

NUREG-0325
Revision 16

U.S. Nuclear Regulatory Commission Functional Organization Charts

March 15, 1993

U.S. Nuclear Regulatory Commission

Office of Personnel



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U.S. Nuclear Regulatory Commission Functional Organization Charts

March 15, 1993

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Office of Personnel
U.S. Nuclear Regulatory Commission
Washington, DC 20555



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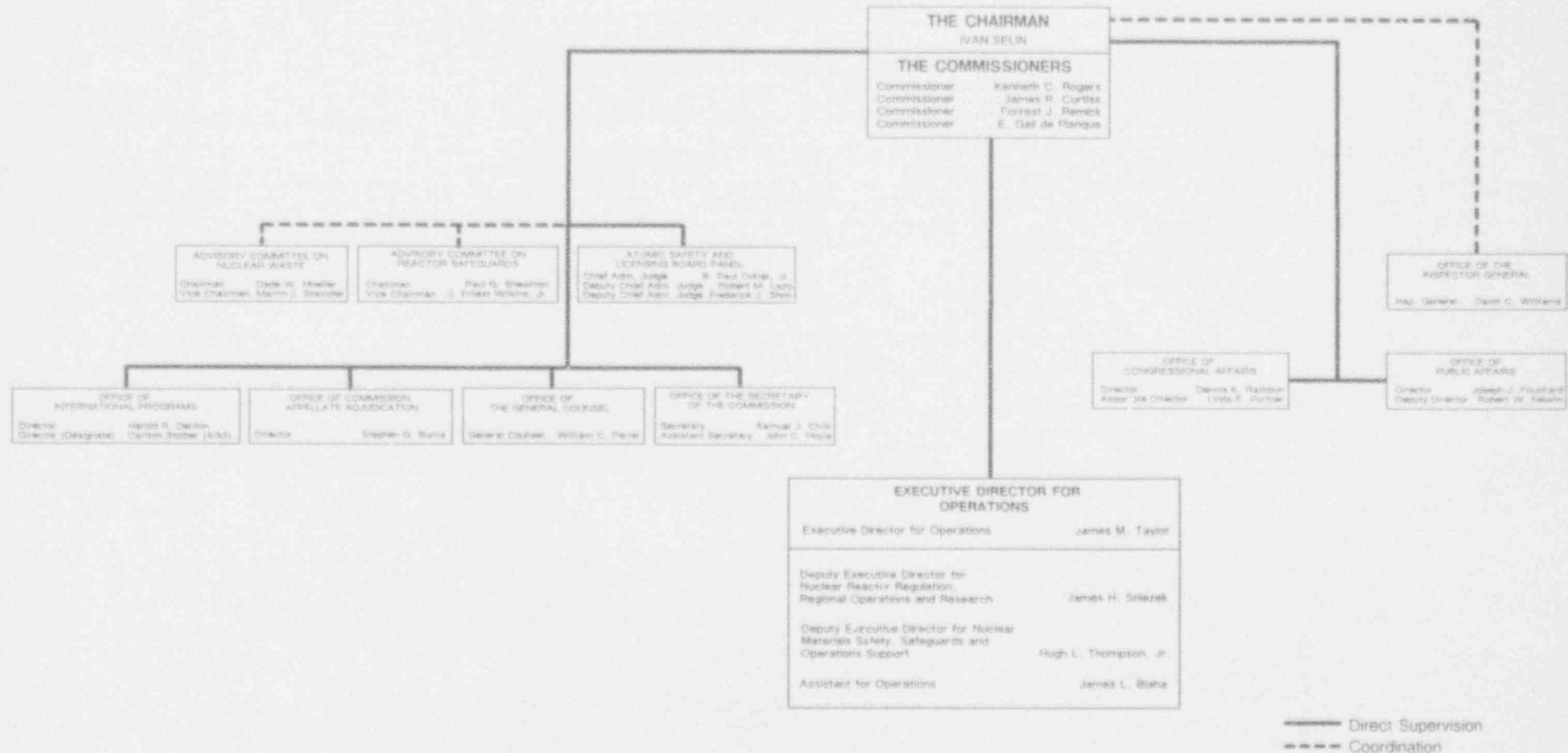
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ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

