



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D. C. 20555

AD 80-2
PDR

APR 27 1993

MEMORANDUM FOR: Rajender Auluck
Office of Nuclear Regulatory Research

FROM: Michael T. Lesar, Chief
Rules Review Section
Rules Review and Directives Branch
Division of Freedom of Information
and Publications Services
Office of Administration

SUBJECT: REGULATORY HISTORY PROCEDURES - TRAINING AND
QUALIFICATION OF NUCLEAR POWER PLANT
PERSONNEL (10 CFR PARTS 50 AND 52)

In a memorandum dated April 5, 1985 (copy attached), the Executive Director for Operations established procedures for ensuring that a complete regulatory history is compiled for each rulemaking action undertaken by an office under his purview. These procedures are applicable to any proposed or final rule submitted for publication in the Federal Register after April 5, 1985. Briefly, these procedures require that--

Documents of central relevance to a rulemaking be maintained, and identified for a source of access; and

An index of documents comprising the regulatory history be developed and submitted to the Rules Review and Directives Branch (RRDB) within 60 days after the rulemaking is completed.

The final rulemaking which requires each applicant for and each holder of a license to operate a nuclear power plant to establish, implement, and maintain a training program for nuclear power plant personnel based on a systems approach to training, was published in the Federal Register on April 26, 1993 (58 FR 21905). You should forward the completed index for the notice to RRDB by June 28, 1993. In your transmittal memorandum to NUDOCS, please include the title of the rule, the complete Federal Register citation, and a listing of all parts affected by the document.

To assist you in preparing the list of documents centrally relevant to this rule, place the designator "AD80-2" in the upper right-hand corner of each document, including the transmittal memorandum, that you send to the Nuclear Document System

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APR 27 1993

(NUDOCS), Mail Stop P1-37. Each document transmitted to NUDOCs that can be made available to the public should be marked "PDR" in the upper right-hand corner of the front page. Documents that cannot be made available to the public should be marked "CF" (Central Files) in the upper right-hand corner of the first page. In addition, CF documents should be grouped after the PDR documents in order to streamline the microfiche process.

You should be sure to check all attachments to each document marked "PDR" to ensure that no documents to be withheld are inadvertently released. Examples of documents to be withheld (which sometimes are attached to documents that are released routinely) include Commission vote sheets and SECY Papers. In no case should a Commission vote sheet be released. Under Commission procedures, release of SECY Papers requires the concurrence of a majority of the Commissioners. Accordingly, release of any SECY Paper must be coordinated with the Office of the Secretary. All Commission vote sheets, and any SECY Paper that is to be withheld, should be marked "Central Files only."

Approximately two weeks after you submit the documents to the NUDOCs you should receive a computer printout listing the documents you submitted to the NUDOCs. If you do not receive the printout within two or three weeks, call the NUDOCs hotline, extension 28603, and request the printout. You should forward a copy of this printout, which comprises the regulatory history index, to the RRDB.

If you have any questions, please call me on 492-7758, or Betty Golden of my staff on 492-4268.



Michael T. Lesar, Chief
Rules Review Section
Rules Review and Directives Branch
Division of Freedom of Information
and Publications Services
Office of Administration

Attachment: As stated



UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D. C. 20558

APR 05 1985

MEMORANDUM FOR:

Robert B. Minogue, Director
Office of Nuclear Regulatory Research

Harold R. Denton, Director
Office of Nuclear Reactor Regulation

John G. Davis, Director
Office of Nuclear Material Safety & Safeguards

James M. Taylor, Director
Office of Inspection and Enforcement

Patricia G. Norry, Director
Office of Administration

Guy H. Cunningham, III
Executive Legal Director

G. Wayne Kerr, Director
Office of State Programs

Clemens J. Heltemes, Jr., Director
Office for Analysis and Evaluation of Operational Data

James R. Shea, Director
Office of International Programs

FROM:

William J. Dircks
Executive Director for Operations

SUBJECT:

REGULATORY HISTORY PROCEDURES

In a February 15, 1985 memorandum to Chairman Palladino, issued jointly with the Office of General Counsel, I informed the Chairman that procedures would be developed for the creation of a regulatory history of each proposed and final rulemaking initiated by the offices reporting to the EDO. This memorandum outlines the individual office responsibilities for the implementation of the regulatory history procedures. The objective of the regulatory history is to ensure that all documents of central relevance to a particular rulemaking are identified and accessible. This will facilitate the resolution of any issues that may arise concerning the interpretation of a particular regulation. The following procedures will be applicable to any proposed or final rule submitted to the Federal Register for publication after the date of this memorandum. The Rules and Procedures Branch, Office

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of Administration, will provide further information on these procedures, as necessary, in the periodic revision of the NRC Regulations Handbook, NUREG/BR-0053.

