

FOREIGN OWNERSHIP, CONTROL, OR INFLUENCE (FOCI) DATA SHEET

COMPANY NAME:

Listed below are documents required to complete a FOCI determination:

FOCI DETERMINATION DOCUMENTS COMMON TO ALL BUSINESS STRUCTURES.

KEY MANAGEMENT PERSONNEL FORM (OODEP) _____
REPRESENTATIVE OF FOREIGN INTEREST (RFI) STATEMENT (if applicable) _____
STANDARD FORM 328 (CPFI) _____
WEB PAGE ADDRESS (if applicable) _____

ADDITIONAL DOCUMENTS REQUIRED TO BE INCLUDED FOR A PUBLICLY TRADED CORPORATION

ARTICLES OF INCORPORATION _____
SEC 10K REPORT _____
PROXY STATEMENT _____
SEC SCHEDULES 13D & 13G _____
CORPORATE BY-LAWS _____
IRS FORM 5471 _____
ANNUAL REPORT WITH FINANCIAL INFORMATION _____

ADDITIONAL DOCUMENTS REQUIRED TO BE INCLUDED FOR A PRIVATELY-OWNED CORPORATION

ARTICLES OF INCORPORATION _____
CORPORATE BY-LAWS _____
IRS FORM 5471 (if applicable) _____
ANNUAL REPORT WITH FINANCIAL INFORMATION (OR) _____
AUDITED FINANCIAL INFORMATION IN LIEU OF ANNUAL REPORT
(IF UNAUDITED, SUBMIT A CERTIFICATION ATTESTING TO THE
UNAVAILABILITY OF AUDITED INFORMATION) _____

ADDITIONAL DOCUMENTS REQUIRED FOR A PARTNERSHIP

PARTNERSHIP AGREEMENT _____
SEC 10K REPORT _____
PROXY STATEMENT _____
SEC SCHEDULES 13D & 13G _____
IRS FORMS 5471 & 1065 _____
LATEST ANNUAL REPORT WITH FINANCIAL INFORMATION (OR) _____
AUDITED FINANCIAL INFORMATION IN LIEU OF ANNUAL REPORT _____

(IF UNAUDITED, SUBMIT A CERTIFICATION ATTESTING TO THE UNAVAILABILITY OF AUDITED INFORMATION) _____
MOST CURRENT BOARD MEETING MINUTES IDENTIFYING EXECUTIVE APPOINTMENTS/CHANGES _____

CSCS _____ NSP _____

ADDITIONAL DOCUMENTS REQUIRED FOR A PROPRIETORSHIP

TAX ID NO/STATE REGISTRATION CERTIFICATE _____
IRS FORM 1040 _____
ANNUAL REPORT OR AUDITED FINANCIAL INFORMATION FOR MOST RECENT FISCAL YEAR (IF AUDITED REPORT IS NOT AVAILABLE CFO/TREASURER MUST SIGN AND DATE THE FINANCIAL STATEMENT CERTIFYING THAT IT IS CURRENT AND CORRECT. _____
IF COMMUNITY PROPERTY STATE (AZ, CA, ID, LA, NV, NM, TX, WA, WI) SPOUSAL INFORMATION IS ALSO REQUESTED. IF SUBJECT IS SINGLE PLEASE SO STATE. _____

ADDITIONAL DOCUMENTS REQUIRED FOR A LIMITED LIABILITY CORPORATION

CERTIFICATE OF ORGANIZATION _____
OPERATING AGREEMENT _____
AUDITED FINANCIAL INFORMATION FOR MOST RECENT FISCAL YEAR (IF UNAUDITED THE CFO/TREASURER MUST SIGN AND DATE THE FINANCIAL STATEMENT CERTIFYING IT IS CURRENT AND CORRECT) _____

ADDITIONAL DOCUMENTS REQUIRED FOR A COLLEGE/UNIVERSITY

UNIVERSITY CHARTER _____
LATEST ANNUAL REPORT _____
MOST CURRENT BOARD MEETING MINUTES IDENTIFYING EXECUTIVE APPOINTMENTS/CHANGES _____