

RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) REQUEST

FOIA - 93-163

RESPONSE TYPE

FINAL PARTIAL

DATE **JUL 26 1995**

DOCKET NUMBER(S) (if applicable)

REQUESTER
David S. Rintoul

PART I. - AGENCY RECORDS RELEASED OR NOT LOCATED (See checked boxes)

No agency records subject to the request have been located.

No additional agency records subject to the request have been located.

Requested records are available through another public distribution program. See Comments section.

Agency records subject to the request that are identified in Appendix(es) _____ are already available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC.

Agency records subject to the request that are identified in Appendix(es) A are being made available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number.

The nonproprietary version of the proposal(s) that you agreed to accept in a telephone conversation with a member of my staff is now being made available for public inspection and copying at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number.

Agency records subject to the request that are identified in Appendix(es) _____ may be inspected and copied at the NRC Local Public Document Room identified in the Comments section.

Enclosed is information on how you may obtain access to and the charges for copying records located at the NRC Public Document Room, 2120 L Street, N.W., Washington, DC.

Agency records subject to the request are enclosed.

Records subject to the request have been referred to another Federal agency(ies) for review and direct response to you.

Fees

You will be billed by the NRC for fees totaling \$ 16.00

You will receive a refund from the NRC in the amount of \$ _____

In view of NRC's response to this request, no further action is being taken on appeal letter dated _____, No. _____

PART II. A - INFORMATION WITHHELD FROM PUBLIC DISCLOSURE

Certain information in the requested records is being withheld from public disclosure pursuant to the exemptions described in and for the reasons stated in Part II, B, C, and D. Any released portions of the documents for which only part of the record is being withheld are being made available for public inspection and copying in the NRC Public Document Room, 2120 L Street, N.W., Washington, DC in a folder under this FOIA number.

COMMENTS

Documents B/34, B/44, B/46 and B/64 are enclosed. The records contain information which was redacted from the copies which were placed in the NRC Public Document Room because it relates to your client personally. In a telephone conversation with Natalie Brown of my staff on July 10, 1995, you asked that these records be sent to you, and you agreed to pay the fees for duplication in excess of 100 free pages. The records total 180 pages; you will be billed in the amount of \$16.00 for duplicating 80 pages at \$.20 per page.

SIGNATURE, DIRECTOR, DIVISION OF FREEDOM OF INFORMATION AND PUBLICATIONS SERVICES

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PDR FOIA
RINTOUL93-163 PDR

RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) REQUEST
(CONTINUATION)

FOIA NUMBER(S)

FOIA - 95-163

DATE

JUL 26 1995

PART II B - APPLICABLE EXEMPTIONS

Records subject to the request that are described in the enclosed Appendix(es) B & C are being withheld in their entirety or in part under the Exemption No. (s) and for the reason(s) given below pursuant to 5 U.S.C. 552(b) and 10 CFR 9.17(a) of NRC regulations.

1. The withheld information is properly classified pursuant to Executive Order. (Exemption 1)

2. The withheld information relates solely to the internal personnel rules and procedures of NRC. (Exemption 2)

3. The withheld information is specifically exempted from public disclosure by statute indicated. (Exemption 3)

Sections 141-145 of the Atomic Energy Act, which prohibits the disclosure of Restricted Data or Formerly Restricted Data (42 U.S.C. 2161-2165).

Section 147 of the Atomic Energy Act, which prohibits the disclosure of Unclassified Safeguards Information (42 U.S.C. 2167).

4. The withheld information is a trade secret or commercial or financial information that is being withheld for the reason(s) indicated. (Exemption 4)

The information is considered to be confidential business (proprietary) information.

The information is considered to be proprietary information pursuant to 10 CFR 2.790(d)(1).

The information was submitted and received in confidence pursuant to 10 CFR 2.790(d)(2).

5. The withheld information consists of interagency or intraagency records that are not available through discovery during litigation. (Exemption 5). Applicable Privilege:

Deliberative Process. Disclosure of predecisional information would tend to inhibit the open and frank exchange of ideas essential to the deliberative process. Where records are withheld in their entirety, the facts are inextricably intertwined with the predecisional information. There also are no reasonably segregable factual portions because the release of the facts would permit an indirect inquiry into the predecisional process of the agency.

Attorney work product privilege. (Documents prepared by an attorney in contemplation of litigation.)

Attorney-client privilege. (Confidential communications between an attorney and his/her client.)

