# **EXAM ASSIGNMENT TICKLER**

Chief:	J. Kirkland	Facility:	DC	Da	ate of Written Exam:	3/6/2020				
U/I:		Start of Op Test:	2/24/20		End of Op Test:	2/28/2020				
Written Exar	m Developed By: NRC / Fa	cility	Operating Te		oped By: NRC / Fa					
6/24/2019	Examination administration date confirme	ed	6/27/19	Jan	For NRC prepared exams, a for the facility to submit refe	•				
7/29/2019	NRC examiners and facility contact assig	ned	6/27/19	Jak	lor and radinal to dazimit rend					
7/29/2019	Facility contact briefed on security and of	her requirements	6/27/19	Jan						
7/29/2019	Reference material due for NRC prepare	d exams	N/A	N/A	ES-201 Att-3					
7/29/2019	Corporate Notification Letter sent		7/16/19	Jan	ES-201 Att-4 produced by 0	Œ				
8/12/2019	NRC developed written examination outli	ne sent to facility contact	6/27/19	Jan	ES-401-1/2 and ES-401-3					
9/23/2019	Operating test outline(s) and other check	lists due	9/20/19	Jan	ES-201-2, 201-3, 301-1, 30	1-2, 301-5, D-1				
10/7/2019	Operating test outline(s) reviewed by NR to facility licensee	C and feedback provided	10/4/19	lon	ES-201-2 signed by CE & B	SC .				
12/9/2019	Proposed exams, outlines, supporting do reference materials due.	cumentation, and	12/9/19	per	Exams prepared by the NR BC and forwarded for facility					
12/16/2019	Peer review of written exam complete		N/A	N/A	Document review on ES-40	1-9				
1/6/2020	Written exam and operating test reviews	completed	12/18/19	Jan	Document review on ES-40	1-9				
1/20/2020	Feedback approved by Branch Chief		12/23/19	Jan						
1/20/2020	Examination review results discussed be licensee	tween NRC and facility	1/8/20	Jan						
1/27/2020	Preliminary license applications & waiver	/excusal requests due	1/28/20	Jan	NRC Forms 398/396					
1/27/2020	On-site validation & 10% audit of license	applications	1/27/20	tl						
2/3/2020	Preliminary license applications and waiv	ers reviewed	2/3/20	Jan						
2/10/2020	Final license applications & waiver/excus	al requests due	2/11/20	Jak	ES-201-4 prepared by LA					
2/17/2020	Written exams and operating tests appro	ved by Branch Chief	2/18/20	Jan						
2/17/2020	Request facility licensee management fe	edback on the exam	N/A	N/A						
2/17/2020	Final applications reviewed and audited; waiver/excusal letters sent	exam approval and	2/17/20	Jan	LA produces / BC signs Exa (ES-201 Att-5)	am Approval Letter				
2/17/2020	Proctoring/written exam administration gu facility licensee	uidelines reviewed with	1/27/20	Jan						
2/17/2020	Approved scenarios and JPMs distribute	d to examiners	2/17/20	Jax						
2/24/2020	Administer Operating Test		2/24/20	Jan						
3/6/2020	Examiner's document op test results on E	ES 303's	3/6/20	Jan						
3/26/2020	Post exam comments and graded exams	received	3/12/20	fax	ES-403-1 to BC					
4/3/2020	Post exam written comments reviewed a NRC grading completed	·	3/12/20	Jak						
4/3/2020	Post exam operating test comments revieupdated	ewed and ES-303-1	3/12/20	Jan						
4/10/2020	Chief examiner review of operating test a grading completed	ind/or written exam	3/12/20	per	Signed ES 303's to BC					
4/17/2020	Branch Chief review of exam results com	pleted	3/13/20	Jan						
4/24/2020	Waivers/deferrals reviewed for impact or	licensing decision	3/19/20	Jan						
4/24/2020	License/Proposed Denial letters mailed;	Facility notified of results	N/A	N/A						
4/24/2020	RPS/IP number of examinees updated		3/12/20	Jan	print Report-21					
5/15/2020	Final Denial Letters mailed (if no request	for informal review)	N/A	N/A	21 days after proposed den	ial letters mailed.				
5/22/2020	Examination Report Issued		4/1/00	Jan	produced by CE					
5/29/2020	Ref Mat'l Returned after Final Resolution	of Appeals	N/A	N/A						
6/26/2020	SUNSI checklist complete and exam doc	s to ADAMS	4/14/20	for	SUNSI checklist to LA					
	Replaces N	UREG-1021, Revision 11,	Forms ES-201-1	and ES-5	01-1					