Program Office Responsibilities

Each office that sponsors a proposed or final rulemaking shall ensure that:

1. all documents of central relevance to the factual basis, coverage, meaning, and historical development of the rulemaking are identified, and maintained during the course of the rulemaking. Although the Project Manager's judgment will be necessary in some instances to determine whether specific documents are of "central relevance" to a rulemaking, the following documents should be included:
 - the Office of Nuclear Regulatory Research (RES) Independent Review Package (containing the RES recommendations on whether to proceed with the rulemaking, the sponsoring Office's recommendation to proceed with rulemaking, and the evaluation of the rulemaking proposal against the six criteria required for the RES Independent Review)
 - prior drafts of the rulemaking transmitted for interoffice review
 - formal Office comments on the drafts submitted for interoffice review
 - source documents relied upon in preparing the draft rule (e.g. research studies, consensus standards endorsed in the draft rule)
 - documents which synthesize or organize data in a form relied upon in the draft rule
 - supporting documentation such as the regulatory analysis, the Cost Analysis Group Report, environmental assessment or environmental impact statement, regulatory flexibility analysis, and OMB Clearance Package
 - public comments submitted in response to a Petition for Rulemaking, an Advanced Notice of Proposed Rulemaking, or a Notice of Proposed Rulemaking
 - Committee to Review Generic Requirements (CRGR) minutes and recommendations concerning the draft rule
 - the ACRS comments on the draft rule

- . the Commission Paper transmitting the draft rule to the Commission or the memorandum transmitting the rule to the EDO for approval
- . the transcript or summary of the Commission meeting or briefing on consideration of the draft rule
- . the Staff Requirements memo containing the Commission recommendations on the draft rule
- . the Federal Register Notice for the rule (Petition for Rulemaking, Advanced Notice of Proposed Rulemaking, Notice of Proposed Rulemaking, Final Rule, or any other Federal Register notice issued concerning the rule)
- . any other documents of central relevance (e.g. interagency correspondence, agreement state correspondence)

Documents that fall within any of the above categories must be typewritten rather than handwritten to permit conversion into microfiche by the Document Control System (DCS). If the only record of substantive office review comments on a draft rule are contained as handwritten annotations on the draft itself, the Project Manager should summarize these comments in a typed note to the file.

2. At the completion of a particular rulemaking action, i.e. publication of the proposed or final rule, the project manager shall compile an index of all documents that comprise the regulatory history file. The Project Manager is responsible for identifying a source of access for each document listed. For internal documents, this will require the Project Manager to ascertain whether each document listed is available in the DCS. The Project Manager must ensure that any internal document not already available in the DCS is placed in the DCS, and that the record's accession number is identified for each document on the index. In the case of published documents (e.g. NUREGS, NTIS publications, books, articles, etc.), it will be sufficient to include the bibliographic citation for that document. The Project Manager shall forward the completed index to the Rules and Procedures Branch, Office of Administration, within sixty days after the completion of the rulemaking. The title of the index, and the file, should be the name of the rule and applicable NRC citation (e.g. 10 CFR Part 50) as it appears in the Federal Register notice, the Federal Register citation and date of publication.

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Office of Administration

The Rules and Procedures Branch, Office of Administration, will be responsible for ensuring that a completed index of the documents comprising the regulatory history has been compiled for each proposed and final rulemaking. The Rules and Procedures Branch is also responsible for retaining the index and for disseminating copies of the index to interested NRC offices.

(Signed) William J. Dircks

William J. Dircks
Executive Director for Operations

cc: Herzel H.E. Plaine, GC

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UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555

January 8, 1992

AD 80-2
PDR

MEMORANDUM FOR: Morton Fleishman
Office of Nuclear Regulatory Research

FROM: Michael T. Lesar, Chief
Rules Review Section
Regulatory Publications Branch
Division of Freedom of Information
and Publications Services
Office of Administration

SUBJECT: REGULATORY HISTORY PROCEDURES - TRAINING AND QUALIFICATION
OF NUCLEAR POWER PLANT PERSONNEL

In a memorandum dated April 5, 1985 (copy attached), the Executive Director for Operations established procedures for ensuring that a complete regulatory history is compiled for each rulemaking action undertaken by an office under his purview. These procedures are applicable to any proposed or final rule submitted for publication in the Federal Register after April 5, 1985. Briefly, these procedures require that--

Documents of central relevance to a rulemaking be maintained, and identified for a source of access; and

An index of documents comprising the regulatory history be developed and submitted to the Regulatory Publications Branch (RPB) within 60 days after the rulemaking is completed.

