AWARD/CONTRACT

.

÷

Page 1 of 2

2. CONTRACT NO. NRC-11-94-297	3. EFFE 11/01/9		4. RHQUIST ACES-93-	TION/PROJECT NO 349
5. ISSUED BY Code: U.S. Nuclear Regulatory Commi Division of Contracts & Prope Contract Negotiation Branch 2 Mail Stop P-1042 Washington, DC 20555	ssion rty Mgmt.	U.S. Nucle Div. of Co Contract A Mail Stop	than Item 8 ar Regulato ntracts & P dministrati	5) Pry Commission Property Mgmt. Lon Branch 1
7. NAME AND ADDRESS OF CONTRA Prime: Small Business Adm Sub: Innovative Communi Engineering, Inc. 5203 Leesburg Pike, Suite Falls Church, Virginia 22 Principal Investigator/Tec. Contact: Marshall Ojeda Telephone No: 703-931-2060	in. Cations 710 041 hnical		Y CB ORIGIN THER See 1 T FOR PROME	
10.SUBMIT INVOICES (4 copies SHOWN IN ITEM: 6 11. SHIP TO/MARK FOR COD U.S. Nuclear Regulatory Co ACRS Mail Stop: P-315 Washington, DC 20555	E	12. PAYMENT U.S. Nu Divisic GOV/COM	WILL BE MA	DE BY CODE atory Commissio ting and Financ Section
 AUTHORITY FOR USING OTHER [] 10 U.S.C. 2304(c) [] ACCOUNTING AND APPROPRIAT L1406 3-7019-403300 L1215 3-7019-302000 	[X] 41 U. 15450	AND OPEN CC 5.C. 253(c) 6 3 7 (4) 31X0200 31X0200	MPETITION 5] \$15,000.0 \$19,030.8	
ISA.ITEM 15B.SUPPLIES/ NO. SERVICES See Schedule	15C.QU	ANTITY 15D.U	NIT 15E.UN FRI	
		fal amount c		
TACEPTION TO STANDARD FORM SF 7402280250 731116 PDR CONTR NRC-11-94-297 PDR	26 (REV.4-	85)		(48 CFR) 53.214(a)

Contract No. NRC-11-94-297 6353-94-2-00033

TRIPARTITE AGREEMENT

Signature Page

PRIME CONTRACTOR:

US Small	Business Administration
By:	Tester D. Frindle
Name:	CONTRACTING OFFICE
Title:	CONTRACTING OFFICIEN

Date: 11-16-73

SUBCONTRACTOR:

INNOVALI	A A A A A A A A A A A A A A A A A A A
By:	Alumary
Name: 9	Josephiner Dymay
Title:	Resident

Date: 10/19/93

PROCURING OFFICE:

US Nuclea	r Regulatory Commission /
	ale Il plan
By:	May Mar
Name:	Mary H. Mace
Title:	Contracting Officer

