

Request for OMB Review

DESIGNATED ORIGINAL

PDR

Paullette D Smith

Important

Read instructions before completing form. Do not use the same SF 83 to request both an Executive Order 12291 review and approval under the Paperwork Reduction Act.

Send three copies of this form, the material to be reviewed, and for paperwork—three copies of the supporting statement, to:

Answer all questions in Part I. If this request is for review under E.O. 12291, complete Part II and sign the regulatory certification. If this request is for approval under the Paperwork Reduction Act and 5 CFR 1320, skip Part II, complete Part III and sign the paperwork certification.

Office of Information and Regulatory Affairs
Office of Management and Budget
Attention: Docket Library, Room 3201
Washington, DC 20503

PART I.—Complete This Part for All Requests.

1. Department/agency and Bureau/office originating request U.S. Nuclear Regulatory Commission	2. Agency code 3 1 5 0
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3. Name of person who can best answer questions regarding this request Ron Hauber	Telephone number (301) 492-0336
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4. Title of information collection or rulemaking
NRC Form 7, Application for License to Export Nuclear Material and Equipment

5. Legal authority for information collection or rule (cite United States Code, Public Law, or Executive Order)
42 USC 2201(o) or

6. Affected public (check all that apply)

1. <input type="checkbox"/> Individuals or households	3. <input type="checkbox"/> Farms	5. <input type="checkbox"/> Federal agencies or employees
2. <input type="checkbox"/> State or local governments	4. <input checked="" type="checkbox"/> Businesses or other for-profit	6. <input type="checkbox"/> Non-profit institutions
		7. <input checked="" type="checkbox"/> Small businesses or organizations

PART II.—Complete This Part Only if the Request is for OMB Review Under Executive Order 12291

7. Regulation Identifier Number (RIN) _____ or None assigned

Classification	Stage of development	Type of review requested
1. <input type="checkbox"/> Major	1. <input type="checkbox"/> Proposed or draft	1. <input type="checkbox"/> Standard
2. <input type="checkbox"/> Nonmajor	2. <input type="checkbox"/> Final or interim final, with prior proposal	2. <input type="checkbox"/> Pending
	3. <input type="checkbox"/> Final or interim final, without prior proposal	3. <input type="checkbox"/> Emergency
		4. <input type="checkbox"/> Statutory or judicial deadline

9. CFR section affected
_____ CFR

10. Does this regulation contain reporting or recordkeeping requirements that require OMB approval under the Paperwork Reduction Act and 5 CFR 1320? Yes No

11. If a major rule, is there a regulatory impact analysis attached? Yes No
If "No," did OMB waive the analysis? Yes No

Certification for Regulatory Submissions

In submitting this request for OMB review, the authorized regulatory contact and the program official certify that the requirements of E.O. 12291 and any applicable policy directives have been complied with.

Signature of program official	Date
Signature of authorized regulatory contact	Date

12. (OMB use only)

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PDR

Paullette D Smith

PART III. — Complete This Part Only if the Request is for Approval of a Collection of Information Under the Paperwork Reduction Act and 5 CFR 1320.

13. Abstract—Describe needs, uses and affected public in 50 words or less: **"Export Regulation, Nuclear Equipment and Material"**

Persons wishing to export nuclear equipment or material should file an application for a license to do so on NRC Form 7.

14. Type of information collection (check only one)

Information collections not contained in rules

1 Regular submission 2 Emergency submission (certification attached)

Information collections contained in rules

3 Existing regulation (no change proposed) 6 Final or interim final without prior NPRM
 4 Notice of proposed rulemaking (NPRM) A Regular submission
 5 Final NPRM was previously published B Emergency submission (certification attached) 7. Enter date of expected or actual Federal Register publication at this stage of rulemaking (month, day, year) _____

15. Type of review requested (check only one)

1 New collection 4 Reinstatement of a previously approved collection for which approval has expired
 2 Revision of a currently approved collection 5 Existing collection in use without an OMB control number
 3 Extension of the expiration date of a currently approved collection without any change in the substance or in the method of collection

16. Agency report form number(s) (include standard/optional form number(s))

NRC Form 7

17. Annual reporting or disclosure burden

1 Number of respondents	200
2 Number of responses per respondent	1
3 Total annual responses (line 1 times line 2)	200
4 Hours per response	1
5 Total hours (line 3 times line 4)	200

