



UNITED STATES
 NUCLEAR REGULATORY COMMISSION
 REGION I
 631 PARK AVENUE
 KING OF PRUSSIA, PENNSYLVANIA 19406

February 23, 1983

*SB LC
 who has the
 Action on this
 subject noted*

MEMORANDUM FOR: Jane Axelrad, Acting Director of Enforcement, IE
 FROM: Daniel J. Holody, Enforcement Specialist, RI
 SUBJECT: NIAGARA MOHAWK POWER CORPORATION (NINE MILE POINT
 2) - PROPOSED CIVIL PENALTY

1. A contractor's use of uncertified individuals (trainees) to perform certain quality control inspections without the required supervision.
2. Certified contractor inspectors initialing inspection reports indicating they performed certain inspections, when in fact, some of the inspections were only performed by trainees.
3. Awareness of the two above mentioned practices by first and second line contractor supervision and their failure to take action to discontinue these practices. (Neither the licensee, nor the contractor's upper level management were aware of these practices.)

Also attached is the report of the Enforcement Conference. The Investigation Report (I-82-078), which was transmitted to us December 22, 1982 by the Office of Investigation and which provides the details associated with this case, was previously forwarded to you by the Office of Investigations. Region I transmitted the expurgated version of the investigation report to the licensee on February 17, 1983.

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 PDR FOIA
 PERSON90-270 PDR

Daniel J. Holody
 Daniel J. Holody
 Enforcement Specialist

- Enclosures:
1. Proposed Letter and "Notice of Violation and Proposed Imposition of Civil Penalty"
 2. Enforcement Conference Report
 50-410/82-13
- cc: See next page



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June 13, 1990

HAND DELIVERED

FREEDOM OF INFORMATION
ACT REQUEST

Director, Division of Freedom of
Information and Publication
Services
Office of Administration
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

FOIA-90-270
Rec'd 6-B-90

Attention: Ms. Linda Robinson

Re: Freedom of Information Act Request

Dear Ms. Robinson:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, et seq., as supplemented by the NRC's implementing regulations, 10 C.F.R. § 9.11, et seq., we hereby request that you produce for inspection and copying the documents described in the attachments to this letter. This request primarily involves documents relating to the design and construction of Nine Mile Point Unit 2 (NMP-2), NRC docket no. 50-410. Set forth in Attachment A hereto is the list of the categories of documents we would like to review. These categories include all documents related to the specific NRC meetings, correspondence, inspections, and reports identified in Attachment A. Set forth in Attachment B is a detailed description of some of the particular types of documents that we would like to review relating to each of the categories identified in Attachment A.

As used herein, the term "NRC" includes all offices and divisions within the Agency having any involvement with the subject matter of this request, including the NRC Regional Office

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for Region I, the NRC Resident Inspector's office at the NMP-2 site, and the Office of Inspection and Enforcement. As also used herein, the term "document", unless otherwise specifically limited, means all correspondence, letters, memoranda (internal and external), records of telephone conversations, notes, reports, agreements, guidelines, procedures, meeting slides, and the like, whether in draft or final form, that are in any way relevant to the categories of documents identified in the attachments.

We look forward to your response within the time limits prescribed by the regulations. We would note that in the event you consider any document to be exempt from production, the non-exempt portions of the document should be released. We also appreciate that this FOIA request is fairly extensive, and we are more than willing to provide you with any additional information or offer any clarification you may need in processing this request. Further, due to the potential voluminousness of the initial production for inspection, we would ask that you consider releasing the documents to us in stages as you accumulate them rather than waiting until all documents subject to production have been accumulated.

Finally, consistent with the regulations, we agree to pay whatever charges are incurred in processing this request. Please give me a call at (202) 955-6790 if you have any questions on these matters.

Very truly yours,



John C. Person

Attachment A

Following below are the specific categories of documents for which we are seeking related documents, as described more fully in Attachment B.

