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The Nuclear Safety Research Review Committee

Title: Public Meeting

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UNITED STATES
NUCLEAR REGULATORY COMMISSION
Nuclear Safety Research Review Committee
Washington, D.C. 20555


November 1, 1990

MEMORANDUM FOR: Eric S. Beckjord, Director
Office of Nuclear Regulatory Research

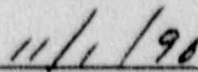
FROM: David L. Morrison, Chairman
Nuclear Safety Research Review Committee

SUBJECT: CERTIFICATION OF THE MINUTES OF THE SEPTEMBER 25
AND 26, 1990 MEETING OF THE NUCLEAR SAFETY
RESEARCH REVIEW COMMITTEE

I hereby certify that, to the best of my knowledge and belief, the attached minutes for the September 25 and 26, 1990 meeting are an accurate record of the proceedings for that meeting.



David L. Morrison, Chairman



(Date)

MINUTES OF THE MEETING OF THE
NUCLEAR SAFETY RESEARCH REVIEW COMMITTEE
SEPTEMBER 25-26, 1990
BETHESDA, MARYLAND

Enclosure 1, marked Tentative Agenda, is a copy of the agenda that was used for the subject meeting. Subcommittee meetings were held concurrently and were not open to the public; formal minutes of those meetings were not kept.

Enclosure 2, in two volumes, is a verbatim transcript of the Full Committee meetings, which were all open to the public. The transcript lists times, dates, place, committee members in attendance, and those making presentations and comments at the meeting. One member of the public attended the meeting.

One brief period of the Full Committee meeting was not recorded for the transcript. At 1:05 p.m. on September 25, 1990, after the court reporter had been excused, the Chairman reconvened the Committee to give instructions on Subcommittee activities, including who was to be on each Subcommittee and where the Subcommittees were to meet.

TENTATIVE AGENDA

Tuesday, Sept. 25

Full Committee

- 8:00 E. Beckjord. Review of current research program and RES view of NRC user needs.
- 10:00 D. Morrison. Open discussion of scope of NSRRC review, review approach, and final product.

Subcommittees

- 1:00 RES Division Directors. Detailed description of current research program and summary of past application of research results to satisfy user needs.

Wednesday, Sept. 26

Full Committee

- 8:00 EDO, DEDOs, and Program Office Directors. Role of RES and user needs for each program office.

Subcommittees

- 10:30 Subcommittee Chair. Caucus to consider current research program vis-a-vis user needs and to prepare comments for discussion in afternoon.

Full Committee

- 1:00 Subcommittee Chair. Comments on current research programs in relation to perceived user needs. RES will discuss their planned support of the Subcommittees.
- 3:00 D. Morrison. Open discussion on relation of current research to user needs and need for further information to prepare report, including RES staff role in preparation of report.

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UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION

THE NUCLEAR SAFETY RESEARCH REVIEW COMMITTEE

PUBLIC MEETING

Montgomery Room
Holiday Inn
Bethesda, Maryland

Tuesday, September 25, 1990

The Commission met in open session, pursuant to notice, at 8:00 a.m., DR. DAVID MORRISON, Chairman of the Committee, presiding.

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1612 K Street, N.W. (202) 293-3950 Washington, D.C.

1 COMMITTEE MEMBERS PRESENT:

2 DR. NEIL E. TODREAS

3 DR. HERBERT ISBIN

4 DR. ROBERT E. UHRIG

5 MR. SOL BURSTEIN

6 DR. DONALD L. TURCOTTE

7 PROFESSOR DAVID D. WOODS

8 MR. EDWIN E. KINTNER

9 DR. RICHARD C. VOGEL

10

11 DESIGNATED FEDERAL OFFICER;

12 DR. RALPH O. MEYER

13

14 STAFF AND PRESENTERS SEATED AT THE COMMITTEE TABLE:

15 ERIC S. BECKJORD

16 THEMIS P. SPEIS

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P R O C E E D I N G S

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2 MR. MORRISON: Well, since the appointed hour has
3 arrived, everyone who is going to be on time is here, I
4 would like to call the meeting of the Nuclear Safety
5 Research Review Committee to order.

6 Several housekeeping items as first activities.

7 I'd like to officially welcome two new official
8 members to the committee: Ed Kintner who was here at our
9 last meeting as an observer has now gone through the
10 appropriate review process and then deemed worthy of a full
11 membership. So, Ed, we welcome you.

12 (Discussion off the record.)

13 MR. MORRISON: Our second new member today is
14 David Woods. We welcome you, David, as a member of the
15 committee.

16 Neil Todreas will be here.

17 Excuse me, Dick Vogel. Three members. I'm sorry,
18 Dick.

19 MR. VOGEL: Just last week.

20 MR. MORRISON: Just last week. Right. We glad
21 you were approved by then and could join us. We're a little
22 light in our load today. A couple of our members won't be
23 here today; although Spence Bush will be here tomorrow.

24 Neil Todreas will be in a little later. His
25 flight this morning is supposed to arrive at 8:10 so he'll

1 be here later this morning.

2 I assume everyone has a copy now of the revised
3 agenda.

4 Did everybody get them, Ralph?

5 MR. MEYER: I'm not sure.

6 MR. MORRISON: It doesn't differ too much from the
7 earlier agendas but let's just look at it briefly to make
8 sure we understand what we're about to do today.

9 This morning we'll meet as a committee of the
10 whole. We really want to do two things this morning.
11 First, Eric will review the current research program and his
12 view of what the research needs are based upon his view of
13 what the user needs are for the activities of the research
14 program.

15 Then I think the committee of the whole should
16 really address what our charter for the rest of the two
17 days.

18 Just to refresh your memory as we start to go
19 through this that the committee's activities today have been
20 in response to a request from James Taylor, Executive
21 Director of Operations, who is following up on a statement
22 or comments made by the ACRS.

23 The charge is very general in the letter that was
24 written by Taylor to Carlye Michelson in response to his
25 letter. I'll just quote a couple of sentences from it.

1 (Reading) It is essentially that we clearly
2 define the technical areas and merits of the research to
3 support specific needs and the regulatory process. Thus, I
4 have asked the RES Director to have the Nuclear Safety
5 Research Review Committee consider what the strategy and
6 content should be for a research program designed to meet
7 NRC's essential regulatory requirement.

8 I think it's worth our while to spend an hour or
9 so discussing that particular charge and see if we can come
10 up with sort of a collective agreement of at least the
11 general outlines of what the research program should be or
12 the criteria it should meet.

13 Then this afternoon we will be breaking up into
14 subcommittees to hear presentations by the various division
15 directors on the specific research programs in accordance
16 with the five-year plan that you should have received a copy
17 of.

18 Tomorrow morning, then, we'll have the opportunity
19 to meet with the executive director and the other program
20 office directors and get their view of where research fits
21 into the overall NRC needs;

22 Again, break up toward the end of the morning in
23 subcommittees to tidy up our work on the individual parts of
24 the program;

25 Then finally in the afternoon tomorrow we'll

1 convey as a full committee to hear the subcommittee
2 reports, so to speak, and try to then combine all of that
3 into a final recommendation for what the research program
4 here should be within NRC.

5 It's a fairly full day or full two days but I
6 think there will be ample time for discussion and I hope it
7 will be very open and free discussion.

8 It's in part philosophical to find out what a
9 research program should be and how it fits into NRC's needs.

10 In part it's a fairly high technical content of
11 some fairly critical issues relying on that technical
12 content. So at the end of the day it's really a balance
13 between those two.

14 Are there any other general questions or
15 housekeeping functions?

16 I guess one of the most important ones is that
17 coffee is not available in this room. It was available
18 earlier downstairs. I don't know whether it's still
19 available downstairs. But we'll plan at least a coffee
20 break at the middle of the morning so we can all go out and
21 refresh ourselves.

22 Ralph, is there anything else from your side that
23 we need to know?

24 MR. MEYER: No. There's no much. The
25 subcommittee meetings are, of course, closed meetings. They

1 are not open to the public. The subcommittee rooms are
2 nearby. This room will be used as one of them. The other
3 room is right next door, and the New Jersey Room is
4 upstairs, not far away. So we have nice rooms that are
5 close together for all the meetings.

6 MR. MORRISON: In case you haven't gotten the
7 information, Ralph Meyer is now our designated federal
8 official. He's replaced Bob Shepherd in that capacity.
9 We're looking forward to working with Ralph and I hope Ralph
10 looks forward to working with all of us.

11 There is an incredible amount of detail that has
12 to be done in preparation for these meetings and fortunately
13 Ralph has taken care of that quite well for us.

14 Just one other housekeeping item and then we'll
15 move to you, Eric.

16 So that everybody has the latest list of committee
17 members and their addresses I'll pass out a couple of sheets
18 of paper here you can have for your record.

19 With that, Eric, let's turn it over to you to get
20 sort of the overview of the research program and what your
21 views of where it fits in and how it fits into the overall
22 NRC needs.

23 MR. BECKJORD: Thank you, Dave.

24 I wanted to welcome the members of the Nuclear
25 Safety Research Review Committee to this full meeting. I

1 think it's the fifth. Time goes by quickly. I could have
2 missed that by one.

3 And I want to add my welcome, Dave, to yours for
4 our new members: Ed Kintner, Dave Woods, and Dick Vogel.

5 You've covered already the purpose of the meeting
6 related to Jim Taylor's letter of May 4 to Carlyle Michelson
7 requesting your review of the strategy and content of
8 research to meet NRC's essential needs.

9 That letter -- I'll add just a little more
10 background to it. The letter that Jim Taylor was responding
11 to was a letter dated April 11 of 1990 to the Chairman of
12 the Commission on the Nuclear Safety Research Program
13 Budget.

14 The ACRS advisory committee on reactor safeguards
15 has been mindful since I came four years ago of the general
16 decline in the safety research budget. I have discussed
17 that subject with him on a number of occasions.

18 We met with him again last fall and it was my
19 understanding that the ACRS intended to write a letter on
20 the subject.

21 They had a second meeting early in 1990 with
22 myself, with the executive director for operations, and with
23 Tom Murley, Director of Nuclear Reactor Regulation, in order
24 to discuss the subject which we did at some length with
25 them.

1 The letter that they wrote on April 11 was an
2 endorsement of the budget for the research program. They
3 noted the trend which had been downward. They explored some
4 of the possible causes of it and then turned to the benefits
5 of the research which have been gained from it in the
6 regulatory activities.

7 Many of the things that they refer to I'm going to
8 be touching on in my own presentation.

9 Then they wound up with really the reasons for
10 their endorsement of the research program. They cited not
11 only the specific information that comes out and is applied
12 to the resolution of problems but they referred, also, to
13 the other benefits of that which is to build and maintain
14 the sources of expertise that can pursue problems and
15 develop solutions that can be applied in the future; in
16 other words, maintaining a strong cadre of researchers in
17 the field.

18 That's a very quick summary of their letter.

19 As I read it, I think it was a strong endorsement
20 of the need for the research budget.

21 They also referred in the letter, which Dave
22 touched on, to this committee and suggested that the
23 Commission might ask your committee to take a look at the
24 funding.

25 So, here we are.

1 I think what it really boils down to is that your
2 independent review and conclusions on the budget would be
3 useful; useful not only to me, to the executive director,
4 but I think also to the Commission as it pursues the budget
5 for the NRC and for research.

6 Your conclusions, I would say, will apply directly
7 to the fiscal 1992 budget which is now part of the
8 President's budget. We are not able to discuss those
9 numbers because the President's budget is embargoed until he
10 presents it to Congress early in 1991.

11 We cannot talk about that in the open meeting.

12 So, your views and comments, I think, will
13 directly affect that budget as it goes through the usual
14 process of review and discussion and finally approval in
15 Congress.

16 But there is a budget matter related to fiscal
17 1991 that we also have to be mindful of. I'm going to say
18 more that in a few minutes: the possibility of the Gramm-
19 Rudman-Hollings budget cut.

20 So, as I say, it is possible, also, that your
21 comments may be important to us relating to the budget which
22 begins on the 1st of October.

23 We have the agenda. I think that's been covered
24 adequately. I'm going to speak for probably 50 minutes or
25 thereabouts on the budgets on the program activities that

1 have been underway in the fiscal '90 and '91 budget.

2 Then I will speak about future research. But, as
3 I said, I cannot talk about the numbers for the fiscal '92
4 budget but you will have the opportunity to review that in
5 detail in the subcommittee meetings.

6 (Slide.)

7 I think what I'd like to do this morning is to try
8 and address the programs as units without getting into a lot
9 of detail on each one. I think if we can see what
10 constitutes the programs and how they are being used and
11 applied to the issues before the Commission today, then that
12 will give you a sense of what is important. Then you can
13 pursue the programmatic details in the afternoon and
14 tomorrow and subsequently as well in the preparation of the
15 report.

16 The agenda. I would just like to comment on that
17 briefly. I'm going to speak a bit at the end about the
18 development of your report, presumably a letter report, and
19 how we can undertake to produce that document. I think I'd
20 like to aim for the end of November as a time when that
21 might be produced, when you might be ready to send it to us.

22 We are prepared to work with you. We'll assign
23 whatever of our staff is necessary to help out.

24 I think one of the things you'll want to discuss
25 is whether another meeting is needed after this or whether

1 the matter can be handled by circulation of draft material
2 and by working with the subcommittees. I think the latter
3 possibility is possible.

4 (Slide.)

5 There is the contents.

6 I'm going to spend a few minutes, as I said, on
7 the Gramm-Rudman-Hollings budget so you'll have the full
8 picture of what might happen in fiscal '91.

9 I'm also going to spend a few minutes on the
10 significant accomplishments. Really, my view on that is
11 that we would like to show you what the research results of
12 the last few years have made it possible to accomplish in
13 their application to regulatory problems. In a way, I think
14 if we can do that we can give you some confidence that the
15 research office can produce on the future results which will
16 be the focus later today.

17 (Slide.)

18 Okay. If we can turn to budget trends.

19 There are a couple of points I want to make on
20 this graph here.

21 First of all, a little history before this graph.
22 From 1982 through 1986 there was a specific reduction of the
23 research budget from the order of about \$180 million
24 annually down to -- it reached a number in between \$100 and
25 \$110 million in 1986.

1 Those were specific reductions of research
2 programs which had blossomed in the period after Three-Mile
3 Island. There were a number of reactor experiments which
4 were under way in Idaho and integral thermal hydraulics
5 systems testing; much of it related to the once-through
6 steam generator system. And these were expensive
7 experiments.

8 The specific reduction that took place in that
9 period 1982 through 1986 was essentially the completion and
10 closing down of the testing and the reactor facilities and
11 also the phasing down of the integral loop testing.

12 From 1986 until the present there has been a more
13 moderate decline to the budget related not specifically to
14 research but to the general decline in federal budgets and
15 specifically in the Nuclear Regulatory Commission budget as
16 a whole.

17 So the period of the immediate past five years has
18 been a general budget problem and not so much one that
19 applied only to the research budget.

20 Now, that is the first point.

21 The second point I want to make is looking to the
22 future my sense is at this point that the trend is going to
23 be up, not with a high slope but with a moderate recovery.
24 I expect that in relation to the development of advanced
25 reactors, advance water reactors first and then possibly the

1 gas-cooled liquid metal cooled reactor, looking some years
2 out. I see that research as in part exploratory because
3 there are new concepts -- We'll be talking about that later
4 -- but also confirmatory because we have details on some of
5 the specifics in these designs.

6 We visited Pittsburgh the week before last, quite
7 a number of us and reviewed the design of the Westinghouse
8 AP-600. That was a very interesting two days out there. We
9 got a briefing on the status of the design, their analysis
10 of a number of the features, and we saw work that is
11 underway at the R&D center, their own development work
12 related to this concept.

13 There have also been meetings with General
14 Electric on their reactor concepts. I have not visited San
15 Jose myself in that connection but I expect that several of
16 us, a number of us will be going out some time later this
17 year to do that.

18 So my sense is that there is going to be more
19 research related and the reason for it is advanced reactors
20 in the future.

21 Now, at the same time I have to say that this
22 matter of the Gramm-Rudman-Hollings reduction is likely to
23 be a problem in fiscal 1991. As I said, I'm going to say
24 more about that so I'll come back to it in a minute.

25 The next point I want to make on this graph is

1 that you also see the effect of inflation here from 1985 to
2 1991. The lower dotted line is expressed in constant 1985
3 dollars. So the effect of inflation has obviously a
4 depressing result on the research effort. I think from 1985
5 until now that approximately, it's probably about an 8
6 percent reduction there. We have been able largely to
7 recover by doing a better job, doing things more
8 efficiently. It's essentially learning.

9 Now, I say that from 1985, 1986 until now. I
10 don't make the claim necessary for the future because I
11 don't know what the inflation is going to be but what I am
12 saying is that with modest inflation I think for this period
13 we have been able to stay even in terms of the results we
14 have been getting from the research.

15 Another point is that during this period from 1987
16 until now there have been a number of new programs and
17 revised programs that have come into the budget even though
18 the budget was declining. These include a redefined and
19 reorganized severe accident research program which we've
20 reviewed with the committee several times, with a renewed
21 human factors research program which, I think, has gotten
22 under way. It's made a good start. It's producing results.
23 I think you're going to see more results from that in this
24 coming year. That's a significant program. It's in the
25 range of \$7 to \$8 million annually now.

1 The accident management program which we'll be
2 talking about today and tomorrow in some more detail is also
3 new in that period. And an effort in radiation protection
4 and health effects is under way relating to low level
5 radiation and some other radiation protection problems of
6 interest; for instance, hot particles and that type of
7 thing.

8 Now, these programs when you take them together
9 constitute a large sum of money. I mean, it's a large part
10 of the budget and it was funded by really two things: a
11 redirection of severe accident research and by the
12 reductions in thermal hydraulic research and code
13 development which had been under way for many years relating
14 to the loss of coolant accidents and developing the tools to
15 predict the consequences of loss of coolant accidents.

16 Another activity which I should mention is the
17 probabilistic risk assessment. The NUREG-1150 which was
18 completed recently and for which you have the peer review
19 report which was completed this summer, that was a major
20 effort over a number of years. The direct funding of NUREG-
21 1150 was about a \$17 million over a six-year period.

22 In addition to that, there were other funds that
23 supported it through the severe accident research work which
24 was not charged directly to it but, as I said, supported it
25 indirectly.

1 That major effort is completed now and I expect
2 with the receipt of the report of the peer review committee
3 and their advice to publish the document that we will do so.

4 We have work under way to follow up on suggestions
5 and comments that they made. I expect that will be ready,
6 the final will be ready later this fall.

7 So, those are major activities that were funded
8 during this time even in a declining budget.

9 As I said a few minutes ago, I think the next
10 thing that we are addressing is the needs for research for
11 advanced reactors, advanced reactor concepts. I do not
12 think that we will be able to fund that within a constant
13 level budget. So that I am saying we will need some new
14 funding for that advanced reactor work.

15 (Slide.)

16 Just to clarify for you matters of definition of
17 the work that we do, I think we discussed this with the
18 committee before but I did want to bring it up again for our
19 new members. We do really two kinds of research in the
20 first category and that is, first, what I would call "short
21 range," work that relates to issues, problems, answers that
22 are needed over the next one to two years.

23 Most of it is in response to regulatory needs:
24 things like the license renewal program which is under way
25 now relating to issuing new license for plants as they

1 approach the expiration of their 40-year operating license.