Facility	DIABLO CANYON POWER PLANT Date of Examination: 2/	24/	202	0
Item	Task Description		Initial	S
1.		a OC	b*	C**
9,50	a. Verify that the outline(s) fit(s) the appropriate model in accordance with ES-401 or ES-401N.	Kr	NA	yer
W R I	b. Assess whether the outline was systematically and randomly prepared in accordance with Section D.1 of ES-401 or ES-401N and whether all K/A categories are appropriately sampled.	R	NA	yer
T T	c. Assess whether the outline overemphasizes any systems, evolutions, or generic topics.	R	ESM	yer
E N	d. Assess whether the justifications for deselected or rejected K/A statements are appropriate.	RF	ism	ped
2. S	Using Form ES-301-5, verify that the proposed scenario sets cover the required number of normal evolutions, instrument and component failures, technical specifications, and major transients.	ef	CIM	per
M U L A T	b. Assess whether there are enough scenario sets (and spares) to test the projected number and mix of applicants in accordance with the expected crew composition and rotation schedule without compromising exam integrity, and ensure that each applicant can be tested using at least one new or significantly modified scenario, that no scenarios are duplicated from the applicants' audit test(s), and that scenarios will not be repeated on subsequent days.	ef.	CJM	ja
O R	c. To the extent possible, assess whether the outline(s) conforms with the qualitative and quantitative criteria specified on Form ES-301-4 and described in Appendix D and in Section D.5, "Specific Instructions for the 'Simulator Operating Test," of ES-301 (including overlap).	PF	CSM	yce
3. W A L K T H	<ul> <li>a. Verify that the systems walkthrough outline meets the criteria specified on Form ES-301-2: <ol> <li>The outline(s) contains the required number of control room and in-plant tasks distributed among the safety functions as specified on the form.</li> <li>Task repetition from the last two NRC examinations is within the limits specified on the form.</li> <li>No tasks are duplicated from the applicant's audit test(s).</li> <li>The number of new or modified tasks meets or exceeds the minimums specified on the form.</li> <li>The number of alternate-path, low-power, emergency, and radiologically controlled area tasks meets the criteria on the form.</li> </ol> </li> </ul>	RF	۳	yce
R O U G H	<ul> <li>b. Verify that the administrative outline meets the criteria specified on Form ES-301-1:</li> <li>(1) The tasks are distributed among the topics as specified on the form.</li> <li>(2) At least one task is new or significantly modified.</li> <li>(3) No more than one task is repeated from the last two NRC licensing examinations.</li> </ul>	RF	UM	pe
	<ul> <li>Determine whether there are enough different outlines to test the projected number and mix of applicants and ensure that no items are duplicated on subsequent days.</li> </ul>	R	usm	yes
4.	Assess whether plant-specific priorities (including probabilistic risk assessment and individual plant examination insights) are covered in the appropriate exam sections.	RC	CSM	yex
G E	b. Assess whether the 10 CFR 55.41, 55.43, and 55.45 sampling is appropriate.	RF	Sm	yer
N E	c. Ensure that K/A importance ratings (except for plant-specific priorities) are at least 2.5.	PF	USM	per
R A	d. Check for duplication and overlap among exam sections and the last two NRC exams.	PE	LIM	pe
î	e. Check the entire exam for balance of coverage.	pr-	CIM	per
	f. Assess whether the exam fits the appropriate job level (RO or SRO).	RI	LIM	jac
b. I c. I	Author Facility Reviewer (*) NRC Chief Examiner (#) NRC Supervisor  Printed Name/Signature  L. HRIT MEHICAN  L. HRIT MEHICAN		9/19 9/19 9/2	e
	oplicable for NRC-prepared examination outlines.  Independent NRC reviewer initials items in column "c"; the chief examiner's concurrence is required.			

I acknowledge that I have acquired specialized knowledge about the U.S. Nuclear Regulatory Commission (NRC) licensing examinations scheduled for the week(s) of 02/24 - 3/06/20 as of the date of my signature. I agree that I will not knowingly divulge any information about these examinations to any persons who have not been authorized by the NRC's chief examiner. I understand that I am not to instruct, evaluate, or provide performance feedback to those applicants scheduled to be administered these licensing examinations from this date until completion of examination administration, except as specifically noted below and authorized by the NRC (e.g., acting as a simulator booth operator or communicator is acceptable if the individual does not select the training content or provide direct or indirect feedback). Furthermore, I am aware of the physical security measures and requirements (as documented in the facility licensee's procedures) and understand that violation of the conditions of this agreement may result in cancellation of the examinations and/or an enforcement action against me or the facility licensee. I will immediately report to facility management or the NRC's chief examiner any indications or suggestions that examination security may have been compromised.

# 2. Post-Examination

To the best of my knowledge, I did not divulge to any unauthorized persons any information concerning the NRC licensing examinations administered during the week(s) of 02/24 - 03/06/20 From the date that I entered into this security agreement until the completion of examination administration, I did not instruct, evaluate, or provide performance feedback to those applicants who were administered these licensing examinations, except as specifically noted below and authorized by the NRC.

PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE SIGNATURE (2)	DATE NOTE
46. MATTHEW HAVES 47. & RYAN GALVAN 48. JERRY COLLIE 50. 51. 52. 53. 54. 55. 56. 57. 58.	OPS MANAGER OPS INST	SIGNATURE (1)	DATE SIGNATURE (2)  2/27/20  2/25-20  3////20	DATE NOTE  3/0/100 #  3/11/20 #
NOTES: * PAR EMAIL (	aNTIRM ATION			

1

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PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE	SIGNATURE (2)	DATE	NOTE
1. LISA TORIBIO	EXAM DEV. TEAM	Amportes	6/26/19	X gol	2/10/2	20
3. FON FORTIER	Exam LEAD	THE	6/27/18	MAC	3/10/20	O AND
5. CHRIS MEHICAN	SFM / Ops Representative	M William	7/30/19	Oluba	3/10/020	*
6. CHIP DEAN 7. Jasan Morto	Leacter Operator	Conce	8/5/19	CHART	_ 3/13/00	0 1/2
8. Matt Clark 9. Will Hunzeker	Renter Operator	The same	8/6/19		_ 3/10/200 _ 3/10/202	o H
10. Jason De La Vega 11. Jake Brancheau	SFM	July -	8/6/19	M6-	3/17/2	<u>+</u> 0
12. Tom Luniewold	Reacter Operator	In Truncesh	8/6/19	7	3/13/2	0 1
14. Alyssa Agin	Admin. Spec.	Alipsan	8/7/19	Deposi Sto	3/0/20	*
NOTES: * PER EMAIL	- NOTIFICATION					•

