



NUCLEAR REGULATORY COMMISSION
WASHINGTON, D. C. 20555

APR 4 1984

MEMORANDUM FOR: Gus C. Lainas, Assistant Director
for Operating Reactors
Division of Licensing

FROM: William T. Russell, Deputy Director
Division of Human Factors Safety

SUBJECT: SALP INPUT FOR SHEARON HARRIS UNIT-1

The DHFS/HFEB SALP INPUT for the Shearon Harris Nuclear Power Plant Unit-1 (SHNPP-1) is provided for your use. This evaluation is based on our review of the applicants Program Plan, an on-site In-progress Audit, and review of the applicant's Summary Report.

On the enclosed forms, some items were purposely not circled in any of the three (3) categories when there was either insufficient data or no data to support a reasonable conclusion.

Our SALP ratings to date for Shearon Harris 1 are as follows:

1. Management Involvement and Control in Assuring Quality
Category 2
2. Approach to Resolution of Technical Issues from a Safety
Standpoint
Category 2
3. Responsiveness to NRC Initiatives
Category 2

Copies of the reviewer's evaluation sheets are enclosed.

Direct any questions you may have concerning this SALP report to Ray Ramirez (X24522) of the HFEB.

W. Russell
William T. Russell, Deputy Director
Division of Human Factors Safety

Enclosure:
As stated

cc: J. Stolz
~~B. Buckley~~
HFEB Members

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CF

TABLE 1 EVALUATION CRITERIA WITH ATTRIBUTES FOR ASSESSMENT OF LICENSEE PERFORMANCE

Category 1

Category 2

Category 3

1. Management Involvement and Control in Assuring Quality

consistent evidence of prior planning and assignment of priorities; well stated, controlled and explicit procedures for control of activities

evidence of prior planning and assignment of priorities; stated, defined procedures for control of activities

little evidence of prior planning and assignment of priorities; poorly stated or ill understood procedures for control of activities

well stated, disseminated, and understandable policies

adequately stated and understood policies

poorly stated, poorly understood or nonexistent policies

decisionmaking consistently at a level that ensures adequate management review

decisionmaking usually at a level that ensures adequate management review

decisionmaking seldom at a level that ensures adequate management review

corporate management frequently involved in site activities

corporate management usually involved in site activities

corporate management seldom involved in site activities

audits complete, timely, and thorough

audits generally complete, timely, and thorough

audits frequently not timely, incomplete, or not thorough

Category 1

Category 2

Category 3

1. Management Involvement and Control in Assuring Quality (Continued)

committees properly staffed and functioning in almost all cases

committees usually properly staffed and functioning

committees not properly staffed or functioning

reviews timely, thorough, and technically sound

reviews generally timely, thorough, and technically sound

reviews not timely, thorough, or technically sound

records complete, well maintained, and available

records generally complete, well maintained, and available

records not complete, not well maintained, or unavailable

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procedures and policies strictly adhered to

procedures and policies rarely violated

procedures and policies occasionally violated

corrective action systems promptly and consistently recognize and address nonreportable concerns

corrective action systems generally recognize and address nonreportable concerns

corrective action systems rarely recognize and address nonreportable concerns

procurement well controlled and documented

procurement generally well controlled and documented

repetitive breakdown in procurement control

design well controlled and verified

rare breakdowns of minor significance in design control

repetitive breakdown in design control or verification

<u>Category 1</u>	<u>Category 2</u>	<u>Category 3</u>
<u>2. Approach to Resolution of Technical Issues from a Safety Standpoint</u>		
clear understanding of issues demonstrated	<u>understanding of issues generally apparent</u>	understanding of issues frequently lacking
conservatism routinely exhibited when potential for safety significance exists	<u>conservatism generally exhibited</u>	meets minimum requirements
technically sound and thorough approaches in almost all cases	viable and generally sound and thorough approaches	<u>often viable approaches; but lacking in thoroughness or depth</u>
timely resolutions in almost all cases	<u>generally timely resolutions</u>	resolutions often delayed
<u>3. Responsiveness to NRC Initiatives</u>		
meets deadlines	generally timely responses	frequently requires extensions of time
timely resolution of issues	few longstanding regulatory issues attributable to licensee	longstanding regulatory issues attributable to licensee

Category 1

Category 2

Category 3

3. Responsiveness to NRC Initiatives (Continued)

technically sound and thorough responses in almost all cases

viable and generally sound and thorough responses

often viable responses, but lacking in thoroughness or depth

acceptable resolutions proposed initially in most cases

acceptable resolutions generally proposed

considerable NRC effort or repeated submittals needed to obtain acceptable resolutions

4. Enforcement History

major violations are rare and are not indicative of programmatic breakdown

major violations are rare and may indicate minor programmatic breakdown

multiple major violations or programmatic breakdown indicated

minor violations are not repetitive and not indicative of programmatic breakdown

multiple minor violations or minor programmatic breakdown indicated

minor violations are repetitive and indicative of programmatic breakdown

corrective action is prompt and effective

corrective action is timely and effective in most cases

corrective action is delayed or not effective