The rule that is proposing to require each applicant for and each holder of a license to operate a nuclear power plant to establish, implement, and maintain a training program for nuclear power plant personnel based on a systems approach to training was published in the Federal Register on January 7, 1992 (57 FR 537). You should forward the completed index for the proposed rule to RPB by March 9, 1992. In your transmittal memorandum to NUDOCS, please include the title of the rule, the complete Federal Register citation, and a listing of all parts affected by the document.

To assist you in preparing the list of documents centrally relevant to this rule, place the designator "AD80-1" in the upper right-hand corner of each document, including the transmittal memorandum, that you send to the Nuclear Document System (NUDOCS), Mail Stop P1-37. Each document transmitted to NUDOCS that can be made available to the public should be marked "PDR" in the upper right-hand corner of the front page. Documents that cannot be made available to the public should be marked "CF" (Central Files) in the upper right-hand corner of the first page.

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January 8, 1992

In addition, CF documents should be grouped after the PDR documents in order to streamline the microfiching process.

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If you have any questions, please call me on extension 27758, or Betty Golden of my staff on extension 24268.



Michael T. Lesar, Chief
Rules Review Section
Regulatory Publications Branch
Division of Freedom of Information
and Publications Services
Office of Administration

Attachment:
As stated

SEP 23 1991

NOTE TO: Nuclear Document System (NUDOCS), Mail Stop P1-37

FROM: Joseph J. Mate
Regulation Development Branch,
Division of Regulatory Applications,
Office of Nuclear Regulatory Research

SUBJECT: REGULATORY HISTORY INDEX

In accordance with Michael T. Lesar's memorandum of September 6, 1991, I am forwarding the Regulatory History Index for the final rule that was published in the Federal Register on August 16, 1991.

It should be noted that document #31 contains an attachment which is a SECY Paper and that document (Attachment to the Denton Memorandum) is marked for Central Files but is included behind the Denton Memorandum.

If there are any questions, please call me on extension 23795.

Joseph J. Mate
Division of Regulatory Applications

Enclosure:
As Stated

cc: Sher Bahadur

9201/30241

REGULATORY HISTORY INDEX

10 CFR Parts 20, 30, 40, and 70 Notifications of Incidents

1. Federal Register Notice (55 FR 19890) dated Monday, May 14, 1990.
2. List of People requesting a copy of the Draft Regulatory Analysis, undated.
3. List of People and or organizations commenting on the Federal Register Notice for the proposed rule. (55 FR 19890 May 14, 1990).
 - A. University of California, Los Angeles, - School of Medicine - dated May 16, 1990.
 - B. Nuclear Information and Resource Service dated June 4, 1990.
 - C. Case Western Reserve University dated June 12, 1990.
 - D. AT&T Bell Laboratories dated June 18, 1990.
 - E. University of Virginia - Environmental Health and Safety - dated June 22, 1990.
 - F. Vermont Yankee Nuclear Power Corporation dated June 29, 1990.
 - G. University Hospitals of Cleveland dated June 27, 1990.
 - H. William Beaumont Hospital - Nuclear Medicine - dated June 26, 1990.
 - I. Richard S. Bredvad undated letter.
 - J. Advanced Nuclear Fuels Corporation dated July 12, 1990.
 - K. West Virginia University Hospitals - Radiology Department - dated July 18, 1990.
 - L. Department of Veterans Affairs dated July 20, 1990.
 - M. Allied Signal dated July 20, 1990.
 - N. United States Department of Commerce - National Institute of Standards and Technology - dated July 16, 1990.
 - O. American College of Nuclear Physicians dated July 27, 1990.
 - P. MAGNAFLUX Quality Services dated July 23, 1990.
 - Q. OHIO CITIZENS FOR RESPONSIBLE ENERGY, INC. dated July 23, 1990.