2 Although there are several plants whose licenses
3 expire in the '90s, there are growing numbers of plants for
4 which that will be the case after the Year 2000. But
5 there's quite a long lead time relating to decisions that
6 utilities will make about these facilities. They want to
7 know what the costs will be and what expectation they have
8 for additional life in these plants.

9 So we have written the rule. That's done. And
10 we're working on the regulatory guide. The rule will become
11 final next year, in 1991, and the regulatory guides will be
12 forthcoming over the next two to three years.

13 So it is that kind of thing that I'm talking about
14 in the short range.

15 We also do longer range work, research requiring,
16 perhaps, three years or more to complete. Examples of that
17 are the ongoing research in reactor vessel materials and the
18 irradiation embrittlement performance.

19 It's also in anticipation of safety issues,
20 developing new knowledge for issues that we expect to come
21 down the pike. An example there is what I've already
22 mentioned, advanced reactor safety. We'll talk some more
23 about that later.

24 So, there are those two categories of research.

25 Then there is a category which we call "technical

1 assistance." That's primarily application. We do most of
2 that as you will see under the category of resolving safety
3 issues and developing regulations. It relates to rules,
4 standards, licensing decisions, policy development, that
5 type of thing.

6 (Slide.)

7 This gives you the budget figures in the five
8 categories for '89, '90, and '91 forthcoming so you can see
9 the relative magnitudes of the programs.

10 You can also see here the share of -- we've shown
11 this in two categories: research covering the definitions
12 that I just gave you and also technical assistance.

13 Most of the technical assistance is in this last
14 category of resolving safety issues and development of
15 regulations.

16 We used to consider high-level and low-level
17 wastes together as one category but, then, about two years
18 ago with the new law on high-level wastes those budgets were
19 split. So high-level waste now has a separate budget,
20 separate from low-level waste.

21 There is a significant growth in the combination
22 of those two in 1991 compared with the prior years.

23 There is some modest growth in the other areas.

24 MR. ISBIN: Eric, may I ask with reference to
25 high-level waste: technical assistance can also be provided

1 by other groups within the NRC?

2 MR. BECKJORD: Yes.

3 MR. ISBIN: And that turns out to be quite
4 significant, doesn't it?

5 MR. BECKJORD: Yes.

6 The technical assistance budget on high-level
7 waste is primarily in the nuclear materials safety and
8 safeguards office under Bob Bernero. The amount that they
9 are spending on high-level waste -- I'll get that number for
10 you, I don't recall, but it's a lot more than this. Most of
11 that is expended at the Center for Regulatory Waste Analysis
12 at the Southwest Research Institute in San Antonio. The
13 research effort is centered there now as well as the
14 technical assistance in that division.

15 (Slide.)

16 Now, this shows you what the Gramm-Rudman-Hollings
17 problem is in fiscal 1991. I want to emphasize in talking
18 about this that this is a potential. It is not a certain
19 matter. I outcome is not certain. It really depends on the
20 budget resolution which is presumably now underway,
21 including today, downtown.

22 I guess you can say it's anybody's guess at this
23 point but my expectation from what I've heard discussed in
24 the agency and elsewhere is that there will be a resolution
25 of the budget. It may not come about by the first of

1 October.

2 What will happen if there is not a budget
3 resolution by the first of October is that there will be
4 something like a continuing resolution and the executive
5 branch of government will issue instructions to all
6 departments to make reductions in their expenditures.

7 If the issue were unresolved throughout the year.
8 We know approximately what that cut might be. It's shown
9 here in the middle column.

10 By the way, the left-hand column says "Fiscal 1991
11 latest." Those are the same numbers that you saw in the
12 preceding graph. The mid-column is the potential cut which
13 would be operative for the entire year without a resolution.
14 Then the right-hand column is the balance.

15 If it happens, undeniably it's a major cut in the
16 program. The consequences would be considerable. It would
17 be, first of all, a major deferral of research efforts.
18 There would be a loss of researchers and people to the
19 program, a loss of morale.

20 Based on past experience, the loss of people would
21 probably be permanent. There would be facilities that we
22 would not be able to operate and would close down. And
23 probably there would be termination costs in our work with
24 laboratory, Department of Energy laboratories. And to the
25 extent there were termination costs, the real research in

1 that right-hand column would be further depressed.

2 You know, looking beyond 1991 to a recovery, all I
3 can say is it would be an expensive recovery because we
4 would undertake to get programs that were deferred back
5 underway. We'd have to find people to work on them.

6 So there are really major costs associated with
7 this. I certainly hope this does not befall us.

8 My expectation with regard to Gramm-Rudman-
9 Hollings, I think it is not likely that we will see this
10 whole. But I think it is likely that we will have a budget
11 below the \$94 million which was the final budget approved by
12 the House for fiscal '91.

13 (Slide.)

14 Now, the next slide shows you what the general
15 basis for these reductions was. It was done in two parts.
16 First of all, last spring, in April, we prepared for up to
17 in terms of ranking a priority assigned to each project, we
18 made up a list totaling \$23 million of reductions in
19 anticipation that there might be something like this.

20 In August the agency was directed by Office of
21 Management and Budget to prepare for a number which when it
22 finally came down to research it was an additional \$11
23 million reduction. So the total of the two of those comes
24 up to the \$34 million shown on that previous slide.

25 Now, what I'd say about that is that we did a very

1 careful review of the priorities for the first \$23 million.
2 When the instructions came to come back with a program
3 totalling \$34 million as is usually is the case, there was a
4 very short notice on that and the additional \$11 million in
5 cuts was done to the best of our ability in a very short
6 time.

7 So my view on that is I think the priorities are
8 lined up pretty well on the first \$23 million. If there is
9 a cut anything like this, we intend to look at it very
10 carefully when the final number comes down. When the final
11 number comes, it's not likely to be precisely on any one of
12 these. So we will be looking at the whole program.

13 MR. VOGEL: When your final number comes, when do
14 you get the final number? Sometimes there's a problem when
15 you get the final number late, then you have to cut at twice
16 the rate. It gets to be terrible.

17 MR. BECKJORD: Right. Well, the way that will
18 work is that we will know on the 1st of October the issue is
19 either resolved or not resolved.

20 If it is not resolved, then we have to undertake a
21 cut right away. The money comes to us probably on a monthly
22 basis and it will be in proportion to this \$34 million cut.
23 So we won't get very much money at the beginning of the
24 year.

25 It turns out that we have enough forward funding

1 to carry most of our programs through December with no new
2 money.

3 So that if the issue, let's say, resolved as late
4 as December, if we had money to spend at the original
5 planned rate, we would have precisely the problem that
6 you're referring to. But in fact we won't be receiving that
7 much money so the research will already have been scaled,
8 the spend rate will have been scaled back.

9 MR. BURSTEIN: What you're saying, if I
10 understand, if the problem is not resolved by October 1st,
11 it can only get better from there.

12 MR. BECKJORD: Yes, that's right. It can only get
13 better.

14 MR. VOGEL: That's unusual.

15 MR. BECKJORD: That's a good way to look at it.

16 MR. MORRISON: Eric, the information you had on
17 the previous slide on the numbers, really all of your budget
18 numbers, include both what it takes to operate your office
19 as well the contractors, labs, whoever supports your office?

20 MR. BECKJORD: No, this money is just the money
21 spent outside the wall.

22 MR. MORRISON: Just the money spent outside the
23 wall?

24 MR. BECKJORD: Yes.

25 MR. MORRISON: So you have additional funds?

1 MR. BECKJORD: Our budget has about, I don't know,
2 \$12 to \$13 million for the office expenses, salaries, and so
3 forth.

4 MR. VOGEL: Would they be cut in proportion?

5 MR. BECKJORD: Possibly. I don't think that much.
6 I don't think it would be cut that much in the proportion of
7 34 to 96.

8 MR. VOGEL: Are government employees facing
9 reduction of work week? I know it's been talked about.

10 MR. BECKJORD: Yes. There has been a lot of that
11 in the papers. The direction that I've received from the
12 Commission is that it is not planning on furloughs in the
13 NRC. I've heard that three times now and the last was
14 Friday, that the agency is not expecting furloughs.

15 MR. SPEIS: At least not until the end of
16 December. But if things get bad, there is the possibility
17 after that.

18 MR. UHRIG: TVA is already issuing specifics on
19 who will go on leave.

20 MR. SPEIS: This has happened to a number of
21 agencies in Washington.

22 MR. BECKJORD: Well, if the past is any guide, in
23 the last four years the time of resolution of the budget
24 issue has varied from sometime in October to as late as
25 December. I think a couple of years ago the issue was

1 resolved right around the holiday in December. So it could
2 be any time in there.

3 Well, with that downer I'd like to get back to the
4 budget and review, from here on out talk about the planned
5 fiscal 1991 budget. We'll just have to deal with the Gramm-
6 Rudman-Hollings problem if it arrives.

7 MR. MORRISON: Eric, when you discussed the
8 budget, this chart you have up here reflects some priorities
9 which I think may be of value to get your insights and the
10 committee's perspectives on how you see the priorities.
11 Maybe you'll be discussing that in your budget anyway.

12 MR. BECKJORD: Yes, yes.

13 MR. UHRIG: On individual programs, just a quick
14 calculation, it goes from 17 percent to over 50. You just
15 take the numbers: low level waste is 53 percent. The other
16 extreme is the resolving safety issues which is only 17
17 percent under Gramm-Rudman-Hollings cuts.

18 MR. BECKJORD: Well, of course, what happens
19 there, resolving the safety issues are near-term commitments
20 that we have to the Commission relating to things like
21 license renewal.

22 MR. UHRIG: This is really a reflection of the
23 priorities?

24 MR. BECKJORD: Yes, it is. That's right.

25 The next impact of Gramm-Rudman-Hollings is on the

1 next slide.

2 (Slide.)

3 The way we have taken that, we have had to make
4 cuts in programs. That large cut with Gramm-Rudman-Hollings
5 would devolve under primary system integrity largely on
6 piping. We concluded that we had already done the most that
7 we felt comfortable with, with regard to reactor vessels.

8 So that certainly gives you an indication of where
9 our priorities are.

10 I think the reactor vessel is probably the last
11 thing we would work on; that is, we would continue to work
12 on that as long as there was any money at all because of its
13 obvious importance in safety.

14 We would have to defer major activities in severe
15 accident research and we would have to defer work in the
16 human factors and in waste management with that large a cut.

17 In each area the approach has been to maintain as
18 much activity as we could so that we could start again in a
19 recovery next year. In other words, we haven't just closed
20 out any program in totality.

21 (Slide.)

22 This shows how you will be reviewing things. If
23 we had five subcommittees, I think we would review it in the
24 five categories that I've already discussed but we felt that
25 we couldn't, we would be spread too thin to do that. So

1 there are basically your three subcommittees. One will be
2 reviewing primary system integrity; second will be reviewing
3 systems and severe accidents, and the third will be
4 reviewing human factors and waste management.

5 These are the applications that each of the three
6 of your subcommittees will be looking at in the right-hand
7 column.

8 Primary system integrity follows the definition in
9 the five-year plan information which you've received.

10 The systems and severe accidents includes the risk
11 and reliability, the accident management, severe accident
12 research, the individual plant examination.

13 The human factors, which is normally in that
14 program, was moved into the third subcommittee in order to
15 get some balance in your review activity.

16 So when you go into the sessions this afternoon,
17 you'll be following this outline. However, I'm going to
18 keep referring back to the original organization of material
19 that we've had that you've been reviewing in the past and
20 that was in the five-year plan because I would, it will
21 really be convenient for us if your report addresses the
22 original five categories appearing in the five-year plan if
23 we can separate it out that way.

24 MR. ISBIN: The iter. resolving safety issues and
25 developing regulations and so forth, that's not included in

1 any of these?

2 MR. BECKJORD: Well, since that's mostly
3 application, you'll be hearing about that in terms of when
4 we're presenting the research, people are going to be
5 talking about the applications. Since the resolving safety
6 issues is not really a research category, we did not devote
7 specific -- but you're going to be hearing about it
8 throughout.

9 MR. KINTNER: Eric, you're making these
10 adjustments whether Gramm-Rudman or just the annual budget
11 adjustments. How do you take into consideration the work
12 being done by others? I don't know how much is being done
13 by reactor manufacturers; certainly not near as much as it
14 used to be. I don't know how much is being done in foreign
15 laboratories.

16 Clearly that affects your priorities as well.

17 Are you able to talk to that? I'm sure some of
18 the other members here already know all that -- how it fits
19 with the decisions you make and priorities and budget
20 adjustments?

21 MR. SHAO: We're going to cover that in the
22 subcommittees.

23 MR. BECKJORD: We are specifically in planning
24 this research on advanced reactors, that's one of the issues
25 we're looking at now. That's one of the reasons that we had

1 the meeting with Westinghouse and we'll have meetings with
2 General Electric, to make sure that we understand what
3 they're doing so we want to avoid any duplication.

4 There are some additional things that we will
5 undoubtedly encourage them to do. Then we will undertake
6 work in the NRC that is appropriate to the NRC. Generally
7 that follows the definitions that were suggested by the
8 National Research Council Committee's report of four years
9 ago. They addressed a lot their report to the question that
10 you raise. They gave a pretty good treatise on how you do
11 it.

12 Specifically there's a lot of work underway at
13 EPRI which you are well aware of. We meet periodically with
14 EPRI. We have cooperative programs in several areas with
15 EPRI in which they do some of the research and we do some of
16 the research. We meet with them annually to find out about
17 their plans and they find out about our plans for the next
18 year and we try to coordinate it.

19 In the international area we have many, many
20 agreements. The most important ones are with Japan, with
21 United Kingdom, with the Federal Republic of Germany. We
22 have important cooperation with the Swedes in waste research
23 and there's cooperation with the Italian study now on
24 advanced reactors. So we're pretty well aware of what
25 they're doing and we're able to coordinate.

1 MR. SPEIS: This is an integral part of
2 prioritizing our efforts. For example, in the area of
3 severe accidents because some experiments are so expensive,
4 we have done activities with EPRI. I don't know if you're
5 aware of the program Ace/Mace. The only way to do it is to
6 do it jointly.

7 MR. BECKJORD: Okay. Let me move, then, rapidly
8 through the next five or six.

9 (Slide.)

10 First on the accomplishments, the reactor pressure
11 vessel programs: You'll be hearing about those programs in
12 detail. I just want to point out the applications. The
13 past research results have been applied to the resolution of
14 the pressurized thermal shock issue dealing with what may
15 happen to a reactor vessel in a condition of rapid
16 overcooling in which the temperature is sharply reduced down
17 into the range where the material no longer has its original
18 ductility due to irradiation.

19 This was a very important issue a few years ago
20 and the work that was done in research enabled the
21 resolution by analytical means.

22 Reactor vessel supports. This has contributed to
23 the review of that problem; the potential embrittlement of
24 the supports for the reactor vessel due to low level, low
25 flux irradiation.

1 Thirdly, there are 17 vessels in the U.S. that
2 have nickel and copper content in the wells due to the Linde
3 flux material that was used in welding. Those are more
4 subject to embrittlement than the vessels that have been
5 fabricated since. We're watching those very carefully.
6 Here I'll talk a little bit about the Yankee-Rowe vessel
7 which is kind of a first test case on license renewal coming
8 up.

9 Then, of course, this has been and will continue
10 to be applied to aging and the license extension question
11 for all of the reactors.

12 (Slide.)

13 Piping research, the work that's been completed
14 under there cite three things: primarily the proof of the
15 high capability of piping systems to withstand high seismic
16 loading. That's been one of the big, important results of
17 the program.

18 Secondly, the leak before break work on
19 pressurized water reactors. That's the conclusions of the
20 studies and experiments that were done on the large reactor
21 coolant piping and the conclusion if the chemistry is right
22 and if the pipes are properly inspected that you're not
23 going to have this sudden circumferential rupture, that such
24 pipes will leak before they will break. That's made it
25 possible to remove unnecessary restraints in operating

1 plants. There will be no unnecessary restraints put in new
2 plants as a result.

3 Finally, the removal of those has made it possible
4 for operators to save a great deal of labor and exposure
5 while plants are shut down. They don't have to remove so
6 much of the restraints from piping and so they are more
7 quickly inspected. So the total exposure in the course of
8 maintenance has been significantly reduced as a result of
9 that.

10 Thirdly, the validation of the repair procedures
11 for the recirculation piping on the boiling water reactors
12 which was a serious problem a few years ago. I think the
13 research program contributed considerable to the resolution
14 of that issue and the approvals of the weld repair processes
15 and so forth.

16 (Slide.)

17 Next, in aging research. That, of course, I think
18 the aging research is one of our most important programs now
19 because of the potential economic savings for the country in
20 the renewal of these licenses beyond the 40 years for an
21 additional 20 years. I think the investment value of that
22 in terms of, you know, the financial discounting, I've seen
23 numbers of \$200 billion and more for the value of the
24 additional life of those plants over that 20 years. So it's
25 obviously of very considerable importance.

1 In the seismic and structural area, the work
2 that's done there has contributed a great deal to the
3 external events review which is getting underway now for all
4 of the operating plants; the external events being
5 earthquakes, wind, fire, and flood.

6 The seismic and structural programs were devoted
7 to defining what the issues were and looking at the methods
8 that would be acceptable in working with industry to develop
9 agreement on how to carry that job out.

10 A week before last we had a workshop in Pittsburgh
11 on the external event IPE in preparation for getting that
12 program underway. I think it was a very successful meeting.

13 Reactor containment structural integrity.
14 Certainly one of the main things that came out of that
15 program was the proof of the very large margins over design
16 pressure that the steel sphere containments and that the
17 reinforced concrete containments had.

18 That has had a big effect on the things like the
19 review of containment performance. Because if you look at
20 the studies, the difference between NUREG-1150 and the
21 studies five years ago, the probabilities of containment
22 failure are reduced because of that additional capability
23 which has been established.

24 (Slide.)

25 The next slide, reactor and plant systems.

1 The Appendix K best estimate analysis, that
2 represents many years of work and very large expenditures.
3 That's having a number of applications. One of them is that
4 it has made possible the reshaping power in the core so as
5 to reduce exposure at reactor vessel walls and thereby help
6 to prolong life.

7 The thermal hydraulic method development also made
8 it possible to resolve by analytical means the question of
9 this low temperature overpressure for vessels, that problem
10 for sudden overcooling.

11 Under severe accident evaluation, the work done
12 there, the work done in 1150 has contributed a lot to the
13 individual plant examinations of the containment performance
14 improvement programs which we have reviewed with you and to
15 accident management.

16 The work on hydrogen has had a number of impacts
17 in the Mark I, Mark II and ice condenser containments; the
18 requirements for igniters in Mark II and ice condenser
19 containments.

20 (Slide.)

21 Next is human factors.

22 The past work relates mostly to the man-machine,
23 person-machine interface in the control rooms, improvement
24 of instrumentation and alarm systems.

25 The PRA. I've already mentioned 1150. It's worth

1 noting that in every one of the five plants that was
2 reviewed in 1150 there have been physical improvements
3 comparing the analysis as it was first one on the plants to
4 the final conclusion because each of those plants made
5 changes in the course of the work when they uncovered
6 various problems.

7 The service water at Zion was one of the big
8 examples of that; a problem in service water piping.
9 There's a single run of pipe for which there's no alternate
10 means of supplying service water.

11 As a result of that Commonwealth Edison has
12 undertaken to make some modifications to address that. With
13 they are completed with those modifications, the core damage
14 frequency at Zion will be reduced by a factor of 10 or more.