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PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE SIGNATURE (2)	DATE NOTE
16. John T. Codran	820	John Cockran	8-16-19	3/6/20 £
17. JOSHUA MEADE	WCL	All home	8-16-19	3/17/20 *
18. DAN MEBRIOF 19. JEREMY LOFF	RO	Trunk. Mr	870.19	= 2/13/10 F
20. Kris Dragavcie	20	profession	8-30-19	3/10/2020 4
21 Casey Weir	SKO	D	8.30-11	3-9.70
22. BRIAN BUSCHER 23. ALEX ARSONE	SIM SPECIALIST	RILA	9-5-19 102	
24. Winn, MD	Ro	Million	9-19-19	3/10/20 #
25. Phillip & Swan	Industry Peer	Thy Set the	10.24/6	3/10/20 X
27. Joly Coughes	SRO/SFM	Oh	10 24-19	- Sight - F
28. Dames Nighimma	RO	Donne	10/31/19	3/13/20204
29. KAUDELSON	RO RO	Milion	6/3//19	
30. M Owens	Q I = P A SON	- junour	- 1/1/19	3/14/20 0
NOTES: * PER EMAIL	CON FIRM M JOH			

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PRINTED NAME	JOB TITLE/RESPONSIBILITY	SIGNATURE (1)	DATE SIGNATURE (2)	DATE NOTE
31. MARK FRANT 32. Myls Hauptll 33. Sam Williams 34. EMILY WATSON	SRO Instructor ROF SM NOMEN	Maria de la companya del companya de la companya del companya de la companya de l	11/18/19 M	3/h/20 # 3/h/20 # 3/h/20 *
35. MICHAEZ GINN 36. DMAZ BATALLA 37. M. Clipperton 38. B. DVOKALLK	EP MANA GER WCL RO	mayo	115 2020 1-23-2020 1/23/20	3/13/200 # 3/13/200 # 3/19/200 # 3/19/200 #
39. Jared Felice 40. JUSTIN ROCORS 41. CHARLENE SCHULD 42. Steve Wilson	RP Supervisor OT M  INSTRUCTOR  Instructor	Charles Cally	2-24-20 Julian	3/10/20 X - 2/23/23 - 3/10/20 X 2/20/20
43. Gary Hackson 44. KENN OVINAS 45. Jell John	Instructor Sko		2/24/20 7/24/28	2/28/20 2/6/10 # 3/6/10 #

NOTES:

\* PEREMAIL CONTIRMATION



Facility: Diablo	Canyon Power Plant Date of Examination: 02/24/2020 Operating Test Nur	mber:	L181	
	1. General Criteria		Initials	s
	1. Gollotal Official	а	b*	C#
	operating test conforms to the previously approved outline; changes are consistent with pling requirements (e.g., 10 CFR 55.45, operational importance, safety function distribution).	65	cim	yee
	re is no day-to-day repetition between this and other operating tests to be administered ng this examination.	K	CIM	yes
c. The	operating test shall not duplicate items from the applicants' audit test(s) (see Section D.1.a.).	4	CTM	yee
d. Ove	rlap with the written examination and between different parts of the operating test is within eptable limits.	Ø	csm	yen
	pears that the operating test will differentiate between competent and less-than-competent icants at the designated license level.	4	CSM	per
_	2. Walkthrough Criteria			
a. Each	h JPM includes the following, as applicable:			
outlir acce	restrictions on the sequence of steps, if applicable ure that any changes from the previously approved systems and administrative walkthrough nes (Forms ES-301-1 and ES-301-2) have not caused the test to deviate from any of the		C5M	pe
CAGII	3. Simulator Criteria			
	simulator operating tests (scenario sets) have been reviewed in accordance with , and a copy is attached.	to	CIM	per
a. Author b. Facility l c. NRC Ch d. NRC Su	Printed Name/Signature  Lisa Toribio /  Chris Mehigan /  John Kirk land half land  John Kirk land  John La		Dat 12/ 13/5/ 2/11/ 2/11/	5/19

Fac	ility: Diablo Canyon Power Plant Date of Exam: 02/24/20 Scenario N	umbers: 51 /52 /50 peration	ng Test	No.:L18	1
	QUALITATIVE ATTRIBUTES			Initials	
			а	b*	c#
1.	The initial conditions are realistic in that some equipment and/or instrumentatiout it does not cue the operators into expected events.	on may be out of service,	4	CJM	gen
2.	The scenarios consist mostly of related events.		4	CJM	yee
3.					
	<ul> <li>the point in the scenario when it is to be initiated</li> <li>the malfunction(s) or conditions that are entered to initiate the event</li> <li>the symptoms/cues that will be visible to the crew</li> <li>the expected operator actions (by shift position)</li> <li>the event termination point (if applicable)</li> </ul>		5	CIM	pr
4.	The events are valid with regard to physics and thermodynamics.		to	CJM	year
5.	4	CTM	pe		
6.	icates. ne constraints.	5	CIM	Je	
7.		4	CIM	per	
8.	The scenarios have been validated. Pursuant to 10 CFR 55.46(d), any open significancies or deviations from the referenced plant have been evaluated to enfidelity is maintained while running the planned scenarios.		8	CJM	Jee
9.	Scenarios are new or significantly modified in accordance with Section D.5 of	ES-301.	G	CJM	ye
10.	All individual operator competencies can be evaluated, as verified using Form form along with the simulator scenarios).	ES-301-6 (submit the	t	CJM	pe
11.	The scenario set provides the opportunity for each applicant to be evaluated in rating factors. (Competency rating factors as described on Forms ES-303-1		8	CJM	Je
12.	Each applicant will be significantly involved in the minimum number of transier on Form ES-301-5 (submit the form with the simulator scenarios).	its and events specified	\$	CTM	pe
13.	Applicants are evaluated on a similar number of preidentified critical tasks acropossible.	ss scenarios, when	4	CTM	Ju
14.	The level of difficulty is appropriate to support licensing decisions for each cree	v position.	8	CZM	yes
	Target Quantitative Attributes per Scenario (See Section D.5.d)	Actual Attributes			
1.	Malfunctions after EOP entry (1–2)	21213	4	ヒゴベ	px
2.	Abnormal events (2–4)	3 14 1 3	6	CIM	pr
3.	Major transients (1–2)	1 /1 / 1	6	CIM	pex
4.	EOPs entered/requiring substantive actions (1-2)	21112	6	c51	jul
5.	Entry into a contingency EOP with substantive actions ( $\geq$ 1 per scenario set)	0/0/1	6	CJM	pul
6.	Preidentified critical tasks (≥ 2)	3 1312	#	LJM	year

