Document Control (STN 50-483)
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SEP 7 1982

Docket No.: STN 50-483

Mr. D. F. Schnell
Vice President - Nuclear
Union Electric Company
P. O. Box 149
St. Louis, Missouri 63166

Dear Mr. Schnell:

Subject: Request for Additional Information Regarding (a) Pump and Valve Operability and (b) Purge Valve Operability for Callaway Unit No. 1

As a result of our continuing review of the Callaway Plant Unit 1 FSAR, we find that we need additional information to complete our evaluation. The specific information is in the area of seismic and dynamic qualification of equipment, particularly pump and valve operability and purge valve operability, and is presented in the Enclosure.

Seismic and dynamic qualification review consists of two elements (a) general program outlines as described in the FSAR's and (b) detailed on-site audit of equipment as installed and the qualification documentation. Since the FSAR's contain very little information on how the applicant's equipment qualification program is actually being implemented, on-site audit is an important element of the staff review.

Attachments 1 through 5 to the enclosed request for information are the most recent version of the staff information request for its plant site audit for seismic and dynamic qualification review. These attachments are provided for your use in the following manner:

Attachment 1 - For your information only. An earlier version of attachment 1 was provided to you in the staff's information request dated September 11, 1981. Because you have already submitted your response and have agreed to add installation status to the list, there is no need to revise the work to adhere to this latest format.

Attachment 2 - For your use. This is a more recent version than that provided to you in the September 11, 1981 letter. Please use this form for your response.

OFFICE ▶							
SURNAME ▶							
DATE ▶							

Attachments 3, 4, 5 - For your use. These forms were not provided to you earlier. Note that a pump and valve operability part (Attachment 3) is now included in the overall seismic and dynamic review.

Because the staff is conducting the review with the assistance of a national laboratory, we request the one additional copy of Attachment 1, after it is completed, be sent to:

Mr. C. Miller
EG&G Idaho Inc.
P. O. Box 1625
Idaho Falls, Idaho 83415

Twelve (12) pieces of equipment will be selected from the completed Attachment 1 for the Pump and Valve Operability Review Team (PVORT) Audit. The list of selected equipment will then be transmitted to you. You should complete the Pump and Valve Operability Assurance Review form for the twelve pieces of equipment and transmit copies of the completed forms to Mr. C. Miller and to the NRC two weeks prior to the week of the audit.

The schedule for the week of the audit is as follows: the audit proper runs three full days beginning Tuesday morning. On Friday morning an exit meeting is held to discuss the findings and review the status of the equipment audited. It is the staff's understanding that Union Electric now expects equipment installation to be sufficiently complete for the audit about March 1983.

Please note that the SNUPPS staff was verbally notified August 18, 1982 of the enclosed request. If you have any questions concerning the above, please contact the Callaway Project Manager, Dr. G. E. Edison.

Sincerely,

Original signed by:
B. J. Youngblood

B. J. Youngblood, Chief
Licensing Branch No. 1
Division of Licensing

Enclosures:
As stated

cc: See next page

*SEE PREVIOUS ORC FOR CONCURRENCES.

OFFICE	DL:LB#1*	DL:LB#1*	DL:LB#1				
SURNAME	GEEdison/lg	JBHopkins	BJYoungblood				
DATE	9/2/82	9/ 3/82	9/07/82				

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Sincerely,

B. J. Youngblood, Chief
Licensing Branch No. 1
Division of Licensing

Enclosures:
As stated

cc: See next page

OFFICE	DL:LB#1	DL:LB#1	DL:LB#1				
SURNAME	GEdison/lg	JHopkins	BJYoungblood				
DATE	9/2/82	9/3/82	9/ /82				

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Nuclear Regulatory Commission, Region III
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ENCLOSURE

Request for Additional Information
Seismic Qualification Review Team

271.0 EQUIPMENT QUALIFICATION BRANCH

271.4C To confirm the extent to which safety-related equipment meets the requirements of the General Design Criteria (GDC) of 10 CFR Part 50, the NRC staff, assisted by Technical Assistance Contractors, will conduct a plant site audit and review. It is our intent to conduct a plant specific on-site Pump and Valve Operability Review Team (PVORT) audit concurrent with the Seismic Qualification Review Team (SQRT) audit. We believe such scheduling should minimize manpower and scheduling conflicts for the applicant, the NRC staff, and our technical assistance contractors.

