

UNITED STATES NUCLEAR REGULATORY COMMISSION WASHINGTON, D. C. 20555

Rochet File

March 29, 1994

Docket Nos. 52-001, 52-002, and 52-003, 52-004

APPLICANTS: GE Nuclear Energy (GE), Westinghouse Electric Corporation (W),

and ABB-Combustion Engineering, Inc. (ABB-CE)

PROJECTS: GE Advanced Boiling Water Reactor (ABWR), GE Simplified Boiling

Water Reactor (SBWR), W AP600, and ABB-CE System 80+

SUBJECT: SUMMARY OF MEETING HELD ON MARCH 14, 1994, ON DESIGN

CERTIFICATION REVIEWS

A public meeting was held with representatives of the Nuclear Regulatory Commission (NRC), GE, \underline{W} , ABB-CE, and the Department of Energy (DOE) on March 14, 1994, in the White Flint offices of the NRC in Rockville, Maryland. The purpose of the meeting was to discuss the progress of reviews for design certification of the evolutionary and passive reactor designs. Enclosure 1 contains a list of the attendees at the meeting. Enclosure 2 contains the handouts presented by industry representatives at the meeting.

Representatives from GE began the meeting with a presentation of the status of the ABMR design certification program. The major topics of discussion during the presentation were: (i) final design approval (FDA) and design control document (DCD) issuance dates, (2) treatment of standard safety analysis report (SSAR) secondary references in the DCD, (3) applicable regulations in the design certification rule, and (4) treatment of probabilistic risk information in DCD.

Representatives from ABB-CE then presented the status of the System 80+ certification program. The major topics of discussion during the presentation were: (1) the status of the next amendment to CESSAR-DC, (2) actions required to address concerns raised by the February 1994, quality assurance (QA) inspection, and (3) a proposed technical specification (TS) relief request. ABB-CE stated that Amendment V to CESSAR-DC will be issued by the end of April 1994. In order to meet that date, however, ABB-CE will need the staff's comments by the end of March. The staff agreed to work with ABB-CE to meet this schedule.

Regarding the recent QA inspection, ABB-CE stated that an action plan is being developed to address the concerns raised by the inspection team. Specifically, ABB-CE is compiling a list of calculations for which full design verification will be performed prior to the staff's issuance of a FDA. ABB-CE proposed to meet with the staff during the week of March 21, 1994, to discuss their plan. The staff agreed to meet and reiterated its position that the scope of the calculations should include those that form the final design basis and will not be redone by a combined license applicant due to asprocured/as-built conditions. ABB-CE also discussed a proposed relief request from TS requirements for emergency diesel generator limiting conditions for operation while at power. The Advisory Committee on Reactor Safeguards (ACRS) recently questioned ABB-CE about why credit was not taken for the alternate acsource during power operations. ABB-CE stated that this issue will be discussed further with the staff.

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W indicated that they have categorized the tests in the AP600 testing program into those tests that they felt were required for developing the draft safety evaluation report (Category 1 tests) and those that would be necessary to support the FSER and FDA (Category 2 tests), and felt that the staff should be able to develop its review schedules based on this categorization. The staff indicated that it had not had the opportunity to review W's categorizations, and that it concluded that there will be significant open items in the DSER in those technical areas that are affected by the test program. The staff further indicated that it could not complete its safety evaluation of the design until the staff had confidence in the codes, and were sure that the design was finalized. The staff stated that it would not predict in its DSER that the testing will demonstrate that the design is acceptable. Instead, the staff indicated that it would issue a supplement to the DSER to discuss the results of the testing program. That supplement would be issued after the testing was completed, and the staff had a chance to review test results and analysis, as discussed in the March 7, 1994, letter.

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(Original signed by S.Ninh for)

Chester Poslusny, Project Manager Standardization Project Directorate Associate Director for Advanced Reactors and License Renewal Office of Nuclear Reactor Regulation

Enclosures: As stated

cc w/enclosures: See next page

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Mr. S. M. Modro EG&G Idaho Inc. Post Office Box 1625 Idaho Falls, Idaho 83415

