

## Request for OMB Review

DESIGNATED ORIGINAL

Certified by *Smith*

## Important

Read instructions before completing form. Do not use the same SF 83 to request both an Executive Order 12291 review and approval under the Paperwork Reduction Act.

Answer all questions in Part I. If this request is for review under E.O. 12291, complete Part II and sign the regulatory certification. If this request is for approval under the Paperwork Reduction Act and 5 CFR 1320, skip Part II, complete Part III and sign the paperwork certification.

Send three copies of this form, the material to be reviewed, and for paperwork—three copies of the supporting statement, to:

Office of Information and Regulatory Affairs  
Office of Management and Budget  
Attention: Docket Library, Room 3201  
Washington, DC 20503

## PART I.—Complete This Part for All Requests

1. Department, agency, or other Bureau, office, or program requesting

U. S. Nuclear Regulatory Commission

2. Agency code

3 1 5 0

3. Name of person who has been assigned questions regarding

Richard Gramann

Telephone number

(301) 504-2456

4. Title of information collection or rulemaking

DOE/NRC Form 740M - Concise Note

5. Legal authority for information collection or rule (cite United States Code, Public Law, or Executive Order)

42 USC 2201(o)

6. Affected public (check all that apply)

1 ☐ Individuals or households3 ☐ Farms5 ☐ Federal agencies or employees2 ☐ State or local governments4 ☒ Businesses or other for profit3 ☐ Non-profit institutions7 ☒ Small businesses or organizations

## PART II.—Complete This Part Only if the Request is for OMB Review Under Executive Order 12291

7. Regulation Identifier Number (RIN)

or, None assigned ☐

8. Type of submission (check one in each category)

## Classification

1 ☐ Major2 ☐ Nonmajor

## Stage of development

1 ☐ Proposed or draft2 ☐ Final or interim final, with prior proposal3 ☐ Final or interim final, without prior proposal

## Type of review requested

1 ☐ Standard2 ☐ Pending3 ☐ Emergency4 ☐ Statutory or judicial deadline

9. CFR section affected

CFR

10. Does this regulation contain reporting or recordkeeping requirements that require OMB approval under the Paperwork Reduction Act and 5 CFR 1320?

☐ Yes☐ No

11. If a major rule, is there a regulatory impact analysis attached?

1 ☐ Yes2 ☐ No

If "No," did OMB waive the analysis?

3 ☐ Yes4 ☐ No

## Certification for Regulatory Submissions

In submitting this request for OMB review, the authorized regulatory contact and the program official certify that the requirements of E.O. 12291 and any applicable policy directives have been complied with.

Signature of program official

Date

Signature of authorized regulatory contact

Date

12. (OMB use only)

**PART III — Complete This Part Only if the Request is for Approval of a Collection of Information Under the Paperwork Reduction Act and 5 CFR 1320.**

13. Abstract — Describe needs, uses and affected public in 50 words or less.

"Nuclear Facilities, Radioactive Materials, Nuclear Facility Safeguards"  
Licensees affected by 10 CFR Part 75 and related sections of Parts 40, 50, 70, and 150 are required to submit DOE/NRC Form 740M, "Concise Note," to inform the U.S. or the IAEA of any qualifying statement or exception to any of the data contained in any of the other reporting forms required under the US/IAEA Safeguards Agreement.

14. Type of information collection (check only one)

*Information collections not contained in rules*

1 ☒ Regular submission

2 ☐ Emergency submission (certification attached)

*Information collections contained in rules*

3 ☐ Existing regulation (no change proposed)

6 Final or interim final without prior NPRM

4 ☐ Notice of proposed rulemaking (NPRM)

A ☐ Regular submission

5 ☐ Final NPRM was previously published

B ☐ Emergency submission (certification attached)

7 Enter date of expected or actual Federal

Register publication at this stage of rulemaking  
(month, day, year): \_\_\_\_\_

15. Type of review requested (check only one)

1 ☐ New collection

2 ☐ Revision of a currently approved collection

3 ☒ Extension of the expiration date of a currently approved collection without any change in the substance or in the method of collection

4 ☐ Reinstatement of a previously approved collection for which approval has expired

