



General Electric Company
175 Currier Avenue, San Jose, CA 95128

August 7, 1992

MFN No. 157-92
Docket No. STN 52-001
SLK-9287

U.S. Nuclear Regulatory Commission
Licensee Fee and Debt Collection Branch
Division of Accounting and Finance
Office of the Controller
Washington, D.C. 20555

Attention: Leah Tremper

Subject: Invoices XT0477-92 and XT0553-92

Reference: Letter, R. C. Mitchell to Document Control Desk, "GE Response to Request for Information on SBWR Testing Program," dated February 3, 1992, MFN No. 023-92

Dear Ms. Tremper:

In Support of the Staff's review of the Electric Power Research Institute (EPRI) Advanced Light Water Reactor (ALWR) Utility Requirements Document, GE has met with the Staff on occasion to discuss specific passive plant ALWR issues in the context of its Simplified Boiling Water Reactor (SBWR) design. The attached letter, dated well before receipt of the subject invoice, informed the Staff that GE did not expect to incur review charges associated with activities conducted in direct support of the ALWR Requirements Document review. GE believes that the subject invoices were sent to us by mistake and, consequently, are returning them.

GE has not yet filed a formal application to the NRC for review of the SBWR and has not made any other request for NRC review of the SBWR; all interactions between GE and the NRC were to facilitate completion of the Staff's review of the ALWR Requirements Document.

Accordingly, we request that you re-allocate the fees to the appropriate program.

Sincerely,

P.W. Marriott, Manager
Regulatory and Analysis Services
M/C 444, (408) 925-6948

cc: V. M. McCree NRC
J. C. Baechler GE

RECEIVED
DIVISION OF ACCOUNTING AND FINANCE
AUG 11 AM 10:27
U.S. NUCLEAR REGULATORY COMMISSION

130010
9208130172 920807
PDR ADDCK 05200001
A PDR

4021
2722
MOOR



February 3, 1992

MFN No. 023-92
Project No. 681
EEN-9210

Document Control Desk
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

Subject: **GE Response to Request for Information on SBWR Testing Program**

- Reference: 1. Letter, V.M. McCree to P.W. Marriott, "Preliminary Evaluation and Request for Information on the Simplified Boiling Water Reactor Testing Program," dated Nov. 6, 1991, MFN No. 147-91
2. Letter, P.W. Marriott to V.M. McCree, "NRC Requests for Information on the Simplified Boiling Water Reactor (SBWR)," dated December 5, 1991, MFN 156-91

Please find the enclosed responses to Reference 1. Further communication may be necessary to completely close out these questions.

As acknowledged in Reference 2, GE has responded to the staff's requests for meetings and additional information on testing and stability directly through the Electric Power Research Institute (EPRI) Advanced Light Water Reactor (ALWR) Utility Requirements Program. We expect, consequently, that review charges pertaining to these areas will not be assessed to GE as a result of activity in support of the EPRI ALWR Utility Requirements Program. We will continue to support the staff's need for ongoing discussions in these areas and will participate by responding, as we have done in the past, via the EPRI ALWR Utility Requirements Program.

Sincerely,

R.C. Mitchell

R. C. Mitchell, Acting Manager
Regulatory and Analysis Services
M/C 382, (408) 925-6948

cc: NRC V.M. McCree
EPRI G. Bockhold

GE J.C. Baechler
R.H. Buchholz
J.N. Fox
R.J. McCandless
J.F. Quirk

9202/100213

10 pp.

INVOICE

XT0477-92

MAIL TO: MAKE CHECKS PAYABLE TO THE U.S. NUCLEAR REGULATORY COMMISSION. REFERENCE THE INVOICE NUMBER ON REMITTANCE, AND

INVOICE DATE

U.S. NUCLEAR REGULATORY COMMISSION
DIVISION OF ACCOUNTING AND FINANCE
OFFICE OF THE CONTROLLER
WASHINGTON, DC 20556

06/09/92

LICENSE NUMBER (if applicable)

Docket #00-00681

REFERENCE NUMBER (if applicable)

CONTACT:

NAME

Leah Tremper

TELEPHONE

AREA
CODE
301

NUMBER
492-8741

TO:

GENERAL ELECTRIC COMPANY
ATTN: MR. PATRICK W. MARRIOTT
MANAGER
LICENSING & CONSULTING SERVICE
175 CURTNER AVENUE
SAN JOSE, CA 95125

DESCRIPTION

AMOUNT

GE SBWR

This invoice is for the review of standard plant designs (PDA, FDA, certification and pre-application/licensing review assistance) for the period 06/30/91 through 12/28/91 pursuant to 10 CFR 170.12(e), 170.21.B and 170.21.J.