MEETING ATTENDEES MARCH 14, 1994

NAME

AFFILIATION

NRR/ADAR T. Boyce M. Finkelstein B. Vijuk Westinghouse T. Cook DOE NRR/SPLB C. McCracken N. Fletcher DOE DOE F. Ross DOE C. Thompson G. Vine EPRI R. P. McDonald ARC NRR/PDST T. Kenyon NRR/ADAR M. Case B. McIntyre Westinghouse R. Architzel NRR/PDST NRR/PDST M. Malloy NRR/PDST M. Franovich P. Marriott GE Nuclear Energy J. Quirk Gi Nuclear Energy T. Rudek ABB-CE R. Matzic ABB-CE R. Borchardt NRR/PDST NRR/ADT W. Russell P. Crutchfield NRR/ADAR DOE/ALWR S. Franks D. McGoff DOE J. Santucci **EPRI** A. Beard GE Nuclear Energy B. D. Liaw NRR/DE NRR/ADT A. Thadani J. Wheeler C. Poslusny NRR/PDST B Dean P. Lang DOE

R. Bell NEI GE Nuclear Energy S. Hucik NRR/OTSB M. Reinhart NRR/DSSA D. McPherson NRR/ADAR B. Travers NRR/PDST K. Shembarger B. Zalcman NRR/PDST J. Donohew NRR/PDAR NRR/PDST S. Magruder NRR/RPEB F. Talbot J. Leatherman GE Nuclear Energy

H. Renner GE Nucle
NUS
C. Brinkman ABB-CE



ABWR Certification Program Overview

J. F. Quirk
Project Manager
ABWR Certification

March 14, 1994

ABWR Certification Program Current and Near Term FDA Related Activities

- Advance Copy of FSER Issued on December 30, 1993
- GE Responses to all FSER Open/Confirmatory Items January 14 February 16, 1994
- GE Sr. Mgmt. Briefing of NRC, January 26, 1994
- Staff Sr. Mgmt. Briefing of NRC, January 28, 1994
- GE/ACRS Final Subcommitee Meeting, January 25 & 26, 1994
- NRC Project Staff Pre-Review of Amendment 34 closing all Staff, IRG, and ACRS comments
- ACRS Letter Being Drafted

NOW AT THRESHOLD OF FDA ISSUANCE

SUMMARY

- Much progress made . . . ABWR @ threshold of receiving first FDA under Part 52
- Remaining actions
 - ACRS Letter, March 1994
 - FSER and FDA issuance, May 1994
 - Early commission action on ANPR process issues needed to maintain schedules for initiating ALWR rulemakings

Design Certification Process Issues Impacting FDA

FDA/DCD SEPARATION

- Proposal for staff issuance of FDA prior to completion of DCD approval process
- Resolution of DCD format issues will not effect content and completeness of safety review and findings supporting approval of FDA
- DCD relates only to DC Rulemaking
- Separation of two issuances would allow design review process to be completed within a time frame consistent with NRC-approved schedules
- Understand that staff supports separating FDA and DCD issuance

INDUSTRY URGES COMMISSION TO ENDORSE SEPARATING FDA AND DCD ISSUANCE

Process Issues Impacting Design Certification SECONDARY REFERENCES

- •Preliminary Staff guidance on DCD treatment of SSAR secondary references caused serious Industry concerns regarding practicality and schedule impacts
- Further interaction has clarified Staff and Industry understanding

AWAITING NEW STAFF GUIDANCE TO SATISFACTORILY RESOLVE MATTER

Process Issues Impacting Design Certification (Continued)

APPLICABLE REGULATIONS

- Staff proposes DC rule adopt as "applicable regulations" various
 Commission-approved staff positions on severe accidents and other technical issues
 - Industry believes that Commission-approved staff positions will be embodied in Tier 1 and Tier 2 DC rule requirements

THIS PROPOSAL SHOULD NOT BE ADOPTED BY COMMISSION

Process Issues Impacting Design Certification (Continued)

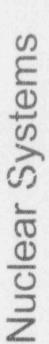
TREATMENT OF PRA INFORMATION

- •Staff proposes DCD include PRA details, including probabilities
- Would make 50.59 evaluations burdensome and divert licensee and staff resources from more important operating issues to handling of license amendments or exemption requests for trivial increases in PRA probabilities

