



UNITED STATES
NUCLEAR REGULATORY COMMISSION

WASHINGTON, D.C. 20555-0001

April 11, 1994

MEMORANDUM FOR: William T. Russell, Director, NRR
Robert M. Bernero, Director, NMSS
Ben B. Hayes, Director, OI
Thomas T. Martin, Regional Administrator, RI
Stewart D. Ebnetter, Regional Administrator, RII
John B. Martin, Regional Administrator, RIII
L. J. Callan, Regional Administrator, RIV

FROM: Hugh L. Thompson, Jr.
Deputy Executive Director for
Nuclear Materials Safety, Safeguards,
and Operations Support

SUBJECT: MANAGEMENT OF ALLEGATIONS

Recently, an event in our management of allegations has identified the need to clarify the NRC Policy regarding responsibilities for communications with the allegor subsequent to allegation receipt and resolution. NRC Management Directive (MD) 8.8, "Management of Allegations" (formerly NRC Manual Chapter 0517) defines the policy and procedures for the proper receipt, processing, and resolution of allegations received by NRC. Although NRC MD 8.8 is currently undergoing revision, the following clarification is based on the NRC MD 8.8 revision currently in effect and is not meant to supersede any guidance contained in future revisions of the MD. This interim guidance is no longer effective upon issuance of the new Management Directive 8.8.

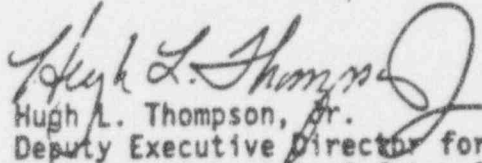
NRC MD 8.8 requires all Regions and the Offices of NRR and NMSS to appoint an Office Allegation Coordinator (OAC). Any NRC employee receiving an allegation shall promptly notify their OAC. For allegations received by OI, the OI Headquarters Director or OI Field Director will contact their respective Office or Region OAC. The OAC receiving the allegation shall determine the appropriate Action Office and coordinate with the appropriate Action Office OAC on each allegation received. The Action Office OAC is the individual responsible for communicating status information with the allegor subsequent to the receipt and resolution of the allegation. The Action Office OAC is also responsible for recording the received allegation into the Allegation Management System. Even though allegations may be received by individuals within NRC other than an OAC (e.g. Resident Inspectors, Region-based Inspectors, Investigators), the Action Office OAC shall nevertheless communicate with the allegor regarding the receipt of the allegation.

When the Action Office Allegation Review Panel refers an allegation to OI because of potential wrongdoing issues, the Action Office OAC remains the single point of contact with the allegor for status information and shall communicate with the allegor as outlined in NRC MD 8.8 to ensure all allegors are notified of the receipt and resolution of their concerns in a timely manner. The Action Office OAC should, however, coordinate with OI regarding communications with the allegor to ensure sensitive information is not compromised.

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It is important that the alleged is informed of when to expect further communications from the NRC regarding allegation status. During the initial communication with the alleged regarding receipt of the allegation, the Action Office OAC should inform the alleged in writing, if the address is known, that they will be contacted upon resolution of the allegation and if they should have any questions in the interim regarding the allegation they may contact the Action Office OAC collect at their respective phone number. During the periodic review of allegations, the Action Office Allegation Review Panel should determine whether enhanced communications with the alleged (e.g. interim periodic status updates) should be implemented depending on the nature and sensitivity of the allegation.

Please review the issues discussed above and other pertinent requirements of NRC MD B.8 with appropriate members of your staff to ensure that allegations received by NRC are received, processed, and resolved appropriately and that communications with all alleged are made in a timely manner. If there are any questions, please contact Mark Elliott on 504-1736 or me.



Hugh L. Thompson, Jr.
Deputy Executive Director for
Nuclear Materials Safety, Safeguards,
and Operations Support

cc: J. Taylor
J. Milhoan
W. Parler
E. Jordan
Office Allegation Coordinators

LIST OF OFFICE ALLEGATION COORDINATORS
JANUARY 1994

The following individuals are the Office Allegation Coordinators (OACs) for the agency.

Office	Name/(E-Mail ID)	Mail Stop	Phone	Fax
NRR	Jean Lee/(JXL3)	9A2 OWFN	301-504-2918	301-504-2968
NMSS	Robert L. O'Connell (RLO)	6H3 OWFN	301-504-2627	
RI	David J. Vito	RGN-I	215-337-5222	
RII	Oscar DeMiranda *	RGN-II	404-331-4193	
RII	Algis J. Ignatonis **	RGN-II	404-331-4194	
RIII	Donald E. Funk	RGN-III	708-829-9668	
RIV	Russell Wise	RGN-IV	817-860-8245	

* All plants except Watts Bar

** Watts bar only

The following individuals provide back-up support for the OACs.

Office	Name	Mail Stop	Phone	Fax
NRR	Anil S. Gautam	9A2 OWFN	301-504-2988	301-504-2968
NMSS	Paul F. Goldberg	6H3 OWFN	301-504-2631	
RI	Maitri Banerjee	RGN-I	215-337-5355	
RII	Algis J. Ignatonis *	RGN-II	404-331-4194	
RII	Oscar DeMiranda **	RGN-II	404-331-4193	
RIII	Paul R. Pelke	RGN-III	708-829-	
RIV	Gary F. Sanborn	RGN-IV	817-860-8222	

* All plants except Watts Bar

** Watts bar only

Allegation Project Manager (APM): Anil S. Gautam, Mail 9A2 OWFN, 301-504-2988
Back-up APM: Jean Lee, Mail Stop 9A2 OWFN, 301-504-2918