Date: 10-18-93

Page 2 of 27

AWARD/CONTRACT

1

A SOLICITATION/CONTRACT FORM B SUPPLIES OR SERVICES AND PRICES/CO C DESCRIPTION/SPECIFICATIONS/WORK ST D PACKAGING AND MARKING E INSPECTION AND ACCEPTANCE F DELIVERIES OR PERFORMANCE G CONTRACT ADMINISTRATION DATA	HE SCHEDULE OSTS
A SOLICITATION/CONTRACT FORM B SUPPLIES OR SERVICES AND PRICES/CO C DESCRIPTION/SPECIFICATIONS/WORK ST D PACKAGING AND MARKING E INSPECTION AND ACCEPTANCE F DELIVERIES OR PERFORMANCE G CONTRACT ADMINISTRATION DATA	OSTS
B SUPPLIES OR SERVICES AND PRICES/CO C DESCRIPTION/SPECIFICATIONS/WORK ST D PACKAGING AND MARKING E INSPECTION AND ACCEPTANCE F DELIVERIES OR PERFORMANCE G CONTRACT ADMINISTRATION DATA	
C DESCRIPTION/SPECIFICATIONS/WORK ST D PACKAGING AND MARKING E INSPECTION AND ACCEPTANCE F DELIVERIES OR PERFORMANCE G CONTRACT ADMINISTRATION DATA	
D PACKAGING AND MARKING E INSPECTION AND ACCEPTANCE F DELIVERIES OR PERFORMANCE G CONTRACT ADMINISTRATION DATA	
E INSPECTION AND ACCEPTANCE F DELIVERIES OR PERFORMANCE G CONTRACT ADMINISTRATION DATA	
F DELIVERIES OR PERFORMANCE G CONTRACT ADMINISTRATION DATA	
G CONTRACT ADMINISTRATION DATA	
H SPECIAL CONTRACT REQUIREMENTS	
PART II - CONT	
I CONTRACT CLAUSES PART III - LIST OF DOCUMENTS, EX	
PART IIC - LIST OF DOCUMENTS, EX	(HIBITS AND OTHER ATTACHMENTS
J LIST OF ATTACHMENTS	
PART IV - REPRESENTATIO	INS AND INSTRUCTIONS
K REPRESENTATIONS, CERTIFICATIONS AN L INSTRUCTIONS, CONDITIONS, AND NOT	O OTHER STATEMENTS OF OFFERORS
L INSTRUCTIONS, CONDITIONS, AND NOT	ICES TO OFFERORS
M EVALUATION FACTORS FOR AWARD	
CONTRACTING OFFICER WILL COMPLETE	ITEM 17 OR 18 AS APPLICABLE
onsideration stated herein. The rights and solve on the state of the subject to and gove the solicitation, and specifications, and specifications, and specifications.	ern(1 by the following documents: (a , if any, and (c) such provisions,
ncorporated by reference herein. Attach	ments are listed herein.)
Attack Accorporated by reference herein. Attack A Solicitation Number, including bu which additions or changes are set for s to the items listed above and on any co onsummates the contract which consists of overnment's solicitation and your offer,	ments are listed herein.) i to sign this document.) Your offer g the additions or changes made by oth in full above, is hereby accepte ontinuation sheets. This award f the following documents: a) the and (b) this award/contract. No
ncorporated by reference herein. Attack 8. [] AWARD (Contractor is not required n Solicitation Number, including ou which additions or changes are set for s to the items listed above and on any co onsummates the contract which consists of overnment's solicitation and your offer, urther contractual document is necessary.	ments are listed herein.) i to sign this document.) Your offer g the additions or changes made by oth in full above, is hereby accepte ontinuation sheets. This award f the following documents: a) the and (b) this award/contract. No
Attack Accorporated by reference herein. Attack AND (Contractor is not required Solicitation Number, including ou which additions or changes are set for s to the items listed above and on any co- onsummates the contract which consists of overnment's solicitation and your offer, arther contractual document is necessary. BA. NAME AND TITLE OF SIGNER	ments are listed herein.) i to sign this document.) Your offer g the additions or changes made by oth in full above, is hereby accepte ontinuation sheets. This award f the following documents: a) the and (b) this award/contract. No
Attack ACCORPORATED by reference herein. Attack Solicitation Number, including ou which additions or changes are set for to the items listed above and on any co onsummates the contract which consists of overnment's solicitation and your offer, inther contractual document is necessary.	ments are listed herein.) i to sign this document.) Your offer g the additions or changes made by oth in full above, is hereby accepte ontinuation sheets. This award f the following documents: a) the and (b) this award/contract. No
Attack A MARD (Contractor is not required Solicitation Number, including ou which additions or changes are set for s to the items listed above and on any co onsummates the contract which consists of overnment's solicitation and your offer, inther contractual document is necessary. A. NAME AND TITLE OF SIGNER (Type or print)	ments are listed herein.) i to sign this document.) Your offer g the additions or changes made by oth in full above, is hereby accepte ontinuation sheets. This award f the following documents: a) the and (b) this award/contract. No 20A, NAME OF CONTRACTING OFFICER Mary H. Mace
Attack A MARD (Contractor is not required Solicitation Number, including ou which additions or changes are set for to the items listed above and on any co- msummates the contract which consists of overnment's solicitation and your offer, inther contractual document is necessary. A. NAME AND TITLE OF SIGNER (Type or print)	ments are listed herein.) i to sign this document.) Your offer g the additions or changes made by oth in full above, is hereby accepte ontinuation sheets. This award f the following documents: a) the and (b) this award/contract. No 20A, NAME OF CONTRACTING OFFICER
Attack A Comporated by reference herein. Attack A Solicitation Number, including bu which additions or changes are set for a to the items listed above and on any co- onsummates the contract which consists of overnment's solicitation and your offer, in ther contractual document is necessary. A. NAME AND TITLE OF SIGNER (Type or print) B. NAME OF CONTRACTOR	<pre>ments are listed herein.) i to sign this document.) Your offer g the additions or changes made by cth in full above, is hereby accepte ontinuation sheets. This award f the following documents: a) the and (b) this award/contract. No 20A. NAME OF CONTRACTING OFFICER Mary H. Mace 20B. UNITED STATES OF AMERICA by</pre>
Attack A Comporated by reference herein. Attack A Solicitation Number, including bu which additions or changes are set for s to the items listed above and on any co- consummates the contract which consists of overnment's solicitation and your offer, inther contractual document is necessary. A. NAME AND TITLE OF SIGNER (Type or print) B. NAME OF CONTRACTOR	<pre>ments are listed herein.) i to sign this document.) Your offer g the additions or changes made by cth in full above, is hereby accepte ontinuation sheets. This award f the following documents: a) the and (b) this award/contract. No 20A. NAME OF CONTRACTING OFFICER Mary H. Mace 20B. UNITED STATES OF AMERICA by</pre>
ncorporated by reference herein. Attack 8. [] AWARD (Contractor is not required n Solicitation Number, including ou which additions or changes are set for s to the items listed above and on any co onsummates the contract which consists of overnment's solicitation and your offer, urther contractual document is necessary. 9A. NAME AND TITLE OF SIGNER	<pre>ments are listed herein.) i to sign this document.) Your offer g the additions or changes made by cth in full above, is hereby accepte ontinuation sheets. This award f the following documents: a) the and (b) this award/contract. No 20A. NAME OF CONTRACTING OFFICER Mary H. Mace 20B. UNITED STATES OF AMERICA</pre>

