

TO: John Walker
From: Charles Hayward *cr*
Date: 4/9/92
Subject: Data Extracts

As part of Cotton & Company's engagement at NRC we are taking data downloads from computer systems and using Audit Software to achieve audit goals.

The General Ledger data provided on 4/1/92 indicated that there were 18,416 records for October 1990. However the augmented data run of 4/7/92 came up with 18,418 records. The 4/7/92 run should have an identical number of records as 4/1/92 since the same records for the same time period are being examined.

Tony LoSecco tells me that doubts about record counts are symptomatic of the problems with data integrity we had at SBA's audit. At SBA, we spent weeks resolving this problem. Tony has ideas about how to resolve this, and is available to help.

It is vital that the record counts be reconciled before we can move beyond the current phase of assessing data integrity. In view of budget considerations I prefer that NRC (Frank Johnson, perhaps) tell us with certainty October 1990's exact record count.

NRC FOI M 366 (5-FOI) NRCM 0279		U.S. NUCLEAR REGULATORY COMMISSION		NRC MAILING ADDRESS (Request From)	
FACSIMILE TRANSMITTAL REQUEST				DATE	RETURN ORIGINAL TO SENDER
				10/22/92	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
MESSAGE TO					
NAME	FACSIMILE PHONE NO.	VERIFICATION PHONE NO.	NO. OF PAGES (Including Transmittal instructions)		
Joe Pegnato	202-275-3658		5		
MESSAGE FROM					
NAME	FACSIMILE PHONE NO.		VERIFICATION PHONE NO.		
Mary Lynn Scott	High-speed (up to 2 min.) Low-speed (4-6 min.)		301-492-7371		
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Phillips	301-492-4800	P-902	Overnight 4 Hours	2 Hours 1 Hour	<input checked="" type="checkbox"/> Immediate
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			OCT 22 11:22 AM '92		

GPO 873 184

I have been authorized to send a copy of the attached correspondence to you. Has any decision been reached at GAO about releasing copies of notes on information gathering telephone calls made by GAO staff to COTR's for other contracts with Cotton and Company? My fax is 301-492-8110.

Mary Lynn Scott

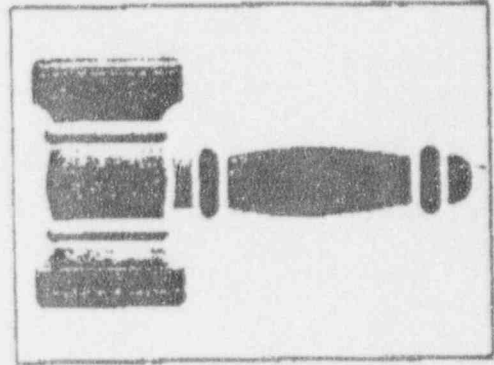
C/H

App D PUI (1)



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D. C. 20555

OGC ADMIN FACSIMILE SHEET



FAX NUMBERS: (301) 504-2960
FTS: 8-301-504-2960

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1. NAME MARY LYNN SCOTT
LOCATION PHILLIPS
FAX # 492 9065 VERIFICATION _____

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FROM: W. K. K. OGC

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FACSIMILE TRANSMITTAL REQUEST

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92 OCT 27 PM 12:54

Please call me

92 OCT 27

MARY LYNN SCOTT

x28788

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February 24, 1993

Mr. Donnie H. Grimsley
Director
Division of Freedom of Information
and Publications Services
Mail Stop P-370
Office of Administration
U.S. Nuclear Regulatory Commission
Washington, D.C. 20555

FREEDOM OF INFORMATION
ACT REQUEST

FOIA-93-130
Rec'd 3-4-93

Re: Freedom of Information Act Request

Dear Mr. Grimsley:

This is a request for records from the Nuclear Regulatory Commission ("NRC"), pursuant to the Freedom of Information Act ("FOIA"), 5 U.S.C. § 552.

We request a legible copy of the following records:^{1/}

1. Any and all documents relating to any contractor performance information system established or used by the NRC, including but not limited to any procedure for contractor performance ratings, notice to contractors of those ratings, and any rights and procedures for appeal by a contractor of such ratings.
2. Any and all documents relating in any way to communications between John R. Walker, Task Monitor, Division of Accounting and Finance, Office of the Controller, NRC, and representatives of the General Accounting Office ("GAO"), the Department of Labor ("DOL"), or any other government agency regarding Cotton & Company or the performance by Cotton & Company of a fiscal year 1991 auditability study for the NRC (Contract J-9-G-2-0014) ("the Contract"), including but not limited to (i) statements by Mr.

^{1/} "Records" or "documents," as used herein, include all correspondence, memoranda, notes, records of telephone conversations, and any other materials responsive to the request that have been memorialized in writing.

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Mr. Donnie H. Grimsley

February 24, 1993

Page 2

Walker that Cotton & Company did not have adequate technical expertise in government auditing and could not deliver what it promised, (ii) statements criticizing Cotton & Company for not including Arthur Andersen & Company as a subcontractor; (iii) statements regarding Cotton & Company's budgets and concern for budgets; (iv) statements regarding whether NRC would use Cotton & Company on future contracts; and (v) statements regarding the acceptability of Cotton & Company's work under the Contract.

3. Any and all documents relating in any way to communications between representative of the NRC other than Mr. Walker and representatives of the GAO, the DOL, or any other government agency regarding Cotton & Company and its performance under the Contract.
4. Any and all records regarding Cotton & Company's performance of the Contract, including but not limited to contractor rating forms.
5. Any and all documents relating to the NRC's acceptance of Cotton & Company's auditability study report for fiscal year 1991, which Cotton & Company prepared for the NRC pursuant to the Contract.
6. Any and all documents indicating that Cotton & Company was advised prior to award of the Contract of the factors that NRC would use in evaluating Cotton & Company's performance of the auditability survey task.
7. Any and all documents indicating or reflecting that Cotton & Company was informed of (i) any rating of its performance under the Contract, (ii) the opportunity to appeal any performance rating, and (iii) any applicable appeal procedures of the NRC regarding performance ratings.
8. Any and all documents relating in any way to any review by the NRC of Mr. Walker's actions regarding Cotton & Company, including but not limited to statements by Mr. Walker to GAO, DOL, or to any other government agency regarding Cotton & Company and its performance under the Contract.

PATTON, BOGGS & BLOW

Mr. Donnie H. Grimsley
February 24, 1993
Page 3

9. Any and all documents reflecting any communications between representatives of the GAO and representatives of the NRC regarding the basis for any decision by GAO to award a contract for audit services in the summer of 1992 to a firm other than Cotton & Company.


We ask that you provide copies of the requested records to us within ten days, as is required by the FOIA. If you encounter any difficulty in locating, reviewing, or releasing any of the above requested records within the ten day period, we ask that you proceed to make a partial release to us of those records that you are able to produce within ten days.

With regard to any record responsive to this request that you determine not to release, we ask that you identify each such record or portion thereof that is withheld and explain the basis of the withholding in a Vaughn index.

We are willing to pay reasonable search and copying fees of up to \$100.00. Please inform us if you anticipate that the fees will exceed this figure.

Thank you for your cooperation in processing this request expeditiously. Please call me at the above number if you need any additional information in order to respond to or process this request.

Very truly yours,



Judith Bartnoff

cc: Mr. David Cotton