ONLY IMPORTANT DESIGN INSIGHTS FROM PRA SHOULD BE INCLUDED IN DCD AND SUBJECT TO 50.59 REVIEW PRIOR TO CHANGE

Certification Program Status System 80+ Design

NRC/DOE/Industry Management March 14, 1994 Meering





Vice President, Engineering



System 80+ Design Review Status

- ABB-CE System 80+ ACRS subcommittee meetings initiated December 8, 1993
- Advanced FSER signed February 28, 1994
 - No open issues
 - Eight confirmatory issues
- ACRS letter expected May-June, 1994
- FSER to be published June, 1994
- FDA expected August, 1994
- Notice of Rulemaking September, 1994
- Design Certification December, 1995



System 80+ Advanced FSER

Confirmatory Items

Item No.	Description
1.1-1	Incorporation of agreed upon CESSAR-DC markups in (Amendment V)
1.1-2	Staff consistency review of CDM and CESSAR-DC through (Amendment U)
1.10-1	Review of COL action items
3.8.4.2-1	Joint reinforcing steel details, e.g. (Component Cooling Water/Heat Exchanger Building, Diesel Fuel Storage Structure, Component Cooling Water/Heat Exchanger Tunnel and Nuclear Island Basemat outer wall interfaces).



System 80+ Advanced FSER (Cont.)

Confirmatory Items

Item No.	Description
14.3.7-1	Verify incorporation of additional comments on CDM
14.3.7-2	Independent quality assurance review of CDM and CESSAR-DC
16	Verify incorporaton of staff comments, satisfactory completion of independent audit, and ABB-CE certification of the final Technical Specifications
17.1-1	Verify ABB-CE's design control practices (quality assurance) afford an acceptable level of assurance of the design integrity.



ACRS Review Status

- Review is two-thirds complete
- No significant technical issues or design problems identified in meetings to-date
- ABB-CE agreed to investigate ACRS suggestion that the combustion-turbine generator be credited as backup for safety-grade diesels during at-power operation
 - Extension of Technical Specification maintenance (72 hours) via crediting gas turbine
- Last of four meetings scheduled for April 5-6, 1994
- Potential 1/2 day cleanup meeting in early May

Nuclear Systems

ABB

CESSAR-DC Chapters Reviewed by ACRS

Chapter 1 -	General Descriptions -	*
Chapter 2 -	Site Envelope -	Complete
Chapter 3 -	Seismic and Mechanical Design -	Complete
Chapter 4 -	Fuel System -	Complete
Chapter 5 -	Reactor Coolant System -	*
Chapter 6 -	Containment Systems -	*
Chapter 7 -	Instrumentation & Control -	Complete
Chapter 8 -	Electrical Systems -	Complete
Chapter 9 -	Auxiliary Systems -	*
Chapter 10 -	Main Steam System -	Complete
Chapter 11-	Radwaste Systems -	Complete
Chapter 12 -	Radiation Protection -	Complete



^{*} April 5-6, 1994

CESSAR-DC Chapters Reviewed by ACRS (Cont.)

Chapter 13 -	Plant Operations -	Complete
Chapter 14 -	Startup Testing -	Complete
Chapter 15 -	Safety Analysis -	*
Chapter 16 -	Technical Specifications -	*
Chapter 17 -	Quality Assurance -	Complete
Chapter 18 -	Human Factors Engineering -	Complete
Chapter 19 -	Probabilistic Risk Assessment & Severe Accidents -	Complete
Appendix A -	Generic Safety Issues	*

* April 5-6, 1994



DCD Development

- Perform a final consistency review of CESSAR-DC
- Perform a consistency review between the FSER and CESSAR-DC
- Start work on draft DCD
 - Using NRC guidance on form and content
 - Utilizing the industry's position on secondary references
 - Utilizing industry definition of PRA requirements





AP600 DESIGN CERTIFICATION PROGRAM STATUS

March 14, 1994

Brian A. McIntyre, Manager Advanced Plant Safety and Licensing

Westinghouse Electric Corporation

OVERVIEW



ITEMS FROM DECEMBER 22, 1993 SENIOR MANAGEMENT MEETING

TESTING PROGRAM STATUS

MARCH 7, 1994 LETTER

DECEMBER 22, 1993 SENIOR MANAGEMENT MEETING



ISSUES AND ACTIONS

PRA REVIEW

- MEETING HELD WITH STAFF AND CONTRACTOR
 - EXCELLENT FEEDBACK ON SUBMITTAL
 - DIALOGUE INITIATED
 - NEXT MEETING MID APRIL

