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Agency: Nuclear Regulatory Commission  
Advisory Committee on Nuclear Waste

Title: 64th ACNW Meeting

Docket No.

LOCATION: Bethesda, Maryland

DATE: Tuesday, May 17, 1994

PAGES: 1 - 206

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UNITED STATE NUCLEAR REGULATORY COMMISSION'S  
ADVISORY COMMITTEE ON NUCLEAR WASTE

DATE: May 17, 1994

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UNITED STATES OF AMERICA  
NUCLEAR REGULATORY COMMISSION

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ADVISORY COMMITTEE ON NUCLEAR WASTE

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64th ACNW Meeting

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Nuclear Regulatory Commission  
7920 Norfolk Avenue  
Room P-110  
Bethesda, Maryland  
Tuesday, May 17, 1994  
8:30 a.m.

ACNW MEMBERS PRESENT:

- Martin Steindler, Chairman
- Paul W. Pomeroy, Vice Chairman
- B. John Garrick
- William J. Hinze

ACNW STAFF PRESENT:

- Richard Major
- Howard Larson
- George Gnugnoli
- Lynn Deering, Designated Federal Official

ACNW CONSULTANT:

- Robert Hatcher, ACNW Consultant

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## P R O C E E D I N G S

1  
2 MR. STEINDLER: The meeting will come to order.

3 This is the first day of the 64th meeting of the  
4 Advisory Committee on Nuclear Waste. Most of today's  
5 meeting will be open to the public, except the portion  
6 pertaining to the appointment of new members.

7 During today's meeting, the Committee will be  
8 briefed by the NRC staff on research and technical  
9 assistance related to the tectonics of the proposed Yucca  
10 Mountain site and hear a report on recent activities of the  
11 National Academy of Sciences' Yucca Mountain Standards  
12 Panel; discuss anticipated and proposed Committee  
13 activities, future meeting agenda, administrative and  
14 organizational matters, and appointment of ACNW members.

15 That session will be closed to discuss  
16 organizational and personnel matters that relate solely to  
17 the internal personnel rules and practices of this Committee  
18 and matters, the release of which would represent a clearly  
19 unwarranted invasion of personal privacy.

20 Ms. Lynn Deering, who will be here in a second, is  
21 the designated Federal official for the initial portion of  
22 the meeting.

23 This meeting is being conducted in accordance with  
24 the provisions of the Federal Advisory Committee Act. We  
25 have received no written statements or requests to make oral

1 statements from members of the public regarding today's  
2 session.

3 It is requested that each speaker use one of the  
4 microphones, identify himself or herself, and speak with  
5 sufficient clarity and volume, so that he or she can be  
6 readily heard.

7 Before proceeding with the first agenda item, I  
8 would like to cover some brief items of current interest,  
9 but before I do, if there is anyone in the audience or  
10 elsewhere who has an interest in making a statement or  
11 contributing to the topic of discussion today, he should let  
12 Lynn Deering know, and we will try and make arrangements for  
13 that information to be passed to us.

14 As far as items of current interest are concerned,  
15 there has been a new group that has been formed in the NRC's  
16 Office of the General Counsel. This new group is called the  
17 Nuclear Waste Management Staff. This group will provide  
18 legal advice and recommendations regarding high- and  
19 low-level radioactive waste disposal, spent fuel storage,  
20 and transportation issues, a sharp focus of the Office of  
21 General Counsel on issues that pertain to the business of  
22 this Committee.

23 It will serve as a focal point for analysis of  
24 legal issues associated with nuclear waste and spent fuel  
25 storage, and the group will be headed by Mr. C. William

1 Reemer.

2 Another item is that the Office of State Programs  
3 is developing an electronic mail system between OSP, the  
4 Office of State Programs, and the Agreement States using  
5 Internet. This e-mail file transfer capability is expected  
6 to greatly improve the NRC's communication with the  
7 Agreement States by reducing the time to convey information  
8 to and from the States.

9 The Department of Energy and Envirocare have  
10 recently signed a \$23-million contract for disposal services  
11 for mixed radioactive and hazardous waste generated as a  
12 result of environmental remediation and waste management  
13 activities of the DOE sites nationwide. This contract is  
14 going to cover 15,000 cubic yards of material to be  
15 deposited over the next 5 years.

16 INPO indicators have shown a continued drop in  
17 utility low-level waste generation, an interest which we  
18 have had for some time in this general trend. The 1993  
19 marked the fourth year in a row that PWRs have produced less  
20 low-level waste in their target level and the third year for  
21 the BWRs.

22 As a matter of rule of thumb, for those of you who  
23 follow that, PWRs produce an average of 45 cubic meters of  
24 low-level waste in a year, and the number for the BWRs is  
25 159 cubic meters.

1           There are two other items which I think we need to  
2 note. John Greeves, who is currently Deputy Director of the  
3 Division of Waste Management, was presented a 1993  
4 Presidential Meritorious Executive Rank Award, and Norm  
5 Eisenberg, who spent considerable time with us yesterday,  
6 who is a section leader in the Performance Assessment and  
7 Health Physics Section of the Division of Waste Management,  
8 was presented an NRC Meritorious Service Award for  
9 Scientific Excellence. Both of those awards, I am sure, are  
10 well deserved.

11           With that, let me turn to the first agenda item.  
12 As is our practice, a member of the Committee will, in  
13 effect, chair that portion of it. In this case, this is  
14 Bill Hinze.

15           Bill, the meeting is yours.

16           MR. HINZE: Thank you, Marty.

17           Tectonics, as we all know, is very much involved  
18 in the nature and processes going on at the Yucca Mountain  
19 site, and we also know that there are many unknowns in the  
20 tectonics area, and thus, it is appropriate that NRC does  
21 conduct research in the tectonics area.

22           Today, what we will be doing is receiving an  
23 overview of the NRC Research Program. The purpose of this  
24 review or overview is part of our continuing evaluation of  
25 certain segments and certain elements of the High-Level



1 Waste Research Program evaluation that we are performing at  
2 the request of Chairman Selin to look at the High-Level  
3 Waste Research Program in terms of its relevancy, to  
4 licensing concerns, the development of regulatory guidance,  
5 also the timeliness and the sufficiency of that research.

6 We have already looked at volcanism. We have also  
7 had a chance to review some of the work in natural analogs,  
8 and at the June meeting, we should be hearing some more on  
9 the tectonics, but today, what we have is the staff of NMSS  
10 to make a presentation to us. That will be followed by  
11 Research's presentation of the overview of the Research  
12 Program, and then we will look at some of the specifics in  
13 terms of the work that is being conducted by the Center and  
14 its staff, as well as its contractors.

15 This is a terribly exciting topic, and I know it  
16 is particularly exciting to my colleague on my left, who is  
17 Robert Hatcher, the consultant in tectonics to the  
18 Committee.

19 I apologize for not introducing you before.

20 We will move now to try to keep on schedule. The  
21 Committee does have an appointment at 12 o'clock, and so we  
22 will have a guillotine at that time. This is a terribly  
23 interesting topic. We could go on for some time, but we are  
24 going to have to limit our discussion.

25 Unless my colleagues have anything to add, what we

1 will do is ask Keith McConnell, who is the section head of  
2 Geology and Geophysics -- and I don't know that that has  
3 changed in terms of its title, Keith. Keith, what we are  
4 particularly interested in is learning from you the  
5 principal concerns that you and your staff have in terms of  
6 tectonics, in developing guidance for DOE's work, and  
7 looking forward to the licensing problems. It is also very  
8 important that we learn about the uncertainties that you  
9 feel are present in these identified concerns.

10 With that, the floor is yours.

11 MR. McCONNELL: Like Dr. Hinze said, we are here  
12 this morning to brief you on both the technical assistance  
13 and Research activities in the topical area of tectonics.

14 Just to make sure you are clear, technical  
15 assistance is directed and managed out of NMSS, the Division  
16 of Waste Management. Research is directed out of the Office  
17 of Research.

18 This isn't in your vugraph, but I have been asked  
19 to briefly introduce the speakers that will be making  
20 presentations today. I am Keith McConnell. I am going to  
21 speak to the definition of licensing needs, basically the  
22 systematic regulatory analysis and the development of the  
23 license application review plan with respect to tectonics.

24 Following that, Bill Ott will then speak to the  
25 licensing needs and how that relates to the research

1 activities that are ongoing. I have been informed that  
2 Brian Wernicke now will speak after Bill to address the GPS,  
3 global positioning satellite activities. Brian, of course,  
4 is with Caltech. That will be followed by Larry McKague,  
5 who is the project manager down at the Center for Geology  
6 and Geophysics work. He will give you an overview of CNWRA  
7 tectonics activities. Then Steve Young and David Ferrill of  
8 the Center will provide you more detail on the status of  
9 various tectonics technical assistance and research  
10 activities. Finally, Bill Ott will try to sum things up.

11 The objectives of the overall presentation today,  
12 basically, are fourfold. First, we would like to  
13 demonstrate to you that there is a framework in existence  
14 for licensing needs to drive the technical assistance and  
15 research activities in the topical area of tectonics.  
16 Second, we would like to demonstrate that there is a method  
17 of prioritization of technical assistance and research  
18 activities. Thirdly, we would like to demonstrate that the  
19 technical assistance and research activities that the Center  
20 is performing, now and has in the past, are providing timely  
21 and valuable input to address licensing issues and needs.  
22 Finally, we would like to demonstrate that technical  
23 assistance in the topical area of tectonics is integrated  
24 with other disciplines and also with the performance  
25 assessment activities.

1 MR. HINZE: I guess we can quit at that point,  
2 then, if we have got the problem solved, right?

3 MR. McCONNELL: I am sure you won't have any  
4 questions after this either.

5 [Laughter.]

6 MR. McCONNELL: My particular presentation will  
7 follow this outline. Basically, I will go through with you  
8 the license application review plan activities that we have  
9 done to date, the status of the LARP development in the area  
10 of tectonics, the identification of what we call key  
11 technical uncertainties related to tectonics, and it is the  
12 key technical uncertainties, again, that drive the technical  
13 assistance and research activities. I will then discuss the  
14 user needs that we have identified to date, and the user  
15 needs, of course, are the factors or the mechanism that we  
16 use to transfer our licensing needs to the Office of  
17 Research, so that they can then develop their research  
18 statement of work. Finally, I will briefly discuss the  
19 CNWRA technical assistance to the Division of Waste  
20 Management, and that will include both some of our  
21 reactivities, very briefly, and then the proactive  
22 activities including the SEISM 1 code development work and  
23 the tectonic modeling and data analysis efforts that are  
24 ongoing now.

25 MR. HINZE: Keith, before you remove that, let me

1 make certain that we are together. The Center is now  
2 working on the basis of user needs that have been presented  
3 by you and your staff previously.

4 The KTUs are being detailed by the NMSS staff and  
5 may lead to additional user needs or more specificity to the  
6 current user needs?

7 MR. McCONNELL: It could lead to either.

8 MR. HINZE: It will supplement the user needs then  
9 -- is that the proper term, the "user needs"? -- the  
10 existing user needs?

11 MR. McCONNELL: Well, there is a hierarchy to  
12 meet.

13 If I can jump ahead, this is the last diagram in  
14 your package. There is a specific hierarchy that we are  
15 trying to develop in this framework, and it starts out with  
16 the compliance determination strategy which is in the LARP,  
17 License Application Review Plan.

18 In that strategy, we have identified the key  
19 technical uncertainties, and then there are a series of user  
20 needs that are in existence that we have tried to tie to  
21 addressing the uncertainty that these key technical  
22 uncertainties represent, and these are represented by user  
23 needs 607, 612. Again, this is research. It feeds into  
24 this key technical uncertainty which then feeds into the  
25 overall strategy for the review of structural deformation.

1 So it so both down and up.

2 Going down, identification of the key technical  
3 uncertainties and the development of the user needs, they  
4 are then feed to research. Coming back up, research would  
5 give us the results. It would feed into this key technical  
6 uncertainty and then into the review of structural  
7 deformation.

8 MR. HINZE: That bottom line, then, may come up  
9 with no new user needs? It wouldn't necessarily come up  
10 with it?

11 MR. McCONNELL: Potentially, but I suspect that we  
12 are, and I have a vugraph that addressees that. I think we  
13 will.

14 MR. HINZE: I think we are together now.

15 MR. McCONNELL: Okay.

16 This is the status of the LARP development to  
17 date. Compliance determination strategies for the  
18 potentially adverse conditions related to structural  
19 deformation and seismicity have been completed and are in  
20 the License Application Review Plan Rev. 0.

21 Compliance determination methods, which is the  
22 details that fill in the strategy have not been completed,  
23 and they are scheduled for FY '95 through FY '98.

24 The existing compliance determination strategies,  
25 those that relate to the potentially adverse conditions, do

1 not address the probability of structural deformation in the  
2 future or the consequences of fault displacement or  
3 structural deformation, and that is because of the way the  
4 rule is worded. Potentially adverse condition with respect  
5 to structural deformation says is there evidence for  
6 structural deformation in the quaternary, and a strict  
7 interpretation of that requirement says you only look at  
8 what has happened in the past.

9 So, to this point, the key technical uncertainties  
10 related to fault displacement of structural deformation,  
11 basically, only apply to the past, the quaternary record.  
12 We haven't addressed to this point in the CDSs the  
13 projection of fault displacement or structural deformation.

14 MR. POMEROY: How do you propose to do that,  
15 Keith?

16 MR. McCONNELL: Let me move to the next vignette.

17 MR. POMEROY: Sorry.

18 MR. McCONNELL: It is under discussion at the  
19 staff or in the staff how we are going to do that, and there  
20 has not been any clear resolution. It could come in several  
21 forms.

22 One, it could be part of the overall geologic  
23 system description, compliance determination strategy, or it  
24 could be another PACs or FACs. At this time, it hasn't been  
25 resolved where the projection of structural deformation is

1 going to occur and where those key technical uncertainties  
2 would be identified.

3 To address Bill or, maybe, Paul's comment, there  
4 are additional key technical uncertainties that we think  
5 will be identified when we do get into looking at the  
6 projection of fault displacement hazard or fault  
7 displacement, and these review plans or these key technical  
8 uncertainties will probably require independent analyses and  
9 possibly research activities.

10 All key technical uncertainties were planned to be  
11 developed by the end of this fiscal year, and there was  
12 supposed to be an integration effort this year to make sure  
13 they were all of the same level and same scope, an  
14 evening-out process, and at the input of the ITA effort was  
15 integrated into the identification of the KTUs, all by the  
16 end of this fiscal year.

17 MR. POMEROY: Keith, is that the process that  
18 Margaret just described to us earlier of the sharpening of  
19 the KTUs, more focussed KTUs to make it more specific and  
20 pointed towards the needs of licensing?

21 MR. McCONNELL: Yes.

22 In order, I think, to develop this framework,  
23 there was a great deal of effort last year, last fiscal  
24 year, in the development of the compliance determination  
25 strategies, and there was a recognition at that time that



1 there would be a need for some fine-tuning of these KTUs.

2 The identification of the key technical  
3 uncertainties that exist to date that have fallen out of our  
4 compliance determination strategy development are listed in  
5 this and the following vugraph, and there are basically  
6 seven. I have annotated them as either a Type V or a Type  
7 IV review.

8 This is the prioritization mechanism that is in  
9 place in the SRA work. A Type V review is the highest  
10 review level, and it relates to those uncertainties that are  
11 so great and have such a large risk of not meeting the  
12 performance objectives that the staff considers that there  
13 is a need for independent evaluation, and therefore, we  
14 develop independent review capabilities.

15 So it is the Type V's, and those are the ones I  
16 will focus on in reading through them, that are the primary  
17 drivers of research and technical assistance activity.

18 To date, I think there have been four Type V key  
19 technical uncertainties. One relates to the evaluation of  
20 fault mechanisms in alluvium. This relates to the  
21 difficulty, particularly at Yucca Mountain, in determining  
22 both the style and magnitude of displacement in the faults  
23 there.

24 A second KTU relates -- and this is similar to you  
25 because there is one, I guess, opposite or parallel igneous

1 activity, and that is the development and use of conceptual  
2 tectonic models as they relate to structural deformation.  
3 Again, it is having a range of structural models out there  
4 and not knowing which model is the most applicable or the  
5 most real, how do you handle that uncertainty in your review  
6 and your assessment of repository performance.

7 The correlation of earthquakes with tectonics  
8 features at Yucca Mountain, the historical seismicity does  
9 not correlate well with the observed faults at the surface.  
10 The uncertainty in what the seismic hazard is, is rather  
11 large, and we have to deal with this in our review, and DOE  
12 is going to have to deal with it in their demonstration of  
13 compliance.

14 The last Type V review relates to the  
15 paleofaulting data, which indicates that seismic activity  
16 has migrated randomly from one major range fault system to  
17 another, and that key technical uncertainty addresses the  
18 temporal and spatial variability that exist in the basin  
19 and range that Bob Wallace identified probably 10 years ago  
20 or so now.

21 MR. STEINDLER: The designation of whether you  
22 have a Type IV or a Type V is done by consensus among your  
23 staff?

24 MR. McCONNELL: Yes. It is developed by  
25 consensus. It is then reviewed by management, and it is

1 approved by management. Only at that time is it identified  
2 as a KTU or a Type IV or a Type V.

3 MR. HINZE: I see. Remind me. Would you go over,  
4 please, what a Type IV is. What will that lead to? Type V  
5 is specifying that there will be research or activities.  
6 What does Type IV mean?

7 MR. McCONNELL: Type IV is a detailed review with  
8 analyses. It can use existing codes or existing data.  
9 There is not a direct requirement for an independent  
10 analysis by the Division of Waste Management or the Office  
11 of Research. So we don't necessarily need to develop an  
12 entirely new code to address that uncertainty in our review.

13 The Type V review says the uncertainty is so large  
14 and there are so many unknowns, and perhaps there aren't any  
15 codes out there that address that uncertainty, that we have  
16 to do our own independent analyses or code development.

17 MR. GARRICK: Is the uncertainty ranking totally  
18 driven by uncertainty as opposed to impact or consequence?  
19 In other words, I am not too concerned if the uncertainty is  
20 six or seven orders of magnitude, if it is between 10 to the  
21 minus 20 per year and 10 to the minus 14 when it may not be  
22 important unless it is down to 10 to the minus 6 or minus 7.  
23 It just seems to me that doing it strictly on the basis of  
24 uncertainty may be quite irrelevant in many cases. Can you  
25 help me?

1           MR. McCONNELL: Yes. There are many technical  
2           uncertainties, and their magnitude could be quite large, but  
3           it is only those uncertainties that do have a consequences  
4           to repository performance that are identified as key  
5           technical uncertainties. So there is a criterion, the  
6           identification of key technical uncertainties that says  
7           there has to be a high risk of non-compliance with the  
8           performance objectives before you can identify that key  
9           technical uncertainty.

10           Now, to date, that judgment has largely been  
11           qualitative, and it is the concept that IPA efforts will --  
12           and Dr. Pomeroy mentioned this earlier -- will help focus  
13           and, perhaps, eliminate some key technical uncertainties  
14           based on the fact that the quantitative evaluation doesn't  
15           match the qualitative evaluation of repository performance  
16           when these are considered.

17           MR. HINZE: Is timeliness also a factor there in  
18           terms of fitting the logic of these together or in terms of  
19           DOE's program in trying to come to some type of conclusion  
20           regarding various aspects?

21           MR. McCONNELL: It is a factor, but this task, in  
22           particular, is basically guidance to the staff in the  
23           evaluation of a license application. Therefore, the  
24           timeliness is such that we will need these at the time of  
25           licensing, this input, but there are other activities that

1 relate to the review of various documents, where timeliness  
2 does become more important.

3 In this pre-licensing consultative phase, we rely  
4 on research and technical assistance to help us in that  
5 area.

6 MR. HINZE: These several KTUs, then, are leading  
7 to the identification of research activities that might be  
8 carried on through research, right?

9 MR. McCONNELL: That is correct.

10 MR. HINZE: Okay.

11 MR. POMEROY: Just to follow that up, Keith, this  
12 is a plan for the future then? There aren't necessarily  
13 research projects in place to address any of these key  
14 technical uncertainties at this point in time? Rather, are  
15 they addressing the user needs that already exist?

16 MR. McCONNELL: At this time, the user needs were  
17 the primary weapon or method of developing the search plan  
18 to date.

19 Now we are hoping to develop the framework where  
20 the key technical uncertainties drive the user needs which  
21 will drive research. So there is going to be a little  
22 period of time where we are going to have to reorganize or  
23 integrate to make sure there is this one-to-one correlation  
24 between the key technical uncertainties and the user needs  
25 and the research work that is being conducted, research and

1 technical assistance.

2 MR. POMEROY: Thank you.

3 MR. McCONNELL: I would like to make it clear,  
4 that is not to say we are doing things now that we don't  
5 think will feed into the key technical uncertainties  
6 eventually. We do have a concept of what the licensing  
7 needs are, and we think the research and technical  
8 assistance activities are addressing those needs.

9 MR. POMEROY: To carry it one step further, let me  
10 pick one that I am interested in; namely, the correlation of  
11 earthquakes with tectonic features. Is there a research  
12 program that specifically addresses that at this point in  
13 time?

14 MR. McCONNELL: There is, and it is basically a  
15 literature review to show what exists, and you will see some  
16 more of this when Larry and Steve Young talk that there are  
17 activities directly focussed on that issue, and I think we  
18 will address that issue.

19 MR. POMEROY: Fine.

20 MR. McCONNELL: To go from the key technical  
21 uncertainties to the user needs, the user needs address the  
22 presence of the potentially adverse conditions related to  
23 seismicity and structural deformation, but they do not  
24 address the likelihood of future events and possible  
25 consequences, and that is not completely true with respect

1 to seismicity because some of the seismicity potentially  
2 adverse conditions actually do refer to the likelihood of  
3 future events. So there are aspects of this that do address  
4 a future of seismic events, but not structural deformation,  
5 and again, it all relates to how the rule is worded and the  
6 effort to systems engineer, a rule that wasn't derived  
7 systematically.

8 The user needs were developed prior to the  
9 identification of KTUs and following the identification of  
10 all the KTUs that will be revised. We feel that they do  
11 address issues in the existing KTUs, and I will put up the  
12 existing user needs.

13 These numbers to the left here are the numbers  
14 that were used in the transmittal letter to Research to  
15 itemize the basic user needs statements.

16 MR. HINZE: What would be the date on that letter,  
17 roughly?

18 MR. McCONNELL: I think it was about four years  
19 ago now, so in 1990.

20 MR. HATCHER: I have a quick question. How are  
21 you going to know when you have all the KTUs identified?

22 MR. McCONNELL: Once we have all the CTSS  
23 developed, we should have an idea about what all the KTUs  
24 are. However, it is kind of an iterative process in that  
25 the results of research activities may in themselves define

1 other key technical uncertainties. So we have yearly  
2 program meetings in tectonics and volcanism where the  
3 Research staff, the Center staff, and NMSS staff get  
4 together and discuss the KTUs and user need statements, and  
5 that is the mechanism where we try to create a loop, an  
6 iterative loop.

7 MR. POMEROY: Keith, can you tell us what the  
8 position? Go back a minute to the question of not  
9 addressing future events. Why is it necessary to move  
10 around in defining what we are doing here in the way of a  
11 technical program because of the way the rule is written?  
12 Wouldn't it make more sense to rewrite the rule or modify  
13 the rule to take this into account?

14 MR. McCONNELL: I think that was a policy decision  
15 that was made years ago. We are just the implementers at  
16 this stage.

17 MR. POMEROY: But it is not subject to review?

18 MR. McCONNELL: There has been consideration of  
19 that, particularly with the upcoming revision to the EPA  
20 standard. That provides an opportunity to, perhaps, look at  
21 these other areas where there is regulatory uncertainty, but  
22 then, particularly at this stage in the process, you have  
23 the potential of opening Pandora's Box.

24 DOE has been working in Part 60 now for a number  
25 of years. The change of the ground rules would mean you



1 change just about everything in the program, potentially,  
2 the study plans, the site characterization plan. There is a  
3 mass of documents that relate to Part 60 as it exists now.

4 MR. HINZE: Is there any prioritization to that  
5 list that you provide? When you provided this to Research,  
6 did you provide a prioritization list?

7 MR. McCONNELL: No. I think when we provided  
8 this, they were all of equal priority.

9 MR. HINZE: How did you arrive at those? The SEP  
10 evaluation?

11 MR. McCONNELL: It was the results of our reviews  
12 of the SEP, our on-site visits, and other activities.  
13 Again, it was a qualitative look at what we thought were the  
14 key areas that both had large uncertainties associated with  
15 them and the potential to affect repository performance.

16 One of the desires of the SRA effort and the  
17 development of key technical uncertainties is to get away  
18 from two geologists sitting in a room coming up with ideas  
19 of what might be and get closer to a more quantitative look  
20 at what really matters with respect to the repository, and  
21 that is why the framework is in place. We haven't fully  
22 implemented it yet, but we are getting there.

23 MR. HINZE: Going back to the priorities aspect,  
24 there is a limited amount of resources within NRC for  
25 research. You provide this group of seven or eight user

1 needs and uncertainties to Research, to implement a research  
2 program. Was there further interaction with Research in  
3 trying to decide which ones of these would be implemented  
4 with the resources available, and thus, was there an  
5 implicit prioritization?

6 MR. McCONNELL: There was some discussion in those  
7 areas, and it involved the Center, and since people aren't  
8 fungible and disciplines aren't fungible, there was some  
9 discussion of that, too, what was the Center capable of  
10 doing at that particular time.

11 MR. HINZE: Which ones of these were implemented  
12 or are implemented at the present time?

13 MR. McCONNELL: I think that, to varying degrees,  
14 all of them are being implemented. There are literature  
15 reviews in place which you will hear about that address most  
16 of the issues.

17 I think one thing we have to do is go back and  
18 look at will these address fully the key technical  
19 uncertainties, and that is an evaluation that we have to  
20 make in the future, and it may be, again, related to the  
21 integration of the user needs with the key technical  
22 uncertainties. It is how well do the activities identified  
23 in the statement of work address the key technical  
24 uncertainties.

25 Prior to that, we are trying to get our framework

1 in place, so that we know the full scope of activities that  
2 are needed.

3 MR. HINZE: Meanwhile, the research is going on  
4 and has been doing on?

5 MR. McCONNELL: That is true, but again, we do  
6 believe and we have sufficient control to know that what  
7 they are doing is providing us with the assistance we need.  
8 It might not be the complete scope or all that we need, but  
9 what they are doing does support what we need, the licensing  
10 needs.

11 I won't read through those, unless somebody  
12 objects.

13 I want to speak briefly about the technical  
14 assistance activities that the Center is providing to the  
15 Division of Waste Management. I have split them out into  
16 reactive and proactive. I won't spend much time on the  
17 reactive because it is not really the focus of today's work.

18 The Center does, as you are probably well aware,  
19 assist us in our review of DOE study plans and topical  
20 reports. You are familiar with the review of the volcanism  
21 status report and also the review of the erosion topical  
22 report that is in process now.

23 They also support us at NRC DOE site visits,  
24 technical exchanges, TRB meetings, and meetings such as  
25 this.

1           What I have termed as "proactive activities" in  
2 technical assistance are, basically, again, the SEISM 1 code  
3 development and the tectonics modeling and data analysis  
4 that is ongoing.

5           In the SEISM 1 code development work, Larry will  
6 be talking a little bit more about this in his presentation.  
7 The SEISM 1 code is a Lawrence Livermore code that was  
8 developed for siting nuclear power stations in the eastern  
9 U.S. The CNWRA is modifying that code for use in the  
10 western U.S. and, in particular, Yucca Mountain. To this  
11 point, attenuation functions for the western U.S. have been  
12 added to the code, and it has been run on the Center's  
13 computers, and we expect an interim report on the Center  
14 code development work at the end of August of this year.

15           The Center is basically doing in three areas.  
16 There is the geometric modeling, the cross-section balancing  
17 that we briefed you on, I think, about a year or so ago now.  
18 That is continuing at a very low level and only is done in  
19 response to the development of site characterization data.  
20 It is a mechanism for testing DOE's models for their  
21 validity.

22           The Center is also working on the computer  
23 simulation of faulting within the repository block and the  
24 coupling of processes, faulting and volcanism, and this is a  
25 specific attempt to take the structural deformation

1 technical assistance activities and relate it to the  
2 iterative performance assessment. In other words, we are  
3 trying to develop quantitative models of what happens in the  
4 repository should displacement on something like the Bou  
5 Ridge Fault occur, and there is subsidiary deformation in  
6 that hanging wall in the repository block. So this is an  
7 input, we hope, into the iterative performance assessment  
8 activities.

9 Finally, there is an effort to develop a 3-D  
10 graphical visualization of tectonics processes at the  
11 Center, and this is to help permit the analyst or the  
12 reviewer to conceptualize what is going on in the repository  
13 block when he is conducting his review.

14 So, finally, what I would like to do is go back to  
15 this vugraph. It tries to relate all of the activities,  
16 both technical assistance and research activities, being  
17 conducted at the Center and how they relate to the key  
18 technical uncertainties.

19 I have divided them up into two categories:  
20 research and technical assistance. This is an old vugraph,  
21 and it used to be called analysis methods. So this is  
22 technical assistance, and this is research.

23 Again, we would develop the compliance  
24 determination strategy for structural deformation,  
25 potentially adverse condition. Under that, we have

1 identified the three key technical uncertainties. We would  
2 then identify the research and technical assistance  
3 activities that are needed to support those key technical  
4 uncertainties, and in the area of research, we would  
5 identify the user needs statements that would then be  
6 transmitted to the Office of Research for action.

7 MR. STEINDLER: I am a little confused as to where  
8 this process starts. Does it start in the top, does it  
9 start in the middle or start in the bottom?

10 MR. McCONNELL: Unfortunately, because of the  
11 timing of where we had user needs identified prior to the  
12 development of the key technical uncertainties, it is kind  
13 of starting at both ends and meeting at the middle at this  
14 time, but we hope this fiscal year to get to the point where  
15 it starts at the top and proceeds down.

16 That is my presentation.

17 MR. HINZE: Questions?

18 [No response.]

19 MR. HINZE: Keith, one of the motherhood bullets  
20 at the beginning of your discussion was regarding  
21 integration, and you have just discussed an example of  
22 integration regarding the Bou Ridge Fault, et cetera.

23 Can you give us any examples of how your group is  
24 involved in the integration process and how you are  
25 monitoring this? Give me a little better feeling on how the

1 integration activity really works out in terms of  
2 communication links, for one.

3 MR. McCONNELL: Integration with the Center?

4 MR. HINZE: What you are doing is you are  
5 integrating in terms of the subject matter, and that is what  
6 I was concerned about.

7 For example, one of your bullets relates to the  
8 high-gradient area, and that is obviously very much of a  
9 coupled process with hydrology and with other concerns. How  
10 are you effecting the communication? How is communication  
11 taking place to effect the integration?

12 MR. McCONNELL: What Bill is talking about is this  
13 Type IV key technical uncertainty that relates to the large  
14 hydrologic gradient, and the integration occurs at two  
15 levels and across two levels.

16 In the development of the compliance determination  
17 strategy from which this KTU was derived, it was a mixed  
18 group of hydrologists and geologists. So there was  
19 integration at the staff level.

20 MR. HINZE: To define it, but how about in terms  
21 of solving the problem? How is that integration being  
22 worked out?

23 MR. McCONNELL: I think Larry will talk to that in  
24 more detail, but at the Center, there is a specific task  
25 that relates to the integration of these efforts across

1 disciplines and into performance assessment. What happens  
2 is the performance assessment program element is the primary  
3 integrator. It is responsible for making sure these  
4 activities occur and that they are integrated across  
5 disciplines, and then the element managers back at NMSS in  
6 the Division of Waste Management are responsible for making  
7 sure that the Center is doing a good job on their  
8 integration. So it is occurring both at the center, and it  
9 is being overviewed and managed by the Division of Waste  
10 Management, to make sure that it does occur.

11 For example, in the IPA Phase III process, the  
12 performance assessment element manager is requesting a  
13 proposal from all of the other elements, like geologic  
14 setting, to integrate into the performance assessment  
15 activities next year, and that will be a coordinated effort  
16 between geologists, hydrologists, and seismologists.

17 MR. HINZE: Incorporating both Center and NMSS  
18 staff?

19 MR. McCONNELL: Right.

20 MR. HINZE: Let me ask you this. In terms of the  
21 communication with DOE, when we discussed volcanism, one of  
22 the topics was the lack of resolution of SCA comments and  
23 questions between NRC and DOE and the possible impact on the  
24 whole process of this lack of resolution.

25 Can you give us some insight into what is a



1 parallel situation in tectonics?

2 MR. HINZE: Yes. Because of the time frames, I  
3 didn't go through the open items that exist with respect to  
4 structural deformation and seismicity, but there is,  
5 perhaps, a similar but less focal or less intense situation  
6 in that we do have a number of open items that relate to  
7 structural deformation and how it is characterized at the  
8 site and also how seismic hazard is characterized at the  
9 site, including the use by DOE of a 10,000-year cumulative  
10 slip earthquake, which they now, I think, abandoned.

11 Buck, have we formally resolved that comment?

12 We haven't resolved that comment, but DOE has  
13 indicated in their topical report that they are abandoning  
14 this methodology. So there are a number of open items  
15 related to that, and in every letter that we send to DOE on  
16 structural deformation or volcanism, we encourage them to  
17 attempt to have early resolution of these concerns and not  
18 let them go to licensing.

19 One of the things that the development of the LARP  
20 is doing is it is clearly laying out on paper the st... 's  
21 concepts of what is needed to address specific issues.  
22 Extreme erosion is an example. We hope to have this year  
23 the compliance determination method for extreme erosion  
24 completed. That will finalize what we consider as necessary  
25 to address that issue, and with that at hand, DOE, I think,

1 will have a firmer idea of exactly what the staff wants.

2 A lot of times, we don't speak to each other. We  
3 kind of speak around each other or hear around each other,  
4 and it is not clear what the staff needs and what the DOE is  
5 giving us. Sometimes the twain doesn't meet.

6 MR. HINZE: Does the lack of resolution of these  
7 items lead to expenditure of further resources on your part?

8 MR. McCONNELL: Yes. Part of the equation is a  
9 recognition that, perhaps, we have to develop our own  
10 independent or confirmatory analysis activity, and that  
11 relates to whether we think DOE is going in the right  
12 direction in a certain area. The example is the development  
13 of the probability calculations related to igneous activity.

14 MR. HINZE: Bill?

15 MR. HATCHER: Why the emphasis on determination of  
16 fault mechanisms and alluvium? Does that mean that you  
17 understand the faulting mechanisms in Bedrock where the  
18 repository is going to be located or is this just simply an  
19 area about which you know nothing and you would like to know  
20 something because of support facilities and that sort of  
21 thing?

22 MR. McCONNELL: The key tool that DOE is using to  
23 evaluate faulting mechanism in general is the examination of  
24 the quaternary record, and our regulations specify that they  
25 look at the quaternary record, and most of that involves

1       trenching, and that is in the alluvium.

2               They haven't been successful in their Bedrock  
3       activities as far as dating faults, dating the timing of  
4       faults. There is some work where they are able to develop  
5       the mechanisms, the strike slip versus dip slip.

6               Since most of the work is being conducted in the  
7       alluvium, in the quaternary alluvium, we felt that that was  
8       where the focus of our user needs should rest because that  
9       is where the large uncertainty exists.

10              MR. HINZE: John Trapp?

11              MR. TRAPP: John Trapp with the NRC.

12              One of the reasons that that came out as a key  
13       technical uncertainty in addition to what Keith has brought  
14       out there is there are several articles in the literature,  
15       such as the ones by Banella, which are talking about how  
16       these faults propagate through alluvium and the fact that  
17       many of the faults that you see in Bedrock, et cetera, which  
18       you know have moved do not show any type of displacement in  
19       the alluvium. So you are getting a false representation of  
20       the amount and severity of fault. This, like I said, was  
21       one of the key reasons that this thing came to the front.

22              MR. HINZE: Thank you, John.

23              Marty?

24              MR. STEINDLER: Can I go back to that last  
25       diagram? I continue to be confused.

1           If your compliance determination strategy sets the  
2 framework for the definition of KTUs, which in turn defines  
3 both technical assistance and research, why do you need user  
4 needs?

5           MR. McCONNELL: User needs are developed to  
6 transmit. They are more specific. They are derived from  
7 the key technical uncertainties, and so they are more  
8 specific than the key technical uncertainties. They address  
9 specific issues in the key technical uncertainty itself, and  
10 we needed a mechanism to transmit that information to the  
11 Office of Research, so that they can then develop their  
12 statement of work.

13           MR. STEINDLER: If that is what you expect of  
14 them, I guess my naive approach would be to find them  
15 between your KTU box and the research box.

16           MR. McCONNELL: Yes. Yes, I agree. I see what  
17 you mean. The box is confusing. It should be up here.  
18 Yes.

19           MR. HINZE: Paul?

20           MR. POMEROY: Keith, I was wondering a little bit  
21 about one of the things that we are concerned about which is  
22 timeliness of the research activities. To use a specific  
23 example, you have a user need No. 606 which is evaluation of  
24 the appropriateness precision and accuracy of probablistic  
25 seismic hazard analysis for long-term predictions.

1           As we have talked about, it is possible that the  
2 DOE may come in to you with a technical or topical report on  
3 seismic hazard analysis in the foreseeable and, perhaps,  
4 near future, and will you have the tools that you feel are  
5 necessary in hand as a result of the research that has gone  
6 on under user need 606 to evaluate that probablistic --  
7 presumably probablistic approach that DOE will propose?

8           MR. McCONNELL: That user need is one that we  
9 haven't specifically implemented with the Office of  
10 Research, primarily, because we do have technical assistance  
11 activities that, at least in part, address that, and that is  
12 the development of the expert panel by the Center that  
13 involved a number of well-known experts to assist us in the  
14 development of a staff technical position on fault  
15 displacement and seismic hazard and the user of probablistic  
16 versus deterministic techniques. So there were things in  
17 place as far as technical assistance that said we didn't  
18 necessarily need to implement that user need at the Office  
19 of Research.

20           So, to answer your question, I think we have the  
21 mechanism in place and the people on board to help us in  
22 that review.

23           MR. POMEROY: Would you anticipate that there  
24 would be a technical position generated by NMSS with regard  
25 to the analysis?

1 MR. McCONNELL: The budget for FY '95 identifies  
2 that position as one that will be initiated in fiscal year  
3 '95 and hopefully completed in fiscal year '96, and that has  
4 been concurred on by management of the division.

5 MR. POMEROY: Thank you.

6 MR. HINZE: Are you the person to ask what is the  
7 relative percentage of TA and research work going on at the  
8 Center?

9 MR. McCONNELL: With respect to this particular --

10 MR. HINZE: Tectonics.

11 MR. McCONNELL: I am the person to ask, and I  
12 would probably say that it would be, if you include the  
13 reviews of various documents, perhaps, equal or a little bi  
14 tin the favor of research in the area of tectonics.

15 MR. HINZE: In the area of tectonics, what  
16 percentage of your technical assistance and related work is  
17 done by the Center versus your own staff? How much of your  
18 technical assistance types of activities are done by the  
19 Center and how much are done by your own staff?

20 MR. McCONNELL: At the present time, most of the  
21 reviews of study plans and the primary review of topical  
22 reports is done by the staff. If there is an area where we  
23 don't have expertise, we rely in a much greater detail with  
24 the Center. We did that for extreme erosion. We didn't  
25 have the expertise on staff to address that particular

1 issue.

2 The modeling activities in the area of tectonics  
3 have been done largely at the Center. The computer  
4 resources and the expertise in computer balancing and  
5 computer activities rests at the Center.

6 MR. HINZE: We heard yesterday in the high-level  
7 waste performance assessment working group meeting about the  
8 excellent computer facilities and Earth Vision and the  
9 various codes that are going to be available. Do you have  
10 now in your own group the ability to balance sections? Do  
11 you have programs for handling this, using this hardware?  
12 What are your abilities in this area?

13 MR. McCONNELL: These are being developed, but at  
14 the present time, we have the hardware in place to work with  
15 Earth Vision. We don't have the cross-section balancing  
16 work that Steve Young has done. Again, that is being done  
17 at a very low level. So we have, basically, the 3-D  
18 visualization effort.

19 Eventually, we will probably have all of that on  
20 the NMSS hardware, but the NMSS hardware effort and software  
21 development is behind Center development at this stage, I  
22 would say.

23 For the geographic information systems, we have  
24 plans to make sure that the Center in their development of  
25 the data base supplies the NMSS staff with an equal data

1 base.

2 MR. HINZE: That was my follow-on question,  
3 really, leading to that in terms of the success for your  
4 people. Is that now available?

5 MR. McCONNELL: Only through the Center.

6 MR. HINZE: Only through the Center.

7 MR. McCONNELL: There are parts of it that have  
8 been transferred up to the division's computer but I think  
9 that will be done mostly in FY '95, if I am not mistaken.

10 MR. HINZE: If there are no further questions, we  
11 are 26 seconds ahead of schedule. With that, you have set a  
12 record, and we appreciate the extra time that you have given  
13 us.

14 Bill, before you start your discussion, would you  
15 explain what you are going to present and what kinds of  
16 sequence and so forth?

17 MR. OTT: What I am going to try and do is provide  
18 a transition from what Keith has described in terms of the  
19 NMSS program to the research presentations that you will get  
20 following.

21 In terms of order, I am going to try and give you  
22 a diagram at the front to stimulate a little bit of  
23 discussion about how this all fits together.

24 If you look at the research program from the point  
25 of view of the budget structure, we have an area that we



1 call geologic systems research. Under geologic systems  
2 research, we have three areas. We have hydrology, we have  
3 geochemistry, and then we have geology research. Within  
4 geology research, we have the tectonics and the volcanism  
5 programs.

6 We have briefed you on the volcanism programs  
7 earlier this year. Today, we are going into the tectonics  
8 side.

9 In the first vugraph, after the obligatory  
10 announcement of who I am and also the obligatory apology, I  
11 noticed this morning that when I was doing this, I put the  
12 arrow in the wrong box.

13 In the final analysis, we want to come down with  
14 something that helps us analyze the repository site in terms  
15 of assessing the contribution to release to the environment  
16 from geologic processes, such as volcanism and seismic  
17 events, which are all tied up in the tectonics setting of  
18 that Yucca Mountain site.

19 We previously, two years ago, presented to you  
20 separately flow diagrams for projects which we were planning  
21 in volcanism and in tectonics, and we never put them together  
22 on a single chart. They both contain this program which has  
23 not yet started, which is called the modeling of mantle  
24 dynamics, where we hope to pull everything together and  
25 integrate it all.

1           There is actually some integration between this  
2 project, which is the primary Center project in tectonics,  
3 and this one which is the first project in volcanism in the  
4 basin and range. I will get into that in a second because  
5 it shows up in the task structure where the first three  
6 tasks of the two projects are, essentially, identical, with  
7 one project focussing on the volcanic features and the other  
8 one focussing on tectonics features.

9           This gives you an idea of the timing of these  
10 projects, and I will relate this back to something that  
11 Keith said and the question that you have asked before in  
12 terms of the user need. Keith said that the user need is  
13 about four years old, and he is correct because that is when  
14 it was originated. However, when we were preparing the plan  
15 to go to ACNW a couple of years ago, we asked NMSS to do a  
16 revisiting of the user needs statement. So the user need  
17 was revisited as recently as two years ago, and the version  
18 that you have in the draft NUREG-1406 was actually revised  
19 and reissued to us by NMSS in '92. So it is not really that  
20 those things are four years old. The initial identification  
21 might be four years old, but as of two years ago, NMSS and  
22 Research still agreed that those were the operative things  
23 that we needed to be working on.

24           You will notice, also, that around '92 is when  
25 most of the work that is going on now finally started. The

1 Volcanism and basin and range project began then. Around  
2 that time, we had two proposals submitted to us as grant  
3 proposals, one on seismic pumping by Jim Wood at Michigan  
4 Technological University and one by Brian Wernicke. At that  
5 time, he was at Harvard.

6 I have listed it here as "Regional Strain Geoticy"  
7 because the title is too long to put in the little box,  
8 something like contemporaneous strain rates in the basin and  
9 range. Brian can give you more detail on that when we get  
10 around to it.

11 They were both submitted to us at the same time,  
12 and the decision was that since they were actually of very  
13 real interest to what had to be done -- in terms of Brian's,  
14 the strain rate, extremely critical interpreting what is  
15 going on tectonically. The seismic pumping very strongly  
16 related to the Szymanski report which had come out in the  
17 same time frame.

18 It was determined that we couldn't fund them as  
19 grants. Grants have to be more farther away from the real  
20 -- if it is that important, you ought to be funding as a  
21 contract. So we went through a rather lengthy process of  
22 converting these things into contracts, placed both of them.

23 Unfortunately, Jim Wood's project has just ended.  
24 He was in, actually, last week to do a final briefing,  
25 provide us a draft of his final report, which we will give

1 to you. I will go very briefly into a little of what Jim  
2 would describe to us.

3 Ed O'Donnell and Linda Covack are here and were at  
4 the briefing and can give a little more detail if you have  
5 questions on it. We probably ought to defer that to getting  
6 you a copy of it.

7 I do apologize. George Birchard should be giving  
8 this talk. He just isn't available to us today.

9 MR. HINZE: Bill, if I might ask a question  
10 regarding that overhead, does this mean that all of the  
11 research that is going on at the Center is done under one  
12 statement of work that falls under regional extensional  
13 tectonics?

14 MR. OTT: At the present time, yes.

15 When we do start this modeling in mantle dynamics,  
16 there will be three operative statements. This is also a  
17 Center project. These two are Center projects. This is a  
18 Center project. This is the only one in tectonics that is a  
19 Center project at the present time.