6. The withheld information is exempted from public disclosure because its disclosure would result in a clearly unwarranted invasion of personal privacy. (Exemption 6)

7. The withheld information consists of records compiled for law enforcement purposes and is being withheld for the reason(s) indicated. (Exemption 7)

Disclosure could reasonably be expected to interfere with an enforcement proceeding because it could reveal the scope, direction, and focus of enforcement efforts, and thus could possibly allow recipients to take action to shield potential wrongdoing or a violation of NRC requirements from investigators. (Exemption 7 (A))

Disclosure would constitute an unwarranted invasion of personal privacy. (Exemption 7(C))

The information consists of names of individuals and other information the disclosure of which could reasonably be expected to reveal identities of confidential sources. (Exemption 7 (D))

OTHER

PART II C - DENYING OFFICIALS

Pursuant to 10 CFR 9.25(b) and/or 9.25(c) of the U.S. Nuclear Regulatory Commission regulations, it has been determined that the information withheld is exempt from production or disclosure, and that its production or disclosure is contrary to the public interest. The persons responsible for the denial are those officials identified below as denying officials and the Director, Division of Freedom of Information and Publications Services, Office of Administration, for any denials that may be appealed to the Executive Director for Operations (EDO).

DENYING OFFICIAL	TITLE/OFFICE	RECORDS DENIED	APPELLATE OFFICIAL		
			EDO	SECRETARY	IG
Guy P. Caputo	Director, Office of Investigations	App. B and C	<input checked="" type="checkbox"/>		

PART II D - APPEAL RIGHTS

The denial by each denying official identified in Part II.C may be appealed to the Appellate Official identified there. Any such appeal must be made in writing within 30 days of receipt of this response. Appeals must be addressed, as appropriate, to the Executive Director for Operations, to the Secretary of the Commission, or to the Inspector General, U.S. Nuclear Regulatory Commission, Washington, DC 20555, and should clearly state on the envelope and in the letter that it is an "Appeal from an Initial FOIA Decision."

APPENDIX A
DOCUMENTS BEING RELEASED IN ENTIRETY AND PLACED IN THE PDR

NUMBER	DATE	DESCRIPTION
<u>EXHIBITS TO OI CASE 1-90-001</u>		
1.	12/18/89	Exhibit 1: Request for Investigation. (19 pages) [Note: A portion of this document has been referred to the Dept. of Labor for direct response]
2.	5/1/90	Exh. 2: Memo C. White to W. Kane. (2 pages)
3.	12/17/90	Exh. 3: Memo for File from E. Wilson re interview of individual. (1 page)
4.	6/28/89	Exh. 4: Letter from E. Wenzinger to E. Mroccka. (20 pages)
5.	7/24/87	Exh. 6: Nuclear Engineering and Operations Procedure 2.01. (25 pages)
6.	3/29/88	Exh. 7: Memo from R. McGuiness re completed SSH evaluation. (13 pages)
7.	3/25/88	Exh. 8: Letter from E. Mroccka to W. Russell, re Northeast Nuclear Energy Co. Millstone Report of Substantial Safety Hazard. (3 pages)
8.	Undated	Exh. 9: Memorandum of OI Review of SSH Evaluation form. (2 pages)
9.	5/12/89	Exh. 10: Facsimile lead sheet Shaffer to Sandstrom (1 Page)
10.	7/31/89	Exh. 15: LRS Note of Interview with Individual. (1 page)
11.	11/6/90	Exh. 16: LRS written conclusions on the Rosemount SSH (2 pages)

APPENDIX A
DOCUMENTS BEING PLACED IN THE PDR
(Continued)

NUMBER	DATE	DESCRIPTION
12.	8/1/90	Exh. 21: NU internal memo to Shaffer from Samek, with attachment. (5 pages)
13.	Undated	Exh. 24: LRS Report for 2 visits 5/29-6/8/89 and 7/31-8/4/89. (22 pages)
14.	2/2/90	Exh. 26: NU Grievance Panel handwritten notes. (4 pages)
15.	10/16/89	Exh. 28: Memo to file from M. Samek - SSH No. 87-01, Millstone Unit 3, Rosemount Flow Transmitters. (3 pages)
16.	10/1/90	Exh. 30: Report of Interview with Paul M. Blanch. (3 pages)
17.	1/14/92	Exh. 32: Report of Interview with Paul M. Blanch. (18 pages)
18.	5/16/90	Exh. 33: Report of Interview with William J. Raymond. (5 pages)
19.	4/7/89	Exh. 35: NU internal memo to Werner from Roby re Rosemount Pressure Transmitters Assignment NEO-580. (7 pages)
20.	4/4/89	Exh. 41: NU internal memo from Blanch to Roby re Harassment and Intimidation Related to the Rosemount Transmitter Issue. (2 pages)
21.	4/4/89	Exh. 42: NU internal memo from Roby to Blanch re Rosemount Transmitters Discussion April 3, 1989. (2 pages)