5 ☐ Existing collection in use without an OMB control number

16. Agency report form number(s) (include standard, optional form number(s))

DOE/NRC Form 740M

17. Annual reporting or disclosure burden

1 Number of respondents 38

2 Number of responses per respondent 30

3 Total annual responses (line 1 times line 2) 1,140

4 Hours per response 1

5 Total hours (line 3 times line 4) 1,140

18. Annual recordkeeping burden

1 Number of recordkeepers

2 Annual hours per recordkeeper

3 Total recordkeeping hours (line 1 times line 2)

4 Recordkeeping retention period years

19. Total annual burden

1 Requested (line 17-5 plus line 18-3) 1,140

2 In current OMB inventory 1,140

3 Difference (line 1 less line 2) 0

Explanation of difference

4 Program change

5 Adjustment

20. OMB's (most recent) OMB control number or comment number

315-00057

21. Recommended expiration date

3 years from approval date

22. Purpose of information collection (check as many as apply)

1 ☐ Application for benefits

2 ☐ Program evaluation

3 ☐ General purpose statistics

4 ☒ Regulatory or compliance

5 ☐ Program planning or management

6 ☐ Research

7 ☐ Audit

23. Frequency of recordkeeping or reporting (check all that apply)

1 ☐ Recordkeeping

*Reporting*

2 ☒ On occasion

3 ☐ Weekly

4 ☐ Monthly

5 ☐ Quarterly

6 ☐ Semi-annually

7 ☐ Annually

8 ☐ Biennially

9 ☐ Other (describe): \_\_\_\_\_

24. Respondents' obligation to comply (check the strongest obligation that applies)

1 ☐ Voluntary

2 ☐ Required to obtain or retain a benefit

3 ☒ Mandatory

25. Are the respondents primarily educational agencies or institutions or is the primary purpose of the collection related to Federal education programs? ☐ Yes ☒ No

26. Does the agency use sampling to select respondents or does the agency recommend or prescribe the use of sampling or statistical analysis by respondents? ☐ Yes ☒ No

27. Regulatory authority for the information collection

10

CFR 75.34, 75.35

or

10

or Other (specify): \_\_\_\_\_

**Paperwork Certification**

In submitting this request for OMB approval, the agency head, the senior official or an authorized representative certifies that the requirements of 5 CFR 1320, the Privacy Act, statistical standards or directives, and any other applicable information policy directives have been complied with.

Signature of program official

Date

Signature of agency head, the senior official or an authorized representative

Date

Gerald F. Cranford, Designated Senior Official  
for Information Resources Management

8/19/92

SUPPORTING STATEMENT  
FOR  
DOE/NRC FORM 740M  
CONCISE NOTE  
(3150-0057)

Description of the Information Collection.

NRC is required to collect nuclear material transaction information for domestic safeguards use and make it available to the IAEA. DOE/NRC Form 740M, "Concise Note," is used to provide information concerning qualifying statements or exceptions to other data forms submitted. Only those facilities which have been notified by letter from NRC that they are subject to 10 CFR Part 75 and those licensees who import or export nuclear materials are affected. Facility Attachments or Transitional Facility Attachments for such facilities may specify circumstances under which Concise Notes are required to be submitted to the IAEA as attachments to other reports. In addition, submission of this form is required of licensees who import or export special nuclear material.

A. Justification

Need for the Information Collection. In order for the United States to fulfill its responsibilities as a participant in the US/IAEA Safeguards Agreement and satisfy its domestic safeguards responsibilities, it is necessary for NRC to require that DOE/NRC Form 740M, "Concise Note," be submitted by licensees affected by 10 CFR Part 75 and related sections of Parts 40, 50, 70, and 150 to inform the U.S. or the IAEA of any qualifying statement or exception to any of the data contained in any of the other reporting forms required under the US/IAEA Safeguards Agreement.

Agency Use of Information. The use of Form 740M, together with NUREG/BR-0006 and NUREG/BR-0007, the instructions for completing the forms, enables NRC to collect, retrieve, analyze as necessary, and submit the data to IAEA to fulfill its reporting responsibilities. Without these report forms, in ADP format, NRC's ability to collect and provide this data would be severely limited.

Reduction in Burden Through Information Technology. There are no legal obstacles to reducing the burden associated with this information collection. However, licensees have the option of submitting the required information on a facsimile of the form or transmitting the information electronically. The NRC is initiating a rulemaking to amend its regulations to require those licensees using DOE/NRC Form 741, 741A, 740M, 742, and 742C to submit such reports in computer readable form. When implemented, this change will eliminate the NRC's need for these hard copy forms. This rule change is expected to be effective before the next clearance request is submitted.