15 So in each one of these plants there were
16 significant improvements as a result of the PRA that was
17 done.

18 Another thing that's come out of it is on the last
19 line. As a result of that work, it's possible to do the PRA
20 analysis on PC desk-top machines now. That has greatly
21 reduced the costs of that and reduced the turn around time.

22 (Slide.)

23 Next, in the waste area the work on water flow
24 hydrology and underground has already given us some
25 important results relating to analysis of the Yucca Mountain

1 project. That work was done mostly at Arizona nearly the
2 University of Arizona at a place called "Apache Leap."

3 In low-level waste research, most of that activity
4 is devoted to assistance of the states in the regulation of
5 low-level waste disposal sites.

6 MR. VOGEL: Is there a problem in timing on the
7 waste work of not getting ahead of DOE? I would think it
8 would be a little complicated.

9 MR. BURSTEIN: I don't think anybody can get ahead
10 of DOE -- or everybody can.

11 MR. BECKJORD: Well, you have mixed feelings about
12 that because the delays in the DOE program, our people felt
13 that was important to them because they felt that with the
14 delay that took place a year ago that their schedule was
15 more realistic; that is to say, they would be able to
16 produce the results needed for the review of the license on
17 that new schedule. So that was a benefit of the schedule.

18 However, I'm not advocating a continued delay of
19 that schedule just to say that our program was better
20 coordinated as a result of that.

21 MR. TURCOTTE: Just as an aside. I don't know if
22 everybody saw this article (indicating) in "Science" last
23 week on high-level waste. Maybe it would be interesting to
24 distribute it. It's sort of an editorial on high-level
25 waste.

1 MR. BECKJORD: Yes. We'll get copies of it.

2 Of course there was a big meeting at the National
3 Academy -- You may have been there -- a week before last. I
4 think we sent you the report on rethinking high-level waste.
5 The Academy has now met and there was a frank and open
6 discussion.

7 I wasn't able to go to that meeting. But my
8 understanding was that there was considerable comment on EPA
9 requirements. So now it remains to be seen what will happen
10 as a result of that.

11 MR. KINTNER: This talks and I think the Academy
12 report talks primarily of the tail-end of the system and
13 very little head-end. Are you doing research at all on
14 glass containers and so forth, not just what happens after a
15 fail.

16 MR. BECKJORD: We're doing work on the containers,
17 on the metal containers, not on the glass, though.

18 MR. KINTNER: One of the reactions I had to that
19 National Research Council report is it paid no attention at
20 all to the form the material was in.

21 MR. SHAO: The container is supposed to last for a
22 thousand years.

23 MR. KINTNER: A thousand?

24 MR. SHAO: Yes.

25 MR. KINTNER: Glass doesn't do any good?

1 MR. BURSTEIN: You're talking about processing now
2 and that's an entirely different ball game.

3 MR. BECKJORD: We're looking at spent fuel.

4 MR. KINTNER: I'm talking about high-level wastes.
5 I'm sorry. I just wondered if you were doing anything on
6 that.

7 MR. BECKJORD: On the metal containers, on the
8 materials.

9 MR. BURSTEIN: The package?

10 MR. BECKJORD: Right.

11 Earth sciences. We talked about the external
12 event, independent plant examination, and adding to the
13 seismic data base.

14 There has been quite a change in the view on
15 earthquake magnitudes in the eastern U.S. and that would
16 have contributed to it.

17 MR. BURSTEIN: Incidentally, Eric, if I may, did
18 you indicate in your transmittal of that National Research
19 Council report on "you can't get there from here" on waste,
20 that you would be responding or commenting on it to us?

21 MR. BECKJORD: We prepared jointly with nuclear
22 materials safety and safeguards a response to that. It went
23 through several drafts because the final was not like the
24 original. I think there is agreement on the comment that's
25 made about the EPA standards. One of the comments in the

1 National Research Council report was that NRC should
2 reconsider its regulations, that the regulations are too
3 inflexible.

4 Bob Bernero presented his view on that at this
5 National Research Council meeting.

6 I've reviewed that since and I think there's some
7 misunderstanding because the regulations are flexible. They
8 kind of followed the model of reactor licensing regulations
9 in the early days. There is flexibility to modify them as
10 experience develops.

11 So the agency's position is that they can make
12 modifications as time goes on.

13 MR. BURSTEIN: But there is no formal response?

14 MR. BECKJORD: Yes, there will be.

15 MR. BURSTEIN: Oh, there will be?

16 MR. BECKJORD: There will be.

17 MR. BURSTEIN: There is none yet.

18 MR. BECKJORD: There is none yet.

19 I think I can certainly get the committee a copy
20 of what was prepared. I'll do that.

21 MR. MORRISON: It sounds as if there's interest in
22 this matter.

23 MR. BECKJORD: We'll get you a copy of that.

24 I think is going to be the basis of probably a
25 Commission-approved response shortly. The Commission had

1 not approved that so that's one of the reasons we're
2 waiting.

3 (Slide.)

4 I'm just putting this on to recall the structure.
5 This is the structure in the five-year plan of the reactor
6 component integrity under these four categories.

7 I think I don't need to say any more about that.
8 In fact I'm running out of time so I'm going to move ahead
9 quickly.

10 (Slide.)

11 The future research. Here's an outline and
12 examples of the things that you're going to hear about in
13 detail this afternoon.

14 I would say that the most important issues before
15 us now, the urgent issues relate to aging and license
16 renewal.

17 I mentioned Yankee-Rowe.

18 Yankee-Rowe is operating now. It had a shutdown
19 this summer. As the information was presented to go back to
20 operation, the matter of the mill ductility transition
21 temperature was reviewed carefully again.

22 A couple of things turned up that had not really
23 received a lot of attention before. Probably the most
24 important one is that the operating temperature of the
25 Yankee vessel is about 50 degrees lower than most of the

1 other pressurized water reactors, about 500 Fahrenheit
2 instead of 550 Fahrenheit.

3 The temperature of the vessel affects the rate of
4 embrittlement; that is to say, the lower the temperature of
5 the vessel for a given NDT, the higher the increase in mill
6 ductility transition temperature.

7 So although the flux is not higher than expected,
8 the transition temperature is higher than expected. There
9 is some considerable uncertainty about it but the best
10 estimate now is about 350 degrees Fahrenheit.

11 Now, this would present a problem if there was,
12 you know, a sudden reduction in temperature in that vessel.
13 That plant is such that the probability of such an event is
14 a very low probability. And it was on that basis that
15 approval was given for the plant to go back into operation
16 for the next cycle.

17 The license extension is coming up and this is
18 going to get a very careful review. It has been reviewed by
19 NRC's consultants.

20 We're going to be doing some more work, more
21 research relating to the questions on the Yankee-Rowe
22 vessel, not only for Yankee-Rowe but in the anticipation of
23 many of the same questions that will come up with respect to
24 the 17 other vessels that I referred to.

25 So we prepared a program. We are going to be

1 starting some new work this year relating specifically to
2 the Yankee-Rowe vessel and to other vessels that will be
3 following along.

4 MR. UHRIG: Does it have any copper?

5 MR. BECKJORD: Yes. It has high copper and
6 nickel. In addition to that the cladding is stitched. You
7 know, it's spot-welded cladding as opposed to deposited
8 cladding. So there are some unique features about that
9 vessel.

10 MR. SHAO: It has very high nickel, .62 percent
11 nickel content. Very high.

12 MR. SPEIS: Versus?

13 MR. SHAO: Versus around .1.

14 MR. BECKJORD: For the others, yes.

15 Let me move on, then.

16 MR. ISBIN: Is annealing part of your research?

17 MR. BECKJORD: Yes, yes. We're going to cover
18 that.

19 (Slide.)

20 Seismic and structural research.

21 On that first item, prestressed concrete
22 containment vessels, there has been a lot of interest in
23 Japan and possible cooperation with Japan in doing a test
24 somewhat a model test as was done in the case of reinforced
25 concrete that's an important part of our expected work.

1 We're still working on the seismic questions for
2 advanced reactors; that is to say, the operating basis
3 earthquake and the safe shutdown earthquake.

4 I guess the most important thing we have to do
5 there is the last one on the list which is to resolve the
6 issue between the two hazard curves for seismic
7 disturbances: one the EPRI hazard curve and the Lawrence
8 Livermore curve.

9 We've discussed this with you in the past and
10 there is a discussion on it in the peer review document 1150
11 which you have.

12 (Slide.)

13 Core damage prevention. Just to remind, again,
14 this is the structure of that program, the one which is
15 discussed in the five-year plan.

16 (Slide.)

17 If I could go to the next now on human factors.

18 We completed this year two studies: one done at a
19 fossil-fired Pittsburg, California, station of Pacific Gas &
20 Electric on management and organization. That was a year
21 ago.

22 Then we completed this year a similar study at the
23 Diablo Canyon unit.

24 On the basis of that work we think that we have a
25 workable method of characterizing and describing the

1 organization and management at a nuclear plant. Now we need
2 another plant to go out and test that method: to do kind of
3 a control test on it.

4 So I hope we will be able to work that out in this
5 year.

6 With regard to the risk assessment, we have
7 completed 1150 but we've undertaken some new studies of
8 risks at low power and shutdown modes of operation when a
9 plant is in maintenance. We learned from the French who
10 undertook studies in their plants. This was a couple of
11 years ago. Brian Sheron was there and he heard about the
12 study which they had initiated. We thought about it and he
13 recommended getting that work underway here and that work is
14 underway now.

15 The surprising thing about it is -- it may seem
16 counterintuitive -- the risks of activity release are more
17 than you would think for the shutdown conditions. There are
18 various reasons for this.

19 MR. BURSTEIN: The question is whether they are
20 significant from a public health and safety point of view to
21 establish a level of priority for you.

22 MR. BECKJORD: That's right and that's what the
23 study will show; whether it is and to what extent it is.

24 MR. ISBIN: Did the French find that about half of
25 the total risk was in these other modes?

1 MR. BECKJORD: Yes. But it's due to outages of
2 equipment for maintenance and repair and it's something that
3 you can manage, I think, quite readily by just paying
4 attention to what's out of service at any one time,
5 including diesels and that type of thing.

6 The last item on the page is another activity
7 which is getting underway. Research some years ago with the
8 help of Oak Ridge developed precursor analysis, a way of
9 analyzing operating data at plants to single out the really
10 important events and to thereby not only get that
11 information just to find out what they were but also to
12 infer what the potential core damage frequencies would be
13 from those events.

14 The models that were used and in fact are used
15 today are generic models. As a result of what's been done
16 on 1150 and with these improvements in the codes, PRA codes
17 SARA and IRRAs, it's possible, we think, to be able to load
18 the information coming out of the individual plant
19 examination into these codes rather quickly and do an
20 improve precursor events analysis; we'll get better
21 information from it. That's getting underway this year.

22 (Slide.)

23 Okay. Reactor containment performance.

24 These three categories are the ones you're going
25 to be looking at.

1 (Slide.)

2 Future research.

3 On that completion of the TMI reactor vessel
4 sample investigation and completion of the work on
5 characterizing that accident.

6 Direct containment heating is the second items.

7 Completion of work on Mark I containments.

8 MR. BURSTEIN: That's a wonderful word. I see it
9 for the first time, the word "completion," the word
10 "closure," the word "let's get something done, declare
11 victory and go on."

12 Nothing ever seems to get finished under the term
13 "research.

14 Some day I hope there will be a criterion
15 established that one of the definitions is when do we sign
16 off on something and go on to the next thing. I realize the
17 ongoing nature of information and development and its
18 potential application. But when were fighting this budget
19 battle and we're trying to indicate certain accomplishments,
20 it seems to me, Mr. Chairman, we ought to find a way of
21 finishing something once in a while.

22 MR. BECKJORD: I accept that. I think we're doing
23 that. Since I'm taking longer than I thought here, I'm not
24 going to respond to that directly.

25 MR. BURSTEIN: It will take a couple of weeks to

1 do it.

2 MR. BECKJORD: I want you gentlemen give two
3 examples each at least in each of your areas where we've
4 signed off on something.

5 MR. MORRISON: Sol, I hope you'll bring up that
6 point again in the second part of this morning because I
7 think that's something we ought to factor into our overall
8 review of the research programs and the guidance we can give
9 with regard to completion of work.

10 MR. BURSTEIN: Larry and I have had some
11 particular interest and discussions on this subject far into
12 the night.

13 MR. BECKJORD: Okay. I want to try and finish up
14 in a very few minutes.

15 (Slide.)

16 Let's go on to the next chart here on the future
17 research in high-level waste.

18 We've already talked about the container and
19 barrier performance. A lot of work to do in the
20 geohydrology.

21 And in low-level waste these matters which, as I
22 said, are primarily in support of the state and other
23 regulatory agencies.

24 (Slide.)

25 Next one, future research in support of advanced

1 light-water reactors. That doesn't say "light-water
2 reactors" but I think for this coming year it means
3 essentially light-water reactors.

4 The Commission is in the process of setting its
5 priorities on the review of advanced reactors. The ones
6 that are on the candidate list are the new boiling-water
7 reactor, the Westinghouse pressurized-water reactor, the
8 Pius, the Kandu reactor which the Canadians want to get
9 licensing approval in the U.S. for, the gas reactor, and the
10 liquid-metal cooled reactor.

11 There has been discussion of that in Commission
12 hearings and they are in the process of setting the
13 priorities.

14 We are preparing -- you could go into this more in
15 the later sessions -- a program for advanced reactor
16 research on light-water reactors. We will have that plan in
17 draft form the end of October. The subjects of that
18 research are the ones that are listed here in engineering
19 and in systems research.

20 There are new materials forthcoming in these
21 plants. Steam generator tubes is one example.

22 There are significant changes in the design:
23 piping and fittings for the pressurized water reactor.

24 In the systems area there is a very different
25 approach being taken to the engineered safety functions.

1 There are passive systems that are proposed now and there is
2 a trade-off between, there are fewer of these passive
3 systems; that is to say, whereas you had three active
4 systems for a low-head pumping for core cooling, now you
5 might have a one passive system for higher pressure and one
6 passive for lower pressure.

7 A very important question relates to the
8 reliability of these passive systems. We're going to have
9 to study that and understand how to do it. In a sense it
10 makes the passive engineered system somewhat analogous to
11 the reactor vessel.

12 So you're going to have to set up some means of
13 establishing from time to time that it does have the
14 reliability. It's a very different problem from the --

15 MR. KINTNER: Eric, I'm really surprised at that
16 statement because it's news to me. I thought that every
17 acquired system was at least duplicative, no matter whether
18 it's Westinghouse or what, but that's not what the
19 requirement document says.

20 MR. BECKJORD: Well, I know there's that issue.

21 MR. KINTNER: That's beside the point here.

22 MR. BECKJORD: I mention that because it is an
23 issue that is on the table.

24 MR. BURSTEIN: It seems to me that this is an
25 important issue in the sense that if, indeed, the NRC is

1 going to engage in a long-term expensive research
2 undertakings on the basis of misinformation or something
3 that is not going to be reflected in a requirements --

4 MR. KINTNER: It's easy to square away.

5 MR. BURSTEIN: -- the basis needs to be
6 established for it.

7 MR. BECKJORD: Well, --

8 MR. BURSTEIN: There are differences I think we
9 recognize and they have to be understood by the regulators
10 as well the industry.

11 MR. BECKJORD: I do think that as a general
12 comment from what I saw of the Westinghouse design that they
13 have extensively used all of the experience. So it has a
14 great many features going for it in that respect. But there
15 are some new concepts and we're going to be addressing those
16 as to what they're doing about it and what we might have to
17 do about it.

18 I think we could talk some more about that.

19 MR. KINTNER: That is really a fundamental point.

20 MR. BECKJORD: Right.

21 (Slide.)

22 A need for a strong research program.

23 These are the seven, I think, key points to be
24 made on why we need a strong research program. Others can
25 speak to this more than I can but since I've been here,

1 which is the last four years, the research program has been
2 involved in many regulatory decisions taken throughout the
3 year. We've talked about some of them. That's really the
4 Number One priority that we respond to.

5 Rules, guides, and standards.

6 We have done some internal review this year and
7 what we find as a result of that review is that we have many
8 regulatory guides that need to be brought up to date. We're
9 going to have to address that.

10 With regard to standards, with the resurgence of
11 new plant construction as a strong possibility in sight, we
12 have to think about standards because the work that was done
13 on standards is very good but it was done years ago and it
14 does not in many cases incorporate experience and knowledge
15 developed since then.

16 So that's going to become more important with the
17 advanced reactors.

18 Resolution of technical issues.

19 The site there, the human factors issues,
20 completion of severe accident work, the resolution of the
21 severe accident issues on the operating plants.

22 Maintaining the technical capability.

23 It's very hard to sell programs on the basis of
24 maintaining technical capability for some possible future
25 need. In fact, I'd say that's probably the least successful

1 pitch that you can make. But the fact is it's important.
2 If you don't have the capability, you're not going to be
3 able to solve problems. I think I can convince you of that.
4 It's more difficult to convince the --

5 MR. UHRIG: You're talking about in-house
6 capability or contract capability?

7 MR. BECKJORD: Contract capability primarily.
8 Risk assessments.

9 As you saw in the report on 1150, that got a
10 strong endorsement from the peer review group. That's a
11 very important part of the individual plant examination
12 program which is underway.

13 Develop waste disposal.

14 What we're really saying is that the information
15 related to the licensing for the construction of the
16 repository is what we're talking about.

17 And the advanced reactor requirements which we've
18 touched on.

19 (Slide.)

20 Okay. The organization of the presentations to
21 the subcommittees is given here on this. It refers to the
22 elements of the five-year program. You may want to refer to
23 that during your subcommittee meetings.

24 (Slide.)

25 If I could go on to the committee report now.

1 It seemed to me that I'm tossing these questions
2 out for your consideration, some of the questions you may
3 want to pursue in this review and in your report.

4 Our program is in response to regulatory needs.
5 Are these needs clearly defined?

6 Do you have any comment on the needs? I add that
7 to this list here.

8 Is the research focused on the needs?

9 Do you have any recommendations for changing
10 emphasis on the research programs?

11 Are there gaps or missing elements? Or
12 considering that our budget is going to be over the next
13 year -- our budget is not going to expand in the coming
14 year. If you feel there are gaps or missing elements, do
15 you have any recommendations on scope that could be reduced
16 in order to provide for a missing element.

17 So I think those are questions that you will want
18 to consider in approaching the preparation of your report
19 and there may be others that occur to you.

20 (Slide.)

21 I said when I started out I thought that we would
22 like to see a report by the end of November, say something
23 that's close to a final draft at the end of November.

24 There's a lot of work to do on that. We're
25 prepared to help. In each of these areas the division

1 directors and the deputies will be available to help and we
2 will assign a staff member to work with your subcommittee
3 and we will assign more if need be in order to carry out the
4 work on our side that is necessary.

5 I think we can provide information. We can answer
6 questions. We can develop position papers at your request
7 for consideration by the subcommittee. And we can take care
8 of the word processing aspect of your report and circulation
9 of drafts for comment and that type of thing.

10 I think I've talked long enough, Mr. Chairman.

11 MR. WOODS: You mentioned a draft report in
12 October on the research plans for the advanced reactors?

13 MR. BECKJORD: Yes. We will have a draft at the
14 end of October.

15 MR. WOODS: Will we be able to see that prior to
16 putting out our report?

17 MR. BECKJORD: We can certainly communicate that
18 to you. We can discuss it; what our ideas are today. It
19 really isn't on paper yet but we can get that to you. In
20 fact we can probably get a preliminary draft out.