18. Annual recordkeeping burden

1 Number of recordkeepers	
2 Annual hours per recordkeeper	
3 Total recordkeeping hours (line 1 times line 2)	
4 Recordkeeping retention period	years

19. Total annual burden

1 Requested time (7-9 plus line 16-18)	200
2 Current OMB inventory	140
3 Net increase (line 1 less line 2)	+ 60
4 Extension or difference	
5 Program change	
6 Adjustment	+ 60

22. Purpose of information collection (check as many as apply)

- 1 Application for benefits
- 2 Program evaluation
- 3 General purpose statistics
- 4 Regulatory or compliance
- 5 Program planning or management
- 6 Research
- 7 Audit

23. Frequency of recordkeeping or reporting (check all that apply)

- 1 Recordkeeping
- Reporting**
- 2 On occasion
- 3 Weekly
- 4 Monthly
- 5 Quarterly
- 6 Semi-annually
- 7 Annually
- 8 Biennially
- 9 Other (describe) _____

20. Current (most recent) OMB control number or comment number

3150-0027

21. Requested expiration date

3 years from approval

24. Respondents' obligation to comply (check the strongest obligation that applies)

- 1 Voluntary
- 2 Required to obtain or retain a benefit
- 3 Mandatory

25. Are the respondents primarily educational agencies or institutions or is the primary purpose of the collection related to Federal education programs? Yes No

26. Does the agency use sampling to select respondents or does the agency recommend or prescribe the use of sampling or statistical analysis by respondents? Yes No

27. Regulatory authority for the information collection

10 CFR **110** or _____ FF _____ or Other (specify) _____

Paperwork Certification

In submitting this request for OMB approval, the agency head, the senior official or an authorized representative, certifies that the requirements of 5 CFR 1320, the Privacy Act, statistical standards or directives, and any other applicable information policy directives have been complied with.

Signature of program official _____ Date _____

Signature of agency head, the senior official or an authorized representative _____ Date _____

Patricia G. Norry, DSO for Information Resources Management **12-27-90**

SUPPORTING STATEMENT FOR
NRC-7 APPLICATION FOR LICENSE TO
EXPORT NUCLEAR EQUIPMENT AND MATERIAL

Description of the Information Collection

The Nuclear Regulatory Commission (NRC), under the Atomic Energy Act of 1954, as amended, and Title II of the Energy Reorganization Act of 1974, as amended, has authority for exercising export and import controls over nuclear equipment and material as listed in 10 CFR Part 110 Sections 110.8 and 110.9. All persons who wish to export nuclear equipment and material requiring specific authorization should file an NRC-7 application form.

A. JUSTIFICATION

1. Need for the Collection of Information. Sections 110.30 and 110.31 require license applications with specified information from all persons in the U.S. who wish to export or import nuclear material or equipment requiring specific authorization. A multipurpose export license application NRC Form 7 was developed for use with respect to the export of most different types of nuclear material and equipment under NRC's authority. It was designed to provide the minimum amount of information necessary to allow NRC to fulfill the statutory and regulatory requirements governing nuclear-related exports. The form is clear, concise, easy to understand and simple to prepare.
2. Agency Use of Information. The Commission and the Executive Branch review the license applications submitted on NRC Form 7 to make required export licensing determinations to ensure compliance with regulations. If applicable statutory, regulatory, and policy considerations are satisfied, the NRC will issue a license authorizing the export.
3. Reduction of Burden Through Information Technology. There are no legal obstacles to reducing the burden associated with this information collection. Licensees and applicants may utilize information technology if they so desire.
4. Effort to Identify Duplication. The Information Requirements Control Automated System was searched to determine agency duplication. None was found.
5. Effort to Use Similar Information. None
6. Effort to Reduce Small Business Burden. The requirements specified in Sections 110.30-31 are the same for large and small businesses, because the proliferation concerns are the same. Therefore, all businesses must provide the same data.
7. Consequences of Less Frequent Collection. The information referred to in Sections 110.30-31 with respect to exports is submitted whenever deemed necessary by exporters. No schedules are imposed.