1. Letter from Kenneth L. Kiper, NRC Project Manager Licensing Branch No. 1, to Niagara Mohawk Power Corp. (NMPC), dated March 4, 1981, re: Summary of meeting held January 26, 1981 to discuss the reorganization of NMP-2 QA.
2. All personal files, memoranda, and notes of W. Kiper, W. Haass, J. Gilray, and P. McKee that relate to the meeting held on January 26, 1981 to discuss the reorganization of NMP-2 QA.
3. Letter from Eldon J. Brunner, Chief of the NRC Projects Branch No. 1, Division of Resident and Project Inspection, to NMPC, dated September 4, 1981, re: Inspection 50-410/81-08 performed at NMP-2 from August 4-6, 1981.
4. All personal files, memoranda, and notes of R.A. Feil and H.B. Kister that relate to Inspection 50-410/81-08 performed at NMP-2 from August 4-6, 1981.
5. Meeting between NMPC and Region I held in Bethesda, Maryland on or about January 13, 1982.
6. Construction Appraisal Team (CAT) Inspection 50-410/81-13 dated March 17, 1982, conducted between November 30, 1981 and December 18, 1981 at NMP-2.
7. All personal files, memoranda, and notes of S.K. Chaudhary, R.J. Paolino, S.D. Reynolds, L.E. Tripp, R. Schultz, and S.D. Ebnetter that relate to CAT Inspection 50-410/81-13 conducted between November 30, 1981 and December 18, 1981 at NMP-2.
8. NRC Inspection Report No. 82-03 transmitted to NMPC by letter dated June 19, 1982, summarizing inspection conducted between March 29, 1982 and April 30, 1982.
9. All personal files, memoranda, and notes of R.D. Schultz, A.S. Varela, H.B. Kister, and R.W. Starostecki that relate to NRC Inspection Report no. 82-03.
10. Meeting between the NRC and NMPC concerning Inspection 50-410/81-13 held at the Region I offices on or about April 14, 1982.
11. All personal files, memoranda, and notes of R.T. Carlson, S.D. Ebnetter, E.J. Brunner, L.E. Tripp, and S.K.

Chaudhary that relate to the NRC meeting on Inspection 50-410/81-13 held on April 14, 1982.

12. Management Meeting Report No. 50-410/82-06 dated June 1, 1982, as transmitted to NMPC by letter dated June 18, 1982.

13. All personal files, memoranda, and notes of R.W. Starostecki, E.J. Brunner, H.B. Kister, and R.D. Schultz that relate to the NRC management meeting summarized in Report no. 50-410/82-06.

14. Enforcement Conference Report No. 50-410/82-08 dated July 6, 1982, as transmitted to NMPC by letter dated September 1, 1982.

15. All personal files, memoranda, and notes of E.J. Brunner, S.D. Ebnetter, D.J. Holody, R.W. Starostecki, and R.D. Schultz that relate to the enforcement conference referred to in Report no. 50-410/82-08.

16. Enforcement Conference Report No. 50-410/82-13 dated October 20, 1982.

17. All personal files, memoranda, and notes of R.C. Haynes, D.J. Holody, H.B. Kister, R.D. Schultz, and R.W. Starostecki that relate to the enforcement conference referred to in Report no. 50-410/82-13.

18. Letter from the NRC's Starostecki to NMPC dated October 28, 1982 (references a meeting held on October 20, 1982).

19. NRC Investigation 50-410/1/-82-078 conducted between November 1-4, 1982.

20. All personal files, memoranda, and notes of R.A. Matukas, R.K. Christopher, and J.A. Fitzgerald that relate to NRC investigation 50-410/1/-82-078.

21. Systematic Assessment of Licensee Performance (SALP) report for NMP-2 dated December 12, 1982, covering the period October 1, 1981 through September 30, 1982.

22. All personal files, memoranda, and notes of R.W. Starostecki, T. Martin, E.G. Greenman, H.B. Kister, R.D. Schultz, and M. Lynch that relate to the SALP report for NMP-2 dated December 12, 1982.

23. NRC Inspection No. 82-01, conducted from January 3, 1983 through February 4, 1983.

24. All personal files, memoranda, and notes of R.D. Schultz, J. Grant, H.B. Kister, and R.W. Starostecki that relate to NRC inspection 83-01.

25. Enforcement Action Letter 50-410/EA-83-16, dated April 26, 1983, concerning an NRC inspection at NMP-2 conducted between August 30, 1982 and September 30, 1982.