21 MR. WOODS: That would be very helpful.

22 MR. KINTNER: When you make curves like the ones
23 you showed at the beginning, the trend of the budget, do you
24 ever add into that examination all the other expenditures on
25 research that are going on? DOE is certainly going down

1 hill. The suppliers are certainly spending less money as
2 time goes on.

3 The total, therefore, on research technology to be
4 accurately reflected is probably deteriorating a lot faster
5 than this curve shows.

6 MR. BECKJORD: Well, both the Westinghouse and the
7 GE efforts are funded now in part by DOE.

8 MR. KINTNER: That's the advanced reactor.

9 MR. BECKJORD: Oh, you mean for the operating
10 reactors.

11 MR. BURSTEIN: What does that imply? That they
12 should be dropping faster to be consistent or picking up
13 more to take up the vacuum.

14 MR. KINTNER: I think what this groups thinks as
15 to research and I suspect maybe this committee thinks that
16 there should be a stronger research program considering the
17 size of the industry in the United States: 106 reactors.
18 That's what it's running now. But the cotality of funding
19 associated with research supporting it is going down much
20 faster than his curve; that's my suspicion. That, it seems
21 to me, would be a strong argument for support in the future.

22 MR. BECKJORD: Specifically to answer your
23 question, a couple of years ago we compiled information that
24 we could get our hands on, on research expenditures outside
25 of NRC. I don't have that for this year.

1 MR. UHRIG: Certainly the EPRI budget's gone down.
2 Right?

3 MR. BECKJORD: Yes.

4 MR. SPEIS: I think possibly, Larry, you might
5 shed some light on this if you take one area, aging in
6 license renewal, the industry, of course, is committed to
7 the program. We should take a look at what industry is
8 doing in addition to what we're doing on this activity
9 alone.

10 MR. SHAO: We have some idea about aging decisions
11 for industry and we can give it to you this afternoon.

12 MR. TURCOTTE: It seems to me the basic question
13 that keeps coming up is whether one is supporting research
14 for a dying industry or whether there is really any hope for
15 the future. It seems to me that the research part of it has
16 to sort of focus on that question. I don't know how you do
17 it.

18 MR. SPEIS: Well, what did you mean by a dying
19 industry?

20 MR. TURCOTTE: There will never be another reactor
21 built and no reactor will ever be recertified. I think
22 that's a real possibility.

23 MR. SPEIS: But you still have a hundred or so
24 reactors operating for quite a few more years.

25 MR. TURCOTTE: That's what I mean by a dying

1 industry.

2 MR. BURSTEIN: What is the justification between
3 the number of reactors operating and continued research?
4 That's a connection that I as a committee member would like
5 to have you make; not that it's a prima facia fact that
6 because one exists the other is essential. I don't think
7 that's correct.

8 MR. SPEIS: No, you're right. That connection has
9 to be made. The classic example again is aging. We have
10 examples of that, the license renewal activities.

11 MR. BURSTEIN: Aging begins the day the plant goes
12 into service; the minute you're born. If we haven't managed
13 it properly since the Atomic Energy Act of its origins in
14 1947 or its amendments, there's a question as to what is new
15 except information to understand it better.

16 Really, the initial term of the operating license
17 must include aging phenomena essential to safeguard public
18 health and safety. If we haven't been doing that, you
19 haven't been doing your job and a lot of us think you
20 haven't been.

21 MR. SHAO: So there have been a lot of surprises.
22 For instance, like the Yankee-Rowe vessel -- okay.

23 MR. BURSTEIN: Well, if you didn't lose the
24 specimens, Larry, you'd know what was happening at Yankee-
25 Rowe.

1 MR. SHAO: You're right. We had to guess. On
2 Yankee-Rowe there are a lot of surprises we didn't know;
3 that the low temperature has such a dominant effect. We
4 know it's important but we didn't know it was that
5 important.

6 MR. BECKJORD: Well, coming back to Don's point, I
7 think that is a possibility but my sense is that over the
8 past year there has been a shift in thinking about the
9 future. I think it's shifted towards the expectation that
10 there is going to be a future in this country for new
11 nuclear plants. Maybe by the time five years is past there
12 will be new orders.

13 MR. MORRISON: I think that's a subject worthy of
14 discussion. We at Mitre held a conference about a week and
15 a half ago on the subject of the future of the nuclear
16 industry. We had a variety of parties there ranging from
17 the vendors to the industry, to the regulators, and the
18 financial institutions.

19 I think two of the very significant comments came
20 out; one from the utility who said: "We will never order
21 another nuclear plant. That's the early retirement for CEOs
22 if you order another nuclear plant." And the financial
23 community responded that "we will not fund a nuclear plant
24 unless we're assured that it can be licensed and that there
25 will be an ability to pay, back them up."

1 MR. BURSTEIN: These are institutional questions.
2 It's interesting you should bring this up.
3 Did anybody in this conference identify a
4 technical issue that might help or hinder future nuclear
5 power?

6 MR. MORRISON: I think the underlying issue, Sol,
7 that was raised was not technical, it was a matter of trust.
8 How does one establish or reestablish trust first in
9 institutions and as much blame was laid on the regulators as
10 well as on the industry that there's a lack of trust in the
11 institutions, a lack of trust in the process which hasn't to
12 this day in most people's minds been a really open process
13 on that. And then some discussion as to what would it take
14 to reestablish trust and no real agreement unfolded.

15 Unfortunately that was the lack of the conference;
16 that nobody got into the details of what it would take to
17 convince you that things have changed after, say, maybe 30
18 years of good operation.

19 MR. BURSTEIN: This may indicate, Mr. Chairman, a
20 question as to which direction or where the emphasis should
21 be placed on our research activities.

22 One of the things that I think we are all aware of
23 and perhaps we have different sensitivities to are the
24 criticisms that have been levied against a number of parties
25 to the nuclear field, including the regulators.

1 There have been some suggestions that regulation
2 is uneven, it's unpredictable, it's uncertain. And the last
3 blast that I know of is a letter from Larry Minnick which
4 you may be aware of.

5 There has been some suggestion that the NRC itself
6 needs to look within itself to perhaps -- for a number of
7 different purposes that I won't enumerate.

8 But one of the questions is: Is there any ongoing
9 internal NRC effort, including a research effort, required
10 to examine the regulatory process and its implementation and
11 if not, should there be?

12 Might we perhaps have an opportunity to discuss
13 that internally to the subcommittees and later perhaps with
14 the director?

15 MR. MORRISON: I think it's a real worthwhile
16 topic to bring up. Certainly the obvious question is
17 whether regulator is the organization that can do the soul
18 searching and come up with the answer or whether it has to
19 come from some place else.

20 But I will mention since Neil Todreas just came in
21 that MIT is having a very similar conference in the very
22 early part of October on the future of the nuclear industry
23 so there will be a second set of information come out in a
24 very short period of time just really addressing the
25 question you raised on is it dying or is it reviving? Good

1 question.

2 MR. BECKORD: There is a document, I think it's
3 available now. It's called a regulatory impact survey which
4 the agency has done interviewing representatives from the
5 licensed utilities and getting very broad opinions on what
6 the consequences of regulation have been.

7 One of the focuses that's come out of it is on
8 inspectors and the need to do a better job of defining what
9 it is that an inspector should do and follow up on that.

10 I'll look into that.

11 Is that available, Jack?

12 MR. HELTEMES: Yes, it is. It's a NUREG document.

13 MR. BURSTEIN: It's been published and I think
14 some of us have seen it I'm sure.

15 MR. SPEIS: Minnick's letter is a result of that.

16 MR. BURSTEIN: And he refers to it.

17 MR. SPEIS: He refers to it. That was the
18 incentive for him writing the letter.

19 MR. MORRISON: It sounds to me if we could get
20 both of those documents it would be useful.

21 MR. BURSTEIN: I think it's 40 years of itching is
22 what it really is.

23 MR. MORRISON: Well, let me propose we take a 15-
24 minute break and come back, then, and talk about how the
25 committee really wants to approach its task and where we

1 want to end up.

2 Eric certainly has given us some guidance as to
3 what he is expecting which has been very useful. His
4 presentation obviously has been very good for setting the
5 stage for the work program this afternoon.

6 Let's take a 15-minute break.

7 (Recess had.)

8 MR. MORRISON: What I would like to do is reopen
9 the discussion here on how the committee should go about
10 doing this review and assessment we're going to do over the
11 next day and a half.

12 First of all, I'll go back to the fact we're
13 supposed to deal with the strategy and content of the
14 research program designed to meet NRC's essentially
15 regulatory requirements.

16 Eric I think in his chart on page 26 has laid out
17 a number of the questions that we need to address from that
18 standpoint.

19 But I think we as a committee need to try to get
20 some common understanding of what we want to do here, what
21 we believe is important so that in each of the subcommittees
22 we have a set of marching orders somewhat in front of us.

23 What I would like to do and probably figure on
24 breaking for lunch around a quarter to 12 so we can get a
25 jump on the restaurants here in the area, that gives us

1 about an hour and a half; that if we could reach some
2 agreement on what the requirements are for research within
3 the Nuclear Regulatory Commission and what strategies at
4 least appear to be preeminent from our standpoint before we
5 break for lunch. Then as we get into the subcommittees we
6 can address the individual program content and how that
7 supports the particular strategies.

8 So let's open up the discussion, then, and talk
9 about:

10 What are the general requirements for research
11 within NRC and what are the various roles that the research
12 should support?

13 What goals should it address?

14 What general aspects should guide its priorities?

15 Where does technology fit into all of this?

16 Eric, of course, started this morning and talked
17 about the aspects of confirmatory research as well sort of
18 exploratory research so that's one dimension of that
19 particular problem.

20 I suspect maybe we should go back to what we were
21 discussing just before the break. Don Turcotte raised the
22 issue about a declining industry and whether that should be
23 a guiding factor.

24 Are we talking about something that's stagnant or
25 are we talking about the future?

1 Let's just start from there and see where we go on
2 what's the requirements for NRC's research program.

3 MR. VOGEL: NRC takes the attitude that it is a
4 declining industry. It might be a self-fulfilling prophecy.
5 I'm a little reluctant to make that assumption and push it
6 downhill.

7 MR. MORRISON: Well, let's put it in the positive
8 mode, then.

9 What's the balance between advanced reactors and
10 the existing reactors?

11 What are the requirements for research given those
12 two modes, setting aside for the moment whether advanced
13 reactors will be a reality?

14 MR. TODREAS: Let me take that as a lead in for a
15 little soap box because I've been thinking about this
16 meeting. I hope I come in on target with where you are.

17 You asked for the requirements for research and
18 hence the different dimensions. My biggest reaction to that
19 is, there's a golden opportunity which is probably once in
20 the next 20 or 30 years to restructure or reexamine the
21 whole regulatory framework because of the advanced reactor
22 thrust which the NRC is going to have to deal with anyway.
23 They can deal with it with the structure that's been used
24 before with maybe a few little Band-Aids put on it which is
25 the track they're on or between the regulatory side and the

1 research side they could review and attempt to put together
2 a new framework.

3 In a sense we as a country have asked and pushed
4 the industry to rethink the framework and the design of
5 reactors. If you take, say, the medium-sized reactors, the
6 whole approach is rethought and restructured. Yet from the
7 government's side I see no motion really to come up with a
8 parallel and a comparable approach to that initiative from
9 industry.

10 I think that's bigger than the initiative that is
11 possible from the research director's side.

12 I looked at the Commission's list of priorities
13 twice now: originally when it was put out and I think it was
14 the July issue that Ralph sent to us at my request.

15 An initiative like that has to come from the
16 highest levels. It's not there. It's not on the agenda.

17 But coming back to our framework which is the
18 whole structure of the research program, if such an
19 initiative came, it would be very demanding on staff time
20 and the number of staff involved.

21 Therefore it would curtail certain thrusts in the
22 research side, certain programs.

23 There just wouldn't be enough people.

24 So it would be a major restructuring of the whole
25 research program. It would be more than just what to do for

1 advanced reactors. It would be things cut off from existing
2 reactor programs because of staff constraints. It would
3 have major implications on the regulatory office side which
4 is not our prime agenda.

5 That's basically my point.

6 I really favor that. I think as a country we're
7 missing the opportunity. I think it undercuts the whole
8 thing we're asked to look at because if that were to be
9 picked up, that would change drastically, alter drastically
10 the research approach.

11 MR. MORRISON: Neil, at the risk of going into a
12 whole lot greater depth, I wonder if you could elaborate a
13 little bit as to what you see the change in the framework
14 and address it in the context of how does that affect the
15 research that has to be done, recognizing that there still
16 probably remain two classes of people performing the
17 research: those that are being regulated and those that are
18 regulating.

19 I think our charter addresses those who are
20 regulating and what research should the regulating side do.
21 Regardless what label is on it or what structure it has, how
22 does this framework change affect that?

23 MR. TODREAS: Well, for example, we have a
24 research program in human factors which deals with trying to
25 assess the management of utility programs and how the

1 management of utility programs has an impact back down on
2 safety. That program is a research program but it's
3 basically an exploratory program on a major question which
4 was requested from the regulatory side. If we were to ever
5 answer that, it would affect how the regulatory side
6 actually deals with management in their regulatory
7 activities.

8 To me I call that research. We've accepted that
9 as research. We've had a lot of discussions on the details
10 but in principle this committee has agreed to its
11 continuance.

12 What I'm talking about by a research activity in
13 the broader sense is an activity defined at how to structure
14 the licensing and review of the next generation of reactor
15 plants.

16 It's not research where you go out and do
17 experiments, thermal hydraulic experiments or electrical --
18 how motor operated valves open and close. It's a research
19 effort nevertheless in how to restructure the regulatory
20 process.

21 If you ask why is that needed, my understanding is
22 that the regulatory process we have in place now is the one
23 that evolved over the last 25 years in phases with layers of
24 additional regulation for different issues that came up
25 being evolved step by step but not integrated as a whole so

1 that there is inconsistencies, overlaps, and the whole label
2 of proscription applied to that.

3 Let me stop here because we want a dialogue.

4 MR. KINTNER: Can I take another cut at this?

5 I would like to talk to two questions: First, the
6 one that Don Turcotte raised and you raised and then to the
7 one that Neil raised.

8 The first is, I'm coming from the utilities and
9 seeing what is going on I think nationally in the utility
10 framework.

11 I agree with your comment that somebody made at
12 your meeting that it's a sure way to get fired for a chief
13 executive officer to propose building a reactor system.

14 On the other hand, in the last six months there
15 has been some indication that is no longer true, at least
16 subsurface.

17 Here is a case where we in the advanced light-
18 water reactor program made a presentation to the NPOC -- I
19 think you know what NPOC is -- and suggested a certain
20 course of action. They pushed us to take a further course
21 of action beyond that. That was reported for the first time
22 in "Science News" last week in which there is an overall
23 program plan laid out with the various building blocks which
24 need to be resolved before there is a real resurgence in
25 nuclear power in this country.

1 The nuclear power oversight committee accepted
2 that plan this week and it is now public and I think is
3 going to be made further public.

4 What it does is break down the elements of the
5 nuclear power requirements for some new construction. There
6 are 14 different areas. Some of them are obvious and some
7 of them are less obvious.

8 One is public acceptance.

9 One is regulatory stabilization.

10 One is waste disposal.

11 One is the design in detail and certification of
12 this new generation of reactors.

13 Each one of the various agencies like USCA, ANEC,
14 EPRI, the utility steering committee, has assigned
15 responsibilities to carry out that function.

16 There are the senior utility executives like the
17 ones from Duke and Carolina Power and so forth who have
18 significant interest in that going ahead.

19 Simultaneously the Department of Energy has
20 indicated and has already proposed to spend \$50 million in
21 each of the passive plant designs to take us from
22 conceptualization to preliminary design and there is now a
23 discussion that there will be detailed design which would be
24 industry shared 50/50.

25 What I'm suggesting is that all the elements of

1 opposition which leads to the kind of comment you make and
2 that you report are there. But for the first time -- and
3 I've watched this now for 10 years -- there is in the senior
4 elements of the industry a need to put something together
5 which could lead with the proper kind of work on the part of
6 all hands to something that would cause new constructions to
7 begin.

8 As part of the advanced light-water reactor
9 program we have done, I think, a thoroughly good job of
10 analyzing all the data out of the last 15 years of operation
11 and coming up with new conceptual designs as represented in
12 your requirements documents which have a demonstrated factor
13 of 10 improvement safety core damage in the case of the
14 evolutionary plants and another factor of 10 in my judgment,
15 although it's far from complete, in the passive plants.

16 That is, I think, significant. I mean, nobody's
17 going to argue about the specific definition but its' there.

18 Now we have just this last week sent to the NRC a
19 full set of all the requirements documents on the
20 evolutionary plant. We expect to have some sort of safety
21 evaluation report by six months from now and a year after
22 that on the passive plant. Then there will be established a
23 technical basis for a new generation which is also a
24 technical basis for standardization and a technical basis
25 for a new regulatory approach to these designs.

1 I would now answer the second question -- and I
2 don't want to create any havoc in the ranks of the
3 regulatory people sitting here.

4 What we have said in the reconceptualization is
5 two things: The first one is, we're going to concentrate on
6 avoiding the accident first and foremost before we put in
7 all the severe accident protections. And we've done that.
8 A dozen different fundamental steps have been made: reducing
9 the temperature, reducing power density, putting in reactor
10 vessels that don't have welds where they can be affected by
11 neutrons and so forth.

12 That's being accepted without much comment at all
13 as if it's a given by the NRC staff.

14 We now get to talking about severe accident
15 matters like cores on the floor and source terms and so
16 forth.

17 In this case we say, a great deal has happened in
18 the last 10 years. There is research data. There is
19 information coming of TMI-2 and other places and ought now
20 to look at these matters in as sound and technical way as we
21 can first and foremost. Then we have to talk about how much
22 of an additional protection to put on for uncertainties.

23 We're finding it very, very difficult in dealing
24 with the NRC staff to get that attitude across; that the
25 salvation in terms of additional safety and I think the

1 salvation in terms of a healthy, eventual new generation of
2 reactor plants comes from a solid technical work on the
3 design inputs and the expectations. And that's the hard
4 thing that I see for regulators who have come up through 20
5 or 30 years of the present kind of circumstances to face up
6 to honestly.

7 MR. BURSTEIN: May I ask whether you're pursuing
8 the question of what is necessary versus what is possible?

9 MR. KINTNER: That's part of it.

10 What is necessary is the thing you deal with when
11 you talk about it technically but what is possible is
12 another matter.

13 Let's take the matter of hydrogen. We come to the
14 point of trying to design a containment to really understand
15 what should be done from a design point of view with regard
16 to hydrogen, they come up with 100 percent zirconium and 13
17 percent technibility which I am absolute sure isn't right.
18 But the answer is: "Well, you can put in a system that
19 detects how much hydrogen there is and you can put in a
20 computer that says what you do about what parts of the plant
21 you burn. Then the computer will turn on the igniters. Now
22 you take care of hydrogen."

23 I don't know if you understand what I'm trying to
24 suggest.

25 That's the difference between what's possible and

1 what's really needed.

2 MR. BURSTEIN: Mr. Chairman, one of the things we
3 have been struggling with has to do with the beneficiaries
4 or the users or the clients of research as practiced by the
5 division of research.