APPENDIX A
DOCUMENTS BEING PLACED IN THE PDR
(Continued)

NUMBER	DATE	DESCRIPTION
22.	4/6/89	Exh. 43: NU internal memo to File from Roby re Rosemount Transmitter Issues - A.R. Roby/P.M. Blanch Discussion - 4/4/89. (2 pages)
23.	4/12/89	Exh. 44: NU internal memo to File from Johnson re Rosemount Transmitter Issue/G. L. Johnson/Bill Raymond Telecon - 4/11/89. (1 page)
24.	11/17/89	Exh. 45: Draft memo to P. Blanch from A. Roby re Rosemount Transmitters April 3, 1989 Discussions. (1 page)
25.	5/26/88	Exh. 46: Nuclear Engineering and Operations Procedure NEO 2.10 Safeguarding Supplier Proprietary Material. (4 pages)
26.	11/27/90	Exh. 47: Report of Interview with Joseph Moore. (2 page)
27.	11/15/89	Exh. 50: Handwritten notes. (7 pages)
28.	11/15/89	Exh. 51: Handwritten notes. (4 pages)
29.	4/11/89	Exh. 53: File notes - Safety Concerns Related to Rosemount Transmitters. (5 pages)
30.	5/31/89	Exh. 55: NU internal memo from E. Mroccka to R. Werner, subject: Recent Actions Regarding Paul Blanch's Involvement in Rosemount Transmitter Issue. (2 pages)
31.	4/19/89	Exh. 57: Handwritten notes. (4 pages)
32.	5/15/89	Exh. 60: Internal NU memo from Roby re Rosemount Transmitters - Engineering Support. (1 page)

APPENDIX A
DOCUMENTS BEING PLACED IN THE PDR
(Continued)

NUMBER	DATE	DESCRIPTION
33.	3/5/90	Exh. 61: NU internal memo to C. Clement from B. Enoch subject: Berlin Involvement in Rosemount Issue - Unnecessary "Echo" Sent. (1 page)
34.	various	Exh. 65: 1989 Master Performance Rating. (7 pages)
35.	2/27/90	Exh. 66: NU memo from E. Mroczka to J. Gorski re Paul Blanch. (4 pages)
36.	various	Exh. 67: 1988 Master Performance Rating. (7 pages)
37.	various	Exh. 68: 1987 Master Performance Rating. (8 pages)
38.	various	Exh. 69: 1986 Master Performance Rating. (4 pages)
39.	various	Exh. 70: 1985 Master Performance Rating. (4 pages)
40.	10/19/90	Exh. 72: Memo to file from E. Wilson, subject: Meeting with LRS, Inc., re Case Files 1-89-014, 1-90-001, and 1-90-008. (11 pages)
41.	11/28/90	Exh. 74: Report of Interview with Norman Thomas. (2 pages)
42.	6/12/90	Exh. 75: Memo to File from R. Kacich, subject: NU Involvement in BWROG Committee - Rosemount Transmitters. (2 pages)
43.	6/30/89	Exh. 76: Handwritten note from Johnson to Mroczka. (2 pages)

APPENDIX A
DOCUMENTS BEING PLACED IN THE PDR
(Continued)

