



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D. C. 20555

September 18, 1990

MEMORANDUM FOR: Thomas E. Murley, Director, NRR
Eric S. Beckjord, Director, NRR
Robert S. Bernero, Director, NMSS
William C. Parler, General Counsel
Thomas T. Martin, Regional Administrator, RI
Stewart D. Ebnetter, Regional Administrator, RII
A. Bert Davis, Administrator, RIII
Robert D. Martin, Administrator, RIV
John B. Martin, Regional Administrator, RV

FROM: Edward L. Jordan, Director
Office for Analysis and Evaluation
of Operational Data

SUBJECT: FINAL AGENDAS FOR BACKFITTING AND EVENT REPORTING
WORKSHOPS

Enclosed are the final agendas for the regional Backfitting and Event Reporting Workshops to be held in Atlanta on September 27-28 and in King of Prussia on October 1-2 (Enclosures 1 and 2). Only company or organizational affiliations are shown on the agendas for the utility and other industry speakers at the workshops. The names of the utility/industry individuals involved are not known at this time; they will be provided when NUMARC makes them available to us sometime before the workshops.

The names and organizational affiliations of all NRC personnel (including workshop speakers) who will comprise the NRC discussion panels in each region, are also provided in Enclosure 3. Please check this information for correctness; Enclosure 3 will be used by the Regional Administrator to make introductions during the Opening Remarks at the beginning of each workshop.

The dry run for workshop presentations by NRC headquarters speakers is still scheduled for Monday, September 24, at 1:00 p.m. in MNBB 3705. The deadline for providing briefing slides to the AEOD staff for transmittal to the regions for reproduction has been extended to COB, Thursday, September 20. Please note that we will need your slides then (if the Regions are to do the reproduction), even though the dry run for your presentation is scheduled later (i.e., September 24). There will simply not be enough turn around time following the dry run to permit the necessary handling and coordination required for reproduction by Region I or II. If you cannot meet the (extended) deadline of September 20, plan to bring 150 copies of your briefing slides with you to the workshops.

9009270244 900918
PDR ORG NEXD
PDC

NUMARC FILE CENTER COPY

OTM-6 1/11
AGENDA
X PER-21
JF03

Thank you for your cooperation in these matters; if there are any questions, please contact one of the AEOD staff listed below.

original /s/ by Denwood F. Ross, Jr.
/for/ Edward L Jordan, Director
Office for Analysis and Evaluation
of Operational Data

Enclosures:

1. Final Workshop Agendas (Region I)
2. Final Workshop Agendas (Region II)
3. NRC Workshop Panels

AEOD Contacts: J. Conran, FTS 492-9855
D. Allison, FTS 492-4148

Distribution:

CRGR C/F	F. Miraglia	L. Reyes, RII
CRGR S/F	- E. Rossi	J. Lankford, RII
PDR	- C. Berlinger	C. Pederson, RIII
H. Denton	P. McKee	J. Callan, RIV
J. Fouchard	L. Bush	J. Jaudon, RIV
T. Ingram	- N. Ervin	A. Johnson, RV
J. Sniezek	T. Cox	
J. Blaha (6)	L. Plisco	Central Files
E. Jordan	R. Burnett	
D. Ross	M. Smith	
J. Conran	- J. Higdon	
D. Allison	J. Heltemes	
L. Spessard	A. Dipalo	
- T. Novak	- M. Malsch	
G. Zech	- G. Mizuno	
- J. Rosenthal	S. Lewis	
- M. Williams	S. Crockett	
- E. Weiss	D. Holody, RI	
- J. Crooks	C. O'Rourke, RI	
- R. Tripathi		

OFC	: AEOD: CRGR	: DD: AEOD	: D: AEOD	:	:	:	:
NAME	: JConran	: DFRoss	: ELJordan	:	:	:	:
DATE	: 9/18/90	: 9/ /90	: 9/ /90	:	:	:	:

AGENDA FOR NRC BACKFITTING WORKSHOP (REGION II)

<u>Time</u>	<u>Topic</u>	<u>Presentation/Discussion</u>
9:00am	Opening Remarks Moderator's Comments Welcome/Introductions Opening Remarks	Allison Regional Administrator Jordan (AEOD)
9:30	NRC Process for Backfit Review Review of NRC Internal Process Summary of NUREG-1409 "Backfitting Guidelines"	Jordan (AEOD)
10:15	Break (15 min)	
10:30	Legal Aspects of Backfitting NRC Perspectives of Issues Industry Perspectives of Issues	Mizuno (OGC) NUMARC and NUBARG
11:00	Bulletins and Generic Letters Discussion of process for development and review of bulletins and generic letters with illustrative examples	Rossi (NRR)
12:00	Lunch (1 hr)	
1:00pm	Utility Perspectives and Processes Discussion of utility views on current backfit issues, including the need for improvement in the current process to identify, evaluate and prioritize safety issues for backfit	Duke Power and Entergy
2:00	IPE/IPEE (Severe Accident) Discussion of closure status and use of methodologies for evaluation and integration of backfit issues	Jordan (AEOD)
2:30	Regulatory and Backfit Analyses Discussion of planned improvements to NRC internal guidance	Heltemes (RES)
3:00	Break (15 min)	