95.0 Hours @ \$115.00/Hour

\$ 10,925

Facility Revenue Code: AA903-STD

AMOUNT DUE
(See Terms)

\$ 10,925

TERMS. Interest will accrue from the invoice date at the annual rate of 6.00 %. Payment is due immediately. However, interest will be waived if payment is received within 30 days from the invoice date. Penalty and administrative charges will be assessed on a delinquent invoice. Additional terms and conditions are attached, if applicable.

NOTE. If there are any questions about the existence or amount of the debt, contact the individual named above. For NRC debt collection procedures, including interest and penalty provisions, see 31 U.S.C. 3717, 4 CFR 101-11.3, and 10 CFR 15.

RECEIVED
JUN 11 1992

P. W. MARRIOTT

08/04,1992

U.S.N.R.C. MANPOWER SYSTEM
 TIME CHARGES AGAINST SELECTED DOCKETS
 FOR THE REPORTING PERIOD 08/11/1991 - 09/21/1991
 * *

PAGE 1

00000681 GE SBWP

		REVIEWER	REGULAR HOURS	NON-REG HOURS	TOTAL HOURS
1134	PASSIVE DESIGN CERTIFICATION REVIEWS (H)				
M79512	GE SBWR STABILITY AND THERMAL - HYDRAULIC REVIEW AND ANALYSIS EFFORTS				
		L. PHILLIPS	15.0	0.0	15.0
		H. RICHINGS	6.0	0.0	6.0
TACS-NO	TOTAL		21.0	0.0	21.0
M80718	GE SBWR - OVERALL PROJECT PLANNING, ORGANIZATION CONTROLLING				
		V. MCCREE	6.0	0.0	6.0
TACS-NO	TOTAL		6.0	0.0	6.0
M80722	GE SBWR - REPORTS TO ACRS AND THE COMMISSION				
		V. MCCREE	30.0	0.0	30.0
TACS-NO	TOTAL		30.0	0.0	30.0
NRR-PA#	TOTAL		57.0	0.0	57.0
DOCKET-NO	TOTAL		57.0	0.0	57.0
GRAND TOTAL			57.0	0.0	57.0

06/04/1992

U.S.N.R.C. MANPOWER SYSTEM
 TIME CHARGES AGAINST SELECTED DOCKETS
 FOR THE REPORTING PERIOD 09/22/1991 - 12/28/1991
 * *

PAGE 1

00000581 GE SBWR

		REVIEWER	REGULAR HOURS	NON-REG HOURS	TOTAL HOURS
1134	PASSIVE DESIGN CERTIFICATION REVIEWS (H)				
M79512	GE SBWR STABILITY AND THERMAL - HYDRAULIC REVIEW AND ANALYSIS EFFORTS				
		L. PHILLIPS	12.0	0.0	12.0
		H. RICHINGS	3.0	0.0	3.0
TACS-NO	TOTAL		15.0	0.0	15.0
M80718	GE SBWR - OVERALL PROJECT PLANNING, ORGANIZATION CONTROLLING				
		V. MCCREE	10.0	9.0	19.0
TACS-NO	TOTAL		10.0	9.0	19.0
M80723	GE SBWR - INTRODUCTION AND GENERAL PLANT DESCRIPTION (CHAPTER 1)				
		V. MCCREE	3.0	0.0	3.0
TACS-NO	TOTAL		3.0	0.0	3.0
M80727	GE SBWR - REACTOR COOLANT SYSTEM AND CONNECTED SYSTEMS (CHAPTER 5)				
		V. MCCREE	5.0	0.0	5.0
TACS-NO	TOTAL		5.0	0.0	5.0
M80728	GE SBWR - ENGINEERED SAFETY FEATURES (CHAPTER 6)				
		V. MCCREE	5.0	0.0	5.0
TACS-NO	TOTAL		5.0	0.0	5.0
NRR-PA#	TOTAL		38.0	9.0	47.0
DOCKET-NO	TOTAL		38.0	9.0	47.0
GRAND	TOTAL		38.0	9.0	47.0