Since the site audit is performed on a sampling basis it is necessary to ensure that 85 to 90 percent of the safety related equipment are qualified and installed before the audit. In order that the staff is familiar with the seismic and dynamic qualification programs currently being conducted, it is requested that all test programs be identified by submitting a brief description of the program, items being tested, the vendor or the testing laboratory involved, and the dates and location of the tests. Information about the ongoing test programs should be submitted as soon as possible so that the NRC staff can review and witness relevant tests for selected items.

A list of all safety-related equipment should be provided so that an assessment of the equipment qualification status can be made by the staff. Equipment should be divided first by system then by component type. Attachment #1 shows a tabular format which should be followed to present the status summary of all safety-related equipment.

After the information on Attachment #1 is received, and it is determined that the equipment qualification is substantially complete, selections will be made of the equipment to be audited, and reviewed, by the SQRT and PVORT. Specific information on equipment selected for audit by each review team will be requested. The information that will be requested for those equipment selected by the SQRT is shown in Attachment #2. The information that will be requested for those equipment selected by PVORT is shown in Attachment #3. In addition, the applicant will be requested to provide a complete set of floor response spectra identifying their applicability to the equipment listed in Attachment #1.

For the equipment selected by the SQRT for audit, the combined Required Response Spectra (RRS) or the combined dynamic response will be reviewed. The SQRT will examine and compare the equipment on-site installation v/s the test configuration and mounting, and determine whether the test, or analysis which has been conducted conforms to the applicable standards and agrees with the RRS. In cases where the plant is a BWR facility, the equipment qualifying documentation must also provide evidence that the hydrodynamic loads in the (0 - 100) Hz frequency range have been accounted for.

For the equipment selected by the PVORT for audit, the applicant must provide evidence that appropriate manufacturers' tests have been conducted, reviewed, and approved, and that the equipment meets, or exceeds the design requirements. The applicant must also provide qualification test and or analysis results that provide assurance that the equipment will operate (function) during and following the Design Basis Events (DBE) and all appropriate combinations thereof.

The specific information requested in Attachments #2, and #3 should be provided to the NRC staff two weeks prior to the plant site visit. The applicant should make available at the plant site all the pertinent documents and reports of the qualification for the selected equipment. After the visit, the applicant should be prepared to submit certain selected documents and reports for further staff review. The purpose of the audits is to confirm the acceptability of the qualification procedures, and implementation of the procedures to all safety-related equipment based on the review of a few selected pieces. If a number of deficiencies are observed or significant generic concerns arise, the deficiencies should be removed for all equipment important to safety subject to confirmation by a follow-up audit of randomly selected items before the fuel loading date.

The site audits will also include a review of the extent to which the documentation of equipment qualification is complete. The acceptance criteria for requirements on records is provided in Section 3.10 of the Standard Review Plan Revision 2 (NUREG-800).

Another element of the seismic and dynamic qualification review deals with the containment isolation valves for the purge and vent systems to assure their ability to close against postulated accident pressure inside containment. Information needed for this review and the basis for the review are provided in Attachments 4 and 5.

ATTACHMENT #1

- MASTER LISTING OF SEISMIC AND DYNAMIC QUALIFICATION SUMMARY AND STATUS OF SAFETY-RELATED EQUIPMENT

- ASSOCIATED EXPLANATORY NOTE

MASTER LISTING OF SEISMIC AND DYNAMIC QUALIFICATION SUMMARY AND STATUS OF SAFETY RELATED EQUIPMENT

PLANT NAME: DOCKET NO: UTILITY: A/E: NSSS:

FOR EQUIPMENT LISTED BELOW

THE SUPPLIER IS: A/E ☐, NSSS ☐, OTHER ☐. SAFTY SYSTEM & FUNCTION ARE:

EQUIPMENT IDENT NO:	EQUIPMENT				LOCATION		LOADS CONSIDERED			QUALIFICATION METHOD			LOWEST NATURAL FREQUENCY			STATUS		RRS REF	CODES AND STANDARDS
	TYPE AND DESCRIPTION	MANUFACTURER AND MODEL NO.	TEST REPORT NO.	QUANTITY	BUILDING AND ELEVATION	MOUNTING	SEISMIC	OTHER DYNAMIC	NEAR INPUT (ZPA)	ANALYSIS	TEST FREQUENCY	TEST DIRECTION	F/B (HZ)	S/S (HZ)	V (HZ)	QUALIFICATION	INSTALLATION		

NOTES TO MASTER LISTING

(1) The information on Plant Name, Docket No., etc., are pertinent to the power station and will be the same for all sheets.

