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UNITED STATES OF AMERICA

NUCLEAR REGULATORY COMMISSION

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BRIEFING ON EEO PROGRAM

PUBLIC MEETING

Nuclear Regulatory Commission One White Flint North Rockville, Maryland

Monday, December 17, 1990

The Commission met in open session, pursuant to notice, at 10:00 a.m., Kenneth M. Carr, Chairman, presiding.

COMMISSIONERS PRESENT:

KENNETH M. CARR, Chairman of the Commission KENNETH C. ROGERS, Commissioner JAMES R. CURTISS, Commissioner FORREST J. REMICK, Commissioner

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STAFF SEATED AT THE COMMISSION TABLE: JAMES TAYLOR, Executive Director for Operations PAUL BIRD, Director, Office of Personnel WILLIAM KERR, Director, Office of SDBU/CR NEIL COLEMAN, Committee on Age Discrimination RAYNARD WHARTON, Affirmative Action Advisory Committee SAMUEL FETTIJOHN, NRC Chapter, Blacks in Government MELANIE MILLER, Federal Women's Program Advisory Committee

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WALTER SCHWINK, Joint Labor-Management/EEO Advisory Committee

JAMES THOMAS, National Treasury Employees Union

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10:01 a.m.

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CHAIRMAN CARR: Good morning, ladies and gentlemen.

The Commission meets again today to hear a progress report on NRC's Equal Employment Opportunity Program. Twice annually, the NRC staff and our employee advisory committees meet with the Commission to discuss the status of our efforts to achieve EEO goals and objectives. This, cur second meeting in 1990 on this subject, will update the information we received at our last meeting on May 3rd, 1990.

I want to welcome the representative of the National Treasury Employees Union, who is joining us at the table today for the first time in this series of meetings.

Mr. Thomas, we are pleased that you are able to participate in this session and look forward to hearing your views.

Equal employment opportunity as a concept is unambiguous, fundamental and simple. The term "EEO" means exactly what it says, that the avenues to hiring and advancement will be made available on an equal basis to all who seek the chance. There is no 25 doubt in my mind that all of us at this table and in

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the Agency fully and wholeheartedly support that concept.

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To those of you who may be frustrated and disheartened that such a simple notion as equal employment opportunity should require continuing concentrated efforts to achieve in practice, I would note that there are no instant solutions available to us. EEO implementation takes place wholly in the context of individual personnel decisions that have to be made on a continuing basis at every level in the Agency.

What we at this table can do and are doing is to ensure that those decisions are made in a manner consistent with the EEO concept. We also need to constantly measure our progress in meeting EEO objectives while at the same time ensuring that in righting one wrong, we have not created another. That's the purpose of this meeting.

Do my fellow Commissioners have any comments they would like to make at this time?

If not, Mr. Taylor, please proceed. MR. TAYLOR: Good morning. With me at the table, in addition to the Office Director's Bill Kerr and Paul Bird, are members of the EEO Advisory Committees and spokespersons for those committees.

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I'll introduce them. For the Committee on Age Discrimination, Neil Coleman; Affirmative Action Advisory Committee, Ray Wharton; the NRC Chapter of Blacks in Government, Sam Pettijohn; Federal Women's Program Advisory Committee, Melanie Miller; and the Joint Labor-Management BEO Advisory Committee, Walt Schwink. You've already introduced Jim Thomas.

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I'm pleased to be here to show my support for equal opportunity programs here at the NRC. As Mr. Kerr will subsequently detail, over the past fiscal year we have increased the percentage of minorities and women on the overall staff and also in grades 11 and above.

Although we are pleased with those measures of successes, we recognize there's more to be 15 done. For example, I'm working very hard on increased 16 opportunities for our black employees and am 17 personally spending a considerable amount of time on 18 that subject. I'd like to see women represent a 19 larger percentage of our senior grades and, of course, 20 I want to be sure that age is not a discriminating 21 factor for appraisals and promotions. These are just 22 a few of the things I'll mention. 23

> But I do believe, as you mentioned, Mr. Chairman, that these and other EEO problems are best

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solved at the individual level and by improved interaction between the employees and their immediate supervisors. We have worked on that subject this year. I'm pleased to note in the EDC's office within the past year we had a black grade 14 engineer selected to a grade 15 positic , right cut of my office, and just recently a grade 15 female engineer was selected for a section chief position, her first supervisory position. I take those small weasures of success within my office as examples that the system can work.

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On the broader scale of working with individuals, at the last briefing I mentioned a special initiative I was instituting to use the individual development plans to improve caleer development. There are now a number of employees involved in this effort. Based on our experience with the pilot effort which we've been doing for blacks, we have now expanded the focus to all minorities and I plan to continue to work that problem very hard. I've also recently met with all office directors to discuss strategies that could be used and implemented to promote increased awareness and communication and opportunities at the level of the individual supervisor and the individual employee.

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I'm confident that with continued high-1 level management attention we'll continue to improve 2 3 our posture in the NRC with regard to the 4 representation of minorities and women at all levels. 5 At this time, I'd like to turn the 6 briefing over to Mr. Kerr and the other presenters. 7 MR. KERR: Thank you, Mr. Taylor. 8 Mr. Chairman, we'll now hear the remarks from the Advisory Committees, starting with Neil 9 10 Coleman for the Committee on Age Discrimination. 11 Neil? MR. COLEMAN: Thank you, Mr. Kerr. 12 13 Good morning, Mr. Chairman, ladies and gentlemen. We are pleased to provide our second EEO 14 15 briefing to the Commission this year. 16 Our committee includes men and women, 17 young and middle aged, of many professions. We meet 18 each month to discuss EEO issues related to potential age discrimination at the NRC. Our committee has een 19 20 evaluating performance appraisals since 1982. As we've advised management in a number of reports, there 21 22 has been strong statistical evidence of possible age discrimination for non-supervisory engineers and 23 24 physical scientists at the NRC.

Performance appraisal data for the years

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'87 through '89 showed that male engineers and physical scientists over age 50 received substantially lower ratings than those under 50. We've prepared several slides to illustrate these points.

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May we have slide CAD-1, please?

(Slide) This chart shows trends in performance appraisals over a seven year period for non-supervisory staff. The horizontal axis shows fiscal years '83 to '89 and the vertical axis shows average appraisal scores for various groups. You can clearly see the inflation in performance appraisals that has occurred since FY '83. The highest line graph shows average scores for white employees. The line marked with asterisks shows the average score for all non-supervisory staff.

The next two lines show average scores for men over age 50. That's all non-supervisory men and non-whites. Year after year, these scores are significantly less than the staff average. The lowest line graph shows male physical scientists over age 50 the lowest rated group we have found.

(Slide) May we have the second slide, please?

This chart again shows the average staff scores, that's the top line, and scores from male

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physical scientists over 50. It also shows scores from male engineers over age 50. That's the second line down. The scores for black men, that's the third line down. The point of the chart is to show three groups that are rated substantially lower than the staff average.

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We're gratified that the EDO has brought imbalances and appraisal ratings to the attention of NRC managers and supervisors in his recent memorandum dated September 11th. We don't claim to know the best ways to address these imbalances, but simply communicating this information to supervisors, as the EDO has done, is a good way to begin.

To keep the Commissioners better informed about EEO concerns, we recommend that future briefings, EEO briefings, include a presentation by a representative of the EEO counselor's group. These hard-working volunteers deal with EEO concerns on a day to day basis. General information about the work of the counselors is presented to you by Bill Kerr, Director of Office SDBU/CR. However, a representative of the counselors could provide a different perspective from that of the Committees which advise management but aren't involved with EEO complaint actions. The extensive work done by the counselors

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is, I believe, unheralded, but forms the cornerstone of EEO in action.

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Further, we recommend that NRC's training program for supervisors and managers, as related to BEO, be reevaluated with respect to its goals and objectives. Perhaps a new approach is needed. Representatives from the EEO committees and the counselor's group could attend some of the training sessions and through their participation help expand awareness of the seripusness of EEO programs and objectives. We've been informed this is being considered by the Office of Personnel.

We envision a work force of management in the federal government that recognizes the wealth of experience, expertise and wisdom that's possessed by its older staff members. At the NRC, these people have the most regulatory experience in dealing with health and safety issues for the nation's nuclear reactors and fuel and waste cycles. We shall continue working to ensure that this vision becomes and remains a reality.

Thank you.

MR. KERR: Thank you, Neil.

We'll now hear from Ray Wharton with Affirmative Action Advisory Committee.

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MR. WHARTON: Mr. Chairman, Commissioners, good morning.

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The AAAC appreciates the opportunity to meet with you today and discuss some of our concerns regarding affirmative action. I will focus my presentation this morning on three major areas, our preliminary review of the 1989 performance appraisal data, our review of the NRC rotational assignment policy, and our reevaluation of the employee suggestion survey results.

Our preliminary assessment of the 1989 performance appraisal ratings indicates the percentage of outstanding performance appraisal ratings remain virtually unchanged for white males in grades 13 through 15, white females in grades 1 through 8 and 9 through 12, and black females in grades 1 through 8. Several groups received lower ratings in 1989 compared to 1988, both in average ratings and outstandings. However, group populations remain about the same. The employee groups for which the trend is markedly downward are all minorities, black males in grades 1 through 8 and 13 through 15, black females in grades 9 through 12 and Asian males in grades 13 through 15.

Our concerns are that white males in grades 13 through 15, age 45 and older, continue to

NEAL R. GROSS 1323 Rhode Island Avenue, N.W. Washington, D.C. 20005 (202) 234-4433 receive lower performance appraisal ratings than their younger counterparts, which suggests possible age biases. Additionally, we're concerned with the downward trend of the minority employee's performance appraisal ratings in '89 which suggests possible ethnic biases.

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We believe that management needs to focus more attention on these issues. We recommend that first level supervision and management be made more aware of performance appraisal disparities and be encouraged to maintain an increased sensitivity to this issue. Additionally, we recommend revising the performance appraisal process to lessen the subjectivity involved in rating an employee's performance, such as developing objective-oriented elements and standards.

Rotational assignment policy. On July 13th, we issued a memorandum to OF and made recommendations regarding a mechanism for evaluating the rotational assignment policy. We received a response that indicated a survey had been conducted and the results were overwhelmingly favorable by both the employees and supervisors. Additionally, we requested employee profile data for rotational assignments during the period of June 1st, 1989

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through June 30th, 1990.

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Our preliminary review of this data indicates an apparent contrast in individual participation based on gender, ethnicity and grade level. White males in grades 12 through SES participate in approximately 60 percent of all rotations. White females experience a fairly even distribution in grades 6 through SES and they accounted for approximately 24 percent of all rotations. In contrast, minority male employees experienced limited participation and only in grades 13 and above. There were three black males that participated, six Asian males and four Hispanic males.

The AAAC believes that for the rotational assignment policy to effectively fulfill its purpose it should reflect more diversity among its participants.

Employee suggestion results. During the 18 last EEO briefing, we reported results of our employee 19 20 suggestion survey analysis and recommendations to address some of those concerns. We received a 21 response that indicated that since attrition is low, 22 23 morale is perceived as good and that no further action is warranted regarding career and promotional 24 opportunities. After reviewing this response, we 25

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reavaluated our concern and have expanded it to include all NRC employees and not just pinorities. Although minorities expressed greater dissatisfaction in the survey, the difference between the minority and majority response were not statistically significant. We also requested a specific basis for the conclusion that morale is perceived as good.

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8 In conclusion, the Affirmative Action 9 Advisory Committee believes that these concerns with 10 performance appraisal disparities, rotational 11 assignment participants, and career and promotional 12 opportunities affect the entire agency work force and, 13 if adequately addressed will increase productivity, 14 enhance morale and ensure the fair treatment of all 15 Agency employees.

17 CHAIRMAN CARR: Thank you. 18 MR. KERR: Thank you, Ray. 19 Sam? 20 MR. PETTIJOHN: Thank you, Mr. Kerr. 21 Mr. Chairman, Commissioners, my name is 22 Sam Pettijohn and I'm here to represent the NRC 23 Chapter of Blacks in Government. Blacks in Government 24 is a nonprofit corporation that's dedicated to the

Questions?

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professional and cultural development of black

government employees throughout all levels of government. We appreciate the opportunity to address the Commission today.

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The NRC Chapter of Blacks in Government has participated in these semi-annual briefings since November 1984. At this briefing, we have two issues to present.

First we'd like to call attention to recent significant progress regarding equal employment opportunity for black employees at NRC. The progress was demonstrated through a historical meeting that the Executive Director of Operations held with black employees on November 14, 1990 in which he assured black employees that he is committed to provide an environment at NRC where employees have an equal opportunity to succeed, limited only by their talents, capabilities and drive. Mr. Taylor, in making a commitment to provide an environment where employees have an equal opportunity to succeed challenged black employees to do the best in current jobs, to be prepared to do what it takes to get the job done, and to seek out the tough and challenging assignments.

The NRC Chapter of Blacks in Government applaud Mr. Taylor's stated commitment to equal opportunity at NRC, including his commitment to

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provide resources for training opportunities to support career plans, his commitment to continue to support and monitor the career initiatives efforts which has been put into place, and its commitment to hold supervisors accountable for their performance in support of equal opportunity and in the development of their staffs.

