A-116

SPC EXH. DOCKETED 116
USNRC

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OFFICE OF SECRETARY DOCKETING & SERVICE BRANCH

1 2 Transcript of excerpt of Audiotape No. 10 172, transcribed by Maribeth J. Williams, Certified 11 Court Reporter and Notary Public. 12 13 14 15 16 17 18 19 20 21 22

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HY COMMISSION GEL
EXHIBIT NO. TI -116
al., Vogtle Units 1 & 2
Other
ted Reporter KHW
Tusbough

1	(LINES 1 - S DELETED)
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4	
5	[APPROXIMATELY 1/5 THROUGH SIDE A]
6	
7	A.L. MOSBAUGH: Let me discuss what I
8	think is the technical issue with respect to
9	memorandums is that the information about the start
10	and failures of the 1A and 1B diesel generators.
11	VOICES: (Inaudible) IIT (inaudible)
12	failures all the way through?
13	A.L. MOSBAUGH: Failures between the 20th
14	of March and now.
15	G. BOCKHOLD: This is a concern, and we
16	do have the problem of associated with the counting,
17	what was meant by that. And we have people working
18	on it. Are there other concerns there, that you want
19	to you know, you had identified that to me and I
20	basically gave it back to you to talk to people. And
21	as far as I was concerned you were supposed to
22	prepare a submittal to correct the count number in
23	the LER and the letter.
24	A.L. MOSBAUGH: I'm at a point now where
25	I don't know if this is a technical in-

- 1 management issue.
- G. BOCKHOLD: Well, you say you have a
- 3 technical concern about the starts and failures of
- 4 the A and B diesel. What is the concern?
- 5 A.L. MOSBAUGH: The technical concern
- 6 is that I've seen additional failures.
- 7 G. BOCKHOLD: (Inaudible.)
- 8 A.L. MOSBAUGH: (Inaudible.) I would but
- 9 I have a management concern. (Inaudible)

(Pause)

- G. BOCKHOLD: The technical concerns
- 11 about -- you know, it did experience additional
- 12 failures that were documented and are documented
- 13 (inaudible) Are you still concerned about engine
- 14 operability?
- A.L. MOSBAUGH: (Inaudible.)
- G. BOCKHOLD: I guess by question again
- 17 is (inaudible) the technical concerns (inaudible).
- 18 A.L. MOSBAUGH: I think the technical
- 19 concern is . . . we have taken steps to
- 20 improve that reliability by various actions we have
- 21 taken. I acknowledge that.
- G. BOCKHOLD: So you think it's reliable
- 23 now; but it maybe wasn't reliable a month ago. Is
- 24 what you're saying?
- A.L. MOSBAUGH: Yeah, I think that -- I

- 1 can't. You know, I'm a little bit out of the
- 2 picture, George, as far as, you know, what exactly
- 3 the status of what all the work is, you know, at the
- 4 moment, you know, as far as changing out switches
- 5 and the Part 21s on the switches and the Part 21s on
- 6 the air solenoids and those things, to may exactly
- 7 what we have out there now, you know. But there were
- 8 certainly periods before we took those actions
- 9 in which those conditions persisted.
- G. BOCKHOLD: (Inaudible.)
- JOHN ROGGE: (Inaudible.)
- G. BOCKHOLD: (Inaudible.) history of
- 13 failures (inaudible).
- A.L. MOSBAUGH: You know, with respect to
- 15 the management issues, George, why haven't we sent
- 16 in the revised LER yet?
- G. BOCKHOLD: The answer to that is,
- 18 we're revising the LER report and proceeding on
- 19 gathering the information about all the failures and
- 20 what we've done. We revised it with those
- 21 numbers (inaudible) it not be appropriate. I believe
- 22 that John has advised the [NRC] Resident, but I'm not sure
- (inaudible) in the past about the numbers in the LER (inaudible).
- 24 Okay.
- 25 (Inaudible.)

