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REVIEW OF WATERFORD III BASEMAT ANALYSIS

Structural Analysis Division
Department of Nuclear Energy
Brookhaven National Laboratory
Upton, NY 11973

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TABLE OF CONTENTS

	Page No.
INTRODUCTION	1
GENERAL COMMENTS	2
STRUCTURAL ANALYSIS TOPIC REVIEWED	3
1. Dead Loads (D)	3
2. Buoyancy Forces (B)	7
3. Variable Springs Used For the Foundation Modulus	7
4. Vertical Earthquake Effects	8
5. Side Soil Pressure	8
6. Boundary Constraints	10
7. Finite Element Mesh and Its Effect	10
8. BNL Check Calculations	11
CUNCLUSIONS AND RECOMMENDATIONS	13
APPENDIX A LIST OF CONTRIBUTORS	A-1
APPENDIX B STRESSES INDUCED WHILE POURING BLOCKS	B-1
APPENDIX C EFFECT OF SIDEWALL LOADS ON BASEMAT CAPACITY	C-1

INTRODUCTION

At the request of SGEB/NRR, the Structural Analysis Division of the Department of Nuclear Energy at BNL undertook a review and evaluation of the HEA Waterford III mat analysis documented in Harstead Engineering Associates (HEA) Reports, Nos. 8304-1 and 8304-2. Both reports are entitled, "Analysis of Cracks and Water Seepage in Foundation Mat". Report 8304-1 is dated September 19, 1983, while Report 8304-2 is dated October 12, 1983. Major topics addressed in the first report are:

- (1) Engineering criteria used in the design, site preparation and construction of the Nuclear Power Island Structure basemat.
- (2) Discussion of cracking and leakage in the basemat.
- (3) Laboratory tests on basemat water and leakage samples.
- (4) Stability calculations for the containment structure.

The second report concentrates on the finite element analysis and its results. Specifically, it describes:

- (1) The geometric criteria and finite element idealization.
- (2) The magnitude and distribution of the loads.
- (3) The final computer results in terms of moments and shear versus the resistance capacity of the mat structure.

Supplemental information to these reports were obtained at meetings held in Bethesda, MD, on March 21 and 26, 1984, at the Waterford Plant site in Louisiana on March 27, 1984, and at Ebasco headquarters in New York City on April 4, 1984. At the close of the EBASCO meeting, a complete listing of the HEA computer run was made available to BNL.

The BNL efforts were concentrated on the review of the results presented in report no. 8302-2 and on the supplemental information contained in the computer run given to us by HEA. This computer run contains 9 load cases and their various combinations. The input/output printout alone consists of roughly two thousand pages of information. Selected portions were reviewed in detail, while the remaining sections were reviewed in lesser detail. Comments regarding the reviewed work are given in the sections that follow.

GENERAL COMMENTS

Basically, the HEA report concludes that large primary moments will produce tension on the bottom surface of the mat. For this condition, it is shown that the design is conservative. Furthermore, the shear capacity vs. the shear produced by load combinations are concluded to be adequate although a few elements were found to be close to the design capacity. Accordingly, the cracking of the top surface is attributed only to "benign" causes such as shrinkage, differential soil settlement, and temperature changes.

Based on the discussions held with EBASCO and HEA, and on the review of data given to BNL, it is our judgement that the bottom reinforcement as well as the mat shear capacity is adequate. The statement that the cracking of the top surface is attributable to "benign" causes however has not been analytically demonstrated by HEA. In the BNL review of the reports and data, an attempt was made to ascertain the reasons for the existing crack patterns that appear around the outside of the reactor shield building as depicted in Figure U-1 Appendix U of the HEA Report 8304-2. Other effects influencing the structural behavior and safety were also investigated. Specifically, the structural analysis topics reviewed in more detail include:

- (1) Dead loads and their effects.
- (2) Buoyancy forces and their effects.
- (3) Variable springs used for the foundation modulus.
- (4) Vertical earthquake effects.
- (5) The side soil pressures.
- (6) The boundary constraint conditions used for the mat.
- (7) Finite element mesh size and its effects.
- (8) BNL check calculations.

STRUCTURAL ANALYSIS TOPICS REVIEWED

1. Dead Loads (D)

As mentioned, EBASCO in their discussion and HEA in their reports have not shown analytically, the cause of the top surface cracks. In reviewing the HEA computer outputs, it was found that element moments and shears for individual loadings are explicitly given. Thus, for the case involving dead loads only, a number of elements in the cracked regions exhibit moments (positive in sign) that can produce tension and thus create cracking on the top surface. This situation is shown in Table 1 which gives moment data (M_x , M_y and M_{xy}) for elements under various load conditions (dead (D), buoyancy (B) and normal side pressure) in some of the cracked regions. The particular elements are also depicted by the shaded areas shown in Fig. 1.

*all
dead
loads
not
just
the one
that
were
up in
'77*

TABLE 1

ELEMENT	Mx (k-ft/ft)		My (kip-ft/ft)		Mxy (kip-ft/ft)		Normal Side Pressure			
	D	B	D	B	D	B	Mx	M x _y	Mxy	
Area T2-R-12M-7FH	437	-242	173	-574	197	116	-31	-294	-196	93
	-212	655	595	207	91	106	-25	-663	-392	79
	211	-605	205	-412	217	-296	48	-219	-416	-76
	207	64	99	-136	136	-81	15	-319	-193	50
	441	-105	168	172	-170	39	-12	-347	-489	66
	436	-719	269	-1193	357	531	-130	-274	-258	117
	438	269	142	-159	158	-60	26	-730	-347	27
	-447	665	59	210	88	248	-55	-653	-339	-127
	-204	193	87	569	72	-143	28	-361	-420	24
	-208	350	32	898	-24	-241	75	-354	-771	-49
	203	-676	260	-995	236	39	-21	-574	-247	30
426	-542	157	-705	310	332	-65	-171	-486	61	
Area R-P-2M-1A	259	62	148	-133	81	154	-36			
	-243	5	71	531	75	0	18			
	-255	30	58	670	5	41	10			
	252 ?	86	24	611	-55	87	8			
	254 ?	50	26	412	-41	69	9			
	251 ?	37	5	162	-23	44	12			
	-257 ?	320	-38	57	15	-81	-15			
	248 ?	255	-26	29	16	-29	-6			
	267	-236	80	87	118	-64	28			
-269	-173	59	434	10	-82	32				
Area R-P1-12A-9M	419	-314	137	-635	313	-30	12			
	410	-371	71	-642	238	270	-29			
	400	-315	108	-774	275	-44	41			
	401	-180	42	-201	102	108	-23			
	414	-304	118	-130	178	44	-19			
	417	-200	93	440	41	-17	-15			
	404	-64	17	428	-32	98	-18			

NOTE: D - Dead Load

B - Bouyancy
+ M causes tension
at the top surface
of the mat.

