



**UNITED STATES**  
**NUCLEAR REGULATORY COMMISSION**  
WASHINGTON, D.C. 20555-0001

**OFFICE OF THE  
INSPECTOR GENERAL**

March 3, 2020

**MEMORANDUM TO:** Margaret M. Doane  
Executive Director for Operations

**FROM:** Dr. Brett M. Baker */RA/*  
Assistant Inspector General for Audits

**SUBJECT:** AUDIT OF THE U.S. NUCLEAR REGULATORY  
COMMISSION'S OVERSIGHT FOR ISSUING  
CERTIFICATES OF COMPLIANCE FOR RADIOACTIVE  
MATERIAL PACKAGES (OIG-17-A-21)

**REFERENCE:** DIRECTOR, OFFICE OF NUCLEAR MATERIAL SAFETY  
AND SAFEGUARDS, MEMORANDUM DATED OCTOBER  
31, 2019

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated October 31, 2019. Based on this response, recommendation 2 is closed. Recommendations 1, 3, and 4 were previously closed. All recommendations related to this report are now closed.

If you have any questions or concerns, please call me at (301) 415-5915, or Michael Blair, Team Leader at (301) 415-8399.

Attachment: As stated

cc: C. Haney, OEDO  
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## Audit Report

### AUDIT OF U.S. NUCLEAR REGULATORY COMMISSION'S OVERSIGHT FOR ISSUING CERTIFICATES OF COMPLIANCE FOR RADIOACTIVE MATERIAL PACKAGES

(OIG-17-A-21)

#### Status of Recommendations

Recommendation 2: Document and communicate to stakeholders NRC's analysis results identifying the bases for an appropriate term for Part 71 certificates of compliance.

Agency Response Dated  
October 31, 2019:

The staff completed its analysis of the basis for of an appropriate term for Part 71 certificates of compliance and published a notice in the Federal Register on July 8, 2019 (84 FR 32479) announcing the availability of the basis document. The Basis Document is publicly available in ADAMS at Accession No. ML19140A059. The staff updated internal division instructions for licensing to include a discussion of the expiration term and its basis. The staff recommends that this action be closed.

OIG Analysis:

OIG reviewed the Basis Document which describes the appropriate term for Part 71 certificates of compliance, including a discussion of the expiration term and its basis. This recommendation is therefore closed.

**Status:**

Closed.