- R. Duke Power dated July 24, 1990.
- S. Sequoyah Fuels dated July 24, 1990.
- T. NATIONAL ORGANIZATION OF TEST, RESEARCH, AND TRAINING REACTORS dated July 24, 1990.
- U. Steve Cima dated July 17, 1990
- V. NUCLEAR MANAGEMENT AND RESOURCES COUNCIL (NUMARC) dated July 30, 1990.
- W. Commonwealth Edison dated July 30, 1990.
- X. American College of Radiology dated July 30, 1990.
- Y. Amersham Corporation dated July 27, 1990.
- Z. University of California, Los Angeles - Community Safety/Radiation Safety - dated July 30, 1990.
- AA. Westinghouse Electric Corporation dated dJuly 30, 1990.
- AB. Ohmart Corporation dated July 30, 1990.
- AC. William R. Mowry dated July 30, 1990.
- AD. Louisiana Energy dated July 30, 1990.
- AE. Vanderbilt University - Radiation and Environmental Safety Department dated July 30, 1990.
- AF. Newport News Shipbuilding dated July 30, 1990.
- AG. E.I. DUPONT DE NEMOURS & CO. (INC.) - Medical Products Department - dated July 26, 1990.
- AH. 3 M - Medical Department - dated July 30, 1990.
- AI. TENNESSEE VALLEY AUTHORITY dated July 31, 1990.
- AJ. Union Electric dated August 1, 1990.
- AK. MERCK SHARP & DOHME RESEARCH LABORATORIES dated July 27, 1990.
- AL. General Electric - Nuclear Fuel and Components Manufacturing - dated July 30, 1990.
- AM. Pacific Gas and Electric Company dated dJuly 31, 1990.
- AN. Department of the Navy dated August 8, 1990.

4. Notice of Office of Management and Budget Action for 10 CFR Part 70 dated July 25, 1990.
5. Notice of Office of Management and Budget Action for 10 CFR Part 30 dated July 25, 1990.
6. Notice of Office of Management and Budget Action for 10 CFR Part 40 dated July 25, 1990.
7. Notice of Office of Management and Budget Action for 10 CFR Part 20 dated August 23, 1990.
8. Letter from Hugh L. Thompson, Deputy Executive Director for Nuclear Materials Safety, Safeguards, and Operations Support to St. Louis Testing Laboratories dated August 23, 1990.
9. FAX from Joe Mate (RES) for Jim Meyers (GPA) - State Programs Division dated November 6, 1990.
10. Memorandum from Sher Bahadur (RES) to Edward Baker III (OE), Richard E. Cunningham (NMSS), Thomas M. Novak (AEOD), Frank Congel (NRR), Carlton C. Kammerer (GPA), and Stuart A. Treby (OGC) dated October 24, 1990 subject PUBLIC COMMENTS ON THE PROPOSED RULE, NOTIFICATION OF INCIDENTS.
11. FAX from Joe Mate (RES) to John Buchanan (NRR) dated October 30, 1990.
12. Memorandum from LeMoine J. Cunningham (NRR) to Sher Bahadur (RES) dated November 7, 1990 subject PUBLIC COMMENTS ON THE PROPOSED RULE, NOTIFICATION OF INCIDENTS.
13. Note from Kevin Ramsey (NMSS) to Joe Mate (RES) dated November 8, 1990 subject IMNS Comments and Recommendations on Responses to Public Comments and the Final Rule on Notification of Incidents.
14. Memorandum from Thomas M. Novak (AEOD) to Sher Bahadur (RES) dated November 13, 1990 subject PUBLIC COMMENTS ON THE PROPOSED RULE, NOTIFICATION OF INCIDENTS.
15. Note from Michael Finkelstein (OGC) to Joe Mate (RES) dated November 14, 1990 subject OGC comments on the package finalizing the Notification of Incidents Rulemaking.
16. Memorandum from John Hickey (NMSS) to Sher Bahadur (RES) dated November 15, 1990 subject RESPONSES TO PUBLIC COMMENTS ON THE PROPOSED RULE FOR NOTIFICATION OF INCIDENTS.
17. Memorandum from Vandy L. Miller (GPA) to Sher Bahadur (RES) dated November 15, 1990 subject REQUESTS FOR COMMENTS ON THE NOTIFICATION OF INCIDENTS RULE.
18. Memorandum from Sher Bahadur (RES) to Edward Baker III (OE), Richard E. Cunningham (NMSS), Thomas M. Novak (AEOD), Frank Congel (NRR), Carlton