NUMBER	DATE	DESCRIPTION
44.	11/30/88	Exh. 77: Memo from P. Blanch to A. Roby, subject: Request for Permission to Provide Consulting Services to EPRI. (2 pages)
45.	11/30/88	Exh. 78: Memo from A. Roby to P. Blanch, subject: Consulting Services to EPRI Rosemount Transmitters. (1 page)
46.	Undated	Exh. 79: Nuclear Engineering and Operations Procedure NEO 2.15 Nuclear Concerns. (11 pages)
47.	undated	Exh. 80: NUP 23 - Employee Grievances and Procedures Personnel Policy and Procedures. (7 pages)
48.	undated	Exh. 81: Draft Corporate Guideline, with attachment. (8 pages)
49.	10/27/89	Exh. 82: NU draft memo to E. Mroczka from M. Marinaccio and A. Pollock, subject: Review of Alleged Time and Expense Abuse -Generation Electrical Engineering. (6 pages)
50.	10/30/89	Exh. 83: NU draft memo from M. Marinaccio and A. Pollock to E. Mroczka, subject: Investigation of Alleged Time and Expense Abuse - Generation Electrical Engineering. (5 pages)
51.	10/31/89	Exh. 84: NU memo from M. Marinaccio and A. Pollock, subject: Investigation of Alleged Time and Expense Abuse - Generation Electrical Engineering. (6 pages)
52.	11/14/89	Exh. 85: NU memo from M. Marinaccio and A. Pollock, subject: Investigation of Alleged Time and Expense Abuse - Generation Electrical Engineering. (6 pages)

APPENDIX A
DOCUMENTS BEING PLACED IN THE PDR
(Continued)

NUMBER	DATE	DESCRIPTION
53.	10/19/89	Exh. 86: NU memo from M. Marinaccio and A. Pollock to E. Mroczka, subject: Blanch/EPRI Project Investigation. (3 pages)
54.	undated	Exh. 88: Handwritten IAD notes. (2 pages)
55.	4/28/89	Exh. 89: NU memo from A. Roby to G. Johnson, subject: Meeting with N. Bhatt and A. Roby on 4/28/89. (2 pages)
56.	10/9/91	Exh. 106: Report of Interview w/ R. Linthicum (2 pages)
57.	2/27/91	Exh. 108: Report of Interview w/ Michael Whitelaw. (1 page)
58.	10/20/89	Exh. 112: Handwritten notes. (1 page)
59.	11/6/90	Exh. 114: Handwritten LRS notes of conversation w/Blanch. (1 page)
60.	undated	Exh. 115: Excerpt from a transcript of an IAD taped interview. (5 pages)
61.	9/29/89	Exh. 116: Handwritten notes. (2 pages)
62.	5/4/89	Exh. 117: NU's Resolution of Reported Possible Conflicts of Interest. (3 pages)
63.	10/11/89	Exh. 118: NU memo from G. Johnson to R. Werner, subject: Meeting with N. Bhatt on 10/6/89. (1 page)
64.	10/6/89	Exh. 119: Handwritten notes. (2 pages)

APPENDIX A
DOCUMENTS BEING PLACED IN THE PDR
(Continued)

NUMBER	DATE	DESCRIPTION
65.	undated	Exh. 120: Handwritten notes. (2 pages)
66.	11/1/89	Exh. 121: Handwritten notes. (4 pages)
67.	11/28/89	Exh. 122: Handwritten notes. (2 pages)
68.	11/28/89	Exh. 123: Handwritten notes. (2 pages)
69.	11/1/89	Exh. 124: NU Memo from A. Pollock, subject: Meetings re: GEE Draft Report. (2 pages)
70.	11/3/89	Exh. 125: NU Memo from R. Werner to P. Blanch. (2 pages)
71.	12/5/89	Exh. 126: NU memo from A. Roby to B. Illberman, subject: Disc. of Grievance 11/27/89 - Supporting Information. (1 page)
72.	undated	Exh. 127: Handwritten IAD notes of meeting with Johnson and Roby. (1 page)
73.	undated	Exh. 128: A. Roby Statement of Grievance - Grievance Hearing, 11/27/89. (2 pages)
74.	undated	Exh. 129: IAD handwritten notes. (2 pages)
75.	11/14/89	Exh. 130: Typewritten notes of Johnson. (1 page)
76.	11/14/89	Exh. 131: NU Memo from E. Mroczka to R. Werner, subject: Potential for Incorrect Information in Two Memos. (4 pages)
77.	11/21/89	Exh. 132: Handwritten note to Mroczka from Werner. (1 page)
78.	4/4/89	Exh. 137: NU memo from P. Blanch to A. Roby, subject: Harassment and Intimidation Related to the Rosemount Transmitter Issue. (2 pages)
79.	4/15/91	Exh. 145: Report of Interview with Jan Reilly. (2 pages)

APPENDIX A
DOCUMENTS BEING PLACED IN THE PDR
(Continued)