1	(Pause in tape.) [SIDE B, APPROXIMATELY 15% THROUGH TAPE]
2	A.L. MOSBAUGH: I came back to discuss
3	경기 (1) [2] [2] [4] [4] [4] [4] [4] [4] [4] [4] [4] [4
4	
5	
6	A.L. MOSBAUGH: I guess maybe I'm not
7	supposed to discuss it with you, either; but that's
8	if it's a management issue, I don't know how the
9	
	let me say, I'm not discussing it with you, I'm
10	going to clarify what I meant when I discussed two
11	technical issues. But and you may not have been
12	involved on all the history on this, and that's why
13	I'm trying to bring you up to date.
14	JOHN ROGGE: Yeah. And I also want to
15	see what the final resolution is. Okay.
16	A.L. MOSBAUGH: Yeah. The air quality
17	one.
18	JOHN ROGGE: Uh-huh.
19	A.L. MOSBAUGH: In addition to the
20	technical aspect, it is my belief that information
21	provided to the NRC was materially false. With
22	respect to the start diesel starts information,
23	it is my belief that the information provided to the
24	NRC was materially false.
25	JOHN ROGGE: Okay. Do you bolieve it was

- 1 willful? Or just information that is material and
- 2 is false?
- A.L. MOSBAUGH: It's material, it's
- 4 false, it's significant to the regulatory process.
- 5 And an interpretation of willful can only be made by
- 6 somebody that really has completed an investigation
- 7 and fully understands what somebody's intent was
- 8 when they did something. I believe that some -- I
- 9 believe that in some of the cases, the information
- 10 is false due to carelessness, and I'll even say
- 11 careless disregard. And I believe that when I read
- 12 Section 2, which discusses this, it talks about
- 13 things that are clerical errors or mistakes or
- 14 oversights, and then it talks about a category
- 15 that's careless disregard, and kind of is a mistake
- 16 and an oversight; but it's a fairly gross mistake or
- 17 oversight, you know, by somebody that should have
- 18 known, taken more time, you know, knew better.
- JOHN ROGGE: Yeah. I'm not -- I'm not --
- 20 using lawyer terms, careless disregard and stuff.
- A.L. MOSBAUGH: Yeah.
- JOHN ROGGE: And you're right, when it
- 23 comes down to it, words that, if saying them
- 24 could be determined not careless disregard, because
- 25 it's lawyers that will finally decide if it's

- 1 careless disregard, it's whatever their criteria
- 2 is. The basics I ever got out of it is that one, it
- 3 would have to be careless, meaning the person knows
- 4 better, knows what is better --
- 5 A.L. MOSBAUGH: Knows how to do the job,
- 6 whatever the job is.
- JOHN ROGGE: -- and disregards that.
- 8 A.L. MOSBAUGH: So that becomes willful.
- JOHN ROGGE: Excuse me just a minute.
- 10 Come in.
- J.G. AUFDENKAMPE (JGA): (Inaudible) I
- 12 wanted to ask you, IIT report.
- JOHN ROGGE: Yes.
- J.G. AUFDENKAMPE: Do you have a tracking
- 15 system of all the commitments that you made to the team?
- JOHN ROGGE: We didn't make (inaudible) the team
- 17 -- you've been involved with it.. . .

(LINES 18 - 25 DELETED)

	[LINES A - 2 DELETED]
2	[APPROXIMATELY 30% THROUGH SIDE B]
3	J.G. AUFDENKAMPE: A separate issue. I
4	
5	
6	incorrect statement in that LER associated with
7	diesel starts and to pass that on to Brockman. And
8	we are revising the LER; but we decided to revise
9	the entire LER.
10	(Inaudible.)
7.1	VOICE: It will probably be (inaudible).
12	J.G. AUFDENKAMPE: We got the revision up
13	to corporate about three weeks ago.
14	(Inaudible) we are going to (inaudible).
15	JOHN ROGGE: When do you think it's going
16	to come out?
17	J.G. AUFDENKAMPE: I imagine that it will
18	go to the PRB this Thursday and it will be out Go.
19	knows when after that because it has to go to
20	corporate.
21	JOHN ROGGE: It's not up there now?
22	(Inaudible.)
23	J.G. AUFDENKAMPE: Oh, it was.
24	JOHN ROGGE: How long's it been up
25	there?