U.S. NUCLEAR REGULATORY COMMISSION

LICENSE, ANNUAL, AND INSPECTION FEE INVOICE - TERMS AND CONDITIONS

NOTICE: This invoice shows the fee assessed for a recent licensing action(s) or inspection(s) of your licensed program or the assessment of the annual fee. Fees are assessed in accordance with the schedules contained in 10 CFR Part 170 or 10 CFR Part 171. The revocation or termination of a license does not relieve the licensee of its responsibility for any debt(s). The fee(s) and associated interest, penalties, and administrative costs, if any, constitute a debt to the United States pursuant to Federal law, and the invoice is the demand for payment required under Federal law and implementing regulations. The NRC will not accept or execute any purchase order submitted by an applicant/licensee as a condition to the applicant/licensee paying the debt. The NRC also reserves the right not to accept or execute any claim form or other document submitted by an applicant/licensee as a condition to the applicant/licensee paying the debt.

TERMS: Payment is due immediately and should be made payable by check, draft, money order, or electronic funds transfer made payable to the U.S. Nuclear Regulatory Commission. Where specific payment instructions are provided on the bills to applicants or licensees, payment should be made accordingly, e.g., bills of \$5,000 or more will normally indicate payment by electronic funds transfer. With respect to Federal agencies, payment by either Standard Form (SF) 1081 (Voucher and Schedule of Withdrawal and Credits) or the On-line Payment and Collection System (OPAC's) will be accepted.

INTEREST: Interest will be assessed in accordance with 31 U.S.C. §3717, and will accrue from the invoice date at the annual rate of 6%. However, interest will be waived if payment is received within 30 days from the invoice date. For NRC debt collection procedures, refer to 10 CFR Part 15.

PENALTY: A penalty charge will be assessed on any portion of a debt that is delinquent for more than 90 days at the annual rate of 6%. This charge will be calculated on or after the 91st day of delinquency, but will accrue from the date the debt became delinquent. For this purpose, a debt is "delinquent" if it has not been paid by the invoice date.

ADMINISTRATIVE CHARGE: The NRC is required to assess an administrative charge incurred as a result of a delinquent debt. Administrative costs may include costs incurred in obtaining a credit report, or in using a private debt collector, to the extent they are attributable to the delinquency. The minimum administrative charge is \$10 a month.

USE OF CONSUMER REPORTING AGENCIES AND CONTRACTING FOR COLLECTION SERVICES: In addition to assessing interest, penalties and administrative costs, the NRC may report a debt that has been delinquent for 90 days to a consumer reporting agency. In accordance with 10 CFR Part 15, the NRC may also refer the delinquent debt to a debt collection agency in order to recover the delinquent debt.

10 CFR 170.41 FAILURE BY APPLICANT OR LICENSEE TO PAY PRESCRIBED FEES AND 10 CFR 171.23 ENFORCEMENT: In any case where the Commission finds that an applicant or a licensee has failed to pay a prescribed fee or files a false certification with respect to qualifying as a small entity under the Regulatory Flexibility Criteria, the Commission will not process any application and may suspend or revoke any license or approval issued to the applicant or licensee or may issue an order with respect to licensed activities as the Commission determines to be appropriate or necessary in order to carry out the provisions of these Parts.

10 CFR 170.51 RIGHT TO REVIEW AND APPEAL OF PRESCRIBED FEES: All debtors' requests for review of the fees assessed, and appeal or disagreement with the prescribed fee, must be submitted in writing in accordance with the provisions of 10 CFR 15.31, "Disputed Debts."

CONTACTS: Questions relating to the assessment of fees, and/or correctness of the address, call (301) 492-7225 or direct correspondence to:

LICENSE FEE AND DEBT COLLECTION BRANCH
OFFICE OF THE CONTROLLER
U. S. NUCLEAR REGULATORY COMMISSION
WASHINGTON DC 20555

NRC PROCEDURES FOR EXTENDING PAYMENT DATES OF LICENSE, INSPECTION, AND ANNUAL FEE INVOICES

License, inspection, and annual fees are billed in accordance with the schedules contained in 10 CFR Part 170 and 10 CFR Part 171. Interest on the amount billed accrues from the invoice date, but will be waived if the amount due is paid within 30 days after said date. If the 30-day period is extended, interest will be waived provided the debt is paid before the expiration of the extended period. The 30-day period may be extended, at NRC's discretion, in accordance with the following procedures:

1. The NRC must receive the debtor's written request for an extension of the period, before expiration of the 30-day period. The request should explain why the debt is incorrect in fact or in law (10 CFR §15.31). If the request is not received within the 30-day period, it will automatically be denied. Telephone requests for extensions will not be considered. Requests for extensions of the 30-day period should be submitted to:

LICENSE FEE AND DEBT COLLECTION BRANCH
OFFICE OF THE CONTROLLER
U. S. NUCLEAR REGULATORY COMMISSION
WASHINGTON DC 20555

2. The debtor's explanation must have merit for the NRC to extend the 30-day period. A request is deemed to have merit if it causes the NRC to question whether the amount originally billed is correct.