6 To what extent is the RES total effort governed by
7 the requests of its clients as opposed to its own
8 initiatives?

9 Does it have the opportunity to initiate any of
10 the necessary research programs as we may suggest to it as
11 opposed to what is mandated to it by other parts of the NRC
12 that it is supposed to serve?

13 Is that a fair question to reemphasize again at
14 this point?

15 MR. MORRISON: I think it's a fair question.

16 MR. BURSTEIN: If we take up, for example, the
17 subject matters that both Neil and Ed have presented, we may
18 be getting back to Mr. Taylor's question to this committee,
19 fundamentally as to what the role is.

20 But it seems to me that a great deal of this
21 effort on RES' part, if not all of it, is demanded by their
22 clients.

23 Is it fair to be able to ask now whether we can
24 distinguish between those?

25 MR. MORRISON: I think that's a fair question and

1 really break your subject into two parts.

2 One is, what is the current program? Can you
3 identify distribution between request versus initiative?

4 And then open the discussion as a second part,
5 what should it be?

6 Well, Eric, can you or someone on the staff
7 respond to the first?

8 MR. BECKJORD: Well, the research program is in
9 response to the Commission's needs and the various people
10 define those needs.

11 The Commission defines needs.

12 The executive director will define needs.

13 The bulk of them come from the offices, nuclear
14 reactor regulation, nuclear materials safety and safeguards,
15 and the analysis and evaluation of operating events, those
16 three offices.

17 Before this year about 75 percent of the research
18 that was done was in response to the articulated needs.

19 MR. BURSTEIN: Established by others?

20 MR. BECKJORD: Yes on a 1-, 2-, 3-year time period
21 for answers to be forthcoming and about 25 percent was
22 exploratory, longer range effort that in practice has turned
23 out to be productive. There are a lot of examples of this.

24 MR. BURSTEIN: May I ask who established the needs
25 for the exploratory work?

1 MR. BECKJORD: The research office. But the
2 expectation is that work will pay off for the agency. We're
3 not in the development business.

4 MR. BURSTEIN: I understand that.

5 MR. BECKJORD: The fundamental charter in the
6 legislation is very short. It says "confirmatory research"
7 is the scope. The interpretation of that has been that
8 includes this exploratory scope of work. As I say, the
9 research office is one of the definers of regulatory needs
10 because of the generic issue program which is the
11 responsibility of the research office. So there are needs
12 generated through that. Really there are two sources within
13 the research office: the problem solving and issue
14 resolution side and this exploratory longer range work of
15 which, for example, I think the human factors -- the work
16 that Neil brought up on human factors was exploratory when
17 it began.

18 MR. TODREAS: I have one other broad issue which
19 I'm sorry I'm bringing in a second thing before we finish
20 the first but we can always come back to it because you're
21 talking about the requirements for research.

22 I think if you look at this whole program, there's
23 a fundamental mismatch in certain areas. Those areas are
24 human factors, waste management, and health effects, between
25 what could be defined as the needs and whatever could

1 possibly be done given the research money even with somewhat
2 of an improvement in the money. Whereas in the primary
3 system integrity and the systems and severe accidents, we
4 could probably make adjustments -- we call it "tinkering" --
5 within some percent that's realizable.

6 But I think that's something that the committee
7 has to deal with somehow in total: first, see if you agree
8 with this whole presumption I'm saying, and second, whatever
9 can you do with it? Because human factors was actually
10 regenerated and put back on the table and it's been hell
11 through all these constrictions and ups and downs.

12 That one, I think, may be debatable. But I think
13 waste management and health effects -- there really has to
14 be some thinking generated on how the NRC within what's
15 realizable can actually meet the needs and be effective.

16 I think we're miles away in those areas.

17 MR. MORRISON: Neil, to kind of calibrate that a
18 little bit, are you saying that's an all or nothing
19 situation with regard to RES putting money into this area?

20 MR. TODREAS: Yes. I don't think I could bring
21 myself to stop doing things there but I'm motivated to try
22 to rethink the fundamental basis and criteria -- let's say
23 waste management, health effects -- to try to define really
24 what can be done or what should be done. I don't think
25 we've gotten there and I guess I don't think the office has

1 really gotten there.

2 I think we've all been struggling to keep things
3 alive, keep it technically based but we haven't been willing
4 to face what I think is a brick wall between the needs and
5 the resources over the next five years.

6 So I am not -- I didn't bring this up to advocate
7 we ought to pull out completely. But I was trying to bring
8 up what I think are the biggest global issues in this
9 program. I think the things I've mentioned are what I think
10 are the two.

11 MR. BURSTEIN: In looking at the specific budget
12 numbers, one sees out of the professed FY '91 numbers some
13 preponderance, almost -- well, I guess if you counted up the
14 numbers, it would be over 80 percent in things like reactor
15 component integrities, reactor core damage prevention, and
16 containment performance. I can't separate from this summary
17 sheet the protection from radiation of that. And what
18 you're suggesting, do I understand, Neil, is that those
19 amounts might be reduced, not eliminated, to see some of
20 these other issues like waste issues, safety issues, and
21 developing regulations increase?

22 MR. TODREAS: Yes. What you're doing is: I put
23 an egg on the table and you're asking me to fry it and come
24 up with an answer.

25 MR. BURSTEIN: If you don't mind.

1 MR. TODREAS: If I'm pushed, what I would say is
2 we ought to strip off the mask and call the emperor an
3 emperor without his clothes and really lay it out on those
4 two issues.

5 I'm not saying we should emasculate the others and
6 cover ourselves. In fact I'm saying just the opposite.

7 But I guess I don't feel in the reports I've
8 written and we've summarized for the last 2-1/2 years that
9 we've come together as a committee we've really called this
10 fundamental mismatch in certain areas.

11 We didn't do that because our focus, I think, was
12 first getting on the ground, making a technical assessment,
13 trying to review the contract, the staff, things like that.

14 Now we have an opportunity, we're even asked, to
15 make these global judgments and that's what I'm coming up
16 with.

17 MR. TURCOTTE: It seems to me the committee is
18 faced with a problem which there is engineering on one side
19 and geological problems on the other side.

20 As long as you're talking about engineering
21 problems, you can almost build a fail-safe system, maybe not
22 absolutely.

23 Therefore when you come to reregulation and new
24 plants and safety and all that, you can make an extremely
25 convincing case that things are okay and you can

1 essentially guarantee that.

2 But when the critics come forth and want to
3 prevent this, then they go to things you can't define like
4 the seismic hazard. You simply cannot define a seismic
5 hazard. You might start to make an effort. You might push
6 forward but it's not absolute.

7 This is where the faults that are alive for so
8 many millions of years come in and it's also a hundred-
9 thousand-year lifetime or 10,000-year lifetime for a
10 repository. These are sort of uncomfortable situations.

11 I think Neil's point is, maybe: forget about
12 those.

13 But I think there has to be a balanced program but
14 I don't know exactly how you're going to satisfy the critics
15 with these. I think that's the problem you're going to be
16 faced with for the next 10 or 20 years.

17 Certainly things have improved in seismic hazards.

18 It seems to me that seismic hazards are to some
19 extent what made nuclear power plants uneconomical. Maybe
20 that's too strong a statement. But certainly they drove the
21 costs up an awful lot.

22 Now you're faced with a waste question and just
23 how to satisfy people's concern that you don't get this
24 dispersion of waste under some extremely improbable
25 situations.

1 I think that's the crux of the dilemma.

2 MR. MORRISON: Eric, did you want to jump in with
3 a comment here?

4 MR. BECKJORD: Well, I just wanted to ask Neil if
5 you take these one at a time.

6 In the waste area, are you saying that the scope
7 of what we're doing is not sufficiently inclusive?

8 The scope of what we're doing is really to support
9 the process of licensing of the waste repository right now
10 at Yucca Mountain.

11 Are you saying that with respect to the job that's
12 on the table we should be doing more or are you saying that
13 the licensing is too narrow, you ought to be doing something
14 else in addition to answering questions relating to
15 licensing?

16 MR. TODREAS: In the first instance I'm thinking
17 that the scope doesn't include seismic and volcanic or the
18 tectonic issues and that was pointed out in our previous
19 letter. I remember the debate and the discussion we had
20 versus site specific and general work and then the issue of
21 whether we were relying on the DOE or the NRC.

22 I guess I'm not just limited to that.

23 One thing is the scope of the research but the
24 other thing in the waste area is somehow gathering that
25 research and thrusting it up, put the licensing issues up

1 front on display early enough to affect things.

2 I have the feel that we -- "we" being DOE -- are
3 nationally kind of moving down a road and that the licensing
4 base from the NRC, the issues are already recognized,
5 somewhat recognized, but they're not joined and there's no
6 corrections coming in.

7 I then take that feeling and somehow feel that the
8 research program or its application is not vigorous enough
9 because it's not producing the result of the correction or
10 avoiding by being visible enough and active enough, a
11 problem down the road.

12 MR. VOGEL: I sort of come up on the other side of
13 that coin in that DOE has the primary responsibility for the
14 waste. It seems to me even the siting of the waste storage
15 facilities is in a state of flux.

16 It seems to me they need to settle down on what
17 they're really doing before NRC should get in and do
18 research on licensing.

19 So I think there's a serious matter of timing and
20 how you pace these things. It's possible for the NRC to
21 spend a fair amount of money on the assumption of certain
22 ground rules and then have them changed on you.

23 MR. TODREAS: We can discuss this.

24 It's a question of who sets the ground rules. The
25 licensing to me sets it up.

1 MR. BECKJORD: One budget action following --

2 MR. VOGEL: It depends on the site is what worries
3 me. It makes a difference.

4 MR. MORRISON: Aren't these evolutionary; that you
5 can hardly select a site without knowing what the
6 requirements are going to be for licensing. It's kind of an
7 iterative process. So you can't back out of it totally I
8 don't think from the regulatory side.

9 MR. BURSTEIN: One of the things we did try to
10 impress was the need for the regulating investigations, to
11 identify show stoppers very early in the game no matter
12 where they are. If we find there are none, which is a
13 tremendous conclusion, then the things that go on from there
14 might be refinements or massaging or validating or
15 confirmatory but they do not preclude.

16 I think the decision as to whether you have
17 something that you find out from a regulatory point of view
18 cannot be done or can be done is essential to be determined
19 very early on in the process.

20 Now, that's perhaps a very awkward and difficult
21 thing. I would join Don in saying there's some things I
22 don't know how to do.

23 MR. UHRIG: What would you consider a show
24 stopper? The liquid pathway on a floating plant, for
25 instance?

1 MR. BURSTEIN: Perhaps. I don't know. But if,
2 for example, we can say that the nature of this seismic
3 hazard is such that we cannot concede of a package or a
4 construction or something else that would assure compliance
5 with this criteria, the NRC type report, that is, the
6 National Research Council report; that there's no way that
7 we can comply with this EPA standard.

8 Now, you either say you abandon the job or you
9 change the standard. But the identification of that early
10 on requires some decision. Then you don't keep barking down
11 the tree of solving the other 99 problems if this one is
12 going to stop the process.

13 I think we've tried to emphasize that in some of
14 our work.

15 This not only applies to waste but it applies to
16 all these other things, including how we deal with the
17 advanced light water reactor systems or other systems that
18 we're looking at in the future.

19 Is there something about the passive nature of
20 some of the advanced light water designs that are being
21 proposed that from a regulatory point of view we find is not
22 acceptable at this point in time?

23 If so, it is imperative from a regulating and an
24 industry and a research combined point of view we know about
25 it and address it up front, not after we have spend a

1 billion and a half dollars and some detail in a footnote to
2 an engineering drawing tells us that.

3 MR. MORRISON: Well, let me use that comment to
4 come back into the question of client and the distribution
5 of the research budget between regulatory requirements and
6 those longer range exploratory activities.

7 I think we came up with the number 75/25 in terms
8 of client-ordered research, so to speak, and those that were
9 exploratory.

10 Is it truly identified as a role for Eric's office
11 to deal with these show stoppers or can you put the face
12 somewhere else within the client list?

13 I'm not restricting the client list just simply to
14 NRC because I think Don's issues in part of show stoppers
15 are probably outside of NRC unless they're truly a surrogate
16 for the public acceptance.

17 Is that the right break in some sort of budget?

18 MR. BURSTEIN: I think there have been -- in
19 defense of some of the things and Ed is more current on some
20 of these things. But years ago when we were developing a
21 passive light water reactor system, we found that it was
22 very difficult to demonstrate, at least analytically, the
23 inherent level of safety that was attempted to be achieved
24 by going much beyond the 600, 700 megawatt size of plant.

25 Now, we knew as an industry that the larger the

1 output, the lower would be the unit cost. But there was a
2 compromise made or a decision made that could be a show
3 stopper if we tried to make a 1,000 megawatt passive design.
4

5 So we deliberately at least as a part of that
6 early effort said "there are a whole host of reasons but the
7 one that we think is imperative is that we be able to
8 demonstrate the safety level desired by limiting the thermal
9 output of this core."

10 It was deliberately done to achieve that.

11 That I think is the kind of thing that perhaps
12 industry has done on some occasions.

13 There may be a whole host of others.

14 MR. SHAO: Bernero in his talk at the National
15 Council said there are no show stoppers; that volcanos or
16 tectonics will be the show stoppers.

17 MR. BURSTEIN: All it takes is money.

18 MR. SHAO: Our waste management budget is very
19 limited. As you see, we may have only \$1.8 million to work
20 on high-level waste. So mainly we are working on the user's
21 request of what the MSS people want us to work on.

22 We were going to start working on volcano and
23 tectonic work in '91 but now the budget got cut. Unless we
24 get additional money we cannot study these.

25 MR. TODREAS: You're working on the user's request

1 in the priority that they presented them?

2 MR. SHAO: Right.

3 MR. TODREAS: And of the list in the priority that
4 they've done, are you able to work on 5 percent, 10 percent
5 of them?

6 MR. SHAO: I agree with you the budget is very
7 low. You want us to work on the thing they want us to work
8 on.

9 MR. TODREAS: Then my comment has some
10 reverberation positive with you?

11 MR. SHAO: Yes. But even the low-level waste as
12 the same problem. We have a lot of requests on low-level
13 waste.

14 The Commission gave us additional money. If you
15 note it was \$3.4 million but with the Gramm-Rudman cut we'll
16 be back to \$1.8 million. There's a big issue on engineering
17 barriers for the low-level waste. Also we should start
18 working on it but now with the cut we cannot work on it.

19 MR. BECKJORD: On this point about the volcano and
20 the seismic consideration there: We did get notice from the
21 Comptroller that there was a couple of million dollars.

22 Now, has that completely disappeared?

23 MR. SHAO: It looks like it's not there any more.
24 At that time they were going to give us \$2 million
25 additional money to work. That was cut by Gramm-Rudman.

1 MR. BECKJORD: But that money, I think, was carry
2 over, wasn't it? It was money from the high-level waste
3 that was left over. So I don't think that's finally
4 decided, is it?

5 MR. SHAO: If we got the money back, we will start
6 on that detail then.

7 MR. TODREAS: I would say just to raise this for
8 the committee, I think the point Dick and I didn't debate
9 but that we put on the table is really what the subcommittee
10 could really examine and really decide whether this overall
11 point has validity or not, whether in fact there is a whole
12 host of activities that the NRC could initiate, should
13 initiate to identify what Sol calls show stoppers or major
14 issues and whether that's a valid point or whether based on
15 the timing of this thing the NRC could stop, we could wait
16 for DOE so we could be down at low level and we don't have
17 to address this for two or three years, we could come back
18 and discuss this later.

19 I think it's a reasonable point of issue to pick
20 up.

21 MR. MORRISON: I think that's a valid approach,
22 Neil. I assume when you say "subcommittee address it,"
23 you're broadening to include all three subcommittees?

24 MR. TODREAS: Well, no. I meant the first
25 subcommittee when they review the whole waste program, that

1 could be a focus.

2 MR. BURSTEIN: But this would apply to all the
3 others who offer that, Mr. Chairman.?

4 MR. MORRISON: It should apply to all the others.
5 By implication the others would be all right.

6 MR. BURSTEIN: We all go through this all the
7 time, that our work expands to fill the budgets and the time
8 available. I don't know whether there is an absolute level
9 of funding that anybody will be satisfied with, whether it's
10 \$100 million or \$50 million or whether it's 25 percent or 50
11 percent of the total NRC budget authorization by the
12 Congress.

13 One of the ways we build up the numbers that I
14 think we might want to make, what is needed to do the job,
15 and not perhaps what we did last year or the year before or
16 10 years ago because our needs were entirely different then.
17 They may have no reflection on what the rest of the world is
18 spending in terms of regulation or in terms of enforcement
19 or in terms of other aspects.

20 I assume that's what we have done obviously.

21 But within the context of what we have by whatever
22 means we got there, it seems we're getting back to what
23 we've said before and that is: how do we best prioritize
24 what we have available? Whether we're talking about a
25 watermelon or a grapefruit in size, this is what we've got

1 and we may complain that it is inadequate to accomplish the
2 task before us.

3 I think in most cases that's correct.

4 But it's obvious we can't do everything. I think
5 we have to ask again how we establish these priorities. If,
6 indeed, the majority, 75 percent of the needs are
7 established elsewhere, then we have to address, I think,
8 some emphasis to those authors of establishing the
9 priorities. If they want to work on reactor safety as
10 opposed to waste, it gives RES very little opportunity to
11 change their own prioritization. This is what I mean
12 earlier when I said we're addressing, really, what the
13 Commission and the EDO has asked us to look at more than we
14 are specifically, perhaps, what RES has, unless I've missed
15 something.

16 MR. TODREAS: I was with you until the end.

17 I think the user needs, my thought was that the
18 user needs are all presented within areas but they do not
19 give the research officer the guidance or the input on how
20 to split among these program elements.

21 MR. BURSTEIN: I don't know.

22 MR. TODREAS: Is that correct? How do you decide
23 how much relative money goes in, say, waste versus
24 preventing damage? I didn't think explicitly you got that
25 from outside, the relative amount. I thought you got a list

1 of priorities on preventing damage effectively and a list of
2 priorities on waste but then you wrestled with the split,
3 maybe with Taylor.

4 MR. BECKJORD: Not so much between reactors and
5 waste. I would say in the last year or so the amount of
6 money for research on high-level waste has really been
7 determined. We didn't determine that.

8 MR. TODREAS: Who did? That's what Sol and I are
9 discussing.

10 MR. BECKJORD: I would say it's between the NMSS
11 and the EDO and there has been some congressional guidance
12 on that. I could did that out.

13 See, that money now comes from the waste fund;
14 that is, it is returned to NRC from the waste fund.

15 MR. TODREAS: Well, I'd say Sol is right, then,
16 that the user needs dictate not only the technology to check
17 but the level of money, at least in the waste area if in
18 fact you're right.

19 MR. BECKJORD: But I think a major factor in that
20 is that in terms of where the safety research money is, I
21 think the budget reflects where the consensus in the agency
22 is, that the money should be spent. That division between
23 waste and reactors, I think that's what the Commission has
24 consensus over. I don't have a problem with that.

25 I think we should be spending more money on the

1 waste research in the future. But I think in terms of the
2 issues before us today with the money that's available,
3 that's about right.