(2) The equipment is listed by supplier (circle one after "SUPPLIED BY:") and by system (indicate name and function of system after "SYSTEM AND FUNCTION:"). Typical safety systems, for example, are Engineered Safeguard Actuation, Reactor Protection, Containment Isolation, Steamline Isolation, Main Feedwater Shutdown and Isolation, Emergency Power, Emergency Core Cooling, Containment Heat Removal, Containment Fission Product Removal, Containment Combustible Gas Control, Auxiliary Feedwater, Containment Ventilation, Containment Radiation Monitoring, Control Room Habitability System, Ventilation for Areas Containing Safety Equipment, Component Cooling, Service Water, Emergency Systems to Achieve Safe Shutdown, Postaccident Sampling and Monitoring, Radiation Monitoring, Safety-Related Display Instrumentation. The supplier will usually be either A/E or NSSS. Use separate sheets for each system. Use additional sheets when a given system has more equipment than can be listed on one sheet.

(3) "IDENT. NO." is to be filled in by the organization preparing the list. Each equipment listed should have separate identification number. The following form is recommended:

(a) For A/E supplied equipment, the number may be "BOP-XXX." If more than one group is preparing forms, the number may be "BOP-M-XXX" (Mechanical) or "BOP-IC-XXX" (Instrumentation and Control).

(b) For NSSS supplied equipment, the number may be NSSS-M-XXX, NSSS-IC-XXX, etc.

(c) The number written on each line (for each listed equipment) should be an ordered numeric listing for the above indicated-XXX (-001 through completion). These numbers need not follow in order for each system (-002 and -004 may be with one system, but -003 may be with another system).

(d) Inside the parenthesis should be the "BOP-M," "NSSS-IC," etc.

(4) The "TYPE" refers to its generic name, such as pressure transmitter, indicator, solenoid value, cabinet, etc. Equipment type should be described by indicating for example, motor driven pump, turbine driven pump, motor operated valve, air operated valve, 18" valve, etc. Following abbreviations can be used where appropriate.

Valves:
BV - Ball valve, BFV - Butterfly valve, CV - check valve, DV - Diaphragm valve, GV - Gato valve, GLV - Glove valve, SV - Safety Valve, RV - Relief Valve

Pumps:
CP - Centrifugal pump, PDP - Positive displacement pump, DDP - Deep draft pump, JP - Jet pump

(5) Quantity refers to the number of the same equipment used in the plant.

(6) Under mounting condition indicate the following as applicable:

CF for concrete floor mounting
CW for concrete wall mounting
DM for direct mounting
HM for hanger mounting
RM for rack mounting
CM for cabinet mounting
EM for equipment mounting

Mounting details such as number of bolts, weld length, etc. need not be indicated here.

(7) The columns "SEISMIC" and "OTHER DYNAMIC" need only be checked (X) if applicable. In the case of BWRs indicate "H" under "OTHER DYNAMIC" column where qualification includes hydrodynamic loads.

(8) Under "REQ'D INPUT (ZPA)," the applicable "g" level should be provided.

(9) Under Qualification Method under analysis, indicate "S" for static, and "D" for dynamic; under test frequency, indicate "SF" for single, and "MF" for multiple; and under text direction, indicate "SD" for single, "MD" for multiple.

(10) Equipment status is to be addressed separately to qualification and to installation.

The applicable letter should be provided under the column headed "QUAL," according to the following code:

A The qualification and associated documentation are complete.

B The qualification testing is finished but associated documentation is not yet submitted or still in review.

C The qualification plan/procedure is documented, but testing has not yet begun.

D Equipment to be qualified.

E Equipment is judged not qualifiable and will be replaced with qualified equipment.

F For BWR plants only: Equipment is qualified for seismic loading only. Requalification will be performed to account for the suppression pool hydrodynamic loading effects.

The applicable letter should be provided under the column headed "INSTALLATION," according to the following code:

A Installation is completed. Equipment is ready for service.

B Equipment mounting/hookup is completed, but significant parts of the equipment are not yet installed.