20 MR. HINZE: Is the modeling of mantle dynamics  
21 authorized?

22 MR. OTT: We have not put the SOW on that together  
23 on that yet.

24 I will say that when we originally described the  
25 tectonics program to you, we had a separate project

1 described, which was one on geochronology, to look at the  
2 techniques available to date all these various structures  
3 and events.

4 In the final analysis, we put a task into the  
5 regional project on geochronology and will probably wind up  
6 putting the funding that was set aside for geochronology  
7 into this project next to make this a larger project and  
8 take care of it under a single heading.

9 MR. STEINDLER: Before you take that off, I guess  
10 that is a fairly interesting diagram. The implication is  
11 that the modeling of mantle dynamics is the focus result of  
12 all of your activities, and I assume that past the year  
13 2000, there is a customer at the end of that line who will  
14 find it is necessary and sufficient to have the data that  
15 you have assembled into this mantle dynamic model.

16 Is that a correct interpretation?

17 MR. OTT: It is a quasi-correct interpretation.

18 If you refer back to what Keith said, there are  
19 FACs and PACs that just deal with the features and with the  
20 investigation of those features. So there are products out  
21 of these three projects that are directly feeding into NMSS  
22 continual review of what DOE is doing.

23 In terms of the system assessment of the  
24 repository and the disruptive scenarios that involve either  
25 tectonics events, seismic events or volcanic events, that is

1 where this project is aimed in the final analysis. This is  
2 a direct feed into the PA program, and I would hope would be  
3 feeding into IPA all along here. It is a question of when  
4 you get to the point where you have done enough. I don't  
5 know where the proper end of this is right now. I don't  
6 think we are far enough along to find that out.

7 MR. STEINDLER: Does it trouble you that that  
8 program, in effect, comes to a conclusion as late as the  
9 year 2000? Maybe that is not late in the way the DOE  
10 program seems to be going, but adhering to a schedule not  
11 too long ago --

12 MR. OTT: What I would say is that here in  
13 regional tectonics and in volcanism, these projects are  
14 supposed to be identifying and defining models that can be  
15 used in the PA process.

16 If at some point along the execution of this  
17 project we come to the point where we feel that we have  
18 adequate means to address this problem, then I would say  
19 that the project will die of its own weight. I am not  
20 confident. I can't say it with confidence that that will  
21 happen, and it may take longer.

22 MR. STEINDLER: So you are telling me that aligns  
23 to the customer extend not only from the mantle dynamic  
24 modeling effort, but also from the two or, perhaps, even  
25 three boxes surrounding it?

1 MR. OTT: Definitely, yes.

2 MR. STEINDLER: Thank you.

3 MR. HINZE: Keith?

4 MR. McCONNELL: If I could just add, we don't have  
5 to wait until the end of that box to get the results  
6 necessarily. There are intermediate milestones that occur  
7 in all of these activities where we do get products, we do  
8 implement those in our review plans and our reviews of DOE  
9 documents.

10 MR. OTT: A diagram of this is inherently simple  
11 because it does not display intermediate milestones.

12 MR. HINZE: Shouldn't there be some lines between  
13 the tectonics and at least the volcanism of the basin and  
14 range and, perhaps, even the field volcanism if there is  
15 proper integration?

16 MR. OTT: The way the projects are set up, the  
17 tasks are -- the first three tasks are almost identical with  
18 different focusses in two projects, one looking at volcanic  
19 systems and one in tectonics. Yes, there should be, and  
20 there is overlap. It is diagrammatically simpler to show it  
21 all feeding into the mantle dynamics.

22 The staff involved here are going to be the staff  
23 that are involved in these two projects.

24 The statement of work, I am going to go through  
25 this in a slightly evolutionary way to sort of show you how

1 the projects evolved.

2 In terms of Brian's project and Jim Wood's, there  
3 was no process. They made submittals to us. We made a  
4 determination that the information was a value when we  
5 funded the projects, but this is fairly typical of what we  
6 would do for a Center project.

7 We transmitted an SOW to the Center in October of  
8 '92, and it referenced all six of those user needs that were  
9 listed that Keith mentioned. It specifically referred to  
10 the Brian Wernicke and Jim Wood projects in terms of  
11 integrating the data from those projects. It specifically  
12 assigned an integrating role to the Center to pull all that  
13 work together, and as a specific objective, it said we need  
14 to develop performance assessment capability. So we need to  
15 keep an eye in the evolution of this project as the  
16 provision of techniques and capability to IPA.

17 After this, we provided a proposed task structure  
18 to the Center, and we, essentially, proposed the first three  
19 tasks that were identical to that, which were proposed to  
20 the volcanism project, except that tasks three in the  
21 critical data review.

22 The assumption when both of these projects were  
23 begun was that there is a tremendous amount of data out  
24 there on the basin and range which has not been compiled and  
25 that we really need to know what has been done before we try



1 and do something new. So that was the motive for the  
2 literature review and data compilation: let's get all this  
3 data together and take a look at it. Then there is how good  
4 is this data. So the third task was critical review of  
5 data.

6 For this project, there was additional  
7 amplification which said your critical review should have  
8 emphasis on integrating models of seismicity with models of  
9 structure, geological structure, modeling of faulting and  
10 deformation, and modeling of seismic hazards in regional  
11 tectonic processes. So here is where we started to part  
12 from the volcanism project in terms of specifying the focus  
13 for the critical review of the tectonic data.

14 What I am providing you here is the structure that  
15 we gave the Center in terms of the SOW. When Steve Young  
16 gets up and Dave Ferrill, they are going to talk to you  
17 about structure of the actual project which is underway  
18 right now, and you will be able to judge for yourself how  
19 faithfully what is being done reflects back on the process.

20 MR. STEINDLER: Is the implication of that  
21 previous vugraph that DOE has not done this or that you  
22 don't have access to what DOE has done in that area?

23 MR. OTT: The implication was that we had -- how  
24 do I say that?

25 Do you want to make a statement about what you

1 feel DOE has done in terms of that area? I don't want to  
2 prejudge something.

3 MR. McCONNELL: That means getting the data?

4 MR. STEINDLER: Literature and data compilation  
5 and, perhaps, critical review of data strikes me as a  
6 precursor to anybody's research program, and DOE surely must  
7 have done that. No?

8 MR. McCONNELL: They have. They did that  
9 primarily in their site characterization plan several years  
10 ago.

11 t is getting all of that information into a  
12 format that is usable and manipulatable that the Center is  
13 working on; in other words, putting it into a GIS type of  
14 data base, so that people can manipulate it.

15 There are activities or actions between the staff  
16 and the DOE to try to make this smoother, too, to where DOE  
17 will just transmit us an electronic copy of the report or of  
18 a data package to where it then can be entered in without  
19 having to go through the process of digitization or  
20 something like that, but their program isn't fully  
21 implemented and neither is ours.

22 MR. OTT: To a certain extent, we had a perception  
23 also that DOE was not looking as far afield as we would in  
24 terms of understanding the structure and the basin and  
25 range.

1           Task four was designed to develop field studies,  
2 to establish cenozoic strain in the basin and range as they  
3 relate to Yucca Mountain, and to test and confirm models of  
4 tectonic evolution of the basin and range.

5           Task five, as I mentioned before, was the  
6 geochronology task, a literature review of methods, and this  
7 also contained the requirement for a study plan to assess  
8 the reliability of radiometric and other age determination  
9 techniques, and specifically here, I have made a reference  
10 to the Black Mountains field site because we have had  
11 several questions in the volcanism review about work being  
12 done at Black Mountains and why is it being done in their  
13 tectonics as opposed to being done under volcanism since  
14 this, essentially, is a volcanic system, but it is being  
15 looked at as an analog that can provide data in a number of  
16 areas; in particular, in the geochronology area, these  
17 age-dating techniques, also in terms of a deep structural  
18 analog. So there are several reasons that it appears here  
19 in task five. Steve can give you more detail on that later  
20 if you have further questions.

21           The last task six is assessment of data and  
22 development of alternative conceptual models of tectonic  
23 processes, and these alternative conceptual models would  
24 then be fed into the modeling and mantle dynamics project to  
25 be coupled with the same types of output from the volcanic

1 program to help us get a fairly good hand on how to  
2 represent these processes in the PA process.

3 The seismic pumping project I mentioned, it is  
4 primarily funded because of a great deal of attention that  
5 occurred around the time of the Szymanski report. The  
6 project as a grant -- both Brian's project and Jim Wood's  
7 project were submitted as grants, which meant they actually  
8 asked for very little money. Both of these projects were  
9 funded at a rate of about \$50,000 a year, which is currently  
10 our limit. The office tries to fund grants at that level or  
11 lower.

12 He proposed to look at two sites in California,  
13 one at Elk Hills, which is an area where there is a large  
14 petroleum reserve, and the Salton Sea site, which is a  
15 geothermal power generation location. Here, he was looking  
16 at formations at about 4,000 feet. Here, he is actually  
17 looking at the evolution of calcite deposits in the  
18 equipment in the piping to see how these calcite formations  
19 form as a result of a release of over-pressure. So here he  
20 is looking at process, and here, he is looking at some  
21 actual natural formations to see if he can make some  
22 judgments with regard to whether these were seismically  
23 induced features.

24 MR. HINZE: How will the results of that work be  
25 brought to the attention of DOE and the public?

1 MR. OTT: The final report has been submitted. I  
2 presume we will publish it as a NUREG. If NMSS feels it  
3 should be brought specifically to the attention of DOE, they  
4 will mention it.

5 Some of this here might have some impact on --

6 MR. HINZE: Is this the kind of thing that you  
7 would compare a research summary, trying to point out its  
8 relevancy to licensing problems?

9 MR. OTT: That is also a possibility, yes.

10 The results of the project were to develop an  
11 approach for assessing the origin of veins in cements,  
12 specifically calcite and opal veins, to examine the use of  
13 carbon and isotope ratios in the cements and the fluid  
14 inclusion. This is part of the methodology that he has  
15 developed.

16 They concluded with regard to the Elk Hills veins  
17 that they were formed as a result of seismic events from a  
18 narrow window in time. Basically, what that means is that  
19 Jim doesn't know whether this was a single seismic event or  
20 a series of seismic events. He does feel that these veins  
21 were formed over a fairly small window in geologic time, and  
22 he does feel that they have a seismic origin.

23 He was asked by George to take a look at his  
24 methodology with regard to the data that has been examined  
25 at Yucca Mountain with regard to the Szymanski report in

1 terms of the calcite veins in those trench deposits. Taken  
2 along, specifically with regard to the carbon and isotope  
3 ratio techniques, people in the oil industry would consider  
4 that those veins were probably thermogenic in origin, but  
5 taken alone, they are insufficient at the Yucca Mountain  
6 area, and I guess there is significantly more information  
7 available in those Yucca Mountain deposits than just the  
8 carbon and oxygen isotopes.

9           What he was saying, you can't put all your eggs in  
10 the carbon and oxygen isotope ratio basket because they  
11 alone are insufficient to make a determination.

12           MR. HATCHER: I thought a lot of the evidence from  
13 Yucca Mountain indicated these things were meteoric in  
14 origin and not hydrothermal or thermogenic, as you say here.

15           MR. OTT: Right, that is correct. What he is  
16 saying if you only look at the carbon and oxygen isotope  
17 date --

18           MR. HATCHER: Okay. Right.

19           MR. OTT: -- people in the oil industry would  
20 conclude that they were of thermogenic origin, but they are  
21 inconclusive.

22           MR. POMEROY: Bill, I think I missed something  
23 there. The conclusion regarding being formed as a result of  
24 seismic events, what was the approximate date of formation,  
25 and how did he conclude that?

1 MR. OTT: I couldn't tell you. We have the  
2 report, but I don't know that I can give you that  
3 information off the top of my head.

4 MR. POMEROY: Okay. Fine. Can we eventually get  
5 a copy?

6 MR. OTT: We will get you a copy of the report as  
7 soon as it is made final.

8 MR. POMEROY: Great.

9 MR. OTT: Again, I don't think I have been  
10 faithful to the actual title of the project, but this is  
11 Brian Wernicke's project. It was submitted when he was at  
12 Harvard University. He has since moved to Caltech. That  
13 gave us a little difficulty in the timing on the starting of  
14 the project.

15 It has a limited scope. It is very discrete,  
16 primarily involved in making GPS measurements. It is  
17 directly responsive to the user need on strain rates. It  
18 was a very close correlation in terms of something that we  
19 saw that we needed and something that somebody proposed to  
20 do for us, and we said let's go for it.

21 He has involved the Center and NRC staff on field  
22 trips. These field campaigns apparently involve a fair  
23 number of staff, and George has been out, I believe, on two  
24 of them, and some of the Center staff have been out there  
25 and involved as well.

1 I would also point out that Brian either is or has  
2 been consultant to the center. So he is also making his  
3 expertise available.

4 It was actually fortuitous for us. When he first  
5 came on board, we right away pulled him into the workshop  
6 and natural analogs that we had down in San Antonio a couple  
7 of years ago.

8 I am going to stop right there. The two last  
9 slides in that package, the conclusion slides, are what I  
10 will go into at the end when we do the wrap-up, okay?

11 MR. HINZE: All right. Very good.

12 MR. OTT: If you have no questions, I will turn it  
13 over to Brian.

14 MR. HINZE: Questions? Further questions for  
15 Bill? We will have another chance at Bill when he  
16 summarizes.

17 MR. POMEROY: Bill, do you have the same kind of  
18 problems that Keith does as far as the strain rates concern?  
19 I notice the user need is rather specific in saying  
20 evaluation of quaternary strain rate estimates, and you are  
21 measuring today's strain rate measurements. Do you have any  
22 problem about the quaternary versus the future?

23 MR. OTT: I don't know whether I should say it,  
24 but we have a little less problem than Keith does.

25 Keith is trying to provide a structure within the



1 regulation. The SRA is built on looking at all the  
2 requirements that NMSS has to fulfill and doing it in a very  
3 specific fashion. They need to make certain that they have  
4 complete coverage.

5 On our side of it, we can look beyond what the  
6 specific requirement is that generates a given user need to  
7 what the contribution of that user need may be to other  
8 parts of the review.

9 If you will notice, when I gave the original task  
10 description for the Center project, I said we put an  
11 objective for performance assessment. The performance  
12 assessment KTUs in this area are -- I guess the best of you  
13 would say is poorly defined right now? Okay. But  
14 performance assessment needs to deal with potential  
15 disruptions of the repository that may be caused by either  
16 volcanic or tectonic activity, and I don't feel that we  
17 could ignore that in developing a research program.

18 MR. POMEROY: I don't think you can either.

19 When you decided to fund this program, were you  
20 aware of DOE's program which also involves GPS measurements  
21 of structural deformation in the Yucca Mountain vicinity?

22 MR. OTT: You mean specifically Brian's project or  
23 all of these projects?

24 MR. POMEROY: No. I mean the specific DOE  
25 project.

1 MR. OTT: We said when we started this project.

2 Are you talking about Brian's project --

3 MR. POMEROY: Yes.

4 MR. OTT: -- or are you talking about everything?

5 MR. POMEROY: Yes.

6 MR. WERNICKE: If I may, there were no GPS  
7 measurements on Yucca Mountain prior to the submission of my  
8 proposal.

9 MR. POMEROY: Right, but I am asking whether they  
10 were aware of the site characterization plan statements with  
11 regard to that.

12 MR. OTT: Yes. We have been involved in the site  
13 characterization plan reviews. We feel it is necessary for  
14 us in developing a research program to be aware of what DOE  
15 is doing. We participate within NMSS on technical exchanges  
16 with the Department of Energy. We organize some of our own.  
17 We try to keep as closely abreast of what is developing  
18 there as possible.

19 MR. POMEROY: And I presume the logic was that  
20 this is a regional study as contrasted to a site-specific  
21 study, except for the fact that there is some overlap?

22 MR. OTT: Only that, there is a confirmatory  
23 aspect to some of our research. There are times when we  
24 feel that even if DOE is doing something, we would like some  
25 confirmatory work of our own and give this an independent

1 basis for evaluating it.

2 In this particular case, we felt that the strain  
3 rates were so important to getting an overall picture of  
4 what the current and future status of the region was that  
5 that was one that was appropriate for confirmatory work as  
6 well as independent work.

7 MR. POMEROY: Great. Thank you.

8 MR. HINZE: Are there further questions?

9 [No response.]

10 MR. HINZE: If not, we will take a 15-minute  
11 break, and at that time, we will get Brian Wernicke's  
12 projector set up and his overheads prepared.

13 [Recess.]

14 MR. HINZE: In the second portion of this  
15 morning's meeting, we will be hearing Brian Wernicke. Brian  
16 is going to tell us about the research project he has been  
17 carrying on for NRC.

18 Brian, you will also be open to any questions  
19 about tectonic models or related problems of the southwest,  
20 right?

21 MR. WERNICKE: Sure.

22 MR. HINZE: Very good.

23 MR. WERNICKE: What I am going to try to do here  
24 this morning is explain a little bit of the rationale, both  
25 from a practical point of view and from a scientific point

1 of view, of why we are doing this project, which is to look  
2 at the contemporary deformation of the southwester United  
3 States.

4 I can say right at the beginning, we are very far  
5 at this point away from a solid refinement of the  
6 displacement field on the major faults that are adjacent to  
7 Yucca Mountain as well as characterizing Yucca Mountain  
8 itself, although I will present some preliminary results of  
9 some baselines, in particular, a baseline known as Wahomie  
10 Mile, which runs from the repository eastward into Area 25  
11 for which we have a lot of data spanning a 10-year period  
12 when we combine the results from our project with the  
13 results from the Yucca Mountain project, funded by the DOE,  
14 and work carried out by the United States Geological Survey.

15 If I could have the lights, I just have a few  
16 slides to start with, and then we will go to the overheads  
17 that you have in your package.

18 Yucca Mountain sits in the basin and range  
19 physiographic province, which is a series of north-trending  
20 basins and ranges. It sits basically right there. It is  
21 one of these ranges in the basin and range. It is part of  
22 what I would call a diffusely deforming plate boundary zone.

23 Now, there are a lot of spectacular mountain  
24 ranges and quaternary faults over this entire map area. The  
25 major plate boundary fault, the San Andreas Fault here,

1 carries most of the relative plate motion between the  
2 Pacific plate and the North American plate. The vast  
3 majority of it and the most rapid strain accumulation and  
4 the largest and most frequent earthquakes all occur on the  
5 San Andreas Fault, and this is, of course, a very  
6 intensively studied structure from the point of view of  
7 geoticy, over a century's worth of geoticy, seismology,  
8 quaternary tectonics and the like.

9 It is separate from what appears to be a  
10 relatively stable block here, which I will call the Great  
11 Valley/Sierra, Nevada block from the actively deforming  
12 basin and range province. From the point of view of geoticy  
13 and quaternary faulting and seismicity, we know orders of  
14 magnitude less about how this area works than we do about  
15 how the major plate boundary fault works.

16 The dominating influence, to give you a bit of an  
17 historic perspective on the evolution of the plate boundary,  
18 this shows a series of frames here. In light gray, the  
19 Pacific plate; and in dark gray, the Ancient plate; and then  
20 uncolored is the North American plate, with north to the  
21 left of the diagram. This just shows in broad scale the  
22 evolution from 30 million years ago up to the present of  
23 this plate boundary.

24 The story, as many of you know, is that the  
25 Pacific plate impinged against North America about 30

1 million years ago. When it did so, it created two migrating  
2 triple junctions which slowly expand with time getting  
3 particularly significant in length by about 10 million years  
4 ago, and of course, now it is well over a thousand  
5 kilometers long.

6           The zone of diffuse deformation inboard from this  
7 plate boundary predates the impingement of the plate  
8 boundary, the Pacific plate against North America, by a  
9 substantial degree.

10           This shows a tectonic map of western North America  
11 with the major tectonic provinces, the basin and range  
12 province here which contains Yucca Mountain at about the end  
13 and central, which largely lies to the east of a zone of  
14 cretaceous shown here in pink.

15           The onset of extensional deformation within the  
16 basin and range ranges back to about 35- to 55 million years  
17 ago. There is possibly also diffuse extension and  
18 compression, certainly compression, accommodated in the  
19 cretaceous. So this zone of diffuse deformation between the  
20 various Pacific plates and North America is long-lived. It  
21 is not a new phenomenon.

22           The area of interest shown here, this is Death  
23 Valley, the Funeral Mountains, and the area of interest  
24 ended up on the ceiling in this slide. It is right here,  
25 Yucca Mountain. What we have been trying to do is

1 characterize not only contemporary strain accumulation  
2 across Yucca Mountain, but also strain accumulation of  
3 faults like the northern Death Valley Fault, the central  
4 Death Valley Fault, and the southern Death Valley Fault.

5 This fault zone with a right step forming the half  
6 graven of Death Valley and continuing northward another  
7 hundred or so kilometers is long enough to generate a  
8 magnitude of 8 to 8.5 earthquake, and essentially, nothing  
9 is known about its contemporary deformation.

10 On to the overheads. To give you a general  
11 neotectonic picture -- and this is the first that you have  
12 in your handout -- this sort of busy thing shows the zone of  
13 seismicity associated with the right lateral San Andreas  
14 Fault, and then a broad zone of seismicity that exists  
15 inboard of that, both seismicity and strain accumulation.

16 The major seismicity is actually rather clustered.  
17 The inter-mountain seismic belt runs down the eastern margin  
18 of the basin and range, the central Nevada seismic belt in  
19 the west central part, and then a broad zone of seismicity  
20 and quaternary faulting that essentially branches up off of  
21 the San Andreas Fault and runs along the west side of the  
22 basin and range, just to the east of the Sierra,  
23 Nevada/Grape Valley block.

24 The total amount of strain accumulation that  
25 occurs across the San Andreas Fault is about 35 millimeters

1 per year. The total plate motion, on the other hand,  
2 Sixteenth Street about 48 millimeters per year. This is a  
3 figure from a popular article in Scientific American by  
4 Minster and Jordan. This is the total Pacific plate motion  
5 according to their reconstruction as of about '91.

6 The San Andreas slip doesn't account for all of  
7 that, and they subdivided the discrepancy here, the  
8 so-called San Andreas discrepancy, into a basin and range  
9 extensional component here and a shortening component  
10 parallel to the coast ranges.

11 It has since been shown by refinement of the plate  
12 motion models that this vector is probably considerably  
13 smaller than this estimate, but the 9 to 13 millimeters per  
14 year of relative strain shown in the directions of the  
15 arrows here and here, largely tensional in central Nevada  
16 and the Wasach inter-mountain seismic belt area,  
17 predominantly right lateral strike slip faulting, plus some  
18 extension in what has recently been termed the eastern  
19 California sheer zone, also known as the Walker Lane Belt,  
20 which I have abbreviated here as WLB.

21 So Yucca Mountain, then, the major challenge is to  
22 try to understand how this 9 to 13 millimeters per year of  
23 deformation is how this amount of strain accumulation is  
24 distributed across this zone inboard from the Sierra,  
25 Nevada/Grape Valley block. Yucca Mountain lies within, sort



1 of, the join between the belt of seismicity and the  
2 inter-mountain seismic belt and the zone of seismicity that  
3 we might call the eastern California sheer zone.

4 Over the last 15 years a program sponsored by NASA  
5 called the Crustal Dynamics Project, has emphasized a  
6 technique called very long baseline interferometry. This is  
7 basically using astronomical objects, the radiation from  
8 astronomical objects as interferometers to gauge tectonic  
9 deformation.

10 The early Minster and Jordan articles were sort of  
11 skimming the cream of this data for Western North America as  
12 it came out. A 54-paper set of volumes was recently  
13 published by AGU in 1993 summarizing the results of the  
14 Crustal Dynamic Project. The result of this project is that  
15 the global plate motion models, such as Nuvel-1 or Nuvel-1  
16 no net rotation, agree within about 95 to 99 percent of what  
17 is observed in the contemporary deformation of various  
18 monuments set on the Earth's tectonic plates.

19 In other words, plate tectonics works but more  
20 importantly, there is to a 95 percent level of agreement,  
21 the rates over the last 15 years between the Earth's  
22 tectonic plates agree with those over the last 2 to 3  
23 million years measured by reconstructing magnetic anomalies.

24 For our current problem was have a fixed North  
25 American plate and a number of VLBI monuments, also so-

1 called SLR or Satellite Laser Ranging monuments. Yucca  
2 Mountain is about here. Sites that rest on the eastern  
3 boundary of the Great Basin, according to this -- this is  
4 from paper by Dickson, et al, which unfortunately I did not  
5 attribute on this slide, unless it's on the top there. No,  
6 it isn't.

7 This is from Dickson, et al, AGU, Geodynamic  
8 Series, Volume 24, I believe.

9 Basically, this confirms or shows to a high degree  
10 of accuracy that the western side of the Sierra Nevada --  
11 western side of the Great Basin, that is, the Sierra Nevada  
12 block, is moving at 8.6, 10, 13.9, 8.9, 8.9 millimeters per  
13 year, pretty much north or northwestward relative to the  
14 interior of North America. The site at Ely is moving about  
15 5 millimeters per year in a more easterly direction.

16 This agrees relatively well with the direction of  
17 seismic moment release in the inter-mountain seismic belt,  
18 which has an easterly component, so Ely is moving east. And  
19 then the strong right shear in the eastern California shear  
20 zone, plus the oblique tension in the Central Nevada seismic  
21 belt all add up to give us about a centimeter a year motion  
22 of that particular block.

23 Where is the motion and how much of it is  
24 accommodated across Yucca Mountain? If all of it is across  
25 Yucca Mountain, we have a lot to worry about. We don't know

1 where it is. The purpose of this project was to figure that  
2 out.

3 This is a tectonic map showing the area between  
4 the Sierra Nevada Mountains and the Yucca Mountain site.  
5 Geomorphologically, there is a broad triangular zone here,  
6 sort of a tall triangle, coincident with the eastern  
7 California shear zone that is far more active in terms of  
8 quaternary deformation than regions to the east.

9 The northern Death Valley Furnace Creek fault is  
10 along essentially continuous fault zone here, which is  
11 basically over 200 kilometers long, bounds this sort of  
12 triangular zone with a relatively -- what I would call  
13 relatively inactive zone where Yucca Mountain is currently  
14 located. Then, another large structure to the west of that,  
15 the Hunter Mountain Panamint Valley fault zone. And then,  
16 finally, two major structures, the Owens Valley fault right  
17 here and the Independence fault right here are respectively  
18 a major strike slip in normal fault.

19 The 1872 magnitude, somewhere between 7-1/2 and 8.  
20 Owens Valley earthquake occurred right here.

21 The blue dots, which unfortunately came out black  
22 on your copies, show our monuments. We have a permanent GPS  
23 station at Ovro, one on the Sierra Nevada block. We have  
24 near-field and far-field stations straddling the Hunter  
25 Mountain fault and its central portion. Near-field and far-

1 field geodetic monuments straddling the Death Valley fault  
2 zone, plus two down here in the south.

3 And then a five-station network, subnet, going  
4 across Bare Mountain. The Crater Flat area, Mile is right  
5 here. Monument Mile is right here. A monument called  
6 TJ67S, and finally Wahomie is right here. And we'll be  
7 looking at the Wahomie mile results in a couple of minutes.

8 MR. POMEROY: Can you give us an idea on that  
9 slide where the DOE GPS stations are located?

10 MR. WERNICKE: Yes. The current -- we'll look at  
11 that in just a second, --

12 MR. POMEROY: Okay.

13 MR. WERNICKE: -- but you reference Wahomie and  
14 Mile. Then I'll show their grid in just a minute.

15 MR. POMEROY: Surely.

16 MR. HINZE: Could you also point out where the  
17 Little Skull earthquake occurred in reference --

18 MR. WERNICKE: Yes. Little Skull Mountain  
19 earthquake was basically right about there. The epicentral  
20 region was right about there.

21 MR. HINZE: Okay.

22 MR. WERNICKE: The Yucca Mountain project has been  
23 surveying a network funded by the USGS, Jim Savage and  
24 colleagues, and this shows from a paper of theirs in press  
25 in JGR, or very nearly in press as I understand it. This

1 shows their monumentation.

2 Wahomie is right here. Mile is right here. Our  
3 TJ67S is in between and we have two more monuments that  
4 don't correspond exactly with their monuments off to the  
5 west.

6 The Little Skull Mountain earthquake epicentral  
7 region is right about here, depending on which focal  
8 mechanism you choose. And there is no real basis at this  
9 time to select one, because our locations of aftershocks are  
10 not good enough. It could project to the surface about  
11 right there or about right there. It's a moderately dipping  
12 fault plain -- 55 or 35, depending on which plain you pick.

13 The easterly dipping plain, which is the one  
14 Savage, et al, preferred -- pardon me. The westerly dipping  
15 plain, northwesterly dipping plane, would dip about 54  
16 degrees. If it was easterly dipping it would dip about 36  
17 degrees and project up somewhere near the monument Wahomie.

18 Okay. They collected data in 1983, 1984 and then,  
19 using an geodylite technique that is a conventional  
20 essentially line-of-sight geodetic technique. They re-  
21 measured this entire network in 1992 and using both GPS and  
22 geodylite so they could compare the two techniques to look  
23 for any systematic variation in baseline using the two  
24 techniques.

25 And here's what it looks like when you do that,

1 just taking all of the baseline links. If you measure them  
2 using GPS, this is the geodylite link minus the GPS link.  
3 So if they agree, the data would plot on this blue line.

4 As you can see, the data seems to be  
5 systematically shifted above that line. And this is for the  
6 same occupation at the same time.

7 So they use -- depending on how you want to do it,  
8 you can regress lines through this to correct the geodylite  
9 measurements back to agree with the GPS measurements. But  
10 basically, the geodylite baselines were on average about 5  
11 millimeters longer than the GPS baselines.

12 MR. POMEROY: Brian, at some point can you tell us  
13 something about the accuracy of an individual measurement in  
14 GPS that you're using?

15 MR. WERNICKE: Yes, we will. I'll address that.

16 The results of Savage, et al, are plotted here as  
17 vectors with one sigma uncertainties. Actually, I think  
18 there are two sigma uncertainties.

19 This shows the network. As you can see, the  
20 vectors are quite tiny with respect to the error ellipses at  
21 95 percent confidence. So the upshot of what has been done  
22 so far by combining -- by the Yucca Mountain project -- by  
23 combining GPS and geodylite work over a 10 to 11 year  
24 period, is basically summarized right here.

25 The only substantial displacement you can see is

1 that Station Rock is displaced from its 1983 position from  
2 outside of its error ellipse. And this is almost certainly  
3 due to the Little Skull Mountain earthquake.

4 Wahomie is perhaps -- it plots right on the edge  
5 of its error ellipse and also perhaps shows a small  
6 displacement relative to the Little Skull Mountain  
7 earthquake.

8 But notice the scale here. The scale is 50  
9 millimeters of total displacement over approximately a  
10 decade. So the size of these ellipses are basically 20 to  
11 50 millimeters, depending on the ellipse. And I believe  
12 that should be at two sigma. You may want to correct that.

13 And what this means is that the rates are  
14 constrained to be less than -- in general, less than 2 to 5  
15 millimeters per year between any of the sites.

16 Now that's perhaps not a very robust result. We  
17 probably could have told you a priori that these things were  
18 not being displaced 2 to 5 millimeters. Maybe we couldn't.  
19 But it would have been a bit of a surprise if that were  
20 true.

21 The Savage, et al, study in terms of strain would,  
22 if we took the whole network, the total strain integrated  
23 across the network would be less than .02 micro-strains per  
24 year. That is, 2 parts in 10 to the 8th of an overall shear  
25 strain, say, that could be superimposed on the repository

1 site.

2 For comparison, in the San Andreas fault there's  
3 an order of magnitude greater amount of strain accumulation  
4 going on adjacent to the San Andreas fault. So the upper  
5 bound here is an order of magnitude less than we would  
6 observe next to a fault like the San Andreas.

7 When we absorb roughly 200 micro-strains, that's a  
8 large earthquake. So, it works out just about right that if  
9 we multiply by 10 to the 4th, that upper bound is enough  
10 actually for there to be some kind of large earthquake or  
11 large shear strain release accommodated somehow across Yucca  
12 Mountain.

13 So the bottom line is we don't know I think how  
14 dangerous the area is. At least if you look at the geodetic  
15 data itself it shows, not surprisingly, that the strain  
16 accumulation is at least an order of magnitude less than  
17 what we would expect next to a major plate boundary fault,  
18 although that's not a big surprise. And the question is,  
19 can we do any better.

20 Now, what I want to show you is our combined --  
21 and I should say, all of this work, I'm a dunce when it  
22 comes to space geodesy. I am the chief cook and bottle  
23 washer on this project. The GPS data reduction is being  
24 done by Jim Davis of the Harvard-Smithsonian Astrophysical  
25 Observatory.



1           Okay. What this shows is the Wahomie Mile  
2 baseline. Notice here we have length on this axis and we  
3 have year coming out here on this axis, 1983 through 1994.  
4 So that's a centimeter in each of the two tic marks  
5 represents 2 millimeters.

6           These are the two with the little star pattern are  
7 the three Yucca Mountain project measurements that at least  
8 show the uncertainty in Wahomie Mile and give that size  
9 error ellipse that we saw typical of Savage's final results.

10           In circles are the -- so far, the two campaigns  
11 that we've conducted across the baseline Wahomie Mile. That  
12 is, the NRC-CalTech-Harvard-Smithsonian. This was the  
13 baseline in which geodylite and GPS were used.

14           These stations have been corrected for the  
15 difference between geodylite and GPS. That is, the  
16 regression line that you saw through that systematic  
17 difference or systematically longer geodylite line. These  
18 have been corrected back down. In fact, when we first  
19 compared the uncorrected geodylite data with our first  
20 point, we had an enormous contraction between our first  
21 occupation and the geodylite data. And we thought, gee,  
22 Yucca Mountain is squeezing shut at some 5 millimeters a  
23 year.

24           But the Savage work shows that this comes down and  
25 that brought this measurement back to here.

1           Our next measurement plotted way up here, but if  
2 you correct using an elastic strain model for the  
3 earthquake, that is, on the northeast dipping plain as  
4 Savage did, this datapoint here has been corrected for the  
5 Little Skull Mountain earthquake. The earthquake itself  
6 occurred between these two. It occurred roughly in the  
7 middle of 1992.

8           So our point, we got in just before the Little  
9 Skull Mountain earthquake and the USGS got out just after it  
10 to conduct their campaign.

11           So, this gives you a pretty good idea of what the  
12 uncertainties are like with conventional GPS data.

13           Okay. The slope of this line gives a rate. That  
14 is, if we regress all five points that we have right here,  
15 we get a rate of .3 plus or minus .6 millimeters per year.  
16 That is at one sigma. That is, we have a sort of a 1  
17 millimeter per year window here, that 1.2 millimeter per  
18 year window, basically centered on zero.

19           So I think what we've been able to do is by adding  
20 these two datapoints -- again, the statistics of it are that  
21 the more points you have, there's a really rapid contraction  
22 in terms of the regression that occurs between N equals 1  
23 point and N equals 7 points.

24           Beyond that, adding more and more data doesn't  
25 really affect the mean nearly as strongly -- or, pardon me -

1 - the confidence limit is not affected as strongly as we get  
2 these first few points here.

3 So over the duration of our project, we will have  
4 two more occupations, one in 1994 and one in 1995, of this  
5 important baseline. And my guess is we will get this number  
6 quite a bit smaller than this.

7 That is, we've been able, I think, over and above  
8 what the Yucca Mountain project has done, adding our data to  
9 their data has contracted the upper bound in the amount of  
10 strain accumulation on Wahomie Mile. And I think as we get  
11 more data, rather than say plus or minus 1 to 2 millimeters  
12 a year, we'll probably be down at plus or minus a half or  
13 less millimeters per year.

14 MR. POMEROY: Let me interrupt you there, Brian,  
15 just a second.

16 MR. WERNICKE: Sure.

17 MR. POMEROY: And I just am asking you to help me  
18 out here. The points that you've identified there, the 1992  
19 and the 1994 points represent a single GPS measurement at a  
20 single --

21 MR. WERNICKE: Yes. They represent the base --  
22 the measure length of a baseline between Wahomie and Mile  
23 with two receivers differenced between Wahomie and Mile.

24 MR. POMEROY: Okay. And so you're using -- are  
25 you using differential GPS as well?

1 MR. WERNICKE: Yes.

2 MR. POMEROY: And so what's the accuracy of one of  
3 those measurements at Wahomie, say, versus the one at - I  
4 mean any?

5 MR. WERNICKE: You mean in an absolute frame? The  
6 accuracy in that?

7 MR. POMEROY: Yes.

8 MR. WERNICKE: It's much less. It's probably plus  
9 or minus a centimeter or more.

10 If you want to establish -- it depends on what  
11 absolute frame you want to establish. But these are -- when  
12 you compare one point relative to another, that's a much  
13 more accurate measurement than if you try to establish an  
14 absolute geodetic frame to refer all the points.

15 Say if you pick some frame like three sites on the  
16 North American Plate and call that an absolute frame and  
17 then say, okay, how far is everything moving, that's much  
18 less precise.

19 So these are just relative differences.

20 MR. POMEROY: Right. I guess I'm thinking in  
21 terms of the absolute accuracy of GPS being somewhere like  
22 10 feet or -- I mean differential GPS without selective  
23 accuracy.

24 MR. WERNICKE: No. It's much -- you mean rather  
25 than differences, finding absolute points as a function of

1 time.

2 MR. POMEROY: Talking about individual points.

3 MR. WERNICKE: Yes.

4 MR. POMEROY: Are you telling me -- what is the -

5 -

6 MR. WERNICKE: About a centimeter.

7 MR. POMEROY: It's about a centimeter versus a  
8 number that I had like 10 feet.

9 MR. WERNICKE: A millimeter.

10 MR. POMEROY: Yes. So, --

11 MR. WERNICKE: It depends on how you do it. See,  
12 what's going on here is that there's a difference between  
13 what you might call real time GPS where you have a receiver  
14 listening to satellites trying to basically guess where the  
15 satellite is and then determine it's position. Okay?

16 MR. POMEROY: Right. Yes.

17 MR. WERNICKE: What goes on in this process is  
18 there's a very detailed process that goes on that involves  
19 determining the ephemeris of the satellites. There's a  
20 whole -- there's a subnet of stations on the ground which  
21 GPS is constantly beaming its position to. So in order to  
22 get this level of accuracy, what you need to do is reduce  
23 those ephemerides in order to get precise satellite  
24 positicns.

25 This can't be done in real time. It takes months

1 to process the data to get this level of accuracy, which is  
2 exactly how the Defense Department would like it.

3 MR. HINZE: What is that line, the near horizontal  
4 line that is just beneath --

5 MR. WERNICKE: That's this .3 plus or minus .6  
6 millimeters. That's this regression.

7 MR. HINZE: Okay. Is that the regression on what  
8 values? Is that a -- what is that line? Where is that  
9 coming from? Where is that slope coming from? What  
10 determines the position of that line?

11 MR. WERNICKE: Basically, a least squares  
12 regression of these points.

13 MR. HINZE: On all of the points?

14 MR. WERNICKE: Yes. On all of them.

15 MR. HINZE: Okay.

16 MR. WERNICKE: Yes. Both the corrected geodolite  
17 measurements and the GPS.

18 MR. HINZE: Are you placing any significance --

19 MR. WERNICKE: I might add if we took the GPS  
20 alone just for this interval here we would have 6.7, a much  
21 bigger number.

22 MR. HINZE: Okay. Is that -- are you placing any  
23 significance on the difference between those slopes? If you  
24 just used your GPS over the Wahomie line, are you placing  
25 any strain significance on your GPS measurements in

1 comparison to the totality of the values?

2 MR. WERNICKE: No.

3 MR. HINZE: Okay. So, this is just part of the  
4 dispersion?

5 MR. WERNICKE: Yes.

6 MR. HINZE: Okay.

7 MR. WERNICKE: That is trying to get in with GPS  
8 with basically 24 hours of data on an annual basis or a bi-  
9 annual basis leaves a rather large -- an uncertainty of a  
10 number of millimeters, 2, 3, 4, 6 millimeters.

11 MR. HINZE: Yes.

12 MR. GARRICK: Brian, you made the comment earlier  
13 about the motion in the vicinity of Yucca Mountain and you  
14 indicated that if all the motion is at Yucca Mountain we  
15 have a lot to worry about.

16 MR. WERNICKE: Right.

17 MR. GARRICK: Can you elaborate on that a little  
18 bit with respect to what -- I live on the San Andreas Fault  
19 and I want to understand this a little.

20 MR. WERNICKE: Okay. Well, --

21 MR. GARRICK: What I'm really trying to find out  
22 is what results are you forming your opinion that there's a  
23 lot to worry about?

24 MR. WERNICKE: Okay. The single most important  
25 result that I'm forming my opinion about is the VLBI. Okay?

1 In other words, it's a fact that the Sierra Nevada Mountains  
2 are moving 9 to 13 millimeters a year northwestward relative  
3 to the interior of North America. It's fact that there's a  
4 broad zone of quaternary faulting and seismicity in between  
5 the Sierra Nevada in North America. Yucca Mountain sits  
6 within that.

7 Now, the question is, how much of 9 -- how is that  
8 9 to 13 partitioned across that zone?

9 MR. GARRICK: Yes.

10 MR. WERNICKE: Okay. We don't know whether it is  
11 evenly distributed or whether it's very slow in some places  
12 and faster in others or distributed in several discrete  
13 zones. So part of our goal is to say if we have 9 to 13  
14 millimeters, is most of it on the Independent Fault, say 8  
15 on the Independent and Lone Pine Faults, maybe with 2 or 3  
16 total across Death Valley and Hunter Mountain to the east  
17 and some insignificant fraction in Yucca Mountain. That's  
18 one possibility.

19 Another possibility. All the strain is  
20 accumulating right now across Yucca Mountain. There's no  
21 data now that really allows me to rule that out.

22 MR. GARRICK: I guess what I'm getting at is  
23 whether or not your worry is not only based on what's  
24 happening tectonically or seismically -- and I'm not a  
25 geologist, but what would happen to the general integrity



1 and transport capability of the repository; whether or not  
2 that entered into your conclusion.

3 MR. WERNICKE: Okay. It's not -- it isn't my area  
4 of expertise to decide how exactly this affects the  
5 performance assessment of the repository.

6 MR. GARRICK: Okay. That's the reason for the  
7 question because from the standpoint of the bottom line of  
8 our interest here, when you make a comment like that -- of  
9 course, it gets our attention. But when you make a comment  
10 like that you want to know with respect to what. With  
11 respect to some feedback from the performance assessment  
12 that this would have a major impact on the consequences or  
13 is it strictly --

14 MR. WERNICKE: I think if -- yes. I'm taking it  
15 maybe as too much of a presumption in my presentation here  
16 that let's say a magnitude 7-1/2 under the repository is  
17 important for performance assessment. Okay?

18 MR. GARRICK: Yes. Okay.

19 MR. WERNICKE: But that's what I'm talking about.  
20 I'm talking about could there be a major earthquake. Could  
21 there be an 8 on the Furnace Creek? What is the likelihood?  
22 How much strain is going to accumulate in 10,000 years and  
23 where is it going to accumulate?

24 MR. GARRICK: So the connection really hasn't been  
25 made as far as the performance of the repository is

1 concerned?

2 MR. WERNICKE: I might refer that to Bill or  
3 somebody over there.

4 MR. OTT: I would say that what we need to do is  
5 to see what Steve and Dave are going to come up with later  
6 on about integrating this work into the broader context.

7 MR. WERNICKE: Yes. Okay.

8 MR. HATCHER: I think there's another possibly  
9 relevant point that could be brought in here. Following the  
10 Landers earthquake year there was a bit of speculation that  
11 came up that maybe what we're seeing here with the Landers  
12 events is the shift of the main plate boundary from the San  
13 Andreas over to the Landers area and then on into Owens  
14 Valley or Death Valley or somewhere like that.

15 MR. WERNICKE: Uh-huh.

16 MR. HATCHER: This would have a very definite - if  
17 it happens rapidly enough. If this is truly happening and  
18 it happens rapidly enough, this would have a very definite  
19 effect on the performance of the repository. I think this  
20 is perhaps what John might have been getting at by asking  
21 that question.

22 And I think this is something that you would be  
23 capable of addressing in terms of what your experience is  
24 and what you know about the area.

25 MR. WERNICKE: Yes. I've spent a fair amount of

1 time mapping the Landers break and at CalTech, of course,  
2 you hear every day about what the latest thing in Landers  
3 is.

4 I'm a co-author on the near-field investigation  
5 paper that CalTech put out on Landers.

6 MR. HATCHER: Not so much about Landers itself,  
7 but the effects on up into the east side of the Sierras, on  
8 into Death Valley and on into the area that you've also  
9 worked in.

10 MR. WERNICKE: It's going to be difficult to judge  
11 that one. There are pre-Landers strain measurements across  
12 the southern side of the southern part of the eastern  
13 California shear zone, a paper published by Saub and others  
14 about seven or eight years ago. And they get about 8 plus  
15 or minus 2 millimeters of right shear across the zone that  
16 ultimately was where the Landers break occurred.

17 I think it will be difficult to impossible for us  
18 to assess if there is a secular change from strain  
19 accumulation along the San Andreas system shifting eastward  
20 into the eastern California shear zone because we don't have  
21 a long enough time series to really be able to assess that  
22 variation.

23 I don't think we will be able to do that. It  
24 could well be true. It's certainly possible that there is  
25 on the 10,000 year time scale or even the 100 year time

1 scale, secular changes in strain accumulation that shift  
2 back and forth between the San Andreas Fault and the east  
3 California shear zone. But this is absolute -- that's just  
4 the cutting edge of people even beginning to think about  
5 these problems.

6 MR. HATCHER: Right. I forget whether it was  
7 before or after the Landers earthquake. In May of last year  
8 there was a magnitude 6 just east of Owens Valley also and -  
9 - what's the valley east of Owens there?

10 MR. WERNICKE: Eureka Valley.

11 MR. HATCHER: Eureka Valley.

12 MR. WERNICKE: Yes.

13 MR. HATCHER: And that may just be another event  
14 in a long series of widely spaced events in time or it could  
15 be something else related to this too. Like you say,  
16 there's no basis for connecting these at this point.