4 MR. SPEIS: Neil, with the exception of the waste
5 efforts, in the other areas nobody's telling us "you should
6 spend 10 percent prevention, 20 percent investigation." But
7 when we take all the needs, including the Commission
8 initiatives and digest them and integrate them, this is how
9 we come down.

10 For example, one of your three areas was health
11 effects. You possibly implied that maybe we should be doing
12 more in that area, yet we're doing less. Then the thing
13 that comes into consideration there is what are all the
14 other agencies doing. It would really depend possibly 90
15 percent in this area on the Department of Energy, DOE, EPA,
16 and others and we put quite a bit of effort and
17 understanding in providing input to those activities. So
18 that's why you don't see as much effort in that area.

19 So when we do that integration, including what
20 other agencies, we kind of reach that picture and then it
21 will go back to the Commission for feedback.

22 MR. MORRISON: There is another aspect of this
23 whole subject that needs to be thrown out on the table.

24 Who decides or what is the process of coming up
25 with a decision of how much is enough? When have you

1 completed or fulfilled a need? When have you completed the
2 task? Recognizing that these probably never get to a
3 hundred percent but the curve eventually is a diminishing
4 returns for monies invested.

5 Is that within RES? Does that go back to the
6 user?

7 MR. BECKJORD: Well, the Chairman made a statement
8 earlier this year and I'd have to go back and dig it out but
9 he said that he felt -- his judgment was that the research
10 budget that should exist was about \$120 million. I don't
11 remember -- \$120 or \$125 million. That was the range that
12 he gave that he felt that was justified for the needs of the
13 Commission to support the Commission's activities and
14 responsibilities.

15 The budget is less than that because, you know, of
16 all the pressures. But that was his view.

17 MR. MORRISON: Was that sort of an a priori top
18 down estimate or had you stated from the bottom up and
19 summed up everything that perhaps your office said would be
20 required to satisfactorily address the needs that were
21 laying on the table?

22 MR. BECKJORD: My own reading of that is that he
23 had looked over the research program and he was aware of the
24 cuts that had been made in arriving at it and that in round
25 numbers is about 20 or 25 percent over what we had.

1 So I think he felt that, you know, there were
2 additional unsatisfied needs that required about that amount
3 of money to satisfy.

4 I think what he was saying was, he if had his
5 druthers, the research budget would be \$120 million.

6 MR. VOGEL: I would like to support Ed's earlier
7 suggestion on paying a lot of attention to the work being
8 done by other organizations and overseas. I know there's a
9 certain disadvantage to this overseas work, for example, in
10 that you don't have control of it, can depend on it, and
11 they can drop it.

12 But on the other hand there are some awfully
13 capable people over there doing good work. I certainly have
14 been very much impressed by the Swedes, for example. A
15 little program, a little country, but, gee, a lot of capable
16 people and I don't even have a Swedish background.

17 I think some effort might be made to lay out a
18 worldwide program. The mechanism for doing this might be
19 through the OECD.

20 When I was attending committee meetings there, we
21 tried to lay out the worldwide severe accident program and
22 we had bar charts, et cetera, and so on. I think that's
23 still an ongoing effort picked up by other people.

24 MR. BECKJORD: We have good information on what
25 the OECD nations are spending in these areas through our

1 work on the Committee for the Safety of Nuclear
2 Installations and subgroups under that. There are five
3 subgroups which span most of the scope of our research
4 programs. Our people are members, in one case chairman of
5 the subcommittees, so we have good information on that.

6 I met with the German and the French authorities
7 in March to review the safety severe accident and
8 probabilistic risk assessment work on a trilateral basis so
9 we have pretty good information.

10 MR. VOGEL: It's gratifying to see closer
11 coordination with the French.

12 MR. ISBIN: I'd just to let it be known that my
13 silence here does indicate that I've heard very carefully
14 what has been stated around the table. I've been involved
15 with some of the subcommittees in the past.

16 I have some agreements and some disagreements.

17 I think the work of this committee lies ahead and
18 that we need to address some of the questions which have
19 been raised to make some determination but to do it in an
20 open meeting such as this at this time doesn't seem quite
21 appropriate to me.

22 I think it's important that questions be raised
23 but how we resolve these questions should be decided by a
24 little more thorough discussion and clarification of some of
25 the issues which have been brought forth.

1 MR. MORRISON: Any particular burning examples
2 there you think we ought to have more discussion on or get
3 clarification?

4 MR. ISBIN: I would prefer to do this in the
5 subcommittee.

6 MR. WOODS: I'd like to shift direction slightly.
7 We've heard this morning quite a bit about the
8 administrative structure of planning a research program.
9 But if I look back at the two National Research Council
10 reports, the revitalizing nuclear safety research and the
11 one that I happened to be involved in a little bit on human
12 factors and nuclear safety, I think the points that those
13 two groups were trying to make is that nuclear safety
14 research needed an upgrading of research quality,
15 fundamental research quality.

16 Now, that to some degree is at odds with the basic
17 charter of the organization in terms of supporting the
18 regulatory mission. But I think that stretching it is still
19 the appropriate thing; that rather than focus on increasing
20 the administrative levels, it's important to coordinate with
21 many other countries' programs. But if we increase the
22 bureaucracy in deciding what our research agenda is and
23 where things are going, I think it's going to only decrease
24 the quality of research output, not increase it through
25 indirect means.

1 Both of those reports tend to focus on more bottom
2 up where the administrative structure encourages talented
3 people to get involved in meeting and solving challenging
4 problems. That meant a variety of techniques were
5 recommended in those reports, some of which were not direct
6 funding of research through the normal channels but more
7 leveraging activities where we are trying to attract people
8 to recognize that there are fundamental problems that relate
9 to the nuclear industry but that are much wide concern.

10 Human factors is a great example of that. It's
11 one I can speak to.

12 The problems of human and automation interaction,
13 the problems of using new progress in intelligence systems
14 is a beautiful example of work that is going on in the 88
15 commercial aviation, it's going on in space systems, it's
16 going on obviously here in the nuclear industry, it's going
17 on in terms of medical equipment in the operating room.

18 In all of those areas people are concerned with
19 essentially the same set of questions.

20 They are all concerned with a problem that a
21 little more of this technology is not by and in itself going
22 to make things better. It has the potential. It has
23 considerable power for making things better. But that the
24 experience to date with information technology and
25 information-related automation, decision automation has been

1 spotty is an optimistic assessment of it.

2 If we don't understand more about how that stuff
3 works in high consequence, high hazard industries like
4 nuclear power, there will be failures in deploying that
5 technology as well as successes.

6 It happens that the French are leading the way,
7 probably on the way to a mixed bag of success and failure.
8 It would be very interesting if we got honest and in-depth
9 reports on their experience but my dealings with EDF and
10 other people there, I don't think that is very likely to
11 happen because of the organizational commitment to that
12 control room.

13 I think the main point I want to get on to is that
14 many of the mechanism I think this committee can provide in
15 terms of advice to your organization is the issue of how do
16 we get grassroots involvement? How do we get bright people
17 to work on these challenging problems?

18 I think these sort of indirect ways to build up
19 that research infrastructure are the most important things.
20 I think we can go into various specifics that apply in
21 subcommittees or overall. For example, smaller grants, more
22 diversified contracts, more university partnership in
23 things, let exclusive use of national laboratories that have
24 been mentioned before in these committee meetings by other
25 people in previous ones.

1 I think that's really the thing I want to bring
2 up; that emphasis on the quality of the research. I don't
3 think that comes from top down administration. That comes
4 from creating an atmosphere and environment to get smart
5 people to deal with challenging problems.

6 MR. TODREAS: Can I ask you: do you see a way to
7 get that thrust in the charter or the objective that we have
8 for this task? How do we do it or are we effectively
9 excluded? We've been working on that bit by bit. But now I
10 kind of see this charter and this task as taking us away
11 from the ability to do -- to emphasize what you say.

12 MR. WOODS: I think that there has always been a -
13 - we discussed this in terms of percentages a little bit
14 earlier in terms of research initiated activities versus
15 user initiated activities.

16 I think the fundamental problem in nuclear
17 regulatory research for a long time has been, it has been
18 driven by the various hot buttons.

19 Whether those things turned into three-year
20 programs or whether they were technical assistance I don't
21 think matters. I think they've been defined by events
22 outside and there has not been enough of a strategic,
23 coherent, and global view of what are the important problems
24 to be dealt with.

25 MR. BURSTEIN: From what point of view? From the

1 world science point of view or from regulation?

2 MR. WOODS: From the science point of view.

3 MR. BURSTEIN: That's where we have a problem.

4 That's where the charter for this group -- getting back to
5 Neil's perhaps zeroing in on that -- makes it difficult to
6 do that kind of work here.

7 MR. WOODS: What we proposed in the human factors
8 and nuclear safety report that National Research Council
9 report was a distinction between research activities that
10 build or enhance the research base from which industry
11 specific applications can be made and applied research that
12 takes items from that research base and makes them work in a
13 specific industry context.

14 We said even though that we understood the primary
15 mission was focused on this kind of confirmatory research
16 predominantly and industry specific things, that if they did
17 not encourage and leverage the growth of that research base
18 in ways that would be relevant to nuclear specific problems,
19 the research base wasn't going to be there when the hot
20 button question arose.

21 That has happened.

22 I'm speaking primarily from experience in human
23 factors. That has happened time and time again in the human
24 factors area where the research base, a problem arose, the
25 research base was not developed, and so when a version of

1 that question arose later, there was still the inability to
2 answer the question that was raised.

3 Now, I think there are ways to grow that research
4 base from the NRC's perspective that do not require massive
5 fundings of basic research. I think it has to do with more
6 indirect mechanisms to generate leverage. There were a
7 variety of those suggested in that human factors and nuclear
8 safety report.

9 I think we get into those as the committee well
10 knows in terms of emphasizing smaller, more diversified
11 research programs, making sure that there is university
12 involvement.

13 I think that there as been a problem with too much
14 insularity in nuclear specific groups. So people talk
15 about, well, let's take, for example, and I hate to
16 criticize my friends in Norway but they're a good example.
17 They do very interesting work, nuclear specific work in
18 human factors. Yet they are not connected in the least to
19 the international scientific community in the big questions
20 of man/machine systems, human intelligence system
21 interaction. It's a very insular program out there.

22 I think those kinds of boundaries have to be
23 broken down.

24 The FAA and NASA are leading a major research
25 effort on aviation automation and safety because they're

1 concerned that the increases in automation and information
2 technology and the artificial intelligence may not always
3 lead to improvements in aviation safety. They want to
4 understand the issues in terms of the impact on human
5 performance in the flight decks because of that concern and
6 building links to that program.

7 So that at the same time that applied research for
8 nuclear purposes is going on there is also something being
9 put back into that research base, growing that research
10 base. Because the problems in the nuclear world, at least
11 from the human factors, human computer intelligence system
12 world are the same problems that people are dealing with in
13 aviation and space.

14 So we can all benefit if we think about it in a
15 generic way as well as in an industry specific way.

16 MR. VOGEL: You gave an example of Norway. Of
17 course I don't think they have any reactors. They have
18 essentially no nuclear program.

19 MR. WOODS: The Halden project is what I was
20 referring to.

21 MR. VOGEL: Well, that's tiny, and as you say,
22 isolated. But there are no commercial reactors.

23 MR. WOODS: Right.

24 MR. VOGEL: They sort of flop out there all by
25 themselves. They're not doing very much either.

1 MR. TURCOTTE: Following along here your
2 discussion, we have seen this sort of activity of the
3 directors going, as far as I understand it, the opposite
4 direction in that it removed the small grant and moved to
5 support essentially a large fraction of it in the high level
6 waste program and moved it by creating essentially a new
7 national laboratory and centering that in an area that is
8 characterized by the lack of any national input to create an
9 expertise, whether it's for high level waste, low level
10 waste or just ordinary waste. So in essence there is no
11 basis in the universities to prepare people that are needed
12 to solve the problem because the major federal agencies,
13 particular the USGS and now the NRC, provide no basis of
14 support for university and the DOE does relatively little.

15 Now, I think we were faced with a fete accompli in
16 this so there wasn't really anything we could do but as a
17 prime example of where we sort of oversaw exactly the
18 opposite of what you're pushing for.

19 MR. WOODS: I don't know in the waste area
20 whether there are specific factors that would warrant going
21 in a different direction. I can only speak from by broad
22 view of human factors related things and that would be the
23 wrong way to go and has been the wrong way to go in human
24 factors, human automation types of areas.

25 MR. KINTNER: Eric, do you have any idea what

1 percentage of your \$90 million is spent in university type
2 work as compared to laboratory or major contractor work?

3 MR. BECKJORD: Yes, we have the figures on that.

4 MR. KINTNER: Do you think 5 percent? Ten
5 percent?

6 MR. BECKJORD: The total nonlaboratory
7 expenditures are a little over 20 percent, about 23 percent.
8 That breaks down between the grants as a fixed number.
9 Grants has been a million dollars.

10 There is some additional work at universities.

11 There are a number of what we call broad agency
12 announcement decisions that are forthcoming. We have by
13 design favored the universities in many of these BAAs, so-
14 called.

15 Then the rest of it is -- the balance of those
16 funds -- I can get the breakdown for you. I don't happen to
17 have it here. The balance is at places like Bechtel which
18 does a fair amount of work for us, is not a national
19 laboratory. It's a private not-for-profit, and a few other
20 places like that.

21 MR. TODREAS: Could I just take a moment, though,
22 to amplify because there are some new members on the
23 committee.

24 We put a table in a committee report. It probably
25 was a year ago, six months ago, associated with that, that

1 we worked out.

2 My recollection of the table was that the
3 university part was like 2 to 3 percent -- let me say only
4 to emphasize that. And the intention of the office and Eric
5 in particular was to try and increase that and the broad
6 agency announcement which he mentioned is a mechanism
7 relative to contracting to increase university participation
8 within the framework he has.

9 But the reason I interjected was actually to put
10 the spin the other way which is that although the intent of
11 this office has been to move in the direction -- I say Dave
12 is talking about.

13 How far the movement really will be is an open
14 question because the broad agency announcements are new.
15 I'm not sure where there have been any awards based on
16 those. That's a direction. I think it's a wait and see and
17 review.

18 MR. WOODS: What I would rather see rather than
19 just simply not to see this debate as to whether I took a
20 project that goes primarily to a university or primarily to
21 some other research organization; it's really building the
22 ties that there's a gradient moving from basic research to
23 very nuclear specific developments and that there has been a
24 loss of continuity on that gradient and that mechanisms are
25 diverse for reestablishing and encouraging that.

1 A couple of quick examples in one program that I
2 know in depth. It was a contract organization that was the
3 prime contractor on the work for the NRC. But there was a
4 critical element was subcontracted to one of the leading
5 researchers in a university setting, one of the leading ones
6 in the world, recognized by everybody and there were two
7 review meetings held during the course of that project that
8 included review committees of some of the very best people
9 leading research in related areas to this particular
10 contract who happened to all be from universities -- mo
11 all from universities, not quite all of them but
12 predominantly from universities so that there was a t
13 between these are the problems this organization has, the
14 NRC. This is where they want to make development and things
15 and is this both going to make leverage and help the sponsor
16 and is it also scientifically credible and advancing some
17 aspect of the scientific research base relative to that so
18 that we were able to say, yes, we're making progress in both
19 ways in that particular project and it established a
20 continuity, not that we were trying to do a basic research
21 project or turn the NRC work into a pure basic research
22 project but reestablish the continuity and connection so
23 that all the people along this research understood what was
24 going on, understood how their work could apply to it and
25 establish the human resource base, I think, in terms of

1 problem solvers who can go out and deal with these
2 challenging issues.

3 MR. VOGEL: I've sat on both side of the table on
4 this sort of thing. Another aspect of university work is my
5 experience has been that if you have in a rush to get an
6 answer, you're likely to get entangled with a graduate
7 student's thesis schedule and it becomes a very awkward
8 situation.

9 Also the universities by tradition and by intent
10 have independence which is not characteristic of contract
11 research and sometimes come out with an answer to a question
12 you didn't ask. It may be a very good answer but for
13 something else.

14 I think universities if they make a plea for more
15 work, need to address these as problems on the other side of
16 the table.

17 MR. WOODS: First of all, I've only been at the
18 university for two years. I'm not really trying to defend
19 this one in one particular way.

20 In the human factors area, what you see happening
21 is, there is a tremendous amount of work. There was a
22 comment made earlier that perhaps in some areas the overall
23 amount of work was going down on some of these problem
24 areas, defined broadly, not just the NRC's and related
25 organizations but in many of these problems of humans and

1 technology, it's just the opposite.

2 Work is exploding.

3 The question is, is any of that work -- it's going
4 to get done. There are going to be dissertations. There
5 are going to be professors leading laboratories working in
6 this area. The question is will any of it at the end be
7 relevant to the nuclear industry?

8 And the answer to date is, none of it is,
9 virtually none of it and the reason is because they're not
10 working on -- they're not using the nuclear world or
11 situations that have characteristics like the nuclear world
12 as their test beds.

13 They're using relatively small-scale static
14 situations with low hazard. As a result the results on
15 human computer interaction, intelligence system development,
16 and so forth do not apply.

17 They show potential still but they do not apply.

18 It's only in the last two years that the
19 artificial intelligence community has built the first
20 intelligent reasoner that has any hope of reasoning in a
21 situation like happens in a nuclear power plant control
22 room.

23 Now people have been tallying expert systems for
24 at least 10 years in the general public. It's only in the
25 last two years you could find any publications that are

1 credible to really work, if you really look at them in
2 detail and look at what happens in a nuclear control room in
3 terms of dynamism and so forth that they could actually work
4 in that situation.

5 That to me is appalling, that so much work in
6 diagnostics and fault management went on for so long yet so
7 little of it was relevant to a situation like a nuclear
8 power plant control room.

9 You would think they would be jumping at the
10 chance in the aftermath of Three-Mile Island to look at that
11 kind of situation and prove that there technology could work
12 in it but there was no hand reaching out in the other
13 direction to try to pull them in and say "let's focus your
14 energies and talents and resources in this direction, let's
15 leverage it because nuclear power offers a great laboratory
16 in a sense of tremendous challenges to your technology and
17 to advancing your technology. Why not do it here which will
18 also benefit the nuclear industry in developing specific
19 applications?"

20 That's the kind of leverage I'd like to get.

21 MR. BURSTEIN: One of the reasons is some of us
22 didn't want it to happen here until it had proved itself in
23 a much more simple and less hazardous situation.

24 Forgive me, you cannot jump off the edge and say
25 "I've got the answer now and I'm going to apply it to a

1 nuclear plant."

2 There's lot of things we haven't -- as you pointed
3 out earlier -- been able to demonstrate when you have a two-
4 or three-man situation, let along 200- or 300-man
5 situation.

6 "Man"? Did I say "person"?

7 (Laughter.)

8 But, indeed, it is imperative, I think, that
9 recognize what's going on globally in all of these areas. I
10 guess, Eric, it's probably appropriate that we reiterate
11 what we said before about our cognizance of these areas but
12 there is this continual conflict about what a total or
13 universal research effort in a discipline might provide,
14 whether it's a metallurgical one in a reactor vessel
15 application or a human factors one in a nuclear application.
16 And this is where the difficulty comes, I think, in trying
17 to administer a very specific part of a total research
18 effort.

19 We cannot, as Dr. Vogel said, have the luxury of
20 waiting until a research result emerges from the normal
21 process, including the whole peer review and other
22 processes. I think, Dave, you know that from all kinds of
23 histories of your own.