<sup>\*</sup> The facility licensee signature is not applicable for NRC-developed tests.
# An independent NRC reviewer initials items in column "c"; chief examiner concurrence is required.

Fac	ility: Diablo Canyon Power Plant	Date of Exam: 02/24/20	Scenario Num	bers: 54 /55 / Operati	ng Test	No.:L18	1
		QUALITATIVE ATTRIBUTES				Initials	
					а	b*	c#
1.	The initial conditions are realistic but it does not cue the operators		instrumentation	may be out of service,	45	EJM	yen
2.	The scenarios consist mostly of re	elated events.			6	CTM	MA
3.	Each event description consists of	f the following:					
	<ul> <li>the point in the scenario whee</li> <li>the malfunction(s) or condition</li> <li>the symptoms/cues that will</li> <li>the expected operator action</li> <li>the event termination point (</li> </ul>	ons that are entered to initiate to be visible to the crew is (by shift position)	he event		te	CTM	KI
4.	The events are valid with regard t	o physics and thermodynamics			6	COM	you
5.	Sequencing and timing of events evaluation results commensurate		amination team	to obtain complete	6	CTM	per
6.	If time compression techniques at Operators have sufficient time to Cues are given.				6	CTM	yes
7.	The simulator modeling is not alte	red.			6	CTM	jak
8.	The scenarios have been validate deficiencies or deviations from the fidelity is maintained while running	referenced plant have been ev			6	CTM	pi
9.	Scenarios are new or significantly	modified in accordance with So	ection D.5 of ES	-301.	6	CTM	ye
10.	All individual operator competence form along with the simulator scen		d using Form ES	S-301-6 (submit the	6	CTM	pu
11.	The scenario set provides the opp rating factors. (Competency ratir				to	Cim	The
12.	Each applicant will be significantly on Form ES-301-5 (submit the for			and events specified	6	Com	per
13.	Applicants are evaluated on a sim possible.	lar number of preidentified critic	cal tasks across	scenarios, when	5	CV4	pr
14.	The level of difficulty is appropriat	e to support licensing decisions	for each crew p	oosition.	4	CTM	ja
	Target Quantitative Attribute	s per Scenario (See Section I	D.5.d)	Actual Attributes			
1.	Malfunctions after EOP entry	(1–2)		1 121	6	CTM	ya
2.	Abnormal events (2-4)			5 /3/	6	COM	yel
3.	Major transients (1-2)	and the second s		1 /2/	5	Com	jus
4.	EOPs entered/requiring subst	antive actions (1–2)		2 / 1 /	6	Com	ye
5.	Entry into a contingency EOP set)	with substantive actions (≥ 1 pe	er scenario	2 1 \$ 1	6	Com	Jax
6.	Preidentified critical tasks (≥ 2	)		2 131	5	Con	w

 <sup>\*</sup> The facility licensee signature is not applicable for NRC-developed tests.
 # An independent NRC reviewer initials items in column "c"; chief examiner concurrence is required.

# **Group 1 (U1, I1, R1)**

Facility: I	Diablo C	Canyon	<u>]</u>			Date o	of Exam	: <u>Feb 2</u>	24, 202	<u>0</u>		Operat	ing Tes	st Nu	mbe	r: <b>L18</b>	<u>81</u>
Α	Е							Sc	enario	s							
P P	V E		S5			S1			S3			S4		Т		М	
L	N T	P	CREW	, DN	P	CREW OSITIO	/ DN	P	CREW OSITIC	N	P	CREW OSITIC	N	O T A		I N	
C A N T	T Y P	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	Ĺ	1	M U M(*)	
	Е														R	<u> </u>	U
RO	RX				1									1	1	1	0
□ SRO-I	NOR													0	1	1	1
	I/C				2,3,4,6					1,2,3,5,6, 7				10	4	4	2
SRO-U ⊠	MAJ				5					4				2	2	2	1
	TS				3,4									2	0	2	2
RO	RX					1								1	1	1	0
	NOR													0	1	1	1
SRO-I1 ⊠	I/C					2,4,6		1,2,3,5,7						8	4	4	2
SRO-U	MAJ					5		4						2	2	2	1
	TS							1,2						2	0	2	2
RO1	RX													0	1	1	0
$\boxtimes$	NOR													0	1	1	1
SRO-I □	I/C						2,3,4,6,7		1,3,5,7					9	4	4	2
SRO-U	MAJ						5		4					2	2	2	1
	TS													0	0	2	2
RO2	RX														1	1	0
	NOR														1	1	1
SRO-I	I/C														4	4	2
SRO-U	MAJ														2	2	1
	TS														0	2	2