17 MR. WERNICKE: Yes. There are historical  
18 precedents, the most obvious of which is a series of  
19 Anatolian earthquakes over the last summer where the North  
20 Anatolian Fault fired in succession all along its length.  
21 You could view the Joshua Tree-Landers events as the first  
22 two of a string of much larger events that we could expect  
23 running up the eastern California shear zone.

24 But there we're talking about strain release, what  
25 we expect the strain release to be. And what I'm focused on

1 here is what the strain accumulation is. Okay.

2 But I have no -- I don't think we have any way of  
3 predicting that other than to sit back and watch the  
4 earthquakes happen. But I think you've pointed out an area  
5 of major concern.

6 I have one more viewgraph to show, and this is  
7 also from Jim Davis' research group at the Harvard-  
8 Smithsonian. They are currently involved -- one of their  
9 main focuses is the operation -- they are co-investigators  
10 in operating what we call a continuous GPS network.

11 Our current modus operandi and the one that is  
12 mainly used over the last six years by GPS projects is to  
13 take a GPS receiver on a tripod, set it up above a monument  
14 and allow it to record data for 24 hours. Now, it turns out  
15 that if you just leave the machine there, continuously  
16 record and reduce data, you get nearly an order of magnitude  
17 better accuracy on your position.

18 This is brand new. This has only been done  
19 basically for the last year and a half or so. And Jim  
20 prepared a plot here showing what one sigma uncertainty is  
21 as a comparison between a 24 hour to 48 hour annual  
22 occupation of a GPS site versus essentially continuous  
23 recording and reduction. That is, every day of the year  
24 with time.

25 So on this scale we have the standard deviation in

1 millimeters per year. This is one millimeter per year, 10  
2 and 100. It's a logarithmic scale, .1 and .001, and then  
3 this is time across the bottom up to five years.

4 Now, with the horizontal resolution you see it's  
5 running right about through here. That is, it's in the 1 to  
6 3 millimeter range. After out 2 years -- okay -- we're  
7 about 1 to 3 millimeters. That's basically the type of data  
8 we're acquiring at Yucca Mountain.

9 The verticals are about a factor of 3 to 5 worse  
10 in terms of accuracy. And, of course, the more we have  
11 annual occupations the better the resolution gets. So, for  
12 example, with Yucca Mountain, if we pile up say four years  
13 of data, we will be at the fraction of a millimeter level in  
14 our horizontal resolution. That is, .7 millimeters.

15 Now, if you install a continuous GPS, this lower  
16 line here is the horizontal and the blue dashed line is the  
17 vertical. After five years of recording you can get down to  
18 .07 millimeters per year in resolving the average velocity  
19 over that five-year period.

20 In other words, 700th of a millimeter in rate, we  
21 could measure that. Now, whether we want to spend the money  
22 and whether it's relevant to performance or not, I have no  
23 idea. But if it were the judgment of the powers that be  
24 that it would be worth an order of magnitude better  
25 resolution on strain accumulation either in the faults

1 around Yucca Mountain or across the repository or even  
2 around the repository itself, it is -- with current  
3 technology it would be able to get sub-10th of a millimeter  
4 resolution a year in terms of velocity.

5           The main reason for that is atmospheric  
6 corrections. A lot of the -- well, there's two main  
7 reasons. One is atmospheric corrections. They introduce  
8 systematic error that the annual measurements for a short  
9 period of time are not able to average out. The other error  
10 is just setting up the tripod costs you half a millimeter or  
11 a millimeter, maybe more. Because it's a different,  
12 different mounting -- if the thing just sits there and you  
13 don't touch it, then you know you don't have that particular  
14 error.

15           And a lot of our scatter on our points may well be  
16 due -- in Wahomie Mile -- may well be due to just that.  
17 It's a very difficult error to quantify.

18           And that's pretty much all I have to say, unless  
19 there are more questions.

20           MR. HINZE: Questions?

21           MR. POMEROY: Could you tell me just offhand when  
22 you make a measurement over 24 hours what the order of  
23 magnitude of the change is? Do you see a maximum amplitude  
24 of the change over 24 hours?

25           MR. WERNICKE: Well, when you make the measurement

1 over 24 hours you basically reduce all of the data at one  
2 and pick an average. Okay?

3 MR. POMEROY: Yeah.

4 MR. WERNICKE: It's basically an averaging over 24  
5 hours, so it's -- you could divide into bins of shorter  
6 duration of time and say -- so as the smaller and smaller  
7 the bins gets the worse and worse the data gets. But right  
8 now our bin is 24 hours.

9 MR. POMEROY: And what are the limits at the  
10 maximum amplitude excursion over that 24 hour period?

11 MR. WERNICKE: Well, I think if you take a given  
12 epic, basically there's way of recording. You pick up 30  
13 seconds of data then you rest for 30 secs, then you get  
14 another 30 seconds. On the 30 second time scale they vary  
15 by more than a centimeter if you just had the 30 seconds to  
16 process. So you're looking at an averaging process over what  
17 looks like a very noisy signal, but because you have so much  
18 statistics on the measurement, the mean gives you about an  
19 order of magnitude better confidence limit on what the mean  
20 of that measurement is.

21 MR. POMEROY: Yes. I'm not denying that at all.  
22 And over the 30 seconds, you see the order of magnitude you  
23 just talked about.

24 MR. WERNICKE: Yes.

25 MR. POMEROY: How about between 30-second epics



1 over the 24-hour period?

2 MR. WERNICKE: That's what I mean. You'll get a  
3 number that might be a centimeter. If you just processed  
4 one 30 second epic and then you processed another, they  
5 might be --

6 MR. POMEROY: And the maximum is about --

7 MR. WERNICKE: A centimeter or two.

8 MR. POMEROY: It's in the range of a centimeter or  
9 two. Okay.

10 MR. WERNICKE: Yes.

11 MR. HINZE: Are there any strain meter  
12 measurements in the area on which to check these results?

13 MR. WERNICKE: Not that I am aware of. That  
14 doesn't mean they don't exist, but I don't know of any  
15 strain meter program that's been set up on Yucca Mountain.  
16 Maybe somebody else does.

17 MR. HINZE: One has been proposed but it hasn't  
18 been set up.

19 MR. WERNICKE: Yes. There's a lot of things that  
20 have been proposed.

21 MR. HINZE: Right. But are there any other strain  
22 meters within Jim Savage's network, the DOE network?

23 MR. WERNICKE: There was -- I heard some word of  
24 mouth that small GPS sort of quadrilaterals were being set  
25 up on individual faults, but I don't know if that -- that's

1 within the USGS. It hasn't been published. And I don't  
2 know what's become of it.

3 MR. HINZE: Buck, you had a question, Buck  
4 Ibrahim.

5 MR. IBRAHIM: Buck Ibrahim, NRC. In the figure  
6 before that one you indicated that you took the effect of  
7 the Skull Mountain earthquake in your solution.

8 MR. WERNICKE: Yes.

9 MR. IBRAHIM: There was another earthquake after  
10 that in the Rock Creek, about 20 magnitude, 3.5 plus. Were  
11 you able to take that also in your consideration?

12 MR. WERNICKE: If it was magnitude -- sorry, what  
13 was the magnitude?

14 MR. IBRAHIM: 3.5 plus.

15 MR. WERNICKE: Yes. If it was 3.5 the deformation  
16 at the field distance away where the monuments are would be  
17 less than a millimeter, far less than a millimeter.

18 MR. IBRAHIM: And you cannot measure that order of  
19 magnitude.

20 MR. WERNICKE: Yes. The earthquake, the slip on  
21 that kind of fault is two orders of magnitude less than  
22 Little Skull Mountain or sort of almost -- we're barely  
23 seeing Little Skull Mountain, so we'd be roughly seeing in  
24 effect two orders of magnitude smaller. And I don't think  
25 we would ever be able to see that.

1 I mean, you could look in the data and find it if  
2 you like, but I don't think a 3.5 would be resolvable.

3 MR. IBRAHIM: Because, as you know, all the  
4 activity at Yucca Mountain approximately was in that range.  
5 So my contribution on that may be the long run would have  
6 some effect on the capability of the site to advise us.

7 MR. WERNICKE: Yes. To make that sort of judgment  
8 on whether those earthquakes are -- how they're releasing  
9 strain would require continuous monitoring for some 5 or 10  
10 years and then maybe you could see it. But I just think the  
11 displacements would be way too small.

12 MR. HINZE: Well, with that, we'll thank you,  
13 Brian. Very interesting discussion. And it's a good  
14 geophysical discussion, which always calls for more  
15 measurements.

16 MR. WERNICKE: Right.

17 MR. HINZE: That's a classic geophysical  
18 presentation.

19 MR. WERNICKE: Classical, not classic.

20 MR. HINZE: With that, we'll ask Larry McKague of  
21 the Center to introduce the research program that is going  
22 on at the Center.

23 MR. McKAGUE: What I'm going to do is give an  
24 overview of the CNWRA efforts in tectonics and seismology.  
25 We get our guidance, as you know, from Keith and George

1 Bouchard.

2 The presentation today, I'm going to make  
3 introduction and talk about the NMSS technical assistant  
4 tasks. Steve and Dave Ferrill will talk about the research  
5 aspects. Dave is now the principal investigator for the  
6 Tectonics Research Project.

7 Other investigators that have participated are  
8 listed below there.

9 This is sort of a roadmap or guidance of where  
10 we're going. The GS element conducts research in tectonics,  
11 volcanic and seismic investigations for both NMS and the  
12 Office of Research.

13 Generally, I've listed three disciplines here and  
14 corresponding tasks --

15 MR. HINZE: Excuse me, Larry. Do we have a copy  
16 of this overhead? Okay.

17 MR. MCKAGUE: There should be one in there.

18 MR. HINZE: Okay. Thank you.

19 MR. MCKAGUE: Okay.

20 MR. HINZE: Could we have one for Bob Hatcher,  
21 please?

22 MR. MCKAGUE: All right.

23 I'm going to talk about the NMSS area here  
24 briefly. You've already heard about the volcanic area,  
25 research over here. And then Steve and David will talk

1 about the tectonics.

2 Now the first slide I'm going to talk about, just  
3 very briefly about the magmatic modeling, simply because --  
4 for sake of completeness.

5 The activity in technical assistance, the  
6 regulatory basis is given. The objective here is to develop  
7 a method of assessment of the uncertainty and the  
8 application of the statistical models to the probability of  
9 volcanic disruption.

10 In other words, we're worried about the  
11 uncertainties in the models themselves. We're not worried  
12 about what the probability is at Yucca Mountain. And this  
13 was a gap we recognized when we looked at the volcanic  
14 research projects and we weren't really concerned very much  
15 about the uncertainties in the probability models. And  
16 that's difficult to do with the data from Yucca Mountain  
17 because it's a small sample set.

18 So to do this we're going to look at method for  
19 developing -- method for estimating, establishing the limits  
20 of these uncertainties looking at data from volcanic fields,  
21 principally the Springville volcanic field, which has a  
22 large sample so we can look at the uncertainties in the  
23 models.

24 The key technical uncertainty associated with that  
25 is given below there. It's the uncertainties which exist

1 because either many of the features we want to look at are  
2 buried or they've been removed by erosion. For example,  
3 what's the uncertainty on the volume of a volcanic cone when  
4 you've never seen the ash that was carried downwind. How do  
5 you take that into -- how do you factor that into your  
6 model.

7           Moving on to seismology, what we have done here is  
8 we have adapted SEIM 1 code. Now, SEIM 1 code was a code  
9 that was developed by Livermore for looking at the  
10 probabilistic seismic hazards for the eastern United States.  
11 We acquired that code and modified it to look at the  
12 probability, fault displacement and probability analysis,  
13 seismic hazard analysis for the western United States.

14           It took us a number of months to modify the code  
15 to be able to be able to use it in the western United  
16 States. We've now accomplished that.

17           The objective here is to provide a tool which may  
18 be used by the NRC staff to evaluate seismic and fault  
19 probabilities and their uncertainties. They're provided or  
20 supplied by DOE or come up during the licensing process, the  
21 hearing process.

22           The key technical uncertainty of that modification  
23 falls under the general KTU's addressing the prediction of  
24 future states, system states, and the variability in the  
25 parametric values for the models.

1           Our accomplishments to date is that we've  
2 successfully modified the code and run a problem using Yucca  
3 Mountain data from the literature. We've recently -- very  
4 recently, in fact, within the last several weeks, have been  
5 successful in calculating the fault offset probability for  
6 the Solitario Canyon fault. And as Keith mentioned, we're  
7 in the process of preparing a report summarizing these  
8 results which should be out by the end of August, if not  
9 sooner.

10           MR. POMEROY: Larry, before we leave that, --

11           MR. McKAGUE: Sure.

12           MR. POMEROY: -- can you just comment briefly.

13 These probabilistic codes by and large have a basic input  
14 which is the determination of seismic source zones which  
15 contribute to the hazard. Normally, in these probabilistic  
16 hazard analyses those seismic source zones are derived from  
17 some sort of expert elicitation for use. And usually those  
18 are a large number of representative experts.

19           MR. McKAGUE: Right.

20           MR. POMEROY: In the western U.S., have you done  
21 that?

22           MR. McKAGUE: No, we haven't. What we did for our  
23 -- this is essentially a test case to see if we could run  
24 the -- if we had modified the code successful so it gave us  
25 reasonable answers. And we took data out of the literature.

1 Renner can talk more about that.

2 And our basic assumption is that DOE will do an  
3 expert elicitation and we would probably use their data,  
4 look at it, rather than setting up our own elicitation,  
5 which can be very expensive. That's our basic philosophy.

6 MR. POMEROY: I see. So, for this test case,  
7 then, you just established some seismic zones?

8 MR. MCKAGUE: Right. It was very difficult  
9 modifying the SEIM 1. It's a code that had lots of  
10 idiosyncracies that weren't documented. We had to go back  
11 to the people at Livermore and actually sit down with them  
12 for a day and have them tell us the little hidden tricks you  
13 needed to know.

14 So overall it was a rather long process and we  
15 just then wanted to verify that we'd made everything  
16 correct. So we took the values out of the literature, ran  
17 the code, and we got results which looked reasonable.

18 MR. POMEROY: Thank you.

19 MR. MCKAGUE: The technical assistant work in the  
20 area of volcanics -- or excuse me, in tectonics. The  
21 regulatory basis is given here. The objective here, they're  
22 looking at finite elements, simulation of tectonic  
23 deformation at Yucca Mountain.

24 The goal here, the objectives here are to  
25 establish a credible mechanical basis for the discrimination



1 between alternate models of faulting. The second objective  
2 is to establish the effects of the Ghost Dance Fault,  
3 effects on the Ghost Dance Fault of the seismic activity on  
4 the Paintbrush-Stagecoach-Bullridge system. The Ghost Dance  
5 Fault, as you may be aware, is in the Hanging Wall. Most of  
6 the deformation would be expected to take place there.

7           And finally, in the future we'd like to be able to  
8 do this, find that element of deformation in three  
9 dimensions so we can take into account the effects of larger  
10 variations in the azimuth of the faults and the effects they  
11 will have on the deformation.

12           The key uncertainties are given there, and I won't  
13 go into them. Basically, it revolves around exploration  
14 methods and uncertainties in interpreting and modeling the  
15 geologic structures.

16           The recent accomplishment is given there and that  
17 probably translates into we're still working on trying to  
18 set up the conditions of the calculation. We've gone  
19 through. We've run it a few times. We're learning how to  
20 run the code.

21           This is an area I just want to mention one thing  
22 and I'll talk more about integration. But in this  
23 particular task the fellow that runs the code for us is from  
24 RDCNO group and so the results may ultimately go to that  
25 group, the Repository Construction Group. But the fellow

1 that's running this code using input from Steve -- and Steve  
2 looks at the calculations -- is from that RDCNO group. And  
3 so we're integrating that way.

4 By way of example, this is one of the preliminary  
5 calculations. What it does is show the redistribution of  
6 the in situ stresses after movement has taken place along  
7 this fault here. And that's the way it would be looked at.  
8 We may eventually like, for example, to put a fault which  
9 would model the Ghost Dance Fault in here, see what effects  
10 would be on it.

11 As I said, we're just getting into this right now.

12 The second area looking at models are three-  
13 dimensional structural stratigraphic model of Yucca  
14 Mountain. Basically, what we want to do is produce an  
15 integrated model of a structure stratigraphy and rock  
16 properties. And the idea here is it's a visual model. And  
17 I'll show you an example of it in a minute. But this would  
18 be like a base model.

19 As DOE produces additional information on location  
20 of faults, the distribution and stratigraphic units, these  
21 will go into that model and be recorded there so that it  
22 would act as a base.

23 This is an example of what it looks like. You can  
24 see the different -- these are the thermo-stratigraphic  
25 units from Sandia in it right now. You can see the

1 topography on the top. We could easily substitute in the  
2 real stratigraphic units. We could substitute in hydrologic  
3 properties.

4 One use we've made to this recently -- maybe Bill  
5 saw that. We drew a cross-section along the line of the  
6 north portal entrance into -- excavation into Yucca Mountain  
7 and took it out on a field trip, the recent site exchange.

8 MR. HINZE: How well do you access DOE's  
9 information and put it into this model? How is that going?

10 MR. MCKAGUE: How is that going? Mixed, I would  
11 say. We've requested a lot of information from them. Some  
12 of it we've gotten fairly quickly and most of the  
13 information we've gotten quickly has been geographic  
14 information like the road network, area boundaries, things  
15 like that.

16 Some of the geologic data has been a little longer  
17 in coming and it's an area we need to work on. Right now I  
18 think there are exchanges going back and forth on how the  
19 data should be transmitted. That sort of thing.

20 MR. HINZE: How do you know what to ask for? I  
21 mean, how do you know that the data are available? Are there  
22 menus that you could go to? Catalogues of --

23 MR. MCKAGUE: There are catalogues of data. And  
24 we supplied them with a very large wish list about six  
25 months ago and they're slowly filling that in.

1           MR. HINZE: And eventually -- and I'd like to know  
2 when eventually is -- you'll have this on line? You'll have  
3 access to this on line?

4           MR. McKAGUE: We should have. One of the things -  
5 - one of the pieces of paper that came across my desk  
6 recently has been accessing the DOE database directly  
7 through Internet. Now, some of our experience has not been  
8 good on the -- for example, on the extreme erosion. We  
9 tried to use their database or get information out of their  
10 database and it came to us in a form which was very  
11 difficult to use and had errors in it. So we have to be  
12 very careful, at least initially, until we -- that data was  
13 prior to some of their quality assurance programs. I will  
14 say that in their defense.

15           Our goal is to use as much of their data as we can  
16 so that we don't have to generate our own data or digitize  
17 our own maps, things like that.

18           We have done that in the regional sense because it  
19 doesn't exist in their database. But on Yucca Mountain, we  
20 want to ultimately use their data.

21           MR. HINZE: At what stage do you enter the data  
22 into the repository? For example, we saw Ernie Major's  
23 seismic reflection work with his interpretation of faults.  
24 At what stage would you take that interpretation and put  
25 that into your database? Is there a qualification of your

1 database in conjectural or the difference in the level of  
2 acceptance of the data?

3 MR. MCKAGUE: I think Steve might be able to  
4 answer that a little bit better than I can, but I think it  
5 would be basically if feel the data is final form,  
6 completed. In other words, we don't want to have to  
7 reprocess the data, so if it's in final form, then we would  
8 enter it in.

9 MR. HINZE: Final form would be some kind of hard  
10 copy? DOE acceptance?

11 MR. MCKAGUE: There would be some indication that  
12 it had been processed, reviewed, and was acceptable in the  
13 hard form. But then we would transfer it -- hopefully  
14 transfer it over electronically.

15 It's an area which is still unclear. Go ahead,  
16 Keith.

17 MR. McCONNELL: We don't anticipate the need, the  
18 tactical need of doing something immediately, so we get a  
19 periodic update in the form of a data catalogue from the  
20 DOE. When we see the data in that catalogue and it's of  
21 interest to any of the activities going on at the Center,  
22 then usually the Center will request it through the Yucca  
23 Mountain project manager, who will then request it from DOE.

24 The problems we've encountered is that it doesn't  
25 do us much good if we get a paper copy of whatever data that

1 exists. We need the actual electronic media. And we're  
2 working no that.

3 MR. HINZE: Helpful. Thank you.

4 MR. McKAGUE: Okay.

5 I'm missing a slide that's in your handout there.  
6 It shows -- what I want to talk about is integration of  
7 several of the projects.

8 And what it shows are active ongoing integrations.  
9 The arrows indicate between the various projects what  
10 interactions are taking place. And there are -- I want to  
11 emphasize, these are not things we've sat around and said,  
12 well, we can interact with this group because the  
13 information is of interest. These are things where we're  
14 actually exchanging information, exchanging expertise, that  
15 sort of thing.

16 I've picked three out to speak about directly.  
17 The first is between the tectonics research project and the  
18 regional hydrology research project. The second one is  
19 between IPA and the tectonics project and task in the  
20 technical assistance area, and I'm going to talk about two  
21 things we're doing there.

22 And finally, between the tectonics research  
23 project and the volcanic research project and also between  
24 the tectonics technical assistance and the volcanic research  
25 project.

1           We'll look at the interactions with the regional  
2 hydrology project first. And we're doing three different  
3 things in there. The objective is basically to develop as  
4 good a model to improve the model for the regional  
5 groundwater flow, the hydrologic model. We want to improve  
6 that.

7           To do this, we're doing three things. To  
8 constrain the flow model we're looking at the distribution  
9 of the regional aquifers and aquitards. This is being  
10 developed from both surface and subsurface data.

11           This is what we have done to date. We've looked  
12 at the geologic maps in the area and we've selected --  
13 identified whether they are an aquifer, an aquitard, and in  
14 the case of the aquifers, whether they're the upper or lower  
15 regional carbonate aquifer. It doesn't mean much down in  
16 here. It leans a little more over on the test site where  
17 the upper aquitard, the alliana, separates them.

18           Also included on here and indication of where we  
19 have not entered data, which is in gray. Drill holes are  
20 indicated by the circles. If they're green, they were  
21 drilled for gas or oil. If they're in blue, they're  
22 indicated -- looks like purple on here -- they're water well  
23 data.

24           This big collection of water well data in here is  
25 from Yucca Flat. Yucca Mountain is over here. Here's Bare

1 Mountain.

2 So we're developing this model, developing this  
3 data so that the hydrologists can use it in their regional  
4 model. It's being developed by geologists, people who have  
5 experience in interpretation of well logs, geologic maps,  
6 rather than letting a hydrologist get his hands on it.

7 The second area is we're collecting in situ stress  
8 data as input into the regional flow models, as well as the  
9 regional tectonic models.

10 This slide shows the regional groundwater flow and  
11 the maximum horizontal stresses and faults with quaternary  
12 offset on them, Steve? Yes.

13 The faults are the small black lines. The  
14 regional stress data are indicated by the symbols down here.  
15 That data is from Mary Lou Zoback from her database for the  
16 worldwide stress map.

17 The flow hours are from USGS data taken from  
18 various reports. And you can see that there's a general  
19 agreement between the direction of maximum compressor  
20 strengths and the horizontal flow -- or excuse me -- in the  
21 groundwater flow, which is flowing from the northern area of  
22 higher recharge towards the southern area, lower elevation  
23 area of discharge.

24 Again, this is data that is being collected and  
25 worked on by Dave Ferrill. He actively participates in the



1 regional hydrology program. I believe it was he that  
2 suggested that this would be something -- and the basis of  
3 this is that if you have a highly fractured rock with many  
4 fracture orientations as you do in the basin and range, the  
5 directions perpendicular to the compressor stress will be  
6 closed and will not transmit fluids. Directions open to or  
7 parallel to the maximum horizontal stress may or may not  
8 transmit fluids. And certainly the recent -- any recent  
9 fracturing will be controlled by the current in situ stress  
10 pattern.

11 So again, this is to aid in the construction of  
12 the regional hydrologic model, as well as it will be used in  
13 the tectonic models.

14 Finally, we are just getting started constructing  
15 geologic cross-sections which will aid, again, in the  
16 development of the hydrologic flow model. The cross-section  
17 that was shown through the north ramp, the north portal  
18 ramp, was a start in that. We've also been in contact with  
19 the DOE environmental program which is constructing a number  
20 of cross-sections, geologic cross-sections, because they're  
21 also interested in regional groundwater flow and the  
22 restoration. That's the ground water restoration at the  
23 test site.

24 So, ultimately we'll construct some models but we  
25 intend to gather as many others from other sources as we

1 can. Again, to aid in the regional hydrologic model.

2 Modeling experience are being developed in the  
3 technical assistance tasks. They're being transferred into  
4 the IPA, into the iterative performance assessment area.

5 One of the tasks we're performing there is to  
6 provide a simplified hydro-stratigraphic model of Yucca  
7 Mountain. And it's based on the 3D model that I had shown  
8 earlier.

9 It will be based on that model. And instead of  
10 having stratigraphic units, it would have hydrologic units,  
11 things like that.

12 This is a project that's just gotten underway  
13 since just before the beginning of the year. We've met with  
14 the IPA staff, discussed their needs. We've interacted with  
15 the hydrologists to identify key hydrologic parameters that  
16 would be needed in a hydro-stratigraphic model.

17 The three-dimensional model that I just showed is  
18 currently being modified for use in IPA by putting in  
19 faults, stratigraphy, porosity and the saturated hydrologic  
20 conductivity.

21 We just recently discussed a milestone where when  
22 the model was constructed about the end of this fiscal year,  
23 we'll get together with IPA and describe the model and see  
24 if it meets their needs, although we're talking with them on  
25 it on a nearly daily basis about it.

1           MR. HINZE: Are you going to be involved in the  
2 abstraction process?

3           MR. McKAGUE: In the what?

4           MR. HINZE: In the simplification of the models or  
5 --

6           MR. McKAGUE: Yes. We've had a lot of discussions  
7 about -- Steve is the one that's doing this work and we had  
8 a lot of talk about what will meet the IPA needs. In other  
9 words, we don't want to give them a model that's too complex  
10 for them to use in their calculations. And so we're  
11 interacting, making sure that what we produce is what they  
12 need.

13           Does that --

14           MR. HINZE: Yes. And are you doing statistical  
15 studies to try to look at grid intervals that are --

16           MR. McKAGUE: yes, yes.

17           MR. HINZE: -- acceptable? Will you be mentioning  
18 that?

19           MR. YOUNG: No. We hadn't planned to talk about  
20 that in detail, but we're experimenting with different  
21 interpolation methods and algorithms, creating and co-  
22 creating more simple approaches that amount to least  
23 square's nearest neighbor types of interpolations.

24           So up to a point, since we're putting a lot of the  
25 basic parametric data into the database, we have to make a

1 lot of upfront decisions on how to grid it.

2 And so we talked to the IPA people, in particular  
3 the hydrogeologists, about how they would handle it. It's  
4 pretty classic, sparse data kind of problem. Bore holes are  
5 widely spaced. How do you condition the data to get the  
6 most reliable interpretation.

7 So, yes, we have to make decisions like that right  
8 now.

9 MR. MCKAGUE: One of the things in interaction or  
10 interworking with these, the closer the people sit the  
11 easier the interactions are, and over the years we've often  
12 dinged DOE for not integrating well. And now that we're  
13 having to do it, we're finding it's not as easy as we had  
14 anticipated. And certainly, Steve just being down two halls  
15 from IPA makes this a lot easier.

16 The second area we're working in is to implement a  
17 probabilistic fault displacement model for utilization of IPA  
18 Phase III. Phase II did not have a probabilistic fault  
19 model. It was one of the things they felt they needed.  
20 They came to us and asked us if we could provide this.

21 Gary Stirewalt is working on this. Recently he's  
22 reviewed the EPRI methodology for the preliminary risk and  
23 PA analysis of primary and secondary faulting and proposed a  
24 strategy to use existing Yucca Mountain data to predict the  
25 expected number of canister failures due to the fault

1 displacement.

2 We use existing algorithms but put the data from  
3 Yucca Mountain into it. The strategies are just being  
4 developed. We've just, again, started working on this just  
5 before the beginning of the calendar year.

6 The volcanic research project from the volcanic  
7 and the tectonic project have several areas of mutual  
8 interest, as you might well expect. The objective is to  
9 develop a conceptual tectonic model or models, as the case  
10 may be, that accounts for both structural and volcanic  
11 phenomenology.

12 Data in the GIS database is applicable to both  
13 projects. By way of example, VOLC maps can be used to  
14 develop alternate conceptual models. It can be used to  
15 develop theories on the control of volcanism by structure.

16 One of the areas that we want to look into is in  
17 the San Francisco volcanic field. There are not many  
18 examples of -- definite, known examples of controlled  
19 volcanism by faults, but this is one we've identified  
20 recently.

21 There's a lava flow outlined by the blue-green  
22 dots here which seems to have originated in this area here  
23 which, there's a fault system here. There's another one  
24 just off the map up here, and there's this one, which comes  
25 down here and curves into here.

1           So, the lava flow apparently originated from this  
2 area, flowed to the east. North, if you'll notice, is to  
3 your right.

4           Subsequent to that there was faulting, which  
5 actually offset the flow. You can see the faults through  
6 here. This fault here was activated. Then, there was  
7 eruption of the small cinder cone here, which is transected  
8 by this. It actually draped over the fault scarp produced  
9 by here.

10           This is area we want to go look at to see if we  
11 can learn more about the interaction of faults and  
12 volcanoes, or volcanism.

13           This would be looked at by both Steve -- or excuse  
14 me -- by Dave and one of the volcanologists.

15           Potential interaction of dikes and faults are of  
16 interest again to both, as I've sort of indicated above.  
17 The control of volcanism and the effects of probability on  
18 volcanism is of interest to the volcanologists. We went  
19 through that the last time in looking at the models.

20           The control of volcanism or the structural control  
21 of volcanism very much affects the probability.

22           Then intrusions along a fault is a potential a-  
23 seismic deformation mechanism.

24           Recent accomplishments in here, we've done two  
25 calculations. The first one was done in the technical

1 assistance area. We used the code DYNA 3-D which we got  
2 from Livermore, and it's actually run by a company in  
3 California for us.

4 We looked at the interaction of dike like magma  
5 body at depths of a kilometer and 300 meters with an 80  
6 degree dipping fault. And the preliminary result indicate -  
7 - again, under the conditions modeled -- that the faults can  
8 exert some control of dike emplacement at depths shallower  
9 than a kilometer.

10 Below a kilometer it may or may not, but above,  
11 shallower than a kilometer and again at steep dips, they can  
12 exert a control on the emplacement of the dike by a  
13 preexisting fault.

14 The volcanic systems, the basin range project,  
15 they did a simple 2D stress model that was used to calculate  
16 the interaction of an upward moving magma, its orientation  
17 controlled by the least principle in situ stress. And when  
18 it encountered a preexisting zone of weakness, a fault or a  
19 joint, results indicate under the conditions modeled, the  
20 magma can travel only along very steeply dipping preexisting  
21 structures of 10 kilometers, while at depths between 50 and  
22 640 low angle zones can also be modeled.

23 What this basically at least kind of a broad brush  
24 first guess is that the deeper control of volcanism is  
25 pretty iffy. It depends a lot on the physical properties of

1 rocks.

2           When you get to shallower depths less than a  
3 kilometer, maybe less than 2/3 of a kilometer, then the  
4 control by preexisting structures is a more important  
5 feature.

6           So what I've done here is basically talked about  
7 the NMS, the interaction of some of these projects. You've  
8 already heard about the volcanic field project. Dave and  
9 Steve will talk about the progress in the tectonics research  
10 progress.

11           Do I have any questions?

12           MR. HINZE: Questions?

13           (No response.)

14           What you're telling us is that there's a lot of  
15 integration between the various elements of the  
16 uncertainties and concern in KTU's and also that there is a  
17 good deal of relationship between the technical assistance  
18 and the research program.

19           MR. McKAGUE: That's right. Yes. We've made a  
20 determined effort to do this. And in part, it reflects on  
21 the staff itself and their ability to go out and talk to  
22 each other and their desire to get out and talk to each  
23 other and make this work.

24           MR. HINZE: As a result of your research, how much  
25 are you suggesting to research in your development of



1 program plans? How much are you developing new ideas for  
2 research activities as a result of the work you're carrying  
3 out?

4 MR. MCKAGUE: Some of the stuff I talked, for  
5 example, reflect Dave coming on board and having some new  
6 ideas about how to -- the in situ stress, for example -- how  
7 we should integrate that into it, too.

8 As new ideas develop, we talk to NRC Research  
9 about them. Some of them we can implement under existing  
10 project plans. Sometimes they may require modification of  
11 plans and it's something that we suggest and discuss with  
12 them, look at the significance of the relevance of it, and  
13 move on.

14 Right now the tectonic research project has only  
15 been ongoing for a little over a year now, so we're still  
16 kind of in the first third of it and moving it ahead. And  
17 we expect, as we move into these things, we'll see other  
18 areas that will need new research or new ideas.

19 MR. HINZE: You've spent a considerable amount of  
20 time working on concerns regarding literature reviews. Are  
21 we going to hear something about what that has led to?  
22 Where is that going?

23 MR. MCKAGUE: Steve will talk about that. I think  
24 one of the things that was missing from that earlier  
25 discussion was that we've got very well qualified people,

1 but most of them had not had experience in the basin range.  
2 So doing the literature review was a way for them also to  
3 gain experience. Plus, to update the literature review which  
4 DOE had done nearly 10 years ago in the site  
5 characterization.

6 So you will hear about that, yes.

7 MR. HINZE: Thank you very much, Larry.

8 Steve, are you going to be next, then?

9 MR. YOUNG: Yes.

10 My name is Steven Young. Dave Ferrill and I are  
11 going to present to you the current progress and results on  
12 the tectonics research project. Other principal  
13 investigators involved are Gary Stirewalt, Ron Martin, Brent  
14 Henderson and Kathy Spivy.

15 I don't want to spend a tremendous amount of time  
16 on here. Really all I want to point out with respect to the  
17 regulatory bases here is that we're doing work that really  
18 is focused on KTU's that are tied to these big regulatory  
19 area, or these regulatory topics here. And basically, we've  
20 got performance related regulatory bases and then we've got  
21 other issues.

22 These top three are performance related and then  
23 we have these other issues down here that are a little bit  
24 more qualitative and are really more closely related to  
25 siting criteria. So these are a set of these so-called

1 potentially adverse conditions.

2 And you'll note here, I want you to be able to  
3 track this to a certain extent in your package of materials.  
4 There's a license application review plan number attached to  
5 a lot of these; structural deformation, structural  
6 deformation, for example. The section in the regulations.

7 This number might be kind of useful to you as you  
8 go through your package because the KTU's are defined in  
9 these LARP sections. So the key technical uncertainties tie  
10 back to their pertinent regulatory topic through the LARP.  
11 So that's why I wanted to put that up there and make sure  
12 everybody sees that.

13 I don't want to spend a lot of time on the KTU's.  
14 I think they've been discussed a fair bit here. But one  
15 thing to notice at this point is the way that the KTU's are  
16 structured under a particular regulatory requirement topic.

17  
18 So, for example, you've heard that there's KTU on  
19 the poor resolution of critical exploration methods and  
20 uncertainty interpretation. Really has to do with our  
21 ability to investigate structural geologic features in the  
22 subsurface and the uncertainty that results from those  
23 investigations.

24 Evaluation of faulting mechanisms in alluvium.  
25 That's a very mainstream method or approach that's being

1 used throughout the Great Basin, throughout the basin and  
2 range region, and in particular at Yucca Mountain, to be  
3 able to basically characterize the slip history of faults  
4 and thereby to try to develop the necessary database for  
5 input into both deterministic and probabilistic fault  
6 displacement and seismic hazard analyses.

7           So, KTU's that we work on -- and this is not  
8 intended to be an exhaustive list of KTU's but rather a list  
9 of the key technical uncertainties that tectonics research  
10 is more specifically focused on.

11           MR. STEINDLER: Before you leave that slide, do  
12 you have in mind a picture of the repository and its  
13 contained waste, and therefore, the mode of interaction  
14 between structural deformations and the waste that could  
15 lead to some kind of consequence that is significant?

16           MR. YOUNG: Yes. I think the kinds of pictures  
17 that we have in mind are really pretty much that. They're  
18 very conceptual in nature. Ultimately those conceptual  
19 models of the interaction between geological structures and  
20 say the waste canisters in particular, those things will  
21 have to be encompassed in performance assessment scenarios.

22           Now there's been some preliminary description done  
23 for some of those scenarios. For example, potential  
24 interaction of both faults and fractures with canisters in  
25 situ. And so, for example, scenarios will include at least

1 situations where fault offset causes point loads to be  
2 applied to the canisters or earthquake seismicity causes  
3 shaking to occur or bits and pieces from the annular wall to  
4 fall off and impact the canister.

5 So those are the kinds of scenarios and the kinds  
6 of conceptual models that I think most of us have in mind  
7 when we think about potential impact of tectonic processes.

8 There's another class of other processes that have  
9 to do with groundwater.

10 MR. STEINDLER: I understand that. To what extent  
11 do those pictures limit the scope of your tectonic research?

12 MR. YOUNG: I don't think those pictures are  
13 strongly constraining right now. I don't think they're  
14 strongly impactful right now because what needs to be done,  
15 probably in the performance assessment arena particularly,  
16 but maybe even in the combined performance assessment in the  
17 broader geologic arena, is that some assessment needs to be  
18 produced more strongly on the consequence side.

19 For example, what ultimately will be the effect of  
20 fault slip and earthquakes on those canisters? The  
21 tectonics research project currently is focused very  
22 strongly on the characterization side of the geological  
23 structures and in producing the necessary database to  
24 support a probabilistic seismic hazard assessment, but again,  
25 on the geological side. So the kinds of data that would be

1 produced ultimately by this project would be things that  
2 would feed directly into a hazard assessment, like fault  
3 length and slip rate and probability distributions of  
4 magnitude and things like that.

5 MR. STEINDLER: So you're not far enough advanced  
6 then in this business to be able to exclude those phenomenon  
7 under the label of tectonics that would lead to effectively  
8 no significant impact as far as the release or regulation  
9 violation is concerned. Is that right?

10 MR. YOUNG: I think as far as I can see, we're not  
11 far enough along to exclude any major class of tectonic  
12 process.

13 Keith?

14 MR. McCONNELL: That's correct. And I'd just  
15 expand it. Again, these are based on qualitative judgments  
16 at this stage in the identification of the key technical  
17 uncertainties and one of the recognized omissions from IPA  
18 Phase II was the potential effects of direct fault  
19 displacement on the repository.

20 And so it's going to be incorporated into Phase  
21 III, IPA Phase III so that we have a better quantitative  
22 evaluation of the effect of these features or potential  
23 effect.

24 MR. HINZE: Steve, to help me follow along what  
25 you're presenting here in terms of the tectonics research,

1 Bill Ott presented as his second transparency this diagram  
2 illustrating the regional extensional tectonics from '94 to  
3 '96.

4 Do you have that broken down into elements and the  
5 goals on an annual basis?

6 MR. YOUNG: Yes.

7 MR. HINZE: Do you have that kind of a diagram to  
8 lead me through this?

9 MR. YOUNG: Yes, I do. I've got a viewgraph on  
10 each task actually that shows the objective of the task and  
11 what's being done in that task.

12 MR. HINZE: So we'll see that at each specific  
13 one?

14 MR. YOUNG: Right.

15 Okay. Again, the KTU's as they're classified  
16 under the major regulatory topic. You've sen this list of  
17 KTU's before. Some of these are expanded into a little bit  
18 more detail here to explain maybe more clearly exactly what  
19 the nature of the uncertainty is. But the overall  
20 objective, the overall purpose of the tectonics research  
21 project at this time is really to product an improved  
22 capability to do the whole range of study plan reviews and  
23 pre-licensing guidance and license review that ultimately  
24 will be needed.

25 We've seen just in the little bit of review work

1 and literature review and data compilation that it's  
2 oftentimes hard to tell exactly what data you're going to  
3 need to address a particular problem. What we're trying to  
4 do is put together a database that is essential in  
5 character. In other words, it includes what we consider to  
6 be the essential elements to accomplish this.

7 And as you can see at this time we characterize  
8 focus of the tectonics research project to examine the  
9 sufficiency of mostly existing data and methods to determine  
10 compliance with the siting criteria. We think that that's a  
11 significant point because it isn't clear -- and I guess you  
12 can see that. It's kind of down there at the bottom.

13 We would maintain that it's not clear that the  
14 existing data are sufficient for either the qualitative  
15 compliance determination or in particular for perhaps the  
16 more quantitative performance assessment.

17 We think that the weaknesses that have shown up in  
18 the literature review and in the data compilation are  
19 primarily in those areas where you would produce  
20 correlations between fault length and earthquake magnitude  
21 and slip history and earthquake magnitude and things like  
22 the extent to which particular fault systems are either  
23 segmented or are characterized by more distributive slip.  
24 And in particular, in methods that would be used to date  
25 specific faulting events in the trenches and even in the



1 geological methods or geological investigations at the  
2 trenches themselves that would be used to determine the slip  
3 history.

4 Now, our reviews of the literature and our data  
5 compilation have indicated that there's considerable  
6 uncertainty in virtually all of those methods and that at  
7 the very least the first thing that needs to be done is we  
8 need to pull together most of what's been done in that area  
9 and have a very clear idea of the types and the extents of  
10 the uncertainties in those areas.

11 So, we would characterize the primary goals of the  
12 current work here as again to improve capabilities to assess  
13 investigations of earthquake sources. And in particular,  
14 these would be sources that would be expressed at the  
15 surface or have a ground surface expression like a fault  
16 line or if you could characterize or identify a point source  
17 in a particular fault system.

18 And then, in addition to that, we are particularly  
19 concerned with the adequacy of investigations of sources  
20 that have no surface expression; specifically, the geometry  
21 and the distribution of these features. And in particular,  
22 -- I think this has been mentioned a couple of times today -  
23 - the Little Skull Mountain earthquakes is a relatively good  
24 example of that.

25 As far as we can tell, there's no preexisting

1 surface expression of that. It was a 5.6 surface wave  
2 magnitude event. Didn't produce any ground rupture. How  
3 many of those occur?

4 We think that that particular event is triggered.  
5 What's the proportion of those events that are triggered  
6 versus those that would be characterized as a non-triggered  
7 event? And the investigations that would go toward or that  
8 would speak to this issue of buried sources or blind sources  
9 is a -- on the geological side, is a tremendous source of  
10 uncertainty.

11 Another particularly impactful example of a large  
12 earthquake on a blind source with anomalous amplification of  
13 accelerations, of course, was the recent North Ridge event.  
14 So blind sources are something we're very much concerned  
15 with.

16 MR. STEINDLER: Before you go forward, to back two  
17 viewgraphs, just to reiterate. You indicate the two  
18 premises in the objectives area. The bottom premise, in  
19 effect says that it's not clear that existing data are  
20 sufficient and so on.

21 But there's also another premise in there, and  
22 that is it is presumed that you can in fact obtain data in  
23 some reasonable frame of reference, both time and cost, so  
24 that you can do the quantitative performance assessment and  
25 qualitative compliance determinations.

1           How did you conclude that it's worth doing this  
2 research in the context of being able to accomplish  
3 something useful in the time necessary?

4           MR. YOUNG: Well, I think that -- how did we  
5 conclude that it was worth doing the research?

6           MR. STEINDLER: No. How do you know it's doable?

7           MR. YOUNG: Well, as this particular point says,  
8 we are focused pretty heavily on assessing whether the  
9 database is sufficient at this point. And as you pointed  
10 out, along with that goes some implicit thought on whether  
11 the data can actually be developed or not.

12           The sufficiency of the data, the answer to that  
13 question is attainable. This research project alone may not  
14 answer it, but this research project will go a long way  
15 towards establishing whether the data that are available now  
16 and the data that are anticipated to be produced by both  
17 site characterization and by NRC programs, whether that data  
18 are going to be -- whether those data are going to be  
19 enough.

20           So, can this project answer the question is it  
21 doable? In other words, can we solve all the compliance  
22 problems? This project alone can't answer that question,  
23 but it probably will go a long ways toward answering the  
24 question of do we have enough data to do it.

25           MR. STEINDLER: Let me ask the question

1 differently. I hear your answer and I think it's a  
2 reasonable answer. Are there any other sites, both in the  
3 U.S. or elsewhere where the same kind of issue has arisen in  
4 the sense of requiring what appears to be a large  
5 accumulation of new information where it can be reasonably  
6 shown that if you folks had planned a repository in that  
7 area you would have been able to get sufficient data to meet  
8 the two criteria that you have up there; determination some  
9 information on qualitative compliance, determination on the  
10 quantitative performance assessment?

11 MR. YOUNG: Gee, pull me back on track if I fly  
12 off in an odd direction trying to answer that. But I want  
13 to answer the first part of that. Is there another area  
14 where questions of this type have been asked or may be  
15 easier to answer? I think it's very safe to say that  
16 there's -- in my opinion there's no other place. There's no  
17 other situation where these types of questions or these  
18 types of problems are structured the way that they are here  
19 at Yucca Mountain.

20 In other words, what we're trying to do at Yucca  
21 Mountain is not precedented and so there really is no other  
22 place where you could go to and say, yes, this has been done  
23 before. The same kinds of questions have been asked and  
24 they've been successfully addressed.

25 And I think there's a part two back there that

1 says can you think of a place where we could go and these  
2 things could be done? Is that --

3 MR. STEINDLER: No, no. Forget the part two.  
4 You've answered the fundamental issue that I was raising in  
5 your part one answer and we can just move on.

6 That's fine. Thank you.

7 MR. YOUNG: Okay. Now I'll go through a task by  
8 task listing of each of the tasks in the tectonic research  
9 project. And there was a question earlier about the  
10 literature review and the data compilation tasks and what  
11 was being accomplished there.