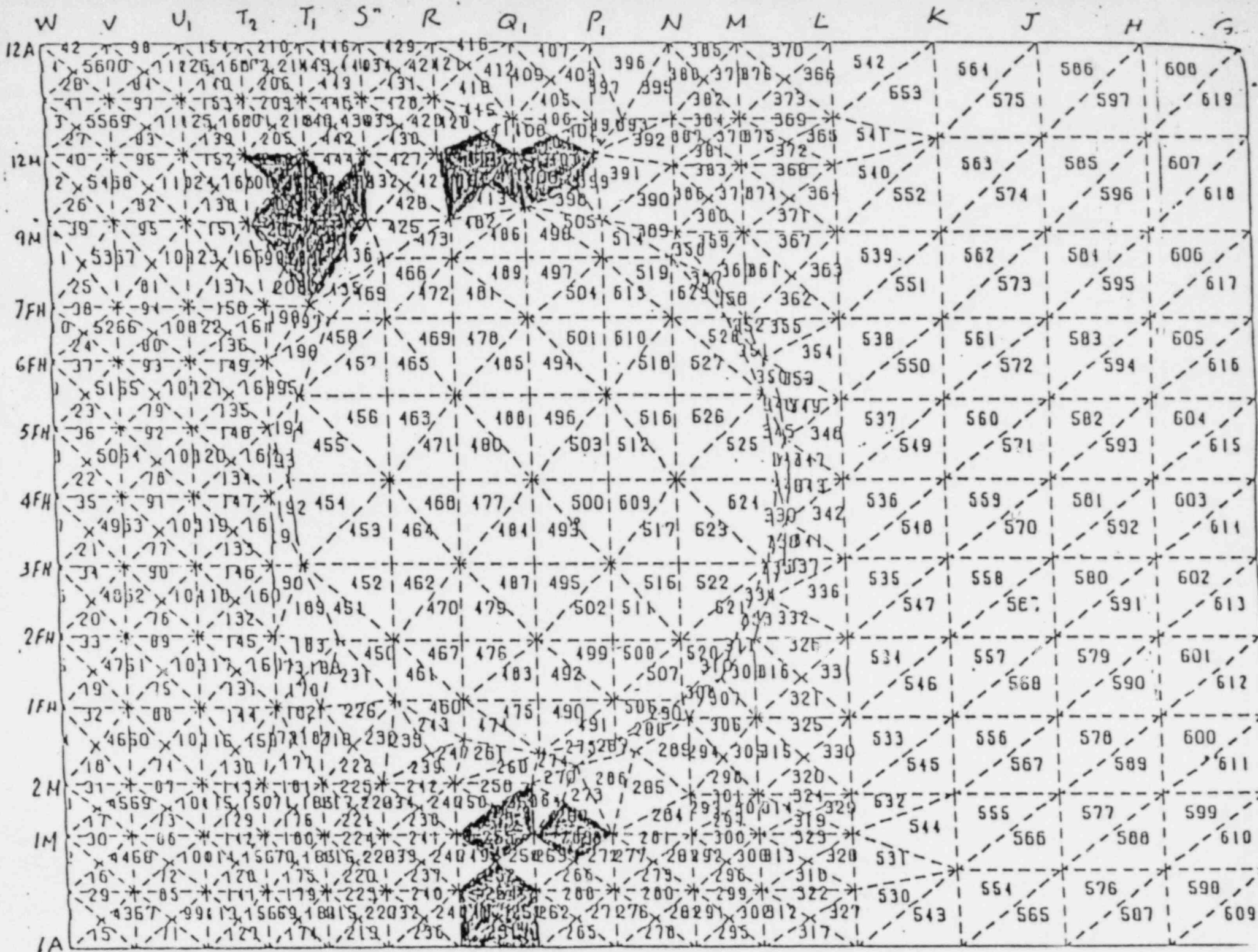


Fig. 1 LOCATION OF ELEMENTS LISTED IN TABLE 1

From the HEA report (page C-2-1-9) it seems that the top reinforcement, which is #11 @ 6" in each direction* is the minimum requirement for temperature steel according to the American Concrete Institute Building Code Specification (i.e., $A_s = .0018 \times 12 \times 144 = 3.11 \text{ in}^2/\text{ft}$). The resisting moment capacity based on working stress design is given by the expression $M = A_s f_s j d$, which can be approximated as $3.12 \times 24 \times 131/12 = \underline{817 \text{ ft-kips/ft}}$. In view of the fact that temperature and shrinkage cracks may exist in the base mat prior to the application of the dead load, the working stress design based on a cracked section used here is considered appropriate.

In checking the data shown in Table 1, it is to be noted for example, that for element 208, the dead load (D) moments M_x and M_y are respectively equal to 350 and 895 ft-kips/ft and are positive. Thus as mentioned previously, the top surface is in tension. The maximum principle moment is a function of M_x , M_y , and M_{xy} and its computed value is close to 1000 kip-ft/ft. This moment exceeds the working stress capacity and thus cracking will occur. Similarly, concrete cracking could occur under the dead load condition in elements 447, 212, 204, 253, 255, 269, 257, 417, and 404. Thus, the cracks on the upper surface outside of the shield wall could have been initiated after construction of the superstructure, before placement of the backfill.

*In a subsequent phone conversation, P.C. Liu of EBASCO stated that some additional reinforcement was added on the top surface in one direction. This was verified in the sketch depicted in Fig. 2 given to BNL by EBASCO where certain areas of the mat are shown strengthened with additional #11 bars are placed every 12 miles in the east west direction.. Even if this is the case the statement that follows is true for the unstrengthened direction and probably even for the strengthened direction.

DWG. NO. _____ DATE _____
BY _____ DATE _____
CHECKED BY _____ DATE _____

STATE LOUISIANA POWER & LIGHT CO.

PROJECT WATERGARD 2.3. UNIT NO. 3

SUBJECT COMMON PDN MAT - REINFC

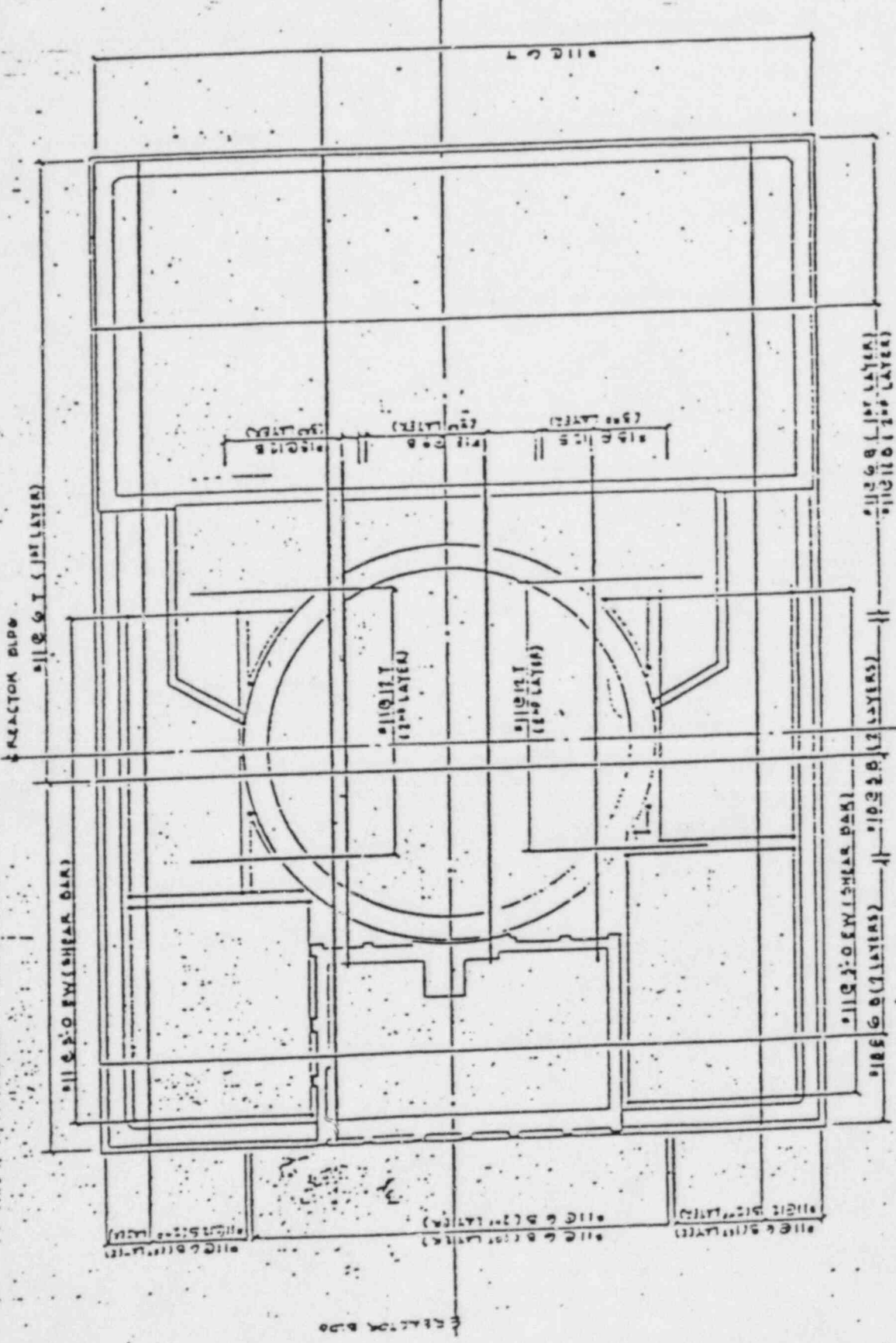


Fig 2 MAT REINFORCEMENT

In view of the comments made in a later section in this report regarding the finite element grid size and hence, their effects vis-a-vis, the accuracy of the results, an approximate analysis of a strip of the mat was made. This strip was taken at the center of the reactor building in the N-S direction with a width of 22 ft. In this analysis the mat was considered to be infinitely stiff and subjected to the dead loads taken from the HEA computer input. The maximum moment for this case (i.e., 3450 ft-kips/ft) occurs close to the center of the reactor and indeed results in tension on the top surface. This magnitude exceeds the cracking capacity of the mat which is in the neighborhood of 1764 ft-kips/ft. Somewhat lower but similar results would occur at the other cracked sections shown shaded in Fig. 1.

Thus, in summary, the cracking is most probably caused either by dead loads alone or by dead loads acting on elements somewhat weakened due to previous thermal and shrinkage effects. Essentially, for the latter case, the dead load moments would enhance previously existing small and most likely non observable cracks causing them to become larger and hence, observable.