A. If the explanation has merit, the NRC will notify the debtor in writing that the request is granted and that the 30-day period will be extended to a certain date. This date will be stated on the revised

invoice and will be approximately 15 days after the date the revised invoice is mailed. The amount on the revised invoice will constitute a final determination of the existence or amount of the debt. A final determination by NRC for this purpose need not await the outcome of litigation or further administrative review. Further extensions of this date stated on the revised bill will not be granted. If the amount on the revised invoice is not paid on or before the date stated on the invoice, interest from the date of the original invoice will become due and payable.

B. The NRC may, at its option, meet with the debtor's representatives to receive further evidence or arguments supporting the debtor's conditions.

C. A request for an extension may be granted either with respect to the entire amount originally billed or with respect to a portion of the amount originally billed. In the latter case, the remainder of such amount remains due and payable as originally billed and if not paid on or before that date, interest from the date of the original invoice will become due and payable.

D. If the debtor's explanation does not have merit and does not cause the NRC to question whether the amount originally billed is correct, the request will be denied. Failure of NRC to notify a debtor before the end of the 30-day period that a request for an extension has been denied will not constitute grounds for a waiver of interest.

(Continued on reverse)

NRC PROCEDURES FOR EXTENDING PAYMENT DATES OF LICENSE, INSPECTION, AND ANNUAL FEE INVOICES
(Continued)

E. The assertion that the invoice is unsupported by a sufficiently detailed breakdown of dates, hours, and other data, does not constitute an explanation of why the amount billed is incorrect in fact or in law. If the debtor views information furnished with the invoice as insufficient for the purpose of a request for an extension, the debtor should seek the necessary information as soon as possible in order that a request for extension can be submitted within the 30-day period.

3. If an extension of the 30-day period is granted and the amount originally billed remains unchanged, such extension will be stated on the revised invoice as provided in 2A above.

4. NRC records in support of billed fees are not subject to audit by non-Governmental entities. However, copies of records desired by a debtor can be made available to the debtor if they are reproduced at the debtor's expense. For any charges which may be assessed, refer to 10 CFR Part 9.

5. NRC will refund to a debtor any amount which is later determined to be an overpayment, including interest, if any, which was paid by the debtor on such amount. NRC is not authorized to pay interest on any part of a license, inspection, or annual fee which was paid to NRC and is later refunded.

PROCEDURES FOR ELECTRONIC FUNDS TRANSFER

The U.S. Nuclear Regulatory Commission (NRC) has been informed by the U.S. Department of the Treasury (Treasury) that effective October 1, 1988, changes will affect our ability to receive funds through Fedwire Deposit System (FDS). The basic FDS wire message format will be changed to comply with the Federal Reserve Board's decision to implement a standard structured third-party format for all Electronic Funds Transfer (EFT) messages. A sample of an EFT message to Treasury and a narrative description of each field follows:

```

|_____|
| (1) |
| 021030004 | (2) |
|_____|
| (3) | (4) | (5) |
|_____|
| (6) / |
| (7) / (8) |
| TREAS NYC/CTR / |
| (9) |
| BNF = /AC-31000001 OBI = |
|_____|
| (10) |
|_____|
    
```

- | <u>Field</u> | <u>Content</u> |
|--------------|---|
| (1) | <u>RECEIVER-DFI#</u> - The Treasury Department's ABA number for deposit messages is 021030004. |
| (2) | <u>TYPE-SUBTYPE-CD</u> - The type and subtype code will be provided by the sending bank. |
| (3) | <u>SENDER-DFI#</u> - This number will be provided by the sending bank. |
| (4) | <u>SENDER-REF#</u> - The sixteen-character reference number is inserted by the sending bank at its option. |
| (5) | <u>AMOUNT</u> - The transfer amount must be punctuated with commas and decimal point; use of the "\$" is optional. This item will be provided by the depositor. |
| (6) | <u>SENDER-DFI-NAME</u> - This information is automatically inserted by the Federal Reserve Bank. |
| (7) | <u>RECEIVER-DFI-NAME</u> - The Treasury Department's name for deposit messages is "TREAS NYC." This name should be entered by the sending bank. |

<u>Field</u>	<u>Content</u>
(8)	<u>PRODUCT CODE</u> - A product code of "CTR" for customer transfer should be the first data in the RECEIVER-TEXT field. Other values may be entered, if appropriate, using the ABA's options. A slash must be entered after the product code.