<u>Time</u>	<u>Topic</u>	<u>Presentation/Discussion</u>
3:15	Rulemaking vs Issuance of NRC Staff Positions/Guidance for Backfitting Discussion of audience views/comments on relative merits of each approach	Discussion by NRC Panel
3:45	Backfit Appeal Process Discussion of experience to date (plant-specific and generic appeals)	Jordan
4:00	NRC Panel Discussion and Wrapup Discussion of followup questions and comments on any/all agenda topics	Discussion by NRC Panel
5:00	Adjourn Workshop	

AGENDA FOR NRC EVENT REPORTING WORKSHOPS

<u>Time</u>	<u>Topic</u>	<u>Presentation/Discussion</u>
9:00am	Opening Remarks Moderator's Comments Welcome/Introductions Opening Remarks	Novak (AEOD) NRC Regional Administrator Jordan/Ross (AEOD)
9:30	Immediate Notification (10 CFR 50.72) Overview of purpose and reporting criteria, NRC use and experience with 50.72 reporting	Rossi (NRR)/Weiss (AEOD)
10:00	Break (15 min)	
10:15	NRC Panel Discussion - Industry feedback on 50.72 reporting	
11:00	LER System (10 CFR 50.73) Overview of purpose and reporting criteria, NRC use, history and feedback on 50.73 reporting	Williams/Rosenthal (AEOD)
11:30	Lunch (1½ hr.)	
1:00pm	NRC Panel Discussion - Industry feedback on 50.73 reporting	
2:00	Current rulemaking/Guidance revision	Crooks/Tripathi (AEOD)
2:30	Break (15 min)	
2:45	Safeguards Events (10 CFR 73.71) Overview of purpose and reporting criteria, NRC use, history and feedback on reporting	McKee(NRR)/Higdon(NMSS)
3:15	NRC Panel Discussion - Industry feedback on 73.71 reporting	
3:45	Break (15 min)	
4:00	Summary Discussion	Novak (AEOD)

AGENDA FOR NRC BACKFITTING WORKSHOP (REGION I)

<u>Time</u>	<u>Topic</u>	<u>Presentation/Discussion</u>
9:00am	Opening Remarks Moderator's Comments Welcome/Introductions Opening Remarks	Allison Regional Administrator Ross (AEOD)
9:30	NRC Process for Backfit Review Review of NRC Internal Process Summary of NUREG-1409 "Backfitting Guidelines"	Ross (AEOD)
10:15	Break (15 min)	
10:30	Legal Aspects of Backfitting NRC Perspectives of Issues Industry Perspectives of Issues	Malsch (OGC) NUMARC and NUBARG
11:00	Bulletins and Generic Letters Discussion of process for development and review of bulletins and generic letters with illustrative examples	Ross (NRR)
12:00	Lunch (1 hr)	
1:00pm	Utility Perspectives and Processes Discussion of utility views on current backfit issues, including the need for improvement in the current process to identify, evaluate and prioritize safety issues for backfit	New York Power Authority and Northeast Utilities
2:00	IPE/IPEE (Severe Accident) Discussion of closure status, and use of methodologies for evaluation and integration of backfit issues	Ross (AEOD)
2:30	Regulatory and Backfit Analyses Discussion of planned improvements to NRC internal guidance	Heltemes (RES)
3:00	Break (15 min)	

<u>Time</u>	<u>Topic</u>	<u>Presentation/Discussion</u>
3:15	Rulemaking vs Issuance of NRC Staff Positions/Guidance for Backfitting Discussion of audience views/comments on relative merits of each approach	Discussion by NRC Panel
3:45	Backfit Appeal Process Discussion of experience to date (plant-specific and generic appeals)	Ross
4:00	NRC Panel Discussion and Wrapup Discussion of followup questions and comments on any/all agenda topics	Discussion by NRC Panel
5:00	Adjourn Workshop	

