expense.

- (d) Each component of proprietary information pertinent to a particular competitive advantage is potentially as valuable as the total competitive advantage. If competitors acquire components of proprietary information, any one component may be the key to the entire puzzle, thereby depriving Westinghouse of a competitive advantage.
  - (e) Unrestricted disclosure would jeopardize the position of prominence of Westinghouse in the world market, and thereby give a market advantage to the competition of those countries.
  - (f) The Westinghouse capacity to invest corporate assets in research and development depends upon the success in obtaining and maintaining a competitive advantage.
- (iv) The information is being transmitted to the Commission in confidence and, under the provisions of 10 CFR Section 2.390, is to be received in confidence by the Commission.
- (v) The information sought to be protected is not available in public sources or available information has not been previously employed in the same original manner or method to the best of our knowledge and belief.
- (vi) The proprietary information sought to be withheld in this submittal is that which is appropriately marked in LTR-NRC-17-2 P-Attachment, “Responses to NRC Request for Additional Information for the Westinghouse Electric Company Topical Report WCAP-17769-P/WCAP-17769-NP, Revision 0, ‘Reference Fuel Design SVEA-96 Optima3’ ” (Proprietary), for submittal to the Commission, being transmitted by Westinghouse letter LTR-NRC-17-2. The proprietary information as submitted by Westinghouse is that associated with Westinghouse’s request for NRC approval of WCAP-17769-P, and may be used only for that purpose.
- (a) This information is part of that which will enable Westinghouse to obtain NRC approval of the application of the Westinghouse design methodology to the SVEA-96 Optima3 fuel assembly, as documented in WCAP-17769-P, Revision 0, “Reference Fuel Design SVEA-96 Optima3.”



- (b) Further, this information has substantial commercial value as follows:
- (i) Westinghouse plans to sell the use of similar information to its customers for the purpose of assisting customers in obtaining license changes with respect to the SVEA-96 Optima3 fuel design.
  - (ii) Westinghouse can sell support and defense of industry guidelines and acceptance criteria for plant-specific applications.
  - (iii) The information requested to be withheld reveals the distinguishing aspects of a methodology which was developed by Westinghouse.

Public disclosure of this proprietary information is likely to cause substantial harm to the competitive position of Westinghouse because it would enhance the ability of competitors to provide similar technical evaluation justifications and licensing defense services for commercial power reactors without commensurate expenses. Also, public disclosure of the information would enable others to use the information to meet NRC requirements for licensing documentation without purchasing the right to use the information.

The development of the technology described in part by the information is the result of applying the results of many years of experience in an intensive Westinghouse effort and the expenditure of a considerable sum of money.

In order for competitors of Westinghouse to duplicate this information, similar technical programs would have to be performed and a significant manpower effort, having the requisite talent and experience, would have to be expended.

Further the deponent sayeth not.

### **PROPRIETARY INFORMATION NOTICE**

Transmitted herewith are proprietary and non-proprietary versions of a document, furnished to the NRC in connection with requests for generic and/or plant-specific review and approval.

In order to conform to the requirements of 10 CFR 2.390 of the Commission's regulations concerning the protection of proprietary information so submitted to the NRC, the information which is proprietary in the proprietary versions is contained within brackets, and where the proprietary information has been deleted in the non-proprietary versions, only the brackets remain (the information that was contained within the brackets in the proprietary versions having been deleted). The justification for claiming the information so designated as proprietary is indicated in both versions by means of lower case letters (a) through (f) located as a superscript immediately following the brackets enclosing each item of information being identified as proprietary or in the margin opposite such information. These lower case letters refer to the types of information Westinghouse customarily holds in confidence identified in Sections (4)(ii)(a) through (4)(ii)(f) of the Affidavit accompanying this transmittal pursuant to 10 CFR 2.390(b)(1).

### **COPYRIGHT NOTICE**

The reports transmitted herewith each bear a Westinghouse copyright notice. The NRC is permitted to make the number of copies of the information contained in these reports which are necessary for its internal use in connection with generic and plant-specific reviews and approvals as well as the issuance, denial, amendment, transfer, renewal, modification, suspension, revocation, or violation of a license, permit, order, or regulation subject to the requirements of 10 CFR 2.390 regarding restrictions on public disclosure to the extent such information has been identified as proprietary by Westinghouse, copyright protection notwithstanding. With respect to the non-proprietary versions of these reports, the NRC is permitted to make the number of copies beyond those necessary for its internal use which are necessary in order to have one copy available for public viewing in the appropriate docket files in the public document room in Washington, DC and in local public document rooms as may be required by NRC regulations if the number of copies submitted is insufficient for this purpose. Copies made by the NRC must include the copyright notice in all instances and the proprietary notice if the original was identified as proprietary.