24 I guess that's where I feel myself defending RES
25 in respect to not being able to take full advantage of it.

1 But the maturity of the science is another very important
2 issue. Well, it so happens that Dr. Uhrig and I have been
3 debating this about intelligence or artificial intelligence
4 for nuclear plants for, what, 15 years?

5 MR. UHRIG: Not quite that long.

6 MR. BURSTEIN: Maybe 10 anyway, or at least the
7 use of control room simulators.

8 MR. UHRIG: There is another factor that comes
9 into it and that is just the interaction between regulators
10 and utilities in many of these applications. I go back a
11 long time in the reactor noise business.

12 MR. BURSTEIN: Oh, God, not that.

13 MR. UHRIG: Well, this essentially died in the
14 United States.

15 MR. BURSTEIN: Some of us tried to kill it.

16 MR. UHRIG: But if you go to Germany today, you
17 have a diagnostic center that weekly gets measurements from
18 every plant in West Germany. Any time they review these,
19 they look for anomalies, they wind up any time there is a
20 problem, there is an interaction between the utility and the
21 center and it's functioning very, very well. There has been
22 a tremendous interaction between the utilities and this
23 particular center. It is a private center. It started out
24 federal and --

25 MR. BECKJORD: Where is this?

1 MR. UHRIG: This is in Garshing.

2 MR. BECKJORD: GRS?

3 MR. UHRIG: Yes, GRS. They basically --

4 MR. BURSTEIN: Is this the nuclear noise or the
5 thermal hydraulic noise?

6 MR. UHRIG: It's all of it.

7 They were, for instance, able to spot the broken
8 spring in a fuel element and identify it as such.

9 They were able to predict the failure of a
10 rotating shaft on a reciprocating charging pump well before
11 it got to the point that it got to be a problem.

12 That's the kind of thing they're doing in a
13 routine basis.

14 But the thing that killed it in this country was
15 basically a position taken, I think incorrectly, by a
16 representative of the NRC or the old AEC at the time saying
17 in effect at a public meeting that if you find anything at
18 all, even though it's not confirmed by any other method,
19 you're going to have to shut down. That wasn't the policy.
20 It never was the policy.

21 Examples were Palisades when they had the broken
22 core barrel.

23 Here's a technology that is being extremely useful
24 in certain parts of the world. It's just neglected totally
25 in this country because of the culture, if you will, of what

1 went on between the regulators and the regulatees.

2 MR. KINTNER: I would ask the question: these
3 issues you're talking about, what degree is that a part of
4 the regulatory research responsibilities?

5 MR. WOODS: I think it is in the sense if they
6 want answers to their questions where it relates to advanced
7 INC and human factors, if they don't a leg in the -- the
8 answer has always come up where you ask human factors and
9 people in advanced INC where you're talking about computer
10 technology, artificial intelligence, things like that, is
11 that "we don't know yet." All right?

12 Every practical question virtually you can ask
13 here is "we don't know" because we're going through a step
14 change in information technology as applied to these kinds
15 of worlds.

16 So if we have to make short-term provisional
17 answers and assessments based on partial research or
18 judgments or whatever, that's great but in some sense we
19 have to invest. We have to sort of grow people whose
20 charter is more in long-term research to say, "hey, guys,
21 this is the real problem we wish we had an answer to."

22 A simple example is measures of the qualities of
23 computer displays. What's a good computer display for this
24 kind of situation?

25 There are people working on that but they're not

1 working on it in a way that's relevant to nuclear power.
2 Most of the people working on it have never heard of a
3 nuclear power situation. They don't know what operators do
4 in control rooms. They don't know what goes on in an
5 emergency operations in a nuclear power plant. They're
6 working on doing them for text editors, for God's sake.

7 MR. UHRIG: But they're doing it in the sense that
8 there are computer displays out there being used, have been
9 for 15 years. There are commercial nuclear power plants
10 where the operators rely almost entirely on computer
11 displays, Susquehanna being a classical example of the GE --
12 I've forgotten the name of the system.

13 The ATR, advanced test reactor, has five son
14 computers out here as the display system. There are people
15 moving ahead in this technology. It's getting done and it's
16 getting done in the aircraft industry. The French A-3-20
17 now is essentially computer controlled.

18 Decisions are being made albeit perhaps without --
19 and the Canadians. The Canadians have done a tremendous
20 job. Some of the stuff they're using is programmable logic
21 controllers with 8080 chips in it but they work and they're
22 doing a great job.

23 MR. WOODS: You're referring to the trend that's
24 going on.

25 MR. TODREAS: You fellow are agreeing, is that

1 right?

2 MR. UHRIG: I'm not sure we are. I'm saying
3 things are going ahead. Dave is saying nothing that's being
4 done in the basic sciences is relevant to the nuclear field
5 and that's what I'm disagreeing with.

6 MR. TODREAS: Oh, okay.

7 MR. WOODS: The technology forcing function is
8 moving ahead. In terms of understanding human technology
9 interaction, okay? You brought up the aviation example, the
10 A-3-20. The A-3-20 frankly scares a lot of people in U.S.
11 aviation industry a lot --

12 MR. BURSTEIN: And some passengers, too.

13 MR. WOODS: -- in terms of issues about people and
14 technology interact in managing high hazard situations. And
15 there's a \$25 million research program, the FAA, NASA, the
16 other organizations, trying to understand how people and
17 that level of automation interact in order to say "are there
18 any unanticipated traps that are laying out there waiting
19 for us and we'd like to anticipate and recognize those
20 before we run into them."

21 So the people are concerned the technology forcing
22 function is moving us ahead faster than we understand what
23 the technology means in terms of managing hazardous
24 industries. That's were, unfortunately, a lot of work in,
25 say, human computer interaction and other human technology

1 interaction kinds of areas has been focused on application
2 areas that do not directly transfer to nuclear power when
3 they could just have well done their work in things that
4 would be more relevant.

5 Part of the point here is that it's a level of
6 interaction. It's not saying "take your budget and devote
7 it to that." It's saying "if you're aware of building the
8 links to those people, all right," and opening up to those
9 people and encouraging them to come and work with you, when
10 they need to do a dissertation, they've got a bring young
11 person who's got some interesting ideas, that they're doing
12 them in ways that will build that research base and the next
13 time you ask the question "how to I evaluate a safety
14 parameter display system? what's a good one?" there isn't a
15 "gee whiz and by golly" answer and make it up as they go.

16 MR. TODREAS: The view I have which supports Dave
17 is a mental image I'd like to leave with you or in a term, I
18 think of this thing in terms of vertical integration
19 starting with the problem in maybe the industry and then the
20 lab, then the university. I think of the effort maybe as an
21 upside down triangle in the sense that the university
22 doesn't pick the problem and go off the wrong way but the
23 university and even the national lab is integrated into a
24 problem that's picked but integrated in the right way at the
25 level they can respond, at the time they can respond, and

1 with the piece that they can really contribute which is the
2 technology but entrain them in there.

3 This works on almost -- you brought up the
4 question where? This works on almost any complex problem,
5 particularly that we have in the nuclear industry.

6 For example, when you had that problem and
7 couldn't see through the water in TMI-2, if you had time to
8 develop a program, you probably went out and got an industry
9 applied chemist who might be able to turn right away and
10 solve the problem and then you built up with a small part of
11 the pyramid, get some researcher at a university who knew
12 the fundamentals who might do something a little bit longer
13 term to help.

14 MR. KINTNER: We did that but it took us six
15 months. We did or we wouldn't have gotten it done if we
16 hadn't.

17 MR. MORRISON: Well, I think now might be a good
18 time to break for lunch.

19 MR. BURSTEIN: I hope the pyramid rests on the
20 base and not on the point.

21 MR. TODREAS: It's a funding pyramid, not a logic
22 pyramid.

23 MR. MORRISON: With that let's come back about 1
24 o'clock.

25 (Whereupon, a luncheon recess was taken 11:55 a.m.)

1 (The committee met in closed subcommittee sessions
2 which were not recorded.)

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REPORTER'S CERTIFICATE

This is to certify that the attached proceedings before the United States Nuclear Regulatory Commission

in the matter of:

NAME OF PROCEEDING: Public Meeting

DOCKET NUMBER:

PLACE OF PROCEEDING: Bethesda, Maryland

were held as herein appears, and that this is the original transcript thereof for the file of the United States Nuclear Regulatory Commission taken by me and thereafter reduced to typewriting by me or under the direction of the court reporting company, and that the transcript is a true and accurate record of the foregoing proceedings.

Dean A. Robinson

Official Reporter
Ann Riley & Associates, Ltd.

U.S. NUCLEAR REGULATORY COMMISSION
OFFICE OF NUCLEAR REGULATORY RESEARCH



NSRRC MEETING ON THE
SAFETY RESEARCH PROGRAM
SEPTEMBER 25, 1990

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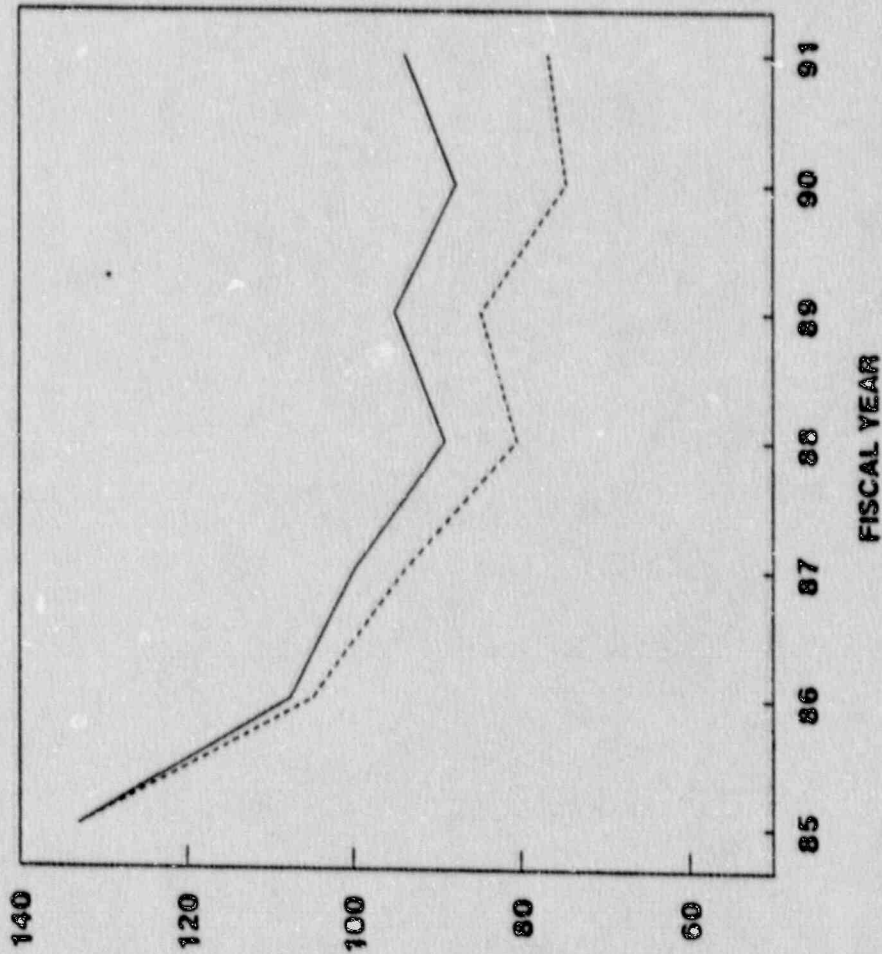
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BUDGET TRENDS FY 1985 - 1991

DOLLARS (MILLIONS)



FY	TOTAL \$	CONSTANT FY 85 \$
85	133.0	133.0
86	107.4	104.7
87	99.8	94.0
88	89.1	80.5
89	95.2	84.8
90	87.7	74.7
91	94.0	76.8

DEFINITIONS

RESEARCH

DEVELOPMENT OF NEW INFORMATION, ANALYSES, AND CODES EITHER THROUGH EXPERIMENTS OR OTHER METHODS, IRRESPECTIVE OF THE ULTIMATE USE OF THAT INFORMATION WHETHER FOR A SPECIFIC REGULATORY END SUCH AS A RULE, GUIDE, ETC., OR WHETHER THE INFORMATION IS PURELY CONFIRMATORY IN NATURE.

TECHNICAL ASSISTANCE

APPLICATION OR EXTENSION OF EXISTING INFORMATION (EXPERIMENTS, ANALYSES, CODE RUNS) TO SUPPORT SPECIFIC, IDENTIFIED REGULATORY ACTIONS (RULES, STANDARDS, LICENSING DECISIONS, POLICIES, ETC.).

OFFICE OF NUCLEAR REGULATORY RESEARCH

BREAKDOWN OF FY 90 PROGRAM SUPPORT FUNDS
RESEARCH vs TECHNICAL ASSISTANCE

PROGRAM	FY 89		FY 90		FY 91	
	RES	TA	RES	TA	RES	TA
INTEGRITY OF REACTOR COMPONENTS	\$28.0	\$ 2.1	\$25.0	\$ 0.7	\$26.0	\$ 0.9
PREVENTING DAMAGE TO REACTOR CORES	18.2	0	20.4	0.9	21.9	0.6
CONTAINMENT PERF. AND PROT FROM RADIATN	25.1	0.8	17.2	0	17.9	0
HIGH LEVEL WASTE	4.1	0	4.6	0	4.0	0
LOV' LEVEL WASTE	1.8	0	1.6	0	3.4	0
RESOLVING SAFETY ISSUES,DEVEL REGS	3.6	11.5	3.8	13.5	3.3	15.1
	\$80.8	\$14.4	\$72.6	\$15.1	\$77.4	\$16.6
TOTALS	\$95.2		\$87.7		\$94.0	

OFFICE OF NUCLEAR REGULATORY RESEARCH

POTENTIAL G R H BUDGET REDUCTIONS

<u>PROGRAM</u>	<u>FY 91 LATEST 8/90</u>	<u>FY 91 POTENTIAL G-R-H CUT</u>	<u>FY 91 REMAINING BAL - 9/90</u>
INTEGRITY OF REACTOR COMPONENTS	\$ 27.8	\$ 8.8	\$ 18.9
PREVENTING DAMAGE TO REACTOR CORES	22.5	10.0	12.5
CONTAINMENT PERF. AND PROT FROM RADIATN	17.9	8.8	9.1
HIGH LEVEL WASTE	4.0	1.8	2.2
LOW LEVEL WASTE	3.4	1.8	1.6
RESOLVING SAFETY ISSUES,DEVEL REGS	18.4	3.2	15.2
TOTALS	\$94.0	\$34.5	\$59.5

BASIS FOR GRH BUDGET REDUCTIONS

- ALL RES PROGRAMS HAVE BEEN PRIORITIZED AT THE "ACTIVITY" LEVEL WITH PRESSURE VESSEL SAFETY AND DIRECT CONTAINMENT HEATING NEAR THE TOP OF THE PRIORITY LIST AND CORE/CONCRETE INTERACTIONS AND THERMAL-HYDRAULIC EXPERIMENTS NEAR THE BOTTOM.
- PRIORITIES REFLECT URGENCY OF USER NEEDS, COMMISSION OBJECTIVES, AND PHENOMENA THAT DOMINATE RISK OR UNCERTAINTY IN RISK.
- LOWEST PRIORITY ACTIVITIES HAVE BEEN ELIMINATED OR SEVERELY CUT BACK.
- SOME PREFERENCE HAS BEEN GIVEN TO COMPLETING LONG-TERM PROJECTS THAT ARE ALMOST COMPLETED TO AVOID UNDUE LOSSES OF COMPLETED WORK.
- AN ATTEMPT HAS BEEN MADE TO MAINTAIN A MINIMUM LEVEL OF EXPERTISE WITH OUR CONTRACTORS TO PROVIDE THE CAPABILITY TO RESPOND TO NEW ISSUES AND EMERGENCIES.

IMPACT OF GRH BUDGET REDUCTIONS

(EXAMPLES OF LOWEST PRIORITY PROGRAMS)

PRIMARY SYSTEM INTEGRITY

64% REDUCTION IN PIPING INTEGRITY ACTIVITY WOULD DELAY DECISIONS ON ASME FATIGUE DESIGN CURVES RESULTING IN USE OF CONSERVATIVE ESTIMATES ON AGING. REDUCTIONS WOULD ALSO DELAY IMPLEMENTATION OF LEAK-BEFORE-BREAK FOR BALANCE OF PLANT (GDC-4).

SYSTEMS AND SEVERE ACCIDENTS

54% REDUCTION IN T-H EXPERIMENTS WOULD ELIMINATE MAJOR PARTS OF THE EFFORT TO RESOLVE THE NATURAL CIRCULATION ISSUE RELATED TO PWR DEPRESSURIZATION AND DCH. 62% REDUCTION IN CORE-CONCRETE INTERACTIONS WOULD ELIMINATE ALL INDEPENDENT RESEARCH BY THE NRC AND REDUCE OUR SUPPORT FOR THE INDUSTRY PROGRAM (EPRI/ACE).

HUMAN FACTORS AND WASTE MANAGEMENT

74% REDUCTION IN DATA MANAGEMENT SYSTEMS FOR RELIABILITY ASSESSMENT WOULD PRECLUDE CONVERTING NUCLARR SOFTWARE TO BE COMPATIBLE WITH IRRAS. 58% REDUCTION IN MATERIALS & ENGINEERING FOR LLW WOULD ELIMINATE TWO PROGRAMS AND RESULT IN THE USE OF OVERLY CONSERVATIVE ASSUMPTIONS.

MAJOR THRUST OF RES PROGRAMS

RESEARCH

PRIMARY SYSTEM INTEGRITY

SYSTEMS AND SEVERE ACCIDENTS

HUMAN FACTORS & WASTE MANAGEMENT

APPLICATION

REACTOR VESSEL EMBRITTLEMENT,
FLAW EVALUATION, PIPING REPAIR,
INSERVICE INSPECTION, OPERATIONAL
PROBLEM RESOLUTION.

LICENSE RENEWAL BASES, CONTAINMENT
FAILURE PREDICTION, SEISMIC DESIGN
MARGINS, EXTERNAL EVENTS FOR IPES.

SEVERE ACCIDENT POLICY IMPLEMENTATION
INCLUDING IPE, CPI, AND A/M PROGRAMS.

LICENSING APPROACH FOR ADVANCED
REACTORS FROM PRA INSIGHTS AND HUMAN-
SYSTEM INTERFACE STUDIES.

HLW AND LLW WASTE DISPOSAL ASSESSMENT,
EARTHQUAKE HAZARD SURVEILLANCE AND
ASSESSMENT, AND BASIS FOR REVISION OF
GEOLOGIC SITING CRITERIA.

SIGNIFICANT ACCOMPLISHMENTS

REACTOR PRESSURE VESSEL PROGRAMS

- MATERIAL PROPERTY TESTING PROVIDED BASIS FOR FRACTURE TOUGHNESS CURVES (K_{Ic} AND K_{IIc}) USED IN ASME CODE.

- DOSIMETRY RESEARCH (ANALYSIS AND EXPERIMENTS) HAS VALIDATED REACTOR PHYSICS CALCULATIONS FOR DETERMINING THE NEUTRON FLUENCE. THIS WORK IS BEING INCORPORATED INTO A REGULATORY GUIDE ON DOSIMETRY.