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J.G. AUFDENKAMPE: Just four weeks for a
  1
      complete rewrite.
  2
                 (Inaudible) four weeks ago (inaudible).
  3
                 J.G. AUFDENKAMPE: That will go out of
  5
      the PRB on Thursday.
  6
      I will keep you
      informed as to the progress of it.
                JOHN ROGGE: I appreciate that.
                A.L. MOSBAUGH: You may want to provide a
  9
      copy (inaudible).
 10
                J.G. AUFDENKAMPE: Are you leaving --
11
12
                (Inaudible.)
13
                JOHN ROGGE: Don't ask that.
14
     (Inauditie.)
15
                JOHN ROGGE: They have your number.
                [DOOR CLOSES]
16
                A.L. MOSBAUGH: Independent
17
     confirmation.
18
                JOHN ROGGE: Why would John be working on
     that LER now?
19
              A.L. MOSBAUGH: Okay. Obviously George Bockhold
20
21
     just called him.
22
               JOHN ROGGE: (Laughter.) Are you sure
    you're not an inspector for the (inaudible).
23
              A.L. MOSBAUGH: I think I could be, but -- where
24
    was I -- oh, I was discussing careless disregard and
25
```

- 1 my understanding.
- JOHN ROGGE: (Inaudible) careless
- disregard, air quality.
- A.L. MOSBAUGH: Well, okay, okay. Now,
- 5 let me -- there is more than one document that's
- 6 false.
- JOHN ROGGE: Okay. What are they?
- 8 A.L. MOSBAUGH: John's working on the
- 9 LER.
- JOHN ROGGE: Okay, now that -- that is an
- 11 LER.
- 12 A.L. MOSBAUGH: Site area emergency LER.
- JOHN ROGGE: It's trying to describe the
- 14 site area emergency.
- A.L. MOSBAUGH: It described that. It
- 16 was sent in. It went in. Thirty days after the
- 17 site area emergency, it went in, okay. It was eight
- 18 pages long and it had false information about the
- 19 diesel starts.
- JOHN ROGGE: What was that -- what was
- 21 it saying on the diesel starts, that there had
- 22 never been a problem on the diesels, that kind of
- 23 thing or --?
- A.L. MOSBAUGH: The words in the LER says
- 25 "the engine was subjected to a comprehensive control

- 1 logic test program. Subsequent to that test
- 2 program, the diesel generators, A and B, have been
- 3 s arted at least 18 times each without problems or
- 4 failures. " Those are the kinds of words in there.
- JOHN ROGGE: I remember that somewhere.
- 6 And what is false about that, that there were
- 7 failures?
- 8 A.L. MOSBAUGH: Either -- I've got to get
- 9 my right document, because the different documents
- 10 worded it differently. For that one, what is false
- 11 is the numbers. I believe the correct numbers are
- 12 -- well, we submitted the revision that corrected
- 13 the numbers, it corrected two things. It corrected
- 14 the numbers; but then it also extended it another
- 15 month or so, okay. It went -- it said "through this
- 16 date there have been X and X successful starts
- 17 without problems or failures."
- JOHN ROGGE: What you are saying is they
- 19 picked up a few more numbers?
- A.L. MOSBAUGH: Right.
- JOHN ROGGE: To make it look like --
- A.L. MOSBAUGH: I believe -- I believe
- 23 that if I were to correct the report as to numbers,
- 24 as to the date it was originally submitted instead
- 25 of 18, it would be 11 each. The rev that we sent up

- 1 there [to corporate] says 14 and 15, but that's to a
- 2 different date, which gives us about three or four
- 3 more weeks of starts, which we were doing weekly.
- 4 JOHN ROGGE: Okay.
- 5 A.L. MOSBAUGH: That's my issue with
- 6 those, okay. And, you know, that didn't come out in
- 7 that meeting, per se. Ceorge says, yeah, I know
- 8 there were problems with numbers and so forth, okay;
- 9 but --
- JOHN ROGGE: There were lot of problems
- 11 going on.
- 12 A.L. MCSBAUGH: He understands the
- 13 issue. They all understand the issues. They all
- 14 know words materially false have been used, you
- 15 know.
- JOHN ROGGE: With him or by you?
- 17 A.L. MOSBAUGH: By me and others in
- 18 conversations with them. You know, it's not like
- 19 nobody's put it in that context, okay. And you got
- 20 those two different issues. And again my management
- 21 issue is I believe information is false; and John
- 22 told you how long they have been sitting on it.
- JOHN ROGGE: That's one document.
- A.L. MOSBAUGH: Yeah.
- JOHN ROGGE: What was the other one.

- 1 A.L. MOSBAUGH: Verbal presentation by
- 2 George Bockhold at the Region with handouts. With handouts.
- JOHN ROGGE: And that had to do with the
- 4 same thing?
- 5 A.L. MOSBAUGH: (Inaudible) it's
- 6 similar. Again, they're phrased different ways.
- JOHN ROGGE: I've always noticed you guys
- 8 have phrased everything different ways every time
- 9 you submit another.
