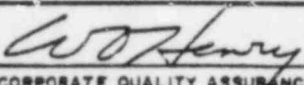


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 CORPORATE QUALITY ASSURANCE MANAGER		DATE <u>10/25/82</u> QUALITY ASSURANCE PROGRAM																			
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Title:

NUCLEAR REGULATORY COMMISSION REPORTING REQUIREMENTS

Purpose:

To establish the procedure for determining the reportability of and subsequent reporting of significant items to the Nuclear Regulatory Commission (NRC) as required by the following regulations:

- a. 10CFR21
- b. 10CFR50, Section 50.55(e)

Scope:

This procedure applies to [REDACTED] identified during the design, construction, and operation of a nuclear plant which are required to be evaluated for reportability to the NRC.

Definitions:

Reportable Items - Those significant items which have been evaluated pursuant to this procedure and the applicable regulations and are determined to be reportable to the Nuclear Regulatory Commission.

Potentially Reportable Items - Those items which are of such significance that a complete analysis may find them reportable.

1. RESPONSIBILITY

- 1.1 The Corporate Quality Assurance Manager is responsible for problem evaluation and initial reporting to the Nuclear Regulatory Commission. (STEAM PRODUCTION WILL REPORT TO NRC ON OPERATING LICENSED UNITS.)
- 1.2 Persons detecting items or events which they believe to be reportable shall be responsible to see that such items are reported to management under the provisions of this procedure.

2. PROCEDURE

- 2.1 The attached flow charts outline items or events which are potentially reportable to the Nuclear Regulatory Commission in accordance with applicable regulations.
- 2.2 When a deficiency is identified that may be reportable, the following steps must be followed within the indicated time frames:

Entire Page Revised

- 2.2.1 The individual detecting the item or event shall cause a Nonconforming Item (NCI) to be generated if this has not already been done. The initiation of the NCI establishes "Time Zero".
- 2.2.2 Within five (5) calendar days, the Corporate QA Manager should be notified of any potentially reportable items by the individual assigned responsibility for evaluation of the NCI. Notification should be accomplished by completing the "statement of problem" section of Form 290.1 and sending it to the Corporate QA Manager with copies to the cognizant QA manager.
- 2.2.3 Upon receipt of the Form 290.1, the Corporate QA Manager shall review the information provided and with reference to the applicable regulations, use the technical expertise at his disposal to evaluate the problem for reportability and document his evaluation in the space provided.
- 2.2.3.1 If evaluated as not reportable, the Corporate QA Manager shall sign the form and return a copy to the originator.
- 2.2.3.2 If evaluated as reportable, the Corporate QA Manager shall, within 24 hours of completion of the evaluation, make an initial telephone report to the Nuclear Regulatory Commission, Region II. This telephone report shall give brief description of the item or event and a summary of any corrective action taken or to be taken. A copy of the completed 290.1 shall be returned to the originator. The determination of reportability must be made by the Corporate QA Manager. This cannot be delegated. Once the 290.1 has been signed by the Corporate QA Manager, the telephone report can be made by anyone designated by the Corporate QA Manager. The QA Manager, Technical Services, is hereby delegated to make these calls. Others may be delegated by the Corporate QA Manager to make these calls.
- 2.2.3.3 In any case, within thirty (30) calendar days of "Time Zero", a decision must be made on reportability or the deficiency must be reported to the NRC as a Potentially Reportable Item. This telephone report must be made no later than the thirty-first day by the Corporate QA Manager or individual designated by the Corporate QA Manager. Form 290.1 will be used to record NRC contact for potentially reportable and reportable items. This form will be serialized and distributed by QA Manager, Technical Services. Potentially Reportable Items which are later determined not reportable may be cancelled by a telephone call to NRC.

2.2.3.4 For reportable items, the Corporate QA Manager shall have a written report prepared and sent to the Nuclear Regulatory Commission within thirty (30) days for 10CFR50.55(e) or five (5) days for 10CFR21 of reporting the item to the NRC in accordance with the regulations. The report shall contain information required by 10CFR21, Paragraph 21.21(3) even though the report is made as a 50.55(e) event. Official correspondence and reports to the Nuclear Regulatory Commission shall be sent through the Licensing Group.