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We pledge our support in this effort and stand ready to assist in any way that we can. In the immediate future, we will be conducting one on one peer discussions with interested employees. This will involve a volunteer group of employees assisting black employees in developing the strategies for career development.

The second issue we wanted to address relates to black employees on Commissioner staffs. We proposed that in addition to the above efforts undertaken to improve equal employment opportunity at NRC that the Commission seek to set a further example of equal employment opportunity through staff selections that reflect the diversity of the NRC employee population. We would like to see at least one black employee on each Commissioner's staff. Currently, one Commissioner's staff includes one black employee.

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We believe that the representation of
black employees at the Commission level is important
for two reasons. One, it will help demonstrate to
black employees that there are no artificial levels
that limit our level of advancement. Two, it can
better demonstrate through first and second level
manager/supervisors that the NRC is committed to equal
employment opportunities that result in diversity at
all levels of work.
We sincerely believe that black employees

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who meet the qualifications to work on Commissioner's staffs are present at NRC.

In closing, I'd like to say that we look forward to continued progress in improving equal employment opportunity at NRC and to cur continuing to have the opportunity to participate in the EEO briefings.

This concludes my remarks.

MR. KERR: Thank you, Sam.

We'll now go to Melanie Miller with the Federal Women's Program Advisory Committee.

MS. MILLER: Thank you.

Good morning, Mr. Chairman and Commissioners. My name is Melanie Miller and I am the Chairperson for the Federal Women's Program Advisory

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Committee here at NRC, recently elected to this position this past November. The Committee is pleased to have this opportunity to speak with you.

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First, I would like to advise you of a major initiative which FWPAC is undertaking. We are in the process of implementing a plan to identify issues that affect women which are important to NRC staff and managers. We believe this information is essential to plan and prioritize FWPAC's activities for the remainder of fiscal year 1991 and into future years. We anticipate collecting this information through discussions and direct survey of employees and managers. This information will then serve as a foundation for future FWPAC objectives and strategies to ensure that we are truly addressing those issues important to our co-workers at the Agency.

We consider this survey to be our highest priority and are devoting considerable effort to it. We anticipate completion by March or April 1991 and will report progress on this initiative during our next opportunity to brief the Commission.

This past May when the Committee addressed you, we conveyed our concern regarding the under representation of women in Senior Executive Service positions. We would like to reemphasize our concern

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in this regard and provide some constructive suggestions.

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In fiscal years '89 and '90, 30 initial SES appointments have been made, 28 of them by competitive selection. Of these 28 positions, one or more women applied for 16 of them and women were identified as best qualified candidates in six. However, in no case was a woman selected. The women identified as best qualified for these six positions represented 16 percent of the pool of best qualified candidates. Statistically, at least one of these positions should have gone to a woman applicant. But as I've mentioned, this did not occur.

We recognize that the Agency as a whole is doing an admirable job in attracting a good number of qualified entry level women. However, while enhancing the pool of qualified women for future SES positions, this increase in the percentage of women in nonclerical positions emphasizes the gap between the percentage of women in the work force and the percentage of women in SES positions. FWPAC believes it is now time to concentrate on increasing the number of women in SES positions by setting realistic annual goals. We believe that NRC's annual goal should be set to ultimately achieve a representation of women in

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SES that is equivalent to the percentage of nonclerical women employees at the NRC.

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We are aware of the recent performance appraisals done by the Office of Personnel and commend personnel for a thorough analysis, the results of which were provided to all employees. We note that a higher incidence of women receive outstanding performance appraisal evaluations than their male counterparts. This information would tend to support our thesis that technically qualified, competent women are currently available in this Agency for selection to SFS positions and we are disappointed that this fact is not reflected in SES appointments.

FWPAC believes there are specific actions that can be taken to enhance career development and recognition of qualified women and reach what I am sure is a common goal of increasing the number of women in SES. First, we understand that OF plans to create a position devoted specifically to address career development for women and minorities. We applaud this move and would encourage the establishment of this position as soon as possible. This position could then become the focal point for further initiatives, including promoting women's opportunities for obtaining rotational assignments

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keyed to career progression.

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Of the 111 rotational assignments in technical positions between June 30th, 1989 and June 30th, 1990, women participated in only nine, or less than eight percent. Because the majority of SES positions are considered technical, women should be encouraged to participate in such career enhancing rotational assignments.

We acknowledge that the NRC has recognized 9 women's managerial potential by selecting them to 10 participate in such in-depth training assignments as 11 the Executive Potential Program for mid-level 12 employees, and the Women's Executive Leadership 13 Program. However the fact remains that of 22 14 graduates of the Executive Potential Program between 15 fiscal years '86 and '89, 12 of them women, only two 16 17 men have reached SES positions.

As women observe the SES selections, the managerial promotions of persons participating in developmental programs and rotational assignments, it's possible that a glass ceiling is perceived. Women are seen as possessing managerial qualifications by peers and line management, but cannot break through to more responsible positions.

FWFAC also recommends that emphasis be

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given to increasing the participation of women and enhancing their understanding of the potential benefits of the IDP process. We realize that IDPs are currently available to all employees. However, we suggest that NRC promote special emphasis of the use of IDPs by women employees.

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Last May, FWPAC suggested that one out of four SES positions go to women. Since we have not made progress toward that recommendation, we suggest a more reasonable attainable goal over the next year and beyond. We recommend that one of two SES positions for which women have been selected as best qualified candidates should go to a woman or other minority candidate.

To give you an idea of the impact of our recommendation, women were best qualified candidates for slightly more than 20 percent of the competitive SES positions over the last two fiscal years. A 50 percent selection rate would then equate to about ten percent of the positions over the last two years or about three women or minorities out of 28 selections. We include minorities because FWPAC realizes that women are not the only group under represented in the SES ranks. While we are certainly interested in furthering women's career process, we do not want to

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do so at the exclusion of the interests of other groups. A balance of interest needs to be achieved.

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In closing, I think it is worthwhile to point out some information contained in a fall 1990 special issue of Time magazine entitled, "Women: The Road Ahead." According to an article in the Changing American Work Force, "The U.S. is about to undergo the most wrenching shifts in the composition and quality of the work force in more than a half century. American is facing a deepening sho tage of skilled labor in the decades just ahead. During the next ten years, the U.S. population and labor force will expand more slowly than at any other time since the 1930s. While the labor force will grow slowly, two-thirds of the increase will be women starting or returning to work. Most startling, only 9.3 percent of the new workers will represent white, non-Hispanic, U.S. born men and over the next several years women will make up the majority of new skilled and educated workers."

We believe that our suggestions, including utilizing the OP position to women's best advantage, encouraging career-enhancing rotational assignments, emphasizing IDP development for women, and selecting women or minorities to 50 percent of the SES positions for which women are best qualified candidates will

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help NRC adapt to such changing demographics. A diverse management group, including women, will help NRC attract and retain qualified women, allowing this agency to be seen as one possessing a positive work environment, thus becoming a more effective organization in coping with the increased competitive labor market foreseen.

As we suggested in May, we still believe a semi-annual review of progress in this regard to the Commission is warranted.

> Thank you for your time and attention. MR. KFRR: Thank you, Melanie.

Walt Schwink, Joint Labor-Management

Committee.

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MR. SCHWINK: Thank you.

Good morning, Mr. Chairman and Commissioners. I am the Chairman of the Joint Labor-Management Equal Employment Opportunity Committee which was established by the NRC and NTU collective bargaining agreement to advise NRC management on EEOrelated matters. On behalf of the committee, I appreciate this opportunity to discuss EEO-related matters with the Commission.

Since last meeting with the Commission, the committee met with several of the NRC's EEO

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counselors, the NTU president, the directors of several offices, including NRR, NMSS, OP, and SDBU/CR, and with several of the NRC's physically disabled employees. The committee focused on perceptions of EEO rather than EEO statistics. I would like to share some insights from these meetings with you along with the committee's recommendations concerning some of these insights.

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First, I want to discuss some of the insights from the committee's meeting with several of the NRJ's EEO counselors. The counselors are often the first to hear employees' perceived EEO-related concerns. In this regard, the counselors have unique perspectives on employee perceptions that are not communicated by EEO statistics. Overall, the counselors are of the view that the EEO numbers do not convey that the EEO at the NRC is constant rather than improving. In one year, an experienced counselor is contacted by as many as 40 to 50 exployees raising perceived EEO concerns. Most contacts concern age discrimination. Slightly fewer contacts concern discrimination on the basis of race and sex. Discrimination concerning a handicap is seldom raised.

Although counselors volunteer themselves to be information gatherers, advisors and mediators

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for managers as well as employees, some managers ignore them or view them as adversarial rather than valuable resources for addressing uployee EEO concerns in the informal and formal stages. Some managers perceive that only a formal structure is available to them for addressing EEO concerns. The counselors believe the single most important EEO improvement that could be made is the improvement of communications between managers and employees,

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In this regard, the counselors noted that Commission meetings concerning EEO are not well publicized to employees. Furthermore, counselors are not afforded the opportunity to discuss EEO-related matters with the Commission.

15 Along these same lines, I want to discuss 16 similar insights from the committee's meeting with the 17 President of the National Treasury Employees Union. 18 The NTU President's EEO-related concerns were similar 19 to these of the EEO counselors previously discussed. 20 Specifically, counselors are a valuable resource for 21 obtaining perceptions about employee EEO-related 22 concerns and addressing those concerns. The 23 counselors have valuable insights for addressing EEO 24 generic concerns through regular involvement in 25 meetings and briefings pertaining to EEO. Another

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area of concern is the recurrence of the same EEO concerns, indicating the need for constructive followup actions after EEO concerns are resolved to prevent their recurrence.

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Next, I want to discuss some of the insights from the committee's meeting with the directors of several offices, including NRR, NMSS, OP and SDBU/CR.

First, there needs to be frequent, clear 10 and consistent signals given through the ent'.e management structure to employees concerning the importance of EEO and its implementation relative to other important NRC matters.

Second, there's a need to change the perception that EEO is inconsistent with recruiting the best people in an effective, efficient and timely manner.

Third, there's a need to train managers and employees with counselor particips.ion to highlight the counselor's important role and emphasize how to implement EEO consistent with practical constraints.

Fourth, effective communications among managers, employees and counselors, before, during and after actions affecting employees is needed to

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substantially reduce EEO-related concerns.

Fifth, there is a need for managers to know in a generic sense the number and, more importantly, the substance of employee contacts with EEO counselors. While statistical information is useful, the emphasis needs to be on employee EEO perceptions and attitudes.

Sixth, the individual development plan process needs dedicated management and employee involvement and strong management support to promote employee development along a career path that is mutually beneficial to the NRC and the employee.

Seventh, there's a need to increase the number of NRC awards in recognition of manager and employee EEO contributions.

Eighth, in order to have a competitive representation of minorities and females at increasing grade levels, including SES, opportunities must be provided for those that are qualified to received developmental assignments where they can demonstrate ability and potential.

Ninth, in lieu of not deciding in a
disciplined way which EEO-related generic concern
should be addressed, all concerns are being pursued
regardless of their net value to the NRC or employees.

NEAL R. GROSS 1323 Rhode Island Avenue, N.W. Washington, D.C. 20005 (202) 234-4433 EEO-related generic concerns need to be prioritized for resolution relative to their net value in terms of feasibility, practicality, benefits, costs, schedules, available resources and with other important matters will not be addressed.

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Next, I want to discuss some of the more important insights from the committee's meeting with several employees having sight or mobility disabilities. The employees were very complimentary of the NRC's recruitment, support and accommodations concerning the physically disabled. A few existing impediments of safety or access nature were identified to the committee. Overall, the employees were comfortable with NRC's safety precautions for the physically disabled in One White Flint North.

A safety concern raised is the impediment of a disabled person's ingress and egress due to obstacles such as boxes in aisles. An impediment to access is the NRC's lack of specially equipped shuttle transportation for mobility disabled persons, especially those in a wheelchair. The Commission may want to further consider and evaluate these concerns. The Committee with further pursue identification of EEO-related concerns in this area in the near future.

Based on the insights obtained by the

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Committee in the areas I've previously described, the committee has the following six recommendations for EEO enhancement.

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Number one, the committee recommends that managers, employees and counselors as units should receive EEO training to facilitate communications, increase awareness and understand what EEO is, including its legal description in processes and the informal and formal interactions between managers, employees and counselors.

Number two, the committee recommends that counselor contacts and their nature, together with counselor perspectives and recommendations, should be provided routinely to the Commission and its managers as an integral part of meetings and deliberations pertaining to EEO.

Number three, the committee recommends that managers, employees and counselors as units meet routinely to discuss EEO-related generic concerns.

Number four, the committee recommends that semi-annual EEO Commission briefings be publicized in an EEO announcement to all employees, inviting them to raise EEO-related concerns to one or all of the EEO committees for possible discussion at the briefing. I am pleased to report that this recommendation was

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implemented in time for today's briefing.

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Number five, the committee recommends that after EEO-related concerns are resolved, constructive follow-up actions be taken to detect and therefore minimize the potential for recurrence.

Number six, the committee recommends that the entire management structure increase its sensitivity to potential EEO concerns and focus on effective communications to eliminate misunderstandings that cause people to believe that they have been unfairly treated in the context of EEO.

