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UNITED STATES OF AMERICA NUCLEAR REGULATORY COMMISSION

In the matter of:

TEXAS UTILITIES ELECTRIC COMPANY, et al

(Comanche Peak Steam Electric Station, Units 1 & 2)

Docket No. 50-445-2 50-446-2

Deposition of: James E. Cummins

Location: Glen Rose, Texas

Pages: 54,000 - 54,075

Date: Tuesday, July 17, 1984

Date: Tues

Original to 3. Pleasant

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UNITED STATES OF AMERICA NUCLEAR REGULATORY COMMISSION

BEFORE THE ATOMIC SAFETY & LICENSING BOARD

> Glen Rose Motor Inn Glen Rose, Texas July 17, 1984

Deposition of: JAMES E. CUMMINS,

called for examination by counsel for Intervenors,

taken before J. F. Coughlin, Court Reporter,

beginning at 9:12 a.m., pursuant to agreement.

mgc-2 APPEARANCES: 2 For the Applicant Texas Utilities Electric Company, et. al. 3 RICHARD C. BROWNE, ESQUIRE Bishop, Liberman, Cook, Purcell & Reynolds 1200 Seventeenth Street, Northwest 5 Washington, D.C. 20036 For the NRC Staff: 7 RICHARD G. BACHMANN, ESQUIRE Office of the Executive Legal Director 8 U.S. Nuclear Regulatory Commission Washington, D.C. 20555 For the Intervenor Citizens Association for Sound 10 Energy: 11 ANTHONY Z. ROISMAN, ESQUIRE Executive Director 12 Trial Lawyers for Public Justice, P.C. 2000 P Street, Northwest, Suite 611 13 Washington, D.C. 20036 14 15 16 17 18 19 21 22 23 24 25

INDEX 2 3 WITNESS: EXAMINATION BY: 4 JAMES E. CUMMINS 54,005 MR. ROISMAN: MR. BROWNE: 54,056 5 MP. BACHMANN: 54,069 MR. ROISMAN: 54,074 7 EXHIBITS 9 Intervenors' Exhibits Nos. 1-4 Page 54,045 10 (attached). 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25

mgc-3

PROCEEDINGS

Whereupon,

JAMES E. CUMMINS

was called as a witness and, having been first duly sworn,
was examined and testified as follows:

MR. BACHMANN: Before we begin the questioning Mr. Cummins. the Staff would like to enter into the record a preliminary statement:

Mr. James Cummins is appearing today in this evidentiary deposition purusant to 10 CFR Section 2.720(h)(2)

(i). Mr. Cummins was identified as the current NRC

Resident Inspector in CASE's June 27, 1984 letter to

Leonard W. Belter, counsel for the Applicants in this proceeding.

Mr. Cummins for this evidentiary deposition. The scope of this deposition as established by the Atomic Safety and Licensing Board is limited to the taking of evidence and the making of discovery on harassment, intimidation or threatening of Quality Assurance/Quality Control personnel. With one exception, Mr. Henry Stiner, allegations regarding harassment or intimidation of craft personnel have been specifically ruled by the Board to be beyond the scope of this proceeding.

In its June 27, 1984 letter, CASE identified

the incidents and subject matters which it may wish to examine Mr. Cummins about. The NRC Staff does not agree with CASE that all of these incidents and subject matters are proper areas for examination in this proceeding. The Staff has previously indicated objections to the relevancy of some of the subject matters and reiterates that the QA/QC contention admitted by the Licensing Board relates to Part 50, Appendix B -- relates to whether or not Applicants have complied with the requirements of 10 CFR Part 50, Appendix B in the design and construction of the Comanche Peak Steam Electric Station.

More specifically with regard to the allegations concerning intimidatio-n, the issue is whether there have been any incidents or actions or statements by Applicants and their subcontractors which have caused QC inspectors of other personnel within the Applicant's QA/QC organization to fail to comply with the written provisions of the Applicants' QA/QC program and whether such incidents or actions became known to the Applicants' management. It is the NRC Staff's position that the NRC Staff's response to allegations of intimidation or harassment of QA/QC personnel at CPSES is outside the scope of the issues in this proceeding.

That is the end of the Staff's preliminary

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            statement.
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                              EXAMINATION
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                          BY MR. ROISMAN:
                         Mr. Cummins, when did you first become
             associated with the NRC's responsibility at the Comanche
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             Peak site?
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               A January of 1984. I was appointed down
             there January the 8th, 1984. I had gone on the site the
             next week.
                         So on the 15th or around that time?
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             0
                A Around that date. I don't remember the
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       12
             exact date.
                Q Had you had any previous involvement with
       14
             Comanche Peak at all in any other capacity?
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                 A No, sir. I had been on site once before.
             It was the first week of January. I came down here one
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             day.
                        What was the position that you held at that
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       19
             time when you came on the site?
                     My present position?
             A
                         Well, is it different than the one you
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             started?
                     No. My position at Comanche Peak is
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             Senior Resident Inspector of Construction.
               Q Is there anybody else from the NRC who is
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on the site who is your supervisor?

A Since I've been down here, Doyle Hunnicutt has been on the site quite frequently. He's my Section Chief. He is not assigned to the site. This is true.

Q Are you the highest NRC official who is assigned to the site?

A On the construction side, we have operations inspectors. Dennis Kelley is the Senior Resident
Inspector for Operations. Ward Smith is the Resident
Reactor Inspector for Operations. Dennis Kelley is my counterpart in operations, and presently there is another construction Senior Resident Inspector, Shannon Phillips.

Q Shannon or Channon?

A Shannon, S H A N N O N (spelling). He carries the same title I do. He's the Senior Resident Inspector of contruction.

Q Is one of you the boss of the other, or --

A We've never been -- he's just been here three weeks. Right now we're working in parallel. We both have duties -- I kind of lead the show right now.

Q Okay.

A But we also have -- Doyle Hunnicutt is still on site quite frequently right now, and he's out boss.

Q Okay. And he is -- Hunnicutt is based where?

A In Arlington, Texas.

0 At Region IV? Yes, sir. A 3 In addition to yourself and the Resident Inspector for Operations, Resident Inspector for Reactor, 5 are there other employees, other NRC people, who are resident on the site during the period of time from January through, say, the end of May, or were you the only resident people? Bob Taylor was here when I arrived, and he 10 didn't leave the site -- I don't know exactly when he left 11 the site, but he was here for a couple weeks after I arrived. 12 Q Okay. And other than that? 13 A Not to my knowledge. 14 So that you don't have a group of inspectors 0 15 or other people below your level who work for you and 16 who are, like yourself, resident at the site. 17 A No, sir. 18 Now if I refer to something called the 0 19 T-shirt incident, do you know what I'm referring to? 20 A I certainly do. 21 0 Okay. I'm going to ask you mostly questions, 22 if not all questions, about that incident, so if we both 23 understand what we're talking about, I'll just call it 24 the T-shirt incident. If it gets confusing to you, please 25 stop me and say, "Wait. Now I'm not sure what you're

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talking about," okay?

A (Nodding affirmatively.)

Q Were you on site the day of the T-shirt incident?

A I was.

Q Okay. Can you tell me your recollection of the events that day that you personally observed or that you personally heard?

A The T-shirt incident started for me -- I was in Dennis Kelley's office. That's the Operations Senior Resident Inspector. His office is on the opposite side of the site from mine. And we received a phone call. I answered the phone, I think, and it was a -- somebody that was -- started telling us that the Brown & Root Security and -- this is from memory, so I'm not sure exactly what was said.

Q Okay.

A I'll do my best.

They said somebody was going through their files and desks, and I think at that time they said that they had had some of the QC inspectors in Tolson's office.

And Kelley got on the phone -- I'm not sure when he got on the phone, another extension, and listened in on the conversation. And the individual that called requested that NRC send a representative or somebody from

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NRC come to the safeguards building.

Q Okay, and the person that called, did that person identify themselves?

A No, they didn't.

Q Did they give you anything on the telephone to give you reason to believe that they knew what they were talking about. I mean, did they say they were an employee of the plant or what? How did you know who you were talking to?

A We didn't know who we were talking to.

Q And when they called, did they call to talk to you, or did they just call to talk to whomever happened to answer the telephone? Did they ask for you, do you remember?

A No, they didn't.

Q Were you the one who answered the phone?

A Yes, I was.

Q I see, okay.

A To the best of my memory.

Q Okay. What did you do then?

A After we hung up the phone from talking to the individual, Dennis Kelley called the Region IV office, and from Dennis Kelley, I got the word that we were not to intervene, that we were to stay out of it at the present time.

mgc 1-8 O Now would that be the standard procedure? As a Resident Inspector, if you receive a call indicating that there's some condition on the plant site and that someone who purports to be an employee is asking you to look into it, would you normally call Region IV to determine waether to look into it or not? A It would depend on the circumstances. most of the time, if I get phone calls, I try to go on and investigate the problem. I do have constant communication 10 with the Region at the same time, so, as I say, it would 11 depend on the circumstances. 12 Why, in this instance, was the decision made 13 to call Region IV before responding to the call? 14 A I don't know. It was not your decision, then? 0 16 Kelley and I together might have made the 17 decision. We were both in his office, and he called the 18 Region. 19 20 No, I wasn't. A

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Q And you were no on that phone call.

