

A F F I R M A T I O N V O T E

RELEASED TO THE PDR

R E S P O N S E S H E E T

3/23/94

date

Initials

TO: SAMUEL J. CHILK, SECRETARY OF THE COMMISSION
FROM: THE CHAIRMAN
SUBJECT: SECY-94-038 - SUPPLEMENTAL ETHICS REGULATIONS

APPROVED (with comment) DISAPPROVED _____ ABSTAIN _____

NOT PARTICIPATING _____ REQUEST DISCUSSION _____

COMMENTS:

I approve the draft Federal Register Notice with the attached editorial correction.

9403250077 940304
PDR COMMS NRCC
CORRESPONDENCE PDR



SIGNATURE

March 4, 1994

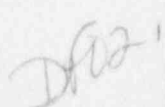
DATE

RELEASE VOTE

WITHHOLD VOTE

ENTERED ON "AS" YES NO _____

240000



(3) Entities manufacturing or selling nuclear power or test reactors;

(4) Architectural-engineering companies providing services relating to a nuclear power reactor;

(5) Applicants for, or holders of, a certified standard design;

(6) Entities licensed or regulated by the Commission to mill, convert, enrich, fabricate, store, or dispose of source or special nuclear material, or applicants for such licenses that are designated by the Commission because they are or will be substantially engaged in such nuclear fuel cycle or disposal activities; *byproduct,*

(7) The parent corporation of any subsidiary described in paragraphs (b)(1) - (b)(6) of this section; and

(8) An energy or utility sector investment fund which has more than 25% of its assets invested in securities issued by entities described in paragraphs (b)(1)-(b)(7) of this section.

(c) Definitions. For purposes of this section:

(1) A covered employee means:

(i) A member of the Commission;

(ii) The Inspector General of the NRC;

(iii) A member of the Senior Executive Service (SES);

(iv) An employee who holds a non-SES position above GG-15; and

(v) Any other employee, including a special Government employee, whose duties and responsibilities, as determined by the Commission or its designees, require application of the securities ownership prohibition contained in this section to ensure public confidence that NRC programs are conducted impartially and objectively. The positions of these employees are specified in NRC Management Handbook 7.7, which is available in the NRC Public Document Room; and

(2) The term "securities" includes all interests in debts or equity instruments. The term includes, without limitation, secured and unsecured bonds, debentures, notes, securitized assets and commercial paper, as well as all types of preferred and common stock. The term encompasses both current and contingent ownership interests, including any beneficial or legal