(9) AGENCY LOCATION CODE (ALC) - THIS ITEM IS OF CRITICAL IMPORTANCE. IT MUST APPEAR ON THE FUNDS TRANSFER DEPOSIT MESSAGE IN THE PRECISE MANNER AS STATED TO ALLOW FOR THE AUTOMATED PROCESSING AND CLASSIFICATION OF THE FUNDS TRANSFER MESSAGE TO THE AGENCY LOCATION CODE OF THE APPROPRIATE AGENCY. The ALC identification sequence can, if necessary, begin on one line and end on the next line; however, the field tag "BNF=" must be on one line and cannot contain any spaces. NRC's 8-digit ALC is:

BNF = /AC-31000001

(10) THIRD-PARTY INFORMATION - The Originator to Beneficiary Information field tag "OBI=" is used to signify the beginning of the free-form third-party text. All other identifying information to enable the NRC to identify the deposit, e.g., NRC invoice number, description of fee - 10 CFR 171 annual fee, and plant name.

The optimum format for fields 7, 8, 9, and 10 using an 8-digit ALC is as follows:

TREAS NYC/CTR/BNF = /AC-31000001 OBI =

The optimum format, shown above, will allow 219 character positions of information following the "OBI=" indicator.

If the licensee's bank is not a member of the Federal Reserve System, the non-member bank must transfer the necessary information and funds to a member bank who then must transfer the information and funds to the local Federal Reserve Bank.

For transfer of funds from local Federal Reserve Banks to be recorded on the same day, the transfer must be received at the New York Federal Reserve Bank by 4 p.m., EST. Otherwise, the deposit will be recorded on the next working day.

If there are any questions, contact:

LICENSE FEE AND DEBT COLLECTION BRANCH
U. S. NUCLEAR REGULATORY COMMISSION
TELEPHONE: (301) 492-7225

INVOICE

XT0553-92

MAKE CHECKS PAYABLE TO THE U.S. NUCLEAR REGULATORY COMMISSION, REFERENCE THE INVOICE NUMBER ON REMITTANCE, AND

MAIL TO:
 U.S. NUCLEAR REGULATORY COMMISSION
 DIVISION OF ACCOUNTING AND FINANCE
 OFFICE OF THE CONTROLLER
 WASHINGTON, DC 20543

INVOICE DATE

07/01/92

LICENSE NUMBER (if applicable)

Docket #00-00681

REFERENCE NUMBER (if applicable)

CONTACT

NAME

Leah Tremper

TELEPHONE

AREA CODE NUMBER
 301 492-8741

TO:
 GE NUCLEAR ENERGY
 ATTN: MP. PATRICK W. MARIOTT
 MANAGER
 LICENSING & CONSULTING SERVICE
 175 CURTNER AVENUE
 SAN JOSE, CA 95125

DESCRIPTION

AMOUNT

GE SBWR

This invoice is for the review of standard plant designs (PDA, FDA, certification and pre-application/licensing review assistance) for the period 12/29/91 through 03/21/92 pursuant to 10 CFR 170.12(e), 170.21.B and 170.21.J.

330.0 Hours @ \$115.00/Hour

\$ 37,950

RECEIVED

JUL 14 1992

P. W. MARIOTT

Facility Revenue Code: AA903-STD

AMOUNT DUE
(See Terms)

\$ 37,950

TERMS. Interest will accrue from the invoice date at the annual rate of 6.00%. Payment is due immediately. However, interest will be waived if payment is received within 30 days from the invoice date. Penalty and administrative charges will be assessed on a delinquent invoice. Additional terms and conditions are attached, if applicable.

NOTE. If there are any questions about the existence or amount of the debt, contact the individual named above. For NRC debt collection procedures, including interest and penalty provisions, see 31 U.S.C. 3717, 4 CFR 101-105, and 10 CFR 15.