- C. Kammerer (GPA), and Stuart A. Treby (OGC) dated November 14, 1990 subject CANCELLATION OF MEETING ON THE PROPOSED RULE, NOTIFICATION OF INCIDENTS.
19. Memorandum from John Hickey (NMSS) Sher Bahadur (RES) dated November 15, 1990 subject RESPONSES TO PUBLIC COMMENTS ON THE PROPOSED RULE FOR NOTIFICATION OF INCIDENTS.
 20. Memorandum from John Hickey (NMSS) to Sher Bahadur (RES) dated December 5, 1990 subject PUBLIC COMMENTS ON AMENDMENTS TO 10 CFR 20.403
 21. Note from Mike Finkelstein (OGC) to Joe Mate (RES) dated December 13, 1990 subject OGC COMMENTS ON PART 20.403.
 22. Memorandum from John Hickey (NMSS) to Sher Bahadur (RES) dated January 11, 1991 subject DRAFT DISCUSSION FOR FINAL RULE ON NOTIFICATION OF INCIDENTS.
 23. Memorandum from Richard E. Cunningham (NMSS) to A. Bill Beach (Region IV) dated February 6, 1991 subject PROPOSED RULE ON NOTIFICATION OF INCIDENTS.
 24. Note from Alzonnia Shepard (ADMIN) to Joe Mate (RES) dated March 20, 1991 subject Authority Citations for Parts 20, 31, 34 and 39.
 25. Memorandum from LeMoine Cunningham (NRR) to James Lieberman (OE), John Hickey (NMSS), Donald A. Cool (RES), Robert L. Fonner (OGC), and Donald E. Hickman (AEOD) dated March 26, 1991 subject DRAFT MEMORANDUM.
 26. Memorandum from Eric S. Beckjord (RES) to Those on the Attached List dated May 6, 1991 subject FINAL RULEMAKING - NOTIFICATION OF INCIDENTS 10 CFR PARTS 20, 30, 40 AND 70.
 27. OGC comments on memo identified in item # 25.
 28. Memorandum from Edward L. Halman (ADMIN) to Eric S. Beckjord (res) dated May 10, 1991 subject REVIEW OF FINAL RULE ENTITLED "NOTIFICATION OF INCIDENTS".
 29. Memorandum from Brenda Jo Shelton (IRM) to Michael T. Lesar (ADMIN) dated May 13, 1991 subject Request for Comment and Concurrence of the Final Rule, 10 CFR 20, 30, 31, 34, 39, 40 and 70 , Notification of Incidents.
 30. Memorandum from Brenda Jo Shelton (IRM) to Shirley Hudson (RES) dated May 22, 1991 subject 10 CFR 20, 30, 31, 34, 39, 40 AND 70, NOTIFICATION OF INCIDENTS, FINAL RULE.
 31. Memorandum from Harold R. Denton (GPA) to Eric S. Beckjord (RES) dated May 15, 1991 subject FINAL RULEMAKING - NOTIFICATION OF INCIDENTS - 10 CFR PARTS 20, 30, 40 and 70.

32. Memorandum from James Lieberman (OE) to Eric S. Beckjord (RES) dated May 21, 1991 subject FINAL RULEMAKING - NOTIFICATION OF INCIDENTS 10 CFR PARTS 20, 30 40 and 70.
33. Memorandum from Robert Bernero (NMSS) to Eric S. Beckjord (RES) dated May 23, 1991 subject CONCURRENCE ON FINAL RULE - NOTIFICATION OF INCIDENTS.
34. Memorandum from Thomas E. Murley (NRR) to Eric S. Beckjord (RES) dated May 23, 1991 subject FINAL RULEMAKING - NOTIFICATION OF INCIDENTS 10 CFR PARTS 30, 40 and 70.
35. FAX from Kevin Ramsey (NMSS) to Joe Mate (RES) dated May 29, 1991 undated.
36. Memorandum for James M. Taylor (EDO) from Eric S. Beckjord (RES) dated July 8, 1991 subject FINAL RULEMAKING - NOTIFICATION OF INCIDENTS 10 CFR PARTS 20, 30, 31, 34, 39, 40 and 70.
37. Federal Register Notice, Nuclear Regulatory Commission, Final Rule, Notifications of Incidents (56 FR 40757).
38. Statement from NRC dated August 19, 1991 subject NRC AMENDS REPORTING REQUIREMENTS FOR MATERIALS LICENSEES.
39. Note to Dr. Mark Bruels from Joseph J. Mate (RES) dated August 23, 1991 subject Publication of the Final Rule - Notification of Incidents.