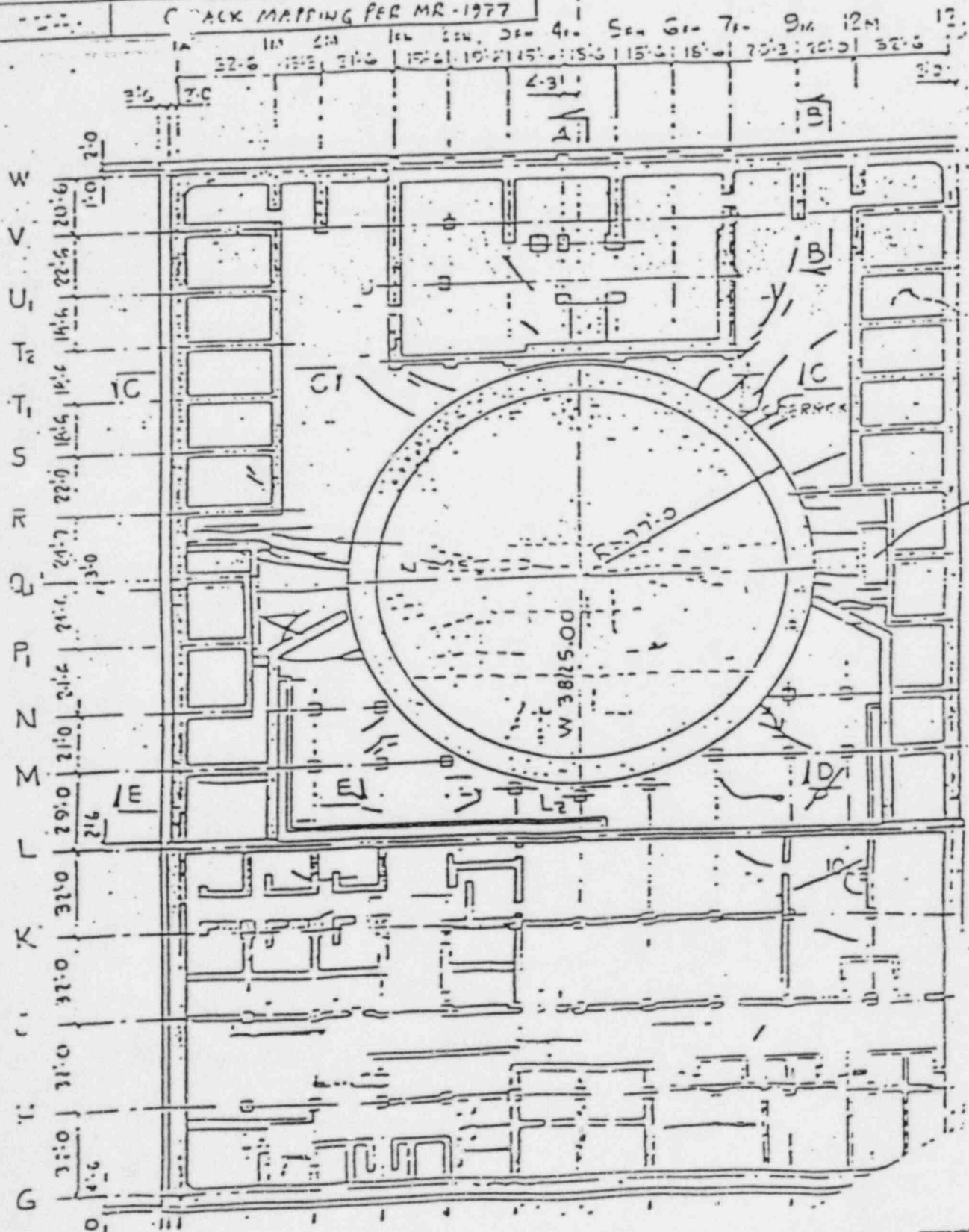
As shown in Table 1 and in Fig. 1, the discussion thus far only pertains to cracks outside of the shield wall. As shown in Fig. 3 crack patterns were also noted in March of 1977, internal to the shield wall. At that time the shield wall was partially constructed up to elevation 187' and the steel containment was supported on temporary footings. Other walls or structures on the mat were either not as yet constructed or were only partially constructed. Since the computer dead load calculations refer to the mat with all existing structures, it is not possible to utilize the computer results to explain the 1977 cracks. It should be pointed out however, that the additional top reinforcements (i.e., # 11 @ 12" shown in Fig. 2) are essentially located in areas under the shield wall and are placed in an east-west direction. Thus, if cracking should occur the preferred direction would

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Fig. 3 CRACK MAPPING PER
 RE/GN 9-11-83 to 9-2-83
 for HEA, IUC (ADB)

7A
 REACTOR SLOG

CRACK MAPPING PER MR-1977



be parallel to the direction of the heavier reinforcement. This is indeed the direction of the cracks. They could be due to curvature during construction and dead loads acting in conjunction with thermal and shrinkage effects.

The additional east-west direction top reinforcements will also cause prevailing cracks in elements located directly east and west outside of the shield wall circle (i.e., those shown shaded in Fig. 1 in areas R-P-2M-1A and R-P1-12A-9M) to be oriented in an east west direction. This is indeed the pattern indicated in Fig. 3. Since there is no additional top reinforcement in the elements shown shaded in Fig. 1 located between sections T2-R-12-7FH, the prevailing cracks do not necessarily have to be oriented in the east-west direction.

2. Buoyancy Forces (B)

The moment results from this analysis show that these forces when acting alone would mostly cause tensile stress on the upper surfaces. The moments causing these stresses are tabulated in Table 1 under the column heading B for groups of elements in the cracked regions. As can be seen, these moments are not as severe as those due to dead weight. By superposition they could in some cases contribute to higher tensile stresses and thus result in further cracking in some of the upper surface areas.

3. Variable Springs Used for the Foundation Modulus

Moments and shears developed in the basemat were computed using the concept of the Winkler foundation; namely the soil is represented as a series of relatively uniform independent springs. The stiffness of the springs is obtained from approximate analyses which are based on generalized analytical solutions available for rigid mats on the surface of elastic soils. The actual design of the mat was based on a series of iterative computer runs in which the soil stiffness was varied until the computed contact pressures under the mat were fairly uniform and equal to the overburden stress at the eleva-

tion of the foundation mat. This approach appears to be reasonable when assessing the final stress conditions. Long term consolidation effects can be anticipated to cause effective redistribution of loads and cause the mat to behave in a flexible manner. However, during the initial loading stages this approach is not recommended since load redistribution is continuously taking place.

4. Vertical Earthquake Effects

Vertical earthquake effect was not discussed in the HEA reports. However, from the finite element analysis print out and conversation with HEA engineers, it was stated that this effect was included in the load combination cases by specifying an additional factor of 0.067, which was then applied to the dead and equipment load case. From the discussions and the review it is not clear to BNL whether an amplification factor due to vertical mat frequency was used or not.

In order to obtain a rough estimate of this effect, the north-south direction of the mat was simulated by a beam on fourteen elastic supports. The total weight of the mat, the superstructure, the equipment, etc. and the spring constants were the same as those used by Ebasco and HEA in their computer run. The natural frequencies obtained from this analysis are shown below in Table. 2.

Table 2 Natural Frequencies

MODE NUMBER	CIRCULAR FREQUENCY (RAD/SEC)	FREQUENCY (CYCLES/SEC)	PERIOD (SEC)
1	.2863E+02	.4557E+01	.2194E+00
2	.3335E+02	.5309E+01	.1884E+00
3	.3615E+02	.5753E+01	.1738E+00
4	.3721E+02	.5923E+01	.1688E+00
5	.3902E+02	.6210E+01	.1610E+00
6	.420E+02	.7035E+01	.1422E+00
7	.5031E+02	.8007E+01	.1249E+00
8	.6545E+02	.1058E+02	.9456E-01
9	.8135E+02	.1295E+02	.7724E-01
10	.1112E+03	.1769E+02	.5653E-01
11	.1262E+03	.2009E+02	.4979E-01
12	.1546E+03	.2461E+02	.4064E-01
13	.2041E+03	.3248E+02	.3079E-01
14	.2357E+03	.3752E+02	.2666E-01

As can be seen from the table, the frequencies vary from 4.56 to 37.52, cps. Using Regulatory Guide 1.60, for the 5% damping case, it is found that amplification factors for these frequencies will vary from 3.0 to 1.0. For the first seven frequencies shown in Table 2, the amplification factors will be less than 3.0 but above 2.60. From the review it seems that the vertical amplification factor used by HEA was 1.34, which is below 2.60. It should be realized, however, that not all response parameters (moments, shears, etc.) are sensitive to these frequencies. Moreover, the frequencies were obtained from a simplified model. Hence, to apply an overall amplification factor of say for instance even 2.5 to all response parameters is not reasonable. This situation usually will result in some local effects, such as, increasing the seismic moments at some particular locations. Where this increase occurs is hard to ascertain without performing a very detailed dynamic analysis. Since the effects are localized, it is felt that they should not greatly influence the total resultant stresses acting on the mat.