NUMBER	DATE	DESCRIPTION
80.	4/11/91	Exh. 147: Report of Interview with Robert Colley. (6 pages)
81.	11/6/90	Exh. 151: LRS handwritten notes of telephone contact with Pollock. (1 page)
82.	3/12/90	Exh. 155: Transcript of NSCP meeting with Blanch. (27 pages)
83.	5/21/90	Exh. 156: NU Letter from P. Blanch to P. Santoro. (2 pages)
84.	4/5/90	Exh. 158: NSCP Interview with IAD. (6 pages)
85.	9/13/90	Exh. 160: Handwritten statement of G. Caccavale. (2 pages)
86.	8/31/?	Exh. 161: Handwritten notes on calendar page. (1 page)
87.	3/23/90	Exh. 162: Memo from P. Santoro, subject: Internal Audit Investigation of G. Caccavale. (4 pages)
88.	8/29/91	Exh. 166: Report of Interview with G. Uhl. (3 pages)
89.	12/1/89	Exh. 167: NU memo for T. Shaffer to A. Roby, subject: Time Sheet Accountability. (1 page)
90.	11/13/89	Exh. 168: NU Memo fm Mroczka to J. Opeka. (2 pages)
91.	3/28/90	Exh. 169: LRS notes of telephone contact. (1 page)
92.	6/1/89	Exh. 171: LRS handwritten notes of interview. (4 pages)
93.	6/6/89	Exh. 172: LRS handwritten notes of interview. (2 pages)

APPENDIX A
DOCUMENTS BEING PLACED IN THE PDR
(Continued)

NUMBER	DATE	DESCRIPTION
94.	6/1/89	Exh. 173: LRS handwritten notes of interview. (1 page)
95.	4/19/89	Exh. 174: LRS handwritten notes of telephone call. (2 pages)
96.	11/6/90	Exh. 178: LRS handwritten notes of interview. (1 page)
97.	4/12/90	Exh. 180: NU memo from J. Organek to A. Roby, subject: Grievance Decision. (3 pages)
98.	6/8/89	Exh. 181: Sears handwritten notes of LRS exit meeting. (2 pages)
99.	11/6/90	Exh. 182: LRS handwritten notes of interview. (2 pages)
100.	9/29/89	Exh. 185: NU memo from E. Mroczka to A. Roby, subj: Nuclear Safety, Harassment and Intimidation. (8 pages)
101.	10/10/89	Exh. 186: NU memo from E. Mroczka to Employee A, subject: Inappropriate handling of employee. (4 pages)
102.	10/13/89	Exh. 187: NU Memo from R. Werner to E. Mroczka, subject: Inappropriate handling of employees. (1 page)
103.	10/20/89	Exh. 188: notes of meeting between Johnson and Fox. (1 page)
104.	10/16/89	Exh. 189: Inappropriate handling of employee. (12 pages)
105.	6/4/90	Exh. 190: Statement of Grievance. (1 page)
106.	1/5/89	Exh. 193: RHR handwritten notes of interview. (5 pages)
107.	undated	Exh. 201: handwritten notes. (2 pages)

APPENDIX A
DOCUMENTS BEING PLACED IN THE PDR
(Continued)

NUMBER	DATE	DESCRIPTION
108.	undated	Exh. 203: Northeast Utilities Employee Grievances General Discussion. (2 pages)
109.	10/20/89	Exh. 204: Excerpt form transcript of IAD. (1 page)
110.	10/20/89	Exh. 205: Excerpt of transcript of IAD interview. (2 pages)
111.	8/89	Exh. 206: Employee Expense Report. (1 page)
112.	11/7/89	Exh. 212: handwritten notes. (1 page)
113.	10/5/89	Exh. 213: NU memo from R. Werner to E. Mroczka, subject: LRS #2-89 Report - NEO-654. (9 pages)
114.	6/6/89	Exh. 214: LRS handwritten notes. (2 pages)
115.	12/13/91	Exh. 215: Letter from N. Reynolds and M. Gelman to E. Wilson, re SSH Evaluation No. MP3-9\87-01. (1 page)
116.	6/28/89	Exh. 216: Letter from D. Rockwell to E.Mroczka. (1 page)
117.	7/20/89	Exh. 217: NU letter from E. Mroczka to D. Rockwell. (1 page)
118.	5/10/91	Exh. 219: NU letter from R. Mansack to F. Baron. (5 pages)
119.	12/13/88	Exh. 221: NU memo from E. Mroczka, subject: Internal Control Awareness & Review Program. (1 page)

