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UNITED STATES OF AMERICA NUCLEAR REGULATORY COMMISSION

In the matter of:

TEXAS UTILITIES ELECTRIC COMPANY, et al

(Comanche Peak Steam Electric Station, Units 1 & 2) Docket No. 50-445 50-446

Deposition of: Perry G. Brittain

Location: Glen Rose, Texas

Pages: 48,501-48,527

Date: Wednesday, July 11, 1984

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One capy to Eric Johnson, Region IV

TAYLOE ASSOCIATES

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UNITED STATES OF AMERICA NUCLEAR REGULATORY COMMISSION BEFORE THE ATOMIC SAFETY & LICENSING BOARD

In the matter of:

TEXAS UTILITIES ELECTRIC

COMPANY, et al.

Company, et al.

Comanche Peak Steam Electric

Station, Units 1 and 2)

Glen Rose Motor Inn Glen Rose, Texas July 11, 1984

Deposition of: PERRY G. BRITTAIN

called by examination by counsel for Intervenor

taken before Mary C. Simons Court Reporter,

beginning at 11:50 a.m., pursuant to agreement.

APPEARANCES

On Behalf of the Intervenor:

LES COCHRAN, ESQ.
Barnhart, Mallia, Cochran & Luther
Attorneys and Counsellors at Law
Sixteenth Floor
806 Main Building
Houston, Texas 77002

On Behalf of the Applicants:

NICHOLAS S. REYNOLDS, ESQ. Bishop. Liberman, Cook, Purcell & Reynolds 1200 Seventeenth Street, N. W. Washington, D. C. 20036

On Behalf of the NRC:

MYRON KARMAN, ESO.
Deputy Assistant Chief Hearing Counsel
Office of the Executive Legal Director
U. S. Nuclear Regulatory Commission
Washington, D. C. 20555

48,506

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Exhibit No. 1

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PROCEEDINGS

Whereupon,

PERRY G. BRITTAIN

having been first duly sworn by the Notary Public, was examined and testified as follows:

MR. REYNOLDS: My name is Nicholas Reynolds.

I am a member of the law firm of Bishop, Liberman, Cook,

Purcell & Reynolds in Washington, D. C. I am counsel

to Texas Utilities Electric Company in this proceeding. I

appear here today in that capacity and as attorney for

Mr. Perry G. Brittain who is Chairman of the Board and Chief

Executive Officer of Texas Utilities Company.

Mr. Brittain is here voluntarily and he is not under subpoena.

When the transcripts of this deposition are available, Mr. Brittain will sign the original of his deposition on the understanding that should the executed originals not be filed with the Board within seven days after the conclusion of the deposition, a copy of either of the transcripts may be used to the same extent and effect as the original.

I would like to mark for identification as

Brittain Exhibit 1 a document which appears to be

Mr. Brittain's statement of educational and professional qualifications.

INDEX

(Brittain Deposition Exhibit No. 1

was marked for identification.)

(Mr. Brittain's statement of professional qualifications

follows:)

PERRY G. BRITTAIN

BRITTAIN EX Not

Chairman of the Board and Chief Executive of Texas Utilities Company, Texas Utilities Fuel Company, and Texas Utilities Mining Company, and Chairman of the Board of Basic Resources Inc. and Chaco Energy Company

Native Texan--from Center, Texas

Graduated from the University of Texas in 1949--BS in EE

Accepted employment with Dallas Power & Light Company immediately on graduation and worked successively as:

Junior Engineer, Operations Division, Distribution Department
Power Plant Project Engineer, Engineering Department
Staff Assistant to the Vice President of Engineering and
System Operation
Manager of Engineering
Vice President - Engineering and Purchasing

Left Dallas Power & Light Company August 31, 1972, to become an Executive Vice President of Texas Utilities Services Inc. (TUSI), then President on June 1, 1973.

Elected Executive Vice President of Texas Utilities Company and President of Texas Utilities Fuel Company (TUFCO) and Texas Utilities Generating Company (TUGCO) in November, 1974, and remained President of TUSI.

Elected President of Texas Utilities Company and Chairman of the Board and Chief Executive of TUFCO, TUGCO, and TUSI May 15, 1981.

Elected Chairman of the Board and Chief Executive of Texas Utilities Company and Chairman of the Board of Basic Resources Inc. (BRI) and Chaco Energy Company, and re-elected Chairman of the Board and Chief Executive of TUFCO and TUGCO May 20, 1983.