The committee is very appreciative of the candor of managers and employees who met with the committee. Bill Kerr and Paul Bird have been very interested in pursuing those EEO-related concerns, insights and recommendations offered by the committee. In this regard, they appear anxious to work with all the EEO committees to address EEO matters, possibly through some strategic, integrated planning process involving the identification and prioritizing of long and short-term goals and objectives.

Thank you for this opportunity to share these EEO-related insights and the committee's recommendations. This concludes my presentation.

CHAIRMAN CARR: Thank you.

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MR. KERR: Thank you, Walt,

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We'll now hear from Jim Thomas.

MR. THOMAS: I'd like to start off by thanking the Commission for inviting me to participate in this EEO briefing.

The focus of the Union in EEO matters is somewhat different from the presentations you've heard thus far this morning. Our role in the process has been primarily over the past 12 years representing employees who wish to file an EEO complaint. One of the main concerns that we've developed over the years is basically a concern of the lack of response of management at the end of the process whenever a complaint is settled. We have a perception that there is no follow-up training regarding the manager who waw found to have committed an EEO violation. We think that's something that the Commission needs to address.

The second concern I'. like to bring to your attention is the lack of information that seems to be flowing to upper management regarding your EEO posture. Basically, your sole source of information, from what I can determine, is data regarding EEO complaints have been filed and briefings such as the one Mr. Bird will give this morning regarding the statistical changes in the make-up of the Agency that

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occurred during the past year. That information doesn't really tell you whether or not the Agency has an EEO problem. It tells you changes, but EEO problems are on an individual level. When an individual applies for a job vacancy and they're considered, they're a best qualified candidate, they stand equal on an objective basis to everyone on that best gualified list. If you have a situation where one group is being left out in a selection process. the Commission really has no way of monitoring that.

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What the Union is proposing in our contract objectives for the current bargaining session is that the Agency, in fact, develop a broad database that you'd track every applicant for every position. You track every supervisor who makes a selection. You 16 track the best qualified list and you track the 17 sulectees to see if there is a major change between 18 the -- or difference between the body of best 19 qualified candidates for a position versus the body of 20 selectees. This will provide, in our view, an early 21 warning system to management where EEO problems do not 22 have to be addressed through formal BEO complaints and years of litigation. Management would have a very 23 24 early warning regarding an individual who was having a 25 problem selecting older employees.

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1 Our perception right now in the area of 2 age discrimination is there's a large number of EEO 2 complaints that are sitting out there waiting to 4 happen. Statistics are there to prove a complaint 5 right now and this would allow management in an early 6 process to address that and possibly provide some 7 training to these managers before they get involved in 8 an EEO complaint. 9 Thank you. 10

CHAIRMAN CARR: Thank you.

MR. KERR: Thank you, Jim.

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Mr. Chairman, 1'd like to now take you through the briefing charts which reflect changes in the Agency's EEO status through the end of last fiscal year.

(Slide) Our first chart, as in the past briefings, the increases for minorities and women on staff continue. Over the period, women went from 1022 to 1063, an increase of 3.9 percent. Total minorities, which groups blacks, Asians, Hispanics and native Americans, reflects an increase of 3.8 percent.

(Slide) As chart number 2 depicts, from '89 through '90, both women minorities increased in grades GG-11 and above. Women increased by 10.5 percent and minorities by six percent.

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(Slide) Contrast this with the chart number 3, which shows a decline continues for nonminority males of 11 and above, a loss of 14 males from '89 through '90.

(Slide) Go to chart 4.

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The next series of charts starting with number 4 show what is happening with the SES feeder population. There was a nominal increase in men over the period, from 1639 to 1646, the total increase being a GG-14.

(Slide) Compare this with the numbers on chart 5 and we see that there was an increase in women at GG-13 through 15, from 257 to 293, an increase of 12.3 percent.

(Slide) The next series of charts,
beginning with number 6, reflects increases for all
ethnic groups at 13 through 15 except native
Americans. Black employees went from 105 to 113, an
increase of 7.1 percent.

(Slide) The increases on chart 7 and 8
were a nominal 5.1 percent and 4 percent respectively.
(Slide) As I have indicated, the numbers
remain the same on chart 9 for native Americans.
(Slide) I'd like to now direct your

(Slide) I'd like to now direct your attention to Chart 10, which shows the make-up of our

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SES. During the brief period since the last briefing, we had an increase of one black and one Hispanic in the SES. Women presently make up 4.3 percent of the SES and minorities 7.6 percent.

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(Slide) Chart number 11 shows the Agency complaint activity. During FY '90, our 28 EEO counselors conducted 71 informal counseling sessions. As attributed to our counselors' competence and the cooperation of Agency management, only six formal complaints came forward in FY '90.

(Slide) As you will recall from the last briefing, we discussed a large number of complaints resolved by the Agency. As a result, chart number 12 shows that there are only eight complaints which are currently active.

16 (Slide) As a result of the last briefing,
17 we were asked to give a separate breakdown of the EEO
18 status of the regional offices. To put that
19 information in perspective, we have on this chart,
20 chart number 13, segmented the population of the total
21 Agency. Women currently make up 34 percent of the
22 Agency population and minorities 19 percent.

(Slide) 'Chart 14 is just for our
 Headquarters population and it shows a larger
 percentage of women and minorities, 37 percent and 21

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1 percent respectively.

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2	(Slide) The next five charts, as
3	requested, show a breakout of the regional offices.
4	They range from 26 percent to 31 percent for women and
5	from ten percent to 22 percent for minorities. As
6	with Headquarters, the regional office located in a
7	large metropolitan area has the highest percentage of
8	minorities.
9	I'll now turn to Paul Bird who will
10	respond to Personnel EEO-related issues.
11	Paul?
12	MR. BIRD: Thank you, Bill.
13	The Commission asked in May of this year
14	that we present and discuss certain aspects of NRC
15	attrition and the NRC Executive Potential Program at
16	the next F ⁻⁰ briefing. I'm now prepared to do that.
17	(Slide) If I could have the first chart,
18	please.
19	As you can see, this chart is of NRC
20	attrition compared with government-wide data. Our
21	full-time attrition has been below government-wide
22	attrition for five consecutive years. We would expect
23	that this pattern would continue into the next decade.
24	(Slide) Could I have the next chart,
25	please?

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More specifically, the Commission asked for a comparison of the NRC attrition rate by age group with that of other technical agencies, particularly with respect to employees in the age 20 to 29 age bracket. To respond to the Commission's specific question, we compared our attrition rate with three other technical agencies, DOE, NASA and NIST. We found that a substantially higher percentage of NRC staff in the 20 to 29 age group left NRC as compared to NASA. NIST, DOE and NRC all had a relatively high attrition rate in the 20 to 29 age group.

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NASA and NIST had lower attrition than DOE and NRC in the 30 to 50 age groups and NRC had a comparatively lower attrition rate in the 50 to 59 age group.

In the 20 to 29 age group, it's important to note that only about 35 percent of NRC's total attrition in both fiscel '89 and fiscal '90 was made up of professional employees. Approximately 65 percent of that attrit on in the age 20 to 29 bracket was non-professional.

COMMISSIONER ROGERS: Excuse me. Could you say that again? That's an important point.

MR. BIRD: Yes, it's an important point, I agree. About 35 percent of the attrition in the 20 to

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29 age grouping was made up of professional employees as opposed to about 65 percent of that attrition being non-professional employees.

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(Slide) Could I have the next chart,

This chart shows the proportional share of those leaving NRC by age and ethnicity. As you can see, the data is relatively balanced when looked at by ethnicity. There is some effect here, however, due to small numbers in certain categories. Of some significance within the age 20 to 29 age group, no Asian employees left the NRC in fiscal 1990. In the 30 to 39 age group, blacks left at a higher percentage rate than others and in the age 60 and over group, the rate was much higher in a percentage characterization as shown here. However, that represents three out of Sc, again, the numbers are seven black employees. relatively low and you can get big swings in the percentages if you deal with numbers of that magnitude.

21 (Slide) Could I have the next chart, 22 please?

> Here we can see that attrition for men and women generally balanced except that in fiscal '90 women in the age 30 to 39 group left at a somewhat

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higher rate than men and women over age 60 constituted a significantly lower attrition rate. Again, be careful because the numbers are relatively small in these percentages.

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(Slide) Could I have the next chart, please?

The Commission also asked for data to be reported in this EEO briefing on the proportion of women and minorities who have applied for and completed the Executive Potential Program and the extent to which graduates of the program have moved to higher level positions. We looked at this data over a three year period, from fiscal '88 through fiscal '90 and compared applicants and graduates to the Agency's grades 13, 14 and 15 populations in order to include all actual and potential applicants over that time frame.

18 This chart shows that there is an 19 approximately one for one percentage correlation 20 between the number of employees available and the 21 number of applicants in each ethnic group except for 22 American Indians. There were no American Indians that 23 applied for the program.

24 (Slide) Could I have the next chart, 25 please?

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So far, only two of the five employee groups represented, that is blacks and whites, have graduated from the program. Of the total number of promotions resulting from participation from fiscal '88 through '90, all five were white.

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(Slide) Could I have the next chart, please?

COMMISSIONER ROGERS: Just on that, the numbers selected for the program, was that essentially the same as the number of graduates?

MR. BIRD: It's assentially the same. As I understand it there were some that did not complete the program and there are some that would complete it that have not yet finished. So, the selections included those that were selected but who have not graduated.

17 In the next chart, we show the same 18 comparison by gender. The total number of applicants 19 during fiscal year '88 to '90 was 144, of this 99 were 20 men and 45 were women. Women applied for this program 21 at approximately twice their representative rate in 22 the NRC eligible population, as you can see by the 23 chart.

24 (Slide) Could I have the last chart, 25 please?

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Of the total population of graduates, women represented about 53 percent and have received about 60 percent of the subsequent promotions from this program.

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There were several other Commission issues resulting from the last briefing. Each of these has been responded to previously.

This concludes my portion of the briefing and I would be happy to respond to any questions you may have.

MR. TAYLOR: That concludes the information from the staff, sir.

CHAIRMAN CARR: Any questions, Commissioner Remick?

COMMISSIONER REMICK: First question, do we have any idea why the large loss in the 20 to 29 age group? Are there any obvious reasons?

18 MR. BIRD: Well, I think, again in talking 19 with some of the other agencies, there is relatively 20 high turnover in the clerical/secretarial field. 21 They, in the course of the last few years, have been 22 able to shop between private sector employers and 23 government employers and basically there's been a lot 24 of movement in that particular category. For 25 professionals in that category, engineers made up

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about half of the loss rate in there. We have not had time to really analyze why they left. Again, if you're looking at those particular numbers, the percentages are driven by small numbers of people leaving.

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We will look at why we tend to be losing more in that category than others. Again, we haven't had time to do that at this particular time, but we will particularly be focused on the professional groups. We seem to be consistent with the nonprofessionals leaving in that age bracket.

COMMISSIONER REMICK: Several of you have referred to, and primarily Melanie, the name "most qualified" individuals. I must admit I'm not familiar with that terminology. How is that determined?

MR. BIRD: Well, I can respond to that, 16 17 In postings and responses to postings for vacancies, we generally will get a large fraction of the 18 population applying. We then will rate and rank those 19 applicants and come up with what we refer to as a best 20 qualified list. These are basically three groupings 21 of applicants, A, B and C, if you will, with the A 22 group representing those best qualified. That would 23 mean that all the selection factors were responded to 24 25 favorably by those applicants.

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COMMISSIONER REMICK: That is done by OP? 1 2 MR. BIRD: That's generally done by a selection panel made up of program officials. 3 4 COMMISSIONER REMICK: Including from the 5 area where they'll be ---6 MR. BIRD: Yes, sir. 7 COMMISSIONER REMICK: -- recruiting the 8 people or employing them? 9 MR. BIRD: Yes, yes. Generally, it would 10 be, again, made up of equal or higher graded employees from the program areas having the particular vacancy. 11 12 COMMISSIONER REMICK: So it's not 13 necessarily one individual selected, its anybody that 14 seems to meet those criteria? Then they're considered 15 best qualified? 16 MR. BIRD: Yes. The best qualified group 17 would be those that were looked to have the 18 essential -- meet the essential selection criteria for 19 that particular job. 20 CHAIRMAN CARR: And the three groups are 21 not qualified, qualified and best qualified then? 22 MR. BIRD: Well, no. The three groupings would all -- essentially the A, B and C groupings 23 24 would be basically qualified ---25 CHAIRMAN CARR: All gualified?

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MR. BIRD: -- with some demarkation between those qualifications made. There would be another group that would be not qualified for the positions and we evaluate that and generally they do not get looked at by the rating panels.

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COMMISSIONER REMICK: How do you handle subjective things, or maybe they're not in the criteria? Leadership ability or communications ability, are these type of things sometimes in the criteria?

MR. BIRD: Yes, they are. Communications, for example, may well be one of the selection factors. It's again, as you said, it's a subjective factor. It's sometimes hard to measure. This is generally done through references and things of that nature. In talking to previous supervisors and others, we try to make some distinction with regard to communication skills. So, there is a mix of objective and subjective factors.

20 COMMISSIONER REMICK: Do you generally 21 find that selection is from the category called best 22 qualified or --

> MR. BIRD: Yes. COMMISSIONER REMICK: It is. That's all.

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CHAIRMAN CARR: Commissioner Curtiss?

