# Retrospective Review of Administrative Requirements

Division of Rulemaking, Environmental, and Financial Support
Office of Nuclear Material Safety and Safeguards

Public Meeting March 24, 2020



# Purpose

Discuss the NRC's request for stakeholder input on outdated or duplicative administrative requirements that could be potentially modified or eliminated

Described in Federal Register notice (85 FR 6103)



# Agenda

- Introduction and Opening Remarks
- Objectives and Scope
- Background
- Retrospective Review of Administrative Requirements (RROAR) Strategy
- Discussion of Evaluation Criteria:
  - Purpose
  - Five criteria
  - Application and example
- Specific questions
- Closing Remarks



# Overview of the Retrospective Review of Administrative Requirements



# Objectives and Scope

- Optimize management and administration of regulatory activities without impact to the NRC's mission
- Ensure regulations remain current and effective



# Background

- NRC announced initiation of RROAR in a press release<sup>1</sup>
- Staff requested Commission approval of its RROAR implementation strategy, including proposed evaluation criteria<sup>2</sup>
- Commission approved the staff's request<sup>3</sup>

<sup>1</sup>Press release No. 17-036, "NRC to Review Its Administrative Regulations," dated August 11, 2017 (Agencywide Documents Access and Management System [ADAMS] Accession No. ML17243A126)

<sup>2</sup>SECY-17-0119, "Retrospective Review of Administrative Regulations" (ML17286A069)

<sup>3</sup>Staff Requirements Memorandum (SRM)-SECY-17-0119, "Retrospective Review of Administrative Regulations" (ML18096A500)

# Background (cont.)

 NRC published Federal Register notice with proposed criteria in May 2018

(83 FR 19464)

- 60-day public comment period
- Held public meeting to seek public input on proposed evaluation criteria



# Background (cont.)

- Staff provided the final evaluation criteria based on public input to the Commission for review and approval<sup>4</sup>
- Commission approved the staff's evaluation criteria with changes<sup>5</sup>

<sup>4</sup>COMSECY-18-0027, "Evaluation Criteria for Retrospective Review of Administrative Regulations" (ML18227A120)



<sup>&</sup>lt;sup>5</sup>SRM-COMSECY-18-0027, "Evaluation Criteria for Retrospective Review of Administrative Regulations" (ML19281C697)

# Retrospective Review of Administrative Requirements (RROAR) Strategy

**Review Historical Correspondence** 

Gather Internal Input

Request Public Input

**Compile and Review Input** 

**Provide Initial Recommendations to Commission** 



# Questions



# **Evaluation Criteria**



# Purpose of Evaluation Criteria

- Focus on administrative requirements (e.g., recordkeeping or reporting)
- Expedite the review of internal and external input
- Target regulatory changes that would result in burden reductions
- Not intended to replace or change the NRC's existing processes for establishing requirements



#### **Evaluation Criteria**

Criterion 1 - Routine and periodic recordkeeping and reporting requirements not used within the last 3 years

Criterion 2 - Requirements for reports or records that contain information reasonably accessible to the agency from alternative resources



# **Evaluation Criteria (cont.)**

Criterion 3 - Requirements for reports or records that could be modified to result in <u>reduced burden</u> without impacting programmatic needs, regulatory efficiency, or transparency, through:

- less frequent reporting,
- shortened record retention periods,
- requiring entities to maintain a record rather than submit a report, or
- implementing another mechanism that reduces burden for collecting or retaining information



# Evaluation Criteria (cont.)

Criterion 4 - Recordkeeping and reporting requirements that result in significant burden

Criterion 5 - Reports or records that <u>contain</u> <u>information used by other Federal agencies</u>, <u>State and local governments</u>, <u>or Federally recognized Tribes</u> will be dropped from the review provided the information collected is necessary to support the NRC's mission or to fulfill a binding NRC obligation

# Application of Evaluation Criteria

- Not intended to be mutually exclusive, and a given regulation may satisfy one or more criteria
- Regulatory changes for consideration must meet at least one of the first four criteria
- Criterion 5 will be used to screen out third-party notifications from further consideration



# Example

Final Rule: "Occupational Dose Records, Labeling Containers, and the Total Effective Dose Equivalent," (12/4/2007, 72 FR 68043)

- Major rule but included administrative change applicable to RROAR initiative
- Limits the routine reporting of annual doses to workers whose annual dose does not exceed a specific dose threshold
- Continues the routine reporting to workers whose annual dose exceeds dose threshold and to those who requests a report
- Reduces reporting burdens without affecting the level of protection to either the health and safety of workers and the public, or the environment
  - Criterion 1: The information contained in 10 CFR Parts 19, 20, and 50 reports were routine.
  - Criterion 2: The information are also available in annual report to Commission.
  - Criterion 3: The staff determined less routine reporting requirements would meet the programmatic needs.
  - Criterion 4: The rulemaking effort averted an estimate 132,000 hours per year of burden and \$135 million (7-percent real discount rate in 2007 dollars).
  - Criterion 5: This report wasn't used by another governmental agency.



# **Specific Questions**

#### Specific questions from the FRN:

- 1. Which administrative regulations should the NRC consider changing?
- 2. How should the NRC change the regulations?
- 3. What is the basis for the proposed change?
- 4. What burden is associated with the administrative requirements?
- 5. How would the suggested change reduce burden?



#### How to Provide Comments

- Federal Rulemaking Web Site
  - √ <a href="http://www.regulations.gov">http://www.regulations.gov</a>
  - ✓ Docket ID NRC-2017-0214
  - ✓ Docket questions: Carol Gallagher, 301-415-3463, Carol.Gallagher@nrc.gov
  - ✓ Technical questions: Pamela Noto, 301-415-6795, Pamela.Noto@nrc.gov or Andrew Carrera, 301-415-1078, Andrew.Carrera@nrc.gov
- 60-day public comment period ends on April 06, 2020 (85 FR 6103)



# Questions



#### How Did We Do?

There are several ways you can provide your feedback on this meeting:

Scan QR code for NRC Public Meeting Feedback
 Form (meeting ID# 20200160),

- Fill out a hard copy of our "Public Meeting Feedback Form," or
- Go to the <u>Public Meeting Schedule</u> and click on the "Meeting Feedback" link.

