February 5, 2020

MEMORANDUM TO: Margaret M. Doane
                          Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
                          Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: EVALUATION OF
                          THE NUCLEAR REGULATORY COMMISSION'S SHARED
                          "S" DRIVE (OIG-18-A-06)

REFERENCE: CHIEF INFORMATION OFFICER MEMORANDUM DATED
                          JANUARY 14, 2020

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated January 14, 2020. Based on this response, recommendation 3 is closed and recommendation 4 remains open and resolved. Recommendations 1 and 2 were closed previously. Please provide a status update for recommendation 4 by July 15, 2020.

If you have questions or concerns, please call me at (301) 415-5915, or Eric Rivera, Deputy Assistant Inspector General for Audits, at (301) 415-7032.

Attachment: As stated

cc: C. Haney, OEDO
     D. Jackson, OEDO
     J. Jolicoeur, OEDO
     S. Miotla, OEDO
     RidsEdoMailCenter Resource
     OIG Liaison Resource
     EDO_ACS Distribution

Evaluation Report

EVALUATION OF NRC'S SHARED "S" DRIVE

OIG-18-A-06

Status of Recommendations

Recommendation 3:	Review the shared "S" drive for PII on a periodic timeframe.
Agency Response Dated January 14, 2020:	The NRC completed the production deployment of an automated Personally Identifiable Information (PII) scanning system and conducted a scan of the shared "S" drive for PII in first quarter fiscal year 2020 (Q1 FY 2020). The scan identified files that contain PII, the owner of the files and when the files were last used. A scan of the shared "S" drive will be performed every six months. The NRC believe that the intent of this recommendation has been fulfilled.
OIG Analysis:	OIG reviewed documentation showing a scan was performed on December 26, 2019. This corrective action meets the intent of this recommendation. This recommendation is therefore closed.
Status:	Closed.

Recommendation 4:

Remove or delete PII from the shared "S" drive.

Agency Response Dated
January 14, 2020:

An automated PII scanning system was deployed and a scan of the shared "S" drive for PII was conducted in Q1 FY 2020. The scan identified files that contain PII, the owner of the files and when the files were last used. The NRC is in the process of coordinating with the file owners to verify the existence of PII in the files. If PII is verified appropriate access controls will be applied to the files or the files will be deleted if no longer needed. The effort to contain or remove the discovered files will be completed by June 30, 2020.

Target Completion Date: June 30, 2020

OIG Analysis:

The proposed actions meet the intent of this recommendation. This recommendation will be closed when OIG verifies that PII has been removed or deleted from the shared "S" drive.

Status:

Open: Resolved.