COMMISSIONER CURTISS: I don't have eny questions, but let me just make a comment. I thought the presentations generally reported a number of positive developments. Sometimes the developments in this area aren't as perceptible as I think we'd like to see. I've been here now, I guess, through four or five of these briefings and I think they're very helpful for me. I also thought there were a number of helpful suggestions that were made by the participants.

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Jim, I'm pleased to see that the EDO's office is taking the kind of initiative that it is with Personnel and working with the groups. I think I detect that sentiment coming through many of the presentations.

17 The areas, I guess, where I'm particularly pleased to see the emphasis, and I guess I'd encourage 18 19 all of you to continue it, are on the focus on the 20 feeder populations, the IDPs and the career development programs. I guess my sense is that we're 21 22 entering a three year period here now, for a reason 23 I'll explain in a minute, where a lot of the 24 activities that you've got underway are going to be 25 critical over this period of time.

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The reason, of course, is that now that the pay raise is passed and with people focusing on high three and all of that that goes into it, we may well see at the end of the three year period a substantial outflux of people who might have left January 1st if the pay raise had not been enacted. But there may be a significant amount of turnover in the older more senior positions within the Agency.

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I do think that's going to be something that we need to focus on from a personnel standpoint. We'll lose, I think, a lot of good people as a result of that.

But, Paul, I guess my impression in that there will be some turnover as a result of that when we reach that period and maybe a peak at that point.

If that happens, it does seem to me that the next three year period -- and you may want to speak to this -- but it seems to me the next three year period in terms of having an opportunity for career development and rotations and IDPs and a lot of the things that I think many of you spoke about will be especially important in terms of focusing the attention of the Agency on developing the skills and expertise in the feeder population, not just for the benefit of addressing the glass ceiling that some of

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you talked about and having people move up in the Agency, but I'll be selfish here in my own interest, to ensure that as we see those people leave the Agency that we've got qualified people that have been groomed to move into those positions.

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I think that will benefit the Agency as a whole. But I encourage you all, as you're carrying out your responsibilities over this period of time, in addition to all the other work that you do, to focus on that as perhaps a perspective over the next two or three years where I think a lot of the efforts that I've heard about here today have the potential for providing a forum to address some of your concerns and, in addition, to provide for a smooth transition and succession planning within the Agency.

MR. TAYLOR: I agree with that. I think we're faced with that and I think we're more conscious and appreciate your suggestions, but we're very conscious of that happening.

COMMISSIONER CURTISS: That's all I had, Ken.

22 CHAIRMAN CARR: Commissioner Rogers? 23 COMMISSIONER ROGERS: Well, I think that 24 that was a point I was going to make, that I think 25 that's something we have to be very alert to, that

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nich a higher pay scale, that it may be that at the end of three years after that's been in place we may see an accelerated retirement and at age groups that you might not have expected it before. I don't know. CHAIRMAN CARR: By then we'll have another

5 6 pay raise.

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COMMISSIONER ROGERS: Oh, well, fine. Don't count on it. 8

But I think that's a big "if" out there that I think is worthy of some very careful attention and surveying to see how serious that problem might be and to try to get ready for it, not being caught anymore by surprise than we can avoid.

MR. BIRD: Our consideration prior to the pay raise going into effect was that if it did, we would except attrition, particularly in the SES, to approximately double in bout three years, that most of the people that are in the SES might stay for that period.

COMMISSIONER ROGERS: Well, it's important that people think about what the implications of that really would be on our individual programs. Not just in raw numbers, but how will programs be affected by that or could they be affected by that? I think some real analysis is called for there by the managers that

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might be involved.

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2 Just a question on some of the numbers and 3 statistics that I'm always a little worried about the 4 possible effects of small numbers and fluctuations in 5 On the Committee on Age Discrimination's them. 6 report, the performance appraisals data that are 7 there, some of the groups, particularly the -- well, 8 that show large fluctuations, page 4, the CAD 9 statement, the NRC performance appraisals non-10 supervisory, I notice that there was a big fluctuation 11 in the black men appraisal ratings there between 1987 12 and 1989, up and down. 13

I think it would be useful to look at what the statistical uncertainties would be, assuming some kind of a normal distribution on those ratings, because once you start to get down to numbers like 100 or so in the population group, you expect to have fluctuations of the order of ten percent.

So, at any rate, without trying to be a statistician on this, I think that the expected fluctuations in any of these average numbers, what they might be based just on the statistical uncertainties should be considered in these numbers. I think I've raised that question before about some of these things and I think it is -- it's hard to put

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those error bars on some of these charts. They'd become so cluttered that you wouldn't be able to make the point or some of the points that you want to make. But I do think that they are important to keep in mind, particularly when you start to look at individual groups.

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So, I assume that these numbers came right out of the standard database that we all have. I, for one, would like to see some statistical analysis of fluctuation expected, how meaningful is a deviation from any of these averages in any one year.

The other comment is, of course, I think 12 we're all aware of it, is the performance appraisal 13 creep. It's gone up from '83 to '89. I'm sure to 14 some extent that's because people are doing a better 15 job and it's also a generally well known phenomena 16 that in any organization the ratings tend to go up 17 with time. So, I think we all are conscious of that. 18 Partly they go up because people are getting better at 19 their jobs and partly they just go up because whenever 20 you have a rating system, people's ratings start to go 21 up over time and there is a rating creep that we're 22 all aware of in every organization. 23

> But I did want to raise some questions about the statistical uncertainties in some of these

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1 numbers. The other -- in the affirmative action 2 graphs or tables in that section, there was a table 3 for black males, females, various grade levels. The 4 point was made, I think, on the basis of those 5 numbers, that there's been a decline from '88 to '89. 6 MR. WHARTON: It's '89 to '90. 7 COMMISSIONER ROGERS: Oh, from '89 to '90. 8 MR. WHARTON: Right. 9 COMMISSIONER ROGERS: And they're not on 10 these tables? 11 MR. WHARTON: Well, for most of them there 12 was an increase for '88 and there was a decrease -- if 13 you look where the arrows --14 COMMISSIONER ROGERS: Yes. 15 MR, WHARTON: The arrows are the increase. 16 COMMISSIONER ROGERS: Yes. MR. WHARTON: From '87 to '88 it went up. 17 18 From '88 to '89 -- well, for the '89 performance 19 appraisal period, it went down --20 COMMISSIONER ROGERS: Yes. 21 MR. WHARTON: -- for these particular 22 groups. 23 COMMISSIONER ROGERS: Right. 24 MR. WHARTON: And it went down markedly--25 COMMISSIONER ROGERS: In '90?

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MR. WHARTON: -- in larger numbers than it did for the remainder of the population. Several group experienced a decrease, but these were the groups that experienced the largest or the most significant decrease.

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COMMISSIONER ROGERS: Well, there are only three years shown here and one could ask the question equally well, why did they go up from '87 to '88? In other words, there's a big increase in these numbers, for some groups a very large increase from '87 to '88, and a similar decrease from '88 to '89. In looking at these numbers, I would raise the question, what heopened in '88 as well as what happened from '88 to '89, because we're only looking at three years and there are two questions you can ask. Why did they go up from '87 to '88? Why did they go down from '88 to '89? Or what happened in '88 because the '88 numbers seem to be somewhat out of line.

MR. WHARTON: Well, not in comparison to the rest of the population.

COMMISSIONER ROGERS: Well, we're only looking at three years.

MR. WHARTON: Right, and that was --COMMISSIONER ROGERS: And I think there are some questions to ask here. Rather than just look

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1	at what happened from '88 to '89, I think one ought to
2	look at what seems to be different about '88.
3	MR. TAYLOR: You might see that if you had
4	more years of accumulated data.
5	COMMISSIONER ROGERS: Yes.
6	CHAIRMAN CARR: I can tell you part of
7	what happened from '88 to '89 is I gave a strong
- 8	lecture to the SES group on accurate marking because
9	if you mark everybody great, you're penalizing the
10	good people. That may have had some effect. I would
11	like to think it did, but I'm not sure it did.
12	COMMISSIONER ROGERS: Well, I think
13	there's clearly an effect between '88 and '89.
14	CHAIRMAN CARR: There's some effect. I
15	would agree with you. It looks like '88 was the
16	aberration, it looks like, for the whole population.
17	COMMISSIONER ROGERS: Out of this group,
18	yes. So, I think if you just focus on one period from
19	'R8 to '89, it looks like these people went down. But
20	if you'd look at the three numbers, I'd say they look
21	like they stayed about the same because '88 looks like
22	a fluctuation in some way.
23	So, I think that we have to be careful
24	about the statistics on these, but also we have to
25	look at underlying things that may be going on such as

the start of

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perhaps a reevaluation of how we're marking people, everybody. So, that might be in effect here.

I thir's these meetings are very useful and give us an opportunity to see people that are concerned with the different areas and to hear from them. I've found the briefings very useful to me in giving me some additional insights. But I do think that on perticularly dealing with statistics involving enall numbers that there are fluctuations there. I'd like to see that i-flected in the data in some way, that we don't ignore what the expected fluctuation would be assuming just some kind of a reasonable distribution of evaluations.

Thank you.

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CHAIRMAN CARR: Well, I've got a couple of questions. One also for you, Ray.

I would encourage you to get more of the minorities to apply for the rotational assignments. You can see the females applied in greater numbers than their proportion, by about two to one. And you don't have the same kind of applications for minorities and I think that would be -- if you don't apply, it's pretty hard to get rotated. So, I think 23 the onus is on the people to apply if they really want 24 25 a rotational assignment. It might help that.

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MR. WHARTON: We had a meeting with Office 1 of Personnel discussing the entirerotational 2 3 assignment policy. Our position v prior to the 4 meeting that maybe a little more might need to be done 5 to make the entire population of employees a little 6 better acquainted with --7 CHAIRMAN CARR: Publicize the program a little better. 8 9 MR. WHARTON: -how you go about 10 establishing who is the person responsible. As a 11 result, I believe they issued a bulletin, a yellow sheet and also a pamphlet. 12 CHAIRMAN CARR: But I would agree with 13 14 you. If the results of a rotational assignment turn 15 out to be promotions, more people will apply. 16 MR. WHARTON: That's probably true. 17 CHAIRMAN CARR: But certainly one way 18 to --That comes in in the 19 MR. TAYLOR: individual development too. That's a negotiation 20 between the supervisor and the employee. 21 22 CHAIRMAN CARR: You've got an intriguing suggestion at the bottom of the page there where you 23 24 say, "We request the specific bases for the conclusion 25 that the morale at the NRC is perceived to be good."

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As the CO of the ship, you know, you're always in charge of morale.

MR. WHARTON: Right.

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CHAIRMAN CARR: And if morale is bad, it's nobody's fault but yours. Morale is a very hard thing to measure. Generally speaking, you measure it-people vote with their feet, is what we always say. So, if they're not leaving in vast hordes, you think, "Well, morale can't be too bad." You took issue with that and that's proper to take issue with it because it's only a feel. But you only get a feel for morale anyway. It's very hard. Morale on the elevator in the morning is poor and in the evening it's a lot better.

If you find a good way to measure morale, let me know, I guess is what I'm saying. It's a difficult task and it's very important. I ask people a lot how their morale is. T'ey never tell me it's down. I don't know why. Most people like -- it's like asking them how they feel. They usually say fine even if they've got a splitting headache. So, if you find a good way to measure that, please let me know because I think it's important. It's probably the most important part of the Agency is good morale.

When I go visit a plant, you can walk

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through the plant and you get a sense from morale just by walking around. If the people look you in the face, you get a better view of morale than they do if they kind of look the other way when you walk by. Those are the kinds of imperceptible things I try to sense around the building. My impression is that certainly morale is not unsatisfactory. Whether it's good or not is in the eye of the beholder and probably in the eye of the individual. It's a personal thing whether morale is okay or not.

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MR. WHARTON: Well, our statement there was reflecting on the results of the employee suggestion survey. I've spoken with Paul a number of times regarding the survey instrument and how it was implemented and what the actual results mean. We took issue with that statement. I guess my assumption is that the basis that morale is good was that attrition is considered low. The results of the survey didn't actually say that. I don't know that saying attrition is low means that morale is necessarily good. Everybody that's not satisfied doesn't quit.

CHAIRMAN CARR: I'll start asking my question as, "Could your morale be better?" How's that?

MR. WHARTON: That's probably a good

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CHAIRMAN CARR: I've got one other Talking about the EEO counselors that question. talked to 40 to 50, and I guess it has to do with the same chart where you show six complaints were filed and 5f or 60 or something that didn't ever come to a complaint issue. Are most of those complaints raised as a result of no promotion in one case or I guess the other one would be poor marks? Is that what -- I am looking for the root cause. What generates the complaint? Is it sexual harassment, is it -- you know you've got some dow there that says sex, race, whatever. But what is the primary driver? Do they feel picked on because they applied for promotion and they didn't get it, their marks were lower than somebody else? Which things drive those?

MR. SCHWINK: There were a spectrum of comments that the counselors raised as the perceived basis. The number of contacts represent not only formal EEO counseling sessions, but a phone call contact --

CHAIRMAN CARR: Sure.

