

VOID SHEET

TO: License Fee Management Branch

FROM: RIII - SANDY FRAZIER

SUBJECT: VOIDED APPLICATION

Control Number: 00222

Applicant: Ohio State University

License Number: 34-00293-14

Docket Number: 030-31605

Date Voided: 3/17/97

Reason for Void: _____

Licensee financial assurance approved.
No licensing amendment needed.

S. Frazier
Signature

3/17/97
Date

Attachment:
Official Record Copy of
Voided Action

FOR LFMB USE ONLY

- Refund Authorized and processed
- No Refund Due
- Fee Exempt or Fee Not Required

Comments: _____

Log completed
Processed by: SAC 7/15/97 d,

ML 30 SD

170031

9707170134 970317
PDR ADOCK 03031605
C PDR



APR 02 1997

Cecil R. Smith, D.P.H.
Assistant Vice President
The Ohio State University
Environmental Health and Safety
1314 Kinnear Road
Columbus, OH 43212-1194

2 files
On top
pls make sure void
recorded

Dear Ms. Smith:

We have reviewed your decommissioning financial assurance submittal dated June 24, 1996. Within the scope of our review, no further deficiencies were identified. A copy of this information will be placed in your license file.

If you have any questions or require clarification on any of the information stated above, you may contact us at (630) 829-9887.

Sincerely,

Original Signed By
Cassandra F. Frazier
Nuclear Materials Licensing Branch

License No. 34-00293-14
Docket No. 030-31605

DOCUMENT NAME: M:\03031605.DE7

To receive a copy of this document, indicate in the box: "C" = Copy without enclosures "E" = Copy with enclosures "N" = No copy

OFFICE	DNMS/RIII	<i>C</i>	DNMS/RIII						
NAME	CFFrazier:br	<i>[Signature]</i>	BJHolt	<i>[Signature]</i>					
DATE	03/17/97	<i>[Signature]</i>	03/17/97	<i>[Signature]</i>					

OFFICIAL RECORD COPY



Office of Environmental
Health and Safety

1314 Kinnear Road, Room 210
Columbus, OH 43212-1168
Phone 614-292-1284
FAX 614-292-6404

June 24, 1996

US Nuclear Regulatory Commission, Region III
Materials Licensing Section
801 Warrenville Road
Lisle, Illinois 60532-4351

Attention: Ms. Patricia Pelke

Subject: Statement of Intent for Decommissioning Funding Plan for the
34-00293-14 License

Ms. Pelke,

Enclosed is a statement of intent signed by the Vice President for Business and Administration and the Vice President for Finance that funds will be made available in the event of decommissioning of the 34-00293-14 license.

If you have any questions or comments please call Robert E. Peterson, Jr., University Radiation Safety Officer at (614) 292-1284.

Thank you for your assistance and cooperation in this matter.

Sincerely,

Cecil R. Smith, DPH
Assistant Vice President
Environmental Health and Safety

RECEIVED
JUL 09 1996
REGION III

300222



Vice President for
Business and Administration

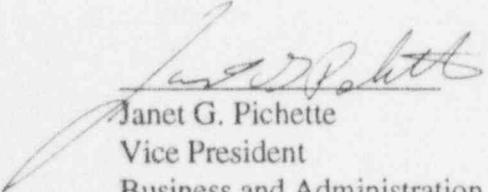
108 Bricker Hall
190 North Oval Mall
Columbus, OH 43210-1362
Phone 614-292-7970

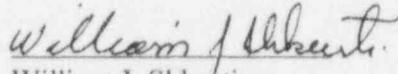
**Statement of Intent
Financial Assurance for the Decommissioning of
NRC License 34-00293-14**

As Vice President for Business and Administration and Vice President for Finance of The Ohio State University, we exercise authority and responsibility for assuring that funds are made available for decommissioning activities associated with operations authorized by US Nuclear Regulatory Commission Materials License No. 34-00293-14. This authority is established by Rules 3335-1-03, 3335-3-08, and 3335-3-155 of the Rules adopted by the Board of Trustees of The Ohio State University.

The university estimates that the cost, in 1996 dollars, of decommissioning to be approximately \$129,715.00. We intend to have the funds up to this amount made available when necessary to decommission upon termination of this license. We intend to request and obtain these funds sufficiently in advance of decommissioning to prevent delay of required activities.