26. All personal files, memoranda, and notes of R. Abbott, J. Ash, C. Austin, W. Baker, J. Bebko, D. Cordone, J. Dillan, D. Dise, A. Kordalewski, T. Loomis, C. Millian, J. Ptak, R. Smith, C. Stuart, C. Terry, J. Warner, C. Silczek, Jr., G. Wilson, A. Zalinick, K. Ward, and R. Pulsifer that relate to the inspection conducted between August 30, 1982 and September 30, 1982 at NMP-2.

27. Management Meeting Report No. 50-41/83-09, dated May 23, 1983.

28. All personal files, memoranda, and notes of S.D. Ebnetter, R.B. Keimig, H.B. Keister, and R.W. Starostecki that relate to the management meeting referred to in Report No. 50-41/83-09.

29. Enforcement Action Letter 50-410/EA-83-16, dated August 2, 1983, concerning NRC audit conducted between August 30, 1982 and September 30, 1982.

30. NRC Inspection 83-12, conducted between August 8, 1983 and September 21, 1983.

31. All personal files, memoranda, and notes of R.A. Gramm, J. Grant, R.M. Gallo, and R.W. Starostecki that relate to NRC Inspection 83-12 for NMP-2.

32. Enforcement Conference Report No. 50-410/83-14, dated August 30, 1983.

33. All personal files, memoranda, and notes of J.A. Allan, S.D. Ebnetter, R.M. Gallo, R.A. Gramm, J.M. Grant, E.H. Gray, D.J. Holody, H.B. Kister, T.T. Martin, L.M. Narrow, and R.W. Starostecki that relate to the Enforcement Conference referred to in Report No. 50-410/83-14.

34. Minutes dated December 29, 1983 of a meeting held on December 12, 1983 concerning the NMP-2 SAL9 evaluation for the period October 1, 1982 through September 30, 1983.

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35. All personal files, memoranda, and notes of R.W. Starostecki, H.B. Kister, A. Schwencer, R.M. Gallo, S.J. Collins, L.H. Bettenhausen, N.F. Haughey, and R.A. Gramm that relate to the NMP-2 SALP Report dated December 12, 1983.

36. Meeting between the NRC and NMPC held on or about January 30, 1984, re: SALP/CAT Audit Results.

37. All personal files, memoranda, and notes of J. Milhoan, J. Gilray, G. Naputa, M. Haughey, and M. Jones that relate to the meeting between the NRC and NMPC held on or about January 30, 1984 concerning the SALP/CAT audit results.

38. CAT Inspection Report 50-410/83-18 dated January 31, 1984, concerning inspection conducted at NMP-2 between November 7, 1983 and December 9, 1983.

39. All personal files, memoranda, and notes of A.B. Beach, G.B. Georgir, W.A. Hansen, D.B. Osborne, H.W. Phillips, H.J. Wong, J.M. Grant, R.M. Compton, D.C. Ford, W. Marini, E.Y. Marindale, F.A. Pimental, and R.F. Heishmann that relate to CAT Inspection 50-410/83-18. V=97
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40. Enforcement Action Letter EA 83-137, dated February 20, 1984, re: CAT Inspection conducted on November and December 1983.

41. NRC Inspection 84-06, conducted at NMP-2 between April 9, 1984 and May 11, 1984.

42. All personal files, memoranda, and notes of R.A. Gramm, W.H. Bowman, S.J. Collins, M. Haughey, and J. Cavalot that relate to NRC Inspection 84-06.

43. Meeting between the NRC and NMPC held on or about May 23, 1984.

44. All personal files, memoranda, and notes of M. Haughey, A. Schwencer, N. Srinivasan, A. Ungaro, J. Knight, and M. Flegec that relate to the meeting between the NRC and NMPC held on or about May 23, 1984.

45. Meeting between the NRC and NMPC held at Region I on or about January 11, 1984 concerning the 1983 SALP results.

46. NRC Meeting at Region I held on or about November 15, 1984 relative to an NRC order dated March 20, 1984.

47. All personal files, memoranda, and notes of A.B. Beach, R.A. Gramm, R.F. Harris, R.F. Heishman, S.D. Hudson, R.W.

Starostecki, T.R. Murley, S.D. Reynolds, H.B. Kister, and S.J. Collins that relate to the NRC meeting at Region I held on or about November 15, 1984 relative to an NRC Order dated March 20, 1984.