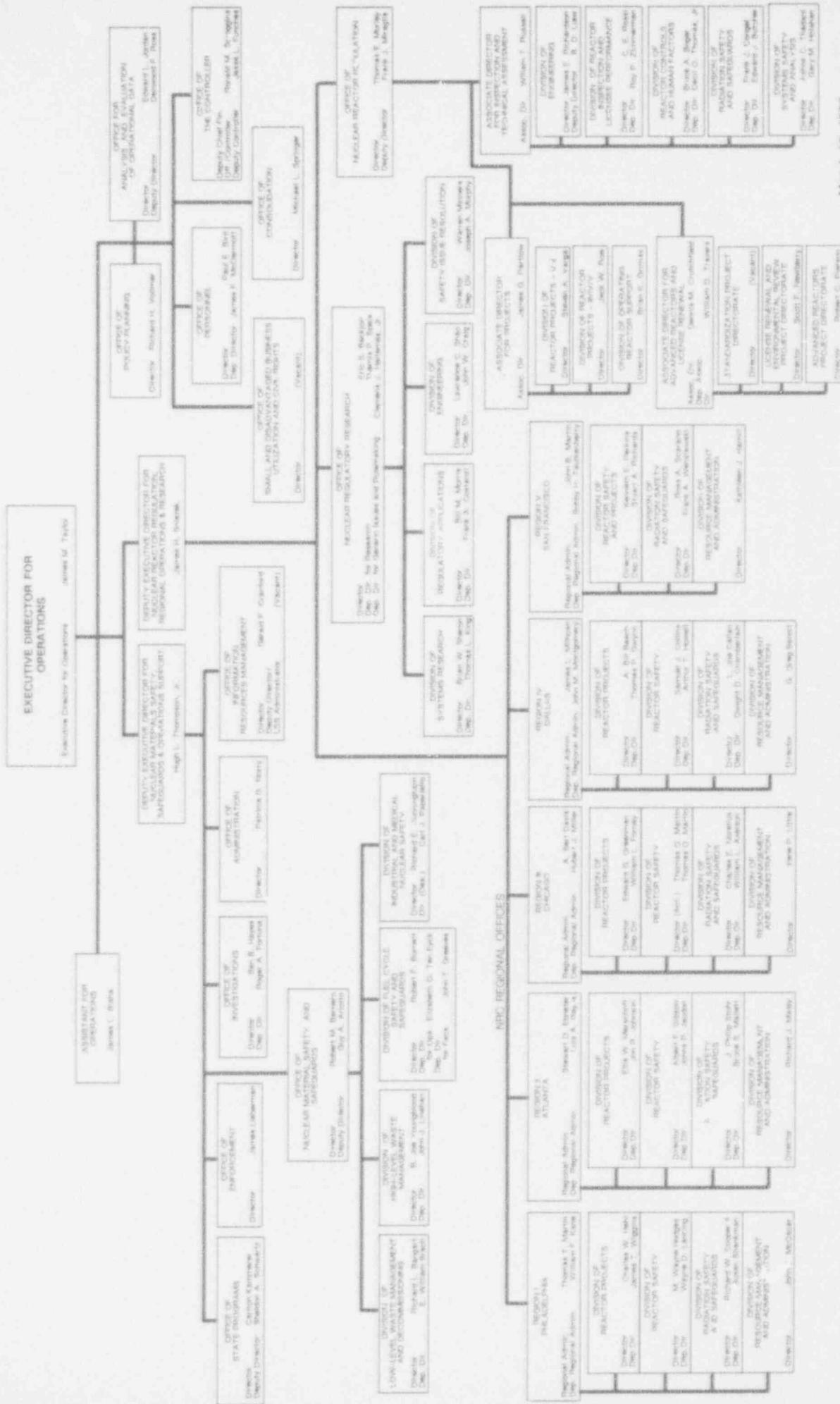


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U.S. NUCLEAR REGULATORY COMMISSION