12 The literature review, basically we've done two  
13 fairly comprehensive literature reviews. One in connection  
14 with the volcanism research project which focused on  
15 tectonics and magmatism, and then we followed that up with a  
16 little bit more focused review that tried to hone in on the  
17 seismo-tectonic aspects of the region.

18 That literature review was done strictly to  
19 support the data compilation to build the geographic  
20 information system that we want to use as our analytical and  
21 review tool.

22 So this data, this literature review is done.  
23 It's accomplished. We've pulled together all the material.  
24 We're in the latter stages right now of pulling the  
25 quantitative data out of that literature and putting it into

1 our geographic information system.

2           Something that I think is worth pointing out,  
3 though, at this point -- and I know that there've been  
4 questions raised now and again about user needs and KTU's  
5 and the extent to which those things mutually interact and  
6 what the basis has been for those up until the point where  
7 the research projects got going.

8           In our opinion, the literature review and the data  
9 compilation that we've done to date supports pretty well the  
10 development of the KTU's and the user needs up to this  
11 point. And I think in retrospect that that's not too  
12 surprising because the first order geological and tectonic  
13 problems are fairly easy to recognize and get started on in  
14 a work plan. And the literature reliably reflects those big  
15 uncertainties.

16           In other words, the uncertainties that we've  
17 identified also exist throughout the geological tectonic  
18 literature on the Great Basin.

19           MR. HATCHER: Could you give me an example of some  
20 of the quantitative data you're accumulating right now?

21           MR. YOUNG: Yes. In the compilation task, which  
22 is also ongoing right now, scheduled to end at the end of  
23 this fiscal year, I'll show you a specific example of this.  
24 But I want to show you the regions for which these things  
25 are being compiled and then I'll go through a list of

1 exactly the data types that are going in there.

2 In particular, we are compiling data into the  
3 geographic information system for two broad regions; the  
4 Central Basin and Range region which you saw depicted on one  
5 of Brian's slides between the northern and southern basin  
6 and range region, and we have a relatively highly focused  
7 subset in there of the Yucca Mountain local region.

8 And the reason that we do that is because there  
9 are data that are available at various scales and  
10 resolutions, depending on the size of the region that you  
11 happen to be working on.

12 Now, we think that it's necessary to look at the  
13 tectonic setting of Yucca Mountain. And this region that  
14 you see here, which is picked out specifically to encompass  
15 what we would characterize as the tectonic sitting of Yucca  
16 Mountain. And I think Brian gave you an excellent idea of  
17 the structural geology and the deformation that essentially  
18 characterizes that area.

19 But what's important to recognize about the Yucca  
20 Mountain area is that it is situated in an area that  
21 genuinely has a mixed deformation style. It's adjacent to  
22 the Northern Basin and Range, which is characterized by a  
23 relatively simple east-west to north-west directed extension  
24 which forms these big mountain ranges and blocks. And then  
25 it's north -- and this is basically fairly strongly

1 dominated by big normal slip systems. And then to the  
2 south, a strike slip dominated environment.

3 And here's Yucca Mountain sitting directly in  
4 between in the Walker Lane around the edges of the eastern  
5 California shear zone, and it genuinely has characteristics  
6 of both domains. It has nearly pure dips slip, normal  
7 faults, but it is bounded and those faults are kinematically  
8 linked to relatively large strike slip systems.

9 Now we've characterized the deformation in here  
10 and other people have characterized the deformation in the  
11 Yucca Mountain area as pull-apart. And those models, those  
12 conceptual models involve very close kinematic linking  
13 between both strike slip faults, normal slip faults, and in  
14 some instances faults that are genuinely oblique slip. So,  
15 with a strong component of both horizontal and normal slip.

16 So we're compiling data for a relatively large  
17 region that we think characterizes the regional tectonic  
18 model, the regional tectonic setting, but we also have  
19 relatively detailed data available for Yucca Mountain, so  
20 we're compiling at that scale, too.

21 Okay. Let me go on.

22 MR. POMEROY: Steve, let me just ask you a  
23 question in there.

24 MR. YOUNG: Okay.

25 MR. POMEROY: In terms of the age of faulting that



1 you're mapping, are there any cutoffs in age or are you  
2 mapping any fault that's been described in the literature?

3 MR. YOUNG: Quaternary --

4 MR. POMEROY: Quaternary only?

5 MR. YOUNG: -- right now. As a matter of fact,  
6 and I'll --

7 MR. POMEROY: These are all quaternary faults that  
8 we're looking at?

9 MR. YOUNG: Yes. And that actually brings up a  
10 fairly interesting point.

11 You have this diagram in your package and I'm sure  
12 you'll have to look at that diagram to see this. But if  
13 you look in California and you see the distribution, all the  
14 faults on here are quaternary faults. These are faults that  
15 have some slip during the quaternary on them.

16 In all of the California part of this map, we have  
17 all of these faults flagged as to their age of latest slip.  
18 Now, most of that information comes from the various  
19 datasets of Jennings, who put together the fault map of  
20 California.

21 Now go up into Nevada. Now you look at the  
22 northern part of this and you see that there are a lot of  
23 faults on the north part of the map. And then you come down  
24 and you notice that the fault spacing really drops off in  
25 here, so there's not nearly as many.

1 I don't have it marked on this particular map, but  
2 the reason for that is that the quaternary faults have not  
3 been well mapped in this area and so there are many, many  
4 more quaternary faults that are actually out there than even  
5 show up on this map.

6 Now this map is in progress and much of the data  
7 that's on this map -- in particular, the positions, the  
8 lengths and the types of these quaternary faults are coming  
9 from a series of maps that are being produced by John  
10 Dornwin and his co-workers at the U.S. Geological Survey.

11 Well, they simply haven't gotten down into a lot  
12 of these areas. And so, there are big blocks of territory  
13 where we should know where the quaternary faults are. We  
14 should know more about the slip history of those quaternary  
15 faults. And that work is in progress or in some instances  
16 not quite underway yet.

17 So it is a work in progress and it's bumping right  
18 up against the data that's actually available from the  
19 geological community.

20 MR. POMEROY: Let me ask one other question,  
21 Steve, that's sort of a more programmatic kind of question.  
22 And that is, is the GIS system and the database that you  
23 have it as set up now immediately accessible by the NRC  
24 staff here in the general Washington, D. C. area?

25 MR. YOUNG: Yes. The way it's set up right now is

1 we've put -- we've got four licenses running and we've set  
2 aside a -- what we're considering to be a kind of an interim  
3 data catalogue. And that data catalogue is being built  
4 right now.

5 What we'll do is we'll set that data catalogue  
6 aside and essentially lock it in place so that work that's  
7 going on in modification will stop on that and we'll keep  
8 going in other area.

9 There is a working Internet link with NRC and we  
10 have experimented with running ARCHINFO over that network  
11 from the Center to NRC and we've actually run ARCHINFO from  
12 both directions using our database and stuff that we've  
13 transmitted up to them.

14 So, now that link is not as strong as it should be  
15 yet because that hasn't been our focus up to this point.  
16 But we've gone far enough to get the hardware in place, the  
17 communications software in place and to experiment with the  
18 link to make sure that it works.

19 I would say that probably over the next six to 12  
20 months that something like more routine use will emerge.

21 MR. POMEROY: So at this point, Keith can't  
22 immediately call up this map, for example, but within six to  
23 12 months he could call up that map. And he's going to  
24 answer the question, I guess, too.

25 MR. YOUNG: Okay.

1                   MR. McCONNELL: Conceptually what we have planned  
2 -- ar: we haven't touched all the bases, is that since the  
3 research aspect, the R&D aspect of this activity is winding  
4 down. But we may want to consider in the future turning  
5 this over to a technical assistance type of activity where  
6 the Center would be responsible and it would be documented  
7 in their ops plan to periodically update this database and  
8 to maintain it and to make sure that the staff has access to  
9 it in Washington.

10                   I know we've had some preliminary discussions to  
11 that fact and it may occur in FY95.

12                   So that --

13                   MR. POMEROY: If it --

14                   MR. McCONNELL: Go ahead.

15                   MR. POMEROY: I was just going to say if it does,  
16 I hope you include the ACNW as among the people who might  
17 use it.

18                   MR. YOUNG: We are also working on other ways to  
19 make this data available in an easier sort of fashion by  
20 putting it on a subset of ARCINFO, called ARCVIEW, that we  
21 could then distribute on CD-ROM.

22                   Now I will zero in to the Yucca Mountain region  
23 itself. This is basically a digital elevation model of  
24 Yucca Mountain and this is Yucca Ridge. Again, I want to  
25 point out that the reason that we've sort of divided the

1 database into these two broad areas is that there is much  
2 more detailed higher resolution data and measurements  
3 available for the area directly around Yucca Mountain than  
4 there might be for the broader region.

5           So in order to take advantage of that, too, we  
6 have a focus at Yucca Mountain. I want to go through and  
7 just show you some examples. The ARCINFO database, the GEIS  
8 database that we're building is a very dynamic thing. I'm  
9 going to show you some examples or some snapshots out of it  
10 that don't really portray the real dynamic character of it,  
11 but will give you some idea of how very fast interactive  
12 kinds of analyses can be done with this database.

13           Here is a relatively small, but a conceptually  
14 kind of easy to understand very valuable exercise. Here we  
15 treat the digital elevation model like a synthetic low-sun  
16 angle photograph so that we can go in and we can illuminate  
17 the digital terrain model at very odd or unnatural sun  
18 angles.

19           Well, now, why would we want to do that? Well,  
20 when you go in and look at a normal low-sun angle  
21 photograph, you really can only get the sun either from the  
22 west or from the east, and you see that there's a particular  
23 geo-morphic fabric that is primarily or very strongly fault-  
24 controlled and you get some idea of what the fault and  
25 fracture orientations are from this.

1           To a large extent, it is these kinds of views that  
2 the knowledge or information and conceptual models of  
3 deformation at Yucca Mountain have been developed. However,  
4 when you go and illuminate the same image from odd angles  
5 that don't occur naturally, you see that there are other  
6 tectonic geo-morphic fabrics in there that are actually  
7 being expressed in the very fine detail and measurements at  
8 Yucca Mountain, but don't show up or are not nearly as  
9 visually obvious in a typical view.

10           So in a lot of cases, particularly in kind of an  
11 exploratory type exercise, you don't know what you don't  
12 know. So we try lots of different views to discover up to a  
13 point what is it that we don't know or that hasn't been  
14 discussed or discovered in previous investigations.

15           Now, again, as an example of the data sets in here  
16 -- and I'm going to answer Bob Hatcher's question in just a  
17 minute. I haven't forgotten about it. I'm getting to it.  
18 In this particular case, what we've done here is we've  
19 digitized the fault map from Frizzell and Shulters. Dr.  
20 Pomeroy asked early on are all the faults quaternary in  
21 here. Here is a particular example where some of the faults  
22 in this entire fault array, this is virtually all the faults  
23 mapped at Yucca Mountain. Not all of these faults are  
24 quaternary.

25           However, every fault in here that is quaternary is

1 being flagged as quaternary. One of the values of a system  
2 like this GEIS is that we can take a myriad of mapped  
3 sources or machine-readable sources, get them all mutually  
4 co-registered into a single analytical or review  
5 environment, and query that environment as to which fault's  
6 are quaternary, which ones aren't, and, to the extent we  
7 know the slip history, ask it which ones have a slip in a  
8 particular time range within the quaternary.

9           So we don't have to deal with maps of many  
10 different scales and many different projections. If you  
11 come across instances where you need to ask a question of  
12 the broader literature, oftentimes you find yourself up  
13 against a situation where you simply don't have the time or  
14 the ability or the resources to pull together all of the  
15 maps, reduce them on a xerox machine, overlay them on a  
16 light table and do all that stuff.

17           So we're trying to create a very fast environment  
18 for doing this. So in this particular instance, we've got  
19 all of the faults mapped at Yucca Mountain.

20           MR. HATCHER: Excuse me one second. On the  
21 previous diagram of all the faults that are there, do you  
22 have a means for distinguishing those that have been  
23 reactivated in the quaternary from those that are new  
24 faults, new breaks?

25           MR. YOUNG: Faults that have been inherited --

1 MR. HATCHER: Yes.

2 MR. YOUNG: -- have inherited slip in the  
3 quaternary. No. We don't do that right now. It's  
4 certainly possible to do it, but our current focus is on the  
5 quaternary slip history. Now, are some of those faults --  
6 is some of that quaternary slip -- has that occurred on  
7 faults that had older slip? Yes. That is on there. We  
8 don't flag those right now because we're really very highly  
9 concerned with that quaternary slip history for now.

10 It's possible to do it, but we haven't done it.  
11 Now, with respect to the earlier map of the faults at Yucca  
12 Mountain, this then is the co-registered set of photo-  
13 geologic lineaments at Yucca Mountain. Many of these  
14 lineaments do mark quaternary fault traces. So this is an  
15 example of where we would take a couple of different data  
16 sets that exist on relatively coarse maps at other scales  
17 and in different projections that you couldn't ordinarily  
18 easily overlay and we can go in and match the -- of the  
19 total population of faults at Yucca Mountain, which ones are  
20 marked by these photo-geologic lineaments, which ones have  
21 been determined to be quaternary from trenching studies,  
22 etcetera. So those are just basically snapshots of the  
23 database.

24 Now, I think I will get to answering that question  
25 here. In our critical review task, also ongoing and ends at



1 the end of this fiscal year, we're now going to go in and  
2 look at the key relationships between all of these data  
3 types. The data that are in the database now consist of  
4 terrain features in the form of digital elevation maps,  
5 earthquakes, in particular, the National Earthquake  
6 Information Center catalog, potential field geophysics,  
7 particularly the DNAG data sets, gravity and magnetics, and,  
8 in particular, higher resolution data sets, specifically the  
9 arrow mag data that's been acquired in the Yucca Mountain  
10 area and, most importantly for us right now, the quaternary  
11 faults and the data, the quantitative data that's being  
12 attached to those currently.

13 That data, in particular, consists of age  
14 estimates or dated fault slip events, fault link, slip  
15 magnitude, estimates of recurrence interval, and the  
16 correlation with modern earthquakes. So the quantitative -  
17 - probably the most important quantitative part in the  
18 typical sense of the data that's going in here consists of  
19 age dates and estimates of slip history. So dates and rate  
20 are the numbers that we're really mostly concerned with  
21 right now.

22 Our critical review phase is pretty much dominated  
23 by looking at correlations between the earthquake seismic  
24 record and the quaternary faults in that particular region.  
25 Now, this is a fairly complete earthquake catalog through -

1 - this particular one is through the end of 1992 or early  
2 1993.

3           There are additional HIPL centers that exist here  
4 that have been acquired by the Southern Great Basin Seismic  
5 Network that are not in the database yet. We've got those  
6 on order. But as Brian pointed out previously, you can see  
7 that the earthquakes correspond pretty closely with a lot of  
8 slip on the San Andreas system and, to a somewhat lesser  
9 extent, are distributed through the eastern California shear  
10 zone, composed of the Mojave block, the greater Death Valley  
11 fault block region, and then continuing north into north  
12 central Nevada.

13           So probably most of the strain, most of the slip  
14 is occurring in an area over here. Most of the earthquakes  
15 are currently associated with that. But as you will see  
16 shortly, it is a reasonable assumption or supposition or  
17 conclusion that many of these big earthquakes out here have  
18 triggered earthquakes throughout this region.

19           Furthermore, as Keith mentioned earlier, Wallace  
20 and others, since his initial work, have pointed out that  
21 there's pretty good evidence that faulting and earthquake  
22 seismicity cluster in time and space. In other words, this  
23 may be the modern locus of earthquake seismicity, but we  
24 can't tell to what extent or what the probability is that  
25 earthquakes -- that the locus of these earthquakes may move

1 to a particular different region.

2 There is ample evidence that there have been  
3 quaternary earthquakes throughout the fault systems in the  
4 Great Basin.

5 MR. POMEROY: Steve, did I understand you to say  
6 that you do not have any of the information that's been  
7 developed over the past several years in the local scale for  
8 the Yucca Mountain area by the Southern Great Basin Network?

9 MR. YOUNG: We don't have the complete Southern  
10 Great Basin Network catalog. However, many of the events  
11 that are in that catalog also exist -- are also held by the  
12 National Earthquake Information Center. What we want to  
13 find out right now is what the difference between the events  
14 in those two catalogs are.

15 We think from discussions with other people and we  
16 think that from just by looking at maps where other people  
17 have shown earthquake HIPL centers from that network, we  
18 think that there are events in that network that are not in  
19 the NEIC database. So we want to go look at that  
20 specifically and find out.

21 If there are events in there that we don't already  
22 have, then we need to have them. So that's an important  
23 data set that's not in there yet.

24 MR. POMEROY: Right. Particularly the low  
25 magnitude events that might be of some interest and

1 importance in looking at the local Yucca Mountain area  
2 versus the broader scale picture that you're looking at,  
3 say, here.

4 MR. YOUNG: We're going to be really searching  
5 hard for methods that we can use to define these blind  
6 sources. That may be the strongest data set that will be  
7 available to do that. If more deeper reflection work is  
8 done, that is really going to help a lot. However, in  
9 addition to that or in lieu of it, the more detailed lower  
10 magnitude seismicity is going to be critical.

11 MR. HINZE: Steve, we want to leave Dave at least  
12 five minutes for his presentation.

13 MR. YOUNG: I'm glad you said that.

14 MR. HINZE: He only has 20 transparencies, I  
15 think. So he won't be able to do it in five minutes. Let's  
16 minimize the details and leave those to questions.

17 MR. YOUNG: I'll now turn it over to Dave Ferrill,  
18 who will talk about and describe the field work and modeling  
19 that's going to support these review tasks.

20 MR. FERRILL: Thanks, Steve. Task 4 has got a  
21 long title, but it's basically reconnaissance field work to  
22 support tectonic issues. This is both for this research  
23 project -- Task 6 is the regional tectonic modeling and the  
24 first three items listed under objectives here will directly  
25 support Task 6 of this project, which is the regional

1 tectonic models task.

2           Tasks 3, 4 and 5 support NMSS work pretty  
3 directly. This work is ongoing and the bulk of it is  
4 expected to be completed by the end of the fiscal year,  
5 which is September 1994. Objective 1 is assess estimates of  
6 late neogene and quaternary rates and patterns of  
7 deformation. We're looking for confirming or evaluating  
8 published work. We're not -- this is, again, reconnaissance  
9 work and we're not actually out gathering large amounts of  
10 data.

11           Geodetic measurements, this is in collaboration  
12 with Brian Wernicke on his GPS project. This is basically  
13 field support for him to gain familiarity with the  
14 techniques so we can incorporate the data into our regional  
15 tectonic models.

16           Three, support development and assessment of  
17 alternative models of faulting and seismo-tectonic  
18 processes. Here we're looking for fault models to support  
19 the Task 6. But, also, this is the objective for evaluating  
20 the interplay of faulting and magnetism. We're looking for  
21 field analogues of cypes of fault and dike interactions,  
22 such as the one that Larry McKague showed earlier from San  
23 Francisco Volcanic Field.

24           Four, identify and describe areas which may be  
25 structural analogues for the proposed Yucca Mountain site.

1 We have a lot of information about the surface fault pattern  
2 at Yucca Mountain, but there's still a lot of controversy  
3 over the deep structural pattern -- how the faults link or  
4 whether they link at depth, are they plainer to some great  
5 depth or do they link up in a shallow listric fault system.

6 So by looking for structural analogues of the  
7 deeper parts of the fault systems, we can help to understand  
8 Yucca Mountain. Then, five, investigate the Landers surface  
9 rupture. Brian Wernicke talked about this quite a bit  
10 earlier.

11 Landers, being in the southern Mojave Desert,  
12 seems a little bit distant from Yucca Mountain. However,  
13 its recent earthquake had a large surface rupture extending  
14 along a length of 70 kilometers. It's right lateral strike  
15 slip, which is analogous to the pattern of deformation in  
16 the Furnace Creek-Death Valley fault system, and it also  
17 triggered fairly large earthquakes in the vicinity of the  
18 Landers quake, as well as triggered the Little Skull  
19 Mountain earthquake. So for those reasons, we're interested  
20 in pursuing that further.

21 MR. POMEROY: Dave, before you leave that too far,  
22 can you tell me -- I know you've thought a lot about the  
23 interrelationships of this reconnaissance work to the field  
24 mapping work that GS and other people are doing out in the  
25 area. Is reconnaissance the key word here that identifies

1 the difference? How would you delineate or describe the  
2 difference?

3 MR. FERRILL: Referring to the work that the DOE  
4 is doing?

5 MR. POMEROY: Yes.

6 MR. FERRILL: Yes.

7 MR. POMEROY: Comparison with the DOE.

8 MR. FERRILL: The DOE work tends to be more  
9 localized right around Yucca Mountain and this is a more  
10 regional project, trying to understand the regional tectonic  
11 setting of Yucca Mountain. So it tends to be broader in  
12 scope than the DOE work. Yes, reconnaissance is a key word  
13 here. We're not going out and doing detailed mapping or any  
14 really detailed analyses right now.

15 Part of this is to go find areas where that sort  
16 of work might be beneficial to us and right now we're just  
17 trying to identify those sites.

18 MR. POMEROY: So that's the analog work in Item 4  
19 here that we're looking at, basically.

20 MR. FERRILL: Right.

21 MR. POMEROY: Fine. Thank you.

22 MR. FERRILL: This is an excerpt from the digital  
23 elevation model that Steve's been showing. I just want to  
24 point out some of the work to date in this field  
25 reconnaissance. The geologic setting group has been

1 involved in reconnaissance field work at Bear Mountain.  
2 This is Yucca Mountain. Bear Mountain is here. The Black  
3 Mountains and the Death Valley-Furnace Creek region.

4 So far, the results are encouraging. There seems  
5 to be evidence that we might be able to find a decent  
6 structural analog for the deep structure for Yucca Mountain  
7 in the Black Mountains area. The work at Bear Mountain we  
8 think is essential to understanding the local tectonic  
9 setting for Yucca Mountain. It's just actually a pull-  
10 apart in a strike slip system.

11 We think that by understanding what's going on at  
12 Bear Mountain and the uplift history, we might be able to  
13 learn more about the evolution of Yucca Mountain.

14 These circles with crosses through them are some  
15 of -- not all of them, but most of Wernicke's GPS stations,  
16 the geologic setting group. In particular, Gary Stirewalt  
17 was involved in the 1993 field campaign in this GPS survey.

18 Then we are also -- the third accomplishment to  
19 date for this task is involvement in the geological siting  
20 of America's field trip at the Cordiere and GSA meeting back  
21 in March to Landers. This was a good opportunity to  
22 interact with some of the people that had been working in  
23 the area; in particular, Earl Hart, who works for the State  
24 of California, has been mapping the surface rupture and this  
25 is for the purposes of zoning or setback for structures



1 built near fault systems.

2           So we get to see some of his unpublished and  
3 preliminary maps based on field mapping and air photo  
4 interpretation. Also, we are fairly pleased to find that  
5 the surface rupture is not that badly degraded. The scarps  
6 are still well preserved with slip delineations. So this  
7 gives us encouragement and we plan on getting back out there  
8 in the next six months to spend a little more time looking  
9 at particularly the east side of this system. It would be  
10 the analogous position to Yucca Mountain with respect to the  
11 Death Valley-Furnace Creek fault system.

12           MR. POMEROY: Again, Dave, do you have plans or do  
13 you have in the database now the DOE/USGS positioning?

14           MR. FERRILL: No. We do not have those yet and we  
15 do plan to enter that data into the database whenever it's  
16 accessible for us. Task 5 is assessment of geo-  
17 chronological methods for dating and characterizing fault  
18 slip information. This task was completed in September of  
19 1993.

20           Basically, the objective was to assess the utility  
21 and reliability of methods used for determine slip history.  
22 The outcome of this is that there is still tremendous  
23 uncertainty in both the analytical techniques used for these  
24 dating procedures, as well as field interpretation. And we  
25 saw this firsthand two weeks ago at the NRC/DOE site

1 exchange. We saw trenches across the Bear Mountain fault.

2 It was generally agreed there was a meter-and-a-  
3 half of displacement that you could see in the trenches in  
4 the four faces of the two trenches we went to. But there  
5 was a lot of disagreement about over what period of time  
6 that slip occurred.

7 One interpretation was that it occurred between  
8 seven and 100,000 ago. The other interpretation was that it  
9 occurred between seven and 20,000 years ago. So we've got a  
10 great disparity here. At best, it's a factor of five  
11 difference. At worst, it's a factor of 14 difference  
12 between those two estimates. So the rate of slip is very  
13 uncertain for that fault.

14 Another example is along the Solitario Canyon  
15 fault, where Chuck Harrington has been using a preliminary  
16 or development technique using cosmogenic carbon-14 to date  
17 the fault scarp that's been observed and was interpreted  
18 previously as a holocene fault scarp, meaning deformation  
19 was in the last 10,000 years. The cosmogenic C-14 data  
20 suggests at least 20,000 years age for that fault scarp.  
21 So, again, at best, it's a factor of two difference between  
22 the previous interpretation and the new interpretation.

23 So these data techniques are a great source of  
24 uncertainty that remains for understanding the rate of slip,  
25 the recurrence interval, segmentation for these faults. So

1 it's very important for the tectonic understanding.

2 Moving on to task six, this is a regional tectonic  
3 modeling task. We analyze the database and try to model  
4 tectonic setting and processes.

5 MR. HINZE: Is that ongoing? Dave, is that  
6 ongoing and how long will it be in existence?

7 MR. FERRILL: Task six is actually just beginning  
8 and I believe it goes through 1996, the end of 1996. So  
9 we're just in the initial stages right now.

10 The objective is to determine correlation between  
11 spacial and temporal patterns of late neogene and quaternary  
12 regional strain. This would include, also, faulting,  
13 earthquake seismicity and then tie this back to the Yucca  
14 Mountain setting.

15 Accomplishments to date. We've been visualizing  
16 in 3D earthquakes for the regional area, as well as for the  
17 Landers event, in particular. I will show you some of those  
18 results now.

19 This map shows all the earthquakes currently in  
20 the GEIS database. These are all from the NEIC database.  
21 As Steve mentioned earlier, we don't yet have all the  
22 details of the southern Great Basin seismic network. We  
23 also don't have all the earthquakes from the southern  
24 California net, but we're talking to Brian Wernicke today  
25 about that and we anticipate getting that very soon.

1           However, earthquakes from those two data sets are  
2 included in here. Basically, any earthquake of magnitude  
3 three or above from those networks is included in this data  
4 set. Again, the background is the regional digital  
5 elevation model. The Yucca Mountain area is highlighted by  
6 this box, California/Nevada border here.

7           You notice that it's a pretty measly pattern. It  
8 looks like California has a bad case of the mumps here. A  
9 lot of clustering or earthquakes along the first order  
10 structures, like the San Andreas fault system through here,  
11 the southern Sierra Nevada range has a lot of earthquake  
12 activity, Owens Valley, Long Valley, Caldera area. Brian  
13 pointed out earlier the central Nevada set of earthquake,  
14 the inner Montaigne Basin trend through here, the Walker  
15 Lane, roughly following the California/Nevada border.

16           We can also see the anthropogenic temporal cluster  
17 of the Cold War testing in the Nevada test site. This is  
18 unfiltered data. At some point, we plan on taking out those  
19 manmade earthquakes, so we'll just have the actual  
20 earthquakes.

21           So we see that there's a tendency for earthquake  
22 clustering along the major structures. We notice that the  
23 Death Valley-Furnace Creek fault system here does not have a  
24 large amount of earthquake activity. Does that mean that  
25 that is not an active fault system? No, it probably

1 doesn't. Mara Threhies, at the Cordiere and GSA meeting in  
2 March, said that the Death Valley-Furnace Creek fault system  
3 is the most active fault system in the western Great Basin,  
4 that there are six to 12 millimeters of slip per year across  
5 that fault system, and this is based on trench studies.

6 In the last 5,000 years in the trenches, she sees  
7 seven major slip events. So a periodicity of -- a  
8 recurrence interval of somewhere at or under a thousand  
9 years probably for that fault system. So there may not be  
10 major events on the period of time covered by this display.  
11 We're looking at earthquakes from 1812 to 1994. A fault  
12 system that has a thousand-year recurrence interval may not  
13 show up in here.

14 To show you one of the temporal clusters, we look  
15 up in this region and we see a lot of large yellow and  
16 orange spheres. These are earthquakes that occurred during  
17 the mid-1930s to mid-1940s. Since then, not a lot of  
18 activity. We see a lot of little red spheres, small  
19 magnitude earthquakes, but not a lot of the large ones, like  
20 the Dixie Valley-Fairview Peak sequence from the 1930s and  
21 1940s.

22 So that's an example of the temporal clustering.  
23 It could be that 200 years from now the Yucca Mountain area  
24 may have a cluster activity or the Death Valley-Furnace  
25 Creek system. So just the paucity of data does not mean

1 that it's an inactive area or not a seismic area.

2 We're going to focus now on the Landers sequence.  
3 You see this pod of events right in here. That's the  
4 Landers sequence. This is a slightly smaller area. The San  
5 Andreas fault system through here, Garlock fault, Death  
6 Valley-Furnace Creek, Fish Lake Valley fault system, Yucca  
7 Mountain is there. Earthquakes here are colored, again, by  
8 the date of their occurrence.

9 This is a six-month period centered on the Landers  
10 events, starting in March of 1992. Landers was on June 28,  
11 1992. Then the period of time ends in September of 1992.

12 The blue dots are precursors to or predated the  
13 Landers event. You can see there are very few blue dots on  
14 this map in the three months leading up to Landers.

15 There is, however, a cluster right here and this  
16 is the Joshua Tree sequence which occurred about two months  
17 before Landers. I should note for those of you who have  
18 black-and-white copies of these figures, these dates are all  
19 1994. Of course, that slipped through. We've got it  
20 corrected on the color versions, however. So you might want  
21 to change that if you're looking at that.

22 The Joshua Tree sequence here was started by the  
23 Joshua Tree quake, which was a magnitude 6.3 quake. It had  
24 a series of aftershocks that tended to be shallower than the  
25 main shock. The main shock was at a depth of about 12

1 kilometers and the aftershocks all propagated upwards to the  
2 surface.

3 Then two months went by with the aftershock  
4 sequence for Joshua Tree trailing off and then the Landers  
5 main shock occurred on June 28, 1992. The aftershock  
6 sequence for that tended to be down and to the north. It  
7 triggered several events. The Pisga quake here, the Big  
8 Bear sequence in the San Bernadino Mountains or under the  
9 San Bernadino Mountains, then triggered the little --  
10 apparently triggered the Little Skull Mountain event the  
11 following day at Little Skull Mountain, next to Yucca  
12 Mountain. That was a magnitude 5.4 quake.

13 If we just look at this pattern, even looking at  
14 the overall pattern, you can shift that up and compare it  
15 with the Death Valley-Furnace Creek system and the patter is  
16 similar. They're both right lateral strike slip systems.  
17 So by studying the Landers sequence, we particularly want to  
18 get on the ground and see what's going on in this position  
19 that would be analogous to the location of Yucca Mountain  
20 with respect to the Death Valley-Furnace Creek system, look  
21 and see what the surface deformation is like in that area.

22 Now we're going to go to a side view of this  
23 sequence. It's going to be a view looking towards the west.

24 MR. HINZE: Dave, I'm worried about time and I  
25 want you to have a few moments to summarize. We also have a

1 report yet, a summary report from Bill, and we want to allow  
2 questions. So perhaps it would be left to questions and  
3 people using your slides here. If we could move to your  
4 summary word slides, I'd appreciate it.

5 MR. FERRILL: Sure. Some of the results to date.  
6 The GEIS database represents a basic reference of tectonic  
7 features. These can be used to test key assumptions,  
8 important assertions, reviewing study plans, site  
9 characterization plans, license application. This is a  
10 database of great utility.

11 The regional correlation of earthquakes with map  
12 fault traces, this is ongoing work. It's useful for review  
13 of study plans, pre-licensing guidance and license review of  
14 issues related to, of course, that, earthquake and tectonic  
15 features and their relationships.

16 Anticipated results, we've got five listed here.  
17 The first three all tie into probabilistic seismic hazard  
18 assessment under performance assessment, and these are  
19 probability distributions related to fault length and  
20 orientation, earthquake magnitude and recurrence, and fault  
21 rupture length, offset and slip rate.

22 The last two, alternative tectonic models,  
23 including potential earthquake sources with no surface  
24 expression. These are these blind sources; for example,  
25 like the recent activity in the Los Angeles area, as Steve



1 mentioned. Then this is useful, again, for study plan  
2 review, site characterization guidance, license review, so  
3 forth.

4 An improved knowledge of temporal and spacial  
5 patterns of earthquake seismicity ties into -- it is useful  
6 for review of potentially adverse conditions related to the  
7 potential for increasing earthquake activity in the Yucca  
8 Mountain region.

9 To conclude, six conclusions here. The review of  
10 literature provides a firm basis for the KTUs. This has  
11 already been of benefit for us. The GEIS database is being  
12 developed for timely interactive access by the regulatory  
13 analysts. Steve already discussed that. Steve and Keith  
14 have already discussed the timeframe for getting that  
15 database accessible for the NRC.

16 Tectonics research contributes currently to  
17 regional hydro volcanism research and pre-licensing review.  
18 This is all becoming day-to-day activity for us. Critical  
19 review and analyses of the tectonics research database  
20 provides an assessment of adequacy of existing data for  
21 compliance determination. This is a task that will be  
22 finished up this year. This assessment, this critical  
23 review assessment will be finished by the end of the year.

24 Tectonics research database provides constraints  
25 on earthquake and fault rupture parameters for the review

1 activities, as listed in the previous viewgraph. Then  
2 alternative conceptual models will provide a necessary basis  
3 for inclusion of these blind sources and, also, for the  
4 association between magnetism and faulting for performance  
5 assessment.

6 That concludes my presentation. I'll take  
7 questions.

8 MR. HINZE: Thank you very much. A lot of very  
9 interesting data and correlations. We could spend a great  
10 deal of time having fun with those. Are there questions?  
11 Please.

12 MR. HATCHER: One quick one. How do you intend to  
13 identify the blind sources, potential blind sources?

14 MR. FERRILL: By, first of all, identifying which  
15 earthquakes are linked or appear to be occurring along  
16 faults that have mapped fault traces along which they're  
17 occurring. So identify the non-blind earthquakes and then -  
18 -

19 MR. HATCHER: Going back to some of the earlier  
20 issues, though, there's this lack of connectivity between  
21 earthquakes and surface faults, earthquakes and quaternary  
22 displacements, displacements of quaternary units. This  
23 brings on that question, I think. I think it's going to be  
24 very difficult to do.

25 MR. FERRILL: Yes. It's a tricky mess. By

1 understanding the -- by coming up with three-dimensional  
2 models for the faults in our regional tectonic models, we  
3 can at least -- and also incorporating the GPS measurements  
4 and also the quaternary strain rate information, we can  
5 understand more about where the risk is high and where  
6 strain is accumulating and, without earthquakes, understand  
7 the blind -- you know, be able to look for signs of  
8 potential blind sources in that way.

9 MR. HINZE: I have a couple of questions, Dave, if  
10 I might. The second to the last transparency, these  
11 results, the anticipated results are useful for performance  
12 assessment. Are you investigating those results because DOE  
13 is not providing them or is this being done as confirmatory  
14 research?

15 MR. FERRILL: I think I might defer to Steve Young  
16 for that. He's spent more -- he's spent several years  
17 thinking about this.

18 MR. YOUNG: We're going to have, both NRC and  
19 CNWRA, the center together, have an independent or  
20 confirmatory performance assessment. So we see the data  
21 that we're producing at this time primarily feeding into  
22 that, into the probabilistic seismic hazard assessment  
23 program for a confirmatory independent performance  
24 assessment.

25 MR. HINZE: Do you anticipate that these data will

1 become available from DOE within a reasonable period of time  
2 or do you anticipate, as a result of looking at the study  
3 plans, that these data will not be available?

4 MR. YOUNG: My opinion at this time is that the  
5 DOE does not have quite the regional focus that we have.  
6 So, therefore, we're likely to include events, faults,  
7 earthquakes in our database that perhaps are outside of a  
8 region that they would be more concerned with.

9 In other words, we probably will include stuff in  
10 our database that right now I don't see them producing.

11 MR. HINZE: I believe in the SAP they had a 100-  
12 kilometer radius, something of that magnitude.

13 MR. YOUNG: That's correct. We go out  
14 considerably farther than that.

15 MR. HINZE: And your radius is not necessarily a  
16 radius, but is more directed at specific analogues.

17 MR. YOUNG: It's defined by what we characterize  
18 as the tectonic setting of Yucca Mountain. That's how we  
19 determine which regions we're going to look at faulting and  
20 earthquakes in. Because of the complexity in the patterns  
21 that are emerging from the paleoseismological studies, we  
22 think that in order to do a reliable credible compliance  
23 determination, that we will need data from much of that  
24 region.

25 So we don't define it by a radius, no. Our region

1 of operation is more geologically defined.

2 MR. HINZE: As I look at the various tasks, the  
3 six tasks that you've presented here this morning, two of  
4 them were completed basically a year ago and three are  
5 scheduled for completion within a few months. There is only  
6 one, the analysis of the database and modeling is the only  
7 one that has some continuity between now and 1996.

8 Can you give us some vision of what the research  
9 activities in tectonics are viewed in terms of 1995 or is  
10 everything going to be focused on database and modeling?

11 MR. YOUNG: I think the way we see it, it's going  
12 to be very heavy in modeling. We think we're pretty far  
13 along in data compilation. Data compilation will continue  
14 as a background or a support activity for modeling, because  
15 as paleoseismological studies at other major fault systems  
16 get completed, we're going to put that into the database.

17 But we're going to focus real heavily on putting  
18 these integrated models together.

19 MR. HINZE: So if I interpret you correctly, the  
20 single task that will be continued on through 9/96 will be  
21 the sixth one, the analysis of the database and modeling.  
22 You have no vision in terms of the results of the work that  
23 you've done on the first five tasks of additional research  
24 or the KTU analysis has not provided any view to new  
25 tectonics research that should be carried out.

1 MR. YOUNG: The modeling that's going to be  
2 carried out over, say, primarily the next two years or so,  
3 we expect that if there's anything -- if there's any new  
4 insight to be gained that is going to substantially impact  
5 KTUs, that it will come out of that modeling, because we  
6 intend for that step to create -- or to use that step to put  
7 everything that we've compiled into some sort of a set of  
8 alternative models.

9 So the coherent models or the viable alternatives  
10 have to emerge at this stage and we expect that it will be  
11 at this stage that if there are opportunities for new KTUs  
12 or for modification of existing KTUs or even for new  
13 research directions, that it's going to emerge potentially  
14 out of two tasks. It's going to emerge either out of the  
15 field work, the reconnaissance field work that we do this  
16 summer or through the fall, or it's going to emerge out of  
17 the modeling task.

18 The way that we look at it, the way that we view  
19 it right now is we look to those tasks to develop new  
20 directions, if a new direction is required.

21 MR. FERRILL: Part of the purpose of the  
22 reconnaissance field work was to identify field localities  
23 that deserve additional study as fault system analogues or  
24 fault and dike interactions, things like that, for  
25 additional work beyond the scope of this project, where it

1 was intended to be reconnaissance work.

2 Another point is that part of the field work --  
3 when we recently revised the project plan, we extended some  
4 of the field work beyond the end of the fiscal year into  
5 1995. We've also added -- beyond just analysis of the  
6 database and regional tectonic modeling, we've also included  
7 in the recent revision some emphasis on analog modeling.  
8 We'll do sandbox or clay cake modeling in the lab to try to  
9 model structural fault systems at depth; be able to generate  
10 in a sandbox releasing bins, be able to slice through those  
11 and see what the deep fault system is like.

12 MR. HINZE: Will that be a new task, then?

13 MR. FERRILL: No, no. It's covered under task  
14 six. It was just a clarification of task six in the recent  
15 project plan review.

16 MR. HINZE: Steve, I want to make certain I  
17 understand. In terms of the objectives here, Steve, that  
18 you went over, I was struck by "at this time, the primary  
19 focus," and that's very emphatic, "the primary focus is to  
20 examine the sufficiency of data and methods to determine  
21 compliance."

22 At this time, does that mean through 9/94?

23 MR. YOUNG: Yes.

24 MR. HINZE: What would be the primary focus  
25 subsequent to that?

1           MR. YOUNG: Input to hazard assessment and input  
2 to performance assessment. We have a vehicle that's being  
3 established right now to move data that's produced by this  
4 project directly into performance assessment, and that's the  
5 auxiliary task that Gary Stirewalt is starting right now.  
6 He started that by looking at the EPRI methodology. We  
7 think that the EPRI methodology is probably okay as far as  
8 an algorithmic framework within which to use the available  
9 data.

10           What we expect to happen is at some point, we are  
11 going to begin to feed data into that and into probabalistic  
12 seismic hazard assessment and thereby into the fault and  
13 seismic models in performance assessment. So I would see  
14 that as being a logical change of emphasis at some point  
15 where we've satisfied ourselves that we've got enough to do  
16 reliable, credible modeling. Now, let's move the data in  
17 and start a series of models with it.

18           That, in my mind, would be a change in primary  
19 focus.

20           MR. HINZE: That's all I have.

21           MR. POMEROY: Anyone else?

22           MR. GARRICK: Can I just comment on one thing? In  
23 your first viewgraph, you anchored the tectonics research  
24 program pretty much to the regulations and were quite  
25 precise in terms of the document, another part that was



1 relevant to the topic.

2 I guess what I'd like just to have a brief comment  
3 on is how did you decide on the scope? Is the program  
4 pretty much an interpretation of what the regulations are  
5 asking for, which are very general and, in many cases, non-  
6 specific? Can you give us a little insight as to the  
7 thought process that went on to resolve research scope?

8 MR. YOUNG: Yes. The important considerations for  
9 scope were very much a combination of the regulatory  
10 priorities and the resources available to go after it. So  
11 what we did is we went completely through the regulation and  
12 found -- made ourselves a catalog of all issues that were  
13 potentially related to tectonics and then went through and  
14 prioritized those.

15 It wasn't so much a prioritization exercise as it  
16 was of finding the important commonalities, and there are  
17 some very important conceptual threads that run through  
18 virtually all of the issues related to tectonics and they  
19 have very much to do with estimation of fault slip histories  
20 and estimation of earthquake magnitude and a few things that  
21 have to do with the characteristics of earthquake  
22 seismicity.

23 We found that the strong signal that came out of  
24 there is that we needed to know -- our biggest areas where  
25 we needed to have either a good understanding of the

1 existing knowledge or we perhaps needed to advance our  
2 understanding some was in fault geometry and distribution;  
3 in other words, what types of faults were these, what are  
4 their shapes in the subsurface and what are the implications  
5 for earthquake seismicity, and then, furthermore, how far  
6 can we go to characterizing or discerning both the slip  
7 history of individual faults and the regional time and space  
8 patterns of seismicity.

9           So we knew that virtually all of what we had to do  
10 needed to impact on that right away. Further to that, we  
11 knew that we also stood a good chance of making good  
12 progress in that area in a relatively short period of time,  
13 because there's -- most of the geological work that's been  
14 done out there recently has been focused on tectonics and  
15 faulting and seismicity.

16           So we thought we stood a good chance at success in  
17 that area and that colored our decision, too.

18           MR. GARRICK: Has the feedback loop from  
19 performance assessment begun to have any effect?

20           MR. YOUNG: I have to say that not substantially.  
21 We're only now to the point where we can start and exercise  
22 this vehicle that Gary Stirewalt is setting up.  
23 Furthermore, I think that performance assessment is only now  
24 getting to the point where they're capable of assimilating  
25 this kind of data.

1           We've seen that in up through Phase 2 IPA that  
2 there hasn't been a good procedural mechanism in there yet  
3 to handle these type of data. However, that is now emerging  
4 in Phase 3. So our timing, somewhat serendipitously, but  
5 also somewhat from design, our timing is coming together  
6 about right on that.

7           MR. GARRICK: Thank you.

8           MR. HINZE: If there are no further questions,  
9 thank you, both of you, and Larry. Bill, we'll turn it back  
10 to you to summarize Research's overview of tectonics  
11 research.

12           MR. OTT: Before I go to that, I'm going to just  
13 throw up the -- make two points about this that we started  
14 off with this morning. The point I was going to make -- you  
15 recall that I started from user needs and I actually didn't  
16 address KTUs at all. I talked about the SOWs that were  
17 developed.

18           One comment I would have made when Steve was  
19 discussing how things were constrained is that he was very  
20 strongly constrained by the SOW that we sent down there.  
21 When we get back a project plan which doesn't respond to the  
22 SOW, it gets returned.

23           The other thing is that KTU development in terms  
24 of where we are today, there's been a very active period in  
25 this timeframe right here, right there of KTU development,

1 LARP development, the whole process. You'll notice that  
2 both the regional extensional tectonics and the volcanism in  
3 the basin and range, we were collecting a tremendous amount  
4 of information during that time period. That goes into one  
5 other thing.

6 MR. HINZE: Bill, before you remove that, help me  
7 with what your thoughts are regarding the time period 1997  
8 and 1998 on regional extensional tectonics. The tasks that  
9 we see here go through 1996. What do envision?

10 MR. OTT: Essentially, we've left -- well. My  
11 words took me too far, anyway. We've also left ourselves  
12 some flexibility. I understand that the center and George  
13 have had some discussions with regard to an expansion of  
14 some of the work, pulling in some of the work that was  
15 intended for geo-chronology. Those discussions haven't  
16 reached their conclusion yet.

17 The likelihood is that there will be some more  
18 resources put into the project. So there may be some  
19 expansion of some of the work that's been discussed here.  
20 Some of those tasks may last longer.