**Responses to NRC Request for Additional Information for the  
Westinghouse Electric Company Topical Report WCAP-17769-P/  
WCAP-17769-NP, Revision 0, “Reference Fuel Design SVEA-96 Optima3”**

**January 2017**

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**RAI-02**

Section 3.2.5 mentions using collapse load analysis criteria as an alternative to the design limits for stress determined by the American Society of Mechanical Engineers (ASME) boiling and pressure vessel committee (BPVC), yet the discussion also says it is based on the ASME BPVC. Please clarify this contradiction. Assuming both methods are part of the ASME BPVC, there should be some discussion regarding the criteria used to determine the appropriate method. Describe how the collapse load analysis will be selected as opposed to the nominal ASME BPVC approach to establish design limits for stress during normal operation and anticipated operational occurrences.

**Response to RAI-02**

The proposed methodology is based on ASME Boiler and Pressure Vessel Code 2010, Section III Subsection NB. The Westinghouse collapse load analysis is the Plastic Analysis defined in ASME BPVC 2010 NB-3228.3. The Westinghouse collapse load analysis is performed using nonlinear finite element simulations based on large deformation theory in order to capture cladding ovality effects on collapse.

I

J<sup>a,c</sup>

[

( Description of the Westinghouse collapse load analysis method )

] <sup>a,c</sup>

**RAI-06**

The use of ANSYS was approved for determining assembly stress in Reference 2 of the submittal, but not for determining cladding stress. The description of the ANSYS model is very limited. With regard to Table 4.3.3-1 on Page 4-89, please explain what is meant by items in the first column. Also, how is maximum allowed differential pressure calculated?

**Additional Request for RAI-06 (NRC RAI #1)**

RAI#6 sought more detail regarding the stress analyses of the cladding and asked the meaning/basis for the value(s) presented in Table 4.3.3-1. In order to review the cladding stress calculations in greater detail, an audit was conducted at the Westinghouse Electric Company (Westinghouse) Rockville Office on May 17-20, 2016. During the audit, cladding stress calculations were reviewed and the linear heat generation rate (LHGR) value given in Table 4.3.3-1 [ ]<sup>a,c</sup> was understood to represent a limiting value (the Thermal Mechanical Operating Limit at the Beginning of Life). Westinghouse suggested that it might increase this limit with additional justification. NRC requested that the TR be revised to clarify the basis for the LHGR value in the table and demonstrate that the full range of LHGR was evaluated. This was documented as Open Item #2 during the audit. As written, the proposed Westinghouse revision to the TR (i.e., labeling the LHGR values as "Example Power") does not appear to address Open Item #2 from the audit and an additional RAI will be necessary.

**Response to RAI-06 and the Additional Request for RAI-06**

The original response to RAI-06 was provided to the NRC in Westinghouse Letter LTR-NRC-16-52 dated August 1, 2016. The original response has been revised to address the additional request. The changes and additions to the original response are marked with rev bars in the right margin.

The unmarked column in Table 4.3.3-1 is the fuel rod power during the AOO overpressure transient. Included in the table are two examples of fuel rod power [ ]<sup>a,c</sup> for limiting AOO overpressure transients. As can be seen, the margin to Maximum Allowed Differential Pressure is [ ]<sup>a,c</sup>. It can then be concluded that the margin to the stress limits for the SVEA-96 Optima3 will be acceptable for any credible BWR application. For example [ ]<sup>a,c</sup>

Maximum allowed differential pressure is calculated by using Westinghouse collapse load analysis. [ ]<sup>a,c</sup>

I

( Description of calculation of allowed differential pressure using collapse load analysis )

I<sup>a,c</sup>

[

( Description of calculation of allowed differential pressure using collapse load analysis )

] <sup>a,c</sup>

Westinghouse has performed additional calculations of the differential pressure across the cladding wall at BOL. These calculations used values for LHGR in the range from [ range of pressurization transients in existing BWR plants. ] <sup>a,c</sup> The chosen values cover the expected



To avoid confusion, a portion of Section 4.3.3 of the licensing topical report will be modified, starting in the middle of page 4-88 of the report. The changes are as follows. Note that in the first table, the only change is to the value of the [ ]<sup>a,c</sup>

**Current Rev. 0:**

Parameter	Deviation from Nominal Value	Value

a,c

The results of these calculations are summarized in Table 4.3.3-1.