A No, I wasn't.

Q When you heard the information from the person, what was your reaction to what you heard? The anonymous caller, how did you react to that?

MR. BACHMANN: I have to object to that question. He stated that he perhaps discussed it with

mgc 1-9 Kelley, and Kelley called the Region. I don't see what 2 you mean. 3 You mean physical reaction? MR. ROISMAN: No, no, no. What did he think 5 when he got the telephone call? BY MR. ROISMAN: 7 Did you think that you had received -- was 8 this a serious or a not serious thing that was being alleged, or did you think that you were getting a crank 10 call or maybe someone playing a joke or what did you 11 think when you heard what the person on the other end of the phone told you? What was your mental reaction? 12 13 I thought it was a legitimate call. I 14 didn't have any basis for not believing it, and I 15 couldn't be sure of the facts that this individual was 16 relating to us, but I thought it was legitimate. I thought 17 that something was going on out there. 18 Q In your judgment, if the things that this individual recounted to you were going on, were they 19 20 serious things or so-so or pretty innocuous? How would you classify them? 21 22 Without having any more knowledge about it,

Q At all?

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I wouldn't even classify it.

A Without looking into it. I wouldn't.

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I wouldn't think that any situation like that, where you're given information, should be responded to, that you should investigate it.

to the place where the event was purportedly taking place

And by investigate you would mean what? Go

and see for yourself?

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A Not necessarily. At that time, whatever they were doing was getting so much attention that it couldn't be covered up, so a follow-up inspection or investigation would uncover any wrongdoings. That was one thought I had.

A Did you have any thought that if this was happening in any way like they were describing it, that the NRC's presence at the point of the event might have a beneficial effect, either diffuse what otherwise might be an explosive situation or give some comfort and support to the work force or "show the flag," as they say, with respect to management? Did any of those thoughts cross your mind?

I didn't make any conclusion of that type.

Q When you got the information from Kelley that Region IV had said to stay out of it, did you just acquiesce in that, or did you call back Region IV and try to get more information -- why did you have to stay out of it? How did you respond to that directive from Region IV?

A Well, I was still in Kelley's office. We received another phone call from Bill Hunnicutt. That's what I was told by Kelley. And he reiterated to us that we were not to intervene, that we were to stay out of it.

Q So when Mr. Kelley made the call to Region IV, he did not speak to the man who was your supervisor at Region IV apparently. He must have spoken to someone else.

A I don't remember. It could have been Doyle.

I think it was Doyle, but I'm not sure.

Q So it's possible that Hunnicutt, even after that conversation, yet called back a second time or had a second conversation to say, essentially, as far as you recollect from what Mr. Kelley told you, to tell you the same thing.

A That's right.

Q All right. What else happened after that with reference to the T-shirt incident that you can remember?

A When I went back -- I went back to my office after that, and when I got back to my office, I received another phone call from another individual who stated the same thing, that some of the QC inspectors were sequestered, and he didn't know where they were, and he hadn't seen them sequestered, but that he requested that the NRC intervene a second time. And I told him that we had been directed by Region IV management not to intervene

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             at this time.
                    And you say this was a different caller than
        3
             the first one?
                          I don't know.
                          Okay, I'm sorry. I thought you had indicated
             that it was.
                 A
                           No.
                          So you don't know if it was the same person
             or a different person.
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             A No, I don't.
                  Q But the substance of what you were told in
       12
             the second call was essentially the same as the substance
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             of what you'd been told in the first?
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                          To the best of my memory, yes.
       15
                          And how much time would you say transpired
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             between when the first call and the second call occurred?
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                 A Probably an hour. Thirty minutes to an hour.
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                           MR. BACHMANN: I think maybe it might be
             beneficial for the state of the record to indicate
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             approximately what time the first call came in.
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                          MR. ROISMAN: Okay, sure.
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                           BY MR. ROISMAN:
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                          If you have a recollection of when you think
             you got the first call --
                          It was probably between eleven and twelve
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mgc 1-13 1 o'clock. And I would say the second call was between twelve and one. MR. ROISMAN: Okay. End 1 11.

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BY MR. ROISMAN:

Q After you received the second call and you indicated what you had told me you indicated to this caller, what did the caller tell you?

A I don't remember if he told me anything. I know one of the callers said that I've got to get off the phone now, and that might have been him, but I don't recall of any response to what I told him.

Q Did the caller seem upset or distraught, or was it your recollection that it was sort of a calm, just reporting piece of information to you?

A I can't answer. I don't know. I didn't read anything into his voice at the time.

Q Was the caller insistent on the NRC being involved or did the caller morely indicate that they wanted the NRC to know about what was happening?

A To the best of my recollection, the caller was not calling for himself. He said something like the people being held or sequestered -- I don't know what term he used -- would appreciate, he thought, the NRC intervening.

Q I want to go back again, well, was there any further conversation between you and this caller, during the second call?

A I don't recall any, no.

Q I want you to tell me again, I'm having some

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difficulty understanding when, under normal circumstances,

would you -- on your own volition -- call in Region IV?

Would you get a complaint from the plant site? What do your

job responsibilities tell you about that?

A I'm not sure that that is clarified in my

job responsibilities.

Q What is your perception of what your

responsibilities are, when you get a complaint from someone

Q What is your perception of what your responsibilities are, when you get a complaint from someone on the site that something is happening of a concern to the work force, that relates to things within the jurisdiction of the Nuclear Regulatory Commission? What do you understand your responsibilities are?

A I would have to deal with it on a case basis.

Q What are the factors that you weigh in, in deciding how to deal with it? What things do you look for?

A I've really never sat down and tried to make a determination as to what I would respond to what I would call Region IV. I don't know what factors would affect me.

Q Would it be affected at all by the magnitude of the safety implications --

A Yes.

Q -- of what was happening?

A Yes.

Q And which way would that cut? If we can, let's just take a hypothetical. If you got a call and it said

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someone is down here sabotaging a piece of the reactor. I am looking at it right now. I want the NRC to come down and do something. Would that be a "Let's call Region IV?" Or would that be a "Let's run over there and see it right away" kind of thing?

A I would respond to anything like that physical, a fire or flooding in the buildings or anything like that, I would go directly to it and try to observe as much as I could.

Q And what if you received a call that someone was doing something to physically injure an employee on the plant? Would that fall into the same category as if they were trying to physically injure the plant?

(Pause.)

A No.

Q Why not?

A I am not a policeman to protect the people out there from physical injuries, just like I'm not a safety man to protect them from personal injury, when they're climbing on staging and things like that. My job is to make sure that they build the plant in accordance to the requirements that are set up by the Regulatory Commission.

So there are probably a lot of activities, in the personnel area, that I don't get involved in.

Q Would it affect your answer at all, that if

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the information that you're receiving was that the employee who was being injured was being injured by someone to prevent him from reporting safety problems?

A Yes.

Q And then what would the situation be, if that piece of information were before you? Would that be one of those incidences that you would respond to, as you wald respond to a report that someone was physically damaging the plant?

A I can't answer the question. It would take conjecture on my part. I think I would respond.

Q In the conversations, the two conversations that you have already testified to that morning, did the caller communicate to you any sense that the workers were being intimidated or harassed, or in some way being disadvantaged by management's conduct because of something related to their job performance?

A I don't recall.

Q Do you think that it is the kind of a thing that might have happened, that you wouldn't remember it, but they might have mentioned that and you wouldn't remember that?

A There could be a lot of information in those phone calls that I don't remember.

Q No, but I'm wondering whether this is the kind

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of information -- I mean there are certain kinds of things that someone could say in a phone call to you that would stick in your mind. Would that be the kind of thing that would stick in your mind?

A I can't answer. I don't know.

Tell me what happened after the second telephone call. I take it, from what you've testified, that the person told you essentially what you heard in the first call and you reported to them what your directives were from Region IV and the phone call ended. Is that correct?

That's right.

Then what did you do after that, with reference to the T-shirt incident. Did you tell anybody about the second call?

Yes, I was in contact with Region IV and I A did tell them that I had had another call.

Who did you contact?

I talked to Doyle Hunnicutt. We talked a number of times that afternoon.

And did he give you any further directions, after you reported the second call?

He said our position was still not to intervene.

Were you at all surprised at that? That that was the position that you were getting from Region IV?

