



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20565

October 29, 1992

Sargis Associates, Inc.
ATTN: Saul Sargis
1800 Silas Deane Highway
Suite 217
Rocky Hill, CT 06067

Gentlemen:

I am responding to your letter dated August 5, 1992, regarding termination of License 06-28545-91 and providing information to support your belief that you are not liable for the FY 1991 and FY 1992 annual fees (Invoice Nos. AM01075-91 and AM00968-92).

In support of your request for relief from the fees you state that: 1) you called upon receiving your bill in July or August 1991 to cancel your license; 2) you completed and returned the required forms to cancel your license; 3) you called NRC again after receiving the second notice; 4) you called NRC again after receiving a call from us notifying you that you were delinquent; 5) you called NRC again after receiving the 1992 bill; and 6) you never purchased or had in your possession any nuclear material.

The final rule revising 10 CFR Part 170 and 10 CFR Part 171 provided an opportunity for licensees who wished to relinquish their licenses and who were capable of permanently ceasing licensed operations by September 30, 1991, to avoid the FY 1991 annual fee if the licensee notified the Commission in writing within the 30-day period prior to the effective date of the rule (56 FR 31475 and 31485; July 10, 1991). As stated in the **Federal Register** notice, and the notice which was mailed with the invoice, the effective date of the rule was August 9, 1991. The final rule establishing the fees for FY 1992 provided that licensees who had filed for termination of their license or for a possession only/storage only license during the period October 1, 1991, through December 31, 1991, would be exempt from the FY 1992 annual fee.

Since we have no record of receiving the forms you submitted to cancel your license, enclosed is an NRC Form 314, "Certificate of Disposition of Materials" for your use in resubmitting your request. Based on the information furnished in your August 5, 1992, letter, the Commission: 1) acknowledges that no licensed activity took place prior to September 30, 1991; and 2) will accept as timely filed for purposes of waiving the FY 1991 and FY 1992 annual fees, the completed NRC Form 314 to terminate the license if it is received within 15 days of the date of this

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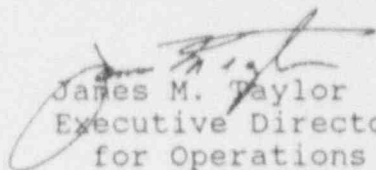
ML61

Sargis Associates, Inc.

p.2

letter. If the completed NRC Form 314 is received within the 15 day timeframe, Invoice Nos. AMO1075-91 and AMO0968-92 will be cancelled. Otherwise, the invoices are due and payable.

Sincerely,



James M. Taylor
Executive Director
for Operations

Enclosure: As stated

DISTRIBUTION:

10 CFR 171 Exemption File FY 1992 (w/orig. inc.)
License File 06-28545-01 (w/copy inc.)
Materials Annual Fee Correspondence File (w/copy inc.)
Invoice File AM01075-91; AM00968-92 (w/copy inc.)
NUDOCS (ML61) (w/copy inc.)
PDR
GJackson
DDandois
EBlack
DWeiss
JHolloway (w/copy inc.)
GFehst
EDO 0007975
OC-92-317
DAF R/F
LFDCB R/F (2)
LTremper (add this name if cancelling invoice and/or issuing refund)

OFFICE: LFDCB: ~~Mac~~
NAME: GJACKSON
DATE: 10/5/92

OFFICE: OC
NAME: JFUNCHES
DATE: 10/6/92

OFFICE: ~~OC~~
NAME: ~~R~~SCROGGINS
DATE: 10/14/92

LFDCB
DDANDOIS
9/15/92

OC
JHOLLOWAY
10/10/92

EDO
JTAYLOR
10/21/92

DAF ~~JE~~
EBLACK
10/5/92

OGC ~~GRF~~
~~TROTHCHILD~~
10/13/92

DAF
LHILLER
10/14/92

10 CFR 30.36(a)(1)(iv)
10 CFR 40.42(a)(1)(iv)
10 CFR 70.36(a)(1)(iv)

CERTIFICATE OF DISPOSITION OF MATERIALS

(All items MUST be completed, please print)

LICENSEE NAME AND ADDRESS

LICENSE NUMBER

LICENSE EXPIRATION DATE

THE LICENSEE OR ANY INDIVIDUAL EXECUTING THIS CERTIFICATE ON BEHALF OF THE LICENSEE CERTIFIES THAT: (Check and/or complete the appropriate item(s) below.)