06/05/1992

PAGE 1

U. S. N. R. C. MANPC/ER SYSTEM
 TIME CHARGES AGAINST SELECTED DOCKETS
 FOR THE REPORTING PERIOD 12/29/1991 - 03/21/1992
 *** INCLUDES UNEDITED DATA ***

00000681 GE SBWR

TACS-NO	REVIEWER	REGULAR HOURS	NON-REG HOURS	TOTAL HOURS
1.34	PASSIVE DESIGN CERTIFICATION REVIEWS (H)			
M79512	GE SBWR STABILITY AND THERMAL - HYDRAULIC REVIEW AND ANALYSIS EFFORTS			
	L. PHILLIPS	4.0	0.0	4.0
	H. RICHINGS	5.0	0.0	5.0
		10.0	0.0	10.0
TACS-NO TOTAL				
M79513	GE SBWR PRELIMINARY DESIGN REVIEWS AND EFFORT EXPENDED TO CONDUCT DATA ANALYSES AND EXPERIMENTATION			
	R. CARUSO	11.0	0.0	11.0
	A. D'ANGELO	16.0	0.0	16.0
	E. FOX	17.0	0.0	17.0
	A. LEVIN	54.0	0.0	54.0
	B. MARCUS	19.5	0.0	19.5
	J. MOENINGER	3.0	0.0	3.0
	M. RUBIN	28.0	5.0	33.0
	J. STEWART	3.0	0.0	3.0
	G. THOMAS	9.0	0.0	9.0
	J. WATT	27.0	0.0	27.0
TACS-NO TOTAL		187.5	5.0	192.5
M80718	GE SBWR - OVERALL PROJECT PLANNING, ORGANIZATION CONTROLLING			
	V. MCCREE	121.5	0.0	121.5
TACS-NO TOTAL		121.5	0.0	121.5
M80722	GE SBWR - REPORTS TO ACRS AND THE COMMISSION			
	V. MCCREE	4.0	0.0	4.0
TACS-NO TOTAL		4.0	0.0	4.0
M80728	GE SBWR - ENGINEERED SAFETY FEATURES (CHAPTER 6)			
	V. MCCREE	7.0	0.0	7.0
TACS-NO TOTAL		7.0	0.0	7.0
NR-PA# TOTAL		330.0	5.0	335.0
DOCKET-NO TOTAL		330.0	5.0	335.0
GRAND TOTAL		330.0	5.0	335.0

U.S. NUCLEAR REGULATORY COMMISSION

LICENSE, ANNUAL, AND INSPECTION FEE INVOICE - TERMS AND CONDITIONS

NOTICE: This invoice shows the fee assessed for a recent licensing action(s) or inspection(s) of your licensed program or the assessment of the annual fee. Fees are assessed in accordance with the schedules contained in 10 CFR Part 170 or 10 CFR Part 171. The revocation or termination of a license does not release the licensee of its responsibility for any debt(s). The fee(s) and associated interest, penalties, and administrative costs, if any, constitute a debt to the United States pursuant to Federal law, and the invoice is the demand for payment required under Federal law and implementing regulations. The NRC will not accept or execute any purchase order submitted by an applicant/licensee as a condition to the applicant/licensee paying the debt. The NRC also reserves the right not to accept or execute any claim form or other document submitted by an applicant/licensee as a condition to the applicant/licensee paying the debt.

TERMS: Payment is due immediately and should be made payable by check, draft, money order, or electronic funds transfer made payable to the U.S. Nuclear Regulatory Commission. Where specific payment instructions are provided on the bills to applicants or licensees, payment should be made accordingly, e.g., bills of \$5,000 or more will normally indicate payment by electronic funds transfer. With respect to Federal agencies, payment by either Standard Form (SF) 1081 (Voucher and Schedule of Withdrawal and Credits) or the On-line Payment and Collection System (OPAC's) will be accepted.

INTEREST: Interest will be assessed in accordance with 31 U.S.C. §3717, and will accrue from the invoice date at the annual rate of 6%. However, interest will be waived if payment is received within 30 days from the invoice date. For NRC debt collection procedures, refer to 10 CFR Part 15.

PENALTY: A penalty charge will be assessed on any portion of a debt that is delinquent for more than 90 days at the annual rate of 6%. This charge will be calculated on or after the 91st day of delinquency, but will accrue from the date the debt became delinquent. For this purpose, a debt is "delinquent" if it has not been paid by the invoice date.