MR. SCHWINK: -- with someone saying they were treated unfairly in a spectrum of things. One would be the selection, "I didn't get the job"

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1	perspective.
2	CHAIRMAN CARR: Yes.
3	MR. SCHWINK: The other one was, "I didn't
4	make the A candidate list."
5	CHAIRMAN CARR: But still to do with a
6	promotional opportunity.
7	MR. SCHWINK: And then along the lines of,
8	"I didn't get the window seats that I should have had.
9	I didn't get the window office I should have had. I
10	didn't get the leave I should have had. Mine wasn't
11	granted because of Joe being favored above me for some
12	reason." There were a spectrum of reasons but they
13	principally dealt with how they were being treated
14	both inside and outside of the selection process.
15	CHAIRMAN CARR: So one of the basic
16	problems is communications?
17	MR. SCHWINK: Yes.
18	CHAIRMAN CARR: It's always been a
19	practice of mine if you give somebody less than a
20	perfect mark, you have to be able to substantiate what
21	it is he's got to do to solve that difference. In
22	other words, if you don't have something wrong with
23	him, the guy's doing his work, then you can't do less
24	than mark him perfect.
25	MR. SCHWINK: Or don't rate him as an A

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candidate. Don't rate his appraisal as an outstanding appraisal and then not select him for jobs when he's clearly, according to his appraisal, the outstanding candidate.

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CHAIRMAN CARR: People can't be expected to do better if they don't know where their shortcomings are.

MR. SCHWINK: Correct.

CHAIRMAN CARR: So, I guess it does boil down to communications between the supervisor and the employee as to what -- do they find out which section they're in, A, B, or C, Paul, after these selections are made, or is that a rumor mill?

MR. BIRD: Well, they would not know the A, B and C outcome necessarily unless they were asked. They would know that they were not selected for the job by notification and if they enquired they would know where they were rated in that regard.

19CHAIRMAN CARR: Who would tell them that?20MR. BIRD: We would. My office would.21CHAIRMAN CARR: Okay. But that might not

be their supervisor or their board or whoever?

MR. BIRD: That's correct. Generally, the selecting official will know the candidate groups that he's selecting from. So, that information is

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certainly available through the supervisors and I would expect in many cases it can be obtained there fairly readily. But if everything else didn't succeed in that communication, we would have that information.

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CHAIRMAN CARR: Anybody else want to comment on this issue while I'm exploring it?

MR. KERR: Yes, I would. I'd like to comment on that.

I would say about half of our counselor contacts are concerning lack of promotions or lack of selection, but the other half are something to do with preferential treatment. So, you're right on target that it's a mixed bag really. Part of it is based upon, "I was not selected for a particular job or promotion," and the other half is, "Somebody got something that I'm not getting."

CHAIRMAN CARR: Yes. So it boils down to if you don't get your leave and you're whizzed off, at least your boss ought to explain to you why so that you understand why he didn't give it to you or whatever.

MR. KERR: Certainly.

MR. SCHWINK: Another perspective is that employees aren't really clear of whether something is an EEO matter or whether it's another matter. The

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counselors felt if there was better communication between the counselor, the manager and the employee, that some of this could be relieved at a very, very early informal stage before it festered into something more significant.

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CHAIRMAN CARR: I see. Well, obviously, we can't get everybody a window. There are some things that are impossible. Also, there are only X number of positions in the Agency, so you can't promote everybody to the chairmanship, even though I'd be happy to let them have it for awhile, especially on certain days. Anybody that wants to go to Plymouth is welcome.

And also in your EEO-related -- on page 2 of your statement, I couldn't agree more with, "The EEO-related generic concerns need to be prioritized 16 for resolution relative to their net value in terms of 17 feasibility, practicality, benefits, cost schedules, 18 available resources and what other important matters 19 will not be addressed." That's hard for me to do 20 though. We like to think that that's how we do what 21 we do now, but it may not come clear. So, I think 22 we're back to the situation where we're not doing a 23 24 very good job of communications.

That's one of the things, certainly, that

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1 all of us can improve on no matter where we fit in the 2 Agency, is communications both down and up and 3 sideways. It's very important. 4 MR. COLEMAN: Mr. Chairman? 5 CHAIRMAN CARR: Yes. 6 MR. COLEMAN: Walt mentioned earlier and 7 it has been noted by EEO counselors that the 8 underlying reason for a large number of the informal 9 complaints has been perceived age discrimination. Our 10 committee is certainly aware of the problems when you 11 try to statistically analyze groups with small numbers 12 of people. I'd point out once again that two groups 13 of relatively large numbers of people, all non-14 supervisory men over age 50 and all non-supervisory 15 non-whites, that these two group for seven years have 16 consistently on average been appraised lower than the 17 Agency average for non-supervisory people as well as 18 for the average for the whites. 19 CHAIRMAN CARR: Let me explore the non-20 supervisory -- go over with me what kind of positions 21 non-supervisory positions are. 22 MR. COLEMAN: This is all staff, anyone 23 who is not a supervisor or SES. 24 CHAIRMAN CARR: Well, but isn't the normal 25 progression in the Agency through a supervisory level?

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MR. COLEMAN: This is how we're handling

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CHAIRMAN CARR: I guess I've talked to the EDO, Jim Taylor, about this. This is the first organization I've been associated with where there is not a lot of career planning, if you understand the term. There's no way that when you come in you can say, "Here's where I am where I start and there's where I want to go and these are the steps that I've got to go through to get there." I have zero feel for what the normal promotion rate is, we'll say, from a GG-3 to a GG-15. How many years does that take if you're the hottest runner in the Agency versus how many years does it take if you're the slowest runner in the Agency, but you still run?

You should be able to -- many of these things I worry about, should you make this promotion every three years, every ten years, every five years? Is the perception really, "He got it before I got it"? That starts a lot of the complaints. "We started in the Agency at the same time. He's here and I'm here."

So, we've talked about some career planning guide, outline, which hopefully we can get put out that will take disciplines and say, "Here's the path that you should proceed through if you expect

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to get promoted."

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There are certainly, as you say, some jobs people aren't qualified. The reason they aren't qualified is they haven't been where they should have been if they wanted to get that job. I'm not sure we've helped them enough to do that. So, we're working toward that end and hopefully we'll be able to do something along that line.

I think it is important for people who don't get promoted to know why they didn't get promoted, to realize what's missing in their resume or their career path that would have enabled them to get it or would have made them more competitive. We certainly ought to be able to provide that kind of information, in my opinion.

COMMISSIONER ROGERS: Could I just add a thought on that though? I wonder, that model that you've described really started out with the assumption that promotion involves additional supervisory responsibilities really. Is it possible in this Agency for someone to get a high rating every year for the work they do, but not to be eligible for a promotion to an additional supervisory position.

MR. BIRD: They would be eligible, but they may not be promoted. Yes, certainly there would

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he that latitude to get an outstanding performance appraisal for doing a sound job at essentially what we call a full performance level. That is a -- for a professional employee, there is a career progression that occurs up to a certain grade level.

CHAIRMAN CARR: Let me ask that question different. Do we have some GS-15s who aren't supervisory?

MR. BIRD: Yes.

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MR. TAYLOR: Some don't want to be.

COMMISSIONER ROGERS: Suppose that they don't ever want to be supervisory, but they want to continue doing what they're doing. Can they maintain a high performance rating?

MR. BIRD: Yes.

MR. TAYLOR: Yes The have miny.

17 COMMISSIONER ROGERS: Because, you know, there is a tendency to feel that if you aren't 18 19 promoted you're not doing the job to get promoted and therefore you're not doing a good job. And I wonder 20 21 whether there's the possibility that some of the older 22 people in the Agency who may not have an interest in 23 being promoted to additional supervisory positions might possibly be, if they'd been in that same rank 24 25 for a long time, regarded as not moving and therefore

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not being qualified for a high rating in the work they 1 do. I think it's an issue that one has to deal with. 2 CHAIRMAN CARR: Let me make sure I 3 undersiand this. Somebody can come in, we'll say, at 4 a GS-7 and be promoted in place all the way up to a 5 GS-15 and still do the same work he's been doing? 6 MR. TAYLOR: No. 7 MR. BIRD: No. They come in as a -- a 8 professional employee might come in as a grade 7, for 9 example, and advance, potentially could advance every 10 year -- there is a time in grade requirement -- but 11 potentially could advance every year up to the grade 12 13. Generally, at that level there would be a 13 competitive action wherein --14 CHAIRMAN CARR: Without supervising 15 anybody? 16 MR. BIRD: Correct. 17 CHAIRMAN CARR: And he competes for a job 18 that's non-supervisory? 19 MR. BIRD: That's correct. 20 CHAIRMAN CARR: Learn something every day. 21 COMMISSIONER ROGERS: Well, I'm glad to 22 hear that, because I think that's one of the issues 23 that we have to deal with in a highly technical 24 organization with people who really want to do a 25

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technical job but don't want to do a managerial job.

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MR. TAYLOR: We have those.

CHAIRMAN CARR: How do you train the young guys.

MR. TAYLOR: Well, you mention the career path. Normally there are a couple of paths, and that's what you'll see when we do what you suggested across some of the offices. We're trying a couple of them out now, you know. Starting at the low level, how do you work your way up? You do make a choice. Some people prefer not to take on supervisory responsibilities.

CHAIRMAN CARR: But I've got this GS-15 who's been in the Agency for 30 years and knows more about the subject than anybody else and I can't give this young fellow to him to train because he's nonsupervisory?

MR. BIRD: Well, certainly the senior technical expert could provide guidance to the individual although he may not supervise that individual. There's no bar against that. They work on teams together. They are assembled for many different reasons other than supervision.

CHAIRMAN CARR: It's a lot easier to get his attention if you write his fitness report.

NEAL R. GROSS 1323 Rhode Island Avenue, N.W. Washington, D.C. 20005 (202) 234-4433 MR. BIRD: That's correct.

CHAIRMAN CARR: He listens better.

Okay. I understand that.

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COMMISSIONER ROGERS: Well, I think there's an issue that we need to understand.

CHAIRMAN CARR: We need to think about anyway.

COMMISSIONER ROGERS: Think about it, yes. I don't think we'll resolve anything here, but I --

MR. COLEMAN: Mr. Chairman, you've touched on all the reasons why, in our analyses, we differentiate between supervisory and non-supervisory. The supervisor is key to an individual's future in the Agency and if a supervisor likes the work of his employees, then they, through the appraisals that they will get and training opportunities that go with those high appraisals, their future can progress. And if the supervisor does not, for some reason, feel the work is up to that same level, you just see the connection. That's why we differentiate.

The supervisory level is the key. We've done our enalyses for all the staff below the supervisory level. We feel that it's a separate population group, all SES people and supervisors.

CHAIRMAN CARR: Well, that's how you pick

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supervisors, but --- well, we need to ---

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COMMISSIONER ROGERS: Well, I'd like to raise a question about the training. For example, if you're taking this individual that may not exist but let's say does exist who's doing an absolutely superb job in a technical sense, is really not going to go any further because they're not interested in a supervisory job, what kind of training programs are available to them to maintain their expertise? Are our training programs really trained towards taking on more managerial responsibilities?

MR. BIRD: No. There is certainly, in my view -- and I haven't measured this specifically, but there are at least as many training opportunities to develop in a technical capacity here as there are opportunities to develop in managerial and supervisory responsibilities. I don't know if they're on an equal par, again, but I can certainly say that through the Technical Training Center and through outside course work and --

21 COMMISSIONER ROGERS: I wonder if you 22 could give me some information on that.

MR. BIRD: Sure.

COMMISSIONER ROGERS: I'm very interested in it, because I think it's a very important point for

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1 our future. I'd like to know what those training 2 opportunities are for people who are at the top of 3 their positions technically. CHAIRMAN CARR: Let me ask you, what is 4 5 the grade of the senior non-supervisory guy in the 6 Agency? What is he? What level would they get to? 7 MR. BIRD: Generally they would be at a 15 8 level, but there are those who are in strictly 9 technical non-supervisory jobs in what we call the old 10 super-grade band, 16, 17, and 18. They are there, because they are rather expert in their particular 11 12 technical field. 13 CHAIRMAN CARR: But I would wager you're not getting age discrimination complaints from that 14 15 group of people. Am I wrong? 16 MR. BIRD: There's some pay parallel there 17 that can be drawn. 18 MR. COLEMAN: I don't know, sir. The 19 database we use is sanitized, contains no names or 20 social security numbers. 21 CHAIRMAN CARR: Okay. Any other questions 22 or comments? 23 COMMISSIONER CURTISS: I just had a 24 comment. I know when I came to the Agency in 1979--25 it's been an interesting discussion because I compared

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what the situation was when I went to work for the old ELD. You used to start out at a GS-11. In a year, you'd move to a 12; a year, you'd move to a 13; year and a half, 14; and two years, 15. And there are certain positive aspects of that in terms of --

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CHAIRMAN CARR: Well, lawyers are on fast tracks.

COMMISSIONER CURTISS: Yes, well, I don't know about that. I should say they slowed the track down, and maybe it's this competition for 14s and 15s that has as its antecedent that problem. You certainly had a good deal of predictability when you went through. You knew if you stayed there two, three and a half, five and a half years, you'd be at a GS-15. The lawyers tended to be -- and maybe the other disciplines as well -- there tended to be a lot of top-heaviness within the and maybe as a function of that kind of very visible and very concrete advancement.