Effort to Identify Duplication. The Information Requirements Control Automated System (IRCAS) was searched to determine NRC duplication. No duplication was found.

Effort to Use Similar Information. NRC and the Department of Energy jointly utilize the Nuclear Materials Management and Safeguards System (NMMSS) to process and store and subsequently retrieve the information contained on the forms. The licensee is able to file one form to meet the requirements of both agencies. This minimizes the reporting burden on industry members required to provide nuclear material data to both agencies.

Effort to Reduce Small Business Burden. Burden on licensees will vary with the size and types of licensed operation. The burden on small businesses will represent approximately five percent of the total burden. Further reduction would not enable the NRC to fulfill its international or domestic responsibilities.

Consequences of Less Frequent Collection. If the requested information is collected less frequently, the NRC would be unable to have current knowledge of locations of nuclear materials as is required.

Circumstances which Justify Variation from OMB Guidelines. There is no variation from OMB guidelines.

Consultations Outside NRC. There have been no consultations outside NRC since the previous clearance of these forms.

Confidentiality of Information. Information on the form that respondents indicate is business or financial confidential may qualify for protection from disclosure under 10 CFR §2.790.

Justification for Sensitive Questions. There are no sensitive questions.

Estimate of Cost to the Federal Government. The estimated annual cost to the government for processing this form is \$10,925.

Annual Cost - NRC Staff Review (Professional effort -5 min/form @\$115/hr)	=	\$10,925.00
Annual ADP Cost*	=	\$74,700.00
Total Annual Cost	=	\$85,625.00

\* NRC and DOE share the cost of operating the Nuclear Materials Management and Safeguards System (NMMSS). Current projected annual cost of the system is \$1.494M. This includes the cost of ADP, record holding, and clerical processing of all forms (DOE/NRC Forms 741, 741A, 740M, 742, 742C, and IAEA Form N-71). The cost suballocated to Form 740M is \$55,000.

## Estimate of Burden

Estimated Hours Required to Respond to the Information Requirement. Approximately 38 licensees will have to submit Form 740M annually. Fewer licensees are now subject to the IAEA safeguards requirements. Forms and instructions are provided to all affected licensees by NRC. Three copies of the form are required to be submitted. One copy is filed with the NRC and two copies are submitted to the receiver of the shipment. The receiver completes the forms, returns one to the shipper, and sends one to NRC. Reports are required as occasioned by occurrence of specified events, such as the receipt, transfer, or adjustment to inventory of licensed material. Reports are collected on a continuing basis as transactions occur. The data is entered into the NMMSS as received. The information is not compiled and published. However, it is available to the public for inspection and copying.

The respondents are expected to submit an average of 30 forms each, annually. A total of approximately 1,140 reports are expected to be submitted annually. The burden of preparation and submission of each report is estimated to be one hour. The total resulting burden for required submissions of the form by all licensees will be 1,140 hours.

Estimated Cost to Respond. The annual cost to each respondent to comply with this requirement is estimated to be \$3,450 (1,140 hrs / 38 licensees x \$115/hr). The total annual cost to all affected licensees is estimated to be \$131,100. Cost estimates are based on a rate of \$115 per hour.

Source of Burden Data and Method of Estimating Burden. This data is based on informal consultations by the staff with a small number of typical licensees and on actual reports submitted by licensees during CY 1990.

Reason for Change in Burden. There is no change in burden.

Publication for Statistical Use. None.

- B. Collection of Information Employing Statistical Methods. Statistical methods are not used in this collection of information.

NUCLEAR REGULATORY COMMISSION

Documents Containing Reporting or Recordkeeping  
Requirements: Office of Management and Budget (OMB) Review

AGENCY: U. S. Nuclear Regulatory Commission (NRC)

ACTION: Notice of OMB review of information collection.

SUMMARY: The NRC has recently submitted to OMB for review the following proposal for the collection of information under the provisions of the Paperwork Reduction Act of 1980 (44 U.S.C. Chapter 35).