Page 3 of 27

TABLE OF CONTENTS

AWARD/CONTRACT

PART I		THE SCHEDULE	1
SECTION B.1 B.2 B.3 B.4	60	PROJECT TITLE. BRIEF DESCRIPTION OF WORK (MAR 1987) CONSIDERATION AND OBLIGATIONFIRM FIXED PRICE	1. No also de las
SECTIÓN C.1 C.2 C.3 C.4 C.5 C.6	С.	- DESCRIPTION/SPECIFICATIONS/WORK STATEMENT	10 10 10 7 to a
SECTION D.1			9,9
SECTION E.1 E.2	ES.	52.252-2 CLAUSES INCORPORATED BY REFERENCE (JUN 1988) 1	000
SECTION F.1 F.2 F.3	In	52.252-2 CLAUSES INCORPORATED BY REFERENCE (JUN 1988) 1 PLACE OF DELIVERYREPORTS (JUN 1988)	111111
SECTION G.1 G.2	G	- CONTRACT ADMINISTRATION DATA	
SECTION H.1 H.2 H.3 H.4	H	NRCAR 2052.209-73 CONTRACTOR ORGANIZATIONAL	
PART II		CONTRACT CLAUSES	2
SECTION	I		22

PAGE

TABLE OF CONTENTS

PAGE

τ,	2	52.219-		SPECI	AL 8	(A)	CONTRA	ACT C	ONDIT	IONS	5 .				•	4	24
ĩ.		(FEB 19 52,219	12	SPECI	AL 8	(A)	SUBCON	ITRAC	T CON	DIT	IONS	з.				•	24
τ.	4	(FEB 19 52.219	17	SECTI	ON 8	(A)	AWARD	FEB	1990) .					•		25
ART	III -	LIST C	F D	OCUMEI	NTS,	EXH	IBITS	AND	OTHER	AT.	TACE	IME	NTS	s .		1	27
		- LIST ATTACHM															27

Section B

PART I - THE SCHEDULE

SECTION B - SUPPLIES OR SERVICES AND PRICES/COSTS

B.1 PROJECT TITLE

The title of this project is as follows:

"Development of the ACRS/ACNW Administrative Manual"

[End of Clause]

B.2 BRIEF DESCRIPTION OF WORK (MAR 1987)

The contractor shall develop and prepare an administrative manual that will provide guidance to ACRS/ACNW employees and members in carrying out administrative responsibilities to ensure consistency and compliance with all applicable standards and procedures adopted by the ACRS/ACNW community and the NRC.

[End of Clause]

B.3 CONSIDERATION AND OBLIGATION -- FIRM FIXED PRICE (JUN 1988)

The firm fixed price of this contract is \$34,030.88.

[End of Clause]

B.4 ADVANCE UNDERSTANDINGS

Other provisions of this contract notwithstanding, approval of the following teem within the limits set forth is hereby granted without further authorization from the Contracting Officer.

a. Subcontract

A fixed price type subcontract with Mulkerin Associates Inc. for assistance in the "Development of the ACRS/ACNW Administrative Manual" at a fixed price of \$11,468.

SECTION C - DESCRIPTION/SPECIFICATIONS/WORK STATEMENT

C.1 BACKGROUND

The Advisory Committee on Reactor Safeguards (ACRS) is a statutory Committee established to advise the Commission on the safety aspects of proposed and existing nuclear facilities and the adequacy of proposed reactor safety standards, and to perform such other duties as the Commission may request.

The Advisory Committee on Nuclear Waste (ACNW) is a body of expert consultants to advise the U.S. Nuclear Regulatory Commission (NRC) on all aspects of nuclear waste management within the purview of NRC responsibilities.

Approximately 32 full time NRC employees support the 15 mampers 32 the two committees. The current administrative manual and material provided to new members and staff is voluminous and out of date.

C.2 CONTRACT OBJECTIVES

The objective of this contract is to develop and prepare an administrative manual that will provide guidance to ACRS/ACNW employees and members in carrying out administrative responsibilities to ensure consistency and compliance with all applicable standards and procedures adopted by the ACRS/ACNW community and the NRC.

C.3 SCOPE OF WORK

The contractor shall furnish all necessary personnel, facilities, materials and services to develop and prepare the ACRS/ACNW Administrative Manual. The manual shall:

- (a) define the organizational responsibilities of the Executive Director, the Assistant Executive Director for Operations, and of the Assistant Executive Director for Nuclear Reactors and Nuclear Waste within the office of the ACRS/ACIW;
- (b) effectively communicate and define administrative policies, procedures, responsibilities, authorities, requirements, guidance and information;
- (c) define existing Interagency Agreements and Memoranda of Understanding between other organizations and the ACRS/ACNW.

Page 5 of 27

Section C

C.3 (Continued)

The administrative manual should address the administrative topics and processes pertaining to the ACRS/ACNW staff and members.

The contractor shall assure that the information contained within the manual is in compliance with all Federal laws, regulations and Executive Orders, as well as any applicable standards and procedures adopted by the NRC and the ACRS/ACNW community.

NRC Directives, OMB requirements, Memoranda of Understanding, Interagency Agreements, the Federal Advisory Committee Act, as well as any other applicable laws and regulations, shall be used as developmental sources.

Specific torics to be addressed by the manual include:

Fellowship Pittram Coop Program Members Management Full Committee Meetings Subcommittee Meetings Conflict of Interest Charters ACRS/ACNW FACA Reports ACRS/ACNW Annual Reports News/Media Relations Automated Data Processing Travel Quality Assurance Standards and Procedures Administratively Uncontrollable Overtime Freedom of Information Requests Delegations of Authority Records Management and Retention Regulations Property Management Time and Attendance Financial matters for members Members benefits and responsibilities

This list represents all topics anticipated at this time; however, others may be identified during the course of producing this manual. These topics should each be addressed in separate sections of the manual with detailed explanations of the processes and procedures. The topics related only to members should be in

Page 6 of 27

Section C

C.3 (Continued)

separate sections of the manual. The manual should reference and cross reference other regulations, procedures, etc., when appropriate, and contain a table of contents and a glossary of terms.