AGENDA FOR NRC EVENT REPORTING WORKSHOPS

<u>Time</u>	<u>Topic</u>	<u>Presentation/Discussion</u>
9:00am	Opening Remarks Moderator's Comments Welcome/Introductions Opening Remarks	Novak (AEOD) NRC Regional Administrator Jordan/Ross (AEOD)
9:30	Immediate Notification (10 CFR 50.72) Overview of purpose and reporting criteria, NRC use and experience with 50.72 reporting	Rossi (NRR)/Weiss (AEOD)
10:00	Break (15 min)	
10:15	NRC Panel Discussion - industry feedback on 50.72 reporting	
11:00	LER System (10 CFR 50.73) Overview of purpose and reporting criteria, NRC use, history and feedback on 50.73 reporting	Williams/Rosenthal (AEOD)
11:30	Lunch (1½ hr.)	
1:00pm	NRC Panel Discussion - Industry feedback on 50.73 reporting	
2:00	Current rulemaking/Guidance revision	Crooks/Tripathi (AEOD)
2:30	Break (15 min)	
2:45	Safeguards Events (10 CFR 73.71) Overview of purpose and reporting criteria, NRC use, history and feedback on reporting	McKee(NRR)/Higdon(NMSS)
3:15	NRC Panel Discussion - Industry feedback on 73.71 reporting	
3:45	Break (15 min)	
4:00	Summary Discussion	Novak (AEOD)

SPEAKERS AND NRC PANEL MEMBERS
FOR
BACKFITTING AND EVENT REPORTING WORKSHOPS

REGION II (September 27-28)

BACKFITTING WORKSHOP: (September 27)

NRC Discussion Panel

Stu Ebnetter, Administrator, RII
Ellis Merschoff, Dep. Director, Div. of Reactor Proj., RII
Pierce Skinner, Sr. Res. Inspector (Ocone), RII
Ed Jordan, Director, AEOD/HQ and Chairman, CRGR
Ernie Rossi, Director, Div of Op. Events Assessment, NRR/HQ
Jack Heltemes, Dep. Director for Generic Iss. & Rulemaking, RES/HQ
Gary Mizuno, OGC/HQ
Denny Allison, AEOD/HQ (CRGR Staff)

EVENT REPORTING WORKSHOP: (September 28)

NRC Discussion Panel

Stu Ebnetter, Administrator, RII
Luis Reyes, Director, Div. of Reactor Proj., RII
Pierce Skinner, Sr. Res. Inspector (Ocone), RII
Ed Jordan, Director, AEOD/HQ and Chairman, CRGR
Tom Novak, Director, Div. of Safety Programs, AEOD/HQ
Ernie Rossi, Director, Div. of Op. Events Assessment, NRR/HQ
Mark Willaims, Chief, Trends & Patterns Anal. Br., AEOD/HQ
Jack Crooks, Trends & Patterns Anal. Br., AEOD/HQ
Eric Weiss, Incident Response Branch, AEOD/HQ
Bob Dube, Safeguards Branch, NRR/HQ
Nancy Ervin, Safeguards Branch, NRR/HQ
Joan Higdon, International Safeguards Branch, NMSS/HQ
Geary Mizuno, OGC/HQ
Denny Allison, AEOD/HQ (CRGR Staff)

Region I (October 1-2)

BACKFITTING WORKSHOP: (October 1)

NRC Discussion Panel

Tim Martin, Administrator, RI
Bill Hehl, Director, Div. of Reactor Proj., RI
Randy Blough, Chief, Projects Branch #2, RI
Paul Swetland, Section Chief, DRP/RI
Denny Ross, Dep. Director, AEOD/HQ
Martin Malsch, Dep. Gen. Counsel for Lic. & Reg., OGC/HQ
Ernie Rossi, Director, Div. of Op. Events Assessment, NRR/HQ
Jack Heltemes, Dep. Director for Generic Iss. & Rulemaking, RES
Denny Allison, AEOD/HQ (CRGR Staff)

EVENT REPORTING WORKSHOP: (October 2)

NRC Discussion Panel

Bill Hehl, Director, Div. of Reactor Proj., RI
Randy Blough, Chief, Projects Branch #2, RI
Paul Swetland, Section Chief, DRP/RI
Denny Ross, Dep. Director, AEOD/HQ
Martin Malsch, Dep. Gen. Counsel for Lic. & Reg., OGC/HQ
Ernie Rossi, Director, Div. of Op. Events Assessment, NRR/HQ
Tom Novak, Director, Div. of Safety Programs, AEOD/HQ
Jack Rosenthal, Chief, Reactor Operations Anal. Br., AEOD/HQ
Jack Crooks, Trends & Patterns Anal. Br., AEOD/HQ
Eric Weiss, Incident Response Branch, AEOD/HQ
Loren Bush, Safeguards Branch, NRR/HQ
Nancy Ervin, Safeguards Branch, NRR/HQ
Joan Higdon, International Safeguards Branch, NMSS/HQ
Denny Allison, AEOD/HQ (CRGR Staff)