The Texas Utilities Company System underwent a reorganization effective January 1, 1984. Mr. Brittain remained Chairman of the Board and Chief Executive of Texas Utilities Company and TUFCO and Chairman of the Board of BRI and Chaco, and became Chairman of the Board and Chief Executive of Texas Utilities Mining Company.

Registered Professional Engineer in the State of Texas and member of IEEE, TSPE, Eta Kappa Nu and Tau Beta Pi.

Member of the Policy Committee on Governmental Affairs of the Edison Electric Institute.

Member of the Dallas Citizens Council.

Involved in several educational activities, including membership on the Board of Trustees of Technical Education Research Center in Cambridge, Massachusetts, and the College of Engineering Foundation Advisory Council for the University of Texas at Austin.

Honored by the College of Engineering-the University of Texas at Austin, with a Distinguished Graduate Award on May 22, 1976. Designated Engineer of the Year in 1977 by the Dallas Chapter of the Texas Society of Professional Engineers.

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MR. REYNOLDS: Mr. Brittain, I hand you Brittain Exhibit 1 and ask you if you recognize it?

THE WITNESS: Yes.

MR. REYNOLDS: Would you describe it, please,

sir?

THE WITNESS: It is a resume that has been prepared that would describe the various capacities in which I have served in the Texas Utility System and my educational background.

MR. REYNOLDS: Is it true and correct?

THE WITNESS: Yes, it is.

MR. REYNOLDS: And do you adopt it as part of your testimony in this deposition?

THE WITNESS: Yes.

MR. REYNOLDS: We would ask that this be received into evidence, Counsel.

MR. REYNOLDS: There is no objection.

(Brittain Deposition Exhibit

No. 1 was received into evidence.)

MR. REYNOLDS: Your witness.

EXAMINATION

BY MR. COCHRAN:

Q Mr. Brittain, just to start off, would you state your name for the record, please?

A Yes. It is Perry G. Brittain.

MR. COCHRAN: Mr. Brittain, I am Les

Cochran and I am here as an attorney on behalf of certain
intervenors in a proceeding before the Nuclear Regulatory

Commission involving Texas Utilities Company and TUGCO as

BY MR. COCHRAN:

Q Are you familiar with the Comanche Peak Generating Plant?

it relates to the Comanche Peak Generating Plant.

A Yes, I am.

Q As Chairman of the Board of Texas Utilities
Company can you just give us a very brief broad overview
of the nature of your involvement and responsibilities on
the Comanche Peak plant?

A Of course, as Chairman of Texas Utilities

Company and Chief Executive, I have broad responsibilities

for all of the physical assets of the Texas Utilities

System, including plants in operation in general facilities

and of course in facilities that are under construction.

I have been in a senior management responsibility throughout the period of the design and construction of Comanche Peak and as such have had responsibilities for that plant.

Q Understanding that as Chairman of the Board you have ultimate responsibility for everything that occurs in the company, what on a day-to-day basis or a

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week-to-week basis, whatever the proper time frame is, what information do you receive about any problems which might be occurring at the plant and how do you re 've it?

A I receive on a regular basis weekly verbal reports of the status of the project and significant events relating to the project. I receive on what I would say is an as required basis written reports of various natures.

Generally anything written that I would receive might be a summary that was a part of that weekly status report.

I participate on a bi-monthly basis, a regular bi-monthly basis, in a senior management meeting at the site which always includes visual inspection of accivities that are involved in the report and discussions with all phases of management of the project, and I make occasional visits to the site to satisfy my own interest in it, but they would only be called occasional.

Okay. From whom do you receive the weekly verbal briefing?

From Mr. Michael Spence and usually one or more of his associates. Mr. Spence is President of the Generating Company Division which he has the broad overall responsibility for.

He is President of what is sometimes known as TUGCO?

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A TUGCO, that is correct.

Q Okay. During or as a result of these information gathering sessions which you have just described to us, did you at any time learn of allegations of harassment or intimidation or job interference in the quality assurance/quality control program at Comanche Peak?

A Yes, I have been made aware of such allegations.

Q When were you first made aware of such allegations, and I am not looking for a specific date, but just generally?