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A I don't remember. 2 What was your next connection with the T-shirt incident that day? 3 A It was the following afternoon, when I received a call -- oh, that day? 5 Yes, that day. 6 7 . I don't remember any further connections that 8 day. Q Where was your office, in reference to the safeguards building? Which office, yours or Mr. Kelley's 10 11 office, is closest to that building? 12 To that building, it would probably be --13 Kelley's office is maybe slightly closer. The offices were 14 about the same distance apart. We were located on either 15 side of the Unit 1 and Unit 2 buildings. So physically, we are probably about the same distances. I am a little 16 closer to Unit 2, probably, than he is. And he is on the 17 Unit I side, but he is outside the security fence area. Would you describe Mr. Kelley for me? Is he an old man, a young man, a tall man, a short man, fat man, 20 thin man, bald headed, full haired, full head of hair? 21

A I think he's 46 years old. He is probably about 6-2 or 3 feet tall. He's got gray hair. He's got a beard, it's gray, someplace between gray and dark hair. He is not fat. He probably weighs 170-75 pounds.

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Q Thank you. All right, now, you were going to tell me what your next connection was. Let me just step back with you a second. After this telephone call that you made to Doyle Hungicutt, to report on your second phone call from someone at the site, did you have any conversations about the T-shirt incident with any other persons that day, in your official capacity?

A I had a conversation with the Region.

Q Other calls?

A No. Chet Oberg was working -- other calls?

When you called Hunnicutt and reported on the second telephone call. That's all I want to focus on. It's after that time. Did you have further communication, either with Mr. Kelley or with someone else on the site, or with someone at Region IV or elsewhere?

A Chet Oberg was working. He is a Region IV reactor inspector. He was working out of our office, our trailer onsite and so he and I had a conversation about it. He had received a phone call, too, from somebody and also a visit from somebody.

Q I'm sorry, I need to get his name again.

A Chet, C-H-E-T, Oberg, O-B-E-R-G, Oberg.

Q When did you learn of him having received the call and the visit?

I don't recall. I don't recall if it was after I received that call at my office, or whether it was 3. before. I think it was after, but I'm not sure. He may not have been at the office when I got back. I don't remember. 5 MR. BACHMANN: I think maybe there is confusion on the question. You asked him when he found out that Oberg had received the call? MR. KOISMAN: Yes, right. MR. BACHMANN: I think he was answering when 10 Oberg received the call. 11 THE WITNESS: Oh, I don't know when he 12 received the call. 13 MR. ROISMAN: That's right. I wanted to know 14 when he learned from Oberg, if that had happened. 15 MR. BACHMANN: All right. THE WITNESS: I don't remember. I was telling 16 17 you I don't remember exactly when he related it to me, but he did relate it to me. 19 BY MR. ROISMAN: And what he described to you of his phone call? 20 0 Very similar --21 A-Very similar to what you had also heard? 22 0 Yes, and also from the visit from an individual. 23 I don't know who the individual was. 24 Where did Mr. Oberg tell you he had received 25 Q

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the call and had the visit? What was the physical place where that happened?

- A In the NRC trailer.
- Q In the trailer where you were working?
- A That's right, in my office.
- Q What was your reaction then you realized that now either or more persons had made at least three telephone calls and even risked a physical visit to the NRC trailer to express their concern about this event? Did that affect your thinking as to whether the right thing was being done by the NRC here?
 - A I didn't draw a conclusion about that.
- Q Did you communicate what Mr. Oberg had communicated to you back to Region IV?
- A Yes. To the best of my recollection, I don't remember specifically all the conversations. There were a lot of calls back and forth between the region and us that afternoon, or between myself and the region that afternoon.
- Q All right and at any time, in which you had conversations with the region, did you explore with them the wisdom of their policy that the NRC should be staying out of this event? Did you question their judgment on that?
- A I may have asked if they wanted me to co-intervene, or to look at what was going on, but I don't really recall making any or questioning their wisdom -- I don't

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remember the specifics of our conversations,

Q I understand.

This whole matter, would it have normally fallen under your jurisdiction, or Mr. Kelley's jurisdiction, or someone else, if you all were going to have gotten involved in it? Was there some line of authority that we could say that it was your business, or it was Kelley's business, or it belonged to one of the other people on the site?

A The people involved were construction oriented people but I don't draw a line like that. I think any NRC inspector ensite should look at anything that is going on at the time.

resident inspectors were going to have looked into, would the normal thing to have been was that the first resident inspector contacted would have followed through on it, unless for some reason they didn't have the time to do it? Is that how you all divide up your responsibilities?

A That is hard to answer. Our responsibilities are divided based on him being in operations and me in construction. In a situation like this, my opinion would be that any NRC inspector that got information should respond to it. It is hard to divide a personnel situation up into construction or operations, although we do have clearly

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defined areas that we are normally working in. That doesn't keep me from writing up something in operations, if I see a deficiency. I certainly will write it up and vice versa for him and construction, although he may call me and let me follow it up, rather than him following it up.

Maybe you need to clarify for me the distinction between construction and operations. And I believe you also said that Mr. Smith was reactor.

A He works for Kelley. He's a resident inspector that works for Kelley.

Q What is the distinction then between construction and operations, as it is used to define Mr. Kelley's responsibilities and your responsibilities?

The construction inspector follows the building of the plant up to the roint that it goes into the pre-operational teating. At that point, the operations inspector will start picking up on the systems when they are turned over from construction to the operating group. Then the operations inspectors start picking up. They have procedures that they follow to witness operational testing and to review the pre-operational testing and to review their procedures and to also review the plant procedures.

The distinction is that when the construction turns a system or a room over to operations, or to the people that are going to operate the plant, these cases took

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cooperation. And that's where Kelley's responsibility, and really the dividing point is pre-operational testing. That is the first phase that he gets into.

Q I see. All right, now when that first day

-- when the T-shirt incident first occurred -- was there
anything that day that you can memember, any other involvement
that you had after that information that you got from
Oberg and your contacting the Region, as you remember it, to
advise them of what you had learned from Mr. Oberg?

A I don't recall anything else.

Q You indicated that there was sort of back and forth during the day of contacts between the region and you and the other resident inspectors on the site. What was the purpose of that? What were you hearing, or what were you communicating?

A There were conversations back and forth every day.

Q No, but as to this particular event?

A I'm not saying that all the conversations related to the T-shirt incident, but we -- there were conversations that did relay information, that I don't remember any further information than the phone calls I got relaying that back.

Q Do you have any recollection of Region IV initiating a prone call to you anytime after, say, 12 o'clock

where they wanted you to give them more information about what was happening on the T-shirt incident?

A I don't recall. I don't remember any such phone call.

Only phone call that was initiated by Region IV to the site, with regard -- I mean, to you, or as well as you know, to any of your other resident inspector people, relevant to the I-shirt incident, the second communication to Mr. Hunnicutt, when he called into Mr. Kelley's office while you were there? Is that the only Region IV initiated call that you can remember?

A I can't answer for Kelley's side. I don't know.

O Okay.

A But on my side, I don't recall. I know I talked to the Region a number of times. I don't remember who originated the calls.

Q When was the next time that you had any contact with the T-shirt incident?

A On the following day, about 4 o'clock.

Eric Johnson called me and told me, go get the material that the Licensee had taken from the individuals involved. And I went to Ron Tolson's office and we went back in the vault and I picked up a box of material from them. And there were --

we thumbed through it very quickly. And any originals in there we tried to run copies of and I took the copies, not the originals, because the copies could have been their working documents. And I took the information, that box of information, and a box about two foot by 18 inches. And I took it back to the trailer, the NRC office construction.

Q How did you know that there was any documents that the Applicants had seized?

A I don't remember.

Q How did you know that they were in Mr. Tolson's office?

Me, said that he had talked to Chapman and I don't really know how I knew in Mr. Tolson's office. I may not even have known they were in Tolson's office. I just used that as a starting point. I don't recall. I can't answer that question. I don't know the answer.

Q Who was Eric Johnson?

A Eric Johnson is a Branch Chief in the Arlington Office, USNRC. And at that time, he was the immediate supervisor over Doyle Hunnicutt, so he was my second step in command.

Q When he told you to go and get the documents, did he do that by phone or in person?

A By phone.

1	Q	And when you get the documents from Mr. Tolson,
2	did he personal	lly take you to where the documents were
3	if you would st	trike that, I'm sorry.
4		Did you speak to Mr. Tolson?
5	A	Yes, I did.
6	Q	What did he say to you?
7	A	I think he tried to call Dave Chapman, I
8	don't recall, p	orior to turning the documents over to me.
9	Q	Did he seem reluctant to give them to you?
10	A	I don't remember.
11	Q	How long after you got to his office did you
12	physically get	possession of the documents?
13	A	Within 15 to 30 minutes.
14	Q	Did you know why you were coming to get the
15	documents?	
16	A	No, I didn't.
17	Q	Did you even know what might be contained in
18	the documents t	hat you were coming to get?
19	A	No, I didn't.
20	Q	Did you ask Mr. Johnson any of those questions?
21	A	No, I didn't.
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BY MR. ROISMAN:

Q Was that normal, that you would be told to go for something for Mr. Johnson and not know particularly what you were getting or why you were getting it? Was that a standard procedure in your relationship with him?