21 MR. STIREWALT: Excuse me. I'm Gary Stirewalt. I  
22 might comment on that, also, if I may.

23 MR. HINZE: Sure. Please do.

24 MR. STIREWALT: The way the SOW was structured was  
25 that that potential extension might well involve some

1 additional field work. The stuff that David Ferrill  
2 described, that might be a continuation. Of course, I  
3 should also add that that doesn't happen automatically.  
4 That also would require assembly of a research plan. It  
5 would be approved by the NRC. But that's what that extended  
6 timeframe was partially meant to capture, as well.

7 MR. OTT: But the center is constrained by the  
8 resources and by the scope of work that we assign to them.  
9 They have come back and said that we could use some more  
10 resources to do some specific things. That's under  
11 discussion and we haven't made final decisions on whether  
12 we'll do that yet or not.

13 MR. HINZE: Are the resources available for  
14 tectonics research comparable to that for volcanism  
15 research?

16 MR. OTT: The expenditures at the present time are  
17 less on tectonics. The resources available if we put the  
18 total amount that was reserved for geo-chronology in there  
19 are about the same.

20 MR. HINZE: Do you foresee any increase in that in  
21 the succeeding years? Is that in the plans?

22 MR. OTT: Only at the expense of other programs.

23 MR. HINZE: Thank you.

24 MR. OTT: Essentially, the budget is flat-lined,  
25 except for minor variations, over the next four or five

1 years or so.

2 MR. HINZE: Enough to give David a raise once in a  
3 while.

4 MR. CTT: San Antonio is so cheap to live, they  
5 don't need raises. After this morning, there are a couple  
6 of things that I wanted to make an observation on before I  
7 get to the actual final slides.

8 The Type 4 and Type 5 KTUs and user needs, Type 5s  
9 are quite often characterized as we've generally got to do  
10 research. Type 4 is we may use existing methods and things  
11 like that. Type 4s are things that we may do research on,  
12 as well. That's why they're included when we have these  
13 discussions. The Type 4s are maybe we will, maybe we won't.  
14 The Type 5s are we really need to do something.

15 As you pointed out in looking at one of Keith's  
16 slides, the overview slide that we usually bring with us to  
17 talk about the LARP process essentially has the CDSs flowing  
18 into KTUs, flowing into user needs, and then flowing into  
19 the research program. That was an accurate observation the  
20 way it works.

21 Marty came -- and Marty has disappeared, but Marty  
22 came up at the break and said I really ought to address,  
23 again, this question of NRC versus DOE, how do we choose  
24 what we do as opposed to what DOE does. Well, we don't  
25 really choose what DOE does. DOE is motivated by a lot of

1 requirements of their own.

2 We sort of are on their back trying to help them  
3 make decisions that will get information that we need that  
4 we feel is necessary.

5 I wanted to point out an annual review of the NRC  
6 program. Every year we go through this as part of the LARP  
7 process. This comes back to this communication of new ideas  
8 for research. The center essentially participates very  
9 actively in the annual CDS/KTU reviews. What can be left  
10 for that review in terms of making long-term adjustments to  
11 the program are done at that time.

12 Something that comes up that's of overwhelming  
13 importance, the NMSS can communicate to us at any time in  
14 terms of trying to make a mid-term course adjustment in the  
15 program.

16 In terms of DOE, NMSS and the center are  
17 continuously in review of what's going on through technical  
18 exchanges and everything else. Research supports NMSS in  
19 those activities to the extent that we have staff available.  
20 Our operation is much smaller in terms of FTEs than NMSS'  
21 and we have to pick and choose at times. Otherwise, we  
22 don't get things like vouchers reviewed and contractors  
23 don't get paid.

24 Considerations in what we choose to do or how we  
25 choose to do it, they always come back to this question of

1 independence in terms of licensing judgments. The NRC is an  
2 independent regulatory agency and we're charged with making  
3 this licensing decision to our best knowledge and our best  
4 degree of information.

5 What is our degree of certainty in what DOE has  
6 done? That's what it all boils down to. If we have a high  
7 degree of certainty that what DOE has done is going to give  
8 us the information that we need, then there's very little  
9 reason for us to do research. The source of this degree of  
10 certainty comes from the technical staff in the Office of  
11 Licensing, technical staff at the center and the technical  
12 staff in the Office of Research, and it comes through these  
13 annual reviews of our program and DOE's program.

14 In terms of why -- what we do to assert this  
15 independence, part of it is confirmation both of DOE's data  
16 and of a counter position. If somebody comes up with an  
17 alternative conceptual model which we don't necessarily have  
18 information supporting, DOE dismisses, but we feel it can't  
19 be dismissed, then we may have to do some work to either  
20 confirm or counter this position.

21 Assumptions are at the heart of much of the  
22 modeling. We have to probe around the edges of the  
23 assumptions. We may need to challenge these assumptions in  
24 order to make DOE do work to give a better basis for those  
25 assumptions.



1           Conceptual models, that's at the heart of  
2 everything that goes on. You don't start the performance  
3 assessment without a conceptual model of the system that  
4 you're trying to assess.

5           If there are alternative conceptual models in  
6 which your collection of data tends to confirm one or to not  
7 test another, then one has to worry about the other side of  
8 the coin and you may have to go out and collect data to  
9 allow you to test other conceptual models, such as the  
10 rather traditional example of fracture flow versus matrix  
11 flow.

12           Those are just some things that came out of the  
13 discussions this morning. The conclusions here are brief.  
14 Again, we tried to sit down after we had gone over the  
15 original proposed presentations by everybody and figure out  
16 what we wanted ACNW to come out of this review with, what we  
17 would hope we had convinced you of today.

18           One is that the tectonics program is strongly tied  
19 to KTUs and user needs. I think the focus of what we've  
20 done here today is tried to convey that to you. Both the  
21 user needs and the KTUs are developed after a lot of thought  
22 and a lot of canvassing of the available information that we  
23 feel they reflect what needs to be done and that our program  
24 is focused very closely on those aspects of the program.

25           The literature surveys have provided a firm

1 technical basis for the KTUs. The diagram I just showed you  
2 minute ago gave you an idea of the timing. The first thing  
3 we did was go in there and try and get an assessment of this  
4 database and volcanism and tectonics -- what's out there in  
5 the basin and range. And KTU development, LARP development  
6 was going on at the same time with the same personnel. That  
7 information has been continuously fed back between those two  
8 operations.

9 So we feel that this particular aspect of the  
10 research program has made a significant contribution to the  
11 development of the current stage of the LARP.

12 Timeliness of the research efforts assist in  
13 preparation of the LARP. I've gone over that already again.  
14 And in pre-licensing and licensing reviews. These are going  
15 on all the time -- tech exchanges with the Department of  
16 Energy, site visits, the upcoming DOE meeting in which there  
17 will be presentations by center staff, as well as by DOE  
18 contractors.

19 Confirmatory databases and models are being  
20 established for state-of-the-art compliance reviews of DOE  
21 analyses and models. I leave that to your judgment. We  
22 have shown you what we're trying to accomplish with the GEIS  
23 and with the databases on both volcanism and tectonics. We  
24 feel they're going to be a significant tool in helping the  
25 agency do its job.

1 Well integrated alternative conceptual models are  
2 under development for evaluation of DOE models. This is  
3 perhaps not as evident from what we've seen today. It may  
4 be a lot more evident next year when we've done more work on  
5 the modeling.

6 I'd like you to come away saying it is a focus of  
7 our program, this is something we're going after. It's  
8 something that's even embodied in that overall diagram of  
9 the geology research that I started off with, modeling of  
10 mantle dynamics. That's the input that we really want to  
11 get to to feed into probabilities and consequences and the  
12 other things that they need to make PA work.

13 Tectonics research activities are well integrated  
14 with other research projects and technical assistance  
15 activities. This goes a lot to what Larry McKague did when  
16 he tried to describe what the PA program is and how it  
17 interacts with the research program.

18 I guess those are the things we'd like you to come  
19 away with. Do you have questions?

20 MR. HINZE: Let me ask a quick question, if I  
21 might. One of the things that's very much on the mind of  
22 the ACNW and others is the possibility that there is  
23 pervasive fracturing, if not faulting of the proposed  
24 repository site, the Sun Dance fault and other faults of a  
25 similar nature.

1           Is there any research that can be done to help to  
2 define or to ascertain whether multiplicity or faulting is a  
3 probability in this area, in this volume of rock, or what we  
4 can expect are one or two major faults, as has been the  
5 supposition and the way that the Yucca Mountain repository  
6 has been diagramed?

7           MR. OTT: I will ask any of the staff here if  
8 they'd like to answer that one, because I'm certainly not  
9 qualified.

10          MR. MCKAGUE: Larry McKague. The problem, of  
11 course, is in the third dimension. About the only data that  
12 I know exists is data from the large diameter bore holes,  
13 particularly those on Yucca Mountain -- not Yucca Mountain -  
14 - on Pahoot Mason, north of Timber Mountain. In drilling,  
15 often -- well, in the last ten years, we've had down-hole  
16 movie cameras. It was not uncommon to see small faults in  
17 there.

18          So what you see at Yucca Mountain doesn't surprise  
19 me a whole lot. I expect there to be a lot of small  
20 faulting through there.

21          MR. HINZE: Are there mechanical models that you  
22 can put together that would suggest that there are intricate  
23 faults that might slice up the entire repository and would  
24 we expect those to have any particular orientation on the  
25 basis of our knowledge of the previous stress patterns?

1 MR. YOUNG: Yes. We have worked in the past on  
2 geometric and kinematic models of deformation there and  
3 we're working now on some mechanical models to simulate the  
4 deformation there. In fact, one of the assumptions in the  
5 whole major class of kinematic models is that a raise of  
6 small faults or fractures are the deformation mechanism for  
7 the hanging wall block.

8 So in one class of models that we have,  
9 distributed deformation is the assumed deformation  
10 mechanism. At different points during that modeling  
11 process, we have taken orientations of those small faults  
12 directly from either geological maps or cross-sections done  
13 by the USGS and we've used those orientations specifically  
14 to constrain or set the geometry of the deformation  
15 mechanism in the hanging wall block.

16 So we do have models that include those and it  
17 dominates a whole class of those models.

18 MR. HINZE: Are there research summaries that  
19 focus in on that and that also clearly enunciate the  
20 assumptions that are in those models? Are those available  
21 to us at this time?

22 MR. YOUNG: Yes. I think that the work that we've  
23 done that addresses that issue is mostly in the reports on  
24 geometry of faulting that have been out for a while.  
25 Furthermore, the results of the mechanical deformation

1 simulation, which will be out in about -- I think about  
2 another year or so.

3 MR. HINZE: This is sandbox.

4 MR. YOUNG: No. This will be the finite element  
5 work, although the sandbox models will address some of that,  
6 as well. Probably a point that should be made is that most  
7 of the work that addresses the issue that you're asking  
8 about right now we have had going on in technical assistance  
9 tasks as distinct from research. I think the reason that we  
10 put that in there is because it's a little more site-  
11 specific focus and a little bit less on the research side.  
12 So we put them in the technical assistance task work.

13 MR. STIREWALT: Bill, excuse me. Gary Stirewalt,  
14 again, if I might add one point to that. I think not just  
15 mechanical models suggest the possibility of more complex  
16 structures, but certainly mapping as early as what Bob Scott  
17 did in '84 I think convincingly shows that there are zones  
18 that lie along the Sun Dance and places other than where the  
19 Sun Dance occurs. That suggests that there could be a very  
20 complex fault pattern in the block itself.

21 I think there is good and reasonable field  
22 evidence for that kind of interpretation.

23 MR. HINZE: I think we've stood on the outcrops  
24 together.

25 MR. STIREWALT: We did.

1 MR. HATCHER: To the contrary, you might ask  
2 yourself why is Yucca Mountain there and why are the valleys  
3 in that area there. Just from a simple observational point  
4 of view, the valleys are where most of the faults are and  
5 that may indicate an intense -- a relatively lesser  
6 intensity of fracturing than the rocks or less intensity of  
7 faulting, except for very minor faults, which you're going  
8 to encounter anyway.

9 MR. STIREWALT: Yes. That's what I was going to  
10 say. Perhaps the valleys are areas of more major faulting,  
11 but, Bob, the concern is not just the amount of slip, but  
12 how, in fact, it may affect the hydrology. So if you have a  
13 continuous connected fracture system, whether there's a lot  
14 of displacement or not, it's still a potential concern, I  
15 think, and I know you agree with that.

16 MR. HATCHER: I agree totally, yes. Sure.

17 MR. HINZE: Well, it's past 12:00, even in Indiana  
18 time. Keith, please.

19 MR. McCONNELL: I'd just like to make one brief  
20 statement and that was in response to Dr. Garrick's question  
21 about the influence of performance assessment or IPA. I  
22 would say that at the technical assistance element manager  
23 level, it has had a dramatic effect in how we manage the  
24 center's activities in that it is -- everything we do is  
25 focused on key technical uncertainties that have some sort

1 of performance implication.

2 There may not be complete agreement within the  
3 staff about that implication, but certainly there is a body  
4 of the staff that believes that it has a significant  
5 performance effect or it wouldn't be done at the center.

6 MR. STEINDLER: It may not be 12:00 in Indiana,  
7 but still. I notice that our -- I thought our agenda said  
8 1:00. Did I read it wrong? So I still have a few minutes.  
9 I guess I've got a couple questions. You're about to rework  
10 the KTUs. And, I think, Keith, you indicated that you were  
11 going to try and get that job done by the end of the  
12 calendar year.

13 One of the concerns that the Committee has had in  
14 the past is that the KTUs were so broad that almost any user  
15 need would fall under them. My comment, rather than a  
16 question, is that I think that's an issue that we've raised  
17 in the past and I would urge you to maybe consider it and  
18 make sure that the KTUs are sufficiently sharply focused so  
19 that the relationship between the KTUs and, for example,  
20 performance assessment topics or needs is made fairly clear.

21 The other thing that I'm puzzled about, and it may  
22 be obvious to some, but in the absence of some clear  
23 indication of a model of how tectonics influence the  
24 performance of the repository, specifically how the either  
25 hydrology issues or impact on the waste engineered barrier



1 system is done, it seems to me that the research program,  
2 database management aside, is not constrained enough or you  
3 have no mechanism of defining whether or not something is  
4 important to be investigated or not.

5 One, is that a reasonable picture? Secondly, if  
6 it is a reasonable picture, is there some mechanism that you  
7 folks could use or have available to you that identifies  
8 rational pictures of how tectonic events that you're looking  
9 at influence what happens in the repository as it relates to  
10 the either subsystems regulations or the EPA regulations, so  
11 that you can decide whether a particular avenue of research  
12 will uncover information that is important to that issue or,  
13 in fact, may be trivial.

14 MR. OTT: It's a long question. I'll try and  
15 answer it as best I can. Let me start out by saying that  
16 we're in a continually evolving process. When we began, we  
17 had no tools to assess the importance of one phenomenon over  
18 another.

19 Regulation was developed where there were a number  
20 of potentially adverse and favorable siting conditions  
21 specified through a process of use of professional judge,  
22 best professional judgment of the staff to determine what  
23 things would be of concern at any repository site, because  
24 you realize that Part 60 pre-dates Yucca Mountain  
25 significantly.

1           As the license program and the research programs  
2 evolved, we came to a point at which Yucca Mountain was  
3 selected and people started worrying about are there  
4 specific things about this location that we should worry  
5 about more than at other locations. There was fairly strong  
6 consensus that issues of the general geologic structure and  
7 the tectonic controls on seismicity and volcanism were  
8 things that needed to be evaluated as potential hazards to  
9 this particular repository site.

10           Now, we again are in a situation where I think the  
11 PA methodology is not yet far enough advanced to give you a  
12 good indication of how to prioritize within such a  
13 discipline as seismicity or volcanism. We're trying to move  
14 to that point, but those models in PA exist at the top of  
15 that pyramid of abstraction that we reviewed the last time  
16 when we were here when Norm Eisenberg made his presentation,  
17 and they lacked sensitivity to a lot of detail.

18           How much of what Steve Young presented today can  
19 get into that PA model is very little. He has to be  
20 responsible for abstracting that information and defining it  
21 in such a way that it's useable in the IPA format.

22           Again, in the volcanism presentation, Linda made  
23 brief reference to some very crude calculations that she did  
24 on the volcanism hazard. Could we have volcanic incidents  
25 that would cause us to release sufficient radionuclides to

1 violate an EPA standard? Her conclusion, based on very  
2 crude calculations, was, yes, we could.

3 So in that instance, more than in the seismic  
4 area, we've done some rough calculations. The thing is that  
5 the tectonic picture is important to both the seismic  
6 evaluation and the volcanic evaluation in terms of both  
7 those hazards. The way that -- at least the way I  
8 understand it, and I'm not a geoscientist, my staff  
9 convinces me that an understanding of the basic structure is  
10 important to both seismic and volcanic hazard.

11 So if I had to say have we done anything to  
12 justify doing work on tectonics, I could say that we've done  
13 it in volcanism. We're a little bit farther along in terms  
14 of volcanic models being used in PA. I think we're moving  
15 in that direction in the seismic area, as well.

16 I think we're moving in the directions you want  
17 to. We may not be moving fast enough for you. I think  
18 we're all dissatisfied that we don't know enough now. Of  
19 course, if we knew enough now, we wouldn't be here at all.

20 So all I can say is I think your concern is  
21 inherent in the uncertainty of the problem that we're  
22 dealing with. That's the best answer I can give you right  
23 now.

24 MR. McCONNELL: I think I'd expand on that a  
25 little bit. We are fairly aware of the site

1 characterization program that DOE is carrying out, including  
2 the conceptual designs of the repository and the potential  
3 for fault displacement to affect either the long-term  
4 isolation, the containment, or even pre-closure concerns.  
5 From that, we derive conceptual ideas of what was important.

6 In, as an example, extreme erosion, based on the  
7 knowledge of the site and the DOE work to date, I think  
8 everybody would agree probably it's not a major concern.  
9 There are no key technical uncertainties with respect to  
10 that aspect.

11 With respect to faulting, however, if there's a  
12 hot cell at the surface facilities during the pre-closure  
13 and they put it near a fault, as the Committee has prompted  
14 us to do, the staff has to be aware of the faulting in the  
15 area, has to be able to ask the right questions, to, I  
16 guess, paraphrase Commissioner Rogers, and be in a position  
17 to defend those questions when it comes up.

18 So all of that activity goes into our thought  
19 process as far as identifying key technical uncertainties  
20 and making sure that the research and technical assistance  
21 is constrained to those things that are important.

22 IPA is a part of that. It's one leg of that. But  
23 IPA is limited in the sense that there's a hazard to  
24 allowing the models to confirm the data, to a certain  
25 degree. We're using IPA and we intend to use it even more

1 in constraining our concerns and our research.

2 MR. STEINDLER: Thank you.

3 MR. HINZE: Further questions?

4 [No response.]

5 MR. HINZE: If not, Bill, Keith, Larry, everyone,  
6 we do appreciate the excellent quality of the presentations,  
7 their comprehensiveness. The excellent diagrams tell a lot  
8 of stories and I'm sorry that we didn't have a chance to go  
9 into all the details of them. I'm sure that the Committee  
10 will give your presentation a lot of careful thought. If we  
11 have any further questions, we'll be back to you. With  
12 that, Martin?

13 MR. STEINDLER: Okay. Let me declare an hour  
14 lunch break and then we'll be back at 2:30 to talk about  
15 Yucca Mountain and the National Academy.

16 [Whereupon, at 1:27 p.m., the Committee was  
17 recessed, to reconvene this same day at 2:30 p.m.]

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## 1 AFTERNOON SESSION

2 [2:34 p.m.]

3 MR. STEINDLER: Let's resume our meeting. In  
4 accord with the agenda, the afternoon session will start out  
5 with a report on the recent National Academy of Sciences  
6 panel meeting. John, I think you and Howard are going to  
7 share the reporting or whatever.

8 MR. GARRICK: Yes. Howard Larson of the staff and  
9 I went to Las Vegas on April 27th and 28th for the purpose  
10 of attending the open session part of the National Academy  
11 Committee on the Yucca Mountain Standard. We spent the  
12 first day being part of a tour. We toured the site, saw the  
13 hardware that had been delivered for the tunnel boring  
14 machine, and went into the tunnel and participated with some  
15 11 others in the proceedings of that day, which was mainly a  
16 tour and a tutorial, so to speak, on what was going on at  
17 Yucca Mountain.

18 The second day was divided into two pieces as far  
19 as the Yucca Mountain Standards Committee was concerned.  
20 One was an open session that went from 8:30 until noon,  
21 approximately, and then the other, which we did not attend,  
22 was a closed session, a writing session, that took place in  
23 the afternoon and also the next day.

24 As most of you know, the National Academy's Yucca  
25 Mountain Standards Committee was formed in direct response

1 to Title 8 of the Energy Policy Act of 1992, which directed  
2 the Administrator of EPA to contract directly with the  
3 National Academy of Sciences to conduct a study, to provide  
4 findings and recommendations on reasonable standards for  
5 protection of the public health and safety.

6 It might be worth noting that Congress had  
7 mandated that the study was to address three questions,  
8 primarily, and I'll just mention those. Number one, whether  
9 a health-based standard based upon doses to individual  
10 members of the public from releases to the accessible  
11 environment will provide a reasonable standard for  
12 protection of the health and safety of the public; number  
13 two, whether it is reasonable to assume that a system for  
14 post-closure oversight of the repository can be developed  
15 based upon active institutional controls that will prevent  
16 an unreasonable risk of breaching the repository barriers or  
17 increasing the exposure of individual members of the public  
18 to radiation beyond allowable limits; and, number three,  
19 whether it is possible to make scientifically supportable  
20 predictions of the probability that the repository's  
21 engineered or geologic barriers will be breached as a result  
22 of human intrusion over a period of 10,000 years.

23 So these were the principal questions being asked,  
24 but, of course, the mandate also made it clear that the  
25 Committee should not be bound by those questions and should

1 feel free to make whatever other recommendations and  
2 observations that would seem appropriate to providing a  
3 basis for a new standard.

4 The short open meeting that we attended consisted  
5 of a series of presentations, although the presentations,  
6 for the most part, took the form of answering questions,  
7 because the Committee had adopted the format of not having  
8 formal presentations since they had received the exhibits  
9 from all of the presenters well in advance and were  
10 instructed to be there with questions, and the presenters  
11 would be, therefore, available to answer their questions.  
12 That was the approach.

13 People that were involved or institutions that  
14 were represented, rather, were the EPA, the county  
15 officials, Nye County officials, EPRI, the NRC, Margaret  
16 Federline, and there were also -- there was also the  
17 American Nuclear Society, and a few other people were  
18 involved.

19 The one thing that was clear from the session is  
20 that the Committee has made a very genuine effort to listen  
21 to everybody and to get input from whomever had anything to  
22 offer in the way of suggestions on what might be the  
23 standard or the basis for the standard. Of course, even  
24 from that small sample, it's obvious that the concepts vary  
25 widely.



1           One of the things that was kind of interesting, in  
2 my opinion, was that Bill Gunther of EPA sort of reminded  
3 the Committee that it was important for them to provide some  
4 sort of a rationale of the standard with the 40 CFR 191 as a  
5 baseline or as a reference. This precipitated a few  
6 questions and I guess the thought process here is that if  
7 we're going to have standards, even if they're different  
8 standards, at least from EPA's point of view, they ought to  
9 show some sort of connectivity. There ought to be some sort  
10 of consistent thread of logic from standard to standard.

11           Whether or not it's going to come out that way,  
12 I'm not sure. The Electric Power Research Institute was  
13 making a pitch for a two-part standard, one that would be  
14 for the period of time for which the engineered barriers or  
15 the engineered systems would be in place and then one for  
16 the times beyond that, the theory apparently being that for  
17 the engineered barrier portion, they would be able to  
18 develop a case of containment with high confidence as it was  
19 something that was manmade and, therefore, its details in  
20 the fine structure were known, where in the case of the  
21 long-term and the geological formations, the same may not be  
22 true.

23           I, after the meeting, was encouraged by a couple  
24 of Committee members to write a letter on my thoughts. I  
25 chose to do this as an individual rather than as a

1 representative of either the Advisory Committee on Nuclear  
2 Waste or the National Academy's Board on Radicactive Waste  
3 Management, both of which I am a member. So in the context  
4 of a citizen, I did make a few brief comments and I wrote  
5 the letter to the Chairman of the Committee.

6 My impression, based on this short snapshot, is  
7 that the Committee is headed towards supporting what have  
8 become loosely called in the trade a speed limit on public  
9 health, accompanied by guidance on implementation of such a  
10 goal or a standard.

11 It also looked to me like they were going to rely  
12 quite heavily on the ICRP as somewhat of a model and I  
13 suspect they will try to make some sort of connection with  
14 the current EPA standard, 40 CFR 191, although there is  
15 still discussion and debate on that.

16 I'm sure it's not going to inhibit finally coming  
17 to closure on what they're going to present. Now, the  
18 Chairman -- namely, Bob Frye -- reminded everybody there  
19 that it was not their goal or charter to develop a standard.  
20 It was more a matter of getting a background, source  
21 material, guidance that could be helpful in inspiring or  
22 developing a standard.

23 Now, my own personal comments, I'll just highlight  
24 a few of them. I think that the Committee has a very  
25 difficult task, and I will be looking at my letter as I make

1 these comments. My own feeling about this is that it's made  
2 difficult by the fact that they're being asked to answer a  
3 question that, from the perspective of an old risk analyst,  
4 may not be the right or even the important question to be  
5 answered.

6           The important question to be answered is what do  
7 we need to do to give ourselves and the public, including  
8 workers and stakeholders, confidence in the safety of the  
9 Yucca Mountain repository. The follow-on question is what  
10 performance measurement or measurements are important in  
11 this regard.

12           We should probably not be arguing about what  
13 performance measure to calculate and regulate, because I  
14 think most people know what indicators are necessary in  
15 order to develop confidence that you know how the repository  
16 is going to perform. So the point is that you should  
17 calculate them all, because it turns out when you've  
18 calculated one or two of them, calculating the others  
19 generally is reasonable straightforward. So that was a  
20 personal observation.

21           I, in making that point, drew on the experience,  
22 which I find in the waste field is not always a good idea,  
23 but I drew on the experience from the nuclear power field  
24 and, in particular, the safety goal approach and the  
25 problems of trying to regulate or trying to measure safety

1 on the basis of a single attribute.

2 As a matter of fact, it wasn't until we started  
3 calculating multiple risk and safety parameters and doing it  
4 probabalistically that we began to really understand nuclear  
5 plant safety and the means for effective risk management.

6 So my point here was simply a lesson learned point  
7 in relation to the experience base that I had.

8 Another point -- well, having said that we are  
9 probably -- you're probably being asked to answer the wrong  
10 question, then I asked myself, well, how can I be  
11 constructive, what can I offer constructively to the  
12 standard question, even if it is the wrong one. My thought  
13 on this is that if -- my thought on it was that I believe  
14 the answer here is in the guidance part of the standard and  
15 guidance issue that they're heading towards. For example,  
16 if the Committee pushes for a broad-based analysis using,  
17 for example, risk assessment techniques as a way to get the  
18 standard, then perhaps a logical performance assessment will  
19 prevail even if we are talking about a single attribute.

20 If, on the other hand, compliance with the  
21 standard provides an excuse to not answer the more basic  
22 questions about risk and safety, then, in my judgment, we  
23 will not have served the public's interest.

24 So that's the point about the question and how to  
25 respond to their question in as an effective a way as

1 possible.

2 Now, the other thing that got my attention was  
3 that there seemed to be a lot of ways of characterizing a  
4 standard. People talked about a standard that was  
5 technology based, release based, dose based, and all of that  
6 would be fine, but they also talked about another one which  
7 they referred to as risk based, where the latter evidently  
8 was to mean health based, which I found very confusing given  
9 that all of the others could be risk based, as well.

10 Yet, I think we were complicating, by using that  
11 kind of language, the whole issue of risk communication,  
12 safety communication, regulatory communication, something we  
13 certainly don't need to do. These are not mutually  
14 exclusive concepts. Risk assessment is not a consequence.  
15 It's a thought process. It's scenarios, likelihoods and  
16 consequences, where we can be completely free on what we  
17 choose as consequences. There's no where that says that  
18 it's a health effect, that it's any other single thing.

19 So I think there was a lot of discussion and  
20 debate that was unnecessary relative to trying to bend these  
21 concepts into specific categories.

22 The other thing that I commented on, and I'm not  
23 going to mention all of them, was there was a little bit of  
24 a belaboring exercise that took place with respect to the  
25 modeling of human intrusion scenarios. A Committee member

1 was attempting, in my judgment, to micro-model a specific  
2 scenario and was challenging the presenter on how to do it.

3 I suspect -- and neither the Committee member nor  
4 the presenter got a heck of a lot out of the discussion. I  
5 know none of the rest of us did. But the point is that when  
6 we model things like this, experience at least has told us  
7 that we really have to be careful about not trying to model  
8 every detail or we will never get the job done.

9 I think, again, the nuclear power example makes  
10 the point. We do not attempt to model all leak sizes at all  
11 locations, for example, in the primary system. We tend to  
12 categorize leaks as small, medium and large and we tend to  
13 talk about a whole system where the thermodynamic conditions  
14 are consistent throughout that system, more or less.

15 I think that they were a little off track on that.  
16 On the other hand, I was impressed with the database, the  
17 information base that they have pulled together. I have  
18 very high confidence that the Committee will make a very  
19 sound recommendation. My suspicion is that it will  
20 certainly be a health-based recommendation and my hope is  
21 that it will be risk-based, as well.

22 And there's no question about the competence of  
23 the Committee. If they had any weakness, my judgment would  
24 be that it would only be in the area of an engineering-  
25 based risk assessor. As most of you know, in this business,

1 there are two very distinct cultures. That is to say in  
2 this risk business. There is that culture that kind of  
3 emanates from the health sciences, including the EPA, and  
4 there's that culture that emanates from the nuclear power  
5 industry and the engineering community.

6 I kind of characterize these as engineering  
7 culture and the health science culture as it relates to risk  
8 assessment. The Committee is relatively weak on the  
9 engineering culture, in my judgment, but I think with the  
10 presenters and the consultants they have, that probably will  
11 not translate into a real problem.

12 I have high confidence that they're going to come  
13 up with something that does, indeed, serve most of our best  
14 interests.

15 Now, Howard Larson was there and he can probably  
16 correct all my mis-observations and certainly add depth to  
17 the description of the meeting. Howard?

18 MR. LARSON: Thank you. I gave you all a report  
19 on it and I could summarize a few points that maybe John, in  
20 covering a different perspective, didn't elaborate on. As I  
21 said, on our tour the first day, it was interesting. Of  
22 course, it was President Nixon's funeral. So it wasn't very  
23 crowded. There were only a dozen of us on the tour. We got  
24 to see a lot of things, got to spend a lot of time, were  
25 told that the last pieces of the TBM would be there or

1 should be there by now, and that on August 8th they were  
2 going to put it in the tunnel and get it ready to run.

3 As far as the meeting itself, the National Academy  
4 of Sciences, as Dr. Garrick pointed out, Mr. Gunther from  
5 EPA indicated that their general counsel felt that whatever  
6 recommendations the Academy Committee came up with, it ought  
7 to be consistent with current laws, including things like  
8 the Safe Drinking Water Act.

9 As John said, that raised some interesting  
10 questions and comments, without resolution. It was a fact-  
11 gathering meeting, as Dr. Garrick pointed out. So there  
12 weren't really very many, if any decisions. It was more or  
13 less a discussion and a question.

14 As Chris Weppel, you called the substantially  
15 complete containment phrasing a technology-based dinosaur.  
16 He didn't understand that or reasonable assurance. There  
17 were even comments made on such things as the differences  
18 between groundwater and drinking water, that groundwater was  
19 not necessarily drinking water, and that led to some other  
20 questions, too.

21 They were asked whether EPA had decided whether  
22 the Yucca Mountain standard should be similar to the 191  
23 standard that was issued for WIPP, and EPA hasn't decided  
24 what they want to do on that.

25 As John said, EPRI talked about two terms -- one,



1 the near term, a thousand years or less, where they thought  
2 the containment should -- or the container should be -- the  
3 container integrity should really be the true licensing test  
4 and that anything beyond that, out to hundreds of thousands  
5 of years, should rely on PRA, and that there should be  
6 varying degrees of rigorousness for each of the time periods  
7 involved.

8 They also volunteered, in discussions with them  
9 later, to come in and discuss that in greater detail with  
10 the ACNW, should the Committee desire to hear more from EPRI  
11 on that.

12 There were some questions to the NRC, Margaret  
13 Federline, as to what did they assume insofar as future  
14 societies and the assumption, as you saw in her paper, was  
15 that future societies will be the same as today. As you can  
16 imagine, there were discussions on both sides of that,  
17 whether that was a rational or irrational decision.

18 MR. POMEROY: Howard, in here you say -- there's  
19 sort of a statement that says "should try to focus on what  
20 can be litigated," under Margaret's comments.

21 MR. LARSON: The feeling was that at least you  
22 knew what the current state of society was, whereas in the -  
23 - and you could state --

24 MR. POMEROY: You could argue about that.

25 MR. LARSON: You could argue about it, whereas it

1 was difficult to argue about anything in the future. The  
2 NRC also -- or Margaret stated that the NRC believed that  
3 passive controls can be effective in the future, but that  
4 active controls, while they should be as good as possible,  
5 you couldn't really consider them for any credit for any  
6 period of time.

7 Nevada indicated that water economics in the  
8 future, and they sort of termed that as the next 50 years or  
9 so, they believed were such in Nevada that mining the  
10 Amargosa aquifer will probably be feasible and that the cost  
11 of water was such that that would be feasible, and the way  
12 the population was growing, but that this could change the  
13 current hydrologic gradient and that that should be  
14 considered as people analyze the site.

15 There was discussion on carbon-14 calculations,  
16 where Van Konenberg's calculations were challenged by a  
17 fellow, Mortenson. I think I've got part of his paper in  
18 there. Van Konenberg couldn't respond in a lot of depth.  
19 So the Committee requested that Mortenson send to Van  
20 Konenberg his calculations so that he could check them and  
21 get back as to what the magnitude of the differences were  
22 between the two.

23 I guess the only agreement was that they agreed  
24 that EPA's calculations were wrong.

25 The Nuclear Energy Institute made the position

1 that they felt that the National Academy of Sciences  
2 Committee's recommendations should be very strong  
3 recommendations, both to EPA and the NRC, and that the  
4 National Academy should feel free to propose regulations if  
5 they felt that more than generic guidance was required.  
6 These were statements from the floor. There maybe was some  
7 discussion, but there wasn't any necessary acceptance.

8 The basis for the EPA standards that the Committee  
9 has been asking for for years was raised. It was asked that  
10 they be redone and that perhaps the true level of protection  
11 that's required would fall out if they were done again or  
12 were ever really done properly. Some discussion on that,  
13 but the general feeling was that many had asked, but no  
14 answers had been chosen or given.

15 As Margaret Federline said yesterday, there will  
16 no further open meetings of the National Academy of Sciences  
17 Committee. They were going to continue with their executive  
18 sessions. They were going to have their blind peer review  
19 and the report would be out hopefully by the end of the  
20 year.

21 They did say that after the report had come out in  
22 the draft, they would intend to hold another meeting in the  
23 Las Vegas area open to the public so that people could ask  
24 them questions as to how they arrived at their questions.

25 In the package that I gave you, it indicated that

1 there were 17 groups or so. Probably 70 percent of them or  
2 so were asked direct questions by the National Academy  
3 people. The others were -- each was asked whether there was  
4 anything they wanted to say. And so everybody did say  
5 something, whether they were asked a question or not.

6 So that all people that had provided written  
7 comments did get the opportunity to speak at the meeting.  
8 The meeting ran right on time, was over right at noon.

9 MR. STEINDLER: Anything else? Any questions?

10 [No response.]

11 MR. STEINDLER: Is it clear that this is going to  
12 turn out to produce a useful product, John?

13 MR. GARRICK: Well, fortunately, I have a  
14 colleague here that's also on the Board on Radioactive Waste  
15 Management and we've been in on some of the discussions that  
16 led to the formation of the Committee. I think there is a  
17 very spirited interest on that Committee to generate  
18 something that's useful.

19 MR. STEINDLER: The way the law is written, at  
20 least my interpretation, is that even if the National  
21 Academy Committee does, in fact, turn out something that we  
22 all might think is useful, that is no assurance that the EPA  
23 has to or needs to follow it.

24 MR. GARRICK: That's right. And I think the  
25 warning sign that came at our meeting was Bill Gunther's

1 comment about tying it, if only loosely, to 40 CFR 191. So  
2 you're absolutely right. They really can do as they wish  
3 here.

4 MR. HATCHER: I would agree with you that they may  
5 be addressing the wrong question. But the quality of the  
6 group may produce an evolution so that they do, in turn,  
7 educate themselves to what the question really is about  
8 whether or not this -- they do want an acceptable standard,  
9 but how to ensure the safety of the site and the long-term  
10 safety of it. I think this is something they will end up  
11 with probably.

12 MR. GARRICK: Yes.

13 MR. POMEROY: Could I ask either of you whether or  
14 not there was any indication or whether you know of any  
15 indication -- under this item three that Congress specified,  
16 when we were commenting about it, we said not only is the  
17 question whether or not you can make scientifically  
18 supportable predictions of the probability that the  
19 repository will be breached as a result of human intrusion,  
20 but can you make scientifically supportable predictions  
21 regarding natural processes that may occur in the next  
22 10,000 years.

23 Is there any indication that the Committee is  
24 looking in that direction at all?

25 MR. GARRICK: I think the Committee and the

1 Academy, in general, has been a frequent pusher for more  
2 definitive information on the effectiveness of engineered  
3 barriers. I think that this has been not only with respect  
4 to Yucca Mountain, it's been with respect to WIPP and other  
5 proposed facilities.

6 I think the thought here is that while you may not  
7 be able to guarantee that it will survive for thousands and  
8 thousands of years, you can arrive at designs most likely  
9 where there's high confidence that it will survive for up to  
10 thousands of years. I think that to a probabalist, however  
11 she has to think that way.

12 It's like I remember being involved in a press  
13 conference on the Seabrook risk assessment for the Seabrook  
14 Nuclear Power Plant and presenting our results about the  
15 core damage frequency, etcetera, etcetera. And that  
16 evening, one of the TV anchor ladies accurately represented  
17 what we said, on the one hand, but, on the other hand, she  
18 said, also, correctly, but the message was wrong, that that  
19 doesn't mean it couldn't happen tomorrow. And that's the  
20 way it is. It's just not likely.

21 MR. STEINDLER: But the notion of the engineered  
22 barriers is, in a sense, actively discouraged by the current  
23 NRC approach to holding tight to the requirements of  
24 subsystem criteria.

25 MR. GARRICK: It's very discouraging, especially

1 if you believe the analyses that have been performed in  
2 terms of the gains you get in going from a ten to 20-  
3 centimeter outer steel barrier to something like a 30 to 50-  
4 centimeter outer barrier. The gains are tremendous.

5 I think a lot of it will come down to what seems  
6 to end up being as the time interval for which we have to be  
7 accountable.

8 MR. HINZE: But, John, in answer to Paul's  
9 question, do they have anyone looking at this problem? I  
10 know that Bob Budnitz has said that the question has been  
11 raised. Were there any scientific groups that addressed  
12 this issue in presentations to the National Academy  
13 Committee?

14 MR. GARRICK: The issue of the integrity of an  
15 engineered waste package?

16 MR. HINZE: No. The question is is it feasible to  
17 predict the natural potentially adverse conditions on a  
18 repository for 10,000 years? This is a question that we  
19 wrote up in one of our letters to the Commission. So there  
20 are other questions -- other times that this question has  
21 been raised.

22 The question that I think Paul was asking and that  
23 I would like to know about is were there any comments from  
24 the scientific community on this point.

25 MR. GARRICK: Not at the meeting that we were at,

1 in particular, but I think there have been a number of  
2 studies that have looked at what kind of containment  
3 extension might be achieved as a function of increased outer  
4 barrier size or increased outer barrier dimensions.

5 So I think that there does seem to be quite a bit  
6 of indication that it's not out of the question being able  
7 to design a waste package and a waste package containment  
8 system that will survive for thousands of years. To say any  
9 particular number is probably not a reasonable answer.

10 But I think there is increasing evidence that you  
11 can engineer a waste package containment system that will  
12 last for thousands of years.

13 MR. STEINDLER: But, Bill, the focus of your  
14 question is normally set aside when we address -- when we  
15 are addressed by the representatives of what I call the  
16 geologic community, there's always the presumption in all of  
17 their work that they are, in fact, able to provide adequate,  
18 whatever that means, predictions of future events over the  
19 time period that the repository is of interest; namely,  
20 10,000 years.

21 It's always been assumed that you can do that in a  
22 sufficiently precise or accurate fashion. Whether or not  
23 that is true, of course, is difficult to demonstrate. The  
24 only flap that we've heard is the future state of society.

25 MR. HINZE: I think the point was that this was an



1 occasion upon which a National Academy of Sciences Committee  
2 could make that kind of remark and fortify previous Academy  
3 discussions on this point.

4 It would have a lot more prestige, a lot more  
5 importance placed upon it, because there has been an attempt  
6 to widdle away at the possibility of the geosciences as  
7 being unable to predict over the 10,000-year period. As we  
8 know, this is a great opportunity to have an impartial group  
9 look at that.

10 MR. GARRICK: Now, whether or not this Committee  
11 will do that, I don't know. But I do know that the Academy  
12 is thinking that way. The Academy is thinking increasingly  
13 aggressively about alternatives to waste containment,  
14 alternatives to geologic isolation, for example, and in  
15 different time increments.

16 One simple strategy is build a 100-year facility,  
17 which we know we can do, and in the course of time, figure  
18 out a better solution for long-term containment. That's  
19 certainly one strategy that is available to us. That may  
20 not be a strategy that's compatible with the current Waste  
21 Policy Act, but we're in a society where such acts can be  
22 changed, fortunately.

23 But I do think that if we continue to struggle  
24 with the question of containment, then we have to begin to  
25 separate the solving of the radioactive waste management

1 problem from the problem of guaranteeing geologic integrity,  
2 because they are separate problems, in fact.

3 We don't have to use geology to solve the problem.

4 MR. HINZE: I don't agree with that, John. I  
5 think there's enough concern about the engineering integrity  
6 of these canisters over a long time period and that's why  
7 the defense-in-depth was initiated to begin with. I have  
8 yet to hear any engineer that is willing to guarantee me  
9 that they will build a canister with zero defects, however  
10 many canisters, over a 10,000-year period of time.

11 MR. GARRICK: Well, you're hung up on a number.

12 MR. HINZE: I don't care. Make it whatever number  
13 you want.

14 MR. GARRICK: No. The issue -- the question could  
15 be answered a different way entirely. The question could be  
16 answered how do we manage radioactive waste, rather than  
17 having the question being how do we contain radioactive  
18 waste. And if the question is asked that way, there are  
19 alternatives available to us such that we don't have to lead  
20 the public to believe that the solving of the radioactive  
21 waste management problem is synonymous with perfect  
22 containment from a geologic formation.

23 We may, as an industry, have made a serious error  
24 in doing that. That's the only point. They are not the  
25 same. They are only the same because we've regulated them

1 to be the same. And I think as scientists and engineers, we  
2 have to keep reminding ourselves of the obligation we have  
3 of being able to cope with the fundamental issue, and the  
4 issue is more fundamental than geologic isolation.

5 MR. STEINDLER: I would expect that the National  
6 Academy charter is a little more narrow than that because it  
7 is constrained by the Energy Policy Act.

8 MR. GARRICK: This Committee's charter is  
9 certainly more narrow than that, but the Board on  
10 Radioactive Waste Management's charter is not more narrow  
11 than that.

12 MR. HATCHER: Regarding the questions that come up  
13 related to the long-term containment, when you look at the  
14 site in which the repository is located, the phenomena there  
15 operate not on a scale of 10,000 year increments so much as  
16 hundreds of thousands to millions of years. That is one of  
17 the things that I think many of us, including geologists,  
18 have difficulty in reconciling.

19 We are talking about something that has to be  
20 contained for a relatively short period of time  
21 geologically, and yet we are looking for a single event or a  
22 series of events that might breach the repository during  
23 that time. This is a question of probabilities too, whether  
24 we can say that within that time we are not going to have a  
25 disastrous event, a volcanic event, a change in the

1 hydrology, a series or a single large earthquake that might  
2 breach the repository.

3 I think that we have to look at it not in terms of  
4 the way we look at most geologic processes over a long  
5 period of time but in terms of small, catastrophic events  
6 and to evaluate it that way. This is why it becomes a  
7 question of defining the system as closely as possible and  
8 then looking at the probabilistic effects on it.

9 MR. GARRICK: Bill, I'm certainly a supporter of  
10 the defense in depth concept. It's a sound concept and it  
11 needs to be a part of our thinking and planning.

12 MR. HINZE: Let me also say that I'm very much a  
13 supporter of enhancing the engineered barrier. From word  
14 one, I think that is going to be an important thing in terms  
15 of the public perception of what is going on.

16 MR. STEINDLER: Let me recommend the following  
17 approach to our as yet unstructured agenda. I think it  
18 would be useful with the recorder functioning to comment on  
19 what we heard this morning, as is, I think, our somewhat  
20 usual procedure; to comment on what we heard this morning  
21 from the tectonic folks.

22 After that, my reading of the agenda is that we  
23 don't need to have our discussions recorded. Then we can  
24 declare a short recess and pick up basically the rest of the  
25 agenda, move into letter writing and plan out what is going

1 to happen in June, if we could only figure out where we are  
2 going to meet.

3 I realize I caught you short on the issue of  
4 tectonics. Would you want to make a few comments on what  
5 you heard this morning?