TESTING PROGRAM CLOSURE

DETAILED SCHEDULE SUBMITTAL

TESTING PROGRAM CLOSURE



DECEMBER 10, 1993 - NRC

CLARIFIED STAFF CONCERNS

MEETINGS HELD WITH STAFF AND ACRS

APRIL 8, 1994 - NRC

- CLOSE OUT ITEMS FROM DECEMBER 10, 1993 MEETING

CLOSURE REQUIRED ON TESTING PROGRAM

TESTING PROGRAM STATUS



CMT

- MATRIX TESTING UNDERWAY
- CATEGORY 1 TESTING COMPLETION 5/27/94

OSU

- SENIOR MANAGEMENT MEETING 5/10/94
- MATRIX TESTING INITIATION 7/20/94
- CATEGORY 1 TEST COMPLETION 10/21/94

SPES

- MATRIX TESTING UNDERWAY
- CATEGORY 1 TEST COMPLETION 10/21/94

TESTING PROGRAM STATUS (Continued)



ADS

- UNDER CONSTRUCTION
- MATRIX TESTING INITIATION 6/94
- CATEGORY 2 TEST COMPLETION 10/94

PCCS

TESTING COMPLETE

MARCH 7, 1994 LETTER



- IMPLIES STAFF IS PURSUING A SPLIT DSER APPROACH
- WESTINGHOUSE TO SUBMIT DETAILED SCHEDULES FOR STAFF PLANNING
- REASONABLE ASSURANCE NEEDED THAT DESIGN IS FINAL

DETAILED SCHEDULE



- MARCH 7, 1994 NPC LETTER
 - IMPLIES SPLIT DSER
- WARCH 9, 1994 WESTINGHOUSE PROVIDED DETAILS
 - SINGLE DSER
 - TESTING PROGRAM CATEGORIZED
 - NECESSARY FOR DSER CATEGORY 1
 - NECESSARY TO CLOSE OPEN ITEMS CATEGORY 2
- WESTINGHOUSE RECOMMENDS SINGLE DSER WITH OPEN ITEMS
- CHALLENGE STAFF TO PERFORM SIMILAR LEVEL OF PLANNING
 - BUILD ON WESTINGHOUSE SUBMITTAL

AP600 DESIGN CERTIFICATION SCHEDULE



1993			1994		1995		1996		
NRC RAIs	RAI Resp.	NRC DSER	Zest DSER Response	NRC FSER	FSER Publ.	Rule SEC	Y-93-097		
NA	C RAIs								
n ver med and	oure RALA	risboers micros							
Design Certification Tests		SSAR Rev	N/NRC Agreement on		sts	24/>			
Test Analysis & Code Validation		I	Pode Verifice	Mon. & Validation	414				
	PR/	Security and secur	ER Process	KLASKA PROPERTY	t instill				
	6	PRA Level 2 - 1 PRE	Issues	The SIA project	I I I AA	C Revision	A/TAAC		
			ITAAC Update NRC Re	view					
				FSER	Prep	FSER Proces:	FDA & FR Notice Issued		
	1993		1994	1995			1996		

REQUESTS FOR ADDITIONAL INFORMATION



1292 RECEIVED

1211 RESPONSES

TO SUPPORT DSER IN DECEMBER, RESPONSES ARE REQUIRED BY JUNE 30, 1994

RAI'S NEEDED BY APRIL 1, 1994

NEAR TERM SUBMITTALS



SSAR UPDATE - JANUARY 13, 1994

- RAI RESPONSE INCORPORATION

PRA UPDATE

- RAI RESPONSE INCORPORATION
- LEVEL 2 REQUANTIFICATION

RAI RESPONSES

MEETING 90 DAY TURNAROUND

CONCLUSIONS



PROGRESS HAS BEEN MADE IN PRA REVIEW

JOINT PLANNING ESSENTIAL FOR SUCCESS

AP600 DESIGN CERTIFICATION TESTS UNDERWAY

CLOSURE STILL REQUIRED ON TESTING PROGRAM

DETAILED SCHEDULES WILL BE FORMALLY SUBMITTED MARCH 16

RAIS REQUIRED BY APRIL 1 TO SUPPORT DSER



SBWR PROGRAM STATUS

P. W. Marriott Manager, Advanced Plant Technologies

March 14, 1994

Test Overview

- Testing Done by GE and International Technical Associates
 - University Tests
 - GIST
 - GIRAFFE
 - PANTHERS
 - PANDA