- A I can't answer that as being standard.
- Q Had it ever happened before, that you can remember?
- A I don't recall. I don't recall ever going and getting material like that before either.
- Q No, but in a sense, had you ever been asked by Mr. Johnson to essentially run an errand --
- 12 A Yes. Right.
 - Q -- where you were just acting at a messenger?
 - A I don't recall any specific incidents.
- 15 Q No, I understand.
 - A But if somebody calls me and wants something, I will generally go do it.
 - In this case, as far as going out and getting this type of -- a box of material that's been confiscated or collected by the Licensee, that's -- that is not a normal function that we serve out there.
 - Q What were you directed to do with the material after you had seized it? You know, what did Mr. Joh son tell you to do with it?
 - A I don't remember any specific instructions.

Q Were you supposed to tell him after you got the documents? 3 I don't recall. What did you think you were going to do with the 4 documents after you got them? 5 I can tell you what I did with them. Well, when you went to get them, did you have any idea what you were going to do with them? 8 A No. Oh, I knew I was going to lock them up in our 9 trailer. That's what I did. 10 You locked them up, but you did not look through 11 them? 12 No, I didn't. 12 I mean, except to -- you've already testified, to 14 separate the original out. 15 A Yes. 16 And how did you know, when you got the documents 17 from Mr. Tolson, that you had gotten all the documents that 18 had been seized? 19 I didn't. 20 In fact, I think Tolson, at the time, told me that 21 the personal documents of the individuals had been returned to 22 them. And they had had the documents for a day and a half or 23

a day, some period of time, before I got them from them.

So, I can't answer that question.

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Q Did you make any attempt to pin Mr. Tolson down to make him make a representation to you that you either were getting all the documents, other than the personal ones, or that you weren't?

A I didn't.

Q Did you consider it in any way to be a confrontational situation between you and Mr. Tolson, you taking something from him that he wanted to keep?

A No.

Q After you got the documents, was the next thing

Q After you got the documents, was the next thing that you did to take -- and made the copies, to take them back and lock them up in the trailer?

A Yes, it is.

That was Friday afternoon, to the best of my recollection.

Q Did you advise Mr. Johnson that they -- that you now had the documents and that they were in your trailer?

A I don't recall.

Q All right. What was your next involvement with the T-shirt incident? Or now we will add the documents to our list of things -- either with the documents or with the incident itself?

A The following Monday the LIcensee came and told me that they wanted the documents back. Mark Welch and Dan Hicks gave me a call, and I let them have the box of

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documents back. And in the meantime, I called the Region. And as soon as I got in touch with the Region, they said, "Don't let them have them back." So, I went and got them back from them. They had them for approximately 15 to 30 minutes. And when I went to get them back, they were locked up in Dan Hicks' office.

Q Why, in that instance, did you decide to give them the documents and then call the Region, instead of calling the Region first?

A I tried to call the Region first, and I couldn't get anybody. So ther, a few minutes later, I did make contact with the Re

Q But w. lecide to give them the documents until you had ta_ke He Region?

A I don't know.

Q Did you reel that if you had told them they couldn't have them, that they could, somehow or another, compel you to give them to them?

A No.

And you have no recollection of why you would have given them back the documents, even though you sensed that you should talk to the Region first, before you actually talked to the Region?

That's true.

Did you ask the Applicant why they wanted them Q.

back?

A To the best of my recollection, they stated that they needed them back to see if there were any ar as, anything in the documents that they needed to pursue or to take any actions.

Q Did you make an index of the documents before you gave them back to them?

A No, I didn't.

Q Do you have any basis for knowing that the documents that you got back from them some 15 or 20 minutes later were all the documents that you had seized from them the preceding Friday?

A No, I don't.

Q Did you propose to the Applicants that they examine the documents in your trailer if they wanted to see if there was anything relevant in there for them?

A No, I didn't.

Q When you got the instruction from the Region that you should get the documents back, what did you do to accomplish that?

A I went to Dan Hicks' office, and his office was locked. So, I went and got him out of a meeting in the area of John Merritt's office. And we went back, and he unlocked his office, and he gave me the box of documents.

Q Was Dan Hicks the one who actually picked them

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up from you?

A Mark Welch picked them up from me.

Q When you went to get them and discovered that they were locked up in Mr. Hicks' office, did it trouble you that they were not apparently being immediately reviewed by the Applicant to find any problems that the documents might disclose?

A Trouble me?

Q Yes. You told me, just a moment ago, that the Applicant had told you that they wanted to see the documents so that they could determine whether there was any problems that they needed to address. But you decided to give it to them, even though you didn't yet have a clearance from Region IV to do so.

And then, when you went to get them back, you found that they were locked up in a room, as opposed to being actively reviewed by a group of people looking for the problem.

Did that give you any pause or make you wonder about what was happening?

A No.

Q When you went to Mr. Hicks and told him that you wanted them back, did he have any reaction, one way or the other, to your request to get them back?

A No.

Did he ask you why? I don't recall. 3 Did you know why? Other than Region IV had told you to get them back, did you know why you should have them back? 5 (Pause.) No, I didn't. Q Did you ask Region IV why they wanted you to get them back? 10 A I don't recall. Do you recall why you thought you should contact 11 Region IV before you gave them up? 12 A They are the ones that told me to get them. 13 Q What did you do with the documents after you got 14 them back from Mr. Hicks? 15 A I took them back and locked them up in our trailer 16 again. They stayed locked up in a supply cabinet in our 17 trailer. 18 Did you report back to Region IV that you had 19 gotten the documents back? 20 Yes. 21 Q Did they ask you whether you had gotten all of 22 the documents back? 23 A I don't recall. 24 Q Did they give you any further instructions at that 25

time as to what to do, either about the documents or the T-shirt incident?

A I don't recall any.

MR. BACHMANN: I think just to clear up a little bit on the record, Tony, I get the impression sometimes when Mr. Cummins says, "I don't recall," he can mean either "I don't remember" or "no, to the best of what I can remember."

MR. ROISMAN: Mr. Cummins, if that is happening, if there is some ambiguity that is developing, it is certainly all right, if you wish to do that, to tell me, in answer to the question, "I believe the answer is no, but I'm not 100 percent certain," versus "I have no recollection at al.. I have no way of telling you whether I think the answer to your question is yes or ro."

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Will that be easier, for you to give me an answer on some of these questions, to use both of those ways of answering them where appropriate?

THE WITNESS: That is, when I say I don't recall, it does mean that something could have happened. I just don't remember it.

MR. ROISMAN: Okay. That's right.

But it doesn't mean, when you say, "I don't recall,"

that --

THE WITNESS: It didn't happen.

MR. ROISMAN: -- "I'm pretty sure it's no, but I can't say for certain." It means you really don't have a recollection at this moment whether it's yes or no?

THE WITNESS: That's right.

MR. ROISMAN: Okay. All right.

THE WITNESS: That's what I mean to convey.

MR. ROISMAN: The only thing that's acceptable is that you tell me what you know truthfully. And other than that, I just want to make sure -- and I think all your counsel wanted to do is make sure that we weren't building in here some confusion that we wouldn't -- that we wouldn't understand what you were trying to say.

I think we do now understand what you mean when you say, "I can't recall" or "I can't recollect."

THE WITNESS: Things could have happened that I just don't remember right now.

MR. ROISMAN: Okay. That's fine.

BY MR. ROISMAN:

Q After you got back to your office and had locked up the documents the second time -- and I may have asked you this, but bear with me -- did you then communicate with the Region that you had the documents back?

A Yes, I'm sure that I did.

Q Did they gi a you any further instructions with regard to what you should do with those documents at that

time?

A No. I don't recall any further instructions. I don't remember any specific instructions about those documents or for me to take any actions with those documents. If there was any there, I don't remember.

Q And did you get any instructions or directions with regard to what to do about the T-shirt incident in general at that point?

A No.

We're talking about the next -- the Monday --

Q We're talking now about the Monday following the T-shirt incident, that's correct.

A No.

Q And did you, on your own, take any steps to do anything about the T-shirt incident?

A No, I didn't.

Q Did you believe, as of that Monday, that you were still under the directive from Region IV not to do anything with it except as specifically directed by them?

A Yes.

Q Should -- if someone had come to you, as someone apparently did to Mr. Oberg, on Monday -- they came to him on Thursday, if they had come to you, like that, but it was on Monday, and said, "I sally want you to look into this thing,"

your reaction would have been, "I have to go to Region IV and get the okay to do that"?

A It would depend on the circumstances.

Q Well, the only circumstance that I'm positing now is that someone simply comes to you and say, "I want you, as the resident inspector, to look into the event that happened last Thursday that is called the 'T-shirt incident.'" That is all you've got.

Was your understanding of your directions from Region IV, at that time, that you would not take any action on that request until you had talked to Region IV?