- TEST-REACTOR IRRADIATIONS IDENTIFIED DELETERIOUS EFFECTS OF COPPER IN PRESSURE VESSEL STEELS. THIS LED TO CHANGES IN THE COMPOSITION SPECIFICATIONS FOR THESE STEELS.

- TEST-REACTOR IRRADIATION RESULTS AND LARGE-SCALE PRESSURE VESSEL TESTS RESULTS PROVIDED TECHNICAL BASIS FOR:
 - PTS RULE (10 CFR 50.61) AND REG. GUIDE 1.154
 - CRITERIA FOR EVALUATING MATERIALS WITH LOW CHARPY UPPER SHELF ENERGY ACCORDING TO 10 CFR 50, APP. G
 - ASME SECTION III, APP. G WHICH IS USED TO SET P-T LIMITS AND LTOP SET POINTS

SIGNIFICANT ACCOMPLISHMENTS

PIPING RESEARCH PROGRAMS

- **EXPERIMENTAL PIPE FRACTURE TEST RESULTS UNDER VARIOUS LOAD COMBINATIONS PROVIDED TECHNICAL BASIS FOR:**
 - **REVISION OF 10 CFR 50, APP. A, GDC-4 TO ACCEPT LEAK-BEFORE-BREAK FOR PIPING MEETING RIGOROUS ACCEPTANCE CRITERIA.**
 - **FLAW EVALUATION CRITERIA IN ASME SECTION XI, IWB-3640 & IWB-3650.**

- **EXPERIMENTAL EVALUATION OF EFFECTS OF BWR ENVIRONMENT PROVIDED TECHNICAL BASIS FOR SELECTION AND PROCESSING GUIDELINES FOR BWR PIPING (NUREG-0313, REV. 2).**
 - **ENVIRONMENTAL GUIDELINES**
 - **MATERIAL SELECTION**
 - **INSPECTION GUIDELINES**
 - **REPAIR GUIDELINES, INCLUDING WELD OVERLAY REPAIRS**

SIGNIFICANT ACCOMPLISHMENTS

AGING RESEARCH PROGRAM

- DEVELOPED TECHNICAL BASIS TO SUPPORT LICENSE RENEWAL RULEMAKING
- DEVELOPED BASIS FOR STANDARD REVIEW PLAN FOR LICENSE RENEWAL

SEISMIC & STRUCTURAL ENGINEERING

- COMPLETED DEVELOPMENT AND TRIAL-PLANT EVALUATION OF SEISMIC DESIGN MARGINS METHODOLOGY, WHICH WILL HAVE IMMEDIATE USE FOR THE INDIVIDUAL PLANT EXAMINATION FOR EXTERNAL EVENTS.
- DEVELOPED COMPONENT FRAGILITY DATA BASE UTILIZED IN NUREG-1150, SEISMIC MARGINS, RESOLUTIONS OF A-46, ETC.

REACTOR CONTAINMENT STRUCTURAL INTEGRITY

- DEMONSTRATED FAILURE MODES AND LEVELS FOR STEEL AND REINFORCED CONCRETE CONTAINMENTS AND PENETRATIONS VIA TESTS TO FAILURE OF LARGE SCALE CONTAINMENT MODELS AND FULL SIZE PENETRATION SPECIMENS. THESE RESULTS HAVE BEEN UTILIZED IN NUREG-1150, PRAS AND OTHER SEVERE ACCIDENT STUDIES.

SIGNIFICANT ACCOMPLISHMENTS

REACTOR AND PLANT SYSTEMS

- COMPLETED EXPERIMENTAL RESEARCH ON ECCS PERFORMANCE AND REVISED THE ECCS RULE (10 CFR 50.46 AND APPENDIX K) TO PERMIT USE OF BEST-ESTIMATE ANALYSES.
- PROVIDED THERMAL-HYDRAULIC METHODS THAT SERVED AS THE BASIS FOR THE PRESSURIZED-THERMAL-SHOCK RULE.

SEVERE ACCIDENT EVALUATION

- PROVIDED EXPERIMENTALLY VALIDATED SEVERE ACCIDENT ANALYTICAL MODELS AND RESULTS THAT FORMED THE BASES FOR NUREG-1150 AND MADE POSSIBLE THE INDUSTRY AND NRC ANALYTICAL METHODS FOR SEVERE ACCIDENT CONTAINMENT PERFORMANCE ANALYSIS SUCH THAT MEANINGFUL LEVEL-II PRAs COULD BE PERFORMED AT REASONABLE COSTS. THIS PAVED THE WAY FOR THE SEVERE ACCIDENT POLICY STATEMENT AND THE IPE PROGRAM.
- MEASURED THE FLAMMABILITY LIMITS FOR HYDROGEN COMBUSTION IN AIR AND STEAM TO PROVIDE A BASIS FOR THE HYDROGEN RULE AND LEVEL II-PRAs.

SIGNIFICANT ACCOMPLISHMENTS

HUMAN FACTORS

- DEVELOPED METHODS FOR THE ANALYSIS AND REVIEW OF ADVANCED DISPLAYS AND CONTROLS IN TERMS OF THE HUMAN, HARDWARE, AND SOFTWARE ASPECTS OF HUMAN-SYSTEMS INTERFACES.

PROBABILISTIC RISK ANALYSIS

- COMPLETED LANDMARK RISK ANALYSES FOR FIVE PLANTS WITH FULL DOCUMENTATION AND COMPREHENSIVE PEER REVIEW (NUREG-1150).
- NUREG-1150 ANALYSES LED TO PLANT IMPROVEMENTS IN SYSTEM DESIGN AND PROCEDURES AT PLANTS ANALYZED (E.G., USE OF FIRE WATER AS BACKUP TO RHR AT LASALLE, ALTERNATE COOLING OF CHARGING PUMP OIL COOLER AT ZION).
- DEVELOPED PC-BASED ANALYTICAL TOOLS (IRRAS AND SARA) THAT PERMIT MOST ASPECTS OF PRA ANALYSES TO BE PERFORMED ON PCs, AT A COST SAVINGS OF >\$100K IN MAIN-FRAME COMPUTER COSTS PER PRA.

SIGNIFICANT ACCOMPLISHMENTS

HIGH-LEVEL WASTE RESEARCH

- DEVELOPED A METHODOLOGY AND THE ASSOCIATED COMPUTER PROGRAMS FOR SYSTEMATICALLY ANALYZING THE PERFORMANCE OF A HIGH-LEVEL WASTE REPOSITORY IN UNSATURATED, FRACTURED TUFF. WHILE SOME COMPONENT MODELS ARE PRELIMINARY, SUCH AS SOURCE TERM, THE FLOW AND TRANSPORT PORTIONS ARE MORE ADVANCED (NATURAL ANALOGS HAVE ADVANCED STATE OF THE ART IN FLOW AND TRANSPORT MODELING).

LOW-LEVEL WASTE RESEARCH

- DEVELOPED INVENTORY-BASED GENERIC LOW-LEVEL WASTE SOURCE TERM MODEL FOR USE IN PERFORMANCE ASSESSMENTS OF LLW DISPOSAL SITES.

EARTH SCIENCES

- JOINTLY DEVELOPED WITH NRR THE PROBABILISTIC SEISMIC HAZARD CHARACTERIZATION THAT IS PART OF THE BASIS FOR RESOLUTION OF THE "CHARLESTON EARTHQUAKE" ISSUE AND USE BY IPEEE.
- ESTABLISHED THE NATIONAL SEISMOGRAPHIC NETWORK TO ASSURE NRC LONG-TERM ACCESS TO SEISMICITY DATA NEEDED FOR THE CONTINUED SAFE OPERATION OF NUCLEAR POWER PLANTS.

INTEGRITY OF REACTOR COMPONENTS

- **REACTOR VESSEL AND PIPING INTEGRITY**
- **AGING OF REACTOR COMPONENTS**
- **SEISMIC AND STRUCTURAL RESEARCH**
- **ENGINEERING STANDARDS SUPPORT**

FUTURE RESEARCH

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REACTOR VESSEL AND PIPING INTEGRITY

- CONTINUE TEST REACTOR IRRADIATIONS TO DEVELOP DATA BASE NEEDED IN EVALUATING INTEGRITY OF VESSELS CONTAINING MATERIALS WITH LOW CHARPY UPPER SHELF ENERGY. THIS WILL USE MATERIAL OBTAINED FROM THE CANCELLED MIDLAND UNIT 1 AND SPECIAL RESEARCH WELDS.
- DEVELOP REGULATORY CRITERIA FOR VESSEL ANNEALING, INCLUDING METHODS FOR ESTIMATING AND MEASURING RECOVERY, REEMBRIITLEMENT RATES, AND ENGINEERING CONSTRAINTS.
- DEVELOP DATA TO IMPROVE THE UNDERSTANDING OF IRRADIATION DAMAGE AND TO SUPPORT PREDICTIVE MODELS TO ACCOUNT FOR TEMPERATURE EFFECTS, CHEMISTRY EFFECTS, MICROSTRUCTURE EFFECTS, AND GENERAL MATERIAL CONDITION.
- DEVELOP DATA TO SUPPORT CHANGES IN THE ASME SECTION-III FATIGUE LIFE CURVES FOR USE IN LICENSE RENEWAL EVALUATIONS OF PRESSURE VESSELS AND PIPING.
- PERFORM PIPE FRACTURE EXPERIMENTS AND ANALYSES TO SUPPORT EXTENSION OF LEAK-BEFORE-BREAK TO PIPE FITTINGS AND BALANCE OF PLANT PIPING.

AGING OF REACTOR COMPONENTS

- DEVELOP TECHNICAL BASIS TO EVALUATE RESIDUAL LIFE OF MAJOR LWR COMPONENTS AND STRUCTURES.

FUTURE RESEARCH

SEISMIC AND STRUCTURAL RESEARCH

- DEMONSTRATE FAILURE MODE AND LEVELS OF PRESTRESSED CONCRETE CONTAINMENT.
- DEVELOP DEGRADATION AND AGING DATABASE FOR CONCRETE STRUCTURE.
- REVISE APPENDIX A TO 10 CFR PART 100, GEOLOGIC AND SEISMIC SITING CRITERIA
- DEVELOP BASIS AND POSITION ON THE RATIO OF OBE/SSE FOR ADVANCED REACTORS.
- INTEGRATE DYNAMIC PIPING RESEARCH RESULTS INTO REGULATIONS.
- CONTINUE ASSESSMENT AND SURVEILLANCE OF SEISMICITY IN CENTRAL AND EASTERN UNITED STATES.
- DEVELOP STRONG GROUND MOTION DATABASE TO REDUCE THE PRINCIPAL UNCERTAINTY IN SEISMIC HAZARD CURVES AND RESOLVE THE ISSUE OF TWO HAZARD CURVES (EPRI AND LLNL).

CORE DAMAGE PREVENTION

- **PLANT PERFORMANCE & REACTOR APPLICATIONS**
- **HUMAN FACTORS**
- **RELIABILITY ASSESSMENT**
- **ACCIDENT MANAGEMENT**
- **PROBABILISTIC RISK (SAFETY) ASSESSMENT**

HUMAN FACTORS

- IDENTIFY THE HUMAN FACTORS ISSUES ASSOCIATED WITH MEDICAL AND INDUSTRIAL USES OF RADIOACTIVE MATERIAL AND DEVELOP APPROPRIATE REGULATORY GUIDANCE.
- DEVELOP A RISK-BASED CONFIGURATION MANAGEMENT METHOD FOR IMPROVING AND SIMPLIFYING TECHNICAL SPECIFICATIONS.
- PERFORM A TRIAL APPLICATION OF THE METHOD DEVELOPED FOR ASSESSING ORGANIZATIONAL FACTORS AT OPERATING NUCLEAR POWER PLANTS AND DEVELOP APPROPRIATE REGULATORY TOOLS IN THIS AREA.

PROBABILISTIC RISK ANALYSIS

- EXTEND THE NUREG-1150 ANALYSES FOR TWO PLANTS (SURRY AND GRAND GULF) TO INCLUDE CONSIDERATION OF THE RISK ASSOCIATED WITH LOW POWER AND SHUTDOWN MODES.
- DEVELOP IMPROVED METHODS FOR PRECURSOR ANALYSES AND METHODS FOR INCORPORATING OPERATIONAL EVENTS INTO PRAs.

REACTOR CONTAINMENT PERFORMANCE

- REACTOR CORE MELT AND COOLANT SYSTEM FAILURE
- REACTOR CONTAINMENT LOADING
- REACTOR CONTAINMENT STRUCTURAL INTEGRITY

FUTURE RESEARCH

REACTOR AND PLANT SYSTEMS

- **PROVIDE THE TECHNICAL BASIS (INCLUDING ASSESSMENT OF CANDIDATE STRATEGIES) TO DEFINE THE REQUIREMENTS FOR AN ACCEPTABLE ACCIDENT MANAGEMENT PROGRAM.**
- **ASSESS EXISTING CAPABILITIES TO MODEL GRAVITY-DRIVEN FLOWS IN ADVANCED LWRs, DEVELOP IMPROVED TECHNIQUES IF NECESSARY, AND PERFORM INDEPENDENT SAFETY ANALYSES OF THESE DESIGNS.**

SEVERE ACCIDENT EVALUATION

- **BASED ON KNOWLEDGE AND INSIGHTS GAINED BY EXPERIMENTS AND ANALYSES CONDUCTED TO DATE, COMPLETE RESEARCH ON CORE DEGRADATION AND MELTING, CONTAINMENT LOADING PHENOMENA, AND FISSION PRODUCT GENERATION AND RELEASE SUFFICIENT TO REACH CLOSURE ON SEVERE ACCIDENT ISSUES AS REQUIRED BY THE SEVERE ACCIDENT POLICY STATEMENT.**

FUTURE RESEARCH

HIGH-LEVEL WASTE RESEARCH

- **RADIONUCLIDE RELEASE CHARACTERIZATION; CONTAINER AND ENGINEERED BARRIER PERFORMANCE; GEOHYDROLOGIC FLOW THRU UNSATURATED, FRACTURED TUFF; GEOCHEMISTRY OF TRANSPORT UNDER REPOSITORY CONDITIONS; POTENTIAL DISRUPTIVE SCENARIOS (VOLCANIC OR SEISMIC).**

LOW-LEVEL WASTE RESEARCH

- **SOURCE TERM AND WASTE FORM CHARACTERIZATION; CONTRIBUTION OF ENGINEERED ENHANCEMENTS (E.G., CONCRETE DURABILITY UNDER BURIAL CONDITIONS); INFILTRATION THROUGH COVERS; MECHANISMS FOR RADIONUCLIDE RETARDATION AND MOBILIZATION.**

FUTURE RESEARCH IN SUPPORT OF ADVANCED REACTORS

ENGINEERING RESEARCH

- **ASSESSMENT OF UNIQUE CONSTRUCTION TECHNIQUES AND UNUSUAL CONFIGURATIONS.**
- **QUALIFICATION OF ADVANCED INSTRUMENTATION & CONTROL SYSTEMS.**
- **BEHAVIOR OF MATERIALS THAT MAY BE NEW OR OPERATING AT HIGHER TEMPERATURES.**
- **AGING EVALUATION FOR NEW DESIGNS.**

SYSTEMS RESEARCH

- **PRAs FOR PASSIVE VS. ACTIVE SYSTEMS.**
- **INTEGRAL SYSTEMS TESTS IN SCALED LOOPS.**
- **HUMAN-SYSTEM INTERFACES AND AUTOMATION FOR ADVANCED INSTRUMENTATION & CONTROLS.**
- **SEVERE ACCIDENT ISSUES INCLUDING MARGINS IN CONTAINMENT PERFORMANCE, UNIQUE MATERIALS INTERACTIONS, ETC.**

NEED FOR A STRONG RESEARCH PROGRAM

- CONFIRM REGULATORY DECISIONS
- IMPROVE RULES, GUIDES, AND STANDARDS
- RESOLVE TECHNICAL ISSUES
- MAINTAIN TECHNICAL CAPABILITY
- PERFORM RISK ASSESSMENTS
- DEVELOP WASTE DISPOSAL REQUIREMENTS
- DEVELOP ADVANCED REACTOR REQUIREMENTS

ORGANIZATION OF DETAILED PRESENTATIONS TO SUBCOMMITTEES

(REFERENCES IN PARENTHESES ARE TO FIVE YEAR PLAN)

PRIMARY SYSTEM INTEGRITY

1. REACTOR VESSEL AND PIPING INTEGRITY (IV-25, PROGRAM ELEMENT)
2. AGING OF REACTOR COMPONENTS (IV-42, PROGRAM ELEMENT)
3. SEISMIC AND STRUCTURAL RESEARCH (IV-51, PROGRAM ELEMENT)
4. REACTOR CONTAINMENT STRUCTURAL INTEGRITY (IV-143, PROGRAM ELEMENT)

SYSTEMS AND SEVERE ACCIDENTS

1. PLANT PERFORMANCE (IV-74, PROGRAM ELEMENT) AND REACTOR APPLICATIONS (IV-82, PROGRAM ELEMENT) -- THERMAL HYDRAULICS
2. ACCIDENT MANAGEMENT (IV-101, PROGRAM ELEMENT)
3. CORE MELT AND REACTOR COOLANT SYSTEM FAILURE (IV-127, PROGRAM ELEMENT) AND REACTOR CONTAINMENT SAFETY (IV-135, PROGRAM ELEMENT) -- SEVERE ACCIDENTS

HUMAN FACTORS AND WASTE MANAGEMENT

1. HUMAN FACTORS (IV-87, PROGRAM ELEMENT) AND RELIABILITY ASSESSMENT (IV-98, PROGRAM ELEMENT)
2. REACTOR ACCIDENT RISK ANALYSIS (IV-110, PROGRAM ELEMENT)
3. LOW LEVEL WASTE DISPOSAL PROGRAM (IV-146) AND HIGH LEVEL WASTE DISPOSAL PROGRAM (VI-29)
4. EARTH SCIENCES (IV-56, ACTIVITY)

COMMITTEE REPORT

QUESTIONS TO BE ADDRESSED

- DOES RES HAVE A CLEAR UNDERSTANDING OF NRC'S ESSENTIAL REGULATORY REQUIREMENTS?
- ARE THE PROPOSED RESEARCH PROGRAMS WELL FOCUSED ON THESE REGULATORY NEEDS?
- DOES THE COMMITTEE HAVE ANY RECOMMENDATIONS FOR CHANGING EMPHASIS OF THE RESEARCH PROGRAMS?
- ARE THERE GAPS OR MISSING ELEMENTS IN THE VIEW OF THE COMMITTEE?
- ARE THERE ELEMENTS IN THE PROPOSED PROGRAM WHOSE SCOPE COULD BE REDUCED?
- ARE THERE ELEMENTS IN THE PROPOSED PROGRAM WHOSE SCOPE SHOULD BE INCREASED?

COMMITTEE REPORT

STAFF SUPPORT AVAILABLE TO THE COMMITTEE

- PRIMARY SYSTEM INTEGRITY

LAWRENCE SMAO, DIRECTOR, DE
JAMES NORBERG, DE

- SYSTEMS AND SEVERE ACCIDENTS

BRIAN SHERON, DIRECTOR, DSR
ANDREW MARCHESI, DSR

- HUMAN FACTORS AND WASTE MANAGEMENT

JOSEPH MURPHY, DEPUTY DIRECTOR, DSR
ROBERT BOSNAK, DEPUTY DIRECTOR, DE

- OVERALL COORDINATION AND REPORT PREPARATION

RALPH MEYER, RES