2.3 NCIs Generated At A Plant Unit with An Operating License

For the above NCIs which are assigned to Quality Assurance for resolution, review and handling of potentially reportable items shall be handled the same as described in section 2.2 with the following exceptions:

- 1) All phone contacts with the NRC will be made jointly by the Steam Production Department and QA Manager, Technical Services after receipt of Form 290.1 which will be serialized by the QA Manager, Technical Services.
- 2) The written report on reportable items will be prepared and submitted by the Steam Production Department in lieu of the Corporate QA Manager.

3.0 POSTING

The notice shown in Enclosure 6.1 to this procedure shall be posted in a conspicuous position on any premise activities subject to the regulation are conducted. In addition, the following must be available for any person desiring to see them, and the posted notice shall say where they are available.

3.1 The regulation in 10CFR Part 21.

3.2 The procedure adopted pursuant to the regulation in 10CFR21 (i.e., this procedure).

4.0 RECORDS

4.1 Reports received by the Corporate QA Manager shall be serialized by project name, year, and sequential number. Records indicating the disposition of each potentially reportable item and of each reported item will be maintained by the Corporate QA Manager.

5.0 FORMS

5.1 290.1

6.0 ENCLOSURES

6.1 Posted Notice

6.2 Flow Chart for 50.55(e) reportability

6.3 Flow Chart for Part 21 reportability

ENERGY REORGANIZATION ACT OF 1974
Act of October 11, 1974 (Public Law 93-438)
.....

Procedure QA-121
Enclosure 6.1
Rev 1

Section 206
NONCOMPLIANCE

(a) Any individual director, or responsible officer of a firm constructing, owning, operating, or supplying the components of any facility or activity which is licensed or otherwise regulated pursuant to the Atomic Energy Act of 1954 as amended, or pursuant to this Act, who obtains information reasonably indicating that such facility or activity or basic components supplied to such facility or activity--

(1) fails to comply with the Atomic Energy Act of 1954 as amended, or any applicable rule, regulation, order, or license of the Commission relating to substantial safety hazards, or

(2) contains a defect which would create a substantial safety hazard, as defined by regulations which the Commission shall promulgate.

shall immediately notify the Commission of such failure to comply, or of such defect, unless such person has actual knowledge that the Commission has been adequately informed of such defect or failure to comply.

(b) Any person who knowingly and consciously fails to provide the notice required by subsection (a) of this section shall be subject to a civil penalty in an amount equal to the amount provided by section 234 of the Atomic Energy Act of 1954, as amended.

(c) The requirements of this section shall be prominently posted on the premises of any facility licensed or otherwise regulated pursuant to the Atomic Energy Commission Act of 1954, as amended.

(d) The Commission is authorized to conduct such reasonable inspections and other enforcement activities as needed to insure compliance with the provisions of this section.

.....
Regulations establishing procedures and requirements for the implementation of Section 206 of the Energy Reorganization Act of 1974 have been promulgated by the Nuclear Regulatory Commission in Title 10, Code of Federal Regulations, Part 21 - Reporting of Defects and Noncompliance. Pursuant to 10CFR Part 21, implementing procedures for _____
have been established as follows:

A copy of 10CFR Part 21 and the above listed procedures may be examined and reports of defects and noncompliance may be made by contacting:

_____ at _____
.....

POTENTIALLY REPORTABLE ITEM

Source Document(s)

QA Serial No. _____

VN No. _____

Station/Project _____

Serial No. (Optional) _____

NCI No. _____

Unit _____

DNC No. _____

Other _____

Date Identified: _____

Statement of Problem _____

By: _____ Date: _____

cc: ☐ VP _____ ☐ Corporate QA Manager☐ SRAL Division Head ☐ Other _____

Evaluation: _____

Conclusion: Reportable ☐ Yes ☐ No Applicable To: ☐ 50.55(e) ☐ Part 21 ☐ BothPotentially Reportable (Evaluation Incomplete) ☐

Evaluated By: _____ Date: _____

Potentially Reportable Item Later Determined Not Reportable ☐ Cancel

Evaluated By: _____ Date: _____

Initial Telecon Report Made To: Formal Report Due: _____ (Date)