Table 4.3.3-1 Maximum Differential Pressure Over Cladding				
	Coolant Pressure	Cladding Temperature	Maximum Allowed Differential Pressure	Calculated Differential Pressure Over Cladding

a,c

Since the maximum allowed differential pressure exceeds the calculated differential pressure over the cladding [ ]<sup>a,c</sup> it is concluded that the margin to the stress limits for the SVEA-96 Optima3 will be acceptable for any credible BWR application.

Revised:

Parameter	Deviation from Nominal Value	Value

The results of these calculations are summarized in Table 4.3.3-1.

Coolant Pressure	Cladding Temperature	Example Power <sup>(1)</sup>	Maximum Allowed Differential Pressure	Calculated Differential Pressure Over Cladding	Margin in Differential Pressure

(1) [

] <sup>a,c</sup>

Since the maximum allowed differential pressure exceeds the calculated differential pressure over the cladding [ ] <sup>a,c</sup> it is concluded that the margin to the stress limits for the SVEA-96 Optima3 will be acceptable for any credible BWR application. For example [

] <sup>a,c</sup>

**RAI-09**

On TR Page 4-108 the cladding temperature methodology does not specify that [ ]<sup>a,c</sup> be accounted. Please provide justification for not considering [ ]<sup>a,c</sup> in the cladding temperature analysis.

**Additional Request for RAI-09 (NRC RAI #2)**

RAI #9 references page 4-108 and asks for additional justification for not including [ ]<sup>a,c</sup> in the *cladding temperature* methodology. However, the discussion on page 4-108 actually refers to the *fuel temperature* methodology, which is what RAI#9 was intended to address. So, while Westinghouse did respond regarding the [ ]<sup>a,c</sup> in cladding temperature methodology, it is still necessary to understand why [ ]<sup>a,c</sup> doesn't appear to be considered in the fuel temperature methodology as described on page 4-108.

**Response to RAI-09**

Cladding failure due to overheating is not a credible mechanism during normal operation or anticipated operational occurrences (AOOs). Cladding temperature calculations are therefore not performed for normal operation and AOOs and consequently, [ ]<sup>a,c</sup> Specific cladding temperature calculations are performed for initiating events of lower frequency of occurrence. Methods and methodologies for analysis of these accidents are described in other Licensing Topical Reports and are outside the scope of WCAP-17769-P.

As stated in Section 4.3.9, the Westinghouse methodology for evaluating the potential for cladding failure due to overheating follows the traditional industry practice of assuming that failures will not occur if adequate margin to boiling transition (the Safety Limit Minimum Critical Power Ratio, SLMCPR) is maintained. The plant Operating Limit Minimum Critical Power Ratio (OLMCPR) is established for this purpose considering all possible plant transients classified as AOOs. The OLMCPR is determined such that MCPR reduction due to anticipated operational transients does not result in a MCPR below the SLMCPR. The criterion is, however, considered to be overly conservative regarding cladding overheating damage.

**Response to the Additional Request for RAI-09**

The original response to RAI-09 was provided to the NRC in Westinghouse Letter LTR-NRC-16-52 dated August 1, 2016. The additional information in response to the additional request is marked with rev bars in the right margin.

The response to RAI-10 in Reference 1 addressed the point of this request. The reason for not including the [ ]<sup>a,c</sup> in the fuel temperature analyses, besides the fact that [ ]

[ ]<sup>a,c</sup> We quote from the response to RAI-10 in

Reference 1:

/

[ ]<sup>a,c</sup>

/

<sup>a,c</sup>

Westinghouse performed additional evaluations for the impact of the [ fuel centerline temperature analysis. The evaluations used the [ described in Reference 1, with an [ noted that this approach models [

<sup>a,c</sup> on the  
<sup>a,c</sup> as  
<sup>a,c</sup> It is

<sup>a,c</sup> The impact of the [

<sup>a,c</sup> on the margins to melt is [ <sup>a,c</sup> These are considered insignificant compared to the margins to melt which are [ <sup>a,c</sup>

Given the fact that the Westinghouse [ <sup>a,c</sup> as discussed in Reference 1, and that the effect of the [ <sup>a,c</sup> on the fuel temperature predictions are negligible when compared to the effects already accounted for in the methodology, and particularly when compared to the available margins, as demonstrated by the calculations described above, Westinghouse deems it unnecessary [ <sup>a,c</sup> in the current fuel centerline temperature methodology.