It should also be realized that the reviewers used Reg. Guide 1.60 to obtain the rough estimates for amplification factors. The guide spectrum is a wide band spectrum that reflects amplifications based on statistical samples of earthquake records. Thus, it is possible that site specific earthquake records could yield lower amplification factors.

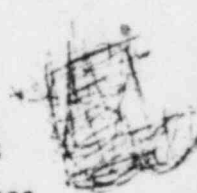
5. Side Soil Pressure

According to the STARDYNE computer results obtained from HEA, the normal side soil pressures produce large moments that are opposite to those caused by the dead loads. As shown in Table 1 where moments of elements located in one of the cracked regions outside of the shield building are compared. The total moments in some cases (i.e. element 447 or 208) become quite small. In other regions there is in fact a reversal in the total bending moment which causes tension on the bottom surface and compression on the top. This compression would tend to close the cracks on the upper surface. Thus, it appears that this pressure is a very important load case for the mat design.

For the static or normal operating condition the lateral pressures are based on the at-rest stress condition and are uniform around the periphery of the structure. For the seismic problems the pressures are computed to approximately account for relative movements between the structure and the soil. On one side the structure will move away from soil (active side) and reduce the pressures while the opposite will occur on the other side (passive side). The actual computations made use of site soils properties to arrive at the soil pressures rather than the standard Rankine analyses. No dynamic effects on either the lateral soil or pore pressures was included. The sensitivity of the calculated responses to these effects are currently unknown. However, approximate estimates of these dynamic effects indicate that total lateral load should change by no more than 15 per cent.

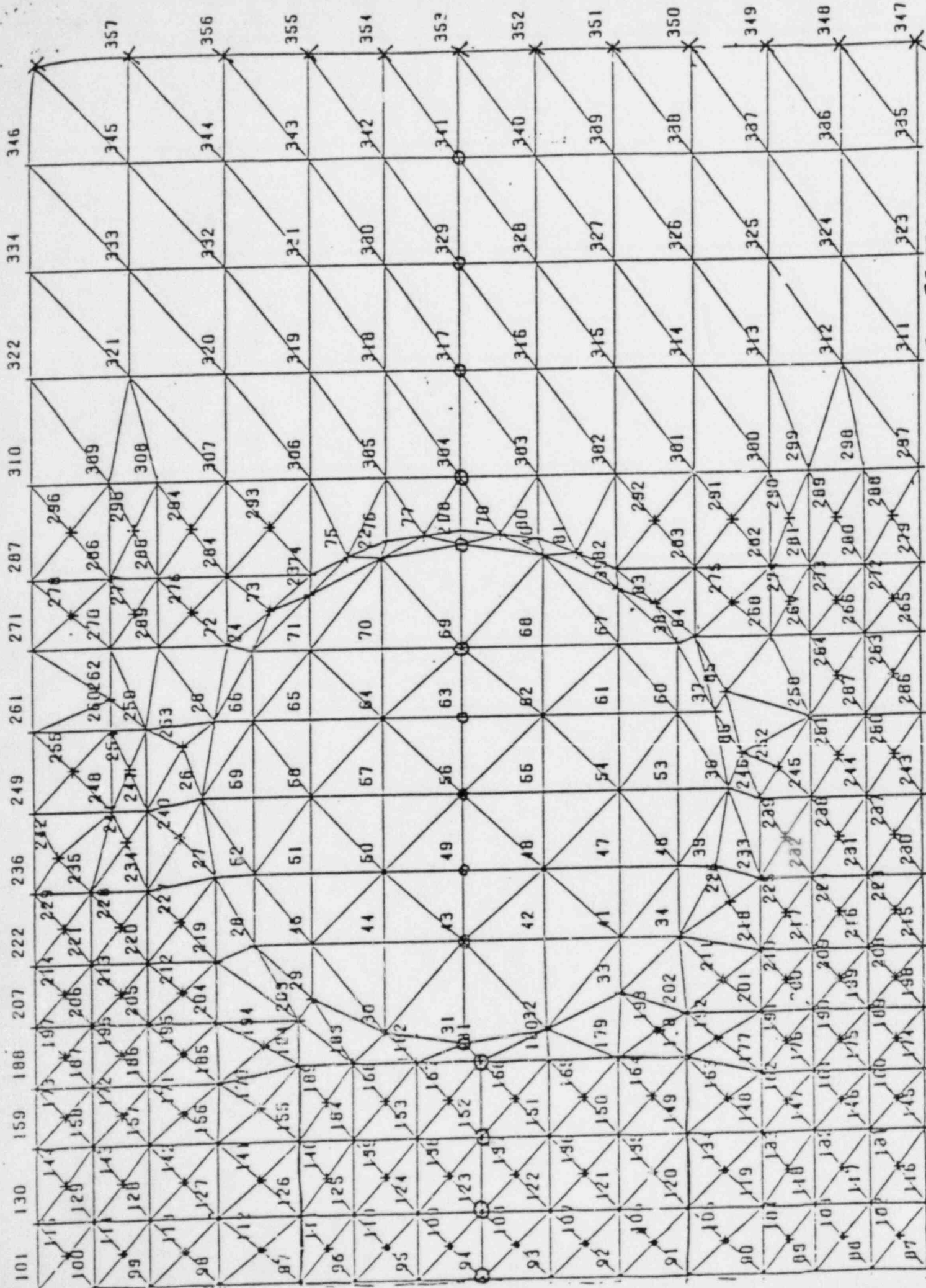
6. Boundary Constraints

For equilibrium calculations no special consideration need be made for vertical case since the soil springs prevent unbounded structural motion. However, the same cannot be said for the horizontal case since soil springs are not used to represent the soil reactions. Rather the lateral soil forces are directly input to the model. To prevent unbounded rigid body motion, artificial lateral constraints must be imposed on the model. The constraints are depicted in Fig. 4. The nodes shown circled were constrained from movement in the y direction, while those described by "x" were constrained in the x direction. As commonly practical in finite element applications, the constraints are placed in a manner that they do not overly affect the static and dynamic response calculations. From the output presented in the EBASCO and HEA reports, it is not possible to evaluate the impact of the above shown boundary assumptions. The stresses caused by the artificial boundaries should be calculated and compared with those presented.



7. Finite Element Mesh and its Effects

In general finite element models for plate structures require at least four elements between supports to obtain reasonable results on stress computations. The models used by both EBASCO and HEA violate this "rule of thumb"



O - RESTRAINT IN Y-DIRECTION
X - RESTRAINT IN X-DIRECTION

FIG 4 BOUNDARY CONSTRAINTS.

in the vicinity of the shield wall. The significance of this effect is demonstrated in Figure D-3 of Report No. 8304-2 which presents a plot of moment taken through the center of the slab. The computed moments in adjacent elements 193, 194 and 455 are -3800, -2500 and +400K. The elements used in the HEA analysis are constant curvature elements so that the computed moments will be constant within each element. The steep moment gradient between the elements indicates that a finer mesh would be advisable. A similar effect was also noted when investigating the elements forming the junction between the lateral earth retaining walls and the base mat.

8. BNL Check Calculations

Due to the questions raised in the items above (4 through 7), it was decided to perform several calculations to verify the acceptability of the mat design.

1. Average Vertical Shear

Several elements in the Ebasco/HEA analysis indicate local areas where allowable shear stresses are exceeded. Shear failure should not be associated with local exceedance of an allowable shear stress. Rather, one should consider the average shear stress across an entire failure plane in the mat. All of the ACI code shear requirements are based on this approach. Two types of average vertical shear stresses (i.e., diagonal tension) were computed in the base mat. The first type considers the average shear through a vertical section across the entire mat (one section in the E-W direction and the other in the N-S direction). These sections were chosen to include those elements which indicated high shear stresses in the HEA analysis and where the actual cracking pattern was noted. The highest average shear stress computed for any design load combination is 50 psi. The allowable shear stress for the case is 107 psi ($2\phi/f_c$). Thus, a safety factor greater than two is available to prevent catastrophic shear failure under the design load combination.

2. Punching Shear

The second type of section considered is a circular punching shear section located a distance of $d/2$ outside the reactor shield wall. The peak value of shear stress due to both SSE overturning moments and normal operating loads (plus proper load factors) were close to but always less than the allowable design shear ($\phi \sqrt{f_c}$).