8. Circumstances which Justify Variation from OMB Guidelines. None.
9. Consultations Outside the NRC. No consultations have been held with other federal, state, or local government agencies or with other persons regarding the collections of information since the submission of the last clearance.
10. Confidentiality of Information. Normally none for the information referred to in Sections 110.30-31; however, when the exporter specifically requests the NRC to keep the information confidential, the NRC will treat the information as "Company Proprietary."
11. Justification for Sensitive Questions. There are no sensitive questions.
12. Estimated Annualized Cost to the Federal Government. The collection and processing of information on NRC Form 7 under 10 CFR Part 110 Sections 110.30-31 requires approximately 1,630 NRC professional staff hours per year related to data entry, data base management, and subsequent reporting and recordkeeping. Annual labor cost to the federal government at \$92 per staff hour is \$149,960 (1,630 hours x \$92). (This estimate covers only the information collection and handling costs associated with NRC Form 7. See OMB approval No. 3150-0036 for costs associated with other requirements under 10 CFR Part 110.)

Additional costs for information-storage, coding, tabulating, and responding to public inquiries is approximately \$138,000 per year (1,500 hrs x \$92). The total annual cost to the federal government is approximately \$287,960.

13. Estimate of Burden. All licensees comply equally with the requirements of Sections 110.30 and 31. Experience has shown that an estimated 200 applications will be filed on NRC Form 7 annually. Each application is estimated to require approximately one hour for response. The total respondent burden is approximately 200 hours. Using a cost of \$92 per hour, the estimated annual cost required to prepare NRC Form 7 is \$18,400 (\$92 x 200 hours).
14. Reasons for Change in Burden. The licensee burden has increased due to an increase in the number of applications submitted from 125 to 200. This increase reflects a growing number of export applications per licensee. The government burden has decreased despite the increase in applications due to the fact that export license review activities wholly unrelated to information collection and handling were mistakenly included in past estimates. These have been excluded in the current submittal.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

Statistical methods are not used in the collection of information.

APPLICATION FOR LICENSE TO EXPORT NUCLEAR MATERIAL AND EQUIPMENT

(See Instructions on Reverse)

ESTIMATED BURDEN PER RESPONSE TO COMPLY WITH THIS INFORMATION COLLECTION REQUEST: 1.0 HRS. FORWARD COMMENTS REGARDING BURDEN ESTIMATE TO THE INFORMATION AND RECORDS MANAGEMENT BRANCH (MNB 7714) U.S. NUCLEAR REGULATORY COMMISSION, WASHINGTON, DC 20555, AND TO THE PAPERWORK REDUCTION PROJECT (3150-0027), OFFICE OF MANAGEMENT AND BUDGET, WASHINGTON, DC 20503.

1. APPLICANT'S USE →		a. DATE OF APPLICATION		b. APPLICANT'S REFERENCE		2. NRC USE →		a. DOCKET NO.		b. LICENSE NO.					
3. APPLICANT'S NAME AND ADDRESS RIS						4. SUPPLIER'S NAME AND ADDRESS RIS <i>(Complete if applicant is not supplier of material)</i>									
a. NAME						a. NAME									
b. STREET ADDRESS						b. STREET ADDRESS									
c. CITY				STATE		ZIP CODE		c. CITY				STATE		ZIP CODE	
d. TELEPHONE NUMBER <i>(Area Code - Number - Extension)</i>						e. CITY						STATE		ZIP CODE	
5. FIRST SHIPMENT SCHEDULED		6. FINAL SHIPMENT SCHEDULED		7. APPLICANT'S CONTRACTUAL DELIVERY DATE		8. PROPOSED LICENSE EXPIRATION DATE		9. U.S. DEPARTMENT OF ENERGY CONTRACT NO. <i>(If Known)</i>							
10. ULTIMATE FOREIGN CONSIGNEE RIS						11. ULTIMATE END USE USE CODE <i>(Include plant or facility name)</i>									
a. NAME						11a. DATE REQUIRED									
b. STREET ADDRESS <i>(Facility Site)</i>															
c. CITY				d. COUNTRY											
12. INTERMEDIATE FOREIGN CONSIGNEE RIS						13. INTERMEDIATE END USE USE CODE									
a. NAME						13a. DATE REQUIRED									
b. STREET ADDRESS <i>(Facility Site)</i>															
c. CITY				d. COUNTRY											
14. INTERMEDIATE FOREIGN CONSIGNEE RIS						15. INTERMEDIATE END USE USE CODE									
a. NAME						15a. DATE REQUIRED									
b. STREET ADDRESS <i>(Facility Site)</i>															
c. CITY				d. COUNTRY											
16. COM CODE		17. DESCRIPTION <i>(Include chemical and physical form of nuclear material; give dollar value of nuclear equipment and components)</i>				18. MAX. ELEMENT WEIGHT		19. MAX. WT. %		20. MAX. ISOTOPE WEIGHT		21. UNIT			
22. COUNTRY OF ORIGIN -- SOURCE MATERIAL				23. COUNTRY OF ORIGIN --SNM WHERE ENRICHED OR PRODUCED				24. COUNTRIES WHICH ATTACH SAFEGUARDS <i>(If Known)</i>							
25. ADDITIONAL INFORMATION ON CONSIGNEES, END USES, AND PRODUCT DESCRIPTION <i>(Use separate sheet if necessary)</i>															
26. The applicant certifies that this application is prepared in conformity with Title 10, Code of Federal Regulations, and that all information in this application is correct to the best of his/her knowledge.															
27. AUTHORIZED OFFICIAL				a. SIGNATURE				b. TITLE							