Reference:

1. Westinghouse Report WCAP-15942-P-A, Rev. 0, "Fuel Assembly Mechanical Design Methodology for Boiling Water Reactors Supplement 1 to CENP-287," March 2006.

**Section E**  
**Audit Information**



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LTR-NRC-16-40

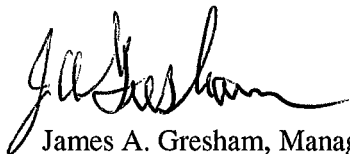
June 15, 2016

Subject: Meeting Minutes for the NRC Combined Audit of WCAP-16182-P, Rev. 2, "Westinghouse BWR Control Rod CR 99 Licensing Report – Update to Mechanical Design Limits," and WCAP-17769-P, Rev. 0, "Reference Fuel Design SVEA-96 Optima3" (Non-Proprietary)

Attached are Westinghouse meeting minutes for the NRC combined audit of WCAP-16182-P, Rev. 2, "Westinghouse BWR Control Rod CR 99 Licensing Report – Update to Mechanical Design Limits," and WCAP-17769-P, Rev. 0, "Reference Fuel Design SVEA-96 Optima3."

This submittal does not contain proprietary information of Westinghouse Electric Company LLC.

Correspondence should be addressed to James A. Gresham, Manager, Regulatory Compliance, Westinghouse Electric Company, 1000 Westinghouse Drive, Building 3, Suite 310, Cranberry Township, Pennsylvania 16066.



James A. Gresham, Manager  
Regulatory Compliance

Enclosures

cc: Ekaterina Lenning  
Kevin Hsueh

**Meeting Minutes for the NRC Combined Audit of  
WCAP-16182-P, Rev. 2, “Westinghouse BWR Control Rod CR 99 Licensing Report –  
Update to Mechanical Design Limits,” and  
WCAP-17769-P, Rev. 0, “Reference Fuel Design SVEA-96 Optima3”**

**Purpose**

To discuss the audit topics identified in the NRC Audit Plan related to the NRC reviews of WCAP-16182-P, Rev. 2, “Westinghouse BWR Control Rod CR 99 Licensing Report – Update to Mechanical Design Limits,” and WCAP-17769-P, Rev. 0, “Reference Fuel Design-n SVEA-96 Optima3.” The desired outcome of the audit was to reach a common understanding regarding the audit topics which will enable completion of a successful review for each of these topical reports going forward. An additional objective was to review draft responses to the draft RAIs received on WCAP-17769-P.

**Date / Location**

May 17-20, 2016  
Westinghouse Nuclear Regulatory Affairs Office, Rockville, MD

**Attendees**

<u>U.S. Nuclear Regulatory Commission (NRC)</u>		<u>Westinghouse</u>	
Kate Lenning	All	Ed Mercier	All
Mathew Panicker	5/17, 18, 19	Anghel Enica	All
Daniel Beacon	5/18	Magnus Jinnestrand	All
Josh Whitman	5/19, 20	Roger Brändström	All
Jeremy Dean	5/20 closeout	Brad Maurer	All
		Patricia Quaglia	5/20
		Kaj Thorselius	5/20
<u>Pacific Northwest National Laboratory (PNNL)</u>		Paul Blair	5/20
Nicholas Klymyshyn	All	Pascal Jourdain	5/20
Walter Luscher	5/19, 20	Tommy Gustafsson	5/20
		Eric Frantz	5/20
		Stephen Heagy	5/20
		Dan Menoher	5/20

**WCAP-16182-P (CR 99 Control Rods) Audit Items – May 17, 18**

The meeting included a presentation and detailed discussion of the design of the CR 99 Generation 3 control rods, and the differences between the Generation 3 and previously licensed Generation 2 control rods. The Westinghouse responses to the CR 99 audit items were reviewed and discussed. Modeling and results presented in the reports and calculation packages were reviewed and discussed. The documents reviewed were those requested in audit item 3, as well as additional documents requested during the course of the audit.