Tests in Progress

- PANTHERS/PCC
 - Facility in final construction and shakedown
 - Matrix testing designed to establish steady-state performance over a range of steam/air mixtures and conditions
 - GE/DOE Readiness-to-Test Assessment planned for April
 - Matrix testing will start in April
 - Quick-look reports scheduled for June
 - Test report scheduled for July
- PANTHERS/IC Testing Planned for 1995

PANTHERS/PCC on Track

Tests in Progress

PANDA

- Facility under construction
- Planned tests repeat GIRAFFE tests
- NRC-accelerated testing to be completed by December '94
 - Quick looks +30 days
 - Final Test Report +90 days
 - Responsive to NRC Request for 1 year acceleration of 3 tests
 - Actual acceleration will be about 9 months
 - Test conditions established

PANDA Schedule Aggressive

NRC Testing Letter (March 7, 1994)

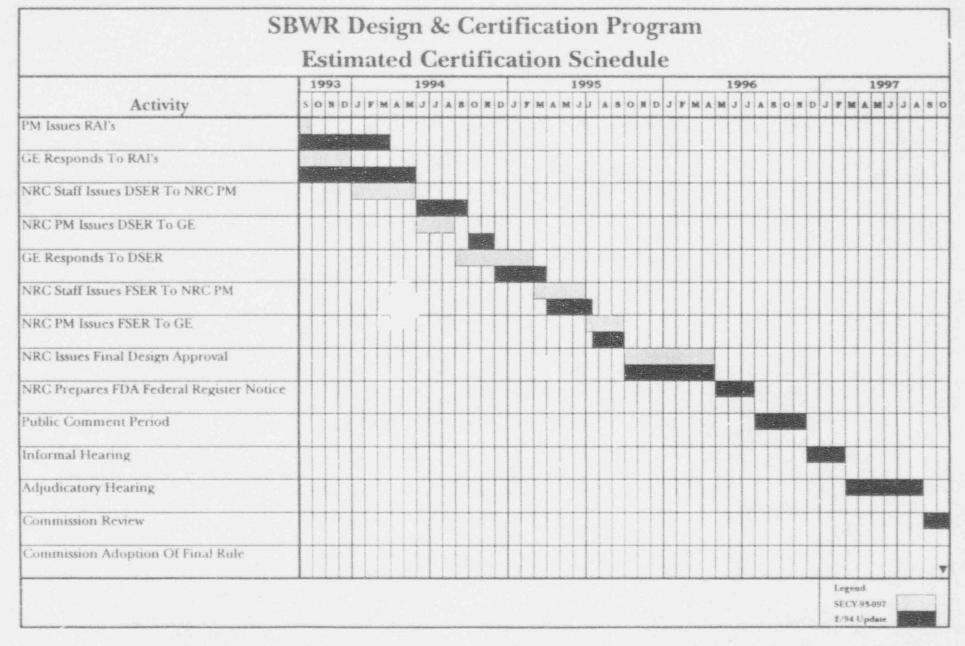
- Integral Systems Test required for certification (possibly modified existing facility)
- Additional PANDA tests required for certification
- Isolation Condenser tests required for certification
- Helium tests in integral test facility needed

- Potential Major Impact on Schedule and Cost
- Plan for Addressing Concerns will be Submitted by End of March

RAI Responses

- GE has now received over 500 RAIs.
 - Responses have been turned-around on negotiated schedules.
 - SBWR may be ~1/4th of the way through the SSAR RAI process.
- Use of "Similarity Document" in the RAI process may allow the same thorough review with half the resources.





Conclusion

- Test Programs: Plans may need modification but facilities are on course
- RAIs: Late start but good turnaround

May 1996 FDA Should Still Be Our Goal



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Chester Poslusny, Project Manager Standardization Project Directorate Associate Director for Advanced Reactors and License Renewal Office of Nuclear Reactor Regulation

Enclosures: As stated

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PROJECTS: GE Advanced Boiling Water Reactor (ABWR), GE Simplified Boiling

Water Reactor (SBWR), W AP600, and ABB-CE System 80+

SUBJECT: SUMMARY OF MEETING HELD ON MARCH 14, 1994, ON DESIGN

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(Original signed by S.Ninh for)

Chester Poslusny, Project Manager Standardization Project Directorate Associate Director for Advanced Reactors and License Renewal Office of Nuclear Reactor Regulation