C Equipment is located at its intended service location, but mounting and/or hookup is not completed.

D The equipment is not installed and is not available for inspection.

(11) The Required Response Spectra (RRS) package should be provided along with the Master Listing. Only response spectra applicable to the listed equipment should be included, each numbered for reference under the column headed "RRS REF." In many cases, several equipment will reference the same RRS.

(12) Codes and Standards

Applicable codes, standards and Regulatory Guides should be indicated here, for example, ASME Section III Class 2; IEEE-344, 1975, 323-1974, 382-1972; ANSI N278-1, Regulatory Guide 1.100, 1.148 etc.

Seismic and Dynamic Qualification Summary of Equipment

I. Plant Name: _____ Type:

1. Utility: _____ PWR: _____

2. NSSS: _____ BWR: _____

3. A/E: _____ Other _____

II. Component Name: _____

1. Scope: [ ] NSSS [ ] BOP [ ] Other

2. Model Number: _____ Quantity: _____

3. Size or Range: _____

4. Vendor: _____

5. If the component is a cabinet or panel, name and model Number of the devices included: _____

_____

6. Physical Description:

a. Appearance: _____

b. Dimensions: _____

c. Weight: _____

7. Location: Building: _____

Elevation: _____

8. Field Mounting Conditions [ ] Bolt (No. ____, Size ____)
[ ] Weld (Length ____)
[ ] _____

9. Mounting Orientation [e.g., on floor, cantilevered, suspended, etc.]

_____

10. a. System in which located: _____

b. Functional Description: _____

c. Is the equipment required for [ ] Hot Standby [ ] Cold Shutdown

[ ] Both [ ] Neither [ ] Other _____

II. Pertinent Reference/Design Specifications for Qualification Requirements: _____

_____

a. Seismic Input

b. Hydrodynamic Load Input

c. Fatigue Considerations

d. Service Conditions

e. Qualified Life

III. Is Equipment Available for Inspection in the Plant:

[ ] Yes [ ] No [ ] Partial or limited availability

IV. Equipment Qualification Method:

[ ] Test [ ] Analysis [ ] Combination of Test and Analysi

Qualification Report*: _____

(No., Title and Date): _____

Company that Prepared Report: _____

Company that Reviewed Report: _____

Where Report is filed or available: _____

Applicable Codes And/Or Standards: _____

V. Vibration Input:

1. Loads considered:
   a. [ ] Seismic only
   b. [ ] Hydrodynamic only
   c. [ ] Vibration from normal operation
   d. [ ] Combination of (a), (b), and (c)

2. Method of Combining RRS:

   [ ] Absolute Sum [ ] SRSS [ ] _____
   (other, specify)

3. Required Response Spectra** (attach the graphs): _____

NOTE:
*If more than one report complete items IV thru VII for each report.
If other than RRS is used, describe method.

4. Damping Corresponding to RRS: OBE _____ SSE _____

5. Required Acceleration in Each Direct:

[ ] ZPA [ ] Other _____ (specify)

OBE S/S = _____ F/B = _____ V = _____

SSE S/S = _____ F/B = _____ V = _____

6. Were fatigue effects considered:

[ ] Yes [ ] No

If yes, describe how they were treated in overall qualification program: _____

_____

_____

VI. If Qualification by Test, then Complete:

1. [ ] Single Frequency [ ] Multi-Frequency [ ] random [ ] sine beat [ ] _____

2. [ ] Single Axis [ ] Multi-Frequency
[ ] Independent Axis [ ] In-phase motions

3. Number of Qualifications Tests:

OBE _____ SSE _____ Other _____ (specify)

4. Frequency Range: _____

5. Natural Frequencies in Each Direction (Side/Side, Front/Back, Vertical):

S/S = _____ F/B = _____ V = _____

6. Method of Determining Natural Frequencies

[ ] Lab Test [ ] In-Situ Test [ ] Analysis

7. TRS enveloping RRS using Multi-Frequency Test

[ ] Yes (Attach TRS & RRS graphs)