NRC PROCEDURES FOR EXTENDING PAYMENT DATES OF LICENSE, INSPECTION, AND ANNUAL FEE INVOICES

License, inspection, and annual fees are billed in accordance with the schedules contained in 10 CFR Part 170 and 10 CFR Part 171. Interest on the amount billed accrues from the invoice date, but will be waived if the amount due is paid within 30 days after said date. If the 30-day period is extended, interest will be waived provided the debt is paid before the expiration of the extended period. The 30-day period may be extended, at NRC's discretion, in accordance with the following procedures:

1. The NRC must receive the debtor's written request for an extension of the period, before expiration of the 30-day period. The request should explain why the debt is incorrect in fact or in law (10 CFR §15.31). If the request is not received within the 30-day period, it will automatically be denied. Telephone requests for extensions will not be considered. Requests for extensions of the 30-day period should be submitted to:

LICENSE FEE AND DEBT COLLECTION BRANCH
OFFICE OF THE CONTROLLER
U. S. NUCLEAR REGULATORY COMMISSION
WASHINGTON DC 20555

2. The debtor's explanation must have merit for the NRC to extend the 30-day period. A request is deemed to have merit if it causes the NRC to question whether the amount originally billed is correct.

A. If the explanation has merit, the NRC will notify the debtor in writing that the request is granted and that the 30-day period will be extended to a certain date. This date will be stated on the revised

ADMINISTRATIVE CHARGE: The NRC is required to assess an administrative charge incurred as a result of a delinquent debt. Administrative costs may include costs incurred in obtaining a credit report, or in using a private debt collector, to the extent they are attributable to the delinquency. The minimum administrative charge is \$10 a month.

USE OF CONSUMER REPORTING AGENCIES AND CONTRACTING FOR COLLECTION SERVICES: In addition to assessing interest, penalties and administrative costs, the NRC may report a debt that has been delinquent for 90 days to a consumer reporting agency. In accordance with 10 CFR Part 15, the NRC may also refer the delinquent debt to a debt collection agency in order to recover the delinquent debt.

10 CFR 170.41 FAILURE BY APPLICANT OR LICENSEE TO PAY PRESCRIBED FEES AND 10 CFR 171.23 ENFORCEMENT: In any case where the Commission finds that an applicant or a licensee has failed to pay a prescribed fee or files a false certification with respect to qualifying as a small entity under the Regulatory Flexibility Criteria, the Commission will not process any application and may suspend or revoke any license or approval issued to the applicant or licensee or may issue an order with respect to licensed activities as the Commission determines to be appropriate or necessary in order to carry out the provisions of their Parts.

10 CFR 170.51 RIGHT TO REVIEW AND APPEAL OF PRESCRIBED FEES: All debtors' requests for review of the fees assessed, and appeal or disagreement with the prescribed fee, must be submitted in writing in accordance with the provisions of 10 CFR 15.31, "Disputed Debts."

CONTACTS: Questions relating to the assessment of fees, and/or correctness of the address, call (301) 492-7225 or direct correspondence to:

LICENSE FEE AND DEBT COLLECTION BRANCH
OFFICE OF THE CONTROLLER
U. S. NUCLEAR REGULATORY COMMISSION
WASHINGTON DC 20555

invoice and will be approximately 15 days after the date the revised invoice is mailed. The amount on the revised invoice will constitute a final determination of the existence or amount of the debt. A final determination by NRC for this purpose need not await the outcome of litigation or further administrative review. Further extensions of this date stated on the revised bill will not be granted. If the amount on the revised invoice is not paid on or before the date stated on the invoice, interest from the date of the original invoice will become due and payable.

B. NRC may, at its option, meet with the debtor's representatives to receive further evidence or arguments supporting the debtor's contentions.

C. A request for an extension may be granted either with respect to the entire amount originally billed or with respect to a portion of the amount originally billed. In the latter case, the remainder of such amount remains due and payable as originally billed and if not paid on or before that date, interest from the date of the original invoice will become due and payable.

D. If the debtor's explanation does not have merit and does not cause the NRC to question whether the amount originally billed is correct, the request will be denied. Failure of NRC to notify a debtor before the end of the 30-day period that a request for an extension has been denied will not constitute grounds for a waiver of interest.

(Continued on reverse)

NRC PROCEDURES FOR EXTENDING PAYMENT DATES OF LICENSE, INSPECTION, AND ANNUAL FEE INVOICES

(Continued)

E. The assertion that the invoice is unsupported by a sufficiently detailed breakdown of dates, hours, and other data, does not constitute an explanation of why the amount billed is incorrect in fact or in law. If the debtor views information furnished with the invoice as insufficient for the purpose of a request for an extension, the debtor should seek the necessary information as soon as possible in order that a request for extension can be submitted within the 30-day period.