3. Stresses Resulting From Pouring of Adjacent Mat Blocks

Comments have been made that diagonal tension cracks occurred during the process pouring adjacent mat blocks. To estimate if such cracking is possible an approximate analysis was made. It is included in Appendix B. The adjacent block are assumed to rest on foundation springs which represent the soil flexibility. The second block to be poured was assumed to harden instantaneously thereby overestimating the shear load carried by the first block due to relative settlement of the two blocks. The resulting stresses were found to be sufficiently small so that neither diagonal tension nor bending tensile stresses would be expected to cause cracking. The likelihood of moment cracking was greater than for shear cracking. These conclusions are valid even for the case with soft spots in the foundation where one soil modulus is one half the other.

It should be noted that the soil settlement at the site is found to be instantaneous based on actual measured data. The concrete has almost no strength for the first twelve hours and therefore even the small stresses calculated in Appendix B are unlikely.

(4) Side Loads

Under normal operating conditions the loads acting on the side walls produce an average compressive stress in the base mat of about 50 psi. When seismic loads are included, the average compressive stress in the base mat is about 38 psi. These compressive stresses provide additional shear strength

which have not been included in evaluating the capacity of the mat to carry diagonal tension stresses. It should be noted that the average maximum diagonal tension requirement in the base mat is only 50 psi. Therefore, the potential for the separation of the mat into two halves is unlikely even if a true through crack existed across the entire mat. This analysis is presented in Appendix C.

CONCLUSIONS AND RECOMMENDATIONS

- (a) The Waterford plant is primarily a box-like concrete structure supported on a 12 foot thick continuous concrete mat which houses all Class 1 structures. The plant island is supported by relatively soft overconsolidated soils. To minimize long term settlement effects, the foundation mat was designed on the floating foundation principle. The average contact pressure developed by the weight of the structure is made approximately equal to the existing intergranular stresses developed by the weight of the soil overburden at the level of the bottom of the foundation mat. Thus, net changes in soil stresses due to construction and corresponding settlements can be anticipated to be relatively small.
- (b) In reviewing the information, reports, and computer outputs supplied to BNL by EBASCO, HEA, and LPL, it is concluded that normal engineering practice and procedures used for the analysis of nuclear power plant structures were employed.
- (c) Accepting the information pertaining to loadings, geometries of the structures, material properties and finite element mesh data, it is the judgement of the reviewers that:
 - (i) the bottom reinforcement as well as the shear capacity of the base mat are adequate for the loads considered.

- (ii) the computed dead weight output data can be used to explain some of the mat cracks that appear on the top surface. The cracks that appear, could have occurred after the construction of the superstructure but before the placement of the backfill. Their growth would then be constrained by subsequent backfill soil pressure.

- (d) Due to the existence of the cracks, it is recommended that a surveillance program be instituted to monitor cracks on a regular basis. Furthermore, an alert limit (in terms of amount of cracks, and or crack width, etc) should be specified. If this limit is exceeded, specific structural repairs should be mandated.

- (e) It is also recommended that a program be set up to monitor the water leakage and its chemical content.

- (f) BNL has reviewed the information provided by EBASCO, HEA, and LPL. The following questions concerning their analyses were developed:
 - (i) dynamic coupling in the vertical direction between the reactor building and the base mat.

 - (ii) dynamic effects of lateral soil/water loadings.

 - (iii) artificial boundary constraints in finite elements models.

 - (iv) fineness of base mat mesh.

Based upon our approximate calculations together with engineering judgement, we do not anticipate that the above questions will lead to major changes in calculated stress levels. Thus, it is our opinion that the safety margins in the design of the base mat are adequate. However, it is recommended that some detailed confirmatory calculations be performed in the near future to strengthen the above conclusions.

APPENDIX A-1
LIST OF CONTRIBUTORS

Listed below in alphabetical order are the names of the contributors to this report:

- Costantino, C.J.
- Miller, C.A.
- Philippacopoulos, A.J.
- Reich, M.
- Sharma, S.
- Wang, P.C.

Appendix B

Stresses Induced While Pouring Blocks

A question has been raised concerning the stresses which could have been introduced when the basemat blocks were being poured. The response of two adjacent blocks during construction are considered. The first block is taken to be in place when the second block is placed. It is also assumed that the concrete in the second block hardens immediately so that it can transmit loads to the first block. The subgrade modulus under the two blocks is assumed to be different so that the effect of soft spots in the soil can be considered. A sketch of the problem to be considered is shown in Fig. 1.

When the first block is poured it settles an amount,

$$\Delta_1 = W/K_1$$

The second block is then poured. If the concrete is conservatively assumed to harden before the soil settlement can occur, the second block will introduce additional loadings on the first block. The new deformation caused by the weight of the second block is shown on Fig. 2.

The loads acting on the block may then be determined by multiplying the deformations by the foundation moduli. These loads are shown on Fig. 3. Force and moment equilibrium allow the two unknown displacements (Δ_2, ϕ) to be calculated. The results are,

$$\Delta_2 = W [(7 + \Omega)/(1 + 14\Omega + \Omega^2)]/K_1$$

$$\phi = 12 W/[L K_1 (1 + 14\Omega + \Omega^2)]$$

$$\text{where, } \Omega = K_2/K_1$$

Once the displacements are known the loads on the blocks may be evaluated and beam shears and bending moments may be computed. This is done for foundation moduli ratios of 1, 0.5, and 0. Peak values of shear and moment are tabulated in Table 1.

Table 1

Shear and Moments in Blocks During Construction

Foundation Moduli Ratio (Ω)	Maximum		Required f'_c (psi) To Prevent	
	Shear (Kips)	Moment (Kip-ft)	Shear Failure	Bending Tension Crack
1	563	5040	15	15
0.5	819	13770	31	113
0	4689	156375	1091	14559

For the design concrete strength of 4000 psi, the shear capacity of the concrete section is 9290 kips. As may be seen this is much larger than the peak shears that could be caused during construction. Bending cracks will occur in the concrete when the peak concrete tensile stress reaches the modulus of rupture. For the concrete design strength this will occur at a bending moment of 81966 kip-feet. It may be seen that the peak moments are closer to the value required to cause a bending crack than the peak shears are to that required to cause a diagonal tension crack.

The concrete will not have attained its final strength at the time when these stresses occur. The last two columns in Table 1 list the required concrete compressive strength to prevent shear and moment failures. Two conclusions may be drawn from these data. First, even for rather dramatic variations in foundation moduli, only a minimal concrete strength is required to prevent either a shear or moment crack. Second, if a crack were to develop it would most likely be a bending crack.

The above analysis is based on the assumption that the concrete hardens before soil settlement occurs. If this were not so, the wet concrete would

fill the void volume created by soil settlement. The concrete block would then be supported on the soil rather than "hanging" from the other block. Figure 4 shows the concrete strength gain during the first day. As may be seen concrete will have no strength until about 12 hours. By this time all of the soil settlement would have occurred and the second concrete block would not induce any loads on the first block.

