

APPENDIX A

NOTICE OF VIOLATION

New York Power Authority  
Indian Point Unit 3

Docket No. 50-286  
License No. DPR-64

As a result of the inspection conducted on August 6-10, 1984, and in accordance with the revised NRC Enforcement Policy (10 CFR 2, Appendix C), published in the Federal Register on March 8, 1984, (49 FR 8583), the following violation was identified:

- A. 10 CFR 71.101(b) requires each licensee to establish a quality assurance program for packages. 10 CFR 71.101(f) states that a Commission approved quality assurance program that satisfies the applicable criteria of Appendix B of Part 50 of this chapter, and which is established, maintained, and executed with regard to transport packages will be accepted as satisfying the requirements of paragraph (b) of this section.
1. Criterion XVII, Appendix B, Part 50, "Quality Assurance Records", of the licensee's previously approved program, requires that the licensee maintain sufficient records to furnish evidence of activities affecting quality.

Contrary to the above, in December 1983, certain preventive maintenance activities were performed on the NUPAC 14D-2.0 transport package and the licensee did not maintain sufficient records to furnish evidence of activities affecting quality. Records were not maintained for the change of the secondary gasket and lubricant requirements.

2. Criterion XVIII, Appendix B, Part 50, "Audits", of the licensee's previously approved program, requires that a comprehensive system of planned and periodic audits shall be carried out to verify compliance with all aspects of the Quality Assurance Program for transport packages and to determine the effectiveness of the program.

Contrary to the above, a comprehensive system of planned and periodic audits has not been carried out to verify compliance with all aspects of the Quality Assurance Program for transport packages or to determine the effectiveness of the program.

This is a Severity Level IV violation (Supplement V).

Pursuant to the provisions of 10 CFR 2.201, the New York Power Authority is hereby required to submit to this office within thirty days of the date of the letter which transmitted this Notice, a written statement or explanation in reply, including: (1) the corrective steps which have been taken and the results achieved; (2) corrective steps which will be taken to avoid further violations; and (3) the date when full compliance will be achieved. Where good cause is shown, consideration will be given to extending this response time.

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