THE COMMISSION

The Commission is responsible for licensing and regulating nuclear facilities and materials and for conducting research in support of the licensing and regulatory process, as mandated by the Atomic Energy Act of 1954, as amended, the Energy Reorganization Act of 1974, as amended, and the Nuclear Nonproliferation Act of 1978, and in accordance with the National Environmental Policy Act of 1969, as amended, and other applicable statutes. These responsibilities include protecting public health and safety; protecting the environment; protecting and safeguarding materials and plants in the interest of national security; and assuring conformity with antitrust laws. Agency functions are performed through standards-setting and rulemaking; technical reviews and studies; conduct of public hearings; issuance of authorizations, permits, and licenses; inspection, investigation, and enforcement; evaluation of operating experience; and confirmatory research. The Commission is composed of five members, appointed by the President and confirmed by the Senate, one of whom is designated by the President as Chairman.

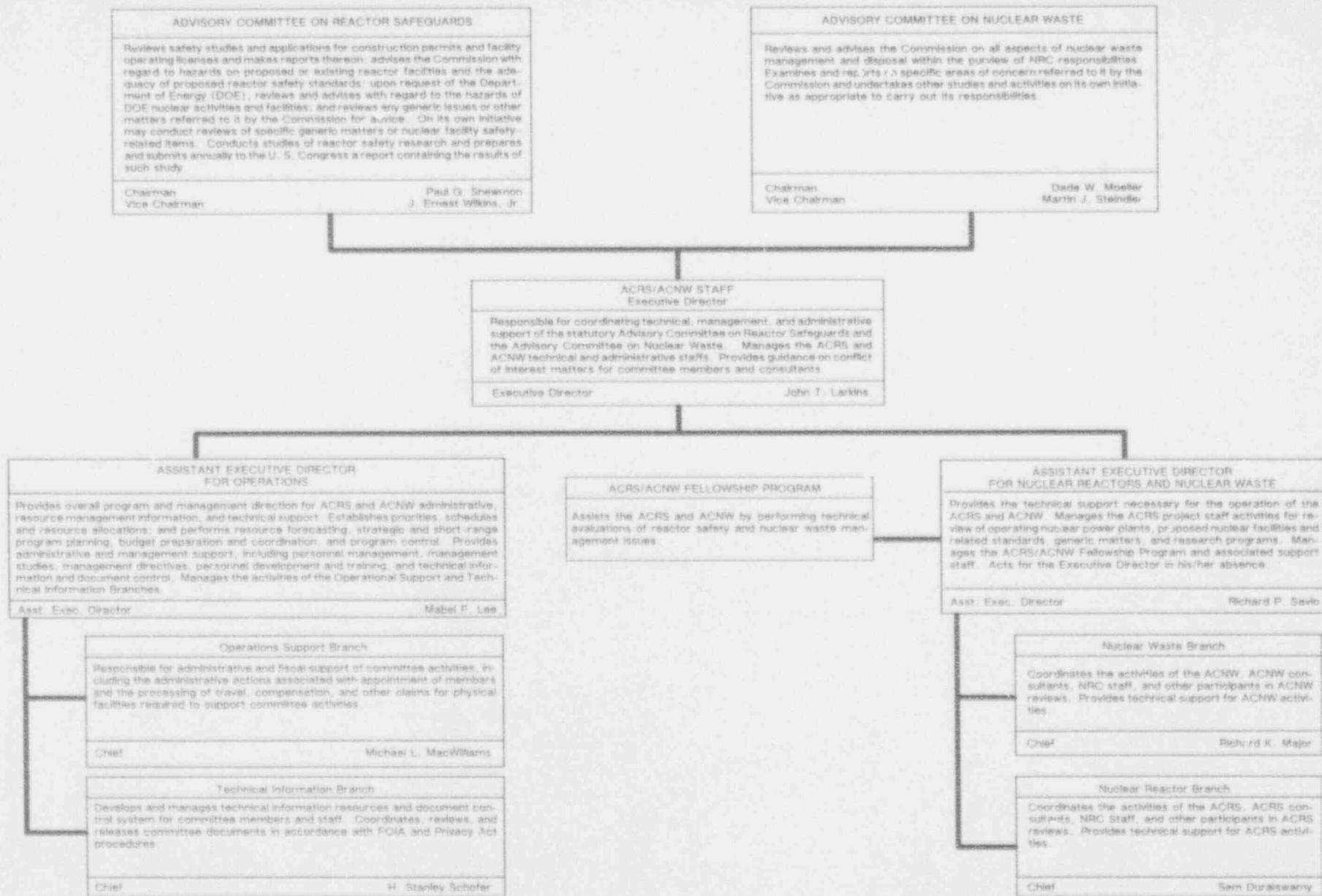
The Chairman is the principal executive officer of and the official spokesman for the NRC, as mandated by the Reorganization Plan No. 1 of 1980. As principal executive officer, the Chairman is responsible for conducting the administrative, organizational, long-range planning, budgetary, and certain personnel functions of the agency. He/she has ultimate authority for all NRC functions pertaining to an emergency involving an NRC licensee. His/her actions are governed by the general policies of the Commission.