An appendix should be included that contains samples of completed forms, flow charts, organizational charts, etc. Additionally, the manual should be indexed by subject.

C.4 PROJECT PLAN

The contractor's plan for the development and preparation of the manual shall include, but is not limited to the following steps:

- a) Review existing NRC policies and procedures. Existing NRC guidance is contained in the NRC Management Directives and various NRC handbooks.
- Review existing ACRS/ACNW internal guidelines, procedures. etc.
- (c) Review the statutory requirements of the FACA and its amendments.
- d) Interview ACRS/ACNW staff members to develop a thorough understanding of the various administrative functions and the systems and procedures used to carry out these functions. Interview staff from NRC Administrative Offices to gain an understanding of their functions and relationship with the ACRS/ACNW.
- (e) Develop a subject cutline for the manual.
- (f) Cross reference current NRC policies and procedures and existing Federal Policies to the subject outline.
- (g) As a result of information gathered from reviewing existing NRC guidance, Federal governing policies and procedures, FACA requirements and staff interviews, additional topic areas may be recommended for inclusion in the Manual.
- (h) Develop outline for the Manual.
- (i) Prepare first draft of the Manual.
- (j) Receive comments on the first draft from ACRS/ACNW staff.
- (k) Prepare second draft of the Manual.
- Receive comments on the second draft from the ACRS/ACIW staff.

Page 7 of 27

Section C

C.4 (Continued)

(m) Incorporate comments and prepare final copy of Manual.

C.5 DELIVERABLES

Manual Outlines. A subject outline and a comprehensive outline.

Draft and final administrative manual. The draft manual shall include a draft of the cover design. The final manual shall be in an 8-1/2 x 11 (two-sided pages) and placed in 3-ring binders. The final manual shall have a simple cover design and be formatted similarly to other NRC manuals. Three copies of the draft manual, one copy of the draft cover design, and fifty copies of the final manual with final cover design shall be provided.

The final manual shall be provided on diskettes in Wordperfect t.l file format.

The manual shall be delivered in draft form within 14 weeks after notice to proceed. The final manual shall be delivered within 14 working days after the ACRS/ACNW approves the final draft.

The final manual shall be considered delivered upon a formal declaration of acceptance from the ACRS/ACNW.

The NRC will review the outlines within 3 work days of receipt and review drafts of the manual within 5 work days.

C.6 MEETINGS AND TRAVEL

It is anticipated that the contractor shall travel to NRC-ACRS/ACNW offices in Betnesda, Maryland. Identified travel requirements shall be coordinated with the ACRS/ACNW Project Officer.

Section D

SECTION D - PACKAGING AND MARKING

D.1 PACKAGING AND MARKING (MAR 1987)

The Contractor shall package material for shipment to the NRC in such a manner that will ensure acceptance by common carrier and safe delivery at destination. Containers and closures shall comply with the Interstate Commerce Commission Regulations. Uniform Freight Classification Rules, or regulations of other carriers as applicable to the mode of transportation. On the front of the package, the Contractor shall clearly identify the contract number under which the product is being provided.

Section E

SECTION E - INSPECTION AND ACCEPTANCE

E.1 52.252-2 CLAUSES INCORPORATED BY REFERENCE (JUN 1988)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available.

I. FEDERAL ACQUISITION REGULATION 48 CFR CHAPTER 1) CLAUSES

NUMBER TITLE DATE 52.246-4 INSPECTION OF SERVICES FEB 199 - FIXED-PRICE

[End of Clause]

E.2 PLACE OF INSPECTION AND ACCEPTANCE (MAR 1987)

Inspection and acceptance of the deliverable items to be furnished hereunder shall be made by the Project Officer at the destination.

[End of Clause]

Page 10 of 27

Section F

SECTION F - DELIVERIES OR FERFORMANCE

F.1 52.252-2 CLAUSES INCORPORATED BY REFERENCE (JUN 1988)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available.

FEDERAL ACQUISITION REGULATION (48 CFR CHAPTER 1) CLAUSES

NUMBER TITLE

DATE

52.212-13 STOP-WORK ORDER

AUG 1989

End of Clause

F.2 PLACE OF DELIVERY -- REPORTS (JUN 1988)

The items to be furnished hereunder shall be delivered, with all charges paid by the Contractor, to:

(a) Project Officer

Subject Outline(1 copy)Comprehensive (Manual) Outline1 copy)1st Draft Manual(3 copies)2nd Draft Manual(3 copies)Manual Cover Design(1 copy)Final Manual with/(50 copies)Cover Design(50 copies)

U.S. Nuclear Regulatory Commission Advisory Committee on Reactor Safeguards Mail Stop: P-315 Washington, DC 20555

(b) Contracting Officer (1 copy)

[End of Clause]

Page 11 of 27

NRC 11-94-297

F.3 DURATION OF CONTRACT PERIOD (MAR 1987)

This contract shall commence on the effective date and will expire five (5) months thereafter.

Section G

SECTION G - CONTRACT ADMINISTRATION DATA

- G.1 NRCAR 2052.215-72 PROJECT OFFICER AUTHORITY ALTERNATE 1 (JAN 1993)
 - (a) The contracting officer's authorized representative, hereinafter referred to as the project officer for this contract is:

Name: Michael L. MacWilliams

Address: U.S. Nuclear Regulatory Commission Advisory Committee on keactor Safeguards Mail Stop: P-315 Washington, DC 20555

Telephone Number: 301-492-8143

- (b) The project officer shall:
 - Monitor contractor performance and recommend to the contracting officer changes in requirements.
 - (2) Inspect and accept products/services provided under the contract.
 - (3) Review all cont. for invoices/vouchers requiting payment for pross/services provided under the contract and make recommendations for approval, disapproval, or suspension.
- (c) The project officer may not make changes to the express terms and conditions of this contract.