Enclosures: As stated

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UNITED STATES NUCLEAR REGULATORY COMMISSION

WASHINGTON, D. C. 20555

March 29, 1994

Docket Nos. 52-001, 52-002, and 52-003, 52-004

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UNITED STATES NUCLEAR REGULATORY COMMISSION

WASHINGTON, D. C. 20555

March 29, 1994

ocket Nos. 52-001, 52-002, and 52-003, 52-004

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UNITED STATES NUCLEAR REGULATORY COMMISSION WASHINGTON, D. C. 20555

March 29, 1994

Docket Nos. 52-001, 52-002, and 52-003, 52-004

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(Original signed by S.Ninh for)

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Enclosures: As stated

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UNITED STATES NUCLEAR REGULATORY COMMISSION

WASHINGTON, D. C. 20555

March 29, 1994

Docket Nos. 52-001, 52-002, and 52-003, 52-004

APPLICANTS: GE Nuclear Energy (GE), Westinghouse Electric Corporation (W).

and ABB-Combustion Engineering, Inc. (ABB-CE)

PROJECTS: GE Advanced Boiling Water Reactor (ABWR), GE Simplified Boiling

Water Reactor (SBWR), W AP600, and ABB-CE System 80+

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UNITED STATES NUCLEAR REGULATORY COMMISSION

WASHINGTON D. C. 20555

March 29, 1994

Docket Nos. 52-001, 52-002, and 52-003, 52-004

APPLICANTS. GE Nuclear Energy (GE), Westinghouse Electric Corporation (W),

and ABB-Combustion Engineering, Inc. (ABB-CE)

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UNITED STATES NUCLEAR REGULATORY COMMISSION WASHINGTON D. C. 20555

SHINGTON, D. C. 20555

March 29, 1994

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Regarding the recent QA inspection, ABB-CE stated that an action plan is being developed to address the concerns raised by the inspection team. Specifically, ABB-CE is compiling a list of calculations for which full design verification will be performed prior to the staff's issuance of a FDA. ABB-CE proposed to meet with the staff during the week of March 21, 1994, to discuss their plan. The staff agreed to meet and reiterated its position that the scope of the calculations should include those that form the final design basis and will not be redone by a combined license applicant due to asprocured/as-built conditions. ABB-CE also discussed a proposed relief request from TS requirements for emergency diesel generator limiting conditions for operation while at power. The Advisory Committee on Reactor Safeguards (ACRS) recently questioned ABB-CE about why credit was not taken for the alternate ac source during power operations. ABB-CE stated that this issue will be discussed further with the staff.

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UNITED STATES NUCLEAR REGULATORY COMMISSION WASHINGTON, D. C. 20555

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W indicated that they have categorized the tests in the AP600 testing program into those tests that they felt were required for developing the draft safety evaluation report (Category 1 tests) and those that would be necessary to support the FSER and FDA (Category 2 tests), and felt that the staff should be able to develop its review schedules based on this categorization. The staff indicated that it had not had the opportunity to review W's categorizations, and that it concluded that there will be significant open items in the DSER in those technical areas that are affected by the test program. The staff further indicated that it could not complete its safety evaluation of the design until the staff had confidence in the codes, and were sure that the design was finalized. The staff stated that it would not predict in its DSER that the testing will demonstrate that the design is acceptable. Instead, the staff indicated that it would issue a supplement to the DSER to discuss the results of the testing program. That supplement would be issued after the testing was completed, and the staff had a chance to review test results and analysis, as discussed in the March 7, 1994, letter.

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(Original signed by S.Ninh for)

Chester Poslusny, Project Manager Standardization Project Directorate Associate Director for Advanced Reactors and License Renewal Office of Nuclear Reactor Regulation

Enclosures: As stated

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UNITED STATES NUCLEAR REGULATORY COMMISSION

WASHINGTON, D. C. 20555

March 29, 1994

Docket Nos. 52-001, 52-002, and 52-003, 52-004

APPLICANTS: GE Nuclear Energy (GE), Westinghouse Electric Corporation (W),

and ABB-Combustion Engineering, Inc. (ABB-CE)

PROJECTS: GE Advanced Boiling Water Reactor (ABWR), GE Simplified Boiling

Water Reactor (SBWR), W AP600, and ABB-CE System 80+

SUBJECT: SUMMARY OF MEETING HELD ON MARCH 14, 1994, ON DESIGN

CERTIFICATION REVIEWS

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