A I suppose one of the first occasions was sometime subsequent to the Charles Atchison matter in terms of a specific allegation of intimidation. I think that is one of the early occasions.

Q Would this information or this occasion after the Charles Atchison matter had surfaced be the first time that you recall learning or hearing of any allegations regarding intimidation or harassment?

A Yes, these specific ones, that is probably -I can't recall -- but, yes, I would say that was the first
time.

Q There has been earlier testimony by Mr. Spence regarding his lack of knowledge of a series of reports which originated in October of 1979 which contained allegations by quality control and quality assurance inspectors

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A It was in that time frame.

Q Okay.

in the general area of harassment, intimidation and job interference. I am just going to show you a whole stack and ask you to very briefly look at it and tell me whether or not those series of reports had ever come to your attention.

THE WITNESS: I don't think that I have seen these reports. I was aware of a review in about 1979. I was made aware of a review or a discussion or interviews with our QA/QC people relative to their progress and their job activities and got the impression that we did not have a problem. I did not associate that at that point in time with the concept of intimidation and allegations, and at the time I don't believe I had seen this report.

(Pause while the witness reviews the documents.)

BY MR. COCHRAN:

Q How did you learn of this survey or series of interviews?

A I think probably during a weekly -- one of these meetings. I could not say with assurance. I just became aware of it.

Q Was it in the general time frame that the reports were generated, that is in the fall of 1979, or was it recent, after the Atchison ---

A It would have been in the context of an activity that was occurring on the plant site.

Q Do you recall who brought that to your attention?

A No, I really do not.

Q Okay.

A We started these weekly reports, and I cannot say the time frame in which they were started, but quite some years ago.

Q Surely.

A You will see from my resume that I became involved, directly involved in the project, I guess it is that first paragraph there when I left Dallas Power and Light Company to become Executive Vice President of Texas Utilities Services in 1972, and it would be in that time frame. Of course, this was prior to construction of the plant, and I could not tell you, but sometime between that point in time and the time in which my responsibilities broadened to the extent that I did not have any direct reporting relationship from the project site, and we instituted weekly status reports on that project. I am assuring that I would have become aware of the instance you are talking about.

Q Well, do you at this time have a recollection of becoming aware of them in that time frame?

A Yes.

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Okay. But you don't recall, or do you recall whether there was any follow-up on the findings or the results of that interview?

No, I do not. It is my impression that the discussions had been resolved in a satisfactory manner. I am sorry that I cannot give you any specific information.

That is fine.

After learning of the specific Atchison allegations, what has been your involvement with the resolution of those allegations or of the resolution of the problems generally relating to quality assurance?

I have not had any direct involvement in the Atchison issue, and again I could not say with certainty whether I learned of the Atchison dismissal as a part of a weekly meeting or as a part of one of the summit meetings, but my impression of that is that it was an issue relating to job incompetence, and it was sometime subsequent to that that the tying of it as an intimidation issue came into focus. Those were informational issues and I had no direct action involvement. It was informational than anything else. So, to answer your question, I would say I was informed of it.

Were you aware of the late 1983, summer and fall of 1983 investigation into allegations of quality

MR. REYNOLDS: Can you be more specific,

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it.

MR. COCHRAN: I will pull the document if you want me to.

(Pause.)

MR. COCHRAN: I am handing you a document which is dated August 19th, 1983. It has not been marked as an exhibit. It contains a title of "Report on Allegations of Coverup and Intimidation by TUGCO Dallas Quality Assurance," and I will ask you if you have ever seen that document before?

(Pause while the witness examines the document.)

THE WITNESS: I do not recall having seen

BY MR. COCHRAN:

Q Okay. Speaking to the subject matter generally related in the title, that is, a report on allegations of coverup and intimidation by TUGCO Dallas Quality Assurance, do you have knowledge relating to this investigation which is evidenced by this report?

A I would have to answer no. I have general knowledge of an investigation that was conducted, or perhaps audit would have been the term, I don't know, made by QA in that time frame, and I know that it did relate to concerns

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Q Was that a subject of your periodic reporting

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issues?

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A Yes, I am sure it was a matter discussed in

one of those as an activity that was underway.

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Q You had no input into initiating the

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investigation?

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A No, I did not.

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Q And you had no input into what the disposition of the allegations or the way the report was written or

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anything of that nature then?

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No.

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Q You would not have reviewed the report and had editorial comments on it?