3. If an extension of the 30-day period is granted and the amount originally billed remains unchanged, such extension will be stated on the revised invoice as provided in 2A above.

4. NRC records in support of billed fees are not subject to audit by non-Governmental entities. However, copies of records desired by a debtor can be made available to the debtor if they are reproduced at the debtor's expense. For any charges which may be assessed, refer to 10 CFR Part 9.

5. NRC will refund to a debtor any amount which is later determined to be an overpayment, including interest, if any, which was paid by the debtor on such amount. NRC is not authorized to pay interest on any part of a license, inspection, or annual fee which was paid to NRC and is later refunded.

PROCEDURES FOR ELECTRONIC FUNDS TRANSFER

The U.S. Nuclear Regulatory Commission (NRC) has been informed by the U.S. Department of the Treasury that effective October 1, 1988, changes will affect our ability to receive funds through Fedwire Deposit System (FDS). The basic FDS wire message format will be changed to comply with the Federal Reserve Board's decision to implement a standard structured third-party format for all Electronic Funds Transfer (EFT) messages. A sample of an EFT message to Treasury and a narrative description of each field follows:

```

|-----|
| (1) |
| 021030004 | (2) | |
|---|---|---|
| (3) | (4) | (5) |
|-----|
| (6) / |
| (7) (8) |
| TREAS NYC/CTR / |
| (9) |
| BNF=/AC-31000001 OBI= |
|-----|
| (10) |
|-----|
    
```

Field Content

- (1) RECEIVER-DFI# - The Treasury Department's ABA number for deposit messages is 021030004.
- (2) TYPE-SUBTYPE-CD - The type and subtype code will be provided by the sending bank.
- (3) SENDER-DFI# - This number will be provided by the sending bank.
- (4) SENDER-REF# - The sixteen-character references number is inserted by the sending bank at its option.
- (5) AMOUNT - The transfer amount must be punctuated with commas and decimal point; use of the "\$" is optional. This item will be provided by the depositor.
- (6) SENDER-DFI-NAME - This information is automatically inserted by the Federal Reserve Bank.
- (7) RECEIVER-DFI-NAME - The Treasury Department's name for deposit messages is "TREAS NYC." This name should be entered by the sending bank.

Field Content

- (8) PRODUCT CODE - A product code of "CTR" for customer transfer should be the first data in the RECEIVER-TEXT field. Other values may be entered, if appropriate, using the ABA's options. A slash must be entered after the product code.
- (9) AGENCY LOCATION CODE (ALC) - THIS ITEM IS OF CRITICAL IMPORTANCE. IT MUST APPEAR ON THE FUNDS TRANSFER DEPOSIT MESSAGE IN THE PRECISE MANNER AS STATED TO ALLOW FOR THE AUTOMATED PROCESSING AND CLASSIFICATION OF THE FUNDS TRANSFER MESSAGE TO THE AGENCY LOCATION CODE OF THE APPROPRIATE AGENCY. The ALC identification sequence can, if necessary, begin on one line and end on the next line; however, the field tag "BNF=" must be on one line and cannot contain any spaces. NRC's 8-digit ALC is:

BNF=/AC-31000001
- (10) THIRD-PARTY INFORMATION - The Originator to Beneficiary information field tag "OBI=" is used to signify the beginning of the free-form third-party text. All other identifying information to enable the NRC to identify the deposit, e.g., NRC invoice number, description of fee - 10 CFR 171 annual fee, and plant name.

The optimum format for fields 7, 8, 9, and 10 using an 8-digit ALC is as follows:

TREAS NYC/CTR/BNF=/AC-31000001 OBI=

The optimum format, shown above, will allow 219 character positions of information following the "OBI=" indicator.

If the licensee's bank is not a member of the Federal Reserve System, the non-member bank must transfer the necessary information and funds to a member bank who then must transfer the information and funds to the local Federal Reserve Bank.

For transfer of funds from local Federal Reserve Bank, to be recorded on the same day, the transfer must be received at the New York Federal Reserve Bank by 4 p.m., EST. Otherwise, the deposit will be recorded on the next working day.

If there are any questions, contact:

LICENSE FEE AND DEBT COLLECTION BRANCH
U. S. NUCLEAR REGULATORY COMMISSION
TELEPHONE: (301) 492-7225