A That was not clearly defined -- "Don't do anything, until you talk to us, about the T-shirt incident" -- that was never made clear.

We were just told, on that first day, not to intervene.

Q So, your perception of your responsibilities were that you, in your judgment, subsequent to that first day, you thought you should intervene, that you had your normal authority to do so, without the necessity of having to talk to Region IV?

A Yes.

I never got the perception that I had to talk to Region IV except on that first day, when they told us not to intervene in the ongoing activities at that moment.

end 3

mgc 4-1 1 BY MR. ROISMAN: 2 And what was the next connection that you 3 had, after having gotten the documents from Mr. Hicks and calling Region IV, with either the documents or the T-shirt incident after that Monday? My involvement in it? 7 0 Yes. A I don't recall any direct involvement that I had -- oh, I'm sorry -- yes, I did. 10 The next direct involvement I had was a 11 few weeks later. Doyle Hunnicutt and I interviewed three 12 of the QC inspectors. And I don't remember what the date 13 was. It was approximately three weeks after that, in 14 April probably. And in that intervening period, roughly 16 three weeks, you had no further involvement with the 17 T-shirt incident. 18 A No. 19 What about the documents? Were they 20 still locked up? 21 The documents were in the trailer, and --22 Go ahead. 0 23 A The documents were copied by Doyle 24 Hunnicutt and copies distributed of the documents to 25 different people, but Doyle Hunnicutt did that. I didn't mgc 4-2

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do that. And the documents may not have stayed in the trailer that entire time, and Doyle may have taken them back to the Region to copy them. I don't recall. Or parts of the box back to the Region to copy them.

Q So that after you had locked the documents up, then your ivolvement with the documents was completed, and whatever was done with them, was done by Mr. Hunnicutt or people operating under his direction and not by you; is that correct?

A That's true. I didn't help copy the documents. The documents were still in the trailer most of the time, to my knowledge. The trailer is the NRC construction office.

Q I understand. And the return of the documents to the utility, was that accomplished by someone other than yourself as well, to the best of your recollection?

A The documents have never been returned to the utility.

Q Have never been returned?

A To the best of my knowledge, --

Q Okay.

A -- they are still sitting in that box in the

NRC trailer. We were --

Q Do you know if the utility ever got a copy of the documents?

mgc 4-3 No, I don't. The utility did come and copy 2 documents in that intervening period, now. 3 They came down on their own and made copies? 0 4 Of just specific documents out of that box. 5 (Pause.) And at that time, there may have been some 7 originals in there, that they took the original and I kept a copy of everything that they took. Did anybody in your office or you, yourself, .0 supervise the utility when it went to the box to make copies? 12 A (Nodding affirmatively.) 13 Q You did? 14 A I did. 15 All right. And are you confident that 16 everything that they took out to copy, either a copy of 17 what they copied or the original was put back into the box? 18 Yes. 19 (Pause.) 20 Now you were telling me that you and 21 Mr. Hunnicutt some three weeks later had occasion to 22 interview three of the inspectors. I was provided -- is 23 that right -- that you and Mr. Hunnicutt interviewed three 24 of the inspectors at some time? 25

Yes, that's true.

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Q All right. Last night, I was provided by your counsel with four documents, one with the date 4/5/84 on it and a series of questions, and then three additional ones, Summary of Interview with, and then the name of three different people. The questions are dated 4/5/84, and the others are dated April 9, 1984.

MR. BACHMANN: I have copies for the witness.

MR. ROISMAN: Good. All right. If you would give the witness a set of those, I am now going to ask you about these documents, Mr. Cummins.

MR. BACHMANN: You should have four pieces of paper; is that correct?

MR. ROISMAN: That is correct. One dated 4/5/84, and three dated April 9, '84.

Do you have one for the reporter to mark?

MR. BACHMANN: Do you intend to mark these?

MR. ROISMAN: Yes, I will mark them.

(The documents referred to were marked Intervenors' Exhibits

Nos. 1 through 4 for identification.)

BY MR. ROISMAN:

Q Mr. Cummins, I have just asked the reporter to mark four sheets of paper, Cummins Exhibits 1 through 4, and I believe you have a set of those in front of you.

mgc 4-5

Can you tell me if you recognize these documents, and if so, using the Exhibit Number, would you tell me briefly what they are?

A The questions, the document labeled as 1, is a set of questions that were basically made up by Doyle Hunnicutt and myself to use while we were interviewing QC inspectors that were involved in the T-shirt incident. And the 2, 3, and 4 documents are summaries of the interviews that were taken from the notes of Doyle Hunnicutt. I didn't keep notes during these interviews, and he -- these are the answers that the QC inspectors gave to the questions, based on Doyle's notes. He prepared these, and he gave me these copies.

Q Okay. So you're not going to be able to testify of your own personal knowledge whether these summaries are an accurate summary of what actually appeared in his notes.

A That's true.

Q But you and he together did prepare this Exhibit 1, this set of questions?

A That's right. Yes, we did.

Q Can you tell me, why were you going to conduct these interviews? What was the purpose?

A I don't know the purpose of the interviews.

Doyle told me that we were going to conduct the interviews.

Q How were you able to help him develop the mgc 4-6 questions, if you didn't know why you were having the 3 interviews? A Because he was in the trailer, and he said that -- I knew who we were going to interview. 5 Uh-huh. 0 And he said we -- he started making a list 7 of questions and asked me to give some input into it, and so I did. Q What -- did he discuss with you why you were 10 doing these interviews, why he thought you were doing 11 these interviews? A If he did, I don't recall why we were doing 13 14 the interviews. Why did you want to know the answer to 15 Question No. 1 on Exhibit 1? 16 (The witness examines the document.) 17 A I can't answer that question. I don't 18 know why that specific question was asked. MR. BACHMANN: If you are going to go down 20 the list of questions --21 MR. ROISMAN: I'm going to subpoena 22 Mr. Hunnicutt. That's what I'm going to do. 23 MR. BACHMANN: Well, I think it might 24

be more expeditious to ask Mr. Cummins which questions

mgc 4-7

were his.

MR. ROISMAN: Well, I've only got eight here. Are you all going to resist my subpoena on Hunnicutt?

MR. BACHMANN: I don't know.

MR. ROISMAN: Okay. Because we could save a lot of time here. It sounds like Mr. Cummins is a very small player in this, and that Mr. Hunnicutt is the one who has the knowledge that I am really interested in, at least as to this.

MR. BACHMANN: Do you want to go off the record and contact Mr. Treby?

MR. ROISMAN: Sure. Can we take a short break? Okay.

(Brief recess.)

MR. ROISMAN: While we were off the record,

I, at the suggestion of Mr. Bachmann, put the request
that Mr. Hunnicutt be produced by the Staff to testify
to these matters, instead of us asking for Mr. Cummins'
recollection of events that he only knew about indirectly,
and that request is now being considered.

I agreed in discussions with the Staff attorneys that, for the time being, I would at least limit my questions to those things which Mr. Cummins has, of his own personal knowledge, related to these matters, and

mgc 4-8 1

not go into the areas where he has to recount to me things that heard from somebody else or that he heard from Mr. Hunnicutt.

So following that line, I will now take Mr. Bachmann's suggestion and ask Mr. Cummins which of the questions that are listed on Exhibit 1 did you have any direct involvement in framing, and if you will tell me just which those are, then I will ask you about those.

(Pause.)

THE WITNESS: I think 6 and 8, but I can't be sure.

BY MR. ROISMAN:

Q When you framed a question --

A Probably 5, too. I can't recall. But this is recollection to the best of my knowledge. I probably had some input into those questions.

All right. Now in having your input into the questions, what did you understand was the thrust of the question? What were you trying to learn?

Let's look at Question 5 for the moment. What were you trying to learn through Question 5?

A I think the question is self-explanatory to me. I was trying to determine if there was information there that might have indicated that the plant was not being built in accordance with the requirements.

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Q But was your tying of that question in to things that were taken from your desk or files, was that to express a concern on your part that the Applicant might have seized things which the Applicant thought would be

damaging to it, as opposed to seizing things just

A I don't think that went into my thinking in posing that question, not that kind of depth.

indiscriminately from the desks of these inspectors?

Q Did you --

A I thought it was a logical question to ask.

Q Did you think at the time you were framing the question that there was any possibility that the files which you had actually seized from the Applicant might, in fact, not include all the files that were contained in the desks of these people that had been seized by the Applicant?

MR. BACHMANN: I think that question has already been answered.

MR. ROISMAN: I don't think so.

MR. EACHMANN: He said, he already testified that he saw them. You asked him if he thought the files were complete.

MR. ROISMAN: As of that time. This is now three weeks later. Now it's three weeks later, and he's asking this question: "Were there any notes or records

mgc 4-10 1

in the material that was taken from the desks and files that would indicate that something was not being done in accordance with requirements?"