[End of Clause]

Page 13 of 27

G.2 PAYMENT SCHEDULE

Upon receipt of the following deliverables and the applicable invoice, the Government will pay the amounts and percentages of the total contract amount of \$34,030.80 as stated below.

Deliverable	% of Contract Amount	Payment Amount
Conceptual Subject Outline Comprehensive Outline First Draft of Manual Final Draft of Manual Final Manual	5% 15% 30% <u>20%</u> 100%	\$1,701.54 5,104.62 10,209.24 10,209.24 <u>6,806,16</u> \$34,030.80

Acceptance by the NRC of the deliverable items to be furnished hereunder shall be required prior to billing for any scheduled payments.

Section H

SECTION H - SPECIAL CONTRACT REQUIREMENTS

H.1 NRCAR 2052.209-73 CONTRACTOR ORGANIZATIONAL CONFLICTS OF INTEREST (JAN 1993)

- a) <u>Purpose</u>. The primary purpose of this clause is to aid in ensuring that the contractor:
 - (1) Is not placed in a conflicting role because of current or planned interests (financial, contractual, organizational, or otherwise) which relate to the work under this contract; and
 - (2) Does not obtain an unfair competitive advantage over other parties by virtue of its performance of this contract.
- (b) <u>Scope</u>. The restrictions described apply to performance or participation by the contractor, as defined in 48 CFR 2009.570-2 in the activities covered by this clause.
- c) Work for others.
 - (1) Notwithstanding any other provision of this contract, during the term of this contract the contractor agrees to forego entering into consulting or other contractual arrangements with any firm or organization, the result of which may give rise to a conflict of interest with respect to the work being performed under this contract. The contractor shall ensure that all employees under this contract abide by the provision of this clause. If the contractor has reason to believe with respect to itself or any employee that any proposed consultant or other contractual arrangement with any firm or organization may involve a potential conflict of interest, the contractor shall obtain the written approval of the contracting officer before the execution of such contractual arrangement.
 - (2) The contractor may not represent, assist, or otherwise support an NRC licensee or applicant undergoing in NRC audit, inspection, or review where the activitie, that are the subject of the audit, inspection or review are the same as or substantially similar to the services within the scope of this contract (or task order as appropriate), except where the NRC licensee or applicant requires the contractor's support to explain or defend the contractor's prior work for the utility or other entity which NRC questions.

Page 15 of 27

H.1 (Continued)

- (3) When the contractor performs work for the NRC under this contract at any NRC licensee or applicant site, the contractor shall neither solicit nor perform work in the same or similar technical area for that licensee or applicant organization for a period commencing with the award of the task order or beginning of work on the site (if not a task order contract) and ending one year after completion of all work under the associated task order, or last time at the site (if not a task order contract).
- (4) When the contractor performs work for the NRC under this contract at any NRC licensee or applicant site.
 - The contractor may not solicit work at that site for that licensee or applicant during the period of performance of the task order or the contract, as appropriate.
 - The contractor may not perform work at that site for that licensee or applicant during the period of performance of the task order or the contract, as appropriate, and for one year thereafter.
 - (iii) Notwithstanding the foregoing, the contracting officer may authorize the contractor to solicit or perform this type of work (except work in the same or similar technical area) if the contracting officer determines that the situation will not pose a potential for technical bias or unfair competitive advantage.
- (d) Disclosure after award.
 - (1) The contractor warrants that to the best of its knowledge and belief, and except as otherwise set forth in this contract, it does not have any organizational conflicts of interest as defined in 48 CFR 2009.570-2.
 - (2) The contractor agrees that, if after award, it discovers organizational conflicts of interest with respect to this contract, it shall make an immediate and full disclosure in writing to the contracting officer. This statement must include a description of the action which the contractor has taken or proposes to take to avoid or mitigate such conflicts. The NRC may, however, terminate the contract if termination is in the rest interest of the government.
 - (3) It is recognized that the scope of work of a task-order-type contract necessarily encompasses a broad

H.1 (Continued)

spectrum of activities. Consequently, if this is a task-order-type contract, the contractor agrees that it will disclose all proposed new work involving NRC licensees or applicants which comes within the scope of work of the underlying contract. Further, if this contract involves work at a licensee or applicant site, the contractor agrees to exercise diligence to discover and disclose any new work at that licensee or applicant site. This disclosure must be made before the submission of a bid or proposal to the utility or other regulated entity and must be received by the NRC at least 15 days before the proposed award date in any event, unless a written justification demonstrating urgency and due diligence to discover and disclose is provided by the contractor and approved by the contracting officer. The disclosure must include the statement of work, the dollar value of the proposed contract, and any other documents that are needed to fully describ- the proposed work for the regulated utility or other regulated entity. NRC may deny approval of the disclosed work only when the NRC has issued a task order which includes the technical area and, if site-specific, the site, or has plans to issue a task order which includes the technical area and, if site-specific, the site, or when the work violates paragraphs (c)(2), c)(3) or (c)(4) of this section.