Ivan Sella
Kenneth C. Rogers
James R. Curtiss
Forrest J. Remick
E. Gall de Planque

Chairman
Commissioner
Commissioner
Commissioner
Commissioner

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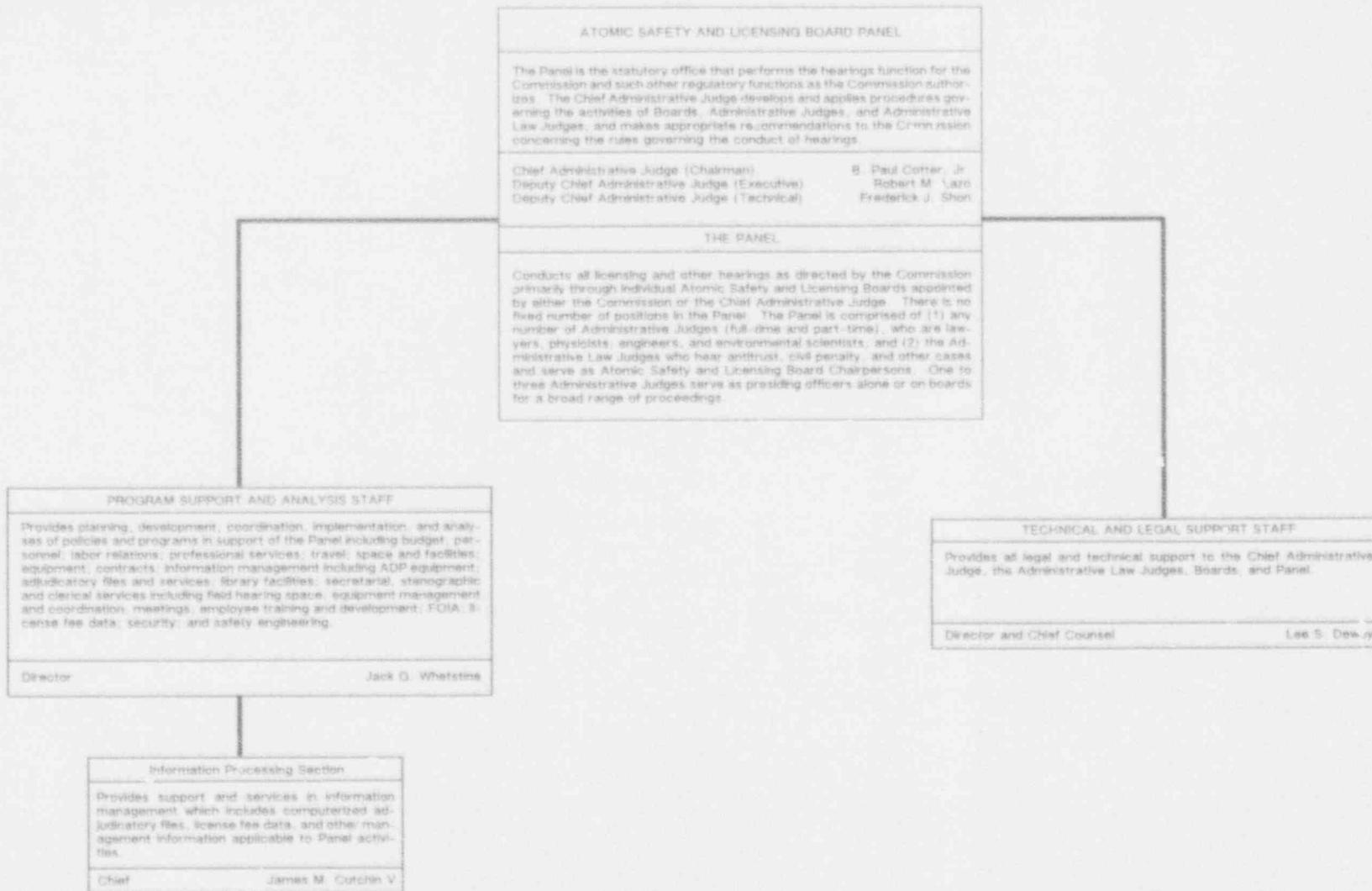
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U.S. NUCLEAR REGULATORY COMMISSION