Now presumably they had these documents, so they could make their own independent judgment by looking at what they had, as to whether any of the material that had been seized from the desks would be indicative that something was not being done in accordance with requirements.

I am now trying to find out whether they asked this question of these individuals to find out if perhaps there were some other documents that they didn't get when they seized them, something that had happened in the intervening three weeks that would make them decide, let's ask this question. That is my thrust.

MR. BACHMANN: I don't think we've established that the people at the interviews would see the documents that the NRC had. In other words, are you trying to say that --

MR. ROISMAN: No, no. It's the NRC trying to find out.

Let's just take a hypothetical. There are ten documents that were actually in the desk. The NRC gets and seizes from the Applicant everything they took, and unbeknownst to them, they only get nine of the ten. The

mgc 4-11

tenth document was a document which, if they had seen it, it would have been obvious that it was a document that suggested that something was wrong, some kind of an inspection report that indicated that there was a problem.

So now they ask this person, and it looks like a very good and focused question, "Tell me whether there was anything that was in your desk that you think would have indicated that there might have been something not done in accordance with requirements."

If the answer is yes, and they've looked through all the documents, and they didn't find anything like that, they now have a basis to be concerned that maybe they haven't seen all of the documents. And I assume if the answer to Question 5 is yes, they might then follow up and say, "Well, which document was it?" And then really pin it down.

MR. BACHMANN: Well, would you consider, then, an answer to that question could also indicate that maybe the NRC wasn't able to infer from the documents that they had that there was something wrong?

MR. ROISMAN: Yes. Sure.

MR. BACHMANN: Okay. Not just that it was missing, but maybe there's something there we're not seeing.

MR. ROISMAN: Or that they didn't understand,

sure, of course.

mgc 4-12

MR. BACHMANN: On that basis, I'd say go ahead and answer the question, if you can r ember what it was.

MR. ROISMAN: Do you want me to ask you the question again?

THE WITNESS: I think I understand.

MR. ROISMAN: Okay, All right.

THE WITNESS: I can't answer fully why these questions came about the way they did. I don't know what logic we used or what kind of thoughts even I used to come up with these questions at the time I came up with these questions, and that, to me, is a logical question to always ask: Are things being built in accordance with requirements? And the fact that these people were related to the material that was taken from their desks, to me, the logical question is, well, was there any material there that would indicate that things weren't being built to requirements?

I don't think I was emphasizing that maybe some of the cocuments were missing from beginning to end or to this point in time, but I can't answer that truthfully all the way. I can answer it truthfully, but I can't tell you absolutely why we asked that question the way we did.

mgc 4-13 1

BY MR. ROISMAN:

Q At the time that you were developing the questionnaire, did you have any reason by that time to wonder whether you, in fact, did have all the documents that the Applicant had seized, except for personal documents?

(Pause.)

A I don't -- that's always a possibility.

Did I wonder that?

Q Uh-huh.

A I don't recall. We didn't get the documents until a day and half after that, so there was a lot that went on in between. I don't think that was the thrust of that question, no, to determine if documents were missing.

That was not what I was really after with that question. If I really -- that's not why I would ask that question.

Q Question No. 6, logistics. I don't understand the question. What are you asking in Question No. 6?

(The witness examines the document.)

A I'm not sure I put that question in. That
may be one of Doyle's. He was asking there if there was
anything that was taken from their files that was not
available someplace else. Possibly their files had copies

mgc 4-14 1

of NCRs, Mongonformance Reports, that they had there for their personal information, so they could backtrack their own work. So he was asking, could you go to the vault or could you go someplace else and obtain the same information, to one of the satellites or DCC or someplace and get the same information.

Q Okay. Now which of these interviews did you participate in, locking now at Exhibits 2, 3 and 4?

A I was there when all three of these interviews took place.

Q Were you the one who conducted the interview, or was Mr. Hunnicutt the one that conducted it, or did you do them jointly?

A We did them jointly. He was primarily the one conducting the interviews. The interviews were conducted because Mr. Hunnicutt wanted them conducted, or he had been directed to conduct them. I'm not sure just how the interviews came about, but I was there to assist him.

Q How did you select the people who you would talk to?

A I have no knowledge of that. I don't know.

I don't know what mechanism was used for selecting them or deciding who was coming when or any part of that.

Q Absent Mr. Hunnicutt's personal involvement

mgc 4-15

in this, would this kind of an interview process normally have been designed and carried out by you as the resident inspector directly?

In other words, was this the normal kind of thing that you might have done, or would this always be done with someone from the Region IV offices?

A I don't recall this type of an interview being done before. I've been on investigations with investigators, and I've done interviewing myself, but I don't recall a duplication of these exact circumstances.

End 4

BY MR. ROISMAN:

Q Going back to the time of the T-shirt incident itself, do you have any recollection of the Applicant indicating to you that they believe that in the safeguards building there had been some sabstage or damage done to the electrical wiring or switch boxes, that they wanted you to come down and look at, that was in some way or another related or connected perhaps to the T-shirt incident?

A That they wanted me to come down and look at?

Q Yes.

A No.

Q Do you have any recollection of any time when the NRC was asked to come down and take photographs of or pictures of any alleged damage that had been done inside the safeguards building?

A I don't recall. I don't recall them requesting me to look at anything. If they had requested it, I certainly would have looked at it.

MR. BACHMANN: Does counsel have any particular incident in mind that we might be able to refresh the witness' recollection?

MR. ROISMAN: Yes, I do. But I don't think
I have with me the documents. I may have left it back at
where we are staying.

Did you want to say something on the record?

Or do you want to say something to your counsel? THE WITNESS: I want to say something off 3 the record. MR. ROISMAN: You are more than welcome to do that. 5 (Counsel and witness conferring.) MR. ROISMAN: On the record, and Mr. Bachmann will ask a question to help clarify the question that I asked so that the witness will be able to provide a 10 responsive answer. MR. BACHMANN: Mr. Roisman previously asked you about the contacts from the Applicant concerning people 12 who may have been involved in the T-shirt incident. We have 13 discussed off the record this possibility of you giving me 14 some information. Therefore, I'm going to rephrase his question in the interest of a full record. 16 Prior to the T-shirt incident, Mr. Cummins, 17 were you contacted by anyone from 'he Applicant's staff 18 regarding QC inspectors? 19 THE WITNESS: A few days before the T-shirt 20 incident I was contacted by Ron Tolson and I went over to 21 his office and he told me that there was a potential that they were going to take some personnel action against 23 individuals for performing what he termed destructive 24 testing. This would include pulling wires out of lugs and

pulling on conduit until the conduit was loosened up and 2 wouldn't pass the requirements. 3 So, to the best of my recollection, Ron 4 Tolson thought they were doing this to prolong the job 5 period. MR. BACHMANN: Was this, these alleged actions 7 that Mr. Tolson indicated to you, did they occur in the same area that the T-shirt incident occurred, as far as the inspection area? 10 THE WITNESS: I can't answer that fully. I 11 can't remember whether it was in the cabinets in the control 12 room or in the safeguards building. And I don't remember 13 how many of these incidents that he was referring to. 14 MR. BACHMANN: Do you have any knowledge as to whether or not the QC personnel that Mr. Tolson referred 15 16 to in any way were the same as the inspectors involved in 17 the T-shirt incident? THE WITNESS: No. 18 MR. BACHMANN: Do you have anything further 19 on that line? 20 MR. ROISMAN: Yes, I will ask him a few more 21 22 questions. 23 BY MR. ROISMAN: Was this a normal thing that Mr. Tolson would

contact you and tell you about the plans to possibly transfer

1 or terminate the employment of QC inspectors? Were you regularly brought in when those sort of things were happening? 3 A I've only been on-site since January, and whether it was normal or not, I don't know. It's the only 5 time I can recall Tolson contacting me like that. He may have done it other times. I don't remember. 7 Q After he had told you what he was telling you did you feel that it was something that you were glad you . knew? 10 With respect to the fact that people were 11 doing destructive testing, that anybody was doing anything 12 of that nature, yes, I would want to know that. 13 Q Did you go down to examine or look at where 14 the alleged destructive testing had taken place? 15 No, at the time I felt like what he was 16 investigating was still ongoing and that I would let that 17 go to the end before I got into it. 18 But your best recollection is that this 19 was a few days or a week before? 20 A Oh, no it was just a couple of days before. 21 The T-shirt event? 22 Yes, that's right. It was that same week, 23 to the best of my knowledge. 24 Are you aware of any time at which the company 0 25

asked the NRC to go down and physically examine the place
where the alleged destructive testing had occurred, to your

3 knowledge?

A I can't remember. I just don't know. I can't remember, that's possible that I may have even been involved. But I don't remember. There was a lot that went on in those next few days with the T-shirt incident and everything, and I just don't remember.

Q Subsequent to the time -- well, let us go back to the interviews for just a second. Were these the only interviews that you and Mr. Hunnicutt took?

A Yes, they were.

