

NOTICE OF VIOLATION
AND
PROPOSED IMPOSITION OF CIVIL PENALTY

Duke Power Company
Catawba Nuclear Station
Units 1 and 2

Docket Nos. 50-413 and 40-414
License Nos. NPF-35 and NPF-52
EA 91-191

During an NRC inspection conducted on November 3 - December 10, 1991, a violation of NRC requirements was identified. In accordance with the "General Statement of Policy and Procedure for NRC Enforcement Actions," 10 CFR Part 2, Appendix C (1991), the Nuclear Regulatory Commission proposes to impose a civil penalty pursuant to Section 234 of the Atomic Energy Act of 1954, as amended (Act), 42 U.S.C. 2282, and 10 CFR 2.205. The particular violation and associated civil penalty are set forth below:

Technical Specification 6.8.1 requires that written procedures be established, implemented and maintained covering the operation of the control room area ventilation system, maintaining containment integrity, and performing surveillance tests on safety-related equipment.

Contrary to the above, procedures were not adequately implemented as evidenced by the following examples:

1. On September 13, 1991, at approximately 5:00 a.m., operators failed to follow Operations Management Procedure OMP2-1, "Tagout Removal and Restoration (R&R)," when R&R 01-2764 was implemented on breaker 1EKPG-21 as opposed to the intended breaker 1EKPG-22. This resulted in both trains of control room ventilation (VC) system being inoperable for a period of approximately one and a half hours.
2. On November 17, 1991, at approximately 4:00 a.m., an operator failed to follow procedure PT/12/A/4200/13H, "NI/NV Check Valve Movement Test," when he was aligning valves to support system testing and signed-off two valves 2NI 118A and 2NI 150B as being closed when they were actually open. This resulted in the train "A" safety injection (NI) pump experiencing runout flow on startup for the test.
3. On November 16, 1991, a non-licensed operator, when completing performance test FT/2/A/4200/02E, "Verification of Refueling Containment Integrity," verified with a sign-off that valve 2SV-66, a 2B steam generator power operated relief valve (PORV) drain line valve, was closed when it was actually open.
4. On November 18, 1991, a non-licensed operator when completing performance test PT/2/A/4200/02E, "Verification of Refueling Containment Integrity," verified with a sign-off that valve 2SM-103, a 2C steam generator outlet header drain valve, was closed when it was actually opened.

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5. On November 18, 1991, operations personnel verified that the "inside" containment isolation lineup was correct instead of the "outside" containment isolation lineup as required by the operations support worksheet.

This is a Severity Level IV violation (Supplement I).
Civil Penalty - \$15,000

Pursuant to the provisions of 10 CFR 2.201, Duke Power Company (Licensee) is hereby required to submit a written statement or explanation to the Director, Office of Enforcement, U.S. Nuclear Regulatory Commission, within 30 days of the date of this Notice of Violation and Proposed Imposition of Civil Penalty (Notice). This reply should be clearly marked as a "Reply to a Notice of Violation" and should include for each alleged violation: (1) admission or denial of the alleged violation, (2) the reasons for the violation if admitted, and if denied, the reasons why, (3) the corrective steps that have been taken and the results achieved, (4) the corrective steps that will be taken to avoid further violations, and (5) the date when full compliance will be achieved. If an adequate reply is not received within the time specified in this Notice, an order or a Demand for Information may be issued as to why the license should not be modified, suspended, or revoked or why such other action as may be proper should not be taken. Consideration may be given to extending the response time for good cause shown. Under the authority of Section 182 of the Act, 42 U.S.C. 2232, this response shall be submitted under oath or affirmation.

Within the same time as provided for the response required above under 10 CFR 2.201, the Licensee may pay the civil penalty by letter addressed to the Director, Office of Enforcement, U.S. Nuclear Regulatory Commission, with a check, draft, money order, or electronic transfer payable to the Treasurer of the United States in the amount of the civil penalty proposed above, or the cumulative amount of the civil penalties if more than one civil penalty is proposed, or may protest imposition of the civil penalty in whole or in part, by a written answer addressed to the Director, Office of Enforcement, U.S. Nuclear Regulatory Commission. Should the Licensee fail to answer within the time specified, an order imposing the civil penalty will be issued. Should the Licensee elect to file an answer in accordance with 10 CFR 2.205 protesting the civil penalty, in whole or in part, such answer should be clearly marked as an "Answer to a Notice of Violation" and may: (1) deny the violation listed in this Notice in whole or in part, (2) demonstrate extenuating circumstances, (3) show error in this Notice, or (4) show other reasons why the penalty should not be imposed. In addition to protesting the civil penalty in whole or in part, such answer may request remission or mitigation of the penalty.

In requesting mitigation of the proposed penalty, the factors addressed in Section V.B of 10 CFR Part 2, Appendix C (1991), should be addressed. Any written answer in accordance with 10 CFR 2.205 should be set forth separately from the statement or explanation in reply pursuant to 10 CFR 2.201, but may incorporate parts of the 10 CFR 2.201 reply by specific reference (e.g., citing page and paragraph numbers) to avoid repetition. The attention of the Licensee is directed to the other provisions of 10 CFR 2.205, regarding the procedure for imposing a civil penalty.

Upon failure to pay any civil penalty due which subsequently has been determined in accordance with the applicable provisions of 10 CFR 2.205, this matter may be referred to the Attorney General, and the penalty, unless compromised, remitted, or mitigated, may be collected by civil action pursuant to Section 234c of the Act, 42 U.S.C. 2282c.

The response noted above (Reply to Notice of Violation, letter with payment of civil penalty, and Answer to a Notice of Violation) should be addressed to: Director, Office of Enforcement, U.S. Nuclear Regulatory Commission, ATTN: Document Control Desk, Washington, D.C. 20555 with a copy to the Regional Administrator, U.S. Nuclear Regulatory Commission, Region II, and a copy to the NRC Resident Inspector at the facility that is the subject of this Notice.

Dated at Atlanta, Georgia
this 14th day of February 1992