A copy of Rules 3335-1-03, 3335-3-08, and 3335-3-155 are enclosed as evidence that we are authorized to represent The Ohio State University in this transaction.


Janet G. Pichette
Vice President
Business and Administration


William J. Shkurti
Vice President
Finance

Date

NON NEGOTIABLE

RULES

3335-3-08 Vice president for business and administration.*

- (A) The vice president for business and administration shall be the executive head of the office of business and administration.
- (B) The major area of responsibility and authority of the vice president for business and administration shall be that of the administration of the university operations. Without limiting the generality of the foregoing, the vice president for business and administration shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: the conduct and evaluation of all business and administrative activities and procedures, including management improvement programs; internal auditing; business management; business services; the development, allocation, utilization, and maintenance of the physical facilities; university public safety; university-wide environmental health and safety; university systems; the operation of the Fawcett center for tomorrow; the operation of the university air transportation services; and the coordination of all matters administratively assigned to the other vice presidents which relate to the business and administrative areas of the university.
- (C) The principal administrative officials of the office of business and administration shall include the assistant vice president, administration; assistant vice president, physical facilities; assistant vice president, university architect; assistant vice president, university public safety; assistant vice president, business management; assistant vice president, business services; assistant vice president, university systems; director, internal auditing; and such other associates as may be authorized from time to time. (B/T 10/5/73, B/T 9/5/75, B/T 4/6/79, B/T 6/10/82, B/T 7/8/83, B/T 11/4/83, B/T 10/4/85, B/T 2/6/87, B/T 7/12/91)

* Rule initiated by board of trustees.

NON NEGOTIABLE

RULES

3335-3-155 Vice president for finance.*

- (A) The vice president for finance shall be the executive head of the office of finance.
- (B) The major area of responsibility and authority of the vice president for finance shall be that of the administration of university finances, including planning and resource allocation. Without limiting the generality of the foregoing, the vice president for finance shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: administration of the office of university treasurer; formulation, review and monitoring of capital and current funds budgets; managing university debt instruments, investments and cash flow; financial accounting and management; monitoring the state budget process and its impact on the university; indirect cost analysis and recovery; space use projections and planning; enrollment projections and long-range financial planning in the context of the academic mission and goals of the university.
- (C) The principal administrative officials in the office of finance shall be the university treasurer, the assistant vice president for facilities planning, the assistant vice president of university budget planning, the controller, the director of management studies, and such other associates as may be authorized from time to time. (B/T 10/4/85, B/T 7/12/91)

* Rule initiated by board of trustees.

NON NEGOTIABLE

BYLAWS

3335-1-03 Administration of the university.

(A) The office of the president.

- (1) The office of the president shall consist of:
 - (a) The president.
 - (b) Executive assistant to the president and secretary of the university.
 - (c) Special assistants to the president.
 - (d) The university ombudsperson, and
 - (e) The executive director of university communications.
- (2) The office of the president shall include such additional officers as the president, with the consent of the board, may from time to time appoint.

(B) The president.

- (1) The president shall be the chief executive officer of the Ohio state university and shall be responsible for the entire administration of the university, subject to control of the board of trustees. He shall lead in fostering and promoting education and research as the primary aims of the university. It shall be his duty to enforce the bylaws, rules and regulations of the board of trustees, and, as a member of the faculty, he shall interpret to the board proposals and actions of the faculty. He is hereby clothed with the authority requisite to that end.
- (2) Any authority or responsibility of the president may be delegated by him to another person in the office of the president or to any other member of the faculty or staff of the university. Delegation of major areas of authority or responsibility shall have the prior consent of the board of trustees.
- (3) The president shall be a voting member of all college faculties, of the graduate school faculty, and of the faculty of the arts and sciences. He shall be a voting member and presiding officer of the university faculty and of the university senate. He shall appoint all committees of the university faculty and of the university senate, unless membership has been designated by rule.

- (C) The president; duty to present matters to the board. All major appointments and all major questions of university policy, including the annual budget and matters involving expenditures of one thousand dollars or more, to be recommended by the president shall

NON NEGOTIABLE

BYLAWS

be presented (either completely or in summary form) by the president to each member of the board prior to the meeting at which the matter is to be considered by the board.