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A That is right, I would not have.

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Q Do you have any activity beyond what you have already described to us in regard to the quality assurance and quality control program of TUGCO or Texas Utilities

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A Yes, I think that I would consider that I have activities relating to it. I certainly had activities,

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if you would let me go back chronologically.

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Q Certainly. I wish you would. Please explain

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them to us.

A At the point in time, as a matter of fact, I

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here.

guess we could track my resume, when I became the President of Texas Utilities Services, Texas Utilities Services had at that point in time the responsibility for engineering and construction of the Comanche Peak plant.

Of course certainly with direct management responsibilities for that activity, I was involved, and in fact had quality assurance reporting to me, and it was along in that point in time at which the QA Manual for Comanche Peak was accepted by the AEC, and I believe I am correct in that terminology. I believe it was AEC at that point in time.

MR. KARMAN: In 1972 or '73 it was.

(Laughter.)

THE WITNESS: I don't want to make a speech

MR. COCHRAN: Go right ahead.

and still feel very strongly that quality in general was a vital issue. I think we fully recognized at Comanche Peak that our entering into a nuclear activity was not a routine business for us.

I recall, and I could not give you dates or specific -- I probably could dredge up names, but I talked to many different nuclear companies who were involved in nuclear activities in examining how they structured their

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quality assurance organizations and I talked to several consultants and got their perspective on it and was consciously involved in the final approval of our Quality Assurance Manual at that point in time.

So I do feel that I have had an impact at that stage upon our QA program. In fact, I made what I think was a little bit non-traditional decision to have QA report directly to me as President of the Company as opposed to reporting within the area with either design or construction responsibilities to give it an independence of action.

I brought on board very early and about at that same stage the first operating personnel. We put in Mr. Kuykendall and five other associates. I took a lot of heat, kidding probably from the industry for bringing operating personnel on board at that early stage. This was while we were still in design and they were specifically and explicitly charged with the responsibility of bringing the perspective of operations because the quality of that plant was something they would live with for the career of that plant. Their responsibilities would extend far beyond the construction period.

I guess -- let's see, I was made President of all three of what we call our service companies, and you will see they are sort of in the second paragraph. I was

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elected Executive Vice President of Texas Utilities and President of TUFCO, TUGCO and TUSI, and that was in November of 1974. Now that was a substantial broadening of my responsibilities.

Incidentally, it was about that time that I got a construction permit for Comanche Peak, and of course the early stages of construction of Comanche Peak and QA's involvement was certainly not as heavy at that stage.

We probably had our first major construction,

I would say the major placement of concrete six months

later, or something like that, within that time frame. So

I was directly responsible and the Manger of Quality

Assurance reported to me until probably about '76, mid-'76

I would say.

BY MR. COCHRAN:

Q When you say until mid-'76, are you saying that QA stopped reporting directly to you?

A They stopped reporting directly to me and I went through a very deliberate, and if I had to characterize it, I would say a difficult decision process of where to have it report and chose to have it report again a little bit non-traditionally to TUGCO, to operations, as opposed to TUSI which had the engineering and construction responsibility, again to give it an independence of perspective and to give it an insulation from cost and

sir.

scheduling responsibilities. I believe that was a very affirmative step in establishing a pattern for the importance of quality assurance.

Incidentally, about that time from an organizational structure viewpoint we created the role of General Manager of each of those. I had some very broad responsibilities beginning about then, TUFCO with the pipeline operations, gas fuel exploration and development, TUGCO with lignite mining and the time which I could devote to direct discussions with the Manager of QA became obviously inadequate and that is when I went through the inner struggle of trying to decide do you let it report down into the construction organization and chose not to. I had it report to the Executive Vice President and General Manager of TUGCO.

And from that point in time I am afraid my direct knowledge of specific incidents and activities certainly were more limited than they had been up to that point in time.

That was a long answer about my involvement.

MR. COCHRAN: Well, thank you for your response,

BY MR. COCHRAN:

Q Then you recognized then and I presume still recognize that for a quality assurance program to be meaningful it must be insulated from both cost and

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scheduling?

A Absolutely. I felt that very strongly from the 3 beginning.

And it would be essential to make such a program operate that the inspectors also be free of any fear of intimidation or harassment?

Absolutely. I think that that is apparent, that it is implied and apparent and would assume that it was recognized as a policy of our company.