- (e) Access to and use of information.
 - (1) If in the performance of this contract, the contractor obtains access to information, such as NRC plans, policies, reports, studies, financial plans, internal data protected by the Privacy Act of 1974 (5 U.S.C. Section 552a (1988)), or the Freedom of Information Act (5 U.S.C. Section 552 (1986)), the contractor agrees not to:
 - Use this information for any private purpose until the information has been released to the public;
 - (ii) Compete for work for the Commission based on the information for a period of six months after either the completion of this contract or the release of the information to the public, whichever is first;
 - (iii) Submit an unsolicited proposal to the Government based on the information until one year after the release of the information to the public; or
 - (iv) Release the information without prior written approval by the contracting officer unless the information has previously been released to the

Page 17 of 27

H.1 (Continued)

public by the NRC.

- (2) In addition, the contractor agrees that, to the extent it receives or is given access to proprietary data, data protected by the Privacy Act of 1974 (5 U.S.C. Section 552a (1988)), or the Freedom of Information Act (5 U.S.C. Section 552 (1986)), or other confidential or privileged technical, business, or financial information under this contract, the contractor shall treat the information in accordance with restrictions placed on use of the information.
- (3) Subject to patent and security provisions of this contract, the contractor shall have the right to use technical data it produces under this contract for private purposes provided that all requirements of this contract have been met.
- If <u>Subcontracts</u>. Except as provided in 48 CFR 2009.570-2, the contractor shall include this clause, including this paragraph, in subcontracts of any tier. The terms contract, contractor, and contracting officer, must be appropriately modified to preserve the Government's rights.
- (g) <u>Remedies</u>. For breach of any of the above restrictions, or for intentional nondisclosure or misrepresentation of any relevant interest required to be disclosed concerning this contract or for such erroneous representations that necessarily imply bad faith, the Government may terminate the contract for default, disgualify the contractor from subsequent contractual efforts, and pursue other remedies permitted by law or this contract.
- (h) <u>Waiver</u>. A request for waiver under this clause must be directed in writing to the contracting officer in accordance with the procedures outlined in 48 CFR 2009.570-9.
- (i) <u>Follow-on effort</u>. The contractor shall be ineligible to participate in NRC contracts, subcontracts, or proposals therefor (solicited or unsolicited), which stem directly from the contractor's performance of work under this contract. Furthermore, unless so directed in writing by the contracting officer, the contractor may not perform any technical consulting or management support services work or evaluation activities under this contract on any of its products or services or the products or services of another firm if the contractor has been substantially involved in the development or marketing of the products or services.
 - If the contractor, under this contract, prepares a complete or essentially complete statement of work or

H.1 (Continued)

specifications, the contractor is not eligible to perform or participate in the initial contractual effort which is based on the statement of work or specifications. The contractor may not incorporate its products or services in the statement of work or specifications unless so directed in writing by the contracting officer. in which case the restrictions in this paragraph do not apply.

(2) Nothing in this paragraph precludes the contractor from offering or selling its standard commercial items to the Government.

End of Clause)

H.2 NRCAR 2052.210-71 DRAWINGS, DESIGNS, SPECIFICATIONS, AND OTHER DATA (JAN 1993)

All drawings, sketches, designs, design data, specifications, notebooks, technical and scientific data, and all photographs, negatives, reports, findings, recommendations, other data and memoranda of every description relating thereto, as well as all copies of the foregoing relating to the work or any part thereto, are subject to inspection by the Commission at all reasonable times. Inspection of the proper facilities must be afforded the Commission by the contractor and its subcontractors. These data are the property of the Government and may be used by the Government for any purpose whatsoever without any claim on the part of the contractor and its subcontractors and vendors for additional compensation and must, subject to the right of the contractor to retain a copy of the material for its own use, be delivered to the Government, or otherwise disposed of by the contractor as the contracting officer may direct during the progress of the work or upon completion or termination of this contract. The contractor's right of retention and use is subject to the security, patent, and use of information provisions, if any, of this contract.

[End of Clause]

H.3 NRCAR 2052.215-70 KEY P'RSONNEL (JAN 1993)

(a) The following individuals are considered to be essential to the successful performance of the work hereunder:

Marshall Ojeda - Project Leader

H.3 (Continued)

The contractor agrees that personnel may not be removed from the contract work or replaced without compliance with paragraphs (b) and (c) of this section.

- (b) If one or more of the key personnel, for whatever reason, becomes, or is expected to become, unavailable for work under this contract for a continuous period exceeding 30 work days, or is expected to devote substantially less effort to the work than indicated in the proposal or initially anticipated, the contractor shall immediately notify the contracting officer and shall, subject to the concurrence of the contracting officer, promptly replace the personnel with personnel of at least substantially equal ability and qualifications.
- (c) Each request for approval of substitutions must be in writing and contain a detailed explanation of the circumstances necessitating the proposed substitutions. The request must also contain a complete resume for the proposed substitute and other information requested or needed by the contracting officer to evaluate the proposed substitution. The contracting officer or his/her authorized representative shall evaluate the request and promptly notify the contractor of his or her approval or disapproval in writing.
- (d) If the contracting officer determines that suitable and timely replacement of key personnel who have been reassigned, terminated, or have otherwise become unavailable for the contract work is not reasonably forthcoming, or that the resultant reduction of productive effort would be so substantial as to impair the successful completion of the contract or the service order, the contract may be terminated by the contracting officer for default or for the convenience of the Government, as appropriate. If the contracting officer finds the contractor at fault for the condition, the contract price or fixed fee may be equitably adjusted downward to compensate the Government for any resultant delay, loss, or damage.