Open items Identified

- Clarification of design requirements with regard to ASME Class 1 rules, including explanation of the 1.1 factor used in nonlinear analysis.
- Clarification needed regarding the treatment of cracking in the design.
- Adequacy of the surveillance plan – how is observed cracking addressed with regard to material integrity
- Adherence to Appendix F for analysis of SSE loading
- Treatment of SSE loads in the Level D analysis.

Actions resulting from Open Items

- A number of areas were identified in WCAP-16182 where changes and clarifications will be made to address various audit items (Action: Westinghouse)
- Collapse load analysis for SSE to be performed to demonstrate compliance with Appendix F limits. Modeling, approach, and LTR content were discussed. (Action: Westinghouse)
- Surveillance plan as presented in WCAP-16182 to be reviewed (Action: NRC)

Documents reviewed

- SES 12-091, Rev. 1, “Methodology of Helium Pressure Calculation in Westinghouse CR 99 BWR Control Rods with HIPed pins by Statistical Models”
- SES 15-005, Rev. 0, “Structural Verification of Control Rod CR99 Generation 3 for BWR/2-4 and BWR/6 Reactors with S- & D- Lattice”
- SES 15-011, Rev. 0, “Modeling of CR99 Control Rod Blade Swelling”
- SES 15-013, Rev. 0, “Structural Verification of Control Rod CR99 Generation 3 for BWR4/5 Reactors with C-Lattice”

**WCAP-17769-P (SVEA-96 Optima3) Audit Items – May 19, and Draft RAIs – May 20**

The meeting included a presentation and detailed discussion of the design of the SVEA-96 Optima3 fuel assembly, and the differences between the SVEA-96 Optima3 assembly and previously licensed Optima2. The Westinghouse responses to the SVEA-96 Optima3 audit items were reviewed and discussed. Reports and calculation packages were identified by Westinghouse in response to audit Items 1 and 2. Modeling and analysis results presented in the reports and calculation packages were reviewed and discussed. Additional documents were also reviewed as requested during the course of the audit. Also, Westinghouse draft RAI responses to the NRC’s draft RAIs were reviewed (responses to 11 of the 12 RAIs were reviewed; the response to RAI-09 was not available for the audit). The RAIs are in the concurrence process within NRC.

Open items Identified

- Use of Von Mises criteria.
- SSE as AOO (no, but OBE is).
- Source of Table 4.3.3-1 values.
- Question regarding OBE (Operating Basis Earthquake) analysis.

Actions resulting from Open Items

- A number of areas were identified in WCAP-17769 where changes and clarifications will be made to address various audit items and RAI responses (Action: Westinghouse)
- Additional question was identified: per NRC guidance, OBE is an AOO (anticipated operational occurrence). (Action: Westinghouse)
  - Identify the grid crush strength at in-reactor BOL and EOL conditions.



- Identify the lateral load capacity of all SVEA-96 Optima3 components under anticipated OBE loading conditions at BOL and EOL.
- Identify the range of lateral loads that are anticipated for all SVEA-96 Optima3 components under OBE conditions at BOL and EOL.

Documents reviewed

- SES 12-053, Rev. 1, “Plastic Analysis of SVEA-96 Fuel Channel Subjected to Internal Overpressure”
- SES 12-274, Rev. 1, “Stress Evaluation of Cladding in Light Water Reactors”
- SES 13-014, Rev. 2, “Stress Analysis of SVEA-96 Optima3 Fuel Rod”
- BTA 07-0053, Rev. 1, “SVEA-96 Optima3 Spacer Cell Mounted with a Fuel Rod. Analysis of Stresses and Displacements”
- BU 97-091, Rev. 3, “STAV7 Model Description”
- BK 93-779, Rev. 1, “Channel Fatigue – Evaluation of Low Cycle Fatigue for Fuel Channels”
- BTK 04-077, Rev 1, “Lateral Load Cycling Test of Optima3 Spacer Verifying Test”

Summary

All audit items for WCAP-16182, Rev. 2 (CR 99) and WCAP-17769, Rev. 0 (SVEA-96 Optima3) were reviewed. Appropriate follow-on actions were identified to resolve remaining open items. Also, 11 of the 12 draft RAIs for WCAP-17769 were reviewed. Specific changes identified will be addressed in the final RAI responses after the RAIs are formally issued.

Changes to both WCAP-16182 and WCAP-17769 were identified to address various audit items and several of the RAIs, as appropriate.

Westinghouse will formally provide the audit presentation materials to the NRC. Westinghouse will also provide an audit summary (meeting minutes) to the NRC.