Q And subsequent to the preparation of the interviews, do you know what was there a report prepared or anything to memorialize the conclusion of the interviews?

A I don't know.

Q Did you and Mr. Hunnicutt discuss your own impressions of what the conclusions should be of the interviews?

A No. We may have discussed the interviews, but do you want to ask the question again? Impressions of the interviews?

Q Yes. Did you -- well, after the interviews were done, did you sit down and say, well, what do you conclude from that? What is your conclusion? Did you have

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that conversation?

A I don't recall. We may have discussed the interviews. I'm sure we discussed them to some extent, but as far as me giving Hunni utt a conclusion to which question, I didn't do that.

Q And what was your conclusion if you heard the answers to these questions?

(Pause.)

MR. BACHMANN: Are you asking for his personal opinion?

MR. ROISMAN: Yes.

THE WITNESS: My personal opinion about the interviews? I, up until that time, I didn't know that the T-shirts had been worn on-site prior to that, to the date of the incident. And I felt the entire incident had evolved around the T-shirts, and I still do, after the day it happened.

But my opinions, after talking to these people,

I just some more information from them. I didn't form any

specific opinions about what happened.

BY MR. ROISMAN:

Q Did it look after you had interviewed them, did it look to you like it was more or less of a personnel matter than you had originally been led to believe? In other words, did it look like something, which in retrospect

after you heard the interviews that you think you would have 2 like to have been more actively involved in on-site in your capacity as a resident inspector? 3 A In my capacity as a resident inspector, I 4 prefer to respond to things while they are ongoing. 5 I don't want to be picky, but is that yes? You're talking about one instance. 7 That's right. 0 8 9 I'm talking a wide range of any incidents that happen on-site. 10 O This incident, after you had finished the 11 interviewing process and talked to Messrs. Hearn, Davis and 12 Ambrose, did you then in retrospect feel that you wish you 13 had been more actively involved in the T-shirt incident while it was taking place? 15 A It is still not clear to me that we could have 16 served a useful function in this incident. 17 Q Do you wish you had been more actively 18 involved? 19 (Pause.) 20 I really don't have a feeling about that. 21 That's all right. You can answer any way 22 you want. But all I was trying to do was to make sure you 23 didn't answer with a gesture because the reporter can't 24

pick the gesture up. That is all.

Q After you finished the interviews, did you 1 have any further connection with the T-shirt incident? 2 I don't recall any. 3 And Mr. Hunnicutt didn't consult with you on any further involvement that he might have with the 5 T-shirt incident subsequent to that? A I don't recall any. 7 In your judgment as a resident inspector, is the T-shirt incident closed and resolved as far as you're concerned? 10 Today, it could open up again at any time, anything could happen. But as of right now, I am not actively 12 involved in anything ongoing that had to do with the T-shirt 13 incident. 14 No, I'm asking a different --15 Q Tomorrow I could be. This afternoon I could 16 be. 17 No, I'm asking a different question. Do you 18 think that the T-shirt incident as far as the NRC is 19 concerned, and to the best of your personal knowledge, is 20 the T-shirt incident closed, absent some new piece of 21 information coming in? 22 I don't know. There could be things ongoing 23 at NRC that I'm not aware. I can't answer the question. 24 MR. BACHMANN: I think the original question

1 though was in your capacity as a resident inspector, is it 2 closed. THE WITNESS: At the present time, I'm not 3 involved in the T-shirt incident definitely. BY MR. ROISMAN: And as far as you know, neither is Region IV. 7 As far as I know, but Region IV doesn't tell me everything they're doing. 8 I see. They don't report to you, right? It's the other way around, right? 10 That's it -- you asked me a few questions, too, 11 and I would like to clarify that I do: 't recall, that means 12 that something could have happened. MR. ROISMAN: I think we clarified what you 14 meant by that before, but you can keep saying that to make 15 it clear on the record. 16 17 THE WITNESS: Okay. MR. ROISMAN: I think that is all the questions 18 that I have for Mr. Cummins, with the caveat that if Mr. 19 Hunnicutt is not being produced, I am going to want him 20 back. 21 MR. BACHMANN: I think that the Applicant's 22 counsel, it is his turn to ask the questions. 23 MR. BROWNE: Mr. Cummins, I'm Dick Browne. 24 I'm the Applicant's counsel, and I have just a couple of 25

questions to ask you.

EXAMINATION

BY MR. BROWNE:

Q If I can start with the things that Mr.

Roisman was just in the last minute or two talking with you about. I understood you to say that you had no present involvement as of today with the T-shirt incident, but you said something to the effect that anything could open up again.

A That's right.

Q Now, when you said that did you have in mind the T-shirt incident specifically?

A Or any incident. It can always come back.

That's what I was talking about. I was trying to make it clear that although I didn't have any direct involvement in anything that is ongoing with the T-shirt incident at this time that I couldn't say forever that I would never be involved in it again, or any activities associated or related to it.

Q Thank you. Now, what I would like to know is whether as we sit here this morning, there is anything in your mind, anything that you have in mind related to the T-shirt incident which would give you a basis for thinking that it might open up again?

A No.

Now a little bit earlier than that, Mr. 2 Roisman was asking you about a discussion you had had earlier in the week of the T-shirt incident with Mr. Tolson regarding 3 destructive testing. That's right. Can you recall now that conversation you had 7 with Mr. Tolson when he called you? Do you recall that you had that conversation with him? 8 Yes, I went to his office. 10 You went to his office? Yes, the discussion took place in his office. 11 And he told me, and they were getting ready to take the 12 13 personnel actions against the QC inspectors because they thought they were performing some destructive testing. And 14 15 the examples he used were pulling wires out of lugs and loosening up conduit, shaking on it until it did come loose. 16 17 Okay. Now I think then you said to Mr. Roisman that you did not take any action at that time, and 18 I understood your answer, it was because Mr. Tolsen was 19 20 continuing to investigate. That's right. I notified the Region of this 21 conversation. 22 Q Then at that time talking with Mr. Roisman 23 you said that a lot had gone on during those few days. 24

A Yes.

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Q When you said that, were you referring just to matters related to the T-shirt incident, or are you talking about all of the different responsibilities that you have in your position?

A That is all of the responsibilities. There's always a lot going on out there. The T-shirt incident kind of got a lot of action and attention, but there are always activities going on out there.

Now Mr. Roisman began his questioning by talking to you about the events, if I remember correctly, on March 8 when you and Mr. Kelley had received that first telephone conversation. And I would like to ask you whether earlier in that week, that is before you received that phone call on March 8th, whether you personally had had any information brought to your attention about the T-shirt incident as you now understand that incident?

A No.

Q Now specifically, during the discussion you had with Mr. Tolson in his office that related to destructive testing, did he say anything to you at that time about people under his supervision who were wearing T-shirts?

A No. My first knowledge of T-shirts was the day of March the 8th, I think it was, when the T-shirt incident took place.

Q And as I understand what you had said to

1 Mr. Roisman, you were not aware, at least before the 8th of 2 March that T-shirts of the kind involved in the T-shirt 3 incident may have been worn on the fire earlier that week. That is, before March 8th. That my first knowledge that the T-shirts had been worn before that date was when Doyle Hunnicutt and 7 I conducted these interviews and the QC inspectors that we interviewed relayed that information to us. 8 Q And the interviews that you and Mr. Hunnicutt conducted were on the 9th of April; is that correct? 10 A April. I'm not sure about the date, but 11 you've got it. It's right on these sheets. 12 Q And was it April? 13 A It was in April. It was weeks after the date 14 15 of the incident. MR. BROWNE: That's all I have. Thank you, 16 17 Mr. Cummins. THE WITNESS: You're welcome. 18 MR. BACHMANN: I have what should be just 19 one brief question for the witness. 20 EXAMINATION 21 BY MR. BACHMANN: 22 Q Earlier on Mr. Roisman had asked you in the 23 course of his questioning that subsequent to the interviews 24 he had asked about whether or not you had drawn any conclusions

from the interviews. And I think as part of his question there were allusions made to whether this was merely a personnel matter.

Be that as it may, my question to you is is it your opinion that the T-shirt incident was essentially a personnel type of a matter?

MR. ROISMAN: Excuse me. Could I just get a clarification? Are you asking him his opinion as of now or as of the day on which the T-shirt incident occurred? Just so we can be clear what the answer will relate to.

MR. BACHMANN: Fine, I'm sorry. I appreciate that correction. Not on the date of the T-shirt incident, but subsequent, immediately subsequent to your participation in the interviews of the T-shirt people, after you went in with Mr. Hunnicutt and interviewed the people.

BY MR. BACHMANN:

Q Was it your feeling at that time and absent any other further information, is it still your feeling that what happened was a personnel matter, or was it more than a personnel matter?

A My opinion was that the impression I got was that it was a personnel matter. That the people that we interviewed gave me the impression that the wearing of the T-shirts was not to be critical of anything that was going on at the plant, but it was just a sharing of a way of

personal expression, not in an adverse way. That is what I got out of interviewing those people.