OFFICE OF COMMISSION APPELLATE ADJUDICATION

Responsible for monitoring cases pending before or before a Commission decision on an appeal of any adjudicative matter involving a Commission decision (e.g., petitions for review of initial licensing Board decisions, certified questions, interlocutory petitions for stay requests) including available options, drafting any necessary decisions pursuant to the Commission's guidelines, after presentation of options, and consulting with the Office of the General Counsel in identifying options to be presented to the Commission and in drafting the final decision to be presented to the Commission.

Director

Stephen G. Burns

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF CONGRESSIONAL AFFAIRS	
Provides advice and assistance to the Chairman, Commission, and NRC staff on all NRC relations with Congress and views of Congress toward NRC policies, plans, and activities; maintains liaison with Congressional committees and members of Congress on matters of interest to NRC; serves as primary contact point for all NRC communications with Congress; reviewing and concurring in all outgoing correspondence to members of Congress; coordinates NRC internal activities with Congress; plans and develops NRC's legislative program; and monitors legislative proposals, bills, and hearings.	
Director Associate Director	Dennis K. Rathbun Linda E. Portner

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U.S. NUCLEAR REGULATORY COMMISSION

SOLICITOR	
Assists the General Counsel in all aspects in the role as chief legal officer and legal advisor to the NRC with primary responsibility in matters involving the supervision of litigation in courts of law; and represents the NRC in Court of Appeals litigation and in conjunction with the Department of Justice in other federal courts. Provides counsel to NRC employees called to testify concerning official duties in cases in which NRC is not a party. Advises the Commission on litigation of proposed actions.	
Solicitor	John F. Cordes, Jr.

OFFICE OF THE GENERAL COUNSEL	
Directs matters of law and legal policy, providing opinions, advice and assistance to the Agency with respect to all of its activities; reviews draft Commission opinions on public petitions seeking direct Commission action and rulemaking proceedings; monitors adjudicatory proceedings, and reviews draft Commission adjudicatory decisions; provides interpretation of laws, regulations, and other sources of authority, and the legal form and content of proposed official actions; represents and advises staff offices in all programmatic activities and administrative litigation in connection with licensing and enforcement; prepares or concurs in all contractual documents, interagency agreements, delegations of authority, regulations, orders, licenses, and other legal documents, and prepares legal interpretations thereof; represents NRC in administrative proceedings related to such matters as personnel, procurement, and EEO; provides legal support to the Office of the Inspector General; reviews and directs intellectual property work; represents and protects the interest of the NRC in legal matters, in court proceedings, and in relation to other government agencies, administrative bodies, committees of Congress, foreign governments, and members of the public; provides legal advice to the Commission (including staff); and represents the Commission in courts of appeals proceedings to review Commission orders and rules; and, in cooperation with the Department of Justice, represents the Commission in court proceedings affecting the Agency's programs in the Federal district courts and the Supreme Court.	
General Counsel	William C. Parler

SPECIAL COUNSEL FOR INTERNATIONAL AFFAIRS	
Assists the General Counsel in the development of legal policy and advice bearing on export of nuclear materials and facilities, including development of regulations, review of license applications, hearings on export license applications and other Commission activities involving international activities or considerations.	
Special Counsel	
	Josina M. Becker

PROGRAM SUPPORT BRANCH	
Provides to the General Counsel program analysis and direction of program support activities. Responsible for program development and evaluation in the areas of resource utilization, personnel management, and organizational planning and analysis. Provides Office services including personnel, equipment, space, correspondence control, mail and records control, and maintains law library and legislative reference service functions.	