And if in day-to-day practice that policy were not followed, then it could very well negate the entire program itself, couldn't it?

A I would consider it a violation of company policy if there were intimidation, and a policy that has existed since we started the plant.

Q And if in day-to-day practice there was intimidation and harassment and job interference, it could in fact pervade the entire program, couldn't it?

A Yes. I do not believe that is the case, but I think that it was apparent and is well known throughout the management organization that that is a system policy.

Q Right. But becoming pervasive throughout the program it could result in having the appearance of a program but no substance of a program, couldn't it?

If such a practice became pervasive, yes.

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Now since mid 1976 as your responsibilities 0 have broadened, what was the source of your knowledge from that point on about the QA/QC problems?

A They would have been through the meetings and the reporting mechanisms that I described of my on-site visits and discussions with the general management of the project.

Would those meetings have involved direct interface with the QA Manger, the Site Manager?

A They have involved -- for example, our meetings at the site, which have been dubbed rather euphemistically summit meetings, and I don't know if you have heard that term before ---

Q I have heard that term before.

A We do have senior management, the highest management we can obtain from the various entities involved and they have on occasions, yes, involved the -- and I believe I say this accurately -- they would consistently have the QA Manager present.

Prior to March of 1984 was that Manager Mr. Tolson, if you recall?

A Yes. I would say March of '84. Yes, he was up until sometime recently.

Were you involved with the decision to remove him from that position?

A No, I was not.

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Q Were you given any reports about the reasons for removing him from that position?

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A No, I was not. I was told that he was being

succeeded by Mr. Vega.

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Q I see.

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A And I had no objection to that because Mr. Vega
I had known for some years and felt was very competent.

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Q Okay. You were not given any reasons or rationals

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for the change?

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A No.

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Q Are you familiar with what has been referred

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to in earlier testimony as either the eight-point program

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or the multi-point program?

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A Yes.

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Q I am not going to ask you to tell us what those points are, but can you just briefly explain for us

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your involvement in the formulation of that program?

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program. I reviewed the program. I was shown the program

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prior to its reliease in an informational sense. I guess

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as Chairman I would have the prerogative of saying change

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it, but candidly it was more informational than anything

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else.

Q I understand. Were you told why those reporting

I was not involved in the formulation of this

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to you felt it necessary to implement such a program?

A I sensed why. Of course, we had had, as you pointed out, an increase, in my awareness at least, of allegations relating to intimidation and to not reporting non-conforming. I am not convinced that those allegations are valid, and this was an effort to re-emphasize our policies that had been in existence since we started the plant.

Q Based upon the information which has come to you, do you believe that there has been any instances of harassment, intimidation or job interference?

A I do not believe that there have been any significant ones. I of course do not have knowledge of all of the individuals who have been involved, and I would concede that it is possible that an individual acted outside the limits of our policy, but I do not believe that it is a pervasive issue.

I think the people who are involved in a system and the management through the lower levels, and it has been discussed enough, recognize that we have more at stake in the quality of that plant than anyone else. The quality of that plant is vital to us. It has been stated over and over and there is zero motivation on the part of any of our management to have it otherwise.

So I do not think that it is a pervasive issue.

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Q When you say there is zero motivation, are you speaking as of today?

A I am speaking since we started and as of today.

Q Okay. Well, my original question was based upon the information which has been brought to you, do you believe there have been any instances of intimidation?

A I do not know of any instances of intimidation.

Q You don't know of any based upon your information?

A That is correct.

Q So when you say there might have been, you were merely speaking hypothetically?

A I was speaking hypothetically. I had made the statement that I don't believe there are any, and then I had to back up and say well, I can't say that there have not been any. I do not believe there have been and I still don't believe there have been any.

Q But none of the instances which have been brought to your attention have convinced you or made you believe that they were valid allegations?

A That is correct.

Q Were you shown or made aware of two memorandums relating to harassment and intimidation which have been previously identified in Mr. Spence's deposition as Spence Exhibit No. 2 and Spence Exhibit No. 6?

A Yes, I have seen those.

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Q When did you first see those two memorandums?

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A Sometime shortly subsequent to the eight-point

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program, along in that time frame, I believe. Is that

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when it is dated?

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Q Did you see -- I am sorry?

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A I was just looking at the date. I was checking

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the date of the memos.