Of three of these people involved in the incident, I would like to get either from their words or their tone of voice or however you observed it, your opinion as to whether or not any of the actions taken by the Applicant in connection with the T-shirt incident, whether any of the people that you interviewed gave you reason to believe that it had something to do with the way they were doing their work?

A I didn't draw that conclusion from anything they said. Could you express the question one more time?

MR. ROISMAN: Mr. Bachmann, before you do, you're getting real close to leading this witness, and he is your witness.

MR. BACHMANN: I'm sorry.

MR. ROISMAN: I think he just invited you to do it again, and I don't want that to happen.

MR. BACHMANN: I think his answer can stand, unless you object to the question. And that's really all I wanted to say.

THE WITNESS: I just wanted to make sure I answered the question. It was long.

MR. BACHMANN: I setting the stage, and perhaps the question got into the leading section. I wanted to know

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if anything that had been said that would connect up the T-shirts with their work as inspectors.

THE WITNESS: I still don't understand the question. The T-shirts had something to do about we pick nits. I never seen one of the T-shirts, so I'm not sure exactly what it said, but that was implied, that it was inspectors. You see, the T-shirts were related to their work.

BY MR. BACHMANN:

Q Was anything connected with the T-shirt incident, did they give the impression there was more to it than just the T-shirts?

A No, that was the impression I got from interviewing these people that it was as much a joke as anything. Like I said before, they were not trying to deliver any message from the T-shirts. And maybe if we interviewed three more it would come out different, but those three people gave me the impression that one of the craft foreman or something had said something about nitpicking one day and they decided to have these T-shirts made up. And a number of them had the T-shirts made up.

And at that time we found out that they had worn the T-shirts prior to that date. That was my first knowledge of that. I think they said 20-some people had worn the T-shirts prior to that date.

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Q Did you get the impression from any of them 2 during the interview that anything that had been done to 3 them for any actions they might have done other than wearing the T-shirts, any prior actions other than the T-shirt 5 wearing? (Pause.) Prior to that time? 8 No, in other words, you had gotten the information they had been sequestered and what have you during 10 the day of the T-shirt incident. Did you get the impression 11 during the interview that this, whatever had been done to 12 them on that day was in response to anything other than 13 wearing the T-shirts? 14

No, I didn't.

MR. BACHMANN: I have no further questions.

THE WITNESS: I don't have any knowledge.

I didn't get that impression from the interview.

MR. BACHMANN: That's all I wanted to know, was from your impression from the interviews. I have no further questions.

MR. ROISMAN: I just have one very brief line of questioning.

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FURTHER EXAMINATION

BY MR. ROISMAN:

whether this was or whether this was not a personnel matter; could you tell me would an event that involved harassment of QC inspectors by their supervisors in which the QC inspectors argued that the supervisors were trying to make them work faster than they thought they reasonably could or tried to get them to write fewer NCRs because they were nit-picking and being over technical; would that also in your opinion be a personnel matter or would that be something other than a personnel matter?

A That would be other than personnel.

Q So it is not that this was a dispute between the bosses and the employees that made it a personnel matter; what makes it a personnel matter in your judgment is that it didn't have anything to do with the performance of their job?

A To the best of my knowledge of that, right; their wearing apparral was what got them in that situation. That was the impression I got. I don't have any knowledge beyond that. Just from talking to those people, that's the impression that I got.

MR. ROISMAN: All right. Subject to the

caveat, I have no further questions. MR. BACHMANN: I have nothing further. Mr. Browne? MR. BROWNE: Nothing further. Thank you. MR. ROISMAN: Thank you, Mr. Cummins. THE WITNESS: Thank you. MR. BACHMANN: Thank you. (Whereupon, at 11:15 a.m., the taking of the deposition was concluded.) James E. Cummins

CERTIFICATE OF PROCEEDINGS

This is to certify that the attached proceedings before the 2 NRC COMMISSION 3 In the matter of: Texas Utilities Electric Company, et al Deposition of James Cummins Date of Proceeding: Tuesday, July 17, 1984 5 Place of Proceeding: Glen Rose, Texas 6 were held as herein appears, and that this is the original transcript for the file of the Commission. 8 9 J. F. Coughlin Official Reporter - Typed 10 11 12 13 14 15 16 17 18 19 20 21

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1. Did you or any of the other persons sequestered have someone m call the NRC?
If so what time?

What message did this person convey or was asked to convey to the NRC?

Can you give the name of the person who called or was asked to call the NRC?

that allegedly

- 2. Has your personal property, if any/was removed from the Safeguards Building been returned? If yes, when was it returned and was it in good condition?
- 3. Do you desire that the other materials removed be returned?
- 4. What purpose did you think the NRC could or should serve before, during, or/and after you were sequestered?
- 5. Were there any notes or records in the material that was taken from your desk or files that would indicate something was not being done in accordance with requirements?
- 6. Was there anything taken that is not available from another source today?
- 7. If "yes" to 6; What was it?
- 8. Do you know of any thing that has been done that was not in accordance with specifications or requirements that has not been corrected.



- Mr. Ambrose did not know of anyone who contacted the NRC nor of anyone who
 requested that someone contact the NRC.
- 2. Mr. Ambrose's records wwwww included NIS(Nuclear Instrument System) records but personal property was not taken.
- Mr. Ambrose did not desire that any materials be returned. He stated that he had two copies of his work documents, so did not need the materials to do his job.
- 4. Mr. Ambrose stated thatmanagement "blew the whole thing out of proportion". He stated that the NRC should have been contacted, but didn't know why or what he expected the NRC to do or accomplish. He stated that no way would he have allowed the NRC to take pictures of him. He stated that he was among those who told the licensee that they could not take pictures or him. He stated that Brown and Root stated that B&R had no problems with the people wearing the tee shirts, but management made the decision. He said that B&R took 3 to 4 hours to determine what the problem with wearing tee shirts was.

He stated that the tee shirts had nothing to do with anything, except a samiguar slogan "we pick nits", as a result of a discussion with a craft foreman. He felt the press was inaccurate and unjust. He felt that the "Harry Williams" firing had nothing to do with the slogan. He stated that he had worn the tee shirt twice before without incident.

- Mr. Ambrose stated that he knew of nothing that would indicate something was not being done correctly or that any notes or records taken indicated that type of problem.
- 6. Mr. Ambrose stated that he got copies of working documents and didn't need those materials taken.
- Mr. Ambrose could not identify anything that was done incorrectly and was not corrected or scheduled (identified) for corrective actions.

D. M. Hunnicutt



SUMMARY OF INTERVIEW WITH Mr. Lan Davis

- 1. Mr. Davis did not know of anyone that contacted the NRC as a result of being sequestered. He said that Scott Schanlin(sp) called the newspaper and probably called the NRC. He indicated that he thought that Schanlin was "stupid" and never did understand anything and had no business getting involved or involving the sequestered people.
- Mr. Davis stated that none of his personal property had been kept by the management.
- 3. Mr. Davis stated that the materials removed from his desk and work area are not required for him to do his job. He could care less if these materials are ever returned as they are available though other sources.
- 4. Mr. Davis stated that he felt they did work for NRC or at least do work as NRC representatives. He could not determine the usefulness of the NRC at the place of sequestering. He would not want his picture taken by the licensee and told the licensee that. He would not want NRC to take pictures either.
- 5. Mr. Davis did not know of any notes or records that would indicate something was not being done that should have been done in accordance with requirements.
- 6. Mr. DAvis stated that materials taken were avialable through other sources.
 - 7. Mr. Davis stated that things were getting done OK. He felt that the work was well above what was called for. He stated that some procedures had been made less restrictive, but that the requirements were still above the minimum requirements to meet the work.

Mr. Davis felt that feedback from management could be better. He still does not know where he stands as a result of the tee shirt incident.

D. M. Hunnicutt



SUMMARY OF INTERVIEW WITH Mr. B. Hearn

- Mr. Hearn did not contact anyone and request that person(s) to contact the NRC or anyone else.
- 2. Mr. Hearn stated that no personal property was removed and kept by management.
- Mr. Hearn has no desire that materials removed be returned. He has prepared replacement documentation from other sources.
- 4. Mr. Hearn can think of no purpose that the NRC could or should have performed. He definitely did not desire that any pictures of himself be taken by anyone either with the tee shirt or in any other clothing.
- 5. Mr. Hearn kept records for his own personal use due to the "poor paper flow" that he felt would be useful to provide information, if it was necessary to re-inspect items at a later date. None of these records were removed by management from his desk or files. He knew of nothing that was not being done in accordance with requirements that was not reported and/or known by others.
- 6. Mr. Hearn had nothing taken that was not available from another source.
- 7. Mr. Hearn knew of nothing that has not been done in accordance with specifications or requirements that has not been corrected or that is not identified for corrective action.

D. M. Hunnicutt --