APPENDIX B
DOCUMENTS BEING RELEASED IN PART

NUMBER	DATE	DESCRIPTION
1.	8/31/92	Office of Investigations' Case No. 1-90-001: Harassment and Intimidation by Management and Licensee Attempt to Conceal Legitimate Safety Concerns. (142 pages) Portions deleted, Ex. 7(C)
2.	4/18/90	Exhibit 5: Investigative Interview. (184 pages) Portions deleted, Ex. 7(C)
3.	7/18/90	Exh. 11: Report of Interview. (12 pages) Portions deleted, Ex. 7(C)
4.	6/28/90	Exh. 12: Report of Interview. (10 pages) Portions deleted, Ex. 7(C)
5.	1/16/91	Exh. 13: Report of Interview. (3 pages) Portions deleted, Ex. 7(C)
6.	6/7/89	Exh. 14: LRS Notes of Interview with individual. (3 pages) Portions deleted, Ex. 7(C)
7.	11/1/91	Exh. 17: Report of Interview. (7 pages) Portions deleted, Ex. 7(C)
8.	11/8/91	Exh. 18: Investigative Interview. (107 pages) Portions deleted Ex. 7(C)
9.	10/30/90	Exh. 19: Investigative Interview. (55 pages) Portions deleted, Ex. 7(C)
10.	9/12/91	Exh. 20: Investigative Interview. (182 pages) Portions deleted, Ex. 7(C)
11.	10/30/90	Exh. 22: Investigative Interview. (105 pages) Portions deleted, Ex. 7(C)
12.	4/3/91	Exh. 23: Investigative Interview. (303 pages) Portions deleted, Ex. 7(C)
13.	6/16/92	Exh. 25: Investigative Interview. (83 pages) Portions deleted, Ex. 7(C)
14.	various	Exh. 27: Master Performance Rating. (7 pages) Portions deleted, Ex. 7(C)

APPENDIX B
DOCUMENTS BEING RELEASED IN PART
(Continued)

NUMBER	DATE	DESCRIPTION
15.	9/6/91	Exh. 29: Investigative Interview. (233 pages) Portions deleted, Ex. 7(C)
16.	2/27/91	Exh. 31: Report of Interview. (2 pages) Portions deleted, Ex. 7(C)
17.	9/6/91	Exh. 34: Investigative Interview. (193 pages) Portions deleted, Ex. 7(C)
18.	6/1/89	Exh. 36: LRS handwritten notes of interview. (4 pages) Portions deleted, Ex. 7(C)
19.	9/10/91	Exh. 37: Investigative Interview. (207 pages) Portions deleted, Ex. 7(C)
20.	11/8/91	Exh. 38: Investigative Interview. (52 pages) Portions deleted, Ex. 7(C)
21.	3/24/92	Exh. 39: Investigative Interview. (224 pages) Portions deleted, Ex. 7(C)
22.	4/5/89	Exh. 48: Handwritten and typewritten notes. (1 page) Portions deleted, Ex. 7(C)
23.	various	Exh 49: Handwritten notes. (3 pages) Portions deleted, Ex. 7(C)
24.	8/30/91	Exh. 52: Investigative Interview. (55 pages) Portions deleted, Ex. 7(C)
25.	6/8/90	Exh. 54: Report of Interview. (4 pages) Portions deleted, Ex. 7(C)
26.	9/12/91	Exh. 59: Investigative Interview. (153 pages) Portions deleted, Ex. 7(C)
27.	6/7/90	Exh. 62: Report of Interview. (3 pages) Portions deleted, Ex. 7(C)
28.	6/8/90	Exh. 63: Report of Interview. (4 pages) Portions deleted, Ex. 7(C)
29.	8/28/91	Exh. 64: Investigative Interview. (321 pages) Portions deleted, Ex. 7(C)

APPENDIX B
DOCUMENTS BEING RELEASED IN PART
(Continued)