- 1. Check the applicant level and enter the operating test number and Form ES D 1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the at the controls (ATC) and balance of plant (BOP) positions. Instant SROs (SRO I) must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an SRO I additionally serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position.
- 2. Reactivity manipulations may be conducted under normal or controlled abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (\*) Reactivity and normal evolutions may be replaced with additional I/C malfunctions on a one for one basis.
- 3. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right hand columns.
- 4. For new reactor facility licensees that use the ATC operator primarily for monitoring plant parameters, the chief examiner may place SRO I applicants in either the ATC or BOP position to best evaluate the SRO I in manipulating plant controls.

# Group 2 (I2, I3, R2, R3)

Facility: I	Diablo (	Canyon	<u>]</u>			Date c	f Exam	: <u>Feb 2</u>	24, 202	0	(	Operat	ing Tes	t Nu	mbe	r: <b>L18</b>	<u> 1</u>
А	Е							Sc	enario	)S							
P P	V E		S5			S1			S3			S4		Т	М		
L I	N T	P	CREW OSITIC	, DN	CREW POSITION			P	CREW POSITION		CREW POSITION			O T ^	T N		
C A N T	T Y P E	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	A L	R	I M U M(*)	U
-	RX				1									1	1	1	0
RO			1		'									1	1	1	1
∐ SRO-I2	NOR				0040						1,2,3,4,5,			-	4	4	2
⊠ SRO-U	I/C		3,4,8		2,3,4,6						7			13			
	MAJ		5,6		5						6			4	2	2	1
	TS				3,4						1,3			4	0	2	2
RO	RX													0	1	1	0
SRO-I3	NOR													0	1	1	1
$\boxtimes$	I/C	2,3,4,8						1,2,3,5,7				2,3,5		12	4	4	2
SRO-U □	MAJ	5,6						4				6		4	2	2	1
	TS	2,3						1,2						4	0	2	2
RO2	RX					1								1	1	1	0
$\boxtimes$	NOR													0	1	1	1
SRO-I □	I/C					2,4,6				1,2,3,5,6, 7			1,3,4,7	13	4	4	2
SRO-U	MAJ					5				4			6	3	2	2	1
	TS													0	0	2	2
RO3	RX													0	1	1	0
$\boxtimes$	NOR			1										1	1	1	1
SRO-I □	I/C			2,3,4,7,8			2,3,4,6,7		1,3,5,7					14	4	4	2
SRO-U	MAJ			5,6			5		4					4	2	2	1
	TS													0	0	2	2

- 1. Check the applicant level and enter the operating test number and Form ES D 1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the at the controls (ATC) and balance of plant (BOP) positions. Instant SROs (SRO I) must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an SRO I additionally serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position.
- 2. Reactivity manipulations may be conducted under normal or controlled abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (\*) Reactivity and normal evolutions may be replaced with additional I/C malfunctions on a one for one basis.
- 3. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right hand columns.
- 4. For new reactor facility licensees that use the ATC operator primarily for monitoring plant parameters, the chief examiner may place SRO I applicants in either the ATC or BOP position to best evaluate the SRO I in manipulating plant controls.

# Group 3 (16, 17, R6, R4)

Facility: I	Diablo C	Canyon	<u>]</u>			Date c	f Exam	: <u>Feb 2</u>	24, 202	0	(	Operat	ing Tes	t Nu	mbe	r: <b>L18</b>	<u> 1</u>
А	Е							Sc	enario	)S							
P P	V E		S5			S1			S3			S4		Т		М	
L I	N T	CREW POSITION		CREW POSITION			P	CREW POSITION		CREW POSITION			O T ^		I N		
C A N T	T Y P E	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	A L	R	I M U M(*)	U
														1	1	1	0
RO	RX				1										1	1	1
∐ SRO-l6	NOR		1								1,2,3,4,5,			1			
$\boxtimes$	I/C		3,4,8		2,3,4,6						7			13	4	4	2
SRO-U □	MAJ		5,6		5						6			4	2	2	1
	TS				3,4						1,3			4	0	2	2
RO	RX													0	1	1	0
	NOR													0	1	1	1
SRO-I7 ⊠	I/C	2,3,4,8						1,2,3,5,7				2,3,5		12	4	4	2
SRO-U	MAJ	5,6						4				6		4	2	2	1
	TS	2,3						1,2						4	0	2	2
RO6	RX					1								1	1	1	0
$\boxtimes$	NOR													0	1	1	1
SRO-I □	I/C					2,4,6				1,2,3,5,6, 7			1,3,4,7	13	4	4	2
SRO-U	MAJ					5				4			6	3	2	2	1
	TS													0	0	2	2
RO4	RX													0	1	1	0
$\boxtimes$	NOR			1										1	1	1	1
SRO-I □	I/C			2,3,4,7,8			2,3,4,6,7		1,3,5,7					14	4	4	2
SRO-U	MAJ			5,6			5		4					4	2	2	1
	TS													0	0	2	2

- 1. Check the applicant level and enter the operating test number and Form ES D 1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the at the controls (ATC) and balance of plant (BOP) positions. Instant SROs (SRO I) must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an SRO I additionally serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position.
- 2. Reactivity manipulations may be conducted under normal or controlled abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (\*) Reactivity and normal evolutions may be replaced with additional I/C malfunctions on a one for one basis.
- 3. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right hand columns.
- 4. For new reactor facility licensees that use the ATC operator primarily for monitoring plant parameters, the chief examiner may place SRO I applicants in either the ATC or BOP position to best evaluate the SRO I in manipulating plant controls.