- (D) The president; relation to faculty and staff. Each member of the faculty shall be responsible to the chairperson of his department or to the director of his school and through him to the dean and the president for the faithful and efficient performance of his duties. Every other member of the university staff shall be so responsible to his division head and through him (and when applicable) to his director and dean, and then to the president.
- (E) The president's executive committee.
- (1) The president's executive committee shall consist of the president, the senior vice president for academic affairs and provost, the vice president for student affairs, the vice president for business and administration, the vice president for health services, the vice president for agricultural administration and executive dean for agriculture, the vice president for development and president of the university foundation, the vice president for research, the vice president for finance, the vice president for human resources and relations, the vice president and general counsel for legal affairs, the executive assistant to the president and secretary of the university, the executive director of university communications, and special assistants.
 - (2) Each member of the president's executive committee shall have a major area of responsibility as assigned to them by the president with the consent of the board of trustees. They shall, subject to the control of the president, exercise authority within their major area of responsibility and such other areas as may be assigned to them by the president from time to time.
 - (3) Assignment of specific areas of responsibility to members of the president's executive committee shall be made by the president with the approval of the board of trustees.
- (F) Senior vice president for academic affairs and provost. The major area of responsibility and authority of the senior vice president for academic affairs and provost shall be that of the instructional and faculty affairs of the university. Without limiting the generality of the foregoing, the senior vice president for academic affairs and provost shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: providing coordinated leadership for the development and evaluation of curricular patterns and teaching methods; the selection, promotion, professional development, tenure and salary of instructional staff; through the office for the coordination of minority affairs and in cooperation with the other existing agencies of the university, the development and maintenance of supplemental programs for the recruitment, orientation, counseling, tutoring, and financial assistance for minority students and the search for necessary funds to support these programs; through the constituent academic divisions, the appraisal of the effectiveness of academic counseling and devising the organization and procedures to improve its effectiveness; the development and administration of policies and operating procedures for registration services including admissions, registration, records, and scheduling; student financial aids and scholarships; the development of the services of the university libraries and other learning resources; in cooperation with the vice president for research, the coordination of research with instruction; the development of instructional programs of the departments of air force aerospace studies, military science and naval science; the development of the four regional campuses; and as a deputy of the president of the university, the coordination of all matters administratively assigned to the other vice presidents of the university which relate to the academic program of the university.

NON NEGOTIABLE

BYLAWS

- (G) Secretary of the board of trustees. The major area of responsibility and authority of the secretary of the board of trustees shall be that of custodian of the official books and records of the board.
- (H) Vice president for student affairs. The major area of responsibility and authority of the vice president for student affairs shall be that of the administration of the student services of the university. Without limiting the generality of the foregoing, the vice president for student affairs shall, under the direction of the president and with the approval of the board of trustees, be responsible for: the promulgation of rules governing student conduct both on and off campus; the coordination of student extracurricular activities including all student (or student-connected) organizations; the administration of all residence and dining hall facilities; nonacademic student discipline; the student health service; student union facilities and programs; student athletic programs including intercollegiate athletics, recreation and intramural programs; counseling; office for disability services; student personnel assistant program; off-campus student housing; and international student programs.
- (I) Vice president for business and administration. The major area of responsibility and authority of the vice president for business and administration shall be that of the administration of the university operations. Without limiting the generality of the foregoing, the vice president for business and administration shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: the conduct and evaluation of all business and administrative activities and procedures, including management improvement programs; internal auditing; business management; business services; the development, allocation, utilization, and maintenance of the physical facilities; university public safety; university-wide environmental health and safety; university systems; the operation of the Fawcett center for tomorrow; the operation of university air transportation services; and the coordination of all matters administratively assigned to the other vice presidents which relate to the business and administrative areas of the university.
- (J) Vice president for health services. The major area of responsibility and authority of the vice president for health services shall be that of the health services of the university. Without limiting the generality of the foregoing, the vice president for health services shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: the university hospitals; mental retardation center; radiation safety center; comprehensive cancer center; center for continuing medical education; computer-assisted instruction for community hospitals; and agreements and cooperative arrangements with various regional and governmental hospitals.
- (K) Vice president for agricultural administration and executive dean for agriculture. The major area of responsibility and authority of the vice president for agricultural administration and executive dean for agriculture shall be that of serving as academic dean of the college of agriculture, including the school of natural resources and the agricultural technical institute, and administrative responsibility for the Ohio agricultural research and development center and the Ohio cooperative extension service. Without limiting the generality of the foregoing, the vice president for agricultural administration and executive dean for agriculture shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: the administrative details and authorization for all expenditures which shall be in accordance with policies and procedures of the Ohio state university. The vice president for agricultural administration and executive dean for agriculture shall report to the president of the university for all administrative and fiscal affairs and to the senior vice president for academic affairs and provost of the university for academic affairs, including appointment, tenure, and promotion of all faculty.