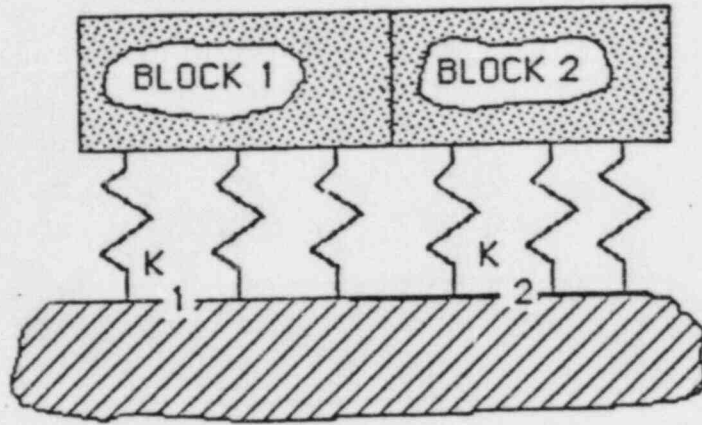


Fig. 1 Construction of Two Adjacent Blocks

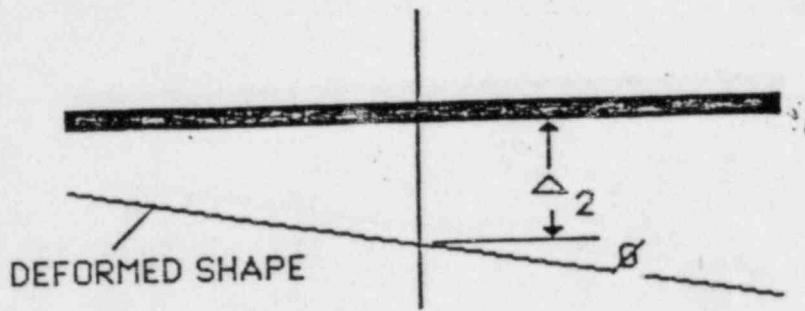


Fig. 2 Deformed Shape of Blocks

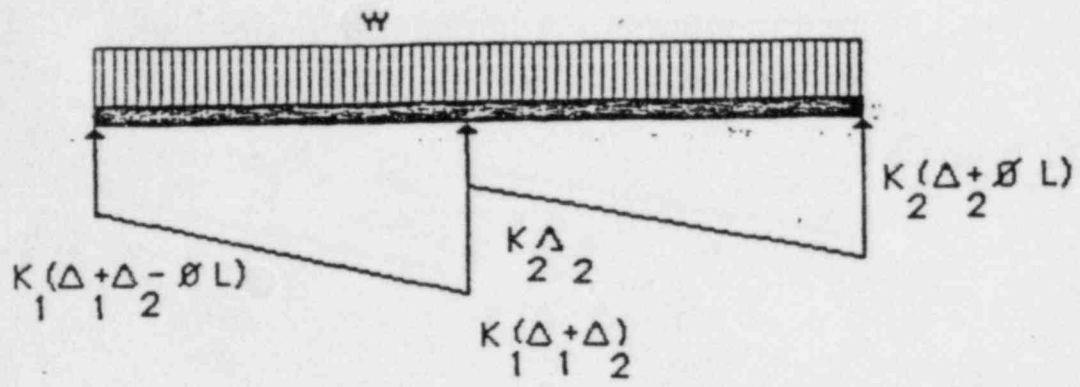


Fig. 3 Loads Acting on Blocks

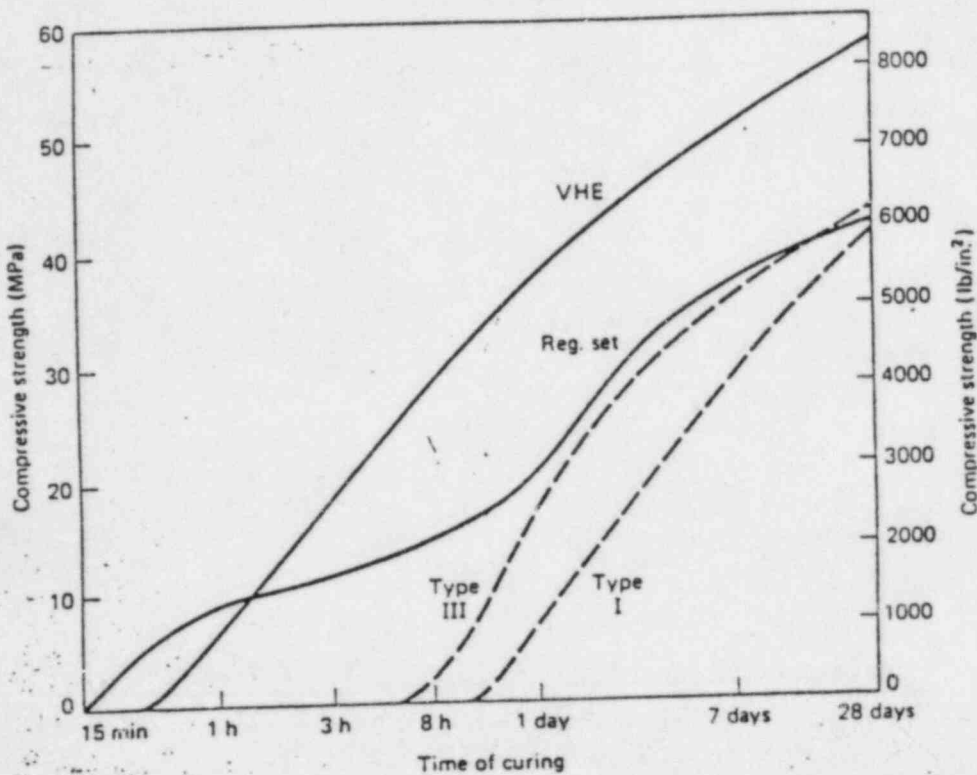


Figure 3.13 Strength developments of concretes made with rapid-hardening cements. (Adapted from W. Perenchio, in *New Materials in Concrete Construction*, ed. S. P. Shah, University of Illinois at Chicago Circle, Chicago, 1972, p. 12-VI.)

placement and have the advantage of better water resistance. But the very rapid strength gain of the cement suggests many other applications in which the properties of a portland cement are desired: pavement and bridge-deck repair, precasting operations, shotcreting, and slip forming. It is unfortunate that regulated-set cement is not currently available in the U.S., but the interesting properties of the cement will no doubt ensure its reappearance.

VHE Cement

In the production of VHE cement, calcium sulfate is added to the raw mix so that $C_2A_3\bar{S}$ is formed in the rotary kiln. This is the same compound that is present in Type K expansive cements, but the quantities are greater in VHE cement. Calcium sulfate ($C\bar{S}$, insoluble anhy-

Appendix C

Effect of Sidewall Loads On Basement Capacity

Soil pressure loads act on the sidewalls and these loads introduce compressive stresses in the slab of the basemat. This compressive stress will assist in resisting the diagonal tension stresses which occur in the slab. The significance of this effect is discussed in the Appendix.

Table B.1 lists the horizontal loads which act on the sidewalls due to the various load combinations. These loads were determined directly from the HEA/Ebasco computer printouts. An elevation of the structure parallel to the long direction of the basemat is shown on Fig. B.1. The forces (P) are taken as the forces shown on Table B.1 and acting on walls #2 and #4. The soil pressure is assumed to have a triangular variation as shown so that the resultant force (P) acts at the third point on the wall. Since the wall is buried about 54', the resultant force acts at a point 18' up the wall from the bottom of the basemat.

The stresses caused by this loading in the cross section shown on Fig. B.2. The basemat is analyzed as a beam structure. The cross section shown in Fig. B.2 has the following properties:

Cross sectional area = 3552 square feet
Centroid at 7.91' above the bottom of the mat
Moment of inertia = 247300 feet⁴

Stresses are then computed as:

$$f = P/A \pm M \cdot z / I$$

Therefore at the top of the wall,

$$f_{tw} = P/3552 + P (18-7.91) (54-7.91) / 247300$$

The stress at the top of the slab is,

$$f_{ts} = P/3552 - P (18-7.91) (12-7.91) / 247300$$

The stress at the bottom of the slab is,

$$f_{bs} = P/3552 - P (18-7.91) (7.91) / 247300$$

The resultant stresses for the Case 4 loads (Normal soil pressure) are:

$$f_{tw} = 541 \text{ psi}$$

$$f_{ts} = 112 \text{ psi}$$

$$f_{bs} = -11 \text{ psi}$$

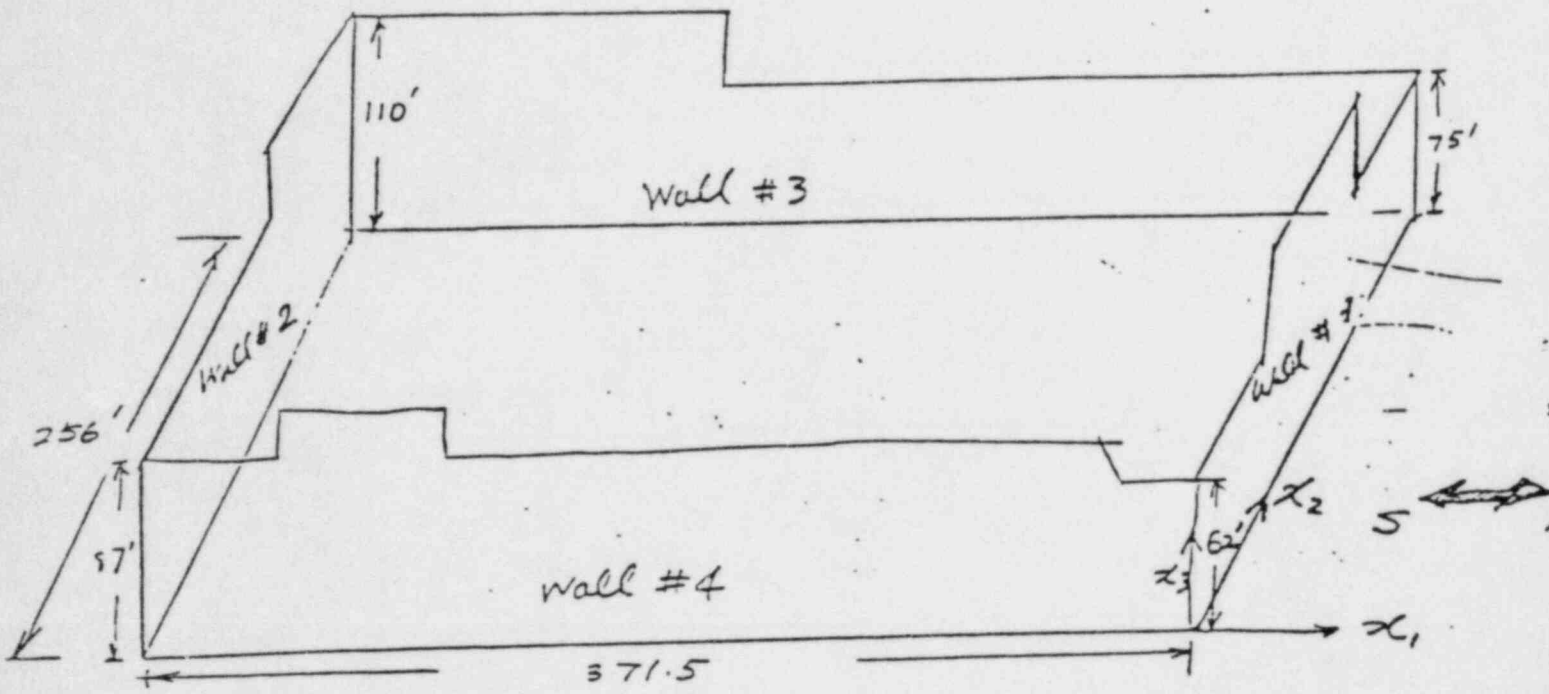
The stresses for Case #8 (SSE in N-S) are:

$$f_{tw} = 465 \text{ psi}$$

$$f_{ts} = 84 \text{ psi}$$

$$f_{bs} = -8 \text{ psi}$$

The average stresses in the slab for these two load cases are 51 psi and 38 psi respectively. The average shear in the basemat for Case 8 loadings was found to be 50 psi. If this shear stress is combined with the 38 psi average compressive stress one finds that the tensile stress in the concrete is reduced to 34 psi. It is unlikely that this stress could cause a shear (diagonal tension) failure.



Total Force Acting on the Wall Surface (kips)

Load Case	Wall #1	#2	#3	#4
Case 4: Normal Soil Pressure	36619	36441	50942	50522
Case 8: SSE & Soil (North to South)	27061	110657	50684	50377
Case 10: SSE & Soil (South to North)	111051	26907	50684	50377

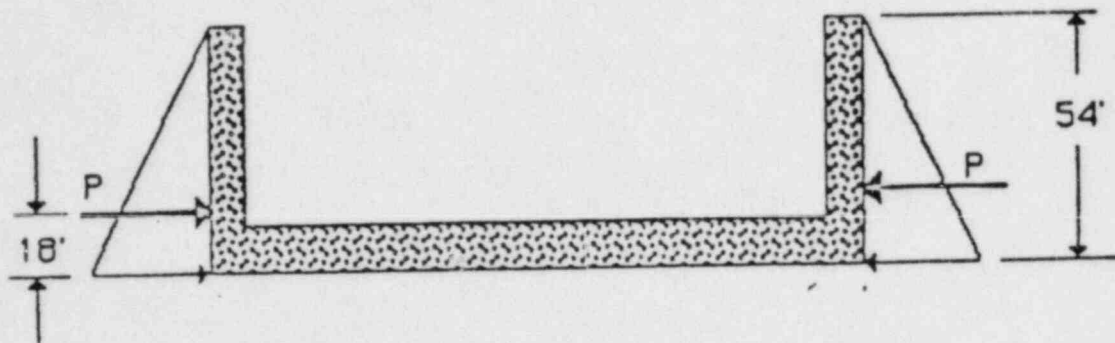


Fig. 1 Estimated Side Loads On Wall

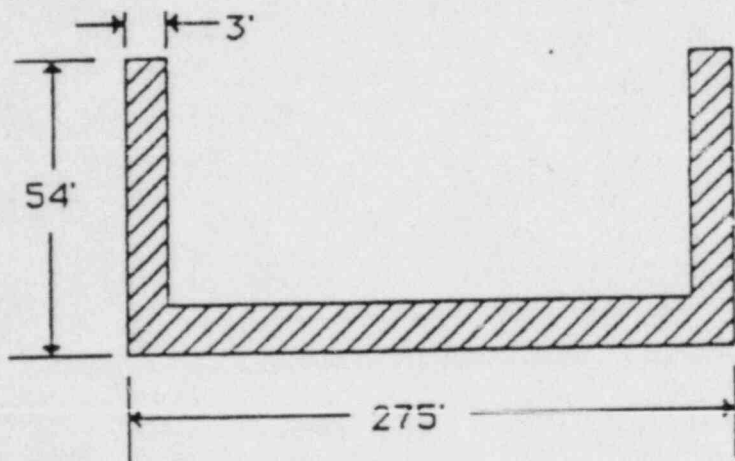


Fig. 2 Cross Section of Basemat

Addendum to
REVIEW OF WATERFORD III BASEMAT ANALYSIS

Ultrasonic methods were used to perform nondestructive tests on the Waterford III basemat with the objective of defining the extent of cracking in the basemat. These tests were performed by Muenow & Associates, Inc. On July 31, 1984 BNL personnel visited the site with the intent of visually observing the cracks, and disclosing the methodology of and results obtained by Muenow & Associates to date.

Visual Inspection of Cracks

The major basemat cracks shown in Fig. 2 of the BNL report were inspected. The basemat crack patterns appear to agree with the crack map of Fig. 2 of the BNL report and no significant extensions or additions of these cracks were observed. The observed cracks are closed at this time and no observable water seepage through the cracks was noted.

The cracks along the sidewall and shield wall were also inspected. These cracks were all small and mostly of a type normally associated with thermal and shrinkage effects. Leachate was noted from many of these cracks. The leachate from the shield wall is most probably associated with rain water accumulated in the annulus between the steel containment and shield wall during the construction phase, before placement of the dome section. Leachate from the sidewalls is ~~not~~ most probably associated with water accumulated in the various cooling tanks.

B/28

All sidewall and shield wall cracks were restricted to about the lower twenty feet of wall above the basemat and within the first lift of concrete and are associated with relative shrinkage and thermal effects occurring between the basemat and the sidewalls. The visual inspection of these cracks supports the conclusion previously given in the BNL report that they do not present a structural safety issue.

Results of Ultrasonic Testing Program

At the time of the inspection, the ultrasonic program conducted by Muenow and Associates had essentially been completed for those basemat cracks outside of the shield wall. Investigating of basemat cracks under the RCB was still being conducted, while the investigation of the side wall cracks had not as yet been undertaken. Mr. R. Muenow presented his interpretation of the results obtained to date as well as a detailed description of his procedures.

For the visible basemat cracks, the procedures employed by Muenow & Associates essentially measure time of arrival of a wave reflected off a discontinuity in the concrete. This wave is generated by a swiss spring loaded hammer applying an impact to the surface of the basemat. For a single impact, a transducer near the hammer is focused in a restricted (but known) direction, and measures the arrival time. Knowledge of the arrival time and focusing direction leads to the determination of the location of the discontinuity. In addition, by restricting the viewing time of the sensor, only the reflection from the discontinuity being

mapped is recorded. From a series of impacts at different locations, the extent (both length, depth and orientation) of the crack can be obtained. It is our opinion that this approach applied to the visible basemat cracks will give reliable information on the crack patterns.

It should be noted that the procedures used are based upon recording and viewing only the relatively low frequency content of the reflected waves. Therefore, any discontinuity smaller than 10 to 20 inches cannot be observed in this program. (This cutoff frequency can be controlled by the operator to pick up smaller discontinuities, if desired). Therefore, reflections from single reinforcing steel do not interfere with the crack measurements. However, the layers of closely spaced rebars in the bottom of the slab results in reflections being measured. Therefore, data at these depths are not as reliable.

Based upon Mr. Muenow's presentation, the following characteristics of the basemat cracks were noted.

- (a) All of the cracks were vertical.
- (b) The E-W cracks exterior to the shield wall ran from the shield wall to the side walls. Their depths varied along the length from a few feet to the depth of the bottom reinforcement.

- (c) Based upon preliminary data, he located three primary E-W cracks under the RCB. Two of these appear to connect to the E-W cracks exterior to the shield wall.

- (d) Cracks emanating in a radial direction from the shield wall are not as deep nor as continuous as the E-W cracks.

- (e) All of the basemat cracks are tightly closed. This observation is based upon the measured characteristics of the reflected signal.

INTERPRETATION OF NDT RESULTS

The basement cracks were most likely caused by bending moments developed during construction which resulted in tensile stresses at the top of the slab. On pages 4-10 of the BNL report (13 July 84), it is stated that the observed surface cracking in the slab was most likely caused by a positive bending moment which occurred during construction. While the bending moment data presented in that report would not explain the extent of the cracks that did occur, the strength characteristics of the slab as given in Table 2 of the report may be used to support such behavior. The reinforcement in the top of the slab is very small (about 0.2%). As a result the cracking moment for the slab is about 1640 kip-ft/ft while the steel yield moment is only 1360 kip-ft/ft. It should be noted that the reinforcing steel carries little load until the concrete cracks. For example, when the concrete reaches the modulus of rupture (475 psi) and cracks, the reinforcing steel stress is only 3600 psi. Once the concrete cracks, however, all of the tensile load that had been carried by the concrete is transferred to the steel. When the section is as lightly reinforced as the Waterford basement, the steel yields immediately. Some of the applied moment will then be transferred to adjacent sections causing the cracks to extend across most of the slab.