I certainly commend the work that Jim and you and the Chairman are doing in terms of career development and career planning, and I tried to allude to that when we were talking about IDPs and rotations and this very critical period because I do think it's important for people to know how to get from where

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they are now to where they want to be in terms of that. But, it was an interesting discussion.

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One other point I guess I'd also emphasize. When I first came to the Agency and worked here for a couple years in the late '70s and early '80s, the kinds of programs that existed at the time in terms of career development, rotation and so forth, have taken, I think, a quantum leap in the last ten or 11 years. It's difficult to see, I think, in the six month periods that we have these briefings, but if one looks back over time there has been significant development over the last, say, dozen or so years that I personally have seen. It's not quite at perceptible every six months, but a lot of progress has been made.

MR. TAYLOR: Well, you're going to see-one of your upcoming briefings is by the Technical Training Center. You're going to see how that place has changed. If you go back five or more years, that place is dramatically different and you'll see it at this next briefing.

COMMISSIONER CURTISS: Good.

22 MR. TAYLOR: We're also concerned about 23 training in some of the -- as you know, some of our 24 efforts of looking at specific post-graduate training 25 in the technical specialties is very important to us

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and that's part of this fellowship approach. So, we're very conscious of stoking the technical and other training aspects that are necessary to support the Agency in the future, particularly with all the people who are in the training pipeline. That's a very important part of what we're trying to do. Some of them may, therefore, get to be an Agency specialist at the 15 level in a particular discipline, and of course they are the ones that work in seismology and so forth, geology.

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It's very important in the Agency that we have those targets. There are a lot of those positions that -- and there aren't that many people in the field, so you really have to train to keep that expertise in the Agency. That's part of what we're looking at. We have quite a few people working on that whole training subject right now, so you'll see more on that.

COMMISSIONER CURTISS: Good.

CHAIRMAN CARR: Well, it's very tough in an agency like this where you don't have an up or out policy. You'll have stagnation. You can't help that. Can you dig out of your database the average age of the employees?

MR. BIRD: Yes. It's gone down.

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1 CHAIRMAN CARR: Can you dig it out for ten 2 years? 3 MR. BIRD: Well, I can give you it for 4 five years. In fiscal '86, the average age was 42.7. 5 In fiscal '87, it was 43. In fiscal '88, it was 43.6. 6 In fiscal '89, it was 43.9. And in fiscal '90, it's 7 gone down to 42.2. So, it has come down from last 8 year to this. 9 MR. TAYLOR: That reflects some of the 10 ability to hire also. 11 MR. BIRD: That's correct. 12 MR. TAYLOR: A lot of that is --13 CHAIRMAN CARR: Adding people in. 14 MR. TAYLOR: That's correct. We were 15 allowed to hire and you're seeing some of that in that 16 data. 17 MR. BIRD: In '87, '88, and '89, as you 18 will recall, the Agency was in a diminishing --19 CHAIRMAN CARR: Sure. And considering the 20 fact that the industry is 30 plus years old, why, 21 that's pretty old. 22 Okay. Any other comments? 23 Well, I want to thank each of you for your 24 excellent presentations. I know that many hours of 25 hard work went into the preparation of the riefing

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package. The Commission appreciates that work and values the contribution that each of you have made toward the continuing effort to achieve our EEO goals and objectives.

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I was particularly pleased to note in many of the committee presentations a new emphasis on contacts with employees, supervisors, EEO counselors and others to identify employee concerns and problems. I believe that is a positive and creative step that will not only aid you in performing your functions as members of the employee advisory committees, but also greatly assist the Commission and NRC senior management in assessing progress in meeting our EEO goals. I encourage you to reflect these employee views in your future presentations.

I also fully support the views expressed by the EDO in his November 14th presentation on career development and urge all employees who have not had the opportunity to do so to read his remarks in full.

With respect to further actions, I would ask the staff to devote priority attention to identifying specific causes and recommending solutions to the problem of attrition among our employees and to seriously evaluate the suggestions made by the employee committees with respect to training and

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additional contacts between managers, counselors and employees to discuss EEO concerns. Finally, I would urge the staff to devote greater attention to planning for and recognizing the experience gained by employees involved in developmental programs and rotational assignments to insure that the employee and the Agency obtain the maximum benefits from these programs. Any other comments? If not, we stand adjourned. (Whereupon, at 11:40 a.m., the above-entitled matter was adjourned.)

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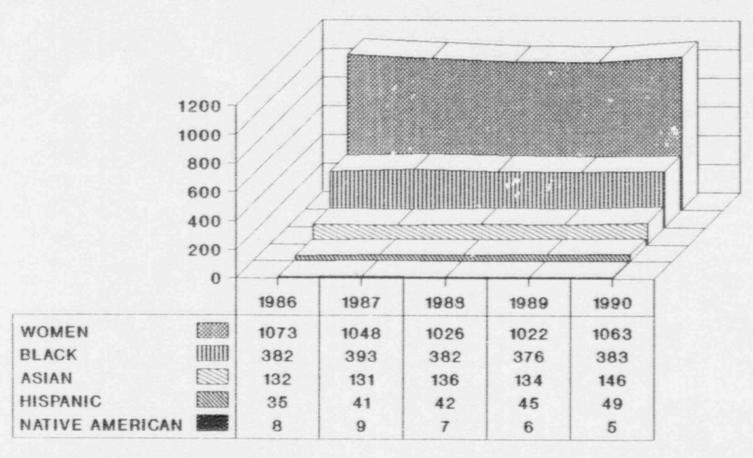
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U.S. NUCLEAR REGULATORY COMMISSION

Commission Briefing on NRC's Equal Employment Opportunity Program

December 17, 1990

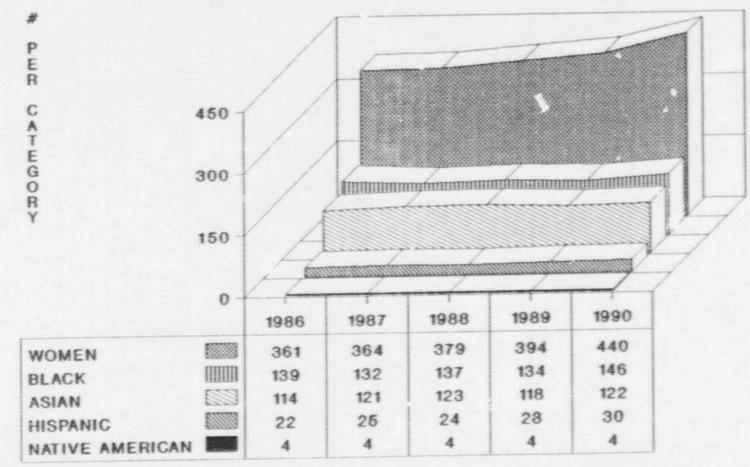
NUMBER OF MINORITIES AND WOMEN PERMANENT FULL TIME STAFF FY-1986 THRU FY-1990



DATA AS OF SEPTEMBER 30, 1990

NUMBER OF MINORITIES AND WOMEN PERMANENT FULL TIME GG-11 AND ABOVE.

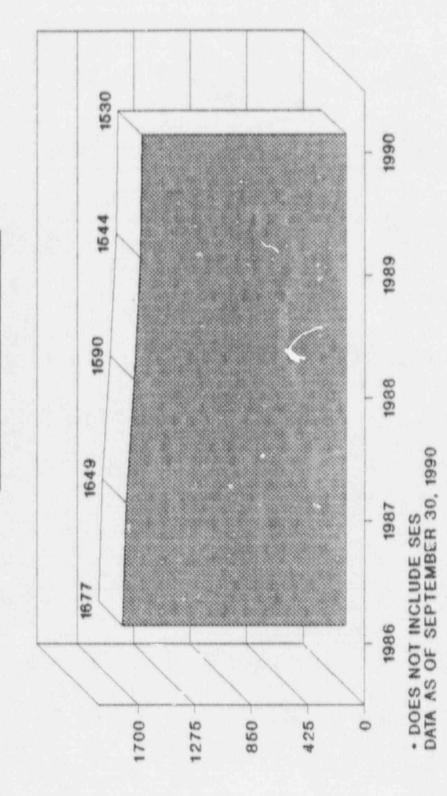
FY-1986 THRU FY-1990



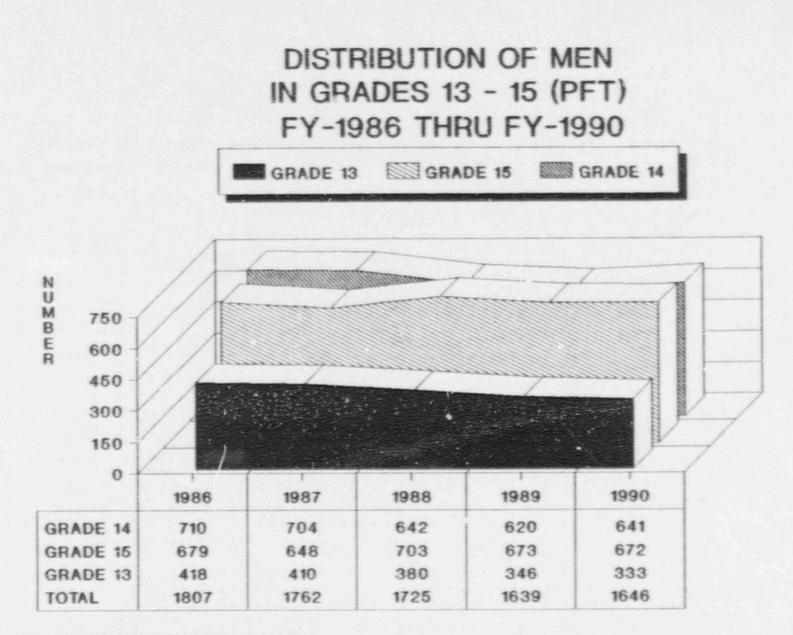
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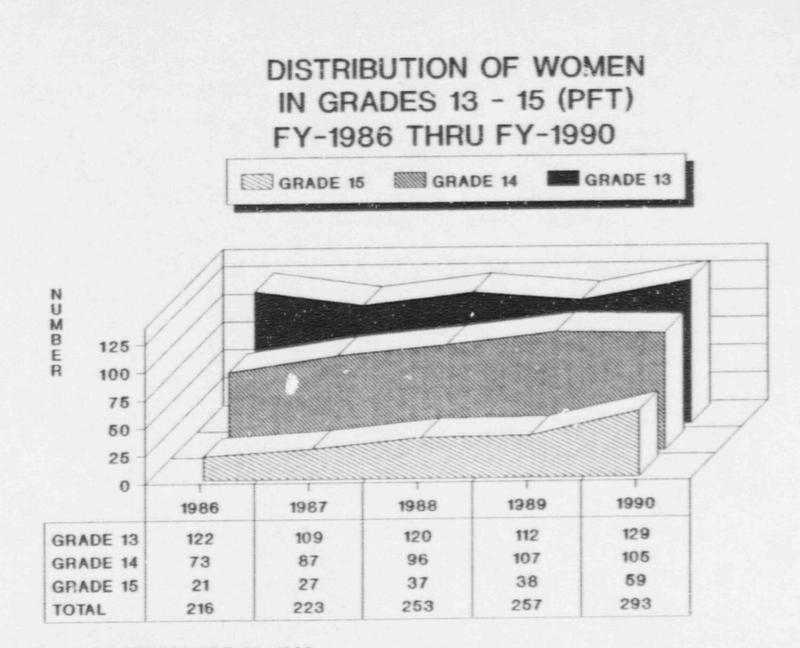
NON-MINORITY MALE