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Q Did you see the two memorandums prior to their

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issuance?

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A No, I did not.

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Q Did you find it unusual to single out the

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issues of intimidation or harassment or threats in two

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separate memorandums to be posted on the bulletin board

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at Comanche Peak and give wide dissemination?

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A No.

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Q In any of the reporting sessions of which you

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were a part, were these memorandums discussed in the sense

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of any reasons given for singling that issue by two separate

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memorandums?

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No. I recall no discussion.

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(Pause.)

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MR. COCHRAN: I believe that is al. the

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questions I have.

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MR. KARMAN: May I ask one question?

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BY MR. KARMAN:

Q Mr. Brittain, at the time that you were the President and you changed the reporting requirements from QA directly to the President and then to the operations officer, did you at that time press upon your successor in that reporting line, the gentleman in the Operations Division, the importance that you personally felt with respect to the quality of a fine quality assurance program?

A Yes, I did, and in fact have had -- I won't try
to put a number on it because I have met quite frequently
and much more frequently in the period immediately following
that transfer of responsibilities, and that gentleman reported
directly to me and we had numerous -- we had a very, very
long soul-searching conversation conversation about precisely
that issue at the point in time because I truly feel very
strongly about that.

Q And you feel confident -- I am sorry. I didn't mean to interrupt.

A Yes, sir, I do feel confident. I have continually, I would say 50 times, I have at least reminded him that his ultimate success depended on the quality of that plant. And it is not just Comanche Peak.

Our system, and we take a great deal of pride, and I didn't invent this, I grew up in it, of having and

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being viewed as a superior performer in almost any aspect of system performance or measurement financially. I think that you would find most of the financial community would rate our system as among the top performers in the United States from a technical viewpoint.

We take great pride in the fact that our record in terms of unit availabilities and safety records are among the very top in the Nation. And it has been a philosophy of our system, and I guess that is why I feel so embedded, and I am not just making a speech for this occasion here, but I would like to make you one on safety.

We have our second lignite plant, the Monticello Plant, in about its first two years of operation had a very mediocre safety record. We had a fatality or two, and that, aside from the humanistic and the -- I don't know how to characterize this -- the stomach acid associated with knowing that there has been a tramatic incident to employees and their families, and I have visited their families, and believe me that is one of the most difficult aspects of being involved in operational management is the exposure to industrial accidents.

But aside from the humanistic aspect of it,

I can show you a direct correlation between the safety

record of our plants and their performance statistics. It

is a bottom line, pragmatic objective that I think any

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operational manager can make. If you show me a good safety record, I will show you a good operation.

So taking safety then from the very specific industrial accident to the broader context of something certainly with the exposure of a nuclear plant, I can tell you with great sincerety and honesty that, yes, it has been a very, very strong perspective of mine and I assure you that I have shared it with my subordinates.

MR. KARMAN: I believe you have answered my question.

(Laughter.)

MR. KARMAN: I have nothing further.

THE WITNESS: I am sorry.

MR. REYNOLDS: Thank you for asking the question, Mr. Karman.

MR. REYNOLDS: Any recross examination?

MR. REYNOLDS: One quick question. Who was

the individual in 1976. I can't remember whether I have asked this or not, but who was the individual in mid-1976 that QA began reporting to?

THE WITNESS: Robert Gary.

MR. COCHRAN: What was his job title?

THE WITNESS: He was Executive Vice President of the Texas Utilities Generating Company, Executive Vice President and General Manager of Texas Utilities Generating

Company, and then that role would have responsibilities for operations of these lignite plants and would have the future role of the operating staff for Comanche Peak at that time reporting to him.

MR. COCHRAN: Thank you, sir.

MR. REYNOLDS: Thank you, Mr. Brittain.

THE WITNESS: Thank you.

(Whereupon, the taking of the Deposition

of PERRY G. BRITTAIN concluded at 12:27 p.m.)

PERRY G. BRITTAIN

CERTIFICATE OF PROCEEDINGS

This is to certify that the attached proceedings before the NRC COMMISSION

In the matter of: Deposition of PERRY G. BRITTAIN

Date of Proceeding: Wednesday, July 10, 1983

Place of Proceeding: Dallas, Texas

were held as herein appears, and that this is the original transcript for the file of the Commission.

Mary C. Simons Official Reporter - Typed

Man (Omos)
Official Reporter - Signature

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