[ ] No

8. Maximum Input g Level Test:

OBE S/S = _____ F/B = _____ V = _____

OBE S/S = _____ F/B = _____ V = _____

9. Laboratory Mounting:

A. [ ] Bolt (No. ____, Size ____)

[ ] Weld (Length ____) [ ] _____

B. Orientation and Fixturing: _____

10. Functional operability verified:

[ ] Yest [ ] No [ ] Not Applicable

11. Test Results including modifications made: _____

_____

12. Other tests performed (such as aging or fragility test, including results):

_____

_____

13. Failure Modes (If appropriate _____)

14. Margins Available: [ ] Input Spectrum [ ] Fragility

VII. If Qualification by Analysis, then complete:

1. Method of Analysis:

[ ] Static Analysis [ ] Equivalent Static Analysis

[ ] Dynamic Analysis: [ ] Time-History [ ] Response Spectrum

2. Natural Frequencies in Each Direction (Side/Side, Front/Back, Vertical):

S/S = _____ F/B = _____ V = _____

3. Model Type: [ ] 3D [ ] 2D [ ] 1D

[ ] Finite Element [ ] Beam

[ ] Closed Form Solution [ ] Other _____

4. [ ] Computer Codes: _____

Frequency Range and No. of modes

[ ] Hand Calculations

5. Method of Combining Dynamic Responses from Seismic and Other Dynamic Loads:

[ ] Absolute Sum [ ] SRSS [ ] Other: _____ (specify)

6. Damping:

OBE _____ SSE _____ Basis for the damping used: _____

7. Support Considerations in the model: _____

8. Critical Structural Elements:

A.

Identification Location	Governing Load or Response Combination	Seismic Stress	Total Stress	Stress Allowable

B.

Maximum Critical Deflection	Location	Maximum Allowable Deflection to Assure Functional Operability

9. Failure Modes: _____

10. Margins Available: [ ] Input Spectrum [ ] Stress or Deflection

PUMP AND VALVE
OPERABILITY ASSURANCE REVIEW

I. PLANT INFORMATION

1. Name: _____ Unit No. ___ 2. Docket No.: _____

3. Utility: _____

4. NSSS: _____ [] PWR [] BWR

5. A/E: _____

II. GENERAL COMPONENT* INFORMATION

1. Supplier: [] NSSS [] BOP

2. Location: a. Building/Room _____

   b. Elevation _____

   c. System _____

3. Component number on in-house drawings: _____

4. If component is a [] Pump complete II.5.

   If component is a [] Valve complete II.6.

5. General Pump Data

a. Pump		b. Prime-mover	
Name	_____	Name	_____
Mfg.	_____	Mfg.	_____
Model	_____	Model	_____
S/N	_____	S/N	_____
Type	_____	Type	_____

_____

* The component, whether pump or valve, is considered to be an assembly composed of the body, internals, prime-mover (or actuator) and functional accessories.

a. Pump (continued)

Size _____

Weight _____

Mounting Method _____

Required B.H.P. _____

Parameter	Design	Operating
Press	_____	_____
Temp	_____	_____
Flow	_____	_____
Head	_____	_____

Required NPSH at maximum flow _____

Available NPSH _____

Operating Speed _____

Critical Speed _____

List functional accessories:* _____

_____

_____

List control signal inputs: _____

_____

_____

b. Prime-mover (continued)

Size _____

Weight _____

Mounting Method _____

H.P. _____

Power requirements: (include normal, maximum and minimum).

Electrical _____

_____

_____

Other _____

_____

If MOTOR list:

Duty cycle _____

Stall current _____

Class of insulation _____

_____

_____

* Functional accessories are those sub-components not supplied by the manufacturer that are required to make the pump assembly operational, (e.g., coupling, lubricating oil system, etc.)

6. General Valve Data

a. Valve

Name _____

Mfg. _____

Model _____

S/N _____

Type _____

Size _____

Weight _____

Mounting Method _____

Required Torque _____

Parameter	Design	Operating
Press	_____	_____
Temp	_____	_____
Flow	_____	_____

Max ΔP across valve _____

Closing time @ max ΔP _____

Opening time @ max ΔP _____

Power requirements for functional accessories, (if any) _____

_____

b. Actuator (if not an integral unit)

Name _____

Mfg. _____

Model _____

S/N _____

Type _____

Size _____

Weight _____

Mounting Method _____

Torque _____

Power requirements: (include normal, maximum and minimum).