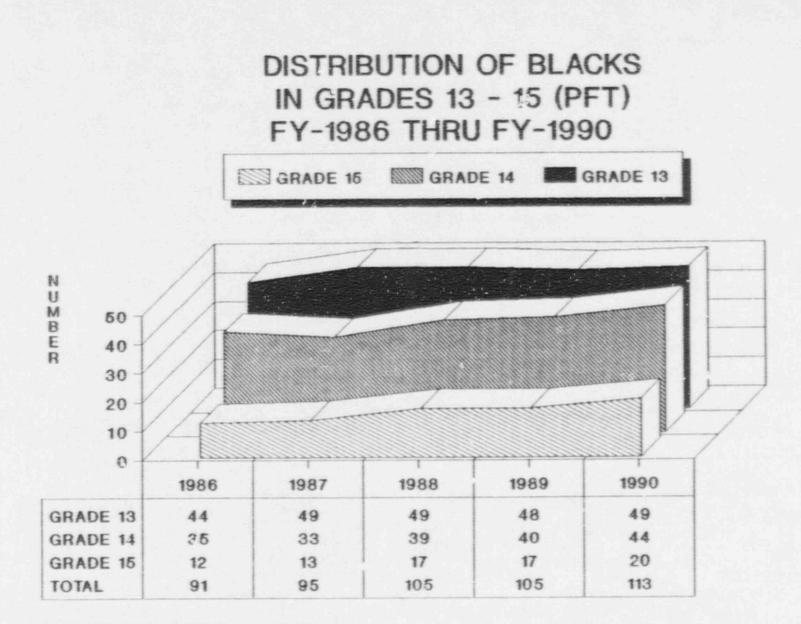
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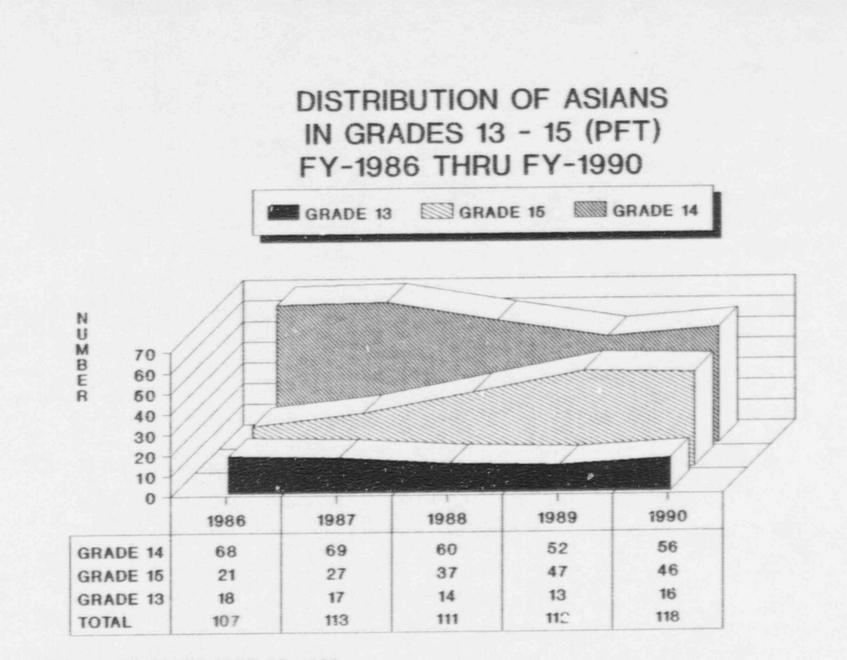
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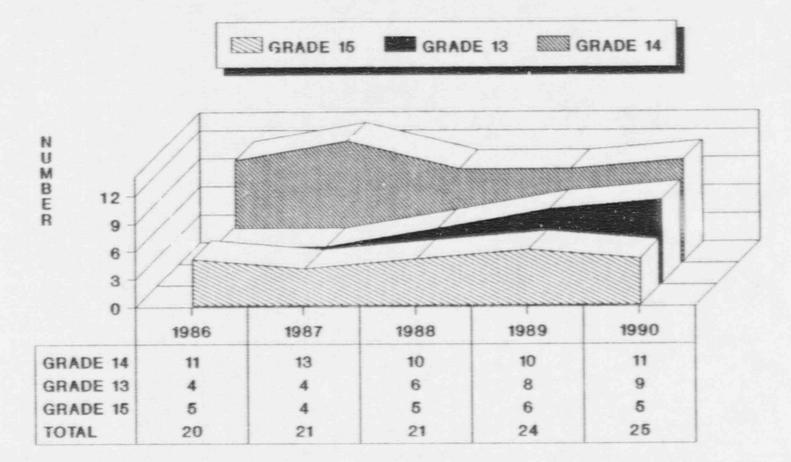


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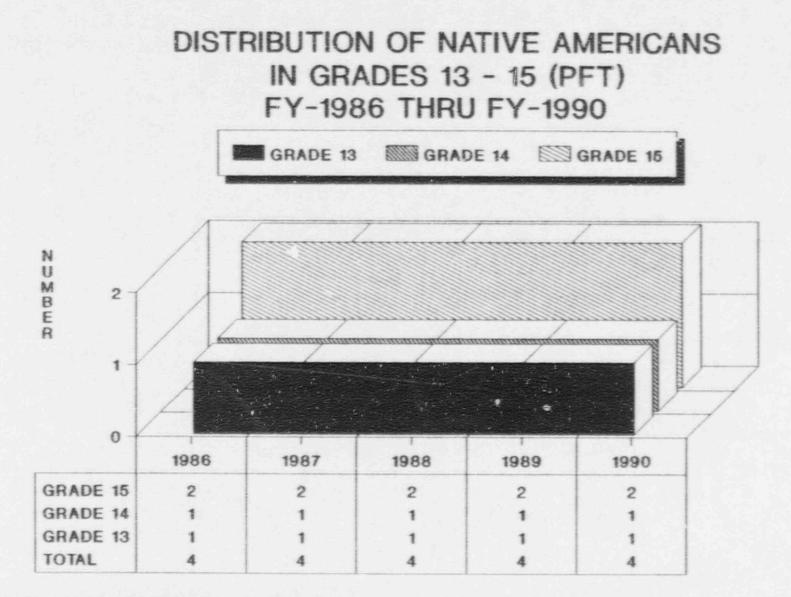


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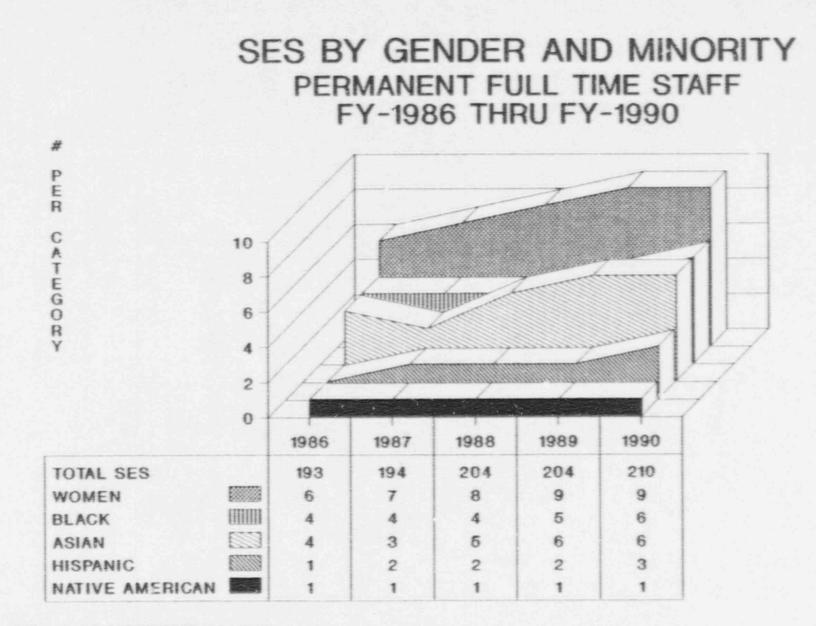
DISTRIBUTION OF HISPANICS IN GRADES 13 - 15 (PFT) FY-1986 THR!J FY-1990



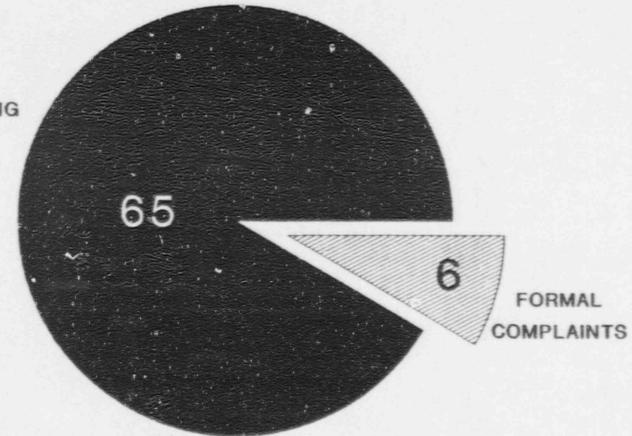
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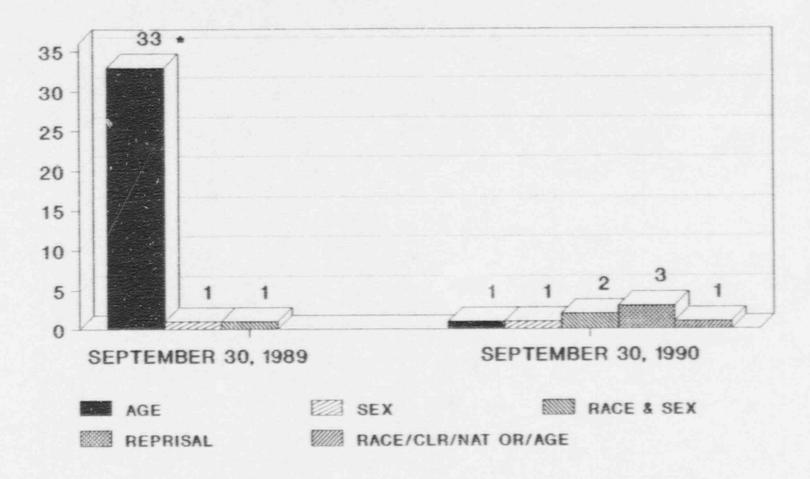
EEO COMPLAINT ACTIVITY FISCAL YEAR 1990



RESOLVED BY EEO COUNSELING

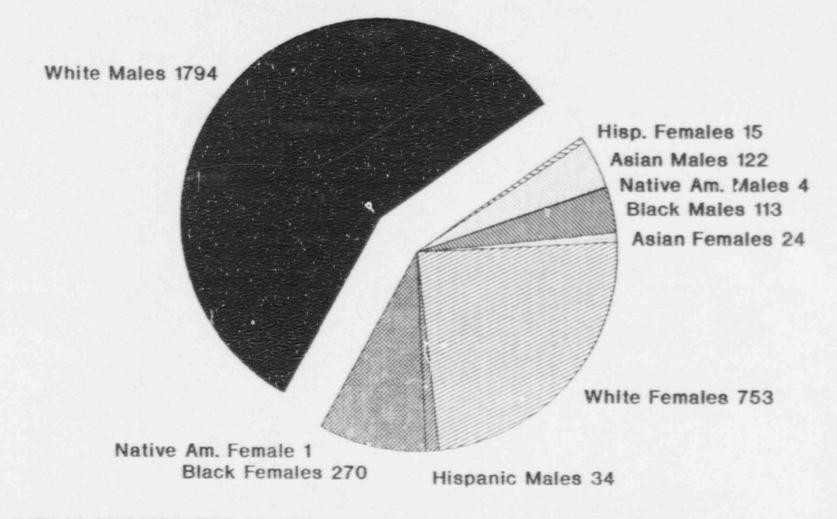
71 INSTANCES OF COUNSELING

ACTIVE DISCRIMINATION COMPLAINTS BY COMPLAINT BASIS



* (Multiple complaints filed by 6 employees)

TOTAL NRC EMPLOYEES (PFT) BY ETHNICITY AND GENDER



DATA AS OF SEPTEMBER 30, 1990

HEADQUARTERS EMPLOYEES (PFT) BY ETHNICITY AND GENDER

White Males 1139

Asian Females 16 Black Males 89 Native Am. Males 3 Asian Males 98 Hisp. Females 12