# Group 4 (I4, I5, R5)

Facility: <u>Diablo Canyon</u> Date of Exam: <u>Feb 24, 2020</u> Operating Test Number: <u>L181</u>										<u> 1</u>							
А	E							Sc	enario	s							
P P	V E		S5			S1			S3			S4		Т		М	
L I	N T	P	CREW OSITIO	/ DN	CREW POSITION			CREW POSITION			CREW POSITION			O T A		I N	
C A N T	T Y P E	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	S R O	A T C	B O P	L	R	M U M(*)	U
	RX				1									1	1	1	0
RO			1		'									1	1	1	1
∐ SRO-I4	NOR				0040						1,2,3,4,5,				4	4	2
⊠ SRO-U	I/C		3,4,8		2,3,4,6						7			13		-	
	MAJ		5,6		5						6			4	2	2	1
	TS				3,4						1,3			4	0	2	2
RO	RX													0	1	1	0
SRO-I5	NOR													0	1	1	1
$\boxtimes$	I/C	2,3,4,8					2,3,4,6,7					2,3,5		12	4	4	2
SRO-U □	MAJ	5,6					5					6		4	2	2	1
	TS	2,3												2	0	2	2
RO5	RX					1								1	1	1	0
$\boxtimes$	NOR			1										1	1	1	1
SRO-I □	I/C			2,3,4,7,8		2,4,6							1,3,4,7	12	4	4	2
SRO-U	MAJ			5,6		5							6	4	2	2	1
	TS													0	0	2	2
RO7	RX													0	1	1	0
	NOR													0	1	1	1
SRO-I	I/C													0	4	4	2
SRO-U	MAJ													0	2	2	1
	TS													0	0	2	2

- 1. Check the applicant level and enter the operating test number and Form ES D 1 event numbers for each event type; TS are not applicable for RO applicants. ROs must serve in both the at the controls (ATC) and balance of plant (BOP) positions. Instant SROs (SRO I) must serve in both the SRO and the ATC positions, including at least two instrument or component (I/C) malfunctions and one major transient, in the ATC position. If an SRO I additionally serves in the BOP position, one I/C malfunction can be credited toward the two I/C malfunctions required for the ATC position.
- 2. Reactivity manipulations may be conducted under normal or controlled abnormal conditions (refer to Section D.5.d) but must be significant per Section C.2.a of Appendix D. (\*) Reactivity and normal evolutions may be replaced with additional I/C malfunctions on a one for one basis.
- 3. Whenever practical, both instrument and component malfunctions should be included; only those that require verifiable actions that provide insight to the applicant's competence count toward the minimum requirements specified for the applicant's license level in the right hand columns.
- 4. For new reactor facility licensees that use the ATC operator primarily for monitoring plant parameters, the chief examiner may place SRO I applicants in either the ATC or BOP position to best evaluate the SRO I in manipulating plant controls.

Facility: DCPP	Da	te of E	Exami	inatio	n: Fel	oruary	24, 2	2020			Op	Operating Test No.: L181					
						GI	ROUI	PIA	PPLI	CAN	TS						
Competencies	5	RO SRO- <b>SRO-</b>			S	RO-I			RO-1 🛮 SRO-I 🗎 SRO-U				RO SRO-I SRO-U				
	SCENARIO			SCENARIO				SCENARIO					IARIO	5			
	5	1	3	4	5	1	3	4	1	2	3	4	1	2	3	4	
Interpret/ Diagnose Events and Conditions		2,3,4,5, 6,7	1,2,3,4, 5,6,7			1,2,3,4, 5,6	1,2,3,4, 5,6,7		2,3,4,5, 6,7		1,2,3,4, 5,6,7						
Comply With and Use Procedures (1)		1,2,3,4, 5,6,7	1,2,3,4, 5,6,7			1,2,4,5, 6	1,2,3,4, 5,6,7		2,3,4,5, 6,7		1,2,3,4, 5,6,7						
Operate Control Boards (2)			1,2,3,4, 5,6,7			1,2,5,6			2,3,4,5, 6,7		1,3,4,5, 7						
Communicate and Interact		1,2,3,4, 5,6	1,2,3,4, 5,6,7			1,2,3,4, 5,6	1,2,3,4, 5,6,7		2,3,4,5, 6,7		1,2,3,4, 5,6,7						
Demonstrate Supervisory Ability (3)		1,2,3,4, 5,6					1,2,3,4, 5,7										
Comply With and Use Tech Specs. (3)		3,4					1,2										

- (1) Includes Technical Specification compliance for an RO.
- (2) Optional for an SRO-U.
- (3) Only applicable to SROs.

