

PDR



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D. C. 20555

CHAIRMAN

October 31, 1994

The Honorable John Glenn, Chairman  
Committee on Governmental Affairs  
United States Senate  
Washington, D.C. 20510

Dear Mr. Chairman:

I am pleased to provide the Nuclear Regulatory Commission's report summarizing actions taken under P.L. 99-509, the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq. Section 3810 of that Act requires that each covered agency prepare and submit to the appropriate committees and subcommittees of the Congress an annual report summarizing actions taken under P.L. 99-509 during the past year. The NRC first became covered by the Act when the Office of the Inspector General was established in April 1989.

Sincerely,

Kenneth C. Rogers  
Acting Chairman

Enclosure:  
Report to Congress

cc: Senator William V. Roth, Jr

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PDR COMMS NRCC  
CORRESPONDENCE PDR



CHAIRMAN

UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D. C. 20555

October 31, 1994

The Honorable Joseph I. Lieberman, Chairman  
Subcommittee on Clean Air and Nuclear Regulation  
Committee on Environment and Public Works  
United States Senate  
Washington, D.C. 20510

Dear Mr. Chairman:

I am pleased to provide the Nuclear Regulatory Commission's report summarizing actions taken under P.L. 99-509, the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq. Section 3810 of that Act requires that each covered agency prepare and submit to the appropriate committees and subcommittees of the Congress an annual report summarizing actions taken under P.L. 99-509 during the past year. The NRC first became covered by the Act when the Office of the Inspector General was established in April 1989.

Sincerely,

A handwritten signature in cursive script that reads "Kenneth C. Rogers".

Kenneth C. Rogers  
Acting Chairman

Enclosure:  
Report to Congress

cc: Senator Alan K. Simpson



CHAIRMAN

UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D.C. 20555

October 31, 1994

The Honorable J. Bennett Johnston, Chairman  
Subcommittee on Energy and Water Development  
Committee on Appropriations  
United States Senate  
Washington, D.C. 20510

Dear Mr. Chairman:

I am pleased to provide the Nuclear Regulatory Commission's report summarizing actions taken under P.L. 99-509, the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq. Section 3810 of that Act requires that each covered agency prepare and submit to the appropriate committees and subcommittees of the Congress an annual report summarizing actions taken under P.L. 99-509 during the past year. The NRC first became covered by the Act when the Office of the Inspector General was established in April 1989.

Sincerely,

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Kenneth C. Rogers  
Acting Chairman

Enclosure:  
Report to Congress

cc: Senator Mark O. Hatfield



CHAIRMAN

UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D. C. 20555

October 31, 1994

The Honorable Philip R. Sharp, Chairman  
Subcommittee on Energy and Power  
Committee on Energy and Commerce  
United States House of Representatives  
Washington, D.C. 20515

Dear Mr. Chairman:

I am pleased to provide the Nuclear Regulatory Commission's report summarizing actions taken under P.L. 99-509, the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq. Section 3810 of that Act requires that each covered agency prepare and submit to the appropriate committees and subcommittees of the Congress an annual report summarizing actions taken under P.L. 99-509 during the past year. The NRC first became covered by the Act when the Office of the Inspector General was established in April 1989.

Sincerely,

Kenneth C. Rogers  
Acting Chairman

Enclosure:  
Report to Congress

cc: Representative Michael Bilirakis



CHAIRMAN

UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D. C. 20555

October 31, 1994

The Honorable Richard H. Lehman, Chairman  
Subcommittee on Energy and Mineral Resources  
Committee on Natural Resources  
United States House of Representatives  
Washington, D.C. 20515

Dear Mr. Chairman:

I am pleased to provide the Nuclear Regulatory Commission's report summarizing actions taken under P.L. 99-509, the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq. Section 3810 of that Act requires that each covered agency prepare and submit to the appropriate committees and subcommittees of the Congress an annual report summarizing actions taken under P.L. 99-509 during the past year. The NRC first became covered by the Act when the Office of the Inspector General was established in April 1989.