NON NEGOTIABLE

BYLAWS

- (L) Vice president for development and president of the university foundation. The major area of responsibility and authority of the vice president for development and president of the university foundation shall be the administration of the development activities of the university and serving as president of the university foundation. Without limiting the generality of the foregoing, the vice president for development and president of the university foundation shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: as vice president for development, the management and direction of fund raising, including individual, corporate and foundation giving; formulating policy recommendations; overseeing a university-wide review system for all fund raising projects and coordinating fund raising goals with the academic planning process. As president of the university foundation, be responsible for the proper management and coordination of the activities of the foundation, assisting the board of directors in developing long-range fund raising activities, as well as other related functions which serve to enhance the university.
- (M) Vice president for research. The major area of responsibility and authority of the vice president for research shall be that of encouraging, stimulating, and coordinating the research programs of the university. Without limiting the generality of the foregoing, the vice president for research shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: developing broad policies and operating procedures for the research activities of the university; stimulating research developments and assisting in the evaluation of the total research program of the university; providing advice and assistance to college research administrators as they develop their research programs within the college; supplying coordinated leadership in securing governmental, industrial, and individual support for the research programs of the university; developing the interdepartmental and inter-college cooperative arrangements for the administration of the research projects; in cooperation with the senior vice president for academic affairs and provost and the dean of the graduate school, assist in the coordination of the research programs and the instructional program of the university; and in cooperation with the vice president for business and administration, be responsible for developing the contracting procedures and contract terms for sponsored research undertaken by the colleges and divisions of the university.
- (N) Vice president for finance. The major area of responsibility and authority of the vice president for finance shall be that of the administration of university finances, including planning and resource allocation. Without limiting the generality of the foregoing, the vice president for finance shall, under the direction of the president and with the approval of the board of trustees, be responsible and have the requisite authority for: administration of the office of university treasurer; formulation, review and monitoring of capital and current funds budgets; managing university debt instruments, investments and cash flow; financial accounting and management; monitoring the state budget process and its impact on the university; indirect cost analysis and recovery; space use projections and planning; enrollment projections and long-range financial planning in the context of the academic mission and goals of the university.
- (O) Vice president for human resources and relations. The major area of responsibility and authority of the vice president for human resources and relations shall be that of the administration of human resources, training and development, human relations of the university, and affirmative action/diversity. Without limiting the generality of the foregoing, the vice president for human resources and relations shall, under the direction of the president and subject to the approval of the board of trustees, be responsible and have the requisite authority for: human resources, including professional and staff employment services; employee relations, including negotiating with the labor unions; compensation services; benefits administration; classification administration, including determining which employees and positions are to be in the unclassified and classified service; the I.D. center; retirement affairs; personnel research and systems; safety and health; training and development, including career development and counseling.

UNRECORDED

BYLAWS

education and staff training, faculty/staff assistance, and substance abuse programs; human relations, including dependent care programs, veterans affairs, partner/spouse assistance, recognition and awards, and faculty, staff and student advocacy; affirmative action/diversity, including civil rights, disability services (faculty and staff), the commission on women; and research and information.