#### Instructions:

Facility: DCPP	Date of Examination: February 24, 2020 Operating Test No.: L181															
						GF	ROUF	II A	PPLI	CAN	TS					
		RO <b>BRO-</b> I	[			0 <b>RO-I</b>	<u> </u>	$\overline{\mathbb{Z}}$		<mark>O-2</mark> RO-I	_	$\overline{\mathbb{Z}}$	RO-3 SRO-I			
Competencies		SRO-I		$\exists$		SRO-U				SRO-U				SRO-U		
	SCENARIO			SCENARIO				SCENARIO				5	CEN	IARIO	5	
	5	1	3	4	5	1	3	4	5	1	3	4	5	1	3	4
Interpret/ Diagnose Events and Conditions	3,4,5,6, 8	2,3,4,5, 6,7		1,2,3,4, 5,6,7	2,3,4,5, 6,7,8		1,2,3,4, 5,6,7	2,3,5,6		1,2,3,4, 5,6	1,2,3,4, 5,6,7	1,2,3,4, 6,7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7	1,2,3,4, 5,6,7	j
Comply With and Use Procedures (1)	1,3,4,5, 6,8	1,2,3,4, 5,6,7		1,2,3,4, 5,6,7	1,2,3,4, 5,6,7,8		1,2,3,4, 5,6,7	2,3,5,6		1,2,4,5, 6	1,2,3,4, 5,6,7	1,3,4,6, 7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7	1,2,3,4, 5,6,7	
Operate Control Boards (2)	1,3,4,5, 6,8							2,3,5,6		1,2,5,6	1,2,3,4, 5,6,7	1,3,4,6, 7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7	1,3,4,5, 7	
Communicate and Interact	1,3,4,5, 6,8	1,2,3,4, 5,6		1,2,3,4, 5,6,7	1,2,3,4, 5,6,7,8		1,2,3,4, 5,6,7	2,3,5,6		1,2,3,4, 5,6	1,2,3,4, 5,6,7	1,2,3,4, 6,7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7	1,2,3,4, 5,6,7	
Demonstrate Supervisory Ability (3)		1,2,3,4, 5,6		1,2,3,4, 5,6,7	2,3,4,5, 6,7,8		1,2,3,4, 5,7									
Comply With and Use Tech Specs. (3)		3,4		1,3	2,3		1,2									

- (1) Includes Technical Specification compliance for an RO.
- (2) Optional for an SRO-U.
- (3) Only applicable to SROs.

#### Instructions:

Facility: DCPP	Date of Examination: February 24, 2020 Operating Test No.: L181															
						GF	OUF	· III A	PPL	ICAN	ITS					
	F	RO			R	.0			R	O-6		$\overline{A}$	RO-4			
Competencies	5	RO-	l <b>6</b> 🛭	$\leq$	S	SRO-I7				SRO-I			SRO-I			
Competencies	5	SRO-I	J [		SRO-U				SRO-U				SRO-U			
	5	SCEN	IARI	0	S	CEN	IARIO	0	0)	SCEN	IARIO	)	5	SCEN	IARIO	)
	5	1	3	4	5	1	3	4	5	1	3	4	5	1	3	4
Interpret/ Diagnose Events and Conditions	3,4,5,6, 8	2,3,4,5, 6,7		1,2,3,4, 5,6,7	2,3,4,5, 6,7,8		1,2,3,4, 5,6,7	2,3,5,6		1,2,3,4, 5,6	1,2,3,4, 5,6,7	1,2,3,4, 6,7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7	1,2,3,4, 5,6,7	
Comply With and Use Procedures (1)	1,3,4,5, 6,8	1,2,3,4, 5,6,7		1,2,3,4, 5,6,7	1,2,3,4, 5,6,7,8		1,2,3,4, 5,6,7	2,3,5,6		1,2,4,5, 6	1,2,3,4, 5,6,7	1,3,4,6, 7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7	1,2,3,4, 5,6,7	
Operate Control Boards (2)	1,3,4,5, 6,8							2,3,5,6		1,2,5,6	1,2,3,4, 5,6,7	1,3,4,6, 7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7	1,3,4,5, 7	
Communicate and Interact	1,3,4,5, 6,8	1,2,3,4, 5,6		1,2,3,4, 5,6,7	1,2,3,4, 5,6,7,8		1,2,3,4, 5,6,7	2,3,5,6		1,2,3,4, 5,6	1,2,3,4, 5,6,7	1,2,3,4, 6,7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7	1,2,3,4, 5,6,7	
Demonstrate Supervisory Ability (3)		1,2,3,4, 5,6		1,2,3,4, 5,6,7	2,3,4,5, 6,7,8		1,2,3,4, 5,7									
Comply With and Use Tech Specs. (3)		3,4		1,3	2,3		1,2									

- (1) Includes Technical Specification compliance for an RO.
- (2) Optional for an SRO-U.
- (3) Only applicable to SROs.

#### Instructions:

Facility: DCPP	Date of Examination: February 24, 2020 Operating Test No.: L181															
						GR	OUF	N A	PPL	ICAN	TS					
		RO				20			RO-5			RO 🔲				
Competencies		<b>RO-</b> I				SRO-I5 ⊠ SRO-U □			SRO-I ☐ SRO-U ☐			SRO-I [				
	SCENARIO		5	SCENARIO			SCENARIO				5	SCEN	IARIO	)		
	5	1	3	4	5	1	3	4	5	1	3	4	5	1	3	4
Interpret/ Diagnose Events and Conditions	3,4,5,6, 8	2,3,4,5, 6,7		1,2,3,4, 5,6,7	2,3,4,5, 6,7,8	2,3,4,5, 6,7		2,3,5,6	1,2,3,4, 5,6,7,8	1,2,3,4, 5,6		1,2,3,4, 6,7				
Comply With and Use Procedures (1)	1,3,4,5, 6,8	1,2,3,4, 5,6,7		1,2,3,4, 5,6,7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7		2,3,5,6	1,2,3,4, 5,6,7,8	1,2,4,5, 6		1,3,4,6, 7				
Operate Control Boards (2)	1,3,4,5, 6,8					2,3,4,5, 6,7		2,3,5,6	1,2,3,4, 5,6,7,8	1,2,5,6		1,3,4,6, 7				
Communicate and Interact	1,3,4,5, 6,8	1,2,3,4, 5,6		1,2,3,4, 5,6,7	1,2,3,4, 5,6,7,8	2,3,4,5, 6,7		2,3,5,6	1,2,3,4, 5,6,7,8	1,2,3,4, 5,6		1,2,3,4, 6,7				
Demonstrate Supervisory Ability (3)		1,2,3,4, 5,6		1,2,3,4, 5,6,7	2,3,4,5, 6,7,8											
Comply With and Use Tech Specs. (3)		3,4		1,3	2,3											