NUMBER	DATE	DESCRIPTION
30.	6/8/90	Exh. 73: Report of Interview. (4 pages) Portions deleted, Ex. 7(C)
31.	2/7/91	Exh. 87: Investigative Interview. (283 pages) Portions deleted, Ex. 7(C)
32.	10/9/91	Exh. 90: Investigative Interview. (59 pages) Portions deleted, Ex. 7(C)
33.	various	Exh. 91: Handwritten notes. (6 pages) Portions deleted, Ex. 7(C)
34.	2/6/91	Exh. 94: Investigative Interview. (152 pages) Portions deleted, Ex. 7(C)
35.	3/8/91	Exh. 95: Investigative Interview. (96 pages) Portions deleted, Ex. 7(C)
36.	3/21/91	Exh. 96: Investigative Interview. (126 pages) Portions deleted, Ex. 7(C)
37.	3/21/91	Exh. 97: Investigative Interview. (78 pages) Portions deleted, Ex. 7(C)
38.	3/8/91	Exh. 102: Investigative Interview. (28 pages) Portions deleted, Ex. 7(C)
39.	4/28/92	Exh. 103: Report of Interview. (2 pages) Portions deleted, Ex. 7(C)
40.	9/26/90	Exh. 104: Report of Interview. (1 page) Portion deleted, Ex. 7(C)
41.	9/27/90	Exh. 105: Investigative Interview. (37 pages) Portions deleted, Ex. 7(C)
42.	10/18/91	Exh. 107: Handwritten sworn statement. (3 pages) Portions deleted, Ex. 7(C)
43.	5/17/90	Exh. 109: Report of Interview. (9 pages) Portions deleted, Ex. 7(C)
44.	5/17/90	Exh. 110: Report of Interview. (21 pages) Portions deleted, Ex. 7(C)

APPENDIX B
DOCUMENTS BEING RELEASED IN PART
(Continued)

NUMBER	DATE	DESCRIPTION
45.	10/24/89	Exh. 111: Handwritten notes. (2 pages) Portions deleted, Ex. 7(C)
46.	6/4/91	Exh. 113: Telephone conversation record. (1 page) Portions deleted, Ex. 7(C)
47.	9/16/91	Exh. 133: Investigative Interview. (152 pages) Portions deleted, Ex. 7(C)
48.	9/18/91	Exh. 134: Investigative Interview. (139 pages) Portions deleted, Ex. 7(C)
49.	9/14/89	Exh. 135: Memo to Files, subject: Possible Fraud. (3 pages) Portions deleted, Ex. 7(C)
50.	9/14/89	Exh. 136: Handwritten notes. (5 pages) Portions deleted, Ex. 7(C)
51.	3/24/91	Exh. 141: Report of Interview. (5 pages) Portions deleted, Ex. 7(C)
52.	4/11/91	Exh. 142: Report of Interview. (5 pages) Portions deleted, Ex. 7(C)
53.	4/15/91	Exh. 143: Report of Interview. (2 pages) Portions deleted, Ex. 7(C)
54.	4/11/91	Exh. 144: Report of Interview. (2 pages) Portions deleted, Ex. 7(C)
55.	4/15/91	Exh. 146: Report of Interview. (3 pages) Portions deleted, Ex. 7(C)
56.	4/12/91	Exh. 148: Report of Interview. (2 pages) Portions deleted, Ex. 7(C)
57.	4/15/91	Exh. 149: Typewritten Sworn Statement. (6 [ages) Portions deleted, Ex. 7(C)
58.	10/12/89	Exh. 150: NU Telephone Message Log. (1 page) Portions deleted, Ex. 7(C)
59.	1/31/91	Exh. 152: Report of Interview. (6 pages) Portions deleted, Ex. 7(C)

APPENDIX B
DOCUMENTS BEING RELEASED IN PART
(Continued)

NUMBER	DATE	DESCRIPTION
60.	2/11/91	Exh. 153: Letter from Performance Associates to NRC OI Region I. (12 pages) Portions deleted, Ex. 7(C)
61.	5/22/91	Exh. 154: Report of Interview. (3 pages) Portions deleted, Ex. 7(C)
62.	10/9/91	Exh. 157: Investigative Interview. (104 pages) Portions deleted, Ex. 7(C)
63.	9/27/90	Exh 157(a): Investigative Interview. (33 pages) Portions deleted, Ex. 7(C)
64.	9/13/90	Exh. 159: Report of Interview. (6 pages) Portions deleted, Ex. 7(C)
65.	3/4/85	Exh. 163: Handwritten note. (1 page) Portions deleted, Ex. 7(C)
66.	2/26/91	Exh. 164: Report of Interview. (2 pages) Portions deleted, Ex. 7(C)
67.	6/7/90	Exh. 165: Report of Interview. (8 pages) Portions deleted, Ex. 7(C)
68.	various	Exh. 170: LRS handwritten notes of interview. (8 pages) Portions deleted, Ex. 7(C)
69.	6/6/89	Exh. 175: LRS handwritten notes of interview. (2 pages) Portions deleted, Ex. 7(C)
70.	6/1/89	Exh. 176: LRS handwritten notes of interview. (4 pages) Portions deleted, Ex. 7(C)
71.	6/6/89	Exh. 177: LRS handwritten notes of interview. (2 pages) Portions deleted, Ex. 7(C)
72.	5/30/89	Exh. 179: LRS handwritten notes of interview. (2 pages) Portions deleted, Ex. 7(C)

