

Request for OMB Review

DESIGNATED ORIGINAL

Certified by PC Smith

Important

Read instructions before completing form. Do not use the same SF 83 to request both an Executive Order 12291 review and approval under the Paperwork Reduction Act.

Answer all questions in Part I. If this request is for review under E.O. 12291, complete Part II and sign the regulatory certification. If this request is for approval under the Paperwork Reduction Act and 5 CFR 1320, skip Part II, complete Part III and sign the paperwork certification.

Send three copies of this form, the material to be reviewed, and for paperwork—three copies of the supporting statement, to:

Office of Information and Regulatory Affairs
Office of Management and Budget
Attention: Docket Library, Room 3201
Washington, DC 20503

PART I.—Complete This Part for All Requests.

1. Department, agency and Bureau, office originating request

U. S. Nuclear Regulatory Commission

2. Agency code

3 1 5 0

3. Name of person who can best answer questions regarding this request

Richard Gramann

Telephone number

(301) 504-2456

4. Title of information collection or rulemaking

DOE/NRC Form 740M - Concise Note

5. Legal authority for information collection or rule (cite United States Code, Public Law, or Executive Order)

42 USC 2201(o)

6. Checked public check all that apply:

☐ Only U.S. or Households☐ Farms☐ Federal agencies or employees☐ Non-profit institutions☐ State or local government☒ Businesses or other for profit☒ Small businesses or organizations

PART II.—Complete This Part Only if the Request is for OMB Review Under Executive Order 12291

7. Regulation identifier number (RIN)

or, None assigned ☐

8. Type of submission (check one or more categories)

Classification

1 ☐ Major2 ☐ Technical

Stage of development

1 ☐ Proposed or draft2 ☐ Final or inter-final with prior proposal3 ☐ Final or inter-final without prior proposal

Type of review requested

1 ☐ Standard2 ☐ Pending3 ☐ Emergency4 ☐ Statutory or judicial deadline

9. CFA section affected

CFA

10. Does this regulation contain reporting or recordkeeping requirements that require OMB approval under the Paperwork Reduction Act?

and 5 CFR 1320

☐ Yes ☐ No

11. If a major rule, is topic 2 regulatory impact analysis attached?

P.R. 30101R Agree (R) or disagree

1 ☐ Yes 2 ☐ No3 ☐ Yes 4 ☐ No

Certification for Regulatory Submissions

If submitting this request for OMB review, the authorized regulatory contact and the program official certify that the requirements of E.O. 12291 and any applicable law or executive order have been complied with.

Signature of program official

Date

Signature of authorized regulatory

190000

Date

12. OMB Use only

DFO

PART III. — Complete This Part Only if the Request is for Approval of a Collection of Information Under the Paperwork Reduction Act and 5 CFR 1320.

13. Abstract — Describe needs, uses and affected public in 50 words or less.

"Nuclear Facilities, Radioactive Materials, Nuclear Facility Safeguards"

DOE/NRC Form 740M is used to provide information concerning qualifying statements or exceptions to other nuclear material transaction data forms submitted. NRC is proposing a regulatory change to require that licensee submittals of DOE/NRC Form 740M be in computer readable format.

14. Type of information collection (check only one)

Information collections not contained in rules

1 ☐ Regular submission

2 ☐ Emergency submission (certification attached)

Information collections contained in rules

3 ☐ Existing regulation (no change proposed)

5 Final or interregional without prior NPRM

7 Enter date of expected or actual Federal

4 ☒ Notice of proposed rulemaking (NPRM)

6 ☐ Regular submission

Register publication at this stage of rulemaking

5 ☐ Final NPRM was previously published

8 ☐ Emergency submission (certification attached)

(month, day, year)

15. Type of review requested (check only one)

1 ☐ New collection

4 ☐ Reinstatement of a previously approved collection for which approval has expired

2 ☒ Revision of a currently approved collection

3 ☐ Extension of the expiration date of a currently approved collection without any change in the substance or in the method of collection