- (P) Vice president and general counsel for legal affairs. The major area of responsibility and authority of the vice president and general counsel for legal affairs shall be that of administration of legal affairs for the university. Without limiting the generality of the foregoing, the vice president and general counsel shall provide legal advice to the board of trustees, the president, and university officers and administrators. The vice president and general counsel shall, subject to the Ohio Revised Code sections 109.02, 109.12 and 3345.15, be the chief legal officer of the university and supervise all legal work performed on behalf of the university, including legal and contract services. The vice president and general counsel shall normally serve in an appropriate capacity with university affiliated organizations to coordinate the legal affairs of the university and these affiliated organizations. The vice president and general counsel, working with the secretary of the board of trustees, shall be responsible for the safekeeping of the university's legal documents and records.
- (Q) Executive assistant to the president and secretary of the university. The major area of responsibility and authority of the executive assistant to the president and secretary of the university shall be that of providing staff assistance relative to policy analysis; gathering information necessary to facilitate presidential decisions; performing any functions for the president that do not require his direct attention; serving as staff consultant and facilitator in the resolution of problems which cut across the university; advising the president on a wide range of organizational and policy decisions; serving, as the designee of the president, in committee meetings, university functions, and community events/affairs; preparing reports and developing special position papers and other presentations for the office of the president.
- (R) Offices of president's executive committee members. Each member of the president's executive committee shall, in turn, be the executive head of the office of their division. They shall be responsible for the administration of that office subject to the control of the president. Within each executive committee member's office there shall be such principal administrative officials as are recommended by the president and approved by the board.
- (S) Special assistants to the president. The special assistants to the president shall render such service and have such responsibility and authority as the president may from time to time direct.
- (T) Council of deans.
- (1) The president of the university, the senior vice president for academic affairs and provost, the deans of the colleges, the vice president for research, the dean of the graduate school, the deans and directors of the regional campuses, the dean for undergraduate studies for arts and sciences, and the director of libraries shall comprise the council of deans of the university. The president shall be chairperson of the council, the senior vice president for academic affairs and provost shall be vice chairperson, and the senior vice president for academic affairs and provost's designee shall serve as secretary. In the temporary absence of the president, the duties of the presiding officer shall be assumed by the senior vice president for academic affairs and provost.
 - (2) The council of deans shall meet on the call of the chairperson. The council of deans shall serve as an advisory council to the president.

NON NEGOTIABLE

BYLAWS

- (U) President and committees of the executive committee. The president and each member of the president's executive committee shall have authority to designate advisory committees to advise in affairs relating to the university. Those committees shall have such responsibilities as are assigned to them and their membership shall be determined by the person designating the committee.
- (V) University hospitals board.
 - (1) The university hospitals board shall be responsible to the board of trustees for the oversight of patient care services in university hospitals. The hospitals board shall be accountable through the president to the university board of trustees. The bylaws of the hospitals board will be subject to the approval of the university board of trustees.
 - (2) The hospitals board shall be comprised of two members of the university board of trustees and twelve other persons, selected from the general public who shall be appointed by the university board of trustees in consultation with the president.

NON NEGOTIABLE

CONVERSATION RECORD

TIME DATE
3:00 pm 6/19/96

VISIT CONFERENCE TELEPHONE

INCOMING
 OUTGOING

NAME OF PERSON(S) CONTACTED OR IN CONTACT ORGANIZATION (OFFICE, DEPT.ETC.) TELEPHONE NO.
ROBERT PETERSON OHIO STATE UNIVERSITY 614-292-1284

SUBJECT
5 YEAR EXTENSION FOR LN 34-00293-14; RESOLUTION OF FA

SUMMARY
R. PETERSON RETURNED MY CALL REGARDING THE ABOVE SUBJECT. I INDICATED THAT WE DID NOT APPROVE THE 5 YR. RENEWAL EXTENSION FOR THIS LICENSE BECAUSE OF OUTSTANDING FA ISSUES. THEY COMMITTED TO FA FOR THE 21,000 CURIE IRRADIATOR FACILITY IN A SI DATED 7/11/91, BUT AT THAT TIME, THE -14 LICENSE AUTHORIZING THE MATERIAL HAD NOT YET BEEN ISSUED.