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Kenneth C. Rogers  
Acting Chairman

Enclosure:  
Report to Congress

cc: Representative Barbara Vucanovich



UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D. C. 20555

October 31, 1994

The Honorable Tom Beville, Chairman  
Subcommittee on Energy and Water Development  
Committee on Appropriations  
United States House of Representatives  
Washington, D.C. 20515

Dear Mr. Chairman:

I am pleased to provide the Nuclear Regulatory Commission's report summarizing actions taken under P.L. 99-509, the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq. Section 3810 of that Act requires that each covered agency prepare and submit to the appropriate committees and subcommittees of the Congress an annual report summarizing actions taken under P.L. 99-509 during the past year. The NRC first became covered by the Act when the Office of the Inspector General was established in April 1989.

Sincerely,

Kenneth C. Rogers  
Acting Chairman

Enclosure:  
Report to Congress

cc: Representative John T. Myers



CHAIRMAN

UNITED STATES  
NUCLEAR REGULATORY COMMISSION  
WASHINGTON, D. C. 20555

October 31, 1994

The Honorable John Conyers, Jr., Chairman  
Committee on Government Operations  
United States House of Representatives  
Washington, D.C. 20515

Dear Mr. Chairman:

I am pleased to provide the Nuclear Regulatory Commission's report summarizing actions taken under P.L. 99-509, the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 et seq. Section 3810 of that Act requires that each covered agency prepare and submit to the appropriate committees and subcommittees of the Congress an annual report summarizing actions taken under P.L. 99-509 during the past year. The NRC first became covered by the Act when the Office of the Inspector General was established in April 1989.

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Kenneth C. Rogers  
Acting Chairman

Enclosure:  
Report to Congress

cc: Representative William F. Clinger, Jr.

Report Summarizing Actions Taken  
By The Nuclear Regulatory Commission Under The  
Program Fraud Civil Remedies Act of 1986  
For FY 1994

Background

In October 1986, Congress enacted the Program Fraud Civil Remedies Act ("PFCRA") to provide Federal agencies with an administrative process and remedy to recompense the Government for losses resulting from false, fictitious, and fraudulent claims and statements. See Title VI, Subtitle B (Program Fraud Civil Remedies) of the Omnibus Budget Reconciliation Act of 1986, P.L. 99-509, 31 U.S.C. § 3801 et seq.

Purpose of the Report

The Act requires each authority head to prepare and transmit to the appropriate committees and subcommittees of Congress an annual report summarizing actions taken under the Act. The report is to contain:

1. A summary of matters referred during the previous 12 months by the investigating official of the authority to the reviewing official of the authority under 31 U.S.C. § 3803(a)(1);
2. A summary of matters transmitted to the Attorney General under 31 U.S.C. § 3803(a)(2) during the previous 12 months;
3. A summary of all hearings conducted by presiding officers under 31 U.S.C. § 3803(f) and the results of such hearings during the previous 12-month period; and
4. A summary of all actions taken during the prior 12-month period ending September 30, 1994.

Summary of Action

Following are summaries of actions taken during FY 1993 under P.L. 99-509, the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. section 3801 et seq.:

- (1) As reported in the NRC's FY 1993 report, an investigation determined that an employee submitted four fraudulent travel vouchers containing false claims for lodging totaling \$635 and the NRC was awaiting authorization from the Department of Justice to proceed under PFCRA. During FY 1994, such authorization was received. The agency loss of \$635 was recouped from

the employee and the employee resigned from the agency. In addition, the employee entered into an agreement with the NRC agreeing to pay the agency an additional \$2,000 in settlement of PFCRA liability.

- (2) As reported in the NRC's FY 1993 report, the agency was proceeding to hearing in FY 1994 in a case concerning alleged false travel and overtime claims submitted by an NRC employee totalling \$13,633. After finding the employee liable on twenty-one of twenty-three counts as alleged in the complaint, the Administrative Law Judge awarded the NRC a sum of \$21,711, less an amount already repaid to the agency by the employee.
- (3) An investigation was initiated into an allegation that an agreement state employee submitted false travel claims to the NRC while on agency-sponsored travel. The investigation substantiated that this employee submitted two false lodging claims to the NRC totalling approximately \$550. The Department of Justice authorized the agency to proceed under PFCRA. The NRC settled this case for \$4,000.

No other reportable action was taken during the 12-month period ending September 30, 1994.