6 MR. HINZE: I gather you are looking at me.

7 MR. STEINDLER: Why not?

8 MR. POMEROY: From my perspective, I would like to  
9 at least think about what my notes say overnight.

10 MR. STEINDLER: You want to do that tomorrow.

11 Okay.

12 MR. HINZE: I would prefer that, because I am  
13 trying to reflect upon everything that we heard. I  
14 certainly have some feelings about what we heard. The  
15 problem here is that Bob will not be here tomorrow. Bob is  
16 our consultant in this area. I've asked Bob to give us some  
17 written comments, not over ten single-spaced pages. Perhaps  
18 Bob could give us a few of his reflections in terms of the  
19 constraints that we put upon the presentations.

20 MR. HATCHER: I could be here if you need me.  
21 I'll be in town. I will be happy to give you some of my  
22 comments from this morning now.

23 MR. STEINDLER: Sure.

24 MR. HATCHER: First of all, I think there may be  
25 some inconsistencies -- not incorrect things -- in the way

1 the KTUs have been identified and listed. The things that  
2 enter into that statement are this lack of correlation  
3 between earthquakes and surface or prehistoric but yet  
4 fairly recent faulting.

5 Also, as I said in a comment this morning, I think  
6 that the study of faulting in alluvium is likely to yield  
7 less than trying to understand fault mechanisms,  
8 displacements, or whatever in bedrock, because that is where  
9 the repository is to be located; that's where the energy is  
10 to be expended if there is a major earthquake in the area.

11 On the other hand, the study of faulting in  
12 alluvium will provide information on timing probably better  
13 than anything else. That's what Keith said in response, and  
14 he is correct about that.

15 Another concern related to what I've just said is  
16 this business of identification of blind earthquake sources.  
17 This is a major problem, I think. I commented on that  
18 earlier.

19 The earthquake they had this year in north LA was  
20 on a blind source, but yet that was imaged with seismic  
21 reflection data. They knew the faults were there; they knew  
22 the shape of the faults; they knew where they were; they  
23 just didn't know that particular one was active. We knew a  
24 lot about those faults already. We can pinpoint them. Once  
25 they had the earthquake, they knew exactly what fault it was

1 on. There are situations where that might not be the case  
2 in the Yucca Mountain area.

3 Again, this is not to raise a flag but simply to  
4 raise a question and to raise a point about the difficulty  
5 in defining or characterizing some of these things,  
6 particularly if you are trying to, for example, image  
7 shallow potential earthquake sources using something like  
8 seismic reflections through some of the alluvium there.  
9 That is a very difficult thing to do.

10 MR. HINZE: The difficulty made me grimace,  
11 because you and I have gone through that before.

12 MR. HATCHER: A second comment relates to the  
13 question I asked Brian Wernicke about the speculation that  
14 has occurred since the Landers earthquake sequence, about  
15 the potential for the movement off the plate boundary  
16 inland. This is something that a number of seismologists  
17 have argued since the earthquake. Again, it is something  
18 that is out there; it's an idea; it's a hypothesis yet  
19 unproved. Brian answered that correctly by saying, well,  
20 all we can do at this point is wait and see where the next  
21 earthquakes occur.

22 There is something else that should be occurring,  
23 though, in my opinion. If the plate boundary is going to  
24 move, there should be orders of magnitude increase in micro-  
25 seismicity inland, orders of magnitude decrease in micro-

1 seismicity and other larger events on the San Andreas  
2 system. Of course, within hundreds of years we still won't  
3 know the answer to that probably. It will take thousands,  
4 perhaps even longer, longer than the life of the repository  
5 to figure that out.

6 MR. HINZE: A good place to put a strain meter.

7 MR. HATCHER: Right.

8 There was a third comment that I didn't get to  
9 make this morning. I had a really interesting question for  
10 Brian because of his knowledge and ideas regarding the  
11 structure of the basin and range. He has talked before  
12 about a major step in the base of the crust across the Las  
13 Vegas shear zone, across the Walker lane. The crust gets  
14 thicker south of there, as I recall, thinner to the north  
15 because the amount of extension is greater to the north than  
16 to the south.

17 My question was, what is the potential  
18 relationship between that and the cessation of volcanic  
19 activity in the Yucca Mountain area over Crater Flat?  
20 Hundreds of thousands of years ago apparently Crater Flat  
21 volcanic activity decreased and stopped. What would be the  
22 relationship if there were additional extension to the south  
23 along the major detachment system in there and the re-  
24 initiation of volcanic activity? That was a question I  
25 wanted to ask him and he escaped before I could do that.



1           Those are my main comments from this morning that  
2 I have thought of so far. Some of these things would relate  
3 to the integrity and life of the repository, I think.

4           MR. STEINDLER: That's fine. We will reserve the  
5 rest of the comments until tomorrow and call on Bill and  
6 Paul and John then.

7           My recommendation is that we close the recorded  
8 part of the meeting. I don't see any reason to continue  
9 recording. We will take a three minute break and then  
10 continue on the agenda.

11           [Whereupon at 3:25 p.m. the recorded portion of  
12 the meeting was concluded.]

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REPORTER'S CERTIFICATE

This is to certify that the attached proceedings before the United States Nuclear Regulatory Commission in the matter of:

NAME OF PROCEEDING: 64th ACNW Meeting

DOCKET NUMBER:

PLACE OF PROCEEDING: Bethesda, MD

were held as herein appears, and that this is the original transcript thereof for the file of the United States Nuclear Regulatory Commission taken by me and thereafter reduced to typewriting by me or under the direction of the court reporting company, and that the transcript is a true and accurate record of the foregoing proceedings.

*Michael Paulus*  
\_\_\_\_\_  
Official Reporter  
Ann Riley & Associates, Ltd.

PRESENTATION TO THE ADVISORY COMMITTEE  
ON NUCLEAR WASTE



DIVISION OF WASTE MANAGEMENT ACTIVITIES IN THE TOPICAL  
AREA OF TECTONICS

## PRESENTER INFORMATION

DWM Activities Related  
to Tectonics

Keith I. McConnell, Section  
Leader  
Geosciences and Geotechnical  
Engineering Section  
Engineering and Geosciences  
Branch, DWM  
(415-7289)

# OBJECTIVES OF PRESENTATION

- Demonstrate that a framework exists for licensing needs to drive technical assistance and research.
- Demonstrate that there is a method of prioritization of technical assistance and research.
- Demonstrate that technical assistance and research activities provide timely and valuable information to address licensing needs and issues.
- Demonstrate that tectonics technical assistance and research is integrated with other disciplines and performance assessment.

# OUTLINE OF PRESENTATION

- License Application Review Plan Activities  
Status of LARP Development in Tectonics  
Identification of Key Technical Uncertainties (KTUs)
- User Needs
- CNWRA Technical Assistance for DWM  
Reactive Activities:  
Proactive Activities:  
SEISM1 Code  
Tectonic Modelling and Data Analysis

# LICENSE APPLICATION REVIEW PLAN STATUS OF LARP DEVELOPMENT

- Compliance Determination Strategies for the Potentially Adverse Conditions (PAC) related to structural deformation and seismicity completed in LARP Rev. 0
- Compliance Determination Methods for PACs scheduled to be developed in FY95 - FY98.
- Existing CDSs do not address probability of structural deformation in the future or consequences of an event. This will be the subject of other review plans.

## LICENSE APPLICATION REVIEW PLANS STATUS OF DEVELOPMENT (CONT.)

- Structural deformation and seismicity and the projection of those processes will be components of many review plans including the Geologic System Description, other PAC and FAC review plans, design and performance review plans.
- Additional Key Technical Uncertainties related to structural deformation and seismicity will be developed under these additional review plans. Many of these uncertainties may require the development of independent review capabilities including research.



# LICENSE APPLICATION REVIEW PLAN

## IDENTIFICATION OF KTUs

- Evaluation of faulting mechanisms in alluvium (Type V)
- Development and use of conceptual tectonic models as related to structural deformation (Type V)
- The inability to predict the likelihood of earthquake occurrence during the next 10,000 years (Type IV)
- Correlation of earthquakes with tectonic features (Type V)
- The cause of the large hydraulic gradient located north of Yucca Mountain, and the potential for tectonic disruption... (Type IV)

# LICENSE APPLICATION REVIEW PLAN

## IDENTIFICATION OF KTUs (cont.)

- Poor resolution of critical exploration methods and uncertainty in interpretation and modelling of techniques available to detect and investigate structural features in the subsurface (Type IV)
- Paleofaulting data indicates that seismic activity has migrated randomly from one major range front fault system to another (Type V)

## CNWRA TECHNICAL ASSISTANCE FOR DWM (Reactive)

- Reviews of DOE study plans and topical reports.
- Support at NRC/DOE site visits and technical exchanges, NWTRB meetings, and ACNW meetings.

## User Needs

### Seismicity and Structural Deformation

- Address the presence of PACs related to seismicity and structural deformation, but do not address the likelihood of future events, and possible consequences.
- Were developed prior to identification of KTUs
- Address issues in existing KTUs
- Will be modified following identification of all KTUs related to seismicity and structural deformation.

# User Needs

## Seismicity and Structural Deformation

- 606 - Evaluation of the appropriateness, precision, and accuracy of probabilistic seismic hazard analysis for long term predictions.
- 607 - Evaluation of distributive faulting characteristics of the Basin and Range.
- 608 - Evaluation of fault segmentation characteristics in the Basin and Range.
- 609 - Evaluation of fault displacement and basaltic volcanism as contemporary events.
- 610 - Evaluation of fault mechanisms in alluvium.
- 611 - Evaluation of Quaternary strain rate estimates.
- 612 - Modelling of fault activity using computer-aided techniques.

# CNWRA TECHNICAL ASSISTANCE FOR DWM (Proactive)

- SEISM1 Code

LLNL code developed for siting Nuclear Power Stations in the eastern U.S.

CNWRA modifying code for use in the western U.S. and in particular the Yucca Mountain site.

Attenuation functions for western U.S. have been added to the code and it has been run on CNWRA computers.

CNWRA will provide the results of a test run of SEISM1 to the staff on 8/31/94

# CNWRA TECHNICAL ASSISTANCE FOR DWM (Non-Reactive) cont.

- Tectonic Modelling and Data Analysis:

Geometric modelling to continue in response to DOE data generation.

Computer simulation of faulting within the repository block and coupling of processes (e.g., faulting volcanism).

3D graphical visualization of tectonic processes to permit a better conceptual understanding of tectonic processes to be used in PA.

# Flowdown Related to Structural Def. PAC DWM/RES/CNWRA Activities



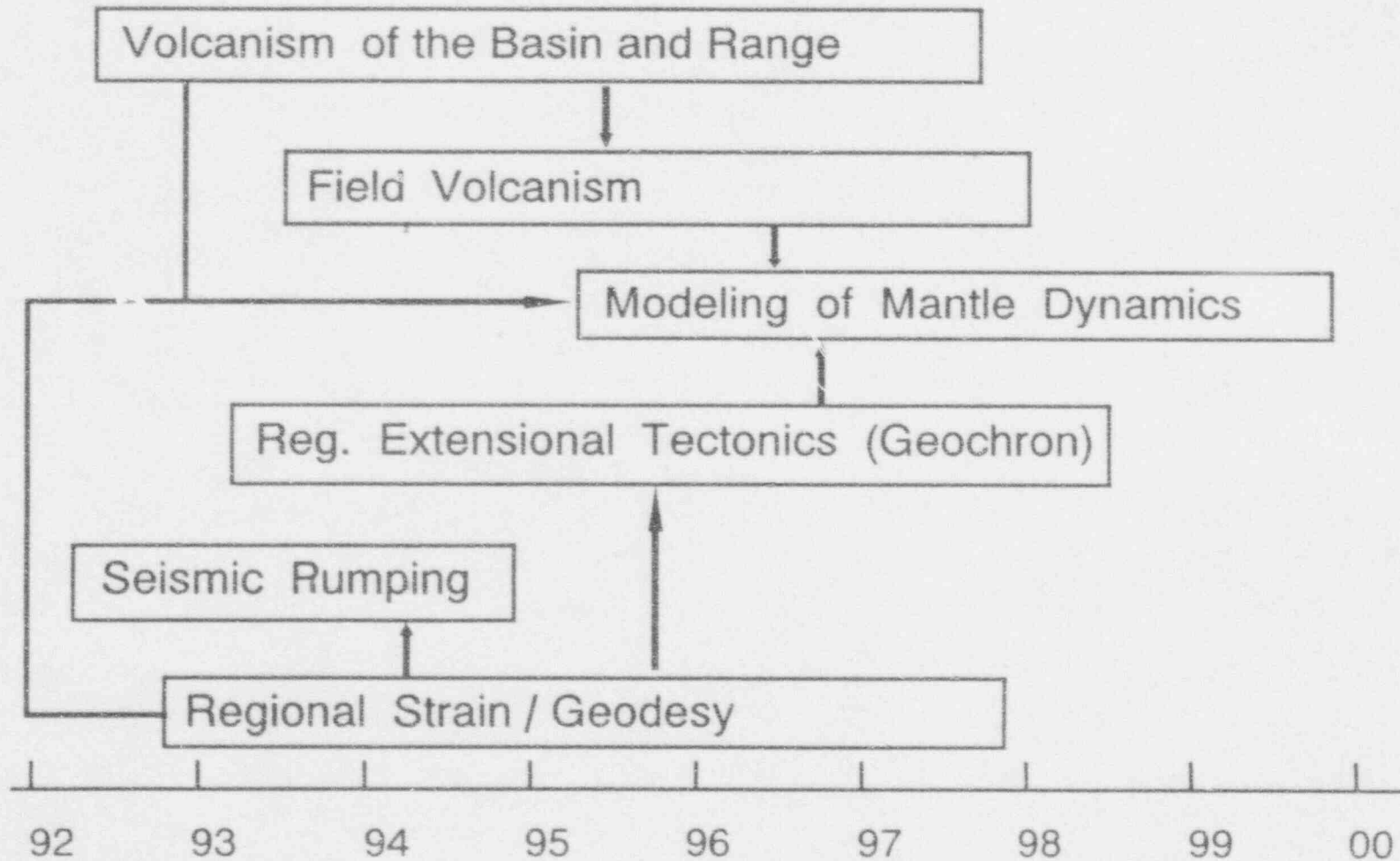


**NRC RESEARCH PROGRAM ON TECTONIC PROCESSES**



**Presented to the ACNW on May 17, 1994  
BY William R. Ott (301-492-3882)  
Waste Management Branch  
Office of Research  
US Nuclear Regulatory Commission**

# GEOLOGY RESEARCH



## Statement of Work for Regional Tectonics

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SOW for Regional Tectonics transmitted to CNWRA 10/19/92

- Reference to all 6 User Needs
- Refers to Wood and Wernicke projects as providing data
- Assigned integrating role to CNWRA
- Specifies P.A. capability as an objective

## Task Structure

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Task 1, 2, and 3 Provide tectonic portion of:

Literature Review,  
Data Compilation,  
Critical Review of Data

Critical Review - emphasis on:

Integrating models of seismicity with models of geological structure.

Modeling of faulting and deformation

Modeling seismic hazard and regional tectonic processes

# Task Structure

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## Task 4: Field Studies

Establish Cenozoic strain rates in the Basin and Range as they relate to Yucca Mountain

Test and confirm models of Cenozoic tectonic evolution of the Basin and Range Province

## Task 5: Geochronology

Literature Review of methods

Study Plan to assess reliability of radiometric and other age determination techniques (Black Mountains Field Site)

# Task Structure

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## Task 6:

Assessment of Data

Development of alternative conceptual models of tectonic processes

## Seismic Pumping

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Proposal Submitted by J. Wood, Michigan Technological University  
(FY 92)

Submitted around time of "Szymanski Report"

Limited scope to examine evidence of seismically induced movement  
of water

- at Elk Hills, California
- at Salton Sea site in California

# Seismic Pumping

---

## Results

Developed approach for assessing origin of veins and cements  
(Calcite and Opal veins)

Use of C and O isotope ratios in cements and fluid inclusions

Concluded veins (Elk Hills) formed as result of seismic event(s)  
from a narrow window in time

Applied to Yucca Mountain - Isotopes would be considered  
thermogenic - taken alone are insufficient evidence



# Contemporaneous Deformation in Death Valley Region

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Submitted by B. Wernicke, Harvard University/Cal Tech

Limited scope to make GPS measurements

Directly responsive to User Need on strain rates

Has involved CNWRA and NRC staff on field trips

Wernicke is a consultant to the CNWRA

## CONCLUSIONS

1. Tectonics Program is strongly tied to KTU's and User Needs.
2. State of the art literature surveys provide firm technical basis for KTU's.
3. Timeliness of research efforts assist in preparation of the LARP and in pre-licensing and licensing reviews
4. Confirmatory databases and models are being established for state of the art compliance reviews of DOE analyses and models.

5. Well-integrated alternative conceptual models (coupled tectonic-seismic-volcanic) are under development for evaluation of DOE models.
6. Tectonic research activities are well integrated with other research projects and technical assistance activities.

# **OVERVIEW OF CNWRA EFFORT IN TECTONICS AND SEISMOLOGY**

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**Keith McConnell (NMSS)  
George Birchard (RES)**

**Presented by:**

**H. Lawrence McKague  
Stephen R. Young  
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6220 Culebra Road  
San Antonio, Texas 78238-5166**

**Investigators:**

**Stephen R. Young, David A. Ferrill, Charles B. Connor  
Ronald H. Martin, Renner B. Hofmann, and Gerry L. Stirewalt**

**CNWRA CONDUCTS TECTONIC, VOLCANIC, AND SEISMIC  
INVESTIGATIONS FOR BOTH NMSS AND  
RESEARCH OFFICES**

DISCIPLINE	NMSS	RESEARCH
	Technical Assistance: Investigative Issues Related to Geology/ Geophysics	Specific Research Projects
Volcanology	Magmatic Modeling & Data Analysis	Volcanic Systems of the Basin & Range Field Volcanism
Seismology	Seismic Modeling & Data Analysis	—
Tectonics	Tectonic Modeling & Data Analysis	Tectonic Processes in the Central Basin & Range

# NMSS TECHNICAL ASSISTANCE WORK IN VOLCANISM

## UNCERTAINTY IN THE USE OF PROBABILISTIC MODELS FOR VOLCANIC DISRUPTION

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### REGULATORY BASIS

- Evidence of Igneous Activity in the Quaternary — 10 CFR 60.122(c)(15) [LARP 3.2.1.9]

### OBJECTIVE

Development of methods for the assessment of uncertainty in the application of statistical models to the probability of volcanic disruption of the candidate repository. This will include:

- Development of methods for establishing the limits and uncertainty of application of probability models to volcanism in the Yucca Mountain Region.
- Development of methods for testing of probability models through application in other regions.

### KEY TECHNICAL UNCERTAINTY

- 3.2.1.9 — Evidence of Igneous Activity
  - Large uncertainties exist in probability models of volcanic disruption because of the inability to sample igneous features as a result of depth of burial or removal by erosion.

# **NMSS TECHNICAL ASSISTANCE WORK IN SEISMOLOGY**

## **ADAPTATION OF THE SEISM 1 CODE FOR USE IN THE WESTERN U.S. FOR PROBABILISTIC SEISMIC AND FAULT OFFSET HAZARD ANALYSIS**

---

### **REGULATORY BASIS**

**Performance of the Geologic Repository Operations Area Through Permanent Closure  
10 CFR 60.111 [LARP 4.5.1]**

**General Design Criteria for the Geologic Repository Operations Area — 10 CFR 60.13  
[LARP 4.2]**

### **OBJECTIVES**

**Provide a tool (computer program) which may be used by NRC staff to evaluate seismic and fault offset probabilities, and their uncertainties, provided by DOE or proposed during the hearing process.**

# **NMSS TECHNICAL ASSISTANCE WORK IN SEISMOLOGY**

## **ADAPTATION OF THE SEISM 1 CODE FOR USE IN THE WESTERN U.S. FOR PROBABILISTIC SEISMIC AND FAULT OFFSET HAZARD ANALYSIS**

---

### **KEY TECHNICAL UNCERTAINTY**

Modification of the SEISM 1 code for application to the Yucca Mountain area falls under the general KTUs addressing the prediction of future system states and variability in model parametric values [LARP 6.1, 6.2].

### **RECENT ACCOMPLISHMENTS**

- A successful seismic hazard computation using data published for the Yucca Mountain area.
- Preparation of a report summarizing progress to date.
- Success in calculating fault offset probability for the Solitario Canyon Fault.



**NMSS TECHNICAL ASSISTANCE WORK IN TECTONICS**  
**FINITE ELEMENT SIMULATION OF TECTONIC DEFORMATION**  
**AT YUCCA MOUNTAIN**

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**REGULATORY BASIS**

**Structural Deformation — 10 CFR 60.122(c)(11) [LARP 3.2.1.5]**

**Correlation of Earthquakes with Tectonic Processes — 10 CFR 60.122(c)(13) [LARP 3.2.1.7]**

**OBJECTIVES**

- **Establish a credible mechanical basis for discrimination between alternative models of faulting.**
- **Estimate the effects on the Ghost Dance fault of primary coseismic slip on main bounding faults (Paintbrush-Stagecoach and Bow Ridge).**
- **Produce 3-dimensional models of faults at Yucca Mountain — required to better estimate magnitude and direction of fault slip.**

**NMSS TECHNICAL ASSISTANCE WORK IN TECTONICS**  
**FINITE ELEMENT SIMULATION OF TECTONIC DEFORMATION**  
**AT YUCCA MOUNTAIN**

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**KEY TECHNICAL UNCERTAINTIES**

Poor resolution of critical exploration methods and uncertainty in interpretation and modeling techniques available to detect and investigate structural geologic features in the subsurface. [LARP 3.2.1.5]

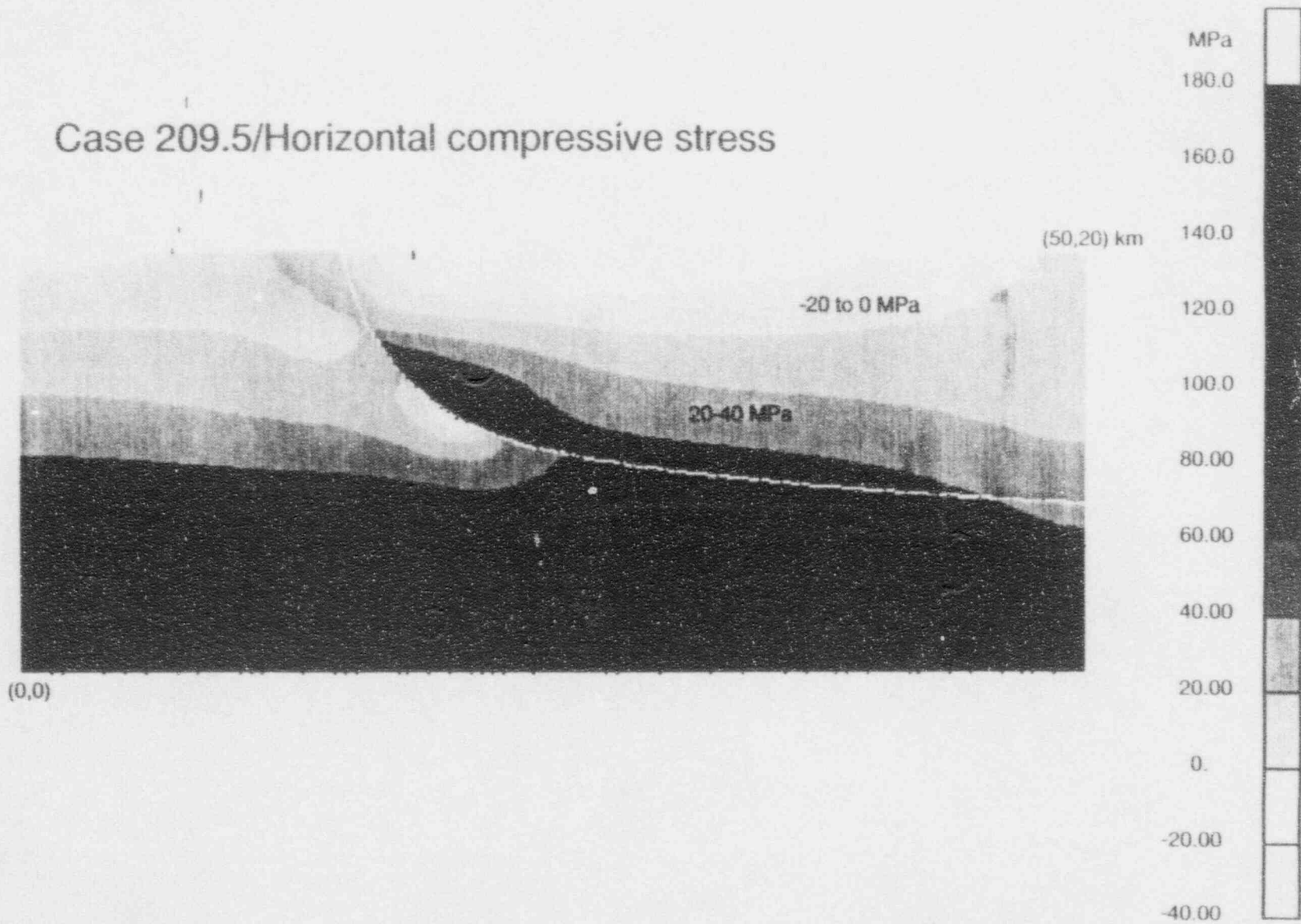
The relationship of conceptual tectonic models to related structural deformation. [LARP 3.2.1.5]

Correlation of earthquakes with tectonic processes and features. [LARP 3.2.1.7, 3.2.1.8]

**RECENT ACCOMPLISHMENTS**

- Initial and boundary conditions have been estimated and a simple set of geometries have been run. Results indicate additional adjustments in boundary parameters must be made.

# Case 209.5/Horizontal compressive stress



**NMSS TECHNICAL ASSISTANCE WORK IN TECTONICS**  
**3-DIMENSIONAL STRUCTURAL/STRATIGRAPHIC MODEL OF**  
**YUCCA MOUNTAIN**

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**REGULATORY BASIS**

**Overall System Performance Objective for Geologic Repository After Permanent Closure  
— 10 CFR 60.112 [LARP 6.0]**

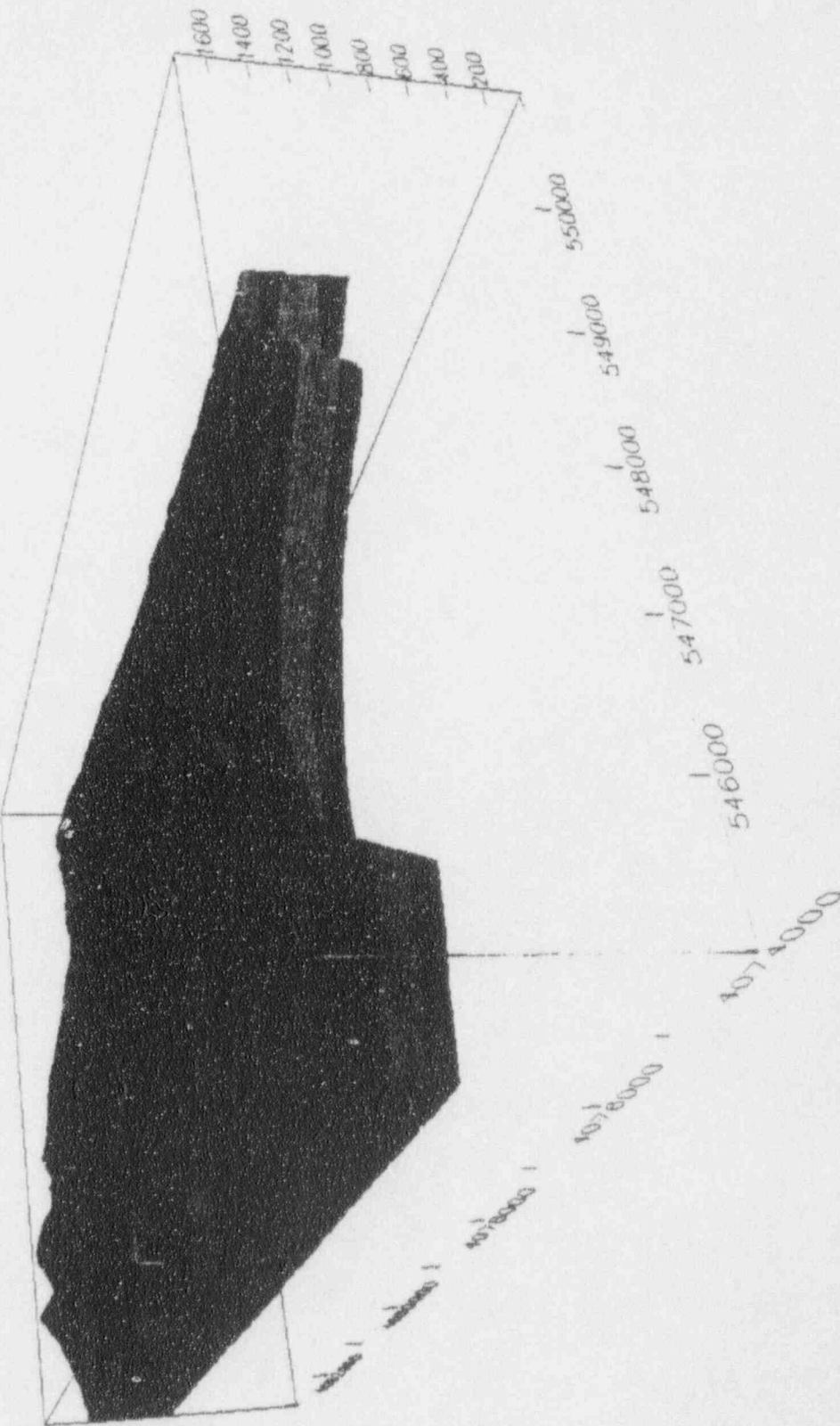
**OBJECTIVES**

**Produce an integrated model of structure, stratigraphy, and rock properties**

**KEY TECHNICAL UNCERTAINTIES**

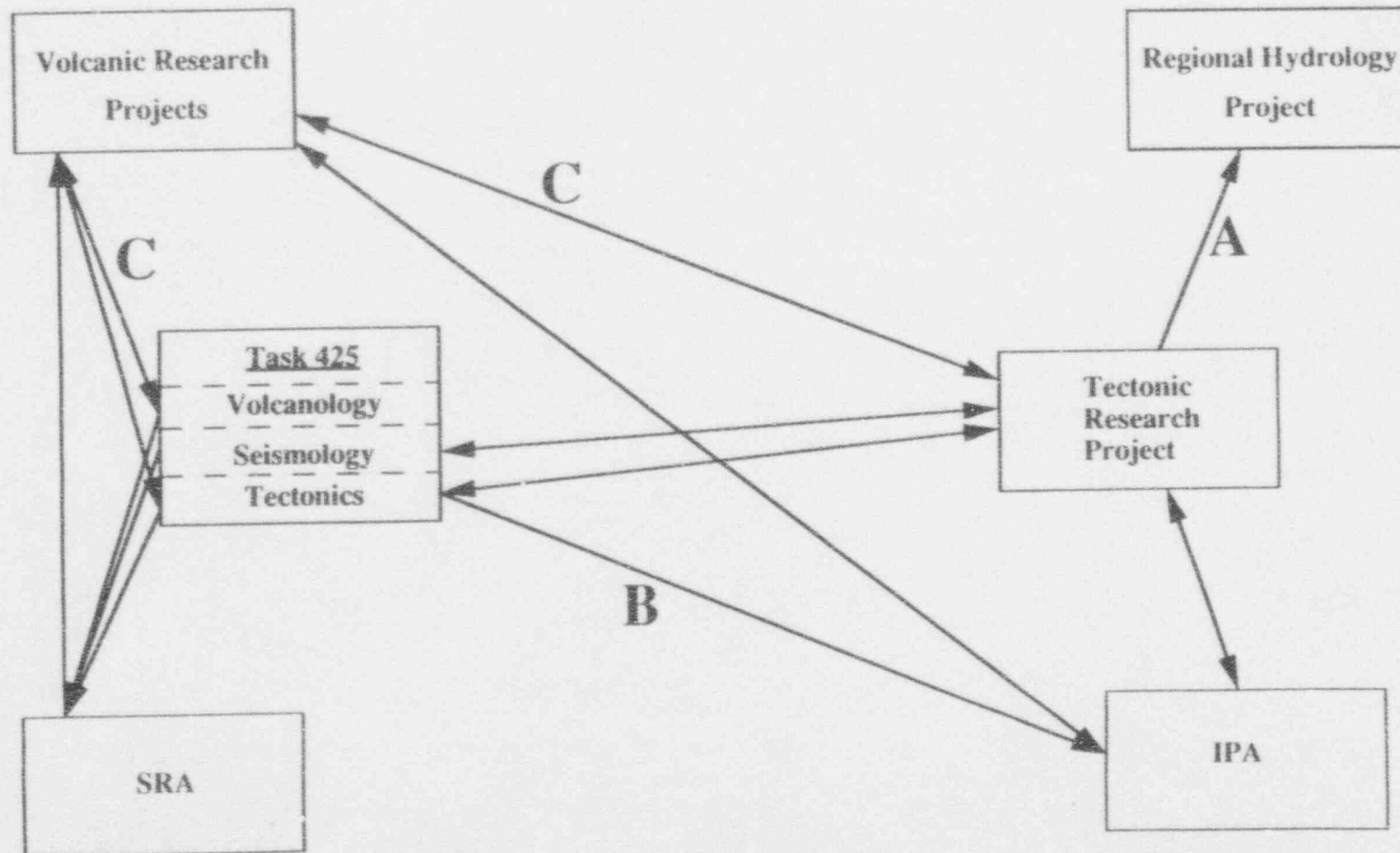
**Development and use tectonic models to represent future structural deformation  
[LARP 3.2.1.5]**

**Development and use of tectonic models as related to igneous activity [LARP 3.2.1.9]**



# GEOLOGIC SETTING ACTIVITIES ARE INTEGRATED AND INTERACT WITH SEVERAL RESEARCH AND TECHNICAL ASSISTANCE TASKS

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# INTEGRATION OF THE TECTONIC AND REGIONAL HYDROLOGY PROJECTS OCCURS IN THREE AREAS

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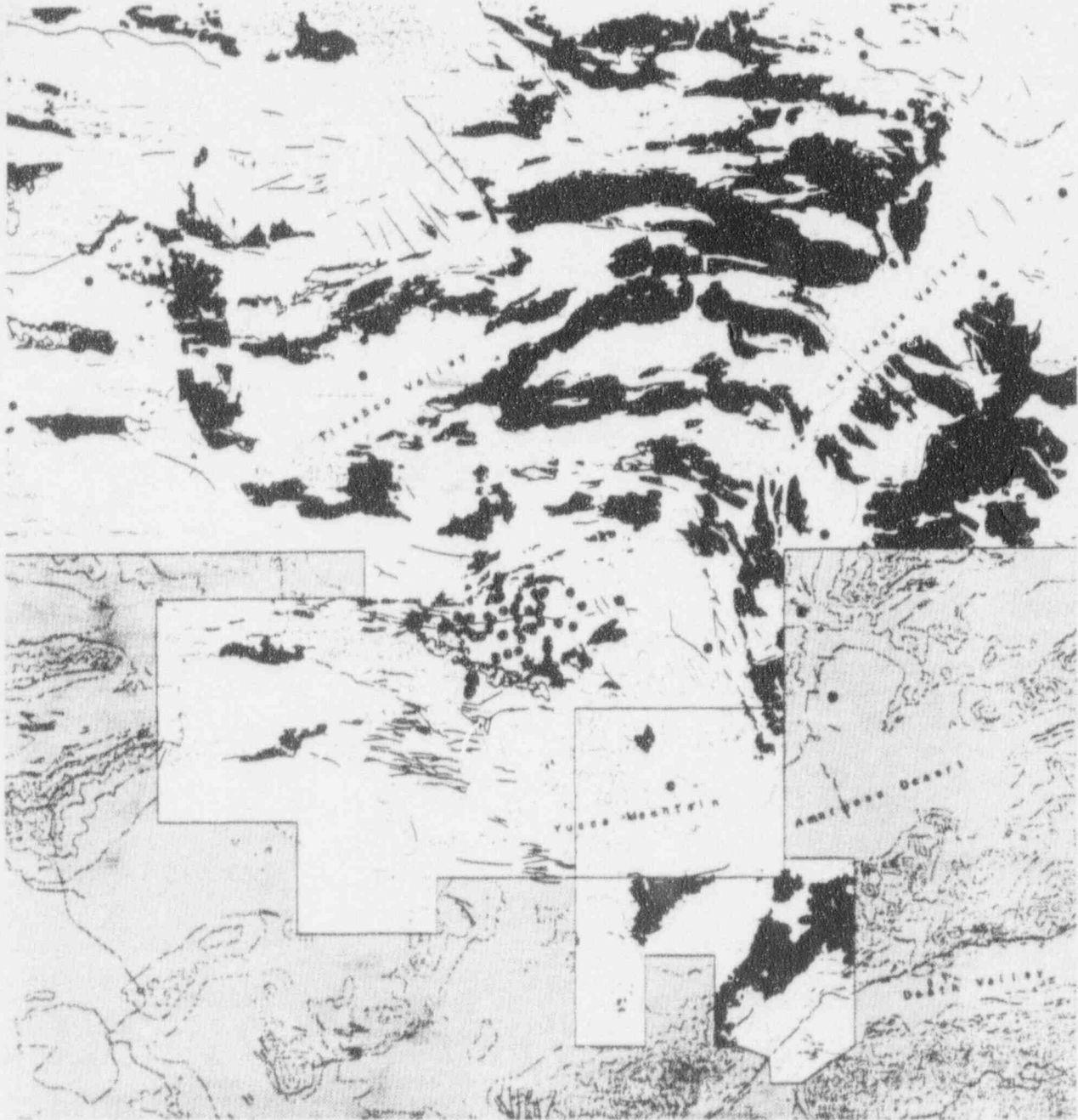
## OBJECTIVE

To support the regional hydrology project in the development of a better regional hydrologic flow model.

## RECENT ACCOMPLISHMENTS

- In order to constrain a regional 3-dimensional hydrologic flow model the distribution of the regional aquifers and aquitards is being developed from surface and subsurface geologic data.
- *In Situ* stress data is being collected as input to the regional flow models, as well as regional tectonic models
- Regional geologic cross sections are being constructed which will aid in the development of a regional hydrologic flow model.

# Hydrogeologic Map of Regional Aquifer System

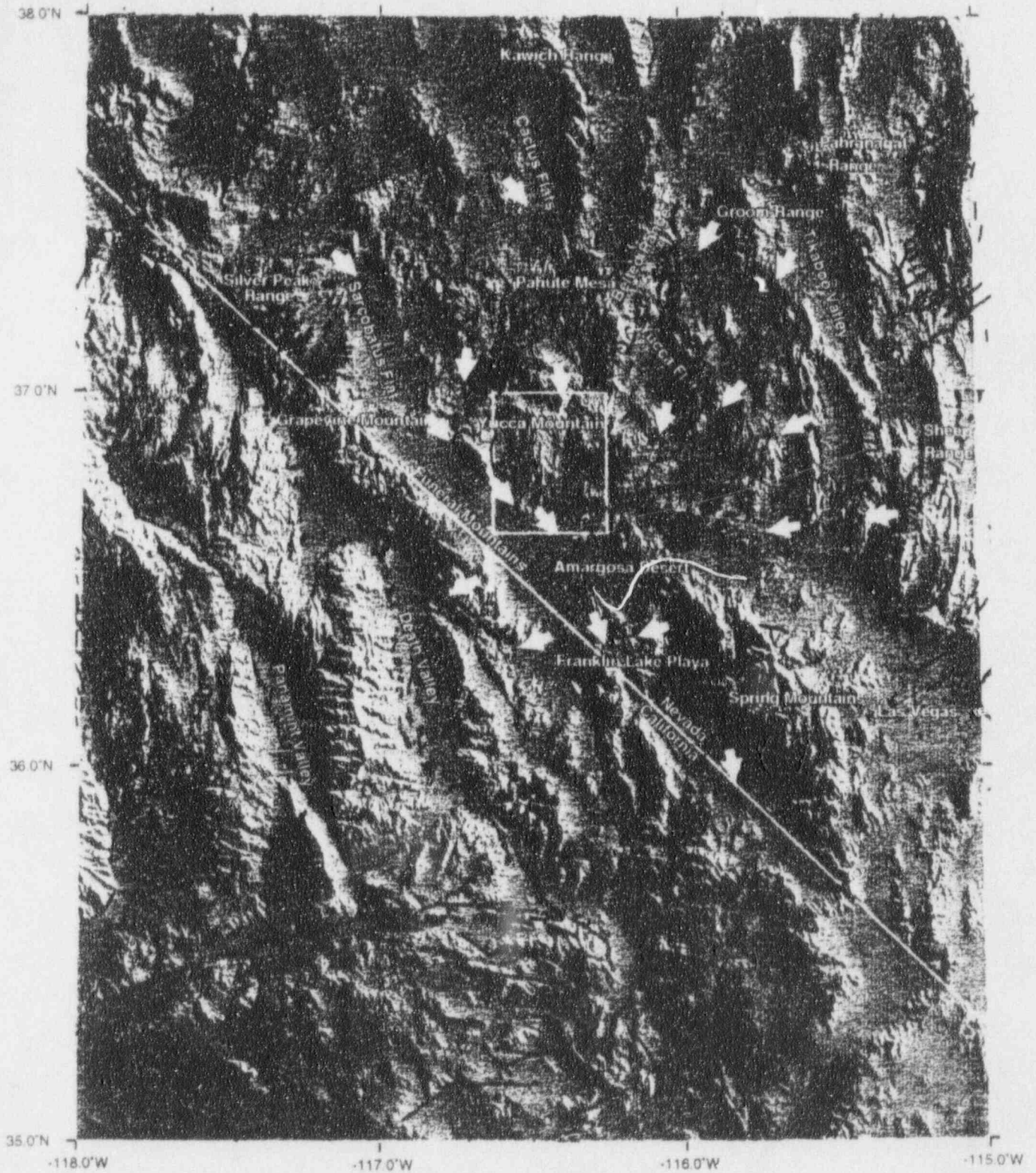


- Upper Carbonate Aquifer
- Upper Aquifer
- Lower Carbonate Aquifer
- Lower Clastic Aquifer
- Undifferentiated Paleozoic Carbonates
- Alphas Not Completed at Present
- Other Units
- Tectographic Contours
- Faults
- Ground Water Unit Boundaries
- Wells that Penetrate the Paleozoic Units
- Water Wells
- Oil & Gas Wells





# REGIONAL GROUND WATER FLOW and MAXIMUM HORIZONTAL STRESS



Produced by Southwest Research Institute, D. Fambé, G. Wittmeyer, S. Young, B. Henderson, R. Martin, and R. Kier (5/12/94). Faults traces are from Donnerwend (1982), Donnerwend and Moring (1991 a,b,c) and Donnerwend et al. (1991 a-b) in Nevada; Nevada, and Frizzell and Shulters (1990) in Yucca Mountain area. Horizontal stress data are from USGS World Stress Map, M. L. Zoback (1992) inferred from:

- Focal mechanism
- Wellbore breakouts
- Fault slip data
- Volcanic alignments
- Hydraulic fracturing
- Overriding

- Quarries
- Stress orientation within 10-15
  - Stress orientation within 15-20
  - Stress orientation within 20-25

Scale 100 km

- Normal faulting stress regime
- Strike-slip faulting stress regime
- Thrust faulting stress regime
- Stress regime

## **MODELS AND EXPERIENCE DEVELOPED IN TECHNICAL ASSISTANCE TASKS ARE BEING TRANSFERRED TO IPA**

---

### **OBJECTIVE**

- **To provide a simplified hydrostratigraphic model of Yucca Mountain for Iterative Performance Assessment (IPA).**

### **RECENT ACCOMPLISHMENTS**

- **Met with IPA staff to discuss needs.**
- **Interacted with hydrologist to identify key parameters needed in hydrostratigraphic model.**
- **The 3-dimensional structural/stratigraphic model of Yucca Mountain is being modified for use by IPA.**
- **Have started to construct model with faults, key stratigraphic units, porosity, and saturated hydraulic conductivity.**

## **MODELS AND EXPERIENCE DEVELOPED IN TECHNICAL ASSISTANCE TASKS ARE BEING TRANSFERRED TO IPA**

---

### **OBJECTIVE**

- **To implement a probabilistic fault displacement model for utilization in IPA Phase 3.**

### **RECENT ACCOMPLISHMENTS**

- **Completed a review of an EPRI methodology for preliminary Risk/PA Analysis of primary and secondary faulting at Yucca Mountain.**
- **Proposed a strategy which will use existing Yucca Mountain field data to predict the expected number of canister failures due to fault displacement.**

# **THE TECTONICS RESEARCH PROJECT AND THE VOLCANIC RESEARCH PROJECTS HAVE SEVERAL AREAS OF MUTUAL INTEREST**

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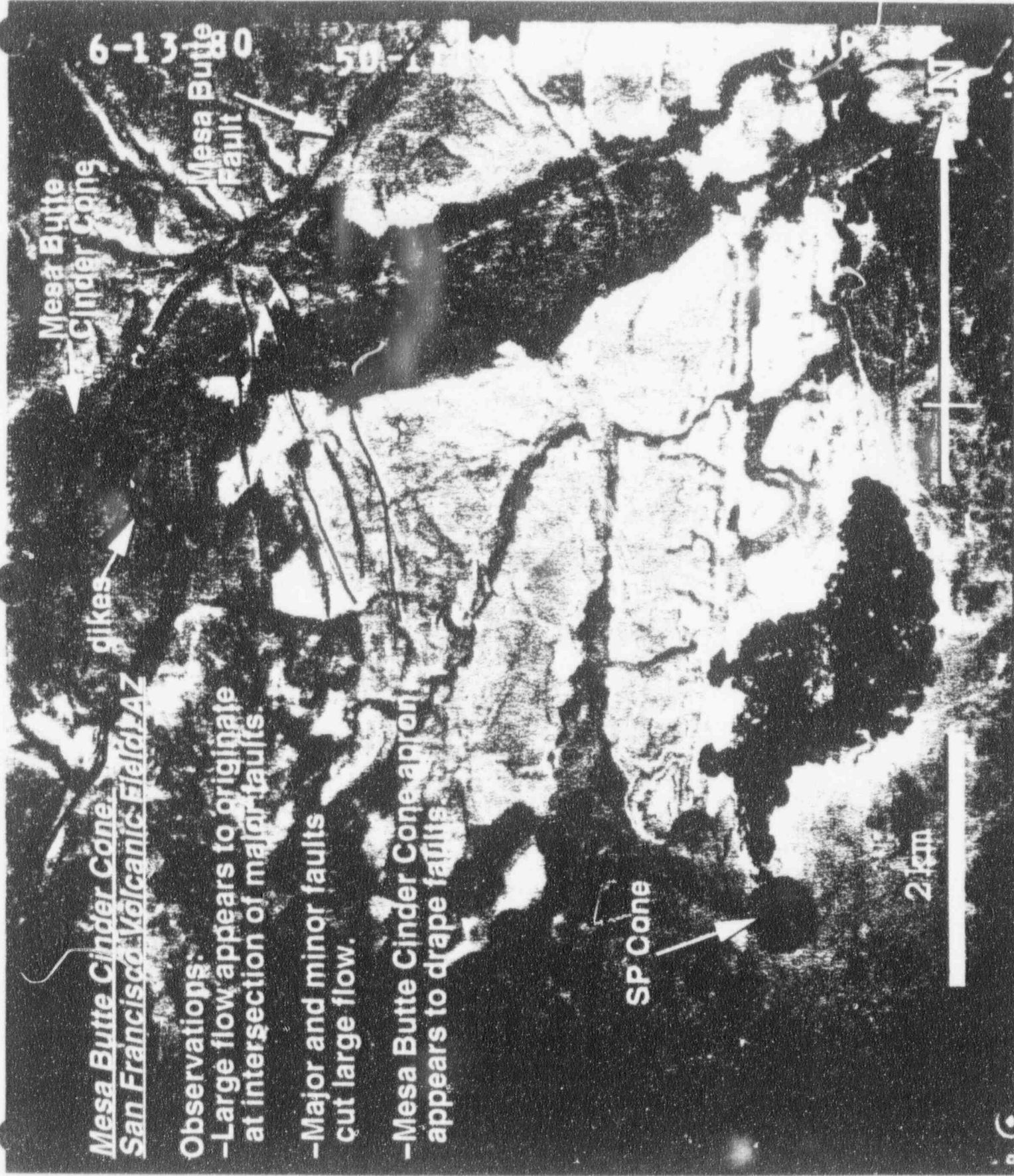
## **OBJECTIVE**

- **To develop a conceptual tectonic model(s) that accounts for both structural and volcanic phenomenology**
- **Data in the GIS database is applicable to problems in both projects**
  - **Fault Maps**
    - **Development of conceptual structural models**
    - **Control of volcanism**
- **Potential interaction of dikes and faults are of interest to both research projects**
  - **Control of volcanism and effects on probability of volcanism is of interest to volcanologists**
  - **Intrusion of magma along faults is a potential aseismic deformation mechanism**

Mesa Butte Cinder Cone,  
San Francisco Volcanic Field, AZ

**Observations:**

- Large flow appears to originate at intersection of major faults.
- Major and minor faults cut large flow.
- Mesa Butte Cinder Cone apron appears to drape faults.