Since such a failure is rather abrupt, one would expect the cracks to propagate to deeper depths than would normally be the case if the failure occurred statistically. It should be noted that the neutral axis for the basement is located about 16 inches above the bottom of the

mat for bending moments which produce tension in the top of the slab. therefore, one would expect bending cracks to run rather deeply into the slab.

Reinforced concrete structural members loaded in bending typically have such cracks in the bending tensile stress region. Such cracked sections can safely carry bending moments and the presence of the cracks do not degrade the strength of the section. Of course strength computations must be based on cracked section properties, but this is considered normal practice. It should also be noted that the presence of bending cracks ^{does} ~~do~~ not affect the shear carrying capacity of the section, since interlocking between sections still occurs, and the cracks are not associated with diagonal tension failure.

The BNL report concluded that the basement was adequate and suggested that a few confirmatory analyses be performed to raise the overall confidence level for the mat. For the reasons stated above, this conclusion is still valid.

John G. ...
314
July 25, 1984

UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION

BEFORE THE ATOMIC SAFETY AND LICENSING APPEAL BOARD

In the Matter of)
LOUISIANA POWER AND LIGHT COMPANY) Docket No. 50-382
(Waterford Steam Electric Station,)
Unit 3))

NRC STAFF'S MOTION FOR EXTENSION OF TIME

The NRC Staff ("Staff") hereby requests an extension of time, until August 7, 1984, in which to respond to Joint Intervenors' "Amended and Supplemental Motion to Reopen Contention 22" ("Motion"). In support of this request, the Staff states as follows:

1. On July 5, 1984, the Staff requested an extension of time until July 27, 1984, in which to respond to Joint Intervenors' Motion. At that time, the Staff indicated that its review of base mat-related issues was substantially complete, although further efforts were required before the Staff could file its response to the pending motion to reopen. In addition, the Staff indicated that it wished to obtain a preliminary understanding of the results of certain confirmatory non-destructive testing of the base mat, then scheduled to be completed by July 20, 1984, before it files its conclusions.

2. As more fully set forth in the "Affidavit of Dennis M. Crutchfield" ("Affidavit") attached hereto, the Staff's review of base mat issues is substantially complete, although final written evaluations are still in the process of being prepared. Further, the Applicant's non-destructive testing program has taken longer to complete than had been

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B/29

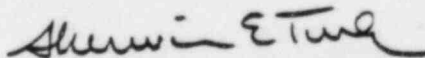
estimated initially, and is now expected to be completed on or before August 3, 1984; as noted previously, the Staff wishes to obtain at least a preliminary understanding of the results of this testing program before it files its conclusions. For these reasons, as more fully set forth in the attached Affidavit, the Staff anticipates that its views concerning the base mat can be presented to the Appeal Board by August 7, 1984.

3. In the interest of providing information to the Appeal Board as it becomes available, an evaluation recently completed by the Structural Analysis Division of the Brookhaven National Laboratory is being submitted herewith. This report will be discussed further in the affidavits to be filed along with the Staff's response to the pending motion to reopen.

4. Counsel for the Staff has contacted Counsel for the Applicant and Counsel for the Joint Intervenors, and has been authorized to state that those parties do not object to the grant of this request.

WHEREFORE, for the reasons set forth herein and in the Affidavit attached hereto, the Staff requests an extension of time until August 7, 1984, in which to file its response to Joint Intervenors' Motion.

Respectfully submitted,



Sherwin E. Turk
Deputy Assistant Chief
Hearing Counsel

Dated at Bethesda, Maryland,
this 25th day of July, 1984

UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION

BEFORE THE ATOMIC SAFETY AND LICENSING APPEAL BOARD

In the Matter of
LOUISIANA POWER AND LIGHT COMPANY }
(Waterford Steam Electric Station, } Docket No. 50-382
Unit 3) }

AFFIDAVIT OF DENNIS M. CRUTCHFIELD

I, Dennis M. Crutchfield, being duly sworn, do depose and state:

1. I am employed by the United States Nuclear Regulatory Commission as Assistant Director for Safety Assessment, Division of Licensing, Office of Nuclear Reactor Regulation. As set forth in my previous affidavits filed before the Atomic Safety and Licensing Appeal Board in this proceeding, I have been assigned lead responsibility for coordinating the NRC Staff's review and resolution of outstanding issues pertaining to Waterford Unit 3, including issues related to the facility's foundation base mat.

2. In my affidavit of July 5, 1984, I indicated that the Staff's review of base mat-related issues was substantially complete, although further efforts were required before the Staff could file its views concerning the foundation base mat. I further indicated that the Applicant is undertaking certain confirmatory non-destructive testing of the base mat which was expected to be completed by July 20, 1984, and that the Staff wished to obtain at least a preliminary understanding of the results of this testing program before it files its conclusions.

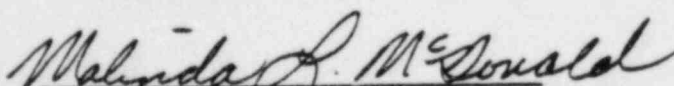
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3. The Staff's review of these matters is substantially complete, although final written evaluations are still in the process of being prepared. In addition, the Applicant's non-destructive testing program has taken longer to complete than had been estimated initially, and is now expected to be completed on or before August 3, 1984; as noted previously, the Staff wishes to obtain at least a preliminary understanding of the results of this testing program before it files its conclusions. Based upon the above, the Staff anticipates that its views concerning the base mat can be presented to the Appeal Board by August 7, 1984.

4. In the interest of providing information to the Appeal Board as it becomes available, an evaluation recently completed by the Structural Analysis Division of the Brookhaven National Laboratory, on behalf of the Staff, is being submitted herewith.


Dennis M. Crutchfield

Subscribed and sworn to before me
this 25th day of July, 1984


Notary Public

My commission expires: 7/1/86

UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION

BEFORE THE ATOMIC SAFETY AND LICENSING APPEAL BOARD

In the Matter of)
LOUISIANA POWER AND LIGHT COMPANY) Docket No. 50-382
(Waterford Steam Electric Station,)
Unit 3))

CERTIFICATE OF SERVICE

I hereby certify that copies of "NRC STAFF'S MOTION FOR EXTENSION OF TIME" in the above-captioned proceeding have been served on the following by deposit in the United States mail, first class, or, as indicated by an asterisk, through deposit in the Nuclear Regulatory Commission's internal mail system, this 25th day of July, 1984:

Christine N. Kohl, Chairman*
Atomic Safety and Licensing Appeal
Board
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555 *

Dr. W. Reed Johnson*
Atomic Safety and Licensing Appeal
Board
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

Howard A. Wilber*
Atomic Safety and Licensing Appeal
Board
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

Sheldon J. Wolfe, Esq., Chairman*
Administrative Judge
Atomic Safety and Licensing Board
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

Dr. Walter H. Jordan
Administrative Judge
881 West Outer Drive
Oak Ridge, TX 37830

Malcolm Stevenson, Esq.
Monroe & Lemann
1424 Whitney Building
New Orleans, LA 70130

Dr. Harry Foreman, Director
Administrative Judge
University of Minnesota
Box 395, Mayo
Minneapolis, MN 55455

E. Blake, Esq.
B. Churchill, Esq.
Shaw, Pittman, Potts &
Trowbridge
1800 M Street, N.W.
Washington, D.C. 20036

Luke B. Fontana, Esq.
824 Esplanade Avenue
New Orleans, LA 70116

Ian Douglas Lindsey, Esq.
7434 Perkins Road
Suite C
Baton Rouge, LA 70808

Brian P. Cassidy
Regional Counsel, FEMA
John W. McCormack Post
Office and Courthouse
Boston, MA 02109

Atomic Safety and Licensing Board
Panel*
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

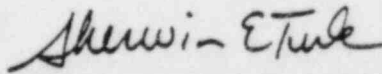
Docketing and Service Section*
Office of the Secretary
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

William J. Guste, Jr., Esq.
Attorney General for the State
Of Louisiana
234 Loyola Avenue
7th Floor
New Orleans, LA 70112

Carole H. Burstein, Esq.
445 Walnut Street
New Orleans, LA 70118

Atomic Safety and Licensing Appeal
Board Panel*
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

Mr. Gary L. Groesch
2257 Bayou Road
New Orleans, LA 70119



Sherwin E. Turk
Counsel for NRC Staff