- 10 A.L. MOSBAUGH: And the response to the
- 11 confirmation of action letter.

(PAUSE)

- JOHN ROCGE: Okay (PAUSE) Now, making material,
- 13 obviously false statements using material we have to
- 14 rely on (inaudible) are making a decision based
- 15 solely on that information and you feel that the
- 16 difference between 11 and 18 would have changed that
- 17 decision?
- 18 A.L. MOSBAUGH: That's two separate
- 19 issues and --
- JOHN ROGGE: Well, I'm saying do you come
- 21 to the correct answer with one information and ...
- A.L. MOSBAUGH: The COA -- the purpose of
- 23 the COA response, okay, was to get permission to
- 24 releas the hold on criticality.
- JOHN ROGGE: Uh-huh.

- A.L. MOSBAUGH: Okay. So a decision was
- 2 being made based on information that was provided.
- 3 The essence of the issue was how reliable are --
- 4 JOHN ROGGE: (Inaudible.)
- 5 A.L. MOSBAUGH: So the essence of the
- 6 issue was how reliable are these machines; and
- 7 should we let you go critical, okay. If I go back
- 8 to some -- if I try to now say how many starts is
- 9 enough starts, okay, and I go back to a regulatory
- 10 basis -- regulatory basis wants 95 percent
- 11 reliability on diesels, I think. You know, that's
- 12 related to how many consecutive starts you have to
- 13 demonstrate in preop and how many you have to do
- 14 before you go to increased test frequencies; and I
- 15 think the 95 number is used someplace. That would
- 16 be 20 without a failure, okay. 18 or 19 is close to
- 17 20 without a failure, but 11 isn't. You know,
- 18 somebody from NRR needs to, you know, say what
- 19 reliability is.
- JOHN ROGGE: I wonder if there was
- 21 somebody there during the meeting (laughter) that
- 22 could say what the reliability was.
- A.L. MOSBAUGH: Yeah, those would be
- 24 arguments that I would say that says, hey, this was
- 25 related to a decision, the decision relates -- the

- 1 whole accident occurred, you know, the seriousness
- 2 of the accident was because the machine didn't
- 3 start, so the machine's at the center of this; its
- 4 starting reliability is at the center of this; and
- 5 these numbers are supporting its starting
- 6 reliability.
- 7 JOHN ROGGE: (Inaudible.)
- 8 A.L. MOSBAUGH: In addition, the
- 9 statements are wrong in different ways depending on
- 10 which letter, okay. The errors -- the errors in the
- 11 COA response are more along the lines of there were
- 12 failures, you know. That's a hard line to explain
- 13 to you. I felt that the flavor and perspective I
- 14 got from the COA response was that there had been
- 15 all these starts without any problems; and what
- 16 seemed to conflict with the basis of those
- 17 statements was that, no, there were problems, not so
- 18 much that the numbers weren't there, but you could
- 19 make a numbers -- when you put X starts without
- 20 failures or problems, you can either concentrate on
- 21 failures or problems or you can concentrate on the
- 22 numbers free of, you know, failures or problems,
- 23 okay; and the COA, I felt, was misleading with
- 24 respect to -- a little with respect to not revealing
- 25 all the problems. The LER was more incorrect in

- 1 that it just didn't count all the starts right since
- 2 the comprehensive test program. And I don't know
- 3 what was said at the Vogtle presentation, all I know
- 4 was what I saw on the overheads.
- 5 JOHN ROGGE: (Inaudible) overheads.
- 6 A.L. MOSBAUGH: I don't know what was
- 7 said.
- 8 JOHN ROGGE: Did you see the overheads
- 9 before the meeting or did you see a meeting notice
- 10 after that involved the overheads which were sent
- 11 back?
- 12 A.L. MOSBAUGH: I only saw the overheads
- 13 after they had occurred.
- JOHN ROGGE: Okay. And do you know that
- 15 they were shown at the meeting (inaudible)?
- A.L. MOSBAUGH: Yeah. Well, yes, yes. I
- 17 think George said, "these are the overheads I showed
- 18 or I used at this presentation, " that's what he
- 19 said. You know, whether he forgot one (inaudible) and
- 20 he didn't reveal it that could have been it.
- But there was (inaudible).
- JOHN ROGGE: Sometimes they change them
- 23 out. Sometimes people will have extra overheads and
- 24 they are waiting for the question and if the
- 25 question doesn't occur they got right past it.

- A.L. MOSBAUGH: Anyway, you know,
- 2 we raised it -- we brought those issues up with
- 3 George. You know, and I did not feel that you
- 4 probably understood the background in back of that.
- JOHN ROGGE: No.
- A.L. MOSBAUGH: Problems with starts was
- 7 what was mentioned over there; and I wanted you to
- 8 understand that there was more depth to those words
- 9 than just that.
- JOHN ROGGE: Yes, I was not a party to