[End of Clause]

H.4 GOVERNMENT FURNISHED EQUIPMENT/PROPERTY (JUNE 1988)

- (a) The NRC will provide the contractor with the following items for use under this contract:
 - 1. Sample Administrative Manual
 - 2. Existing Administrative Manual Materials
 - 3. Copy of the FACA
 - NRC Directives, Memoranda of Understanding, and Interagency Agreements

H.4 (Continued)

(b) Only the equipment/property listed above in the quantities shown will be provided by the Government. This property is subject to the provisions of the Government Property clause under this contract. All other equipment/property required in performance of the contract shall be furnished by the Contractor.

Section I

PART II - CONTRACT CLAUSES

SECTION I - CONTRACT CLAUSES

I.1 52.252-2 CLAUSES INCORPORATED BY REFERENCE (JUN 1988)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available.

I. FEDERAL ACQUISITION REGULATION (48 CFR CHAPTER 1) CLAUSES

NUMBER	TITLE	DATI	Ē
52.202	DEFINITIONS	075	1991
52,203-1	OFFICIALS NOT TO BENEFIT	100	1024
52.203-3	GRATUITIES	APR	1984
52.203-5	COVENANT AGAINST CONTINGENT FEES		1985
52.203-6	RESTRICTIONS ON SUBCONTRACTOR	000	7392
	SALES TO THE GOVERNMENT	-	
52.203-7		OCT	1988
52.203-10	PRICE OR FEE DJUSTMENT FOR	SEP	1990
	ILLEGAL OR IL_KOPER ACTIVITY		
52.209-6	PROTECTING THE GOVERNMENT'S	NOV	1992
	INTEREST WHEN SUBCONTRACTING WITH		
	CONTRACTORS DEBARRED, SUSPENDED,		
	OR PROPOSED FOR DEBARMENT		
52.215-1	EXAMINATION OF RECORDS BY	FEB	1993
	COMPTROLLER GENERAL		
52.215-2	AUDIT - NEGOTIATION	FEB	1993
52.215-22	PRICE REDUCTION FOR DEFECTIVE	JAN	1991
	COST OR PRICING DATA		
52,215-24	SUBCONTRACTOR COST OR	DEC	1991
	PRICING DATA		
52.215-27	TERMINATION OF DEFINED BENEFIT	SEP	1989
	PENSION PLANS		
52.215-33	ORDER OF PRECEDENCE	JAN	1986
52.215-39	REVERSION OR ADJUSTMENT OF PLANS	JUL	1991
	FOR POSTRETIREMENT BENEFITS OTHER		
	THAN PENSIONS (PRB)		
52.219-8	UTILIZATION OF SMALL BUSINESS	FEB	1990
Star I Ar a V	CONCERNS AND SMALL		
	DISADVANTAGED BUSINESS CONCERNS		
52.219-13	UTILIZATION OF WOMEN-OWNED	AUG	1986
an in the second of the second	SMALL BUSINESSES		340.97. 90. 90.
52.219-14	LIMITATIONS ON SUBCONTRACTING	JAN	1991
52.220-3	UTILIZATION OF LABOR SURPLUS	ADD	1984
22.220-3	AREA CONCERNS	252.11	22004
	CONVICT LABOR	5 00	1984
52.222-3	CONVICT LABOR	AFR	7504

Page 22 of 27

Section I

I.1 (Continued)

NUMBER	TITLE	DAT	E
52,222-4	CONTRACT WORK HOURS AND SAFETY STANDARDS ACT - OVERTIME COMPENSATION	MAR	1986
	EQUAL OPPORTUNITY	ADD	1984
52.222-26	AFFIRMATIVE ACTION FOR SPECIAL		1984
52.222-35	DISABLED AND VIETNAM ERA VETERANS	ALA	2204
52.222-36	AFFIRMATIVE ACTION FOR	APR	1984
war i er te te d'a	HANDICAPPED WORKERS		
92,222.37	EMPLOYMENT REPORTS ON SPECIAL	JAN	1988
201000 07	DISABLED VETERANS AND VETERANS OF THE VIETNAM ERA		
62.223	DRUG-FREE WORKPLACE		1990
52.225-1.	RESTRICTIONS ON CERTAIN FOREIGN		1992
	PURCHASES		
52.225-19	EUROPEAN COMMUNITY SANCTION FOR SERVICES	MAY	1993
62.227 1	AUTHORIZATION AND CONSENT	APR	1984
82.227-2	NOTICE AND ASSISTANCE REGARDING	APR	1984
	PATENT AND COPYRIGHT INFRINGEMENT		
52.229-4	FEDERAL, STATE, AND LOCAL	JAN	1991
N AL A MARKET ST	TAXES (NONCOMPETITIVE CONTRACT)		
52.232-1	PAYMENTS	APR	1984
52.232-8	DISCOUNTS FOR PROMPT PAYMENT	APR	1989
52.232.9	LIMITATION ON WITHHOLDING OF	APR	1984
and a phone of the state	PAYMENTS		
52.232-11	EXTRAS	APR	1984
52.232-17	INTEREST	JAN	
52.232-23	ASSIGNMENT OF CLAIMS	JAN	1986
52.232-25	PROMPT PAYMENT	SEP	1992
	ELECTRONIC FUNDS TRANSFER	APR	
52.232-28	PAYMENT METHODS		
52,233-1	DISPUTES		1991
52.233-3	PROTEST AFTER AWARD		1989
52,242-13	BANKRUPTCY		1991
52.243-1	CHANGES - FIXED-PRICE	AUG	1987
	Alternate III (APR 1984)		
52.244-5	COMPETITION IN SUBCONTRACTING		1984
52.245-1		APR	1954
52.245-4	GOVERNMENT-FURNISHED PROPERTY	APR	1984
	(SHORT FORM)		
52.249-4	TERMINATION FOR CONVENIENCE	APR	1984
5 M C 8 4 7 7	OF THE GOVERNMENT		
	(SERVICES) (SHORT FORM)		
52.249-8	DEFAULT (FIXED-PRICE SUPPLY	APR	1984
201042.2	AND SERVICE)	10.000	
52.253-1	CCMPUTER GENERATED FORMS	TAN	1991
26.622.1	CALL OF THE OPERATION FOR COMPANY		