Black Females 210

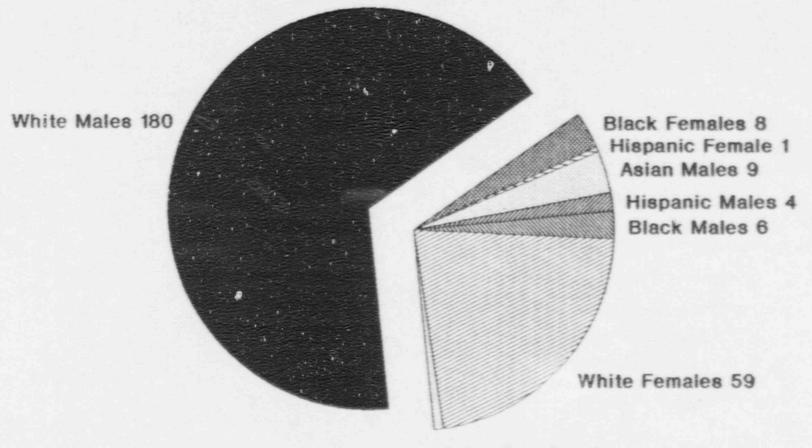
Hispanic Males 14

Native Am. Female 1

White Females 551

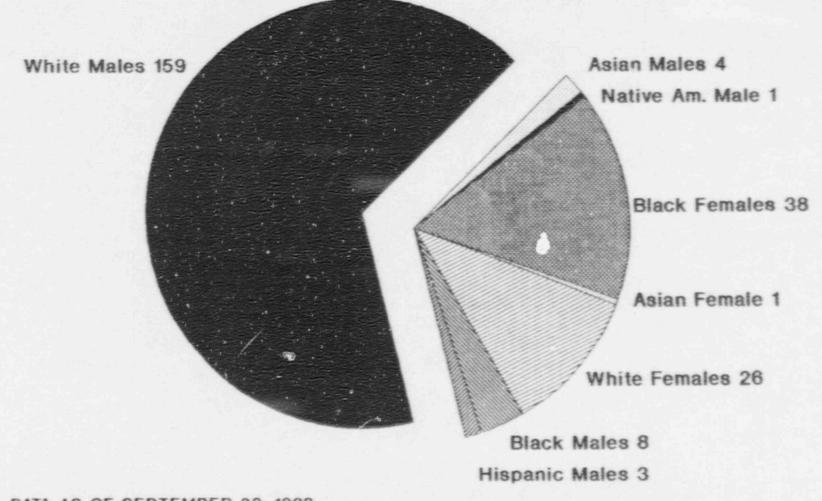
DATA AS OF SEPTEMBER 30, 1990

REGION I EMPLOYEES (PFT) BY ETHNICITY AND GENDER

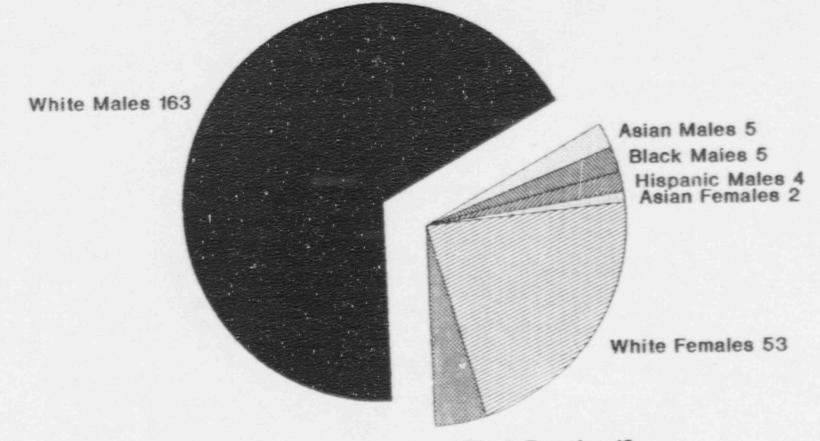


Asian Females 2

REGION II EMPLOYEES (PFT) BY ETHNICITY AND GENDER

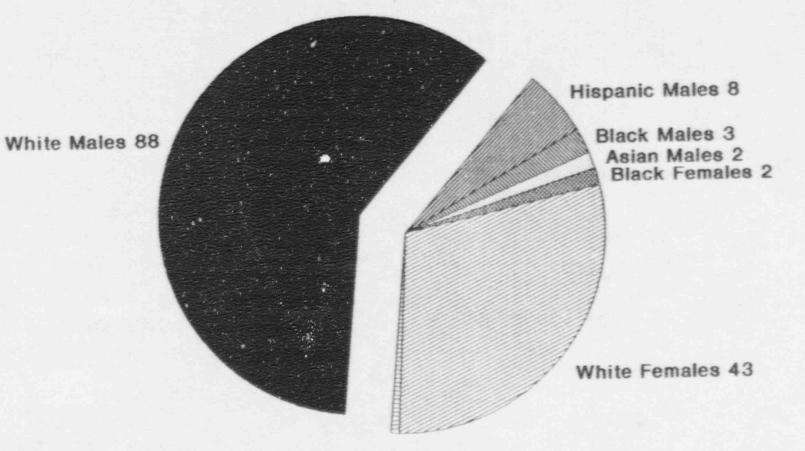


REGION III EMPLOYEES (PFT) BY ETHNICITY AND GENDER



Black Females 10

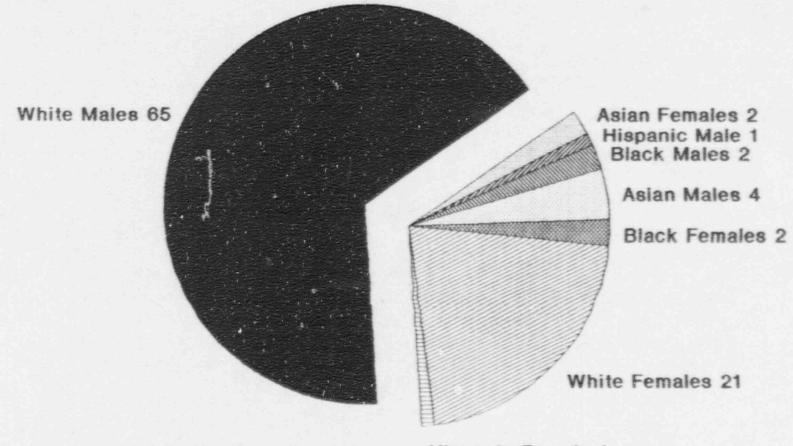
REGION IV EMPLOYEES (PFT) BY ETHNICITY AND GENDER



Hispanic Female 1

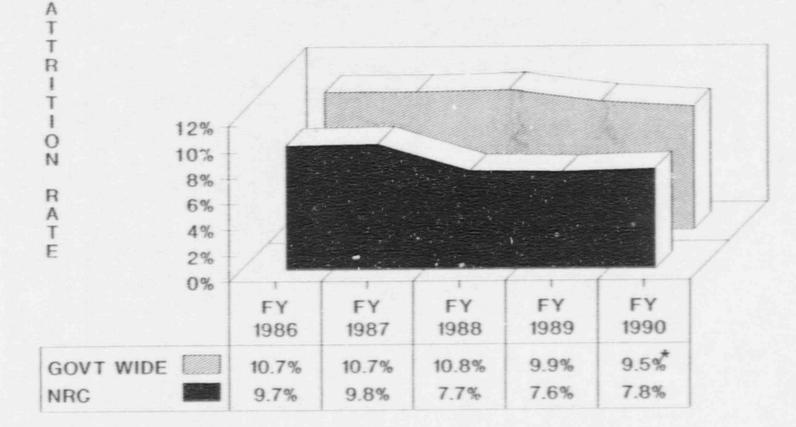
DATA AS OF SEPTEMBER 30, 1990

REGION V EMPLOYEES (PFT) BY ETHNICITY AND GENDER



Hispanic Female 1

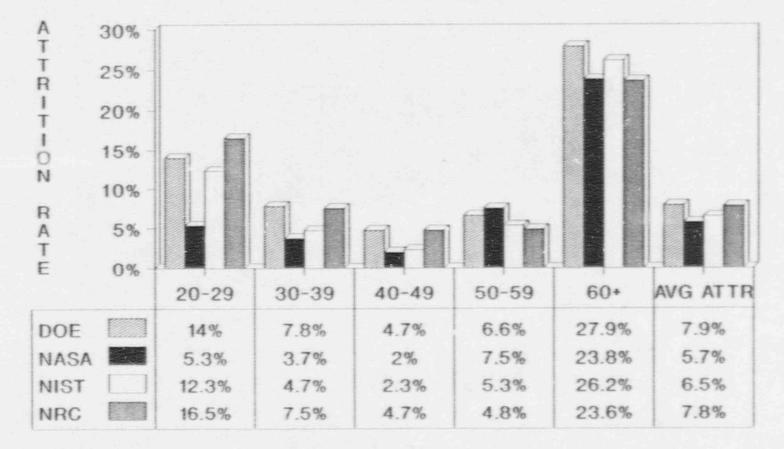
COMPARISON OF ATTRITION GOVERNMENT WIDE VS. NRC FY-1986 THRU FY-1990



NRC DATA AS OF 9/30/90

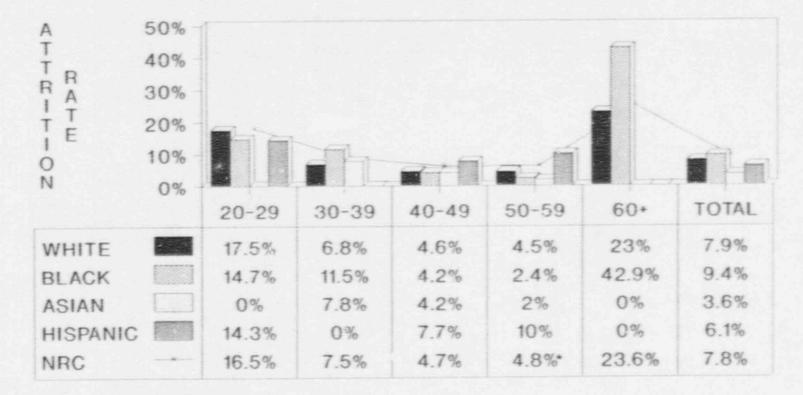
OPM ESTIMATED GOVT WIDE FY90 ATTRITION

PFT ATTRITION NRC VS. SELECTED AGENCIES BY AGE GROUP FOR FY-1990



DATA AS OF 9/30/90

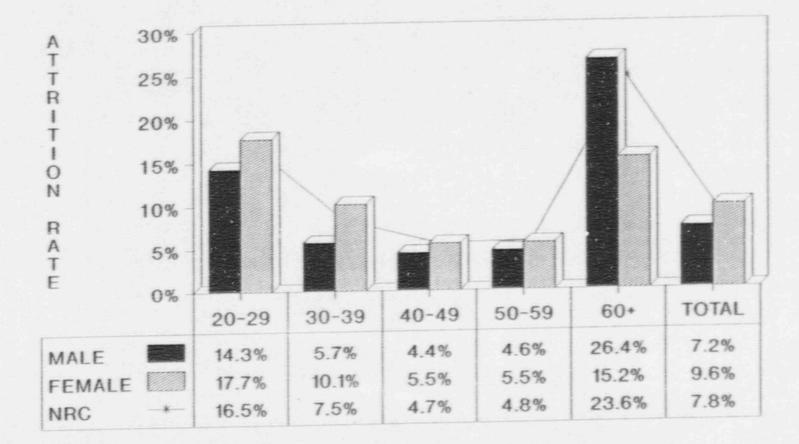
EMPLOYEE ATTRITION BY AGE AND ETHNIC GROUP FOR FY-1990



DATA AS OF 9/30/90

INCLUDES 1 NATIVE AMERICAN

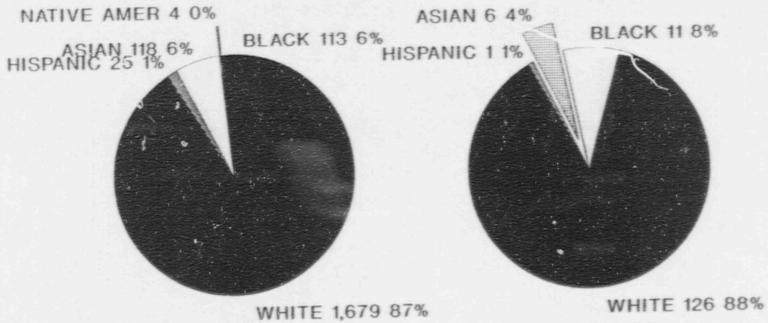
EMPLOYEE ATTRITION BY AGE GROUP AND GENDER FOR FY90



DATA AS OF 9/30/90

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EXECUTIVE POTENTIAL PROGRAM APPLICANTS BY ETHNICITY



ELIGIBLE POPULATION (GG13-15)

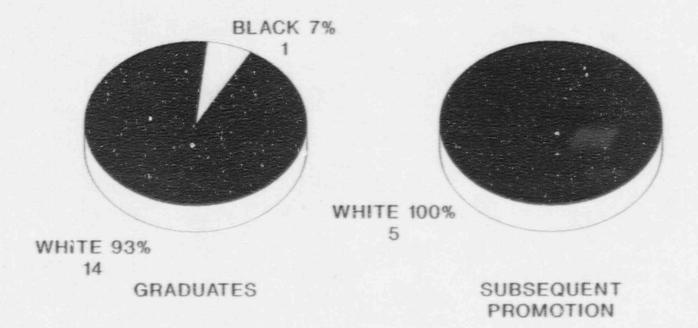
APPLICANTS

FY 1988 - FY 1990 DATA

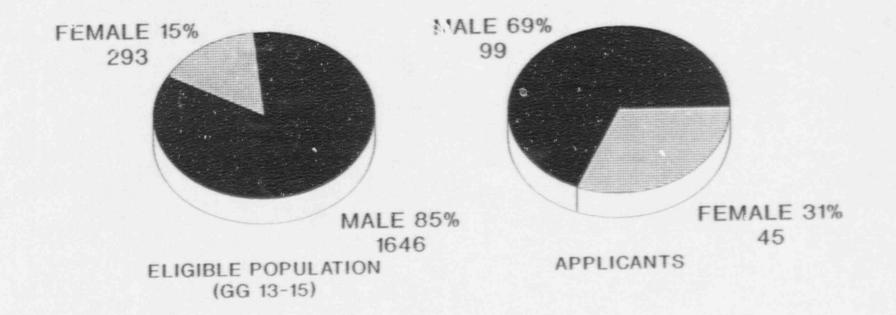
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· Percent may not total due to rounding

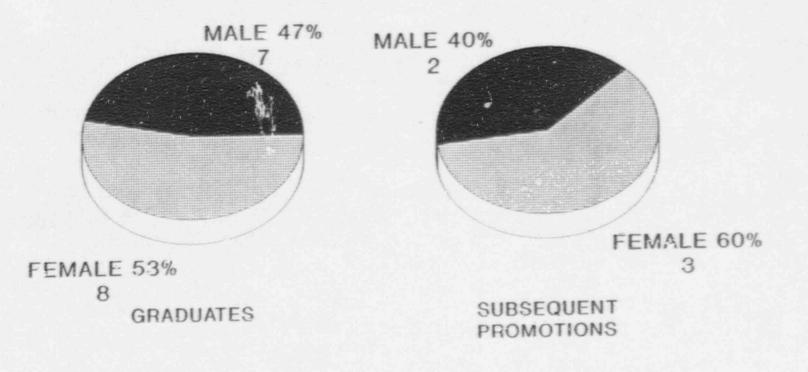
EXECUTIVE POTENTIAL PROGRAM GRADUATES BY ETHNICITY



EXECUTIVE POTENTIAL PROGRAM APPLICANTS BY GENDER



EXECUTIVE POTENTIAL PROGRAM GRADUATES BY GENDER



FY 1988 - FY 1990 DATA

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