- 11 that. (Pause) Sounds to me like we may have a problem with
- 12 that the information that was provided in the LER
- 13 what was being corrected, not that what may have been
- 14 provided was with careless disregard (inaudible).
- 15 What is the nature of the carelessness or disregard you
- 16 mentioned? Can you talk in general about the
- 17 looseness in which the LER might have been handled
- 18 or (inaudible).
- A.L. MOSBAUGH: I think that, you know,
- 20 when --
- JOHN ROGGE: (Inaudible.)
- A.L. MOSBAUGH: There are aspects --
- 23 there are different aspects of the carelessness or
- 24 whatever, depending on which document and which
- 25 rev. and which one you're talking about; and I'm not

- 1 going to go into which one applies to which one.
- 2 But, you know, the way I view it is that counting
- 3 starts or counting starts with problems or not
- 4 problems, okay, should be a fairly simple job,
- 5 okay. And if you put a fairly high, technically
- 6 capable person to do that, okay, and he comes up
- 7 with fairly bad information, you know, not, he
- 8 didn't, you know, forget to dot an "i" and cross a
- 9 "t" but, you know, he counted 18 instead of 11 or
- 10 some of the starts that were counted were starts
- 11 when the diesel actually tripped, you know, or
- 12 something like that, then I think that's fairly
- 13 gross, okay. I think that level, to me -- and I'm
- 14 not the lawyer -- but to me --
- JOHN ROGGE: Okay.
- A.L. MOSBAUGH: -- miscounting of that
- 17 nature, I think, constitutes careless disregard
- 18 rather than making a clerical or typographical
- 19 error. And I think the other --
- JOHN ROGGE: Such as transposing a
- 21 number.
- A.L. MOSBAUGH: Such as transposing or
- 23 whatever; and the other difference being who it was being done
- 24 by. Whether it was an error made by the clerk, you
- 25 know, or was this made by, you know, some team

- 1 member, engineer, or operations person.
- JOHN ROGGE: Intelligent person.
- 3 A.L. MOSBAUGH: Okay. I think that
- 4 throws it over into the arena of careless
- 5 disregard. Now, you started off asking about
- 6 willful. I'd have to know what somebody's intent
- 7 was to know about willful, because willful means
- 8 that --
- JOHN ROGGE: Oh, I know when you talk to
- 10 lawyers (inaudible) and discovery process to get into
- 11 that.
- 12 A.L. MOSBAUGH: Yes, that's right.
- JOHN ROGGE: Not the opinion of the
- 14 (inaudible) is very clear.
- 15 A.L. MOSBAUGH: Right.
- JOHN ROGGE: I --
- 17 A.L. MOSBAUGH: Let me say that based on
- 18 the evolution that occurred in the development and
- 19 the correction of this information, you could feel
- 20 there was enough knowledge and pre-knowledge or
- 21 slowness in the correction of such information to
- 22 get into the willful arena. And I think again that
- 23 could only be confirmed with much more specific
- 24 information, a review of time sequence, and specific
- 25 documents, and potentially an investigatory process

- 1 would be required to draw those conclusions; but I
- 2 think that's a distinct possibility.
- JOHN ROGGE: Was there any gain that
- 4 resulted as a result of the correction being
- 5 slowed?
- A.L. MOSBAUGH: Probably.
- JOHN ROGGE: What kind of gain are we
- 8 talking about, what would that have been?
- 9 A.L. MOSBAUGH: When you say slowed, you
- 10 mean slowing in corrections?
- JOHN ROGGE: Well, you were saying an
- 12 error was made or whatever, it's highlighted as an
- 13 error and now we have people that are knowledgeable
- 14 that the error going on and now we are working real
- 15 slow. We don't want the correct information to
- 16 reach certain parties by a certain date or before
- 17 the unit going critical, whichever occurred after.
- 18 And that's what I'm asking. Is there some key event
- 19 tied with (inaudible)?
- A.L. MOSBAUGH: That may be true, yeah --
- 21 presentation to the Commissioners.
- JOHN ROGGE: As a toss-up, or . . . you don't
- 23 any knowledge, you're just speculating; (inaudible)
- 24 is what your saying that would be a key event?
- 25 A.L. MOSBAUGH: I'd say that one's highly

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1
      likely.
                 JOHN ROGGE: Well, highly likely; but you
   2
  3 haven't said yes. With purpose.
                 You don't recall what fact gathering went
      into the numbers (inaudible).
  5
                 A.L. MOSBAUGH: (Inaudible) the IIT; but
  6
      I don't recall reading it.
  7
                 JOHN ROGGE: All right. Anything else?
  8
                A.L. MOSBAUGH: No, just wanted to know
 9
     -- just wanted you to know what those two memos
 10
 11
     were all about.
12
13
14
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