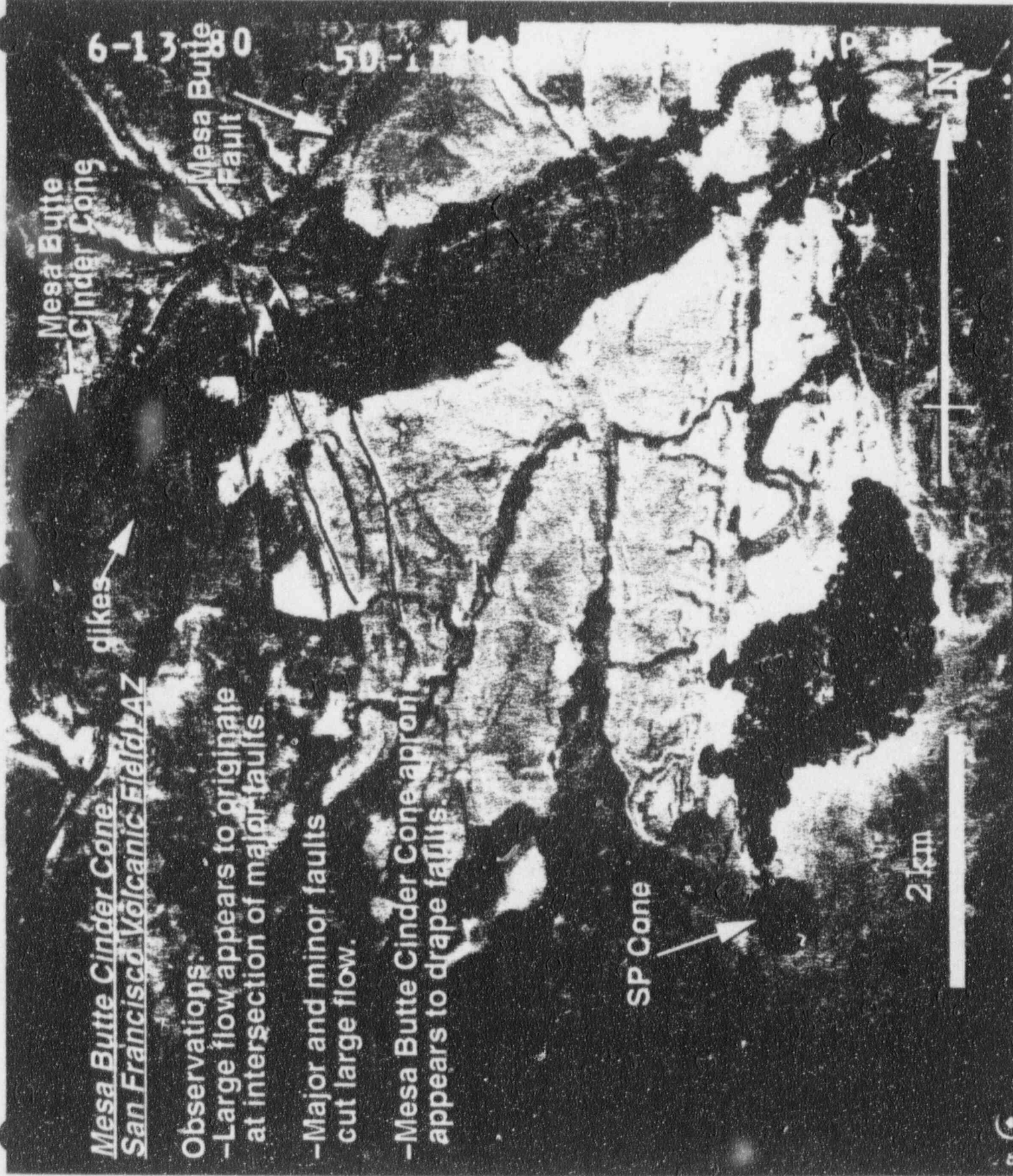
2 km

N

Mesa Butte Cinder Cone,  
San Francisco Volcanic Field, AZ

**Observations:**

- Large flow appears to originate at intersection of major faults.
- Major and minor faults cut large flow.
- Mesa Butte Cinder Cone apron appears to drape faults.



# THE TECTONICS RESEARCH PROJECT AND THE VOLCANIC RESEARCH PROJECTS HAVE SEVERAL AREAS OF MUTUAL INTEREST

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## RECENT ACCOMPLISHMENTS

- The technical assistant project used DYNA 3-D to model the interaction of a dike-like magma body at depths of 1000 m and 300 m, with a 80° dipping fault
  - Preliminary results indicate, under the conditions modeled, faults can exert some control of dike emplacement at depths shallower than 1 km and at steep dips
  
- In the Volcanic Systems of the Basin and Range Research Project a simple 2-D stress model was used to calculate the interaction of an upward moving magma, controlled by the least principal *in situ* stress and a preexisting zone of weakness (fault or joint). [McDuffie et al. (1994), Spring AGU Meeting]
  - Results indicate, under the conditions modeled, magma can travel along only very steeply dipping pre-existing zones at 10 km, while at depths of between 50 m and 640 m low angle zones also can be exploited.

# **TECTONIC PROCESSES OF THE CENTRAL BASIN AND RANGE RESEARCH PROJECT**

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**Presented by:  
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Southwest Research Institute  
6220 Culebra Road  
San Antonio, Texas 78238-5166  
(210) 522-5247**

**May 17, 1994**

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Ronald H. Martin, Brent Henderson, Kathy Spivey**

**CNWRA Project Manager: H. Lawrence McKague**



## **TECTONICS RESEARCH**

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### **REGULATORY BASIS**

**PERFORMANCE OF THE GEOLOGIC REPOSITORY OPERATIONS AREA THROUGH PERMANENT CLOSURE - 10 CFR 60.111 [LARP 4.5.1]**

**OVERALL SYSTEM PERFORMANCE OBJECTIVE FOR GEOLOGIC REPOSITORY AFTER PERMANENT CLOSURE - 10 CFR 60.112 [LARP 6.0]**

**PERFORMANCE OF PARTICULAR BARRIERS AFTER CLOSURE - 10 CFR 60.113 [LARP 3.3-1]**

**STRUCTURAL DEFORMATION AND GROUND WATER - 10 CFR 60.122 (c) (4) [LARP 3.2.2.8]**

**STRUCTURAL DEFORMATION - 10 CFR 60.122 (c) (11) [LARP 3.2.1.5]**

**HISTORIC EARTHQUAKES - 10 CFR 60.122 (c) (12) [LARP 3.2.1.6]**

**CORRELATION OF EARTHQUAKES WITH TECTONIC PROCESSES - 10 CFR 60.122 (c) (13) [LARP 3.2.1.7]**

**INCREASING EARTHQUAKE FREQUENCY/MAGNITUDE - 10 CFR 60.122 (c) (14) [LARP 3.2.1.8]**

**EVIDENCE OF IGNEOUS ACTIVITY - 10 CFR 60.122 (c) (15) [LARP 3.2.1.9]**

# TECTONICS RESEARCH

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## KEY TECHNICAL UNCERTAINTY TOPICS

### 3.2.1.5 STRUCTURAL DEFORMATION

Poor resolution of critical exploration methods and uncertainty in interpretation and modeling techniques available to detect and investigate structural geologic features in the subsurface (Type IV).

Evaluation of faulting mechanisms in alluvium (Type V) - *complex propagation of bedrock faults through overlying Quaternary alluvium; uncertainty in dating fault offset and determining fault geometry.*

Development and use of conceptual tectonic models as related to structural deformation (Type V) - *i.e. for Probabilistic Seismic / Fault Rupture Hazard Assessment - description and abstraction of fault segmentation, distributive faulting, alternative fault shapes, and associated earthquake seismicity is inherently underconstrained in site-specific models.*

## **TECTONICS RESEARCH**

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### **KEY TECHNICAL UNCERTAINTY TOPICS (continued)**

#### **3.2.1.7 CORRELATION OF EARTHQUAKES WITH TECTONIC FEATURES**

Poor correlation of earthquakes with surface expression of tectonic features (Type V).

#### **3.2.1.8 INCREASING EARTHQUAKE FREQUENCY/MAGNITUDE**

Inability to predict the likelihood of earthquake occurrence during the next 10,000 years (Type IV).

Paleofaulting data indicates earthquakes have migrated from one major fault system to another in the Basin and Range tectonic province (Type V) - *there is considerable uncertainty that the relatively low seismicity at Yucca Mountain will continue over a 10,000 year period.*

#### **3.2.1.9 EVIDENCE OF IGNEOUS ACTIVITY**

Difficulty in development and assessment of alternative conceptual tectonic models for Volcanic Hazard Assessment. Models of coupled faulting and magmatism are under-constrained - considerable uncertainty on role of faults and in-situ stress in magma transport and eruption at Yucca Mountain.

## TECTONICS RESEARCH

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### OBJECTIVES

The overall purpose is to improve the capability of NRC to produce prelicensing guidance, and to review licensing and performance assessment issues related to tectonics.

*The important premise here is that the NRC will need ready access to a broad body of knowledge, methods, and data.*

At this time, the primary focus is to examine the sufficiency of data and methods to determine compliance with the siting criteria, and with the quantitative performance objectives.

*The premise here is that it is not clear that existing data are sufficient for either qualitative compliance determination or quantitative performance assessment.*

## TECTONICS RESEARCH

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### OBJECTIVES (continued)

Specifically, the primary goals of the current work are to:

Improve NRC capabilities to assess investigations of earthquake (fault line or point) sources (location, type, and slip history of faults).

Improve NRC capabilities to determine adequacy of investigations of sources with no surface expression (geometry and distribution of buried, or blind, faults).

Determine adequacy of data used to estimate earthquake magnitude and recurrence at Yucca Mountain.

Support development of models that include coupled faulting and magmatism.

# TECTONICS RESEARCH

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## TECHNICAL ACTIVITIES

Project timeframe: January '93 through September '96

**TASK 1 - Review of Literature on Late Neogene and Quaternary Tectonism (completed 9/93)**

**Objective:** Determine the type and extent of existing data related to Late Neogene and Quaternary tectonism in the central Basin and Range region.

**Accomplishments:** Review completed. Results reported in research semi-annual CNWRA 93-01S - *supports basis for initial development of KTUs.*

## TECTONICS RESEARCH

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### TECHNICAL ACTIVITIES (continued)

#### TASK 2 - Compilation of Tectonic Data (ongoing - task ends 9/94)

**Objective:** Compile data on tectonics, faulting, and seismicity associated with recognized faults and actively deforming fault zones into a Geographic Information System.

**Accomplishments:** The Arc/Info software system is installed. Data on faulting, earthquakes and geophysical potential fields are being compiled for: i) the central Basin and Range region; and ii) the Yucca Mountain local region.

Figure 11-2. Digital Shaded-Relief Terrain Model (DTM) of the area covered by the central Basin and Range Regional Tectonic Database (RTDB). The DTM image of the RTDB area is produced from digital elevation data with a spacing of 3 arc-seconds between elevation points. Yucca Mountain is located in the center of the black square near the middle of the map. North is toward the top of the image. Distance and location in this projection is measured in latitude and longitude.





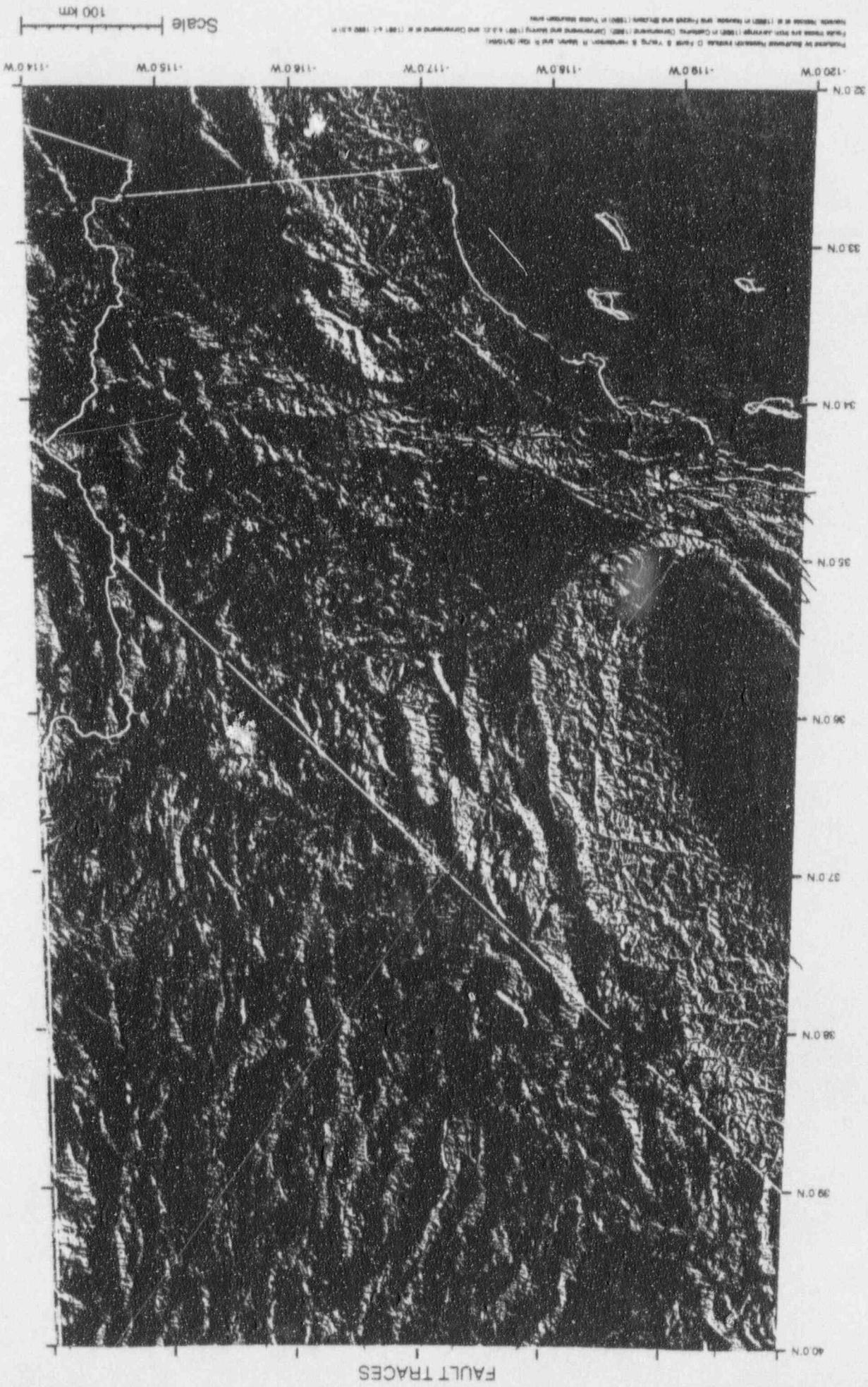


FIGURE 1. FAULT TRACES IN THE WESTERN PACIFIC OCEAN. THE FAULTS SHOWN ARE THE RESULT OF A REGIONAL TECTONIC ANALYSIS OF THE PACIFIC OCEAN BASIN. THE FAULTS SHOWN ARE THE RESULT OF A REGIONAL TECTONIC ANALYSIS OF THE PACIFIC OCEAN BASIN. THE FAULTS SHOWN ARE THE RESULT OF A REGIONAL TECTONIC ANALYSIS OF THE PACIFIC OCEAN BASIN.

Figure 11-5. Digital Shaded-Relief Terrain Model (DTM) of the area covered by the Yucca Mountain Terrestrial Database (YMTDB). The location of this area is indicated by the solid black square near the middle of Figures 11-1B and 11-2, and by the white square grids near the middle of Figures 11-3 and 11-4. The DTM image for the YMTDB area is produced from digital elevation data with a spacing of 30 meters between elevation points. The model is illuminated from an azimuth of 105° (east-southeast) and 35° above the horizon. Yucca Ridge is the prominent north-south ridge in the center-left of the image. Projection is Universal Transverse Mercator. North is toward the top of the map. 1 inch = 3.3 miles, 1 cm = 2.00 km.

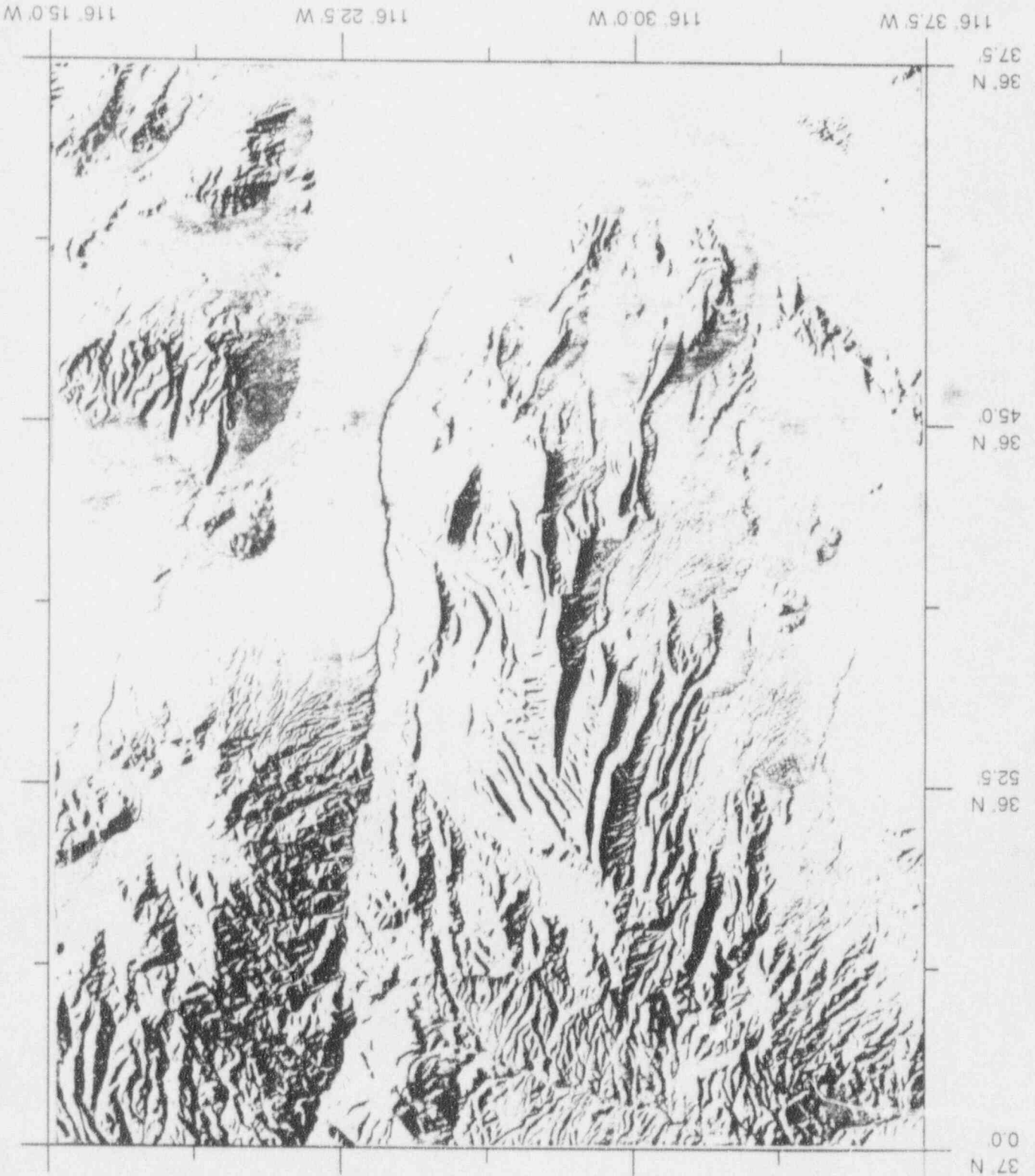


Figure 11-9. Shaded-relief digital terrain model shown in Figure 11-5 (illuminated from west (a), north (b), south (c), and east (d)). The computer generated terrain images may thus be treated as a synthetic alternative to low sun-angle aerial photographs. For instance, illumination from north (b) and south (c) enhances northeast trending structural/geomorphic fabric that is not as distinct in the images illuminated from west (a) and east (d).



Figure 11-6. Map of Neogene and Quaternary faults (Frazzelli and Shulters, 1990) from the Yucca Mountain Tectonic Data Base plotted onto the digital terrain model shown in Figure 11-5.

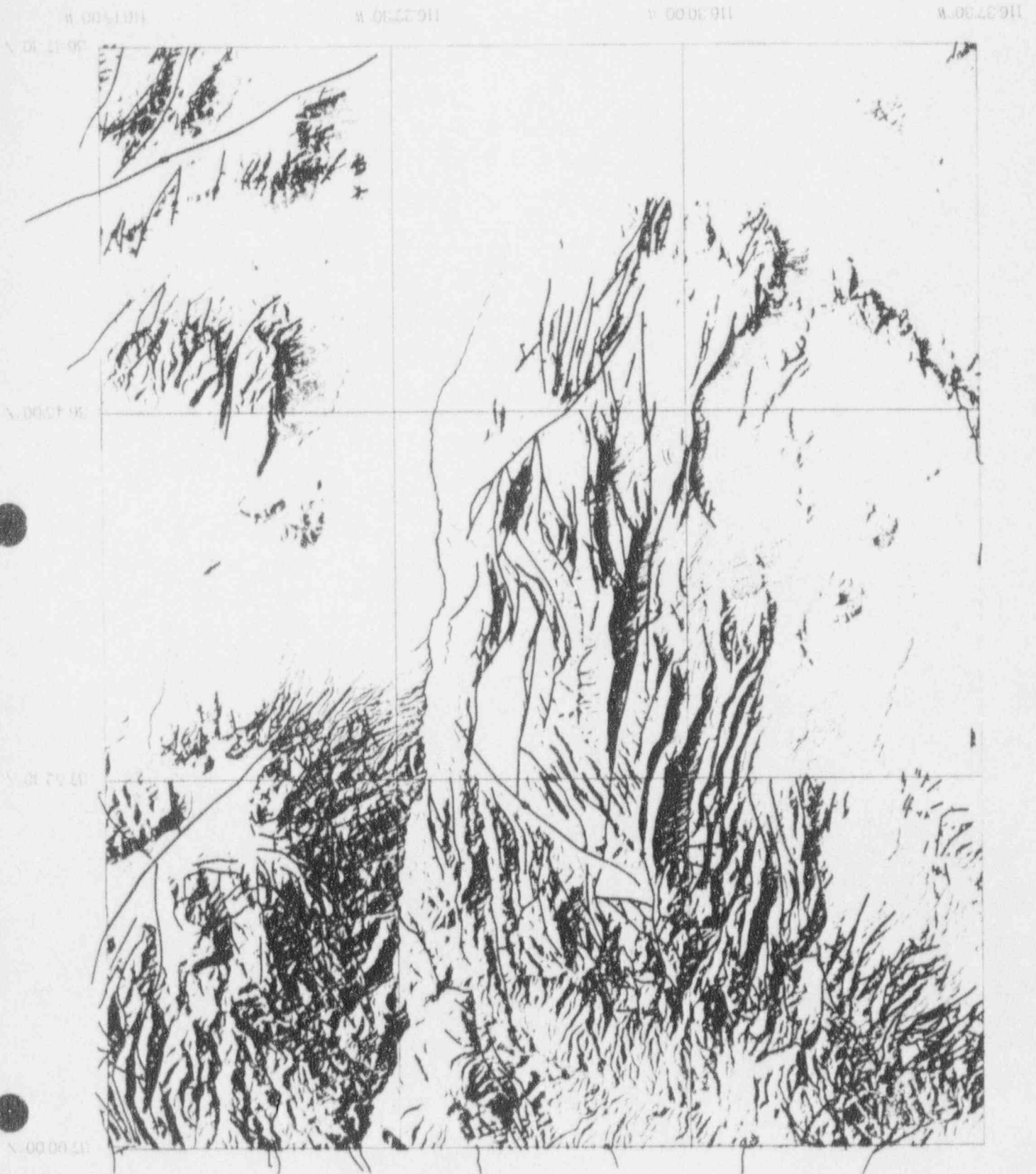
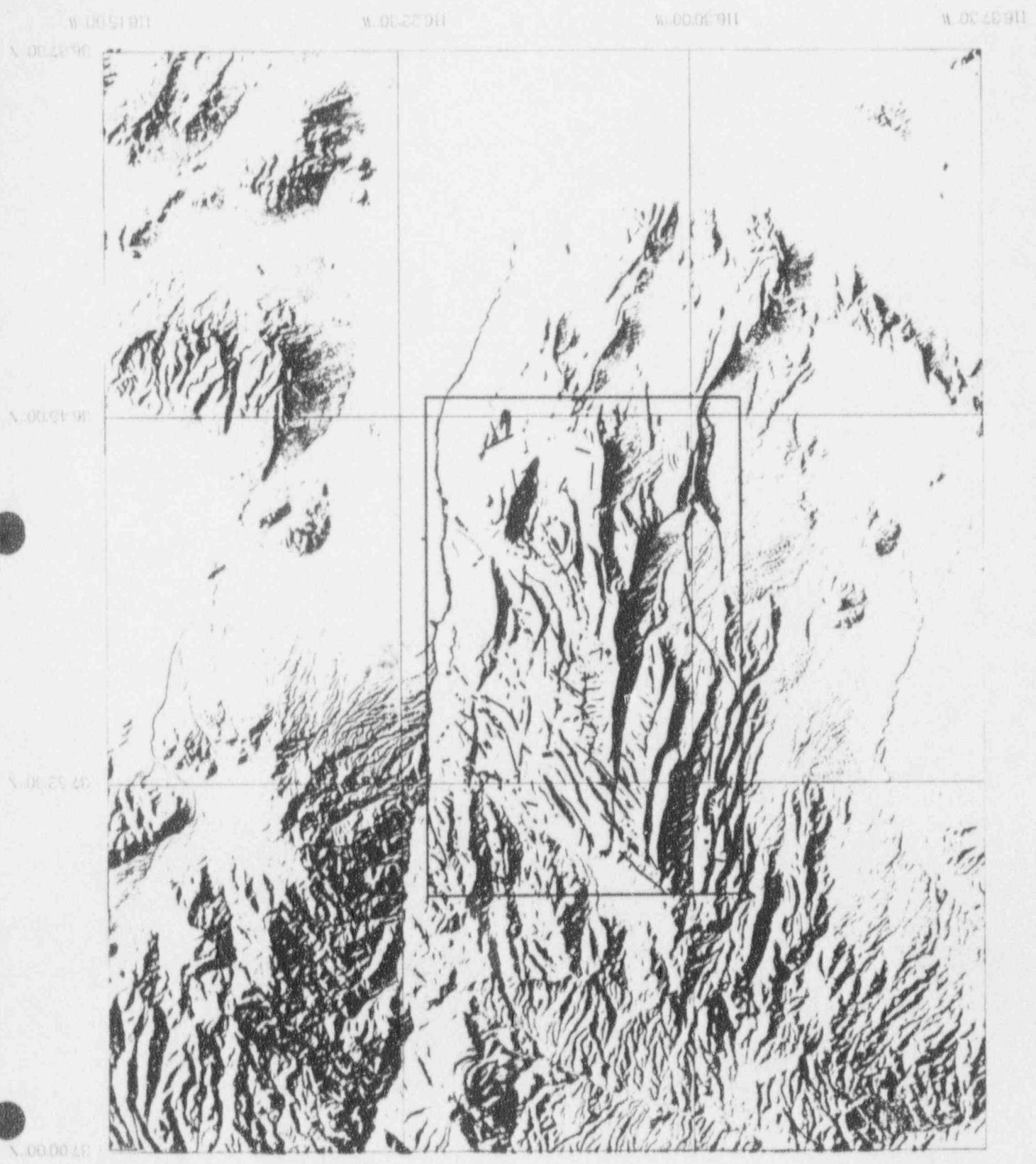


Figure 11-7. Map of photogeologic lineaments (O'Neill, 1993) from the Yucca Mountain Tectonic Data Base plotted onto the digital terrain model show in figure 11-5.



## TECTONICS RESEARCH

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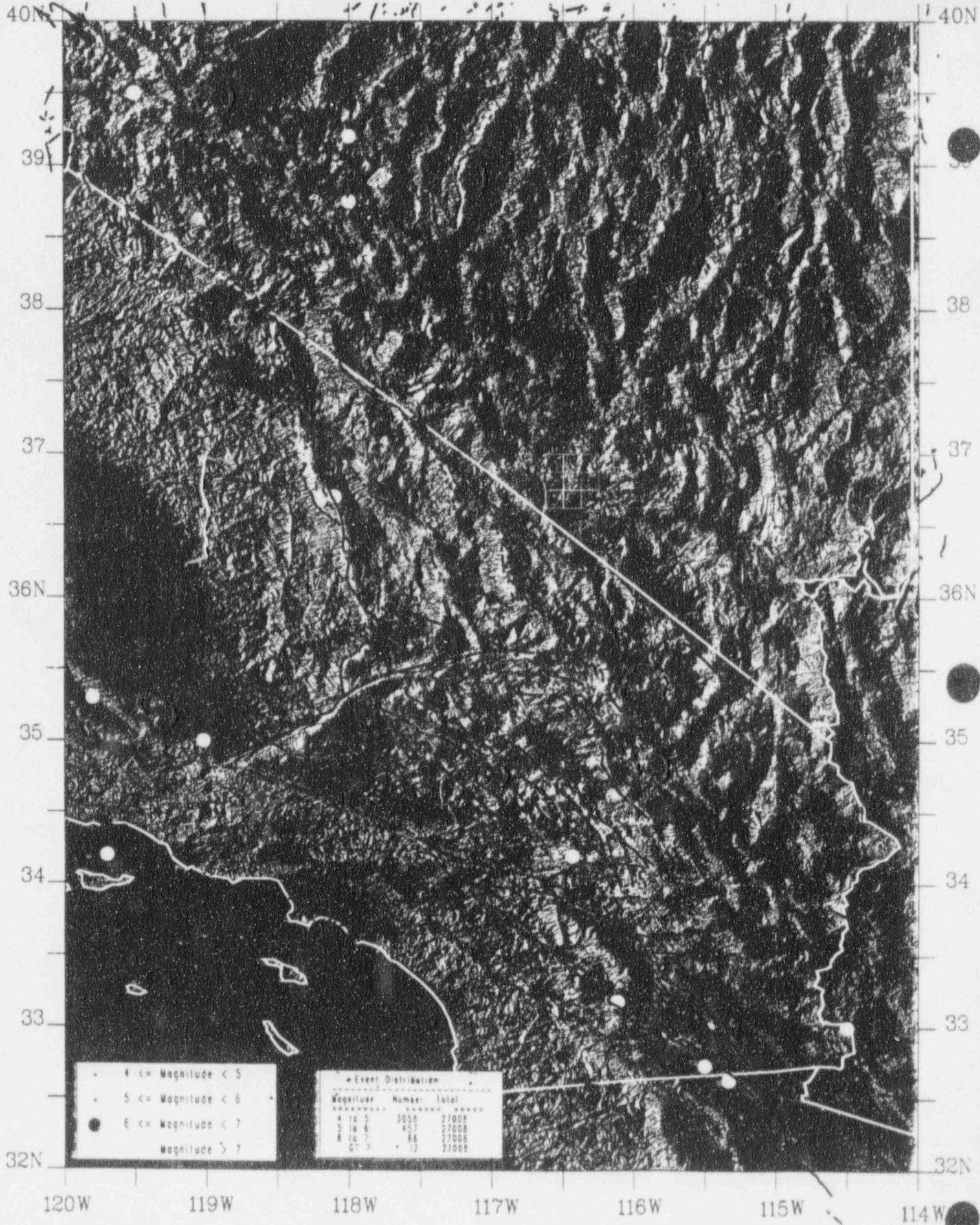
### TECHNICAL ACTIVITIES (continued)

**TASK 3 - Critical Review of Compiled Tectonic Data (ongoing - task ends 9/94).**

**Objective:** Identify key relationships between contemporary crustal-scale strain and resultant seismic and aseismic slip on known fault systems.

**Accomplishments:** Terrain features, earthquakes, geophysics, and Quaternary faults have been coregistered and correlated within the central Basin and Range region. Terrain features, faults, drainage patterns, and boreholes have been correlated within the Yucca Mountain local region.

These data may be adequate to partially resolve uncertainty in regional correlation of earthquakes with first-order tectonic features (3.2.1.7). However, local-scale problems persist at Yucca Mountain.



\* 4 = Magnitude < 5  
 \* 5 = Magnitude < 6  
 ● 6 = Magnitude < 7  
 ● Magnitude > 7

Event Distribution		
Magnitude	Number	Total
4 to 5	3058	27008
5 to 6	457	27008
6 to 7	86	27008
GT 7	12	27008

Map of Quaternary fault traces (Nakata et al., 1982) and earthquake epicenters (USGS/NEIC 1992b) from the Regional Tectonic Data Base plotted onto the regional digital terrain model. Quaternary fault traces are depicted as solid black lines. Earthquakes are indicated by small blue and green squares (M4.0-M5.0 and M5.0-M6.0); round red and yellow dots indicated larger magnitude earthquakes (M6.0-M7.0 and M7.0+). Nevada and California state lines are in white. Yucca Mountain is at the center of the white square grid near the middle of the map. The white square grid indicates the nine 7.5 minute U.S. topographic quadrangles that comprise the area covered by the more detailed Yucca Mountain Tectonic Data Base (figure 11-5). Earthquake dataset valid from: 08-Dec-1812 to 02-Jun-1993. Projection is Geographic.

## TECTONICS RESEARCH

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### TECHNICAL ACTIVITIES (cont.)

**TASK 4 - Field Investigations to Assess Estimates of Late Neogene and Quaternary Strain and to Support Development and Assessment of Alternative Models of Late Neogene through Quaternary, and Contemporary Tectonic Development of the Central Basin and Range Region (ongoing - task ends 9/94)**

**Objectives: Utilize field investigations to:**

- (i) assess estimates of late Neogene and Quaternary rates and patterns of distributed crustal-scale extensional deformation;**
- (ii) use geodetic measurements to assess existing estimates of contemporary rates and patterns of distributed crustal deformation;**
- (iii) support development and assessment of alternative models of faulting and seismo-tectonic processes;**
- (iv) identify and describe areas which may be useful as structural/tectonic analogs of the proposed Yucca Mountain site;**
- (v) investigate the type and extent of tectonic deformation associated with the 1992 Landers (M7.5) earthquake, and implications for Yucca Mountain.**



## TECTONICS RESEARCH

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### TECHNICAL ACTIVITIES (continued)

#### Task 4 (continued):

**Accomplishments:** Reconnaissance survey conducted in the Black Mountains (Death Valley) to assess usefulness as a deep structural analog - indicates additional focused work will improve fault-geometry models.

Participated in Global Positioning Satellite survey - initial phases of a survey intended to independently test estimates of strain accumulation.

Participated in Geological Society of America field trip to the Landers earthquake region - familiarization with the region for subsequent focused work.

## TECTONICS RESEARCH

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### TECHNICAL ACTIVITIES (continued)

**TASK 5 - Assessment of Geochronological Methods for Dating and Characterizing Fault Slip and Seismic Events (completed 9/93).**

**Objective: Assess the utility and reliability of methods used to determine slip history of faulting and to estimate ages of seismic (earthquake) slip events.**

**Accomplishments: Review completed. Results reported in research semi-annual CNWRA 93-02S. Considerable uncertainty remains in both analytical methods and field geological interpretation used to estimate ages of slip and paleoseismological history of Quaternary faults in the central Basin and Range region. These uncertainties need to be explicitly expressed in alternative tectonic models, and methods are required to include uncertainties in Performance Assessment modules (e.g. SEISMO).**

## TECTONICS RESEARCH

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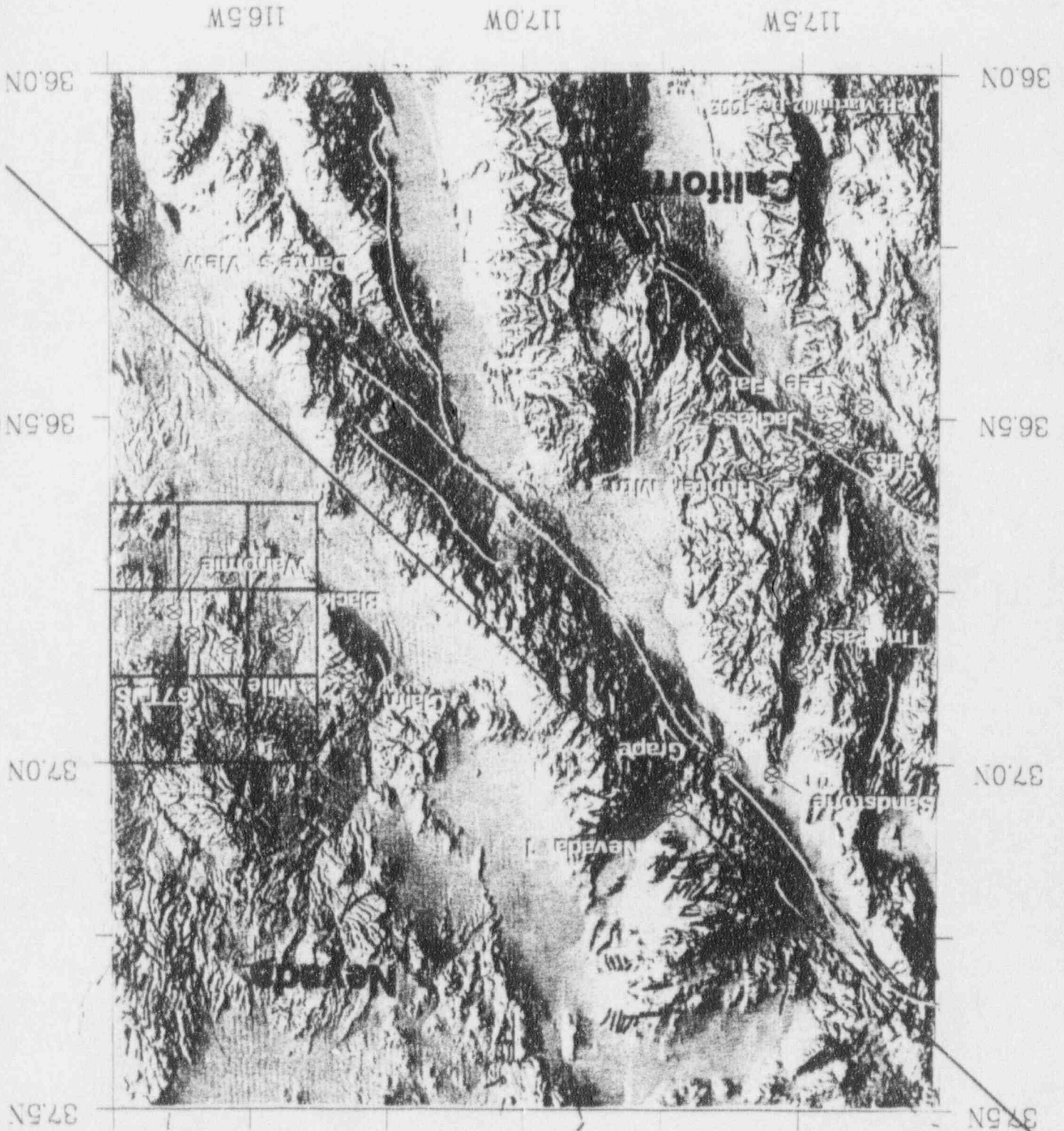
### TECHNICAL ACTIVITIES (continued)

#### TASK 6 - Analyses of Database and Modeling of Tectonic Processes and Geologic Deformation

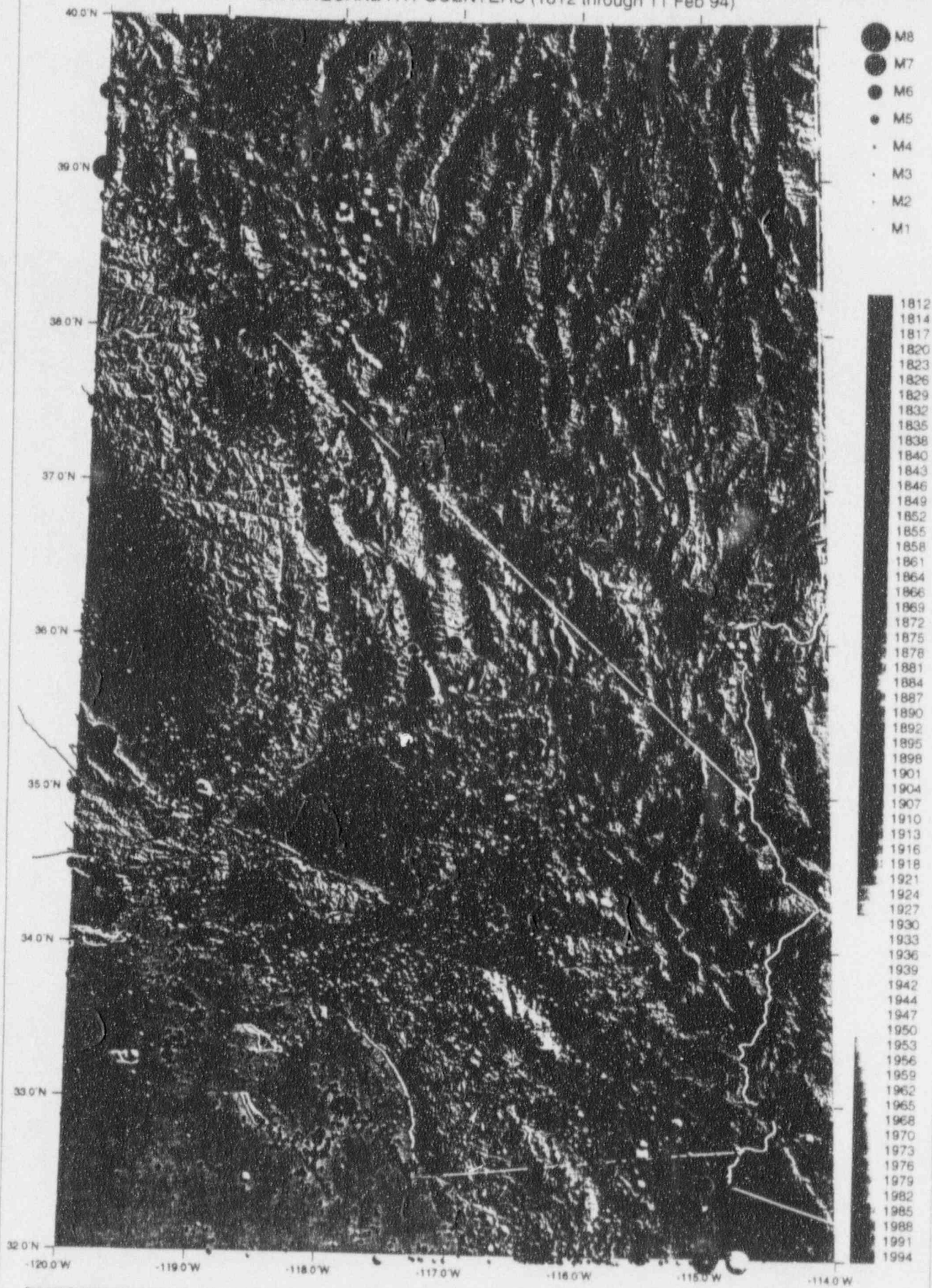
**Objective:** Determine correlations between spatial and temporal patterns of late Neogene and Quaternary regional strain, faulting, and earthquake seismicity, and to identify patterns of fault rupture and seismicity which may be used to assess faulting and seismicity at Yucca Mountain.