Electrical _____

_____

_____

_____

Other: [] Pneumatic [] Hydraulic

_____

_____

_____

List control signal inputs: _____

_____

_____

List functional accessories:* _____

_____

III. FUNCTION

1. Briefly describe components normal and safety functions: _____

_____

_____

_____

_____

2. The components normal state is: [] Operating [] Standby

3. Safety function:

a. [] Emergency reactor shutdown

b. [] Containment heat removal

c. [] Containment isolation

d. [] Reactor heat removal

e. [] Reactor core cooling

f. [] Prevent significant release of radioactive material to environment

g. [] Does the component function to mitigate the consequences of one or more of the following events? [] Yes [] No
If "Yes", identify.

[] LOCA [] HELB [] MSLB

[] Other _____

4. Safety requirements:

[] Intermittent Operation [] During postulated event

[] Continuous Operation [] Following postulated event

If component operation is required following an event, give approximate length of time component must remain operational.

_____ (e.g., hours, days, etc.)

_____

* Functional accessories are those sub-components not supplied by the manufacturer that are required to make the valve assembly operational, (e.g., limit switches).

5. For VALVES:

does the component [] Fail open [] Fail closed [] Fail as is

Is this the fail safe position? [] Yes [] No

Is the valve used for throttling purposes? [] Yes [] No

Is the valve part of the reactor coolant pressure boundary?
[] Yes [] No

Does the valve have a specific limit for leakage? [] Yes [] No

If "Yes" give limit: _____

IV. QUALIFICATION

1. Reference by specific number those applicable sections of the design codes and standards applicable to the component: _____

_____

_____

2. Reference those qualification standards, used as a guide to qualify the component: _____

_____

_____

3. Identify those parts of the above qualification standards deleted or modified in the qualification program.

Deleted: Modified:

_____ _____

_____ _____

_____ _____

4. Have acceptance criterias been established and documented in the test plan(s) for the component? [] Yes [] No

5. What is the expected failure mode that would keep the pump or valve assembly from performing its safety function? _____

_____ _____

6. Are the margins* identifi the qualification documentation?
[] Yes [] No

_____

d. Margin is the difference between design basis parameters and the test parameters used for equipment qualification.

If component is a PUMP, complete IV.7.

If component is a VALVE, complete IV.8.

7. Pump operability has been demonstrated by: [] Analysis
[] Test [] Combination

Identify PUMP tests performed:

a. [] Shell hydrostatic (ASME Section III)

b. [] Bearing temperature evaluations

c. [] Seismic loading

d. [] Vibration levels

e. [] Exploratory vibration (Fundamental freq._____)

f. [] Seal leakage @ hydro press

g. [] Aging: [] Thermal [] Mechanical

h. [] Flow performance
Are curves provided [] Yes [] No

i. [] Pipe reaction end loads (nozzle loads)

j. [] Others _____

k. [] Extreme environment:

[] Humidity

[] Chemical

[] Radiation

8. Valve operability has been demonstrated by: [] Analysis
[] Test [] Combination

Identify VALVE tests performed:

a. [] Shell hydrostatic (ASME Section III)

b. [] Cold cyclic List times:
Open _____
Closed _____

c. [] Seismic loading

d. [] Hot cyclic List times:
Open _____
Closed _____

e. [] Exploratory vibration (Fundamental freq._____)

f. [] Main seat leakage

g. [] Aging: [] Thermal
[] Mechanical

h. [] Back seat leakage

i. [] Pipe reaction end loading

j. [] Disc hydrostatic

k. [] Extreme environment
[] Humidity
[] Chemical
[] Radiation

l. [] Flow interruption capability

m. [] Flow characteristics
Are curves provided?
[] Yes [] No

n. [] Others _____

9. As a result of any of the tests (or analysis), were any deviations from design requirements identified? [] Yes [] No If "Yes", briefly describe any changes made in tests (or analysis) or to the component to correct the deviation.

_____

_____

_____

10. Was the test component precisely identical (as to model, size, etc.) to the in-plant component? [] Yes [] No If "No", is installed component [] oversized or [] undersized?