C. FRAZIER CONTACTED J. ALLGAUER ON 1/24/96 AND INDICATED THAT THE SI FOR THE -14 LICENSE NEEDED TO REFERENCE THAT LICENSE NUMBER SPECIFICALLY IN ORDER FOR US TO ACCEPT THE FA (AS RESULT OF BYKOSKI/JOHNSON AUDIT OF RIII'S FA SUBMITTALS). J. ALLGAUER INDICATED THAT HE WOULD SEND US THE REVISED SI WITHIN 2 WEEKS OF THE CONVERSATION; HOWEVER, WE HAVE NOT RECEIVED A RESPONSE TO DATE. I INDICATED THIS TO R. PETERSON AND STATED THAT HE SHOULD RESUBMIT ANOTHER SI FOR THE -14 LICENSE WHICH **CLEARLY INDICATES FA FOR THE -14 LICENSE**. AFTER WE RECEIVE THIS, WE WILL FORWARD TO HQ ON A TAR (PER J. PELCHAT'S DIRECTION) RECOMMENDING APPROVAL FOR THE 5 YR. RENEWAL EXTENSION.

I ALSO INDICATED TO R. PETERSON THAT THE SI ON FILE (1/30/95) FOR THE -02 LICENSE INDIRECTLY COVERED DECOMMISSIONING COSTS FOR THE -14 LICENSE IN THE STATEMENT "DECOMMISSIONING ALL UNIVERSITY FACILITIES IN WHICH LICENSED MATERIAL HAVE BEEN USED".

ACTION REQUIRED
RESPOND WITHIN 15 DAYS

NAME OF PERSON DOCUMENTING CONVERSATION SIGNATURE DATE
PATRICIA PELKE PREPARE TAR UPON RECEIPT
OF RESPONSE AND FORWARD TO HQ.

ACTION TAKEN

SIGNATURE TITLE DATE
P. J. Pelke *6/19/96*

CONVERSATION RECORD

TIME

DATE

1/24/96

 VISIT CONFERENCE TELEPHONE INCOMING OUTGOING

NAME OF PERSON(S) CONTACTED OR IN CONTACT

ORGANIZATION (OFFICE, DEPT. ETC.)

TELEPHONE NO.

Joe Algier, RSO Ohio State University 614-292-0122

SUBJECT

Financial Assurance original documents License No. 34-00293-14
(C:PHONE.01)

SUMMARY

Spoke with Mr. Algier and informed him that I needed the original of the following:

1. Statement of Intent 7/11/91

I explained to the licensee that their letter dated 7/11/91 makes mention of the irradiator license but not by license No. therefore, we need another SOI.

Licensee indicate that Rick Mieser runs the irradiator license and he will transfer information to him. Will send in information in 2 weeks. I

1/26/96 Mr. Algier request that we have Lou Bykowski be phoned and request that the issue date with the license No. be written on the SOI and not get a new SOI.

1/31/96 Spoke with Brad Jones and he indicated that we should get a letter from the licensee or write a letter indicating our understanding that the SOI dated 7/11/91 also applies to the -14 license.

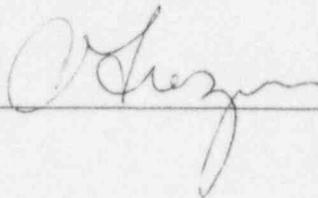
ACTION REQUIRED

Licensee will send in response to the above in a couple of weeks

NAME OF PERSON DOCUMENTING CONVERSATION

Cassandra Frazier

SIGNATURE



DATE

1/24/96

ACTION TAKEN

CMD: _____

LICENSE SCREEN #1 - LICENSE DATA

960122

DOCKET NO : 03031605 LICENSE NO : 34-00293-14 STATUS: 0
FED. GOVT : N INST. CODE : 00293 LICENSE REGION: 3
ISSUE DATE: 941117 ORIGINAL DATE: 910730 EXPIRATION DATE: 19960731

NAME : OHIO STATE UNIVERSITY (THE) _____
DEPT/BUREAU: OFFICE ENVIRONMENTAL OCCUPATIONAL _____
BUILDING : HEALTH & SAFETY ROOM 210 _____
STREET : 1314 KINNEAR ROAD _____
CITY : COLUMBUS _____ STATE: OH ZIP: 432121194

DECOM FIN ASSUR REQD: Y
SUBM: Y
CONT PLAN REQD: N APPRV: _

CONTACT PERSON: *~~CECIL R. SMITH~~, PH.D. _____ PHONE: 614-292-0122

PRIMARY PGM CODE : 03521 SECONDARY PGM CODES: _____
INSPECTION REGION: 3 PRIORITY CODE: 1 INSPECTION CATEGORY: E3_