48. NRC Region I SALP review and evaluation dated March 28, 1985, covering the period October 1, 1983 through January 31, 1985.

49. All personal files, memoranda, and notes of S.J. Collins, G.P. Durr, R.A. Gramm, J. Linville, and A. Schwencer that relate to the NRC Region I SALP Review and Evaluation summarized in a document dated March 28, 1985.

50. Meeting held between the NRC and NMPC on or about April 25, 1985 at Region I to discuss the April 17, 1985 SALP report.

51. All personal files, memoranda, and notes of T. Murley, R.W. Starostecki, S. Collins, J. Linville, R. Gramm, J. Durr, S. Ebnetter, and A. Schwencer that relate to the meeting held between the NRC and NMPC on or about April 25, 1985 to discuss the April 17, 1985 SALP Report.

52. NRC Independent Measurements (NRC VAN) taken at NMP-2 between December 9, 1985 and December 20, 1985.

Attachment B

The topics or events identified in Attachment A for which we are seeking to review relevant documents fall generally within the following categories: (1) letters and other correspondence; (2) meetings and meeting minutes; (3) inspections and inspection reports; and (4) other reports. The following is a description of the types of documents we would like to review for each of these four categories.

1. Letters and Other Correspondence

(a) The final draft, including attachments, and all nonconforming copies.

(b) All prior drafts.

(c) All documents that relate or pertain to the reason or reasons for writing the letter.

(d) All responses to the letter.

(e) All correspondence, memoranda, and other communications between any office and division of the NRC, including communications to and from Region I and the Resident Inspector at NMP-2, relating or pertaining to the letter.

(f) All intra-divisional and intra-office correspondence and memoranda concerning the letter.

(g) All personal notes, memoranda, files, diary entries, or logs that relate or pertain to the letter.

(h) All documents relating to any meetings held in response to or in connection with the letter.

2. Meetings and Meeting Minutes

(a) The final draft, including attachments, and all nonconforming copies.

(b) All prior drafts.

(c) All documents that relate or pertain to the reason or reasons for holding the meeting.

(d) All agendas, flip charts, and handouts prepared in connection with the meeting.

(e) All correspondence, memoranda, and other communications between any office and division of the NRC, including communica-

t Region I and the Resident Inspector at NMP-2,
re. relating to the meeting.

(f) Intra-divisional and intra-office correspondence and memoranda concerning the meeting.

(g) All personal notes, memoranda, files, diary entries, or logs that relate or pertain to the meeting.

3. Inspections and Inspection Reports

(a) All documents that relate or pertain to the selection of the inspection team, including the selection of any consultants or third parties to serve as team members.

(b) All documents relating to the reason or reasons for conducting the inspection.

(c) All documents setting forth the goals and objectives of the inspection.

(d) All documents setting forth the guidelines, rules, or procedures to be followed in the inspection.

(e) All documents that pertain or relate to the selection of functional areas subject to evaluation and the actual measurement of effectiveness in these areas.

(f) All documents that relate or pertain to the criterion by which the overall conclusions or results of the inspection were measured.

(g) All reports, evaluations, or analyses prepared by any consultant or third party in connection with the inspection.

(h) All correspondence, memoranda, and other communications between the offices and divisions of the NRC, including communications to and from Region I and the Resident Inspector at NMP-2, relating or pertaining to the investigation.

(i) All intra-divisional and intra-office correspondence and memoranda concerning the inspection.

(j) All personal notes, memoranda, files, diary entries, or logs that relate or pertain to the investigation.

(k) All other documents prepared as the result of or in connection with the inspection.

4. Other Reports

- (a) All documents that relate or pertain to the reason or reasons for preparing the report.
- (b) The final draft, including attachments, and all nonconforming copies.
- (c) All prior drafts.
- (d) All documents prepared as a result of or in response to the report.
- (e) All minutes or notes from any meeting held in connection with or in response to the report.
- (f) All correspondence, memoranda, and other communications between the offices and divisions of the NRC, including communications to and from Region I and the Resident Inspector at NMP-2, relating or pertaining to the report.
- (g) All intra-divisional and intra-office correspondence and memoranda concerning the report.
- (h) All personal notes, memoranda, files, diary entries, or logs that relate or pertain to the report.