11. If type test was used to qualify the component, does the type test meet the requirements of IEEE 323-1974, Section 5.? [] Yes [] No

12. Is component orientation sensitive? [] Yes [] No [] Unknown If "Yes", does installed orientation coincide with test orientation? [] Yes [] No

13. Is the component mounted in the same manner in-plant as it was during testing (i.e., welded, same number and size bolts, etc.) [] Yes [] No [] Unknown

14. Were the qualification tests performed in sequence and on only one component? [] Yes [] No

    If "Yes" identify sequence, (e.g., radiation, seismic, cyclic, thermal, etc.): _____

    _____

15. If "aging"* was performed, identify the significant aging mechanisms: _____

    _____

    _____

16. Identify loads imposed (assumed) on the component for the qualification tests (analysis) performed:

    a. [] Plants (shutdown loads) b. [] Extreme environment

    c. [] Seismic load d. [] Others _____

    _____

17. Have component design specifications been reviewed in-house to assure they envelope all expected operating, transient, and accident conditions? [] Yes [] No

18. Does the component utilize any unique or special materials? (Examples are special gaskets or packing, limitations on nonferrous materials, or special coatings or surfaces.) [] Yes [] No

    If "Yes", identify: _____

    _____

19. Does component require any special maintenance procedures or practices, (including shorter periods between maintenance). [] Yes [] No

    If "Yes", identify: _____

    _____

20. Is the qualified life for the component less than 40 years? [] Yes [] No If "Yes", what is the qualified life? _____

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* As outlined in Section 4.4.1 of IEEE-627 1980.

21. Information Concerning Qualification Documents for the Component

Report Number	Report Title	Date	Company/Organization Preparing Report	Company/Organization Reviewing Report

Operability Qualification of Purge and Vent Valves

Demonstration of operability of the containment purge and vent valves and the ability of these valves to close during a design basis accident is necessary to assure containment isolation. This demonstration of operability is required by NUREG-0737, "Clarification of TMI Action Plan Requirements," II.E.4.2 for containment purge and vent valves which are not sealed closed during operational conditions 1, 2, 3 and 4.

1. For each purge and vent valve covered in the scope of this review, the following documentation demonstrating compliance with the "Guidelines for Demonstration of Operability of Purge and Vent Valves" (Attachment 5) is to be submitted for staff review:

   A. Dynamic Torque Coefficient Test Reports (Butterfly valves only) - including a description of the test setup.

   B. Operability Demonstration or In-situ Test Reports (when used)

   C. Stress Reports

   D. Seismic Reports for Valve Assembly (valve and operator) and associated parts.

   E. Sketch or description of each valve installation showing the following (Butterfly valves only):

      1. direction of flow
      2. disc closure direction
      3. curved side of disc, upstream or downstream (asymmetric discs)
      4. orientation and distance of elbows, tees, bends, etc. within 20 pipe diameters of valve
      5. shaft orientation
      6. distance between valves

   F. Demonstration that the maximum combined torque developed by the valve is below the actuator rating.

2. The applicant should respond to the "Specific Valve Type Questions" (enclosed) which relate to his valve.

3. Analysis, if used, should be supported by tests which establish torque coefficients of the valve at various angles. As torque coefficients in butterfly valves are dependent on disc shape, aspect ratio, angle of closure flow direction and approach flow, these things should be accurately represented during tests. Specifically, piping installations (upstream and downstream of the valve) during the test should be representative of actual field

installations. For example, non-symmetric approach flow from an elbow upstream of a valve can result in fluid dynamic torques of double the magnitude of those found for a valve with straight piping upstream and downstream.

4. In-situ tests, when performed on a representative valve, should be performed on a valve of each size/type which is determined to represent the worst case load. Worst case flow direction, for example, should be considered.

5. For two valves in series where the second valve is a butterfly valve, the effect of non-symmetric flow from the first valve should be considered if the valves are within 15 pipe diameters of each other.

6. If the applicant takes credit for closure time vs. the buildup of containment pressure, he must demonstrate that the method is conservative with respect to the actual valve closure rate. Actual valve closure rate is to be determined under both loaded and unloaded conditions (if valves close faster at all angles of opening under loaded conditions, no load closure time may be used as conservative) and periodic inspection under tech. spec. requirements should be performed to assure closure rate does not increase with time or use.

Specific Valve Type Questions

The following questions apply to specific valve types only and need to be answered only where applicable. If not applicable, state so.

A. Torque Due to Containment Backpressure Effect (TCB)

For those air operated valves located inside containment, is the operator design of a type that can be affected by the containment pressure rise (backpressure effect) i.e., where the containment pressure acts to reduce the operator torque capability due to TCB. Discuss the operator design with respect to the air vent and bleeds. Show how TCB was calculated (if applicable).