5 ☐ Existing collection in use without an OMB control number

16. Agency report form number(s) (include standard, optional form number(s))

DOE/NRC Form 740M

22. Purpose of information collection (check as many as apply)

1 ☐ Application for benefits

2 ☐ Program evaluation

3 ☐ General purpose statistics

4 ☒ Regulatory or compliance

5 ☐ Program planning or management

6 ☐ Research

7 ☐ Audit

17. Annual reporting or disclosure burden

1 Number of respondents

38

2 Number of responses per respondent

30

3 Total annual responses (line 1 times line 2)

1,140

4 Hours per response

1

5 Total hours (line 3 times line 4)

1,140

18. Annual recordkeeping burden

1 Number of recordkeepers

2 Annual hours per recordkeeper

3 Total recordkeeping hours (line 1 times line 2)

4 Recordkeeping retention period

years

19. Total annual burden

1 Requested (line 17-5 plus line 18-3)

1,140

2 In current OMB inventory

1,140

3 Difference (line 1 less line 2)

0

Explanation of difference

4 Program change

5 Adjustment

23. Frequency of recordkeeping or reporting (check all that apply)

1 ☐ Recordkeeping

Reporting

2 ☒ On occasion

3 ☐ Weekly

4 ☐ Monthly

5 ☐ Quarterly

6 ☐ Semi-annually

7 ☐ Annually

8 ☐ Biennially

9 ☐ Other (describe)

20. Current (most recent) OMB control number or comment number

3150-0057

24. Respondents' obligation to comply (check the strongest obligation that applies)

1 ☐ Voluntary

2 ☐ Required to obtain or retain a benefit

3 ☒ Mandatory

21. Requested expiration date

10/31/95

25. Are the respondents primarily educational agencies or institutions or is the primary purpose of the collection related to Federal education programs? ☐ Yes ☒ No

26. Does the agency use sampling to select respondents or does the agency recommend or prescribe the use of sampling or statistical analysis by respondents? ☐ Yes ☒ No

27. Regulatory authority for the information collection

10 CFR 75.34, 75.35

or

FR

or Other (specify)

Paperwork Certification

In submitting this request for OMB approval, the agency head, the senior official or an authorized representative, certifies that the requirements of 5 CFR 1320, the Privacy Act, statistical standards or directives, and any other applicable information policy directives have been complied with.

Signature of program official

Date

Signature of agency head, senior official or an authorized representative
Gerald F. Cranford, Designated Senior Official
for Information Resources Management

Date

1/11/93

SUPPORTING STATEMENT
FOR
DOE/NRC FORM 740M
CONCISE NOTE

SUBMITTAL IN COMPUTER READABLE FORM
(3150-0057)

Description of the Information Collection

NRC is required to collect nuclear material transaction information for domestic safeguards use and make it available to the IAEA. DOE/NRC Form 740M, "Concise Note," is used to provide information concerning qualifying statements or exceptions to other data forms submitted. Only those facilities which have been notified by letter from NRC that they are subject to 10 CFR Part 75 and those licensees who import or export nuclear materials are affected. Facility Attachments or Transitional Facility Attachments for such facilities may specify circumstances under which Concise Notes are required to be submitted to the IAEA as attachments to other reports. In addition, submission of this form is required of licensees who import or export special nuclear material. NRC is proposing a regulatory change to make it mandatory that the licensee submittal be in computer readable form.

A. Justification

Need for the Information Collection.

In order for the United States to fulfill its responsibilities as a participant in the US/IAEA Safeguards Agreement and satisfy its domestic safeguards responsibilities, it is necessary for licensees affected by 10 CFR Part 75 and related sections of Parts 40, 50, 70, and 150 to submit accounting reports. DOE/NRC Form 740M "Concise Note" is needed to inform the U.S. or the IAEA of any qualifying statement or exception to any of the data contained in any of the other reports required under the US/IAEA Safeguards Agreement. NRC is proposing a regulatory change to make it mandatory that the licensee submittal be in computer readable form. This will be less burden on the licensee because most have their material accounting already automated. Submitting the computer readable form will be easier than completing the hard copy form. The notice of proposed rulemaking is enclosed.

Agency Use of Information.

NRC is required to collect nuclear material transaction information for domestic safe- guards use and make it available to the IAEA. DOE/NRC Form 740M is used to provide information concerning qualifying statements or exceptions to other reports submitted. The use of Form 740M, together with NUREG/BR-0006 and NUREG/BR-0007, the instructions for completing the forms, and NMMSS D-24, instructions for computer data input, enables NRC to collect, retrieve, analyze as necessary, and submit the data to IAEA to fulfill its reporting responsibilities. Without these reports in ADP format, NRC's ability to collect and provide this data would be severely limited. All licensees currently under IAEA safeguards have computer capability.

Reduction in Burden Through Information Technology.

Licensees have had the option of submitting the required information on a facsimile of the form or transmitting the information electronically. The NRC has initiated a rulemaking to amend its regulations to require the licensees using DOE/NRC Forms 741, 741A, 740M, 742, and 742C to submit such reports in computer readable form. When implemented, this change will eliminate the NRC's need for the hard copy forms and reduce the burden on licensees through the use of current information technology.

Effort to Identify Duplication.

The Information Requirements Control Automated System (IRCAS) was searched to determine NRC duplication. No duplication was found.

Effort to Use Similar Information.

NRC and the Department of Energy jointly utilize the Nuclear Materials Management and Safeguards System (NMMSS) to process and store and subsequently retrieve the information contained on the forms. The licensee is able to file one report to meet the requirements of both agencies. This minimizes the reporting burden on industry members required to provide nuclear material data to both agencies.

Effort to Reduce Small Business Burden.

Burden on licensees will vary with the size and types of licensed operation. The burden on small businesses will represent approximately five percent of the total burden. Further reduction would not enable the NRC to fulfill its international or domestic responsibilities.

Consequences of Less Frequent Collection.

If the requested information is collected less frequently, the NRC would be unable to have current knowledge of locations of nuclear materials as is required.

Circumstances which Justify Variation from OMB Guidelines.

There is no variation from OMB guidelines.

Consultations Outside NRC.

The staff met and consulted with a group of typical licensees on June 23, 1992, in Atlanta, Georgia. Clarification of plans to make mandatory computer readable reporting was completed.

Confidentiality of Information.

Information on the form may qualify as business or financial confidential under the Code of Federal Regulations, Title 10, Section 2.790.

Justification for Sensitive Questions.

There are no sensitive questions.

Estimate of BurdenNumber and Type of Respondents.

Only those facilities which have been notified by letter from NRC that they are subject to 10 CFR Part 75 and those licensees who import or export nuclear materials are affected. Facility Attachments or Transitional Facility Attachments for such facilities may specify circumstances under which Concise Notes are required to be submitted to the IAEA as attachments to other reports. In addition, submission of this report is required of licensees who import or export special nuclear material. Approximately 38 licensees will have to submit Form 740M annually.

Method and Schedule for the Information Collection.

Guidance and instructions are provided to all affected licensees by NRC. Reports are required as occasioned by occurrence of specified events, such as the receipt, transfer, or adjustment to inventory of licensed material. Reports are collected on a continuing basis as transactions occur. The data is entered into the NMMSS as received. The information is not compiled and published. However, it is available to the public for inspection and copying.

Estimated Hours Required to Respond to the Information Requirement.

Thirty-eight respondents are expected to submit an average of 30 forms each, annually. A total of approximately 1,140 reports are expected to be submitted annually. The average burden of preparation and submission of each report is expected to be reduced from one hour to 45 minutes. The total resulting burden for required submissions of the form by all licensees will be 855 hours (1140 reports/yr x 0.75 hrs/report).

Estimated Cost to Respond.

The annual cost to each respondent to comply with this requirement is estimated to be \$2,768. The total annual cost to all affected licensees is estimated to be \$105,165. Cost estimates are based on a rate of \$123 per hour.

Source of Burden Data and Method of Estimating Burden.

This data is based on informal consultations by the staff with a small number of typical licensees and on actual reports submitted by licensees during 1990.

Reason for Change in Burden.

The estimated burden has been reduced because automation should result in an average burden reduction of 15 minutes per response.

Estimate of Cost to the Federal Government. The estimated annual cost to the government for processing this form is \$11,685.

Annual Cost - NRC Staff Review (Professional effort: 5 min/form @\$123/hr)	=	\$11,685.00
Annual ADP Cost*	=	\$57,052.00
Total Annual Cost	=	\$68,737.00

Publication for Statistical Use

None.

B. Collections of Information Employing Statistical Methods

Statistical methods are not used in this collection of information.

* NRC and DOE share the cost of operating the Nuclear Materials Management and Safeguards System (NMMSS). Current projected annual cost of the system is \$1.1M. This includes the cost of ADP, record holding, and clerical processing of all forms (DOE/NRC Forms 741, 741A, 740M, 742, 742C, and IAEA Form N-71). The cost suballocated to Form 740M is \$57,052.

NUCLEAR REGULATORY COMMISSION

Documents Containing Reporting or Recordkeeping
Requirements: Office of Management and Budget (OMB) Review

AGENCY: U. S. Nuclear Regulatory Commission (NRC)

ACTION: Notice of OMB review of information collection.

SUMMARY: The NRC has recently submitted to OMB for review the following proposal for the collection of information under the provisions of the Paperwork Reduction Act of 1980 (44 U.S.C. Chapter 35).

1. Type of submission, new, revision, or extension: Revision.
2. The title of the information collection:

Submittal, in computer readable format, of the following forms in accordance with listed instructions:

- DOE/NRC Forms 741 & 741A, "Nuclear Material Transaction Report,"
NUREG/BR-0006, "Instructions for completing forms 741, 741A, and 740M," and
NMMSS Report D-24, "Personal Computer Data Input for NRC Licensees"
- DOE/NRC Form 740M, "Concise Note"
- DOE/NRC Form 742, "Material Balance Report," and
NUREG/BR-0007, Instructions for Completing Forms 742, 742C, and 740M
- DOE/NRC Form 742C, "Physical Inventory Listing"

3. The form number if applicable: Same as item 2 above.
4. How often the collection is required:
 - DOE/NRC Form 741/741A: As occasioned by special nuclear material or source material transfers, receipts, or inventory changes that meet certain criteria.
 - DOE/NRC Form 740M: When specified in Facility Attachments or Transitional Facility Attachments, or as necessary to inform the U.S. or IAEA of any qualifying statement or exception to data contained in any of the other reporting forms required under the US/IAEA Safeguards Agreement.
 - DOE/NRC Forms 742 and 742C: Semiannually for affected special nuclear material licensees. Annually for affected source material licensees. As specified in Facility Attachments for licensees reporting under 10 CFR Part 75.
5. Who will be required or asked to report: Persons licensed to possess specified quantities of special nuclear material or source material.
6. An estimate of the number of responses annually:
 - DOE/NRC Form 741/741A: 20,000
 - DOE/NRC Form 740M: 1,140
 - DOE/NRC Form 742: 600
 - DOE/NRC Form 742C: 240
7. An estimate of the total number of hours needed annually to complete the requirement or request:
 - DOE/NRC Form 741/741A: 15,000 (0.75 hours per response)
 - DOE/NRC Form 740M: 855 (0.75 hours per response)
 - DOE/NRC Form 742: 450 (0.75 hours per response)
 - DOE/NRC Form 742C: 1,440 (6 hours per response)

8. An indication of whether Section 3504(h), Pub. L. 96-511 applies: Applicable.
9. Abstract: NRC is proposing a regulatory change to make it mandatory that licensee submittals of DOE/NRC Forms 741, 741A, 740M, 742, and 742C be in computer readable format. The change will streamline the collection of nuclear material transaction data, increase accuracy of reported information, and decrease burden on respondents by eliminating preparation of paper copies.

Copies of the submittal may be inspected or obtained for a fee from the NRC Public Document Room, 2120 L Street, N.W. (Lower Level), Washington, DC.

Comments and questions may be directed by mail to the OMB reviewer:

Ronald Minsk

Office of Information and Regulatory Affairs (3150-0003, -0057,
-0004, and -0058)

NEOB-3019

Office of Management and Budget

Washington, DC 20503

Comments may also be communicated by telephone at (202) 395-3084.

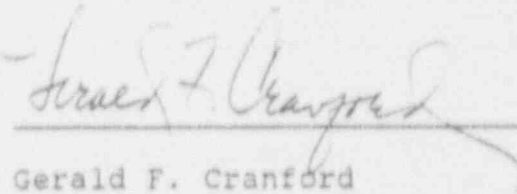
The NRC Clearance Officer is Brenda Jo. Shelton, (301) 492-8132.

Dated at Bethesda, Maryland, this

day of

1993.

For the Nuclear Regulatory Commission

A handwritten signature in dark ink, appearing to read "Gerald F. Cranford", is written over a horizontal line.

Gerald F. Cranford

Designated Senior Official

for Information Resources Management