By: _____ Assigned To: _____

Date: _____ Submit Report To: _____

Cancellation Made To: _____

By: _____

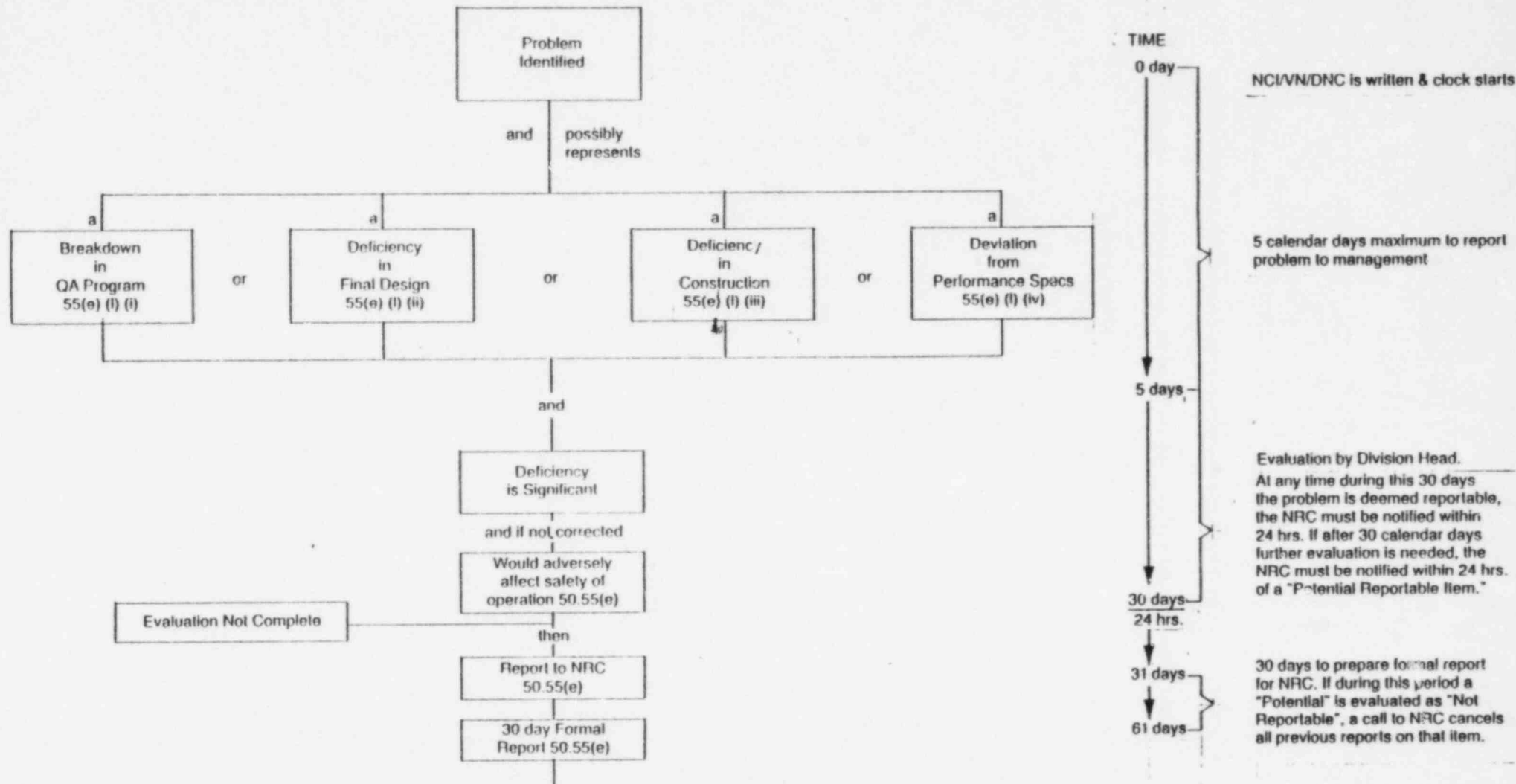
Date: _____

STATEMENT OF PROBLEM

EVALUATION

NRC CONTACT

FLOW CHART FOR REPORTABILITY OF ITEMS/EVENTS UNDER 10CFR50.55(e)



FLOW CHART
Reportability Under 10CFR-PT-21

Procedure QA-121
 Enclosure 6.3
 Revision 3