B. Where air operated valve assemblies use accumulators as the fail safe feature, describe the accumulator air system configuration and its operation. Discuss active electrical components in the accumulator system, and the basis used to determine their qualification for the environmental conditions experienced. Is this system seismically designed? How is the allowable leakage from the accumulators determined and monitored?

C. For valve assemblies requiring a seal pressurization system (inflatable main seal), describe the air pressurization system configuration and operation including means used to determine their qualification for the environmental condition experienced. Is this system seismically designed?

D. Where electric motor operators are used to close the valve has the minimum available voltage to the electric operator under both normal or emergency modes been determined and specified to the operator manufacturer to assure the adequacy of the operator to stroke the valve at accident conditions with these lower limit voltages available? Does this reduce voltage operation result in any significant change in stroke timing? Describe the emergency mode power source used.

E. Where electric motor and air operator units are equipped with handwheels, does their design provide for automatic re-engagement of the motor operator following the handwheel mode of operation? If not, what steps are taken to preclude the possibility of the valve being left in the handwheel mode following some maintenance, test etc. type operation?

F. For electric motor operated valves have the torques developed during operation been found to be less than the torque limiting settings?

Guidelines for Demonstration Of Operability of Purge and Vent Valves

Operability

In order to establish operability it must be shown that the valve actuator's torque capability has sufficient margin to overcome or resist the torques and/or forces (i.e., fluid dynamic, bearing, seating, friction) that resist closure when stroking from the initial open position to full seated (bubble tight) in the time limit specified. This should be predicted on the pressure(s) established in the containment following a design basis LOCA. Considerations which should be addressed in assuring valve design adequacy include:

1. Valve closure rate versus time - i.e., constant rate or other.

2. Flow direction through valve; ΔP across valve.

3. Single valve closure (inside containment or outside containment valve) or simultaneous closure. Establish worst case.

4. Containment back pressure effect on closing torque margins of air operated valve which vent pilot air inside containment.

5. Adequacy of accumulator (when used) sizing and initial charge for valve closure requirements.

6. For valve operators using torque limiting devices - are the settings of the devices compatible with the torques required to operate the valve during the design basis condition.

7. The effect of the piping system (turns, branches) upstream and downstream of all valve installations.

8. The effect of butterfly valve disc and shaft orientation to the fluid mixture egressing from the containment.

Demonstration

Demonstration of the various aspects of operability of purge and vent valves may be by analysis, bench testing, in-situ testing or a combination of these means.

Purge and vent valve structural elements (valve/actuator assembly) must be evaluated to have sufficient stress margins to withstand loads imposed while valve closes during a design basis accident. Torsional shear, shear, bending, tension and compression loads/ stresses should be considered. Seismic loading should be addressed.

Once valve closure and structural integrity are assured by analysis, testing or a suitable combination, a determination of the sealing integrity after closure and long term exposure to the containment environment should be evaluated. Emphasis should be directed at the effect of radiation and of the containment spray chemical solutions on seal material. Other aspects such as the effect on sealing from outside ambient temperatures and debris should be considered.

The following considerations apply when testing is chosen as a means for demonstrating valve operability:

Bench Testing

A. Bench testing can be used to demonstrate suitability of the in-service valve by reason of its traceability in design to a test valve. The following factors should be considered when qualifying valves through bench testing.

   1. Whether a valve was qualified by testing of an identical valve assembly or by extrapolation of data from a similarly designed valve.

   2. Whether measures were taken to assure that piping upstream and downstream and valve orientation are simulated.

   3. Whether the following load and environmental factors were considered

      a. Simulation of LOCA

      b. Seismic loading

      c. Temperature soak

      d. Radiation exposure

      e. Chemical exposure

      f. Debris

B. Bench testing of installed valves to demonstrate the suitability of the specific valve to perform its required function during the postulated design basis accident is acceptable.

   1. The factors listed in Items A.2 and A.3 should be considered when taking this approach.

In-Situ Testing

In-situ testing of purge and vent valves may be performed to confirm the suitability of the valve under actual conditions. When performing such tests, the conditions (loading, environment) to which the valve(s) will be subjected during the test should simulate the design basis accident.

NOTE: Post test valve examination should be performed to establish structural integrity of the key valve/ actuator components.