Chief	
	Nancy L. Dube

DEPUTY GENERAL COUNSEL FOR LICENSING AND REGULATION	
Advises the General Counsel and Commission in all aspects of domestic licensing and regulation with particular emphasis on adjudication, legislation, rulemaking, and fuel cycle matters. Provides advice on employee conduct and administrative law issues as well as on the implementation of atomic energy and environmental law.	
Deputy General Counsel	Martin G. Malesch

DEPUTY GENERAL COUNSEL FOR HEARINGS, ENFORCEMENT AND ADMINISTRATION	
Advises the General Counsel on all licensing and inspection and enforcement activities with particular emphasis on the conduct of adjudicatory hearings, and the implementation of the Commission's enforcement program. Assists the General Counsel in providing legal advice on interagency and international agreements, procurement, intellectual property, security, personnel, and administrative functions. Serves as principal legal advisor to the Commission's staff on adjudication matters before the Commission.	
Deputy General Counsel	Joseph F. Scinto

ASSISTANT GENERAL COUNSEL FOR LEGAL COUNSEL, LEGISLATION, AND SPECIAL PROJECTS	
Assists the General Counsel in providing legal advice and assistance to the Commission in the review of adjudicatory decisions, on the implementation of employee conduct regulations, and in the development of the Commission's legislative program including the drafting of proposed legislation for Commission consideration. Advises on the legal and policy implications of legislation proposed by the Commission or referred to the Commission for comment and on the implementation of FACA and OGA. Provides legal advice and assistance to OI, OCAA, and OIG.	
Asst. General Coun.	James A. Fitzgerald

ASSISTANT GENERAL COUNSEL FOR RULEMAKING AND FUEL CYCLE	
Assists the General Counsel in (1) providing legal advice and assistance to the Commission and the NRC staff with respect to the promulgation and amendment of NRC regulations and guides pertinent to licensing and construction of nuclear facilities, including nuclear power plants, fuel cycle facilities, and the high-level waste repository; (2) representing the NRC staff in public rulemaking hearings; (3) interpreting regulations and statutes relevant to NRC activities; and providing legal analyses of those authorities impacting the NRC; (4) providing legal advice and assistance to the Commission and the NRC staff on the licensing of the possession and use of nuclear materials, on safeguards issues, on "agreement states" issues; and (5) providing legal advice and assistance to the Licensing Support System Administrator.	
Asst. General Coun.	Stuart A. Treby

ASSISTANT GENERAL COUNSEL FOR HEARINGS AND ENFORCEMENT	
Assists the General Counsel in (1) representing the NRC in public hearings conducted in conjunction with the licensing of nuclear reactors and materials users and facilities, and assisting with the development of legal policy associated with such licensing, and providing advice and consultation to the staff on health and safety, environmental and antitrust issues arising from the licensing process; and (2) providing legal advice and assistance to the Commission, all Regional Offices, and the Offices of Enforcement, Nuclear Material Safety and Safeguards, and Nuclear Reactor Regulation on inspection and enforcement matters and in advising and representing NRC offices in enforcement proceedings involving imposition of civil penalties, modifications, and suspension or revocation of licenses.	
Asst. General Coun.	Lawrence J. Chandler

ASSISTANT GENERAL COUNSEL FOR ADMINISTRATION	
Assists the General Counsel in providing legal advice and assistance to NRC offices involved in interagency and international agreements, procurement, intellectual property, budget, security, personnel, EEO, Grants, FOIA, and other administrative functions, and represents NRC in other administrative hearings involving procurement, personnel, personnel security, claims, labor relations, and equal employment opportunity matters.	
Asst. General Coun.	Dennis C. Damby

ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF INTERNATIONAL PROGRAMS	
<p>Provides advice and assistance to the Chairman, Commission, and NRC staff on international issues. Formulates and recommends policies concerning nuclear exports and imports, international safeguards, international physical security, nonproliferation matters, and international cooperation and assistance in nuclear safety and radiation protection. Plans, develops, and implements programs to carry out policies established in these areas. Plans, develops, and manages international nuclear safety information exchange programs; and coordinates international research agreements. Obtains, evaluates, and uses pertinent information from other NRC and U.S. Government offices in processing nuclear export and import license applications and carrying out other activities. Manages NRC's foreign intelligence responsibilities. Establishes and maintains working relationships with individual countries and international nuclear organizations, as well as other involved U.S. Government agencies. Assures that all international activities carried out by the Commission and staff are well coordinated internally and Government-wide and are consistent with NRC and U.S. policies.</p>	
Director Director (Designate)	Harold R. Denton Carlton Strober (4/93)

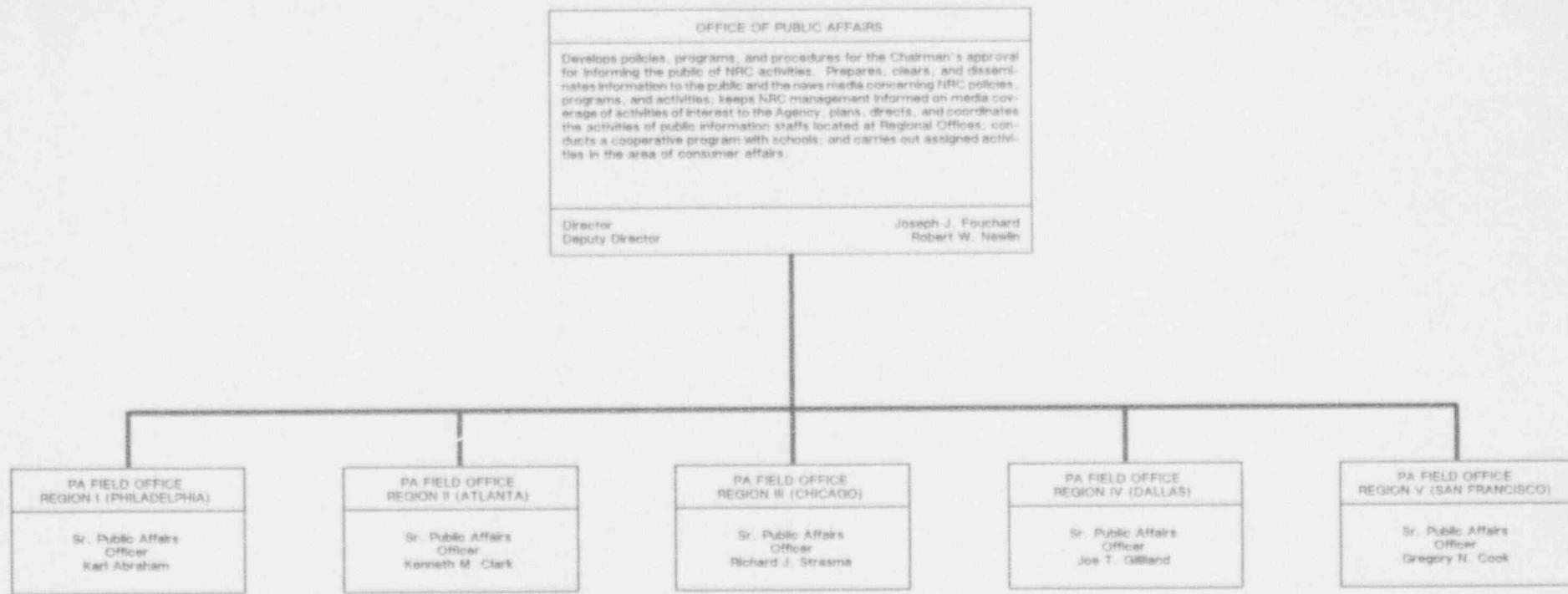
ASSISTANT DIRECTOR FOR POLICY AND DEVELOPMENT	
<p>Provides overall policy, program evaluation, and management direction for international policy, resource