**Accomplishments:** 3-Dimensional visualization of the Landers earthquake sequence shows both upward and downward propagation of aftershock patterns, and close association of the Little Skull Mountain earthquake. **Implication:** triggering may strongly influence the rate of elastic strain accumulation between 1st-order faults.

Figure 9-2. Global positioning satellite (GPS) stations. Faults are from sources noted in Figure 9-1. Yucca Mountain is located at the center of the nine square grid. Map projection is UTM.



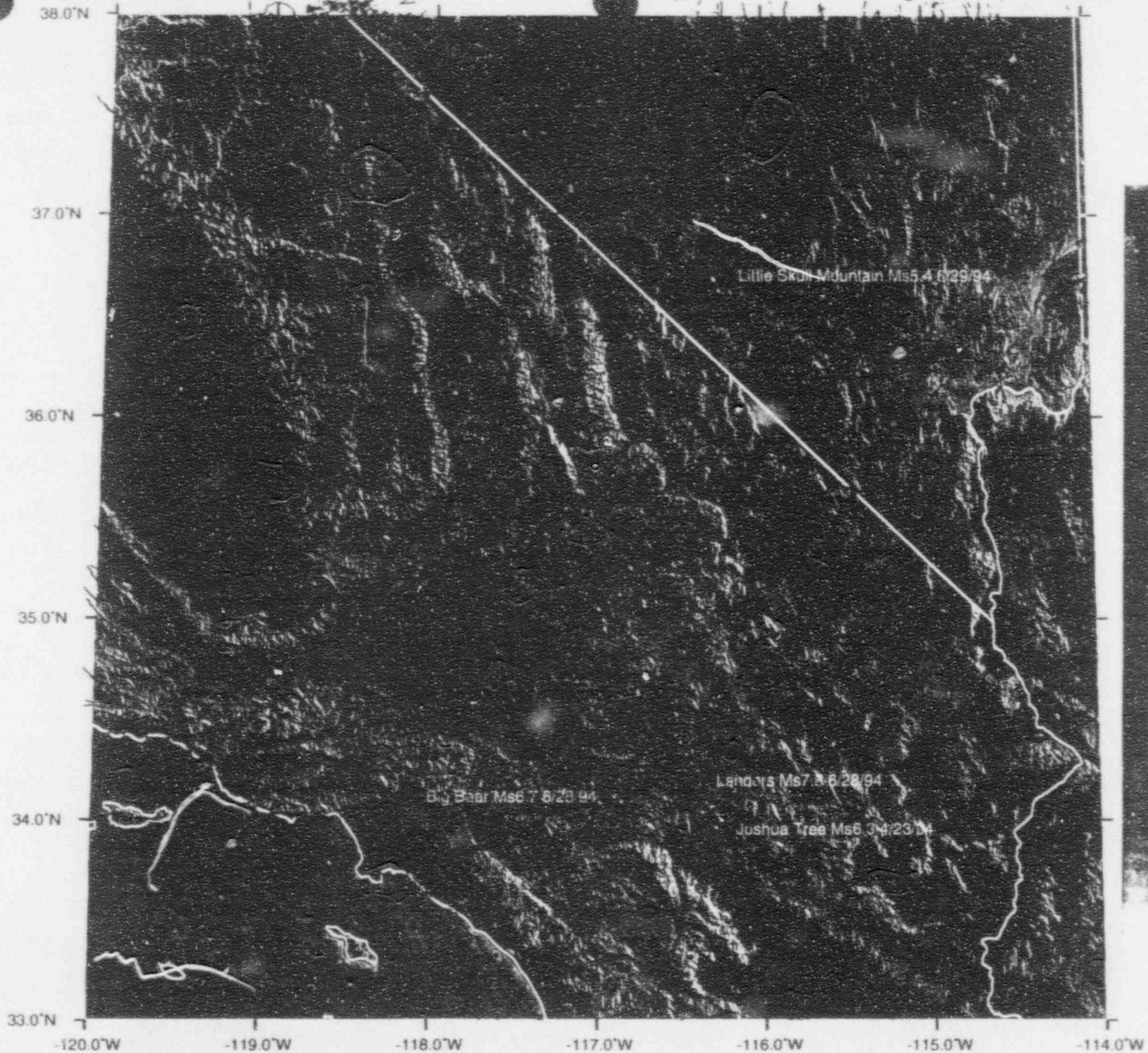
# EARTHQUAKE HYPOCENTERS (1812 through 11 Feb 94)



Produced by Southwest Research Institute, D. Farris, S. Young, B. Henderson, R. Martin, and R. Kay (5/19/94). Hypocenter data are from PDE Catalog of Historical Earthquake Information Center. (DRIAD hypocenter data is used when there is no corresponding entry in the PDE Catalog for the same location and date). Magnitudes are assigned a value from one of the following levels in order of preference: M<sub>s</sub> (average surface-wave magnitude), M<sub>b</sub> (average body-wave magnitude), surface-wave magnitude 1 or converted magnitude 2. Fault names are from Jennings (1982) in California, Dannenberg (1980), Dannenberg and Young (1981), and Dannenberg et al. (1981) in Nevada, Tschudi et al. (1988) in Nevada, and Frazier and Shotton (1980) in Yukon-Alaska area.

Scale 100 km

LANDERS EARTHQUAKE SEQUENCE (Earthquake Hypocenters 3/25/92 through 9/28/92)



- Mar 25
- Mar 26
- Apr 1
- Apr 4
- Apr 8
- Apr 12
- Apr 15
- Apr 19
- Apr 23
- Apr 26
- Apr 30
- May 4
- May 7
- May 11
- May 15
- May 18
- May 22
- May 26
- May 29
- Jun 2
- Jun 6
- Jun 9
- Jun 13
- Jun 17
- Jun 20
- Jun 24
- Jun 28
- Jul 1
- Jul 5
- Jul 9
- Jul 12
- Jul 16
- Jul 20
- Jul 23
- Jul 27
- Jul 31
- Aug 3
- Aug 7
- Aug 11
- Aug 14
- Aug 18
- Aug 22
- Aug 25
- Aug 29
- Sep 2
- Sep 5
- Sep 9
- Sep 13
- Sep 16
- Sep 20

Produced by Southern Research Institute, D. Fehle, S. Young, G. Henderson, R. Martin, and R. Har (9/1994). Hypocenter data are from National Earthquake Information Center. Faults shown are from Jennings (1982) in California, Dolan and (1982), Dolan and (1981) a.b.c., and Dolan and et al. (1981) a.c. (1982) a.b) in Nevada, Sills et al. (1982) in Nevada, and Fiala and (1980) in Yucca Mountain area.

Scale 100 km

LANDERS EARTHQUAKE HYPOCENTERS SEQUENCE - View is from East towards West (3/25/92 through 9/28/92)

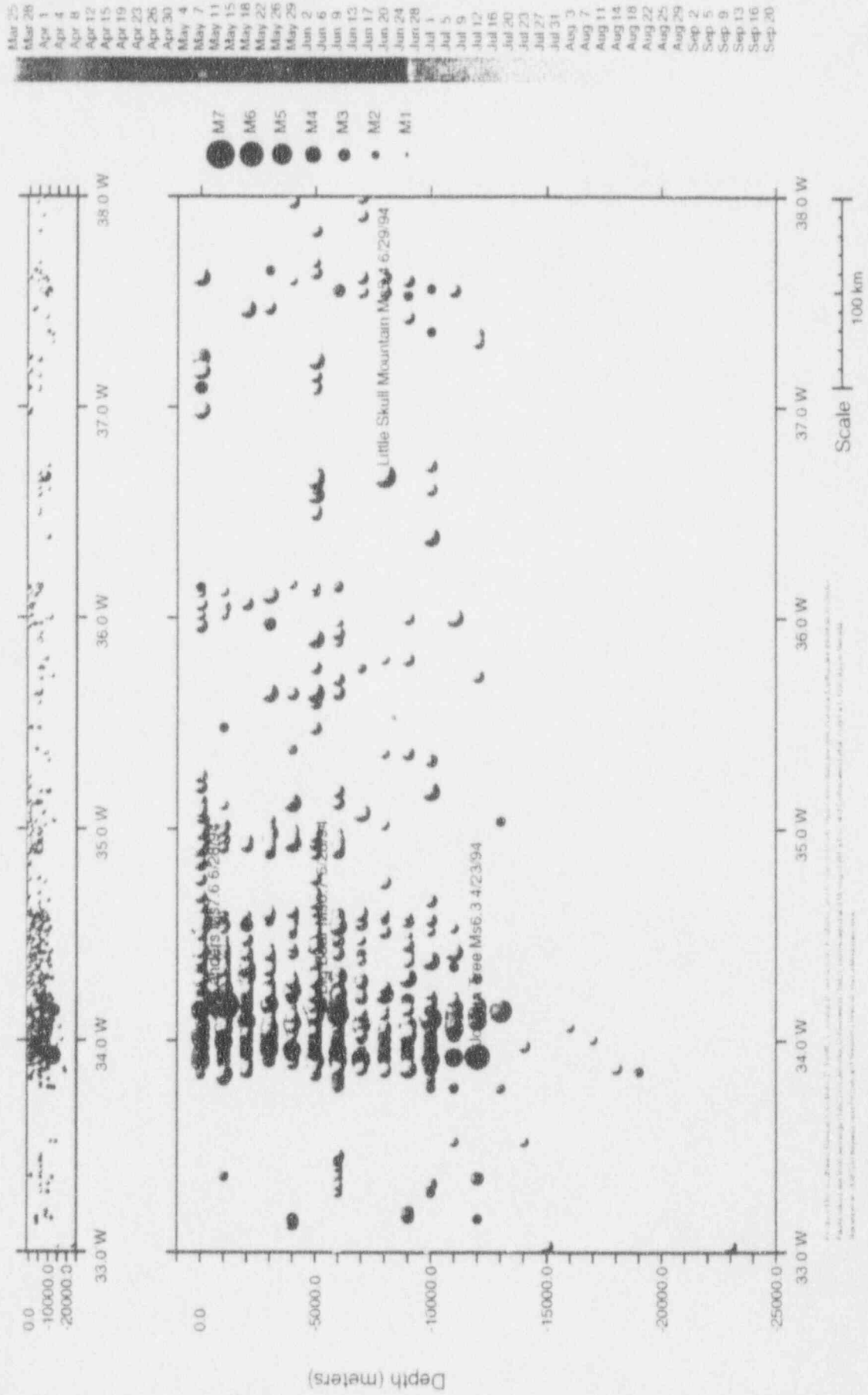


Figure 1. Hypocenters of the Landers earthquake sequence, 1992. The hypocenters are plotted in a depth versus longitude view. The vertical axis is depth in meters, and the horizontal axis is longitude in degrees west. The earthquake sequence is shown as a series of hypocenters, with the largest earthquake (M7.6) labeled. The hypocenters are clustered between 33.0 W and 38.0 W and between 0 and -25000 meters depth. The sequence shows a clear progression of hypocenters from east to west, consistent with the view from east towards west.

## TECTONICS RESEARCH

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Results to date useful for Performance Assessment, Pre-licensing Guidance, and License Review:

**Result:**

Established a reference, or confirmatory, database of important tectonic features.

Regional correlation of earthquakes with mapped fault traces.

**Use:**

Test key assumptions and important assertions presented in Study Plans, the Site Characterization Plan, and in a License Application (e.g. locations of fault-line sources, focal-mechanism type, fault-length, orientation within in-situ stress field).

Review of Study Plans, Pre-licensing Guidance, and License Review of issues related to correlation of earthquakes with tectonic processes.



## TECTONICS RESEARCH

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Anticipated results useful for Performance Assessment, Pre-licensing Guidance, and License Review:

**Result:**

Probability distributions of fault length and orientation.

Probability distributions of earthquake magnitude and recurrence.

Probability distributions of fault rupture length, offset, and slip rate. GPS will be an especially strong test of slip rate estimates.

Alternative tectonic models including potential earthquake sources with no surface expression.

Improved knowledge of temporal and spatial patterns of earthquake seismicity.

**Use:**

Performance Assessment - Probabilistic Seismic Hazard Assessment

Performance Assessment - Probabilistic Seismic Hazard Assessment

Performance Assessment - Probabilistic Seismic Hazard Assessment

Study Plan Review, Site Characterization Guidance, and License Review of potentially adverse conditions related to Structural Deformation - extent to which features may be present and undetected.

Review of potentially adverse conditions related to potential for increasing earthquake frequency and magnitude.

## TECTONICS RESEARCH

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### CONCLUSIONS

- i) Review of the literature provides a firm basis for initial development of Key Technical Uncertainties.
- ii) TecRes GIS database is being developed for timely, interactive access by the regulatory analyst.
- iii) TecRes currently contributes significantly to Regional Hydrology Research, Volcanism Research, and to pre-licensing review.
- iv) Critical review and analyses of the TecRes database will provide an assessment of the adequacy of existing data for compliance determination.
- v) The TecRes database will provide important constraints on earthquake and fault rupture parameters for review activities, independent confirmation and performance assessment.
- vi) Alternative conceptual models will provide necessary basis for inclusion of blind sources and associated magmatism in Performance Assessment.

Ma, for an average spreading rate of about 17 mm/a. Comparing VLBI geodetic data with contemporary plate motions (e.g. Minster and Jordan, 1987; Ward, 1990) requires

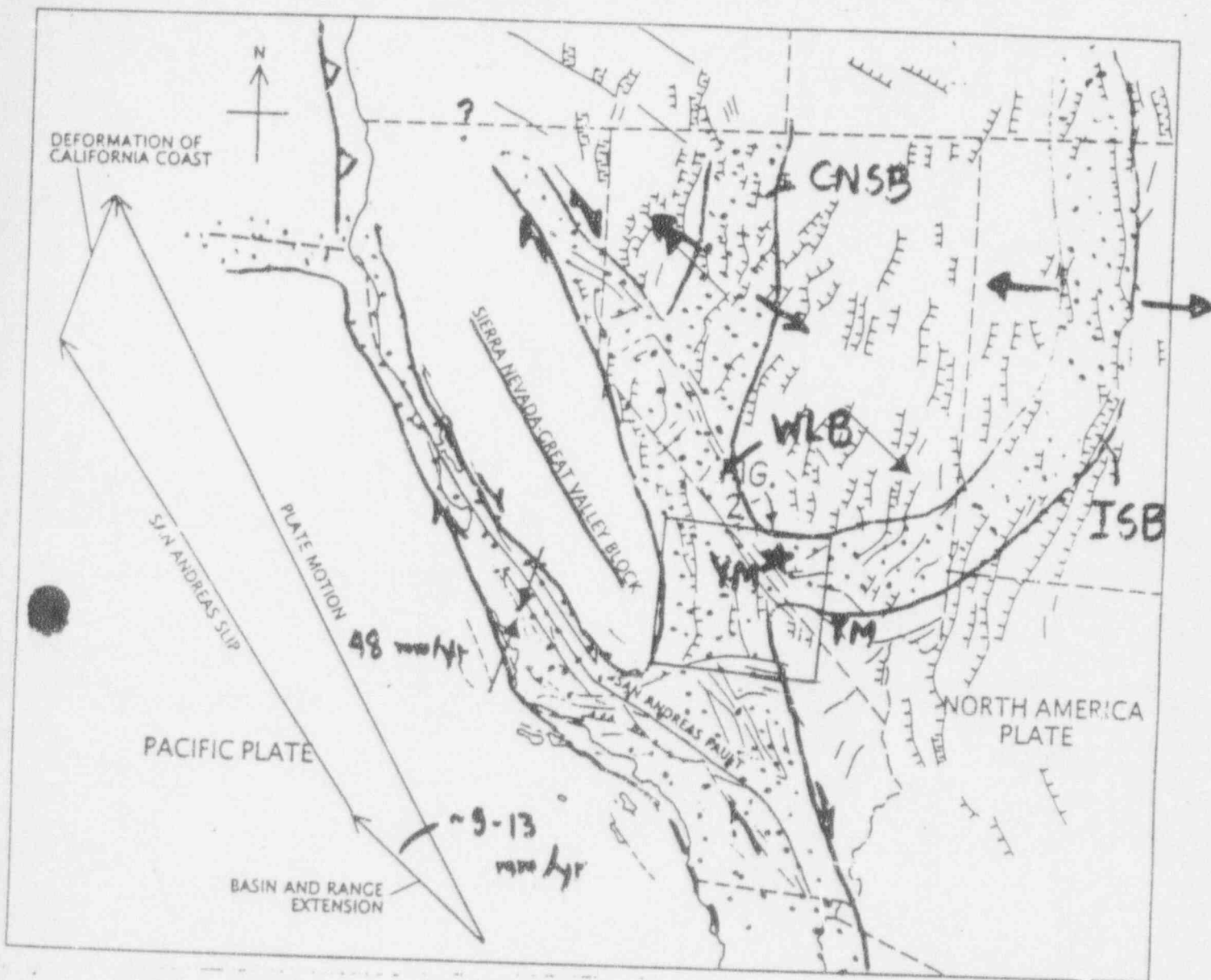


Fig. 1. Partitioning of total Pacific-North America plate motion among domains of deformation in the western U.S., from Minster and Jordan (1988). The vector diagram shows the relative rate and direction of plate motion, extension in the Basin and Range, slip on the San Andreas, and deformation in coastal California.

approximately 9 mm/a of spreading in the Basin and Range, accounting for as much as 20% of total Pacific-North America motion (Figs. 1 and 2). Some interpretations of recent GPS results suggest that nearly all of the San Andreas discrepancy may be accommodated in the Basin and Range (Agnew et al., 1990). However, relatively little effort has been expended to test this notion directly using GPS networks.

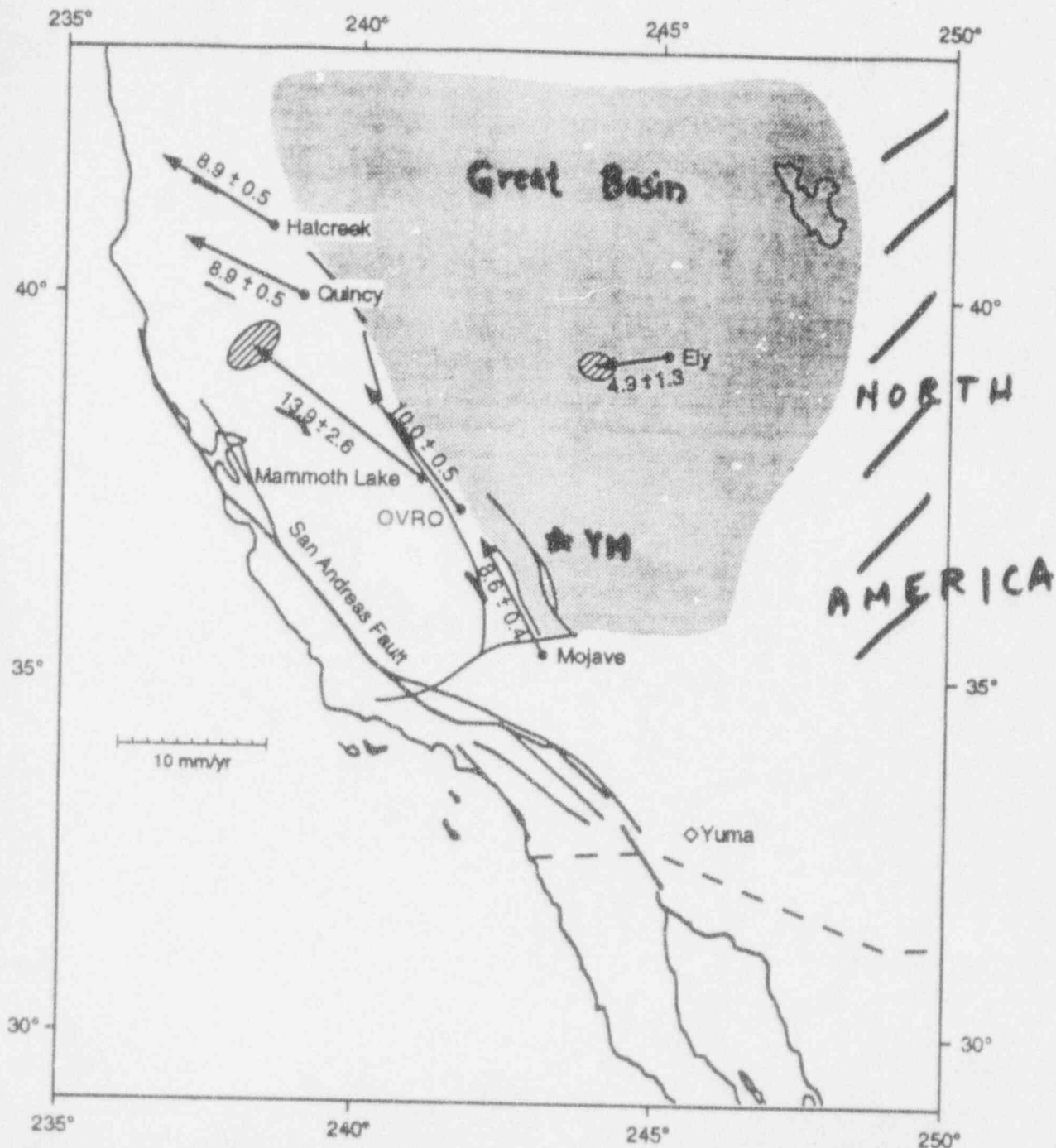


Fig. 4. Station velocities and one sigma errors in rate for SLR and VLBI sites in the western U.S. that are east of the San Andreas fault and in or near the Basin and Range province (stipple). Sites with open diamonds are thought to be located on stable North America. One sigma error ellipses (Table 3) are smaller than dimensions of arrowheads for all stations except Ely and Mammoth and are omitted from figure. High northwest velocities of OVRO, Mammoth, Quincy and Hatcreek suggest that these stations all lie on the Sierra Nevada block, west of the actively deforming Basin and Range and west of the eastern California shear zone.

have a significant effect on the velocity estimates. In general the 1988, 1989 and 1992 data were collected under similar conditions; the 1990 data and all pre-1988 data suffer from various inconsistencies. We used all available data from the

four experiments conducted between 1988 and 1992 for which both CASA and OVRO had at least four hours of simultaneous observations. This criterion eliminated one day in the 1989 experiment. In contrast to this generally rosy picture, data from

As has been observed at both short and long timescales, extensional strain in the Basin and Range province is partitioned between areas of low and high strain (e.g. Greensfelder et al., 1980; Wallace, 1984; Wernicke et al., 1988). In the Death Valley region, the Northern Death Valley fault zone appears to be the east limit of rapidly extending crust based on geological observations (e.g., Hamilton, 1988; Wernicke et al., 1988). A triangular region in the western part of the Basin and Range between the Sierra Nevada, Garlock fault and Northern Death Valley faults (Fig. 2) appears to have

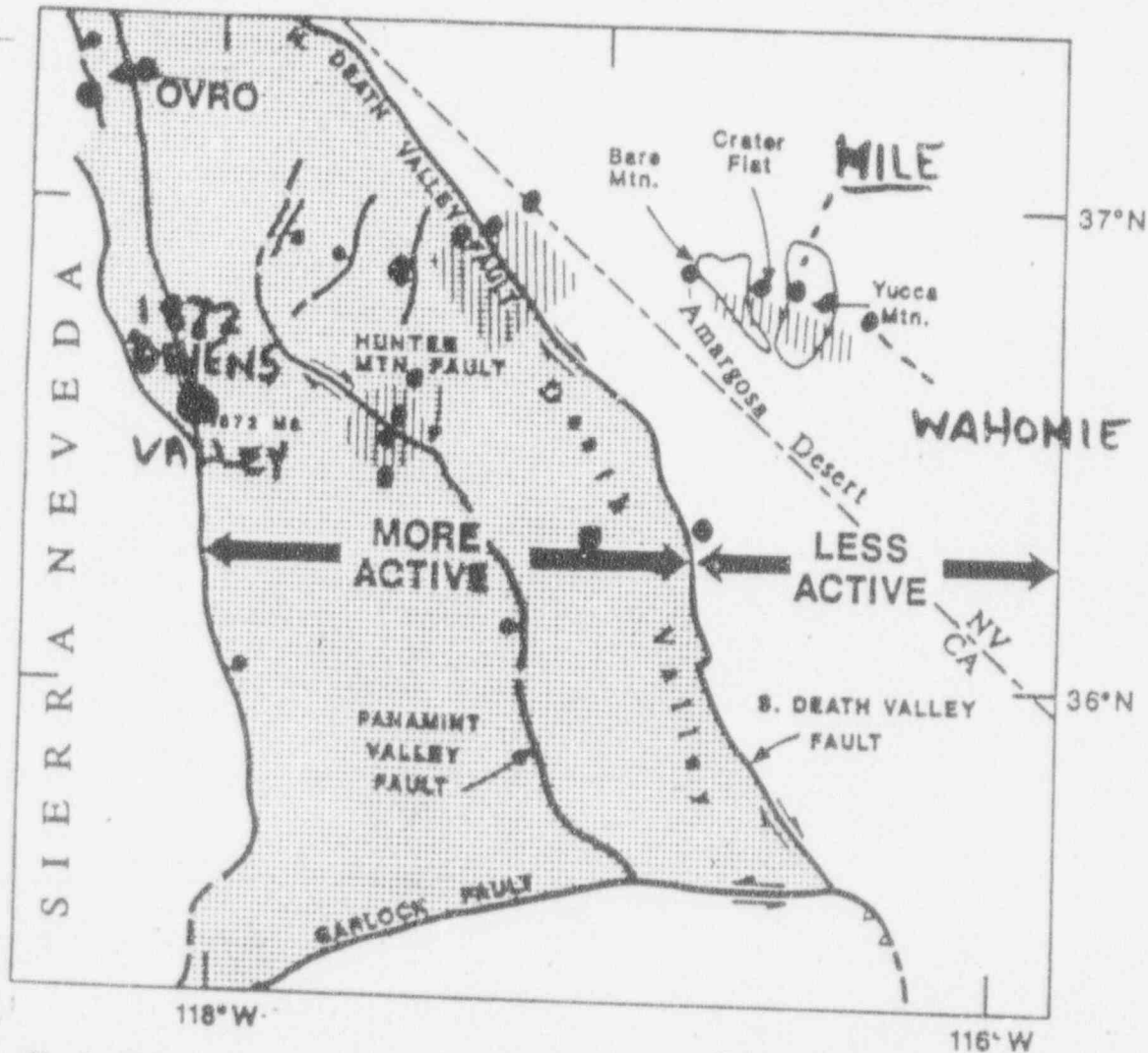
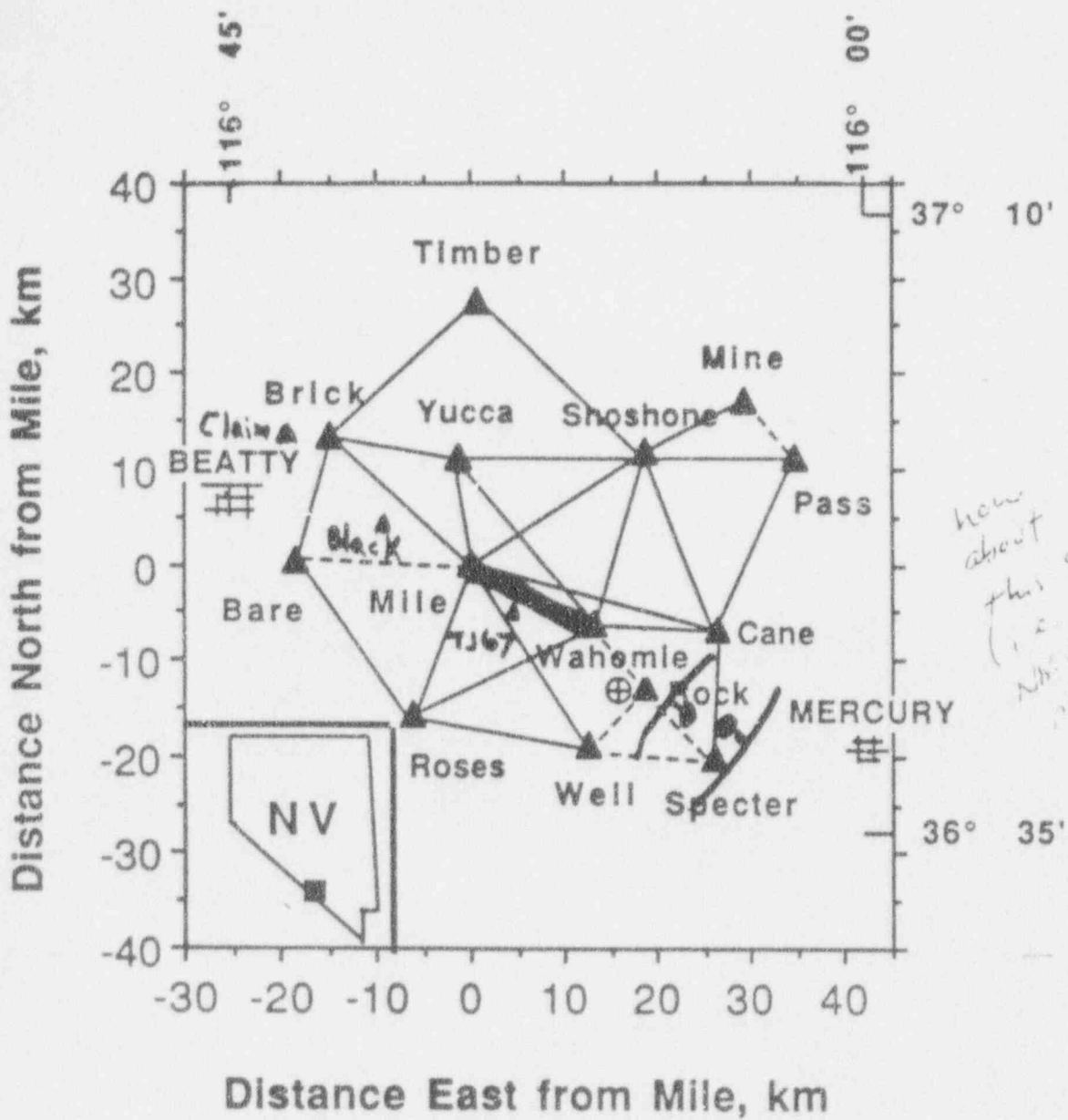
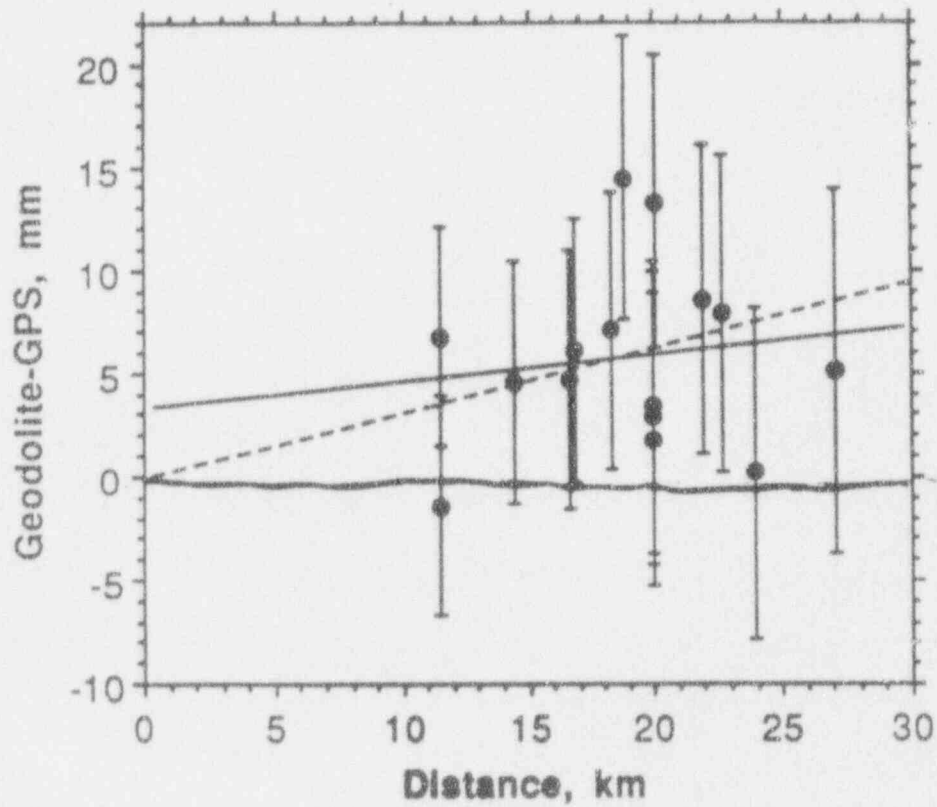


Fig. 2. Map showing more active (shaded) and less active structural domains in the southwestern Great Basin. Three local networks (deployed in areas shown by vertical lines) will focus on contemporary displacements on major fault zones and within the less active area in the vicinity of Yucca Mountain. One station will be located at the Owens Valley Radio Observatory (OVRO). Epicenter of 1872 earthquake on the Lone Pine fault shown with diamond symbol.

experienced major tectonism in the last 3–5 Ma, with displacement rates on individual structures possibly approaching 10 mm/a, as discussed below. The area to the east, which includes the northern Amargosa Desert and Yucca Mountain, appears to be substantially less active (Fig. 2). Somewhat paradoxically, major structures in the Death Valley region are relatively aseismic (with the exception of the Lone Pine fault adjacent to the Sierra



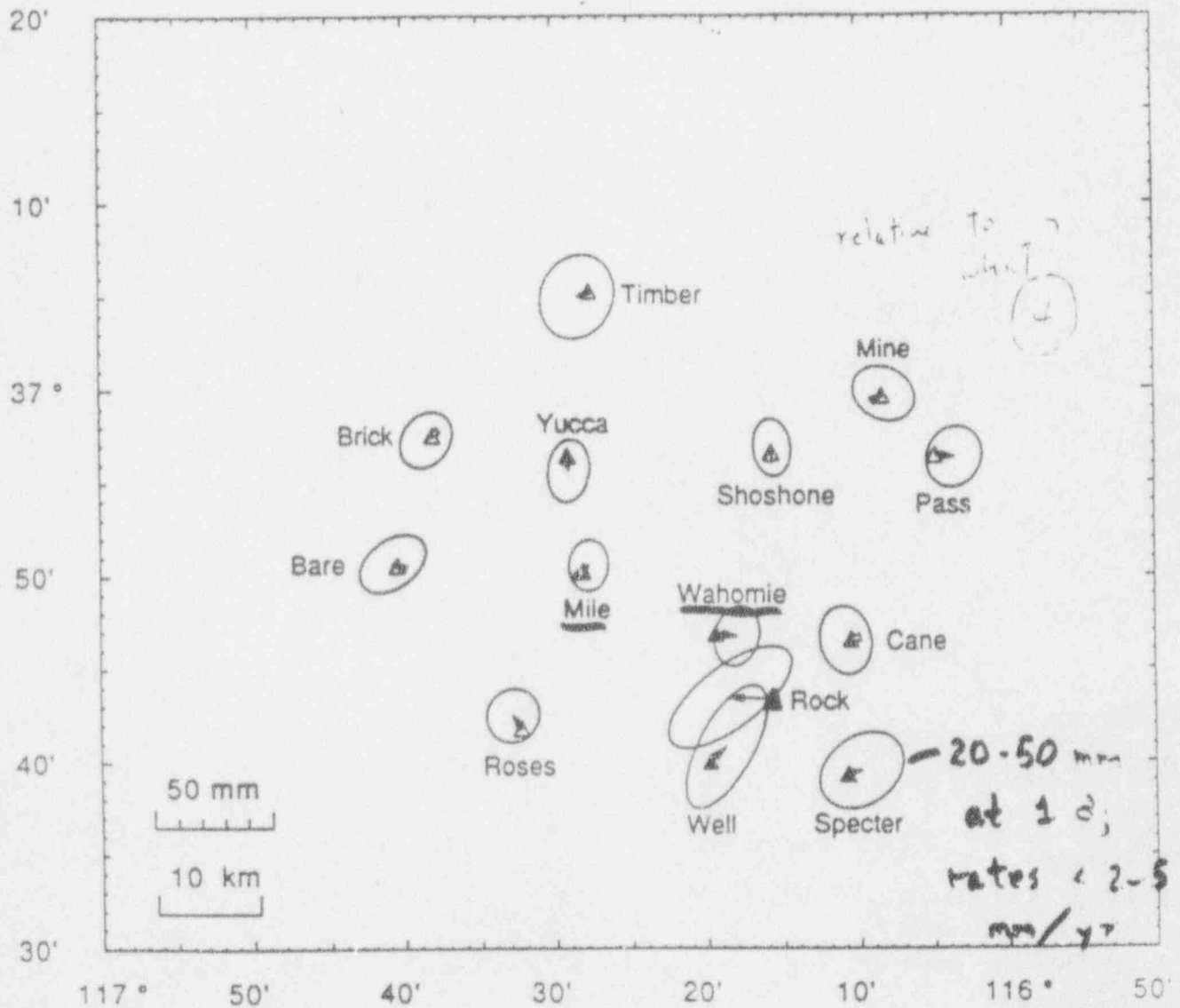
Savage et al., in press  
 (J&R)



Geodolite baseline  
 - 5 mm > GPS  
 baseline

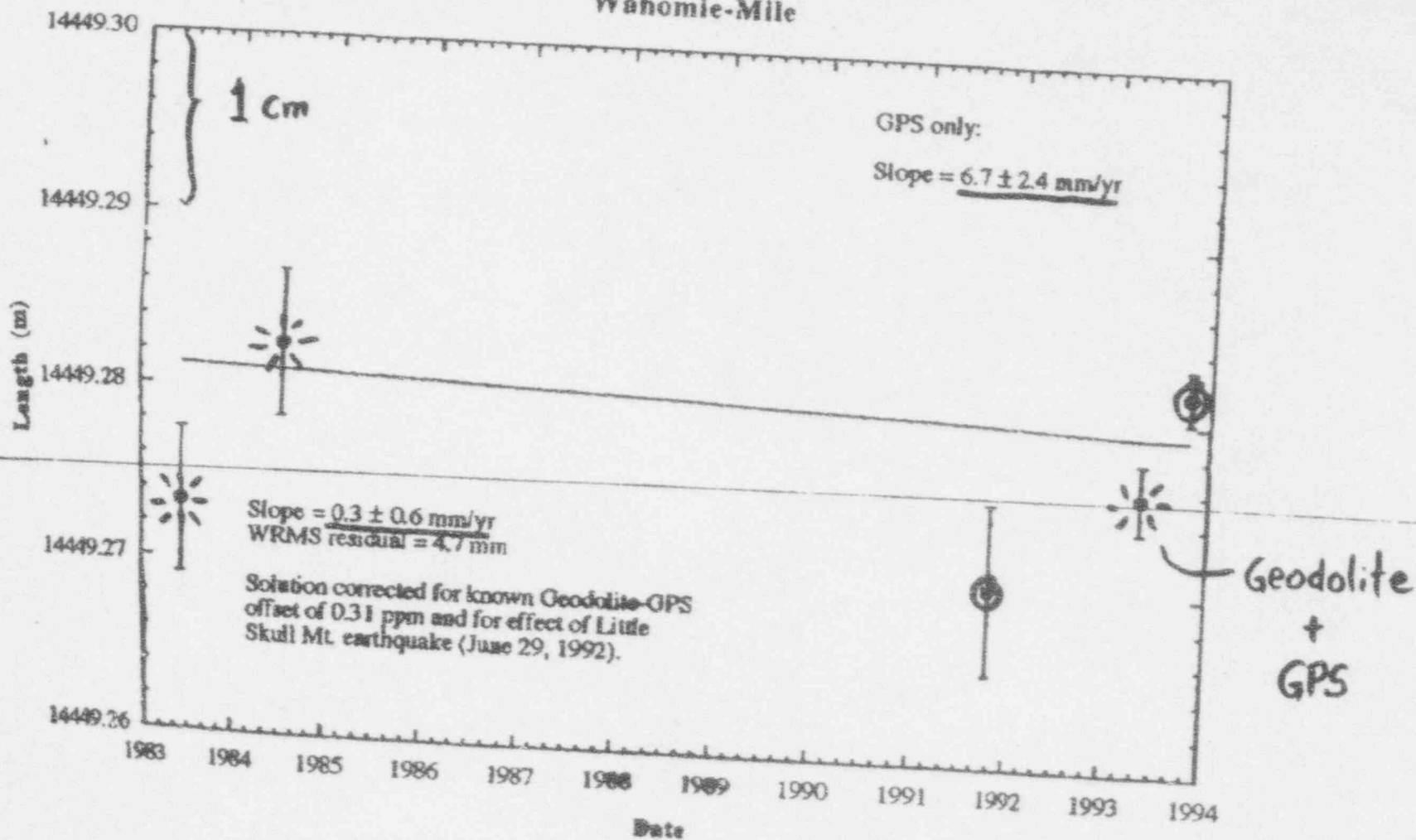
Savage et al., in press  
 JGR.


Savage et al., in press  
JGR






# Wahomie-Mile

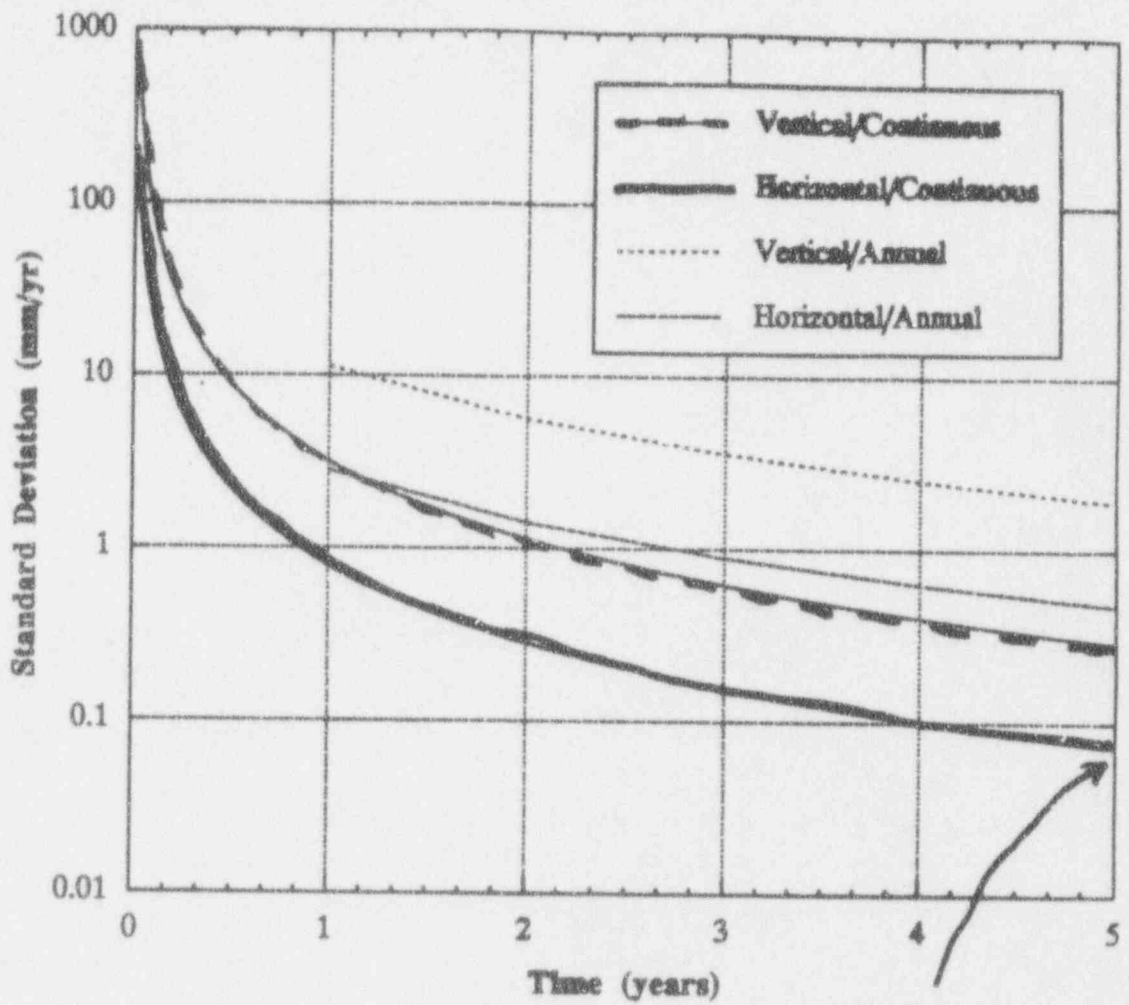


 Yucca Mtn.  
Project  
(USGS/DOE)

**Geodolite**

 = NRC (Caltech - Harvard  
Smithsonian)

**GPS**



1σ @ 5 yr

= 0.07 mm/yr

From J.L. Davis, Harvard/Smithsonian  
based on Fennoscandia results 1992-1993