1. Type of submission, new, revision, or extension: Extension.
2. The title of the information collection:
  - DOE/NRC Forms 741 & 741A, "Nuclear Material Transaction Report," and  
NUREG/BR-0006, "Instructions for completing forms 741,  
741A, and 740M"
  - DOE/NRC Form 740M, "Concise Note"

3. The form number if applicable: Same as item 2 above.

4. How often the collection is required:

- DOE/NRC Form 741/741A: As occasioned by special nuclear material or source material transfers, receipts, or inventory changes that meet certain criteria.
- DOE/NRC Form 740M: When specified in Facility Attachments or Transitional Facility Attachments, or as necessary to inform the U.S. or IAEA of any qualifying statement or exception to any of the data contained in any of the other reporting forms required under the US/IAEA Safeguards Agreement.

5. Who will be required or asked to report: Persons licensed to possess specified quantities of special nuclear material or source material, and in the case of IAEA Form N-71, licensees of facilities on the U.S. eligible list who have been notified in writing by the Commission to submit the form.

6. An estimate of the number of responses annually:

- DOE/NRC Form 741/741A: 20,000
- DOE/NRC Form 740M: 1,140



Copies of the submittal may be inspected or obtained for a fee from the NRC Public Document Room, 2120 L Street, N.W. (Fifth Level), Washington, DC.

Comments and questions may be directed by mail to reviewer:

Ronald Minsk

Office of Information and Regulatory Affairs (315)

NEOB-3019

Office of Management and Budget

Washington, DC 20503

Comments may also be communicated by telephone at

The NRC Clearance Officer is Brenda Jo. Shelton, (



- DOE/NRC Form 741/741A: 20,000 (1 hour per response)

- DOE/NRC Form 740M: 1,140 (1 hour per response)

8. An indication of whether Section 3504(h), Pub. L. 96-511 applies: Not applicable.

9. Abstract:

- NRC and Agreement State licensees are required to make inventory and accounting reports on DOE/NRC Form 741/741A for certain source or special nuclear material inventory changes, for transfers or receipts of special nuclear material, or for transfer or receipt of 1 kilogram or more of source material.

- Licensees affected by 10 CFR Parts 75 and related sections of Parts 40, 50, 70, and 150 are required to submit DOE/NRC Form 740M to inform the U.S. or IAEA of any qualifying statement or exception to any of the data contained in any of the other reporting forms required under the U.S./IAEA Safeguards Agreement.

7. An estimate of the total number of hours needed to complete the requirement or request:

- DOE/NRC Form 741/741A: 20,000 (1 hour per response)

- DOE/NRC Form 740M: 1,140 (1 hour per response)

8. An indication of whether Section 3504(h), Pub. L. 96-511 applies: Not applicable.

9. Abstract:

- NRC and Agreement State licensees are required to make inventory and accounting reports on DOE/NRC Form 741/741A for certain source or special nuclear material inventory changes, for transfers or receipts of special nuclear material, or for transfer or receipt of 1 kilogram or more of source material.
- Licensees affected by 10 CFR Parts 75 and related sections of Parts 40, 50, 70, and 150 are required to submit DOE/NRC Form 740M to inform the U.S. or IAEA of any qualifying statement or exception to any of the data contained in any of the other reporting forms required under the U.S./IAEA Safeguards Agreement.

Copies of the submittal may be inspected or obtained for a fee from the NRC Public Document Room, 2120 L Street, N.W. (Lower Level), Washington, DC.

Comments and questions may be directed by mail to the OMB reviewer:

Ronald Minsk

Office of Information and Regulatory Affairs (3150-0003 & -0057)

NEOB-3019

Office of Management and Budget

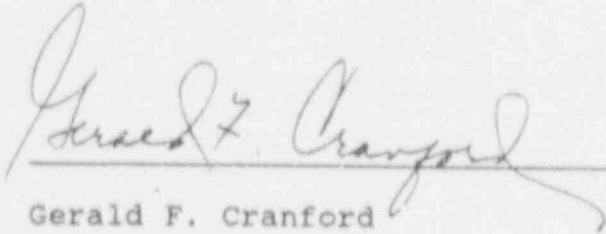
Washington, DC 20503

Comments may also be communicated by telephone at (202) 395-3084.

The NRC Clearance Officer is Brenda Jo. Shelton, (301) 492-8132.

Dated at Bethesda, Maryland, this 19<sup>th</sup> day of August 1992.

For the Nuclear Regulatory Commission



A handwritten signature in cursive script, reading "Gerald F. Cranford", is written over a horizontal line.

Gerald F. Cranford

Designated Senior Official

for Information Resources Management