INSTRUCTIONS FOR PREPARATION OF APPLICATION FOR LICENSE TO EXPORT NUCLEAR MATERIAL AND EQUIPMENT NRC FORM 7

One signed, original of NRC Form 7 must be submitted by the applicant to the Assistant Director for International Security, Exports and Material Safety, Office of Governmental and Public Affairs, U.S. Nuclear Regulatory Commission, Washington, D.C., 20555, or delivered in person to the Commission's Offices at 11555 Rockville Pike, Rockville, Maryland. Except for the shaded areas, all applicable items on this form should be completed, to the extent the information is available at the time the application is submitted.

An applicant for an export license must make full disclosure of all recipients of the proposed export so that a decision on the application may be made with full knowledge of all relevant facts. The name(s) and address(es) of the plant, facility, firm or location where the material may be handled, stored, converted or fabricated and where the material ultimately will be used, together with precise facts concerning the use that will be made of the items to be exported by each intermediate and ultimate consignee must be stated. The materials or equipment to be exported must be fully and accurately described in detail. If more space is needed, attach an additional sheet of paper.

The following is excerpted from the Nuclear Regulatory Commission regulations prescribing procedures and standards for the export and import of nuclear equipment and nuclear material. For the complete codification of NRC's export and import regulations applicants for licenses should refer to Part 110 of Title 10, Chapter 1, Code of Federal Regulations.

Subpart D—Applications for Specific Licenses

§ 110.30 Filing license applications.

(a) A person shall file a license application with the Assistant Director for International Security, Office of Governmental and Public Affairs, U.S. Nuclear Regulatory Commission, Washington, DC 20555, or deliver the application in person to the Commission's Offices at 11555 Rockville Pike, Rockville, Maryland.

(b) An export license application should be filed on Form NRC 7 unless it is for a license to export a production or utilization facility. An export license application and a production or utilization facility export license application should be filed by letter. Upon request, applications may be filed by telegram.

(c) Each person shall provide in the license application, as appropriate, the information specified in § 110.31. The Commission may also require the submission of additional information if necessary to complete its review.

(d) A consolidated license application may be filed covering multiple shipments.

(e) Information in a previous license application may be incorporated by reference.

(f) An applicant shall withdraw an application whenever it is no longer needed. The Commission's official files retain all documents related to a withdrawn application.

§ 110.31 Information required in license applications.

(a) Name and address of applicant.

(b) Name and address of supplier of equipment or material.

(c) Country or origin of equipment or material, if known.

(d) Names and addresses of all intermediate and ultimate consignees, other than intermediate consignees performing shipping services only.

(e) Dates of proposed first and last shipments.

(f) Description of the equipment or material including, as appropriate, the following:

(1) Maximum quantity of material in grams or kilograms (curies for byproduct material) and its chemical and physical form.

(2) For enriched uranium, the maximum weight percentage of enrichment and maximum weight of contained U-235.

(3) For nuclear equipment, total dollar value.

(4) For nuclear reactors, the name of the facility and its design power level.

(5) Description of end use by all consignees in sufficient detail to permit accurate evaluation of the justification for the proposed export or import, including the need for shipment by the dates specified.