- (1) Includes Technical Specification compliance for an RO.
- (2) Optional for an SRO-U.
- (3) Only applicable to SROs.

#### Instructions:

# Written Examination Quality Checklist

Form ES-401-6

	Facility: Diablo Canyor	Power Plant	Date of Exam: 0	3/06/20		Exam Lev	rel: RO	X SRO	X
		Item De	escription					Initial	
							а	b*	c*#
1.	Questions and answers	are technically a	ccurate and applica	ible to the fa	cility.		RE	CJM	jal
2.	a. NRC K/As are	e referenced for	all questions.						,
	b. Facility learning	ng objectives are	e referenced as ava	ilable.			0,1	CSM	10.1
	c. Correct answer	er explanation a	nd distractor analys	is provided (	ES-401, D	2.g)	PU	0,1.	Jan
3.	SRO questions are appre	opriate in accord	lance with Section [	D.2.d of ES-4	401		PA	com	ga
4	The sampling process ware repeated program office).						ef	CJM	jal
5.	Question duplication from below (check the item the				lled as indi	cated			
	The audit exam was s	systematically ar	nd randomly develo	ped; or					
	the audit exam was c			as started,	or			CSM	
	X the examinations were	e developed inde	ependently, or					Csir	Jek
	the licensee certifies t	that there is no c	luplication, or						,
	other (explain).			35 (47%)	7 (9%) 3 (12%)	33(44%) 9(36%)	ff		
6.	Bank use meets limits (n			Bank	Modified	New			
	at least 10% new, and the actual RO/SRO-only que		w 200 M N N N N	31 (41%) / 14 (56%)	9 (12%) / -2 (8%)	35 (47%) / 9 (36%)	PF	COM	per
7.	Between 38 and 45 ques			Memor		C/A			
	exam and at least 13 que SRO-only portion of the				-				
	comprehension/analysis the actual RO/SRO-only	level (see ES-40	01, D.2.c); enter	RO - 37 (49 SRO - 10 (4		- 38 (51%) / O - 15 (60%)	PI-	CSM	pel
8.	References/handouts prodistractors.	ovided do not giv	e away answers or	aid in the el	imination c	f	Pf	CSM	yee
9.	Question content conform outline and is appropriate	the state of the s		The same and the s			Pot	USM	you
10.	Question psychometric q	quality and forma	t meet the guideline	es in Append	lix B.		PI	CSM	yest
11.	The exam contains the recorrect and agrees with t			le-choice ite	ms; the tota	al is	"ex	CJM	Joe -
			Printed Nat	ne/Signature	•			Date	,
			The H					1.10	
a.	Author	Ron Fortier/	Jugar-	11-		_		12/5/19	
b.	Facility Reviewer (*)	Christopher M	ehigan/	146		5		12/5/19	
C.	NRC Chief Examiner (#)	John	Kirkland	ALK	Y	>		2/11/20	
d.	NRC Regional Supervisor	Gregor	y E. YVed	not 2	Tregg o	Tul		02/18/202	-0
				- 1	,				
Note	e: * The facility reviewe # Independent NRC (								

From 02/01/2020 to 02/29/2020

Phase Code: 2 - Construction, 5 - Operational

Region: 4

		# Car	didate	s					
Exam Week	Site / Docket # / Insp Rpt #	RO	LSRO	SROI	SROU	Туре	Exam Author	Chief Examiner	Examiners Assigned
02/23/2020	Diablo Canyon / 05000275, 05000323 / 2020301 CAC #: 000500	6	0	7	1	FB-OR- INITIAL LICENSE EXAM ADMINIS TRATION (EXAD)	NFF	Kirkland, John	Doyle, Matthew Farina, Thomas Hayes, Mahdi Hernandez, Nicholas Kirkland, John

Sites: DIAB Orgs: ALL

Exam Author: ALL
Activity Codes: EXAD

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From 02/01/2020 to 02/29/2020

Phase Code: 2 - Construction, 5 - Operational

Region: 4

Summary by Date	RO	SROI	SROU	LSRO	Total
02/2020	6	7	1	0	14

Sites: DIAB Orgs: ALL

Exam Author: ALL
Activity Codes: EXAD

From 02/01/2020 to 02/29/2020

Phase Code: 2 - Construction, 5 - Operational

Region: 4

Summary by Site	RO	SROI	SROU	LSRO	Total
Diablo Canyon	6	7	1	0	14

Sites: DIAB Orgs: ALL

Exam Author: ALL
Activity Codes: EXAD

From 02/01/2020 to 02/29/2020

Phase Code: 2 - Construction, 5 - Operational

Region: 4

Summary by Region	RO	SROI	SROU	LSRO	Total
Region 4	6	7	1	0	14

Sites: DIAB Orgs: ALL

Exam Author: ALL
Activity Codes: EXAD