APPENDIX B
DOCUMENTS BEING RELEASED IN PART
(Continued)

NUMBER	DATE	DESCRIPTION
73.	9/1/89	Exh. 183: NU memo to File. (2 pages) Portions deleted, Ex. 7(C)
74.	12/20/89	Exh. 184: NU internal memo. (5 pages) Portions deleted, Ex. 7(C)
75.	11/20/91	Exh. 191: Report of Interview. (5 pages) Portions deleted, Ex. 7(C)
76.	11/27/91	Exh. 192: Report of Interview. (4 pages) Portions deleted, Ex. 7(C)
77.	7/18/90	Exh. 202: Report of Interview. (9 pages) Portions deleted, Ex. 7(C)
78.	1/15/92	Exh. 207: Report of Interview. (2 pages) Portions deleted, Ex. 7(C)
79.	1/3/91	Exh. 208: Report of Interview: (4 pages) Portions deleted, Ex. 7(C)
80.	3/2/92	Exh. 209: Report of Interview. (22 pages) Portions deleted, Ex. 7(C)
81.	9/18/91	Exh. 210: Supplementary Statement of individual. (3 pages) Portions deleted, Ex. 7(C)
82.	8/7/91	Exh. 211: Investigative Interview. (93 pages) Portions deleted, Ex. 7(C)
83.	3/14/90	Exh. 218: NU Internal Audit Report. (7 pages) Portions deleted, Ex. 7(C)
84.	6/28/90	Exh. 220: Report of Interview. (11 pages) Portions deleted, Ex. 7(C)

APPENDIX C
DOCUMENTS BEING WITHHELD IN THEIR ENTIRETY

NUMBER	DATE	DESCRIPTION
<u>EXHIBITS TO OI CASE 1-90-001</u>		
1.	4/5/90	Exhibit 40: RHR handwritten notes of interview. (9 pages) Ex. 4
2.	2/8/90	Exh. 56: RHR handwritten notes of interview. (3 pages) Ex. 4
3.	1/8/90	Exh. 58: RHR handwritten notes of interview. (4 pages) Ex. 6
4.	1/31/90	Exh. 71: Settlement Agreement. (16 pages) Ex. 4
5.	12/13/90	Exh. 92: Confidentiality agreement and transcript of investigative interview. Ex. 7(D)
6.	various	Exh. 93: DOL typewritten notes of oral interview of confidential sources and of a formal interview. (2 pages) Ex. 7(D)
7.	9/26/90	Exh. 98: Confidentiality agreement and transcript of investigative interview. Ex. 7(D)
8.	10/13/89	Exh. 99: Handwritten IAD notes of meeting with confidential source. (1 page) Ex. 7(D)
9.	9/18/89	Exh. 100: Transcript of interview with confidential source. Ex. 7(D)
10.	10/2/89	Exh. 101: Transcript of interview with confidential source. Ex. 7(D)
11.	undated	Exh. 194: RHR handwritten notes of interviews. (8 pages) Ex. 4
12.	various	Exh. 195: RHR handwritten notes of interviews. (10 pages) Ex. 4
13.	1/4/90	Exh. 196: RHR handwritten notes of interview. (5 pages) Ex. 4
14.	various	Exh. 197: RHR handwritten notes of interviews. (10 pages) Ex. 4

APPENDIX C
DOCUMENTS BEING WITHHELD IN THEIR ENTIRETY
(Continued)

NUMBER	DATE	DESCRIPTION
15.	various	Exh. 198: RHR handwritten notes of interviews. (16 pages) Ex. 4
16.	various	Exh. 199: RHR handwritten notes of interviews. (8 pages) Ex. 4
17.	undated	Exh. 200: RHR typewritten and handwritten notes of NU Findings. (17 pages) Ex. 4