[End of Clause]

Page 23 of 27

Section I

I.1 (Continued)

NUMBER TITLE

DATE

I.2 52.219-11 SPECIAL 8(A) CONTRACT CONDITIONS (FEB 1990)

The Small Business Administration (SBA) agrees to the following:

- (a) To furnish the supplies or services set forth in this contract according to the specifications and the terms and conditions hereof by subcontracting with an eligible concern pursuant to the provisions of section 8(a) of the Small Business Act, as amended (15 U.S.C. 637(a)).
- (b) That in the event SBA does not award a subcontract for all or a part of the work hereunder, this contract may be terminated either in whole or in part without cost to either party.
- (c) Except for novation agreements and advance payments, delegates to the U.S. Nuclear Regulatory Commission the responsibility for administering the subcontract to be awarded hereunder with complete authority to take any action on behalf of the Government under the terms and conditions of the subcontract; provided, however, that the U.S. Nuclear Regulatory Commission shall give advance notice to the SBA before it issues a final notice terminating the right of a subcontractor to proceed with further performance, either in whole or in part, under the subcontract for default or for the convenience of the Government.
- (d) That payments to be made under any subcontract awarded under this contract will be made directly to the subcontractor by the U.S. Nuclear Regulatory Commission.
- (e) That the subcontractor awarded a subcontract belownder shall have the right of appeal from decisions of the Contracting Officer cognizable under the "Disputes" clause of said subcontract.
- (f) To notify the U.S. Nuclear Regulatory Commission Contracting Officer immediately upon notification by the subcontractor that the owner or owners upon whom 8(a) eligibility was based plan to relinguish ownership or control of the concern.

[End of Clause]

- I.3 52.219-12 SPECIAL 8(A) SUBCONTRACT CONDITIONS (FEB 1990)
 - (a) The Small Business Administration (SBA) has entered into Contract No. NRC-11-94-297 with the U.S. Nuclear Regulatory

Page 24 of 27

Section I

I.3 (Continued)

Commission to furnish the supplies or services as described therein. A copy of the contract is attached hereto and made a part hereof.

- (b) The Innovative Communications Engineering, Inc., hereafter referred to as the subcontractor, agrees and acknowledges as follows:
 - (1) That it will, for and on behalf of the SBA, fulfill and perform all of the requirements of Contract No. NRC-11-94-297 for the consideration stated therein and that it has read and is familiar with each and every part of the contract.
 - (2) That the SBA has delegated responsibility, except for novation agreements and advance payments, for the administration of this subcontract to the U.S. Nuclear Regulatory Commission with complete authority to take any action on behalf of the Government under the terms and conditions of this contract.
 - (3) That it will not subcontract the performance of any of the requirements of this subcontract to any lower tier subcontractor without the prior written approval of the SBA and the designated Contracting Officer of the U.S. Nuclear Regulatory Commission.
 - (4) That it will notify the U.S. Nuclear Regulatory Commission Contracting Officer in writing immediately upon entering an agreement (either oral or written) to transfer all or part of its stock or other ownership interest to any other party.
- (c) Payments, including any progress payments under this subcontract, will be made directly to the subcontractor by the U.S. Nuclear Regulatory Commission.

- I.4 52.219-17 SECTION 8(A) AWARD (FEB 1990)
 - (a) By execution of a contract, the Small Business Administration (SBA) agrees to the following:
 - To furnish the supplies or services set forth in the contract according to the specifications and the terms and conditions by subcontracting with the Offeror who has been determined an eligible concern pursuant to the provisions of section 8(a) of the Small Business Act, as amended (15 U.S.C. 637(a)).

I.4 (Continued)

- (2) Except for novation agreements and advance payments, delegates to the U.S. Nuclear Regulatory Commission the responsibility for administering the contract with complete authority to take any action on behalf of the Government under the terms and conditions of the contract; provided, however that the contracting agency shall give advance notice to the SBA before it issues a final notice terminating the right of the subcontractor to proceed with further performance, either in whole or in part, under the contract.
- (3) That payments to be made under the contract will be made directly to the subcontractor by the contracting activity.
- (4) To notify the U.S. Nuclear Regulatory Commission Contracting Officer immediately upon notification by the subcontractor that the owner or owners upon whom 8(a) eligibility was based plan to relinquish ownership or control of the concern.
- (b) The offeror/subcontractor agrees and acknowledges that it will, for and on behalf of the SBA, fulfill and perform all of the requirements of the contract.

PART III - LIST OF DOCUMENTS, EXHIBITS AND OTHER ATTACHMEN'S

SECTION J - LIST OF ATTACHMENTS

J.1 ATTACHMENTS (MAR 1987)

Attachment Number	Title	
	Billing Instructions	
2	NRC Contractor Organizational of Interest	Conflicts