A. MATERIALS DATA (Check one and complete, as necessary)

- ☐ 1. NO MATERIALS HAVE EVER BEEN POSSESSED OR PROCURED BY THE LICENSEE UNDER THIS LICENSE.
- OR
- ☐ 2. ALL MATERIALS PROCURED AND/OR POSSESSED BY THE LICENSEE UNDER THE LICENSE NUMBER CITED ABOVE HAVE BEEN TRANSFERRED ON
- DATE _____ TO _____ WHICH HAS NRC LICENSE NUMBER _____
- OR
- ☐ 3. ALL MATERIALS PROCURED AND/OR POSSESSED BY THE LICENSEE UNDER THE LICENSE NUMBER CITED ABOVE HAVE BEEN TRANSFERRED ON
- DATE _____ TO _____ WHICH HAS LICENSE NUMBER _____ ISSUED BY THE STATE OF _____
- OR
- ☐ 4. MATERIALS HAVE BEEN DISPOSED OF IN THE FOLLOWING MANNER. (Describe specific disposal procedures—if additional space is needed, use the reverse of this form, or provide attachments)
- AN AGREEMENT STATE PURSUANT TO SECTION 274 OF THE ATOMIC ENERGY ACT OF 1954, AS AMENDED, AND THE ENERGY REORGANIZATION ACT OF 1974.

B. OTHER DATA

- ☐ 1. OUR LICENSE HAS NOT YET EXPIRED; PLEASE TERMINATE IT.
- ☐ 2. WAS A RADIATION SURVEY CONDUCTED TO CONFIRM THE ABSENCE OF LICENSED RADIOACTIVE MATERIALS AND TO DETERMINE WHETHER ANY CONTAMINATION REMAINS ON THE PREMISES COVERED BY THE LICENSE? (Check one)
- ☐ NO
- ☐ YES, THE RESULTS (Check one)
- ☐ ARE ATTACHED, OR
- ☐ WERE FORWARDED TO NRC ON (Date) _____
3. THE PERSON TO BE CONTACTED REGARDING THE INFORMATION PROVIDED ON THIS FORM
- NAME _____ TELEPHONE NUMBER _____
4. MAIL ALL FUTURE CORRESPONDENCE REGARDING THIS LICENSE TO _____

RETURN TO:

DIRECTOR, DIVISION OF INDUSTRIAL AND MEDICAL NUCLEAR SAFETY
OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS
U.S. NUCLEAR REGULATORY COMMISSION
WASHINGTON, DC 20555

CERTIFYING OFFICIAL

SIGNATURE

DATE

PRINTED NAME AND TITLE

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GJackson
DDandois
EBlack
DWeiss
JHolloway (w/copy inc.)
GFehst
EDO 0007975
OC-92-317
DAF R/F
LFDCB R/F (2)
LTremper (add this name if cancelling invoice and/or issuing refund)

OFFICE: LFDCB: *mac*
NAME: GJACKSON
DATE: *10/5/92*

OFFICE: OC *mac*
NAME: JFUNCHES
DATE: *10/6/92*

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EDO
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mac *10/14/92*

DAF *mac*
EBLACK
mac *10/5/92*

OGC *GRF*
~~TROTCHILD~~
mac *10/13/92*

DAF *mac*
LHAYLER
mac *10/14/92*


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Oaaaaaa0aaaaaa0aaaaaa0aaaaaa0aaaaaa0aaaaaa0aaaaaa0
° OFFICE OF THE CONTROLLER °
°CORRESPONDENCE TRACKING SYSTEM°
aaaaaaa0aaaaaa0aaaaaa0aaaaaa0aaaaaa0aaaaaa0aaaaaa0
Item                               Originating
Number                             Office
OC-92-317                         SARGIS

Document Date                     Current
8/05/92                           Due Date

Original                          EDO
Due Date                        Control Number
9/08/92

Subject:  TERMINATE MATERIALS LICENSE


Assigned to:                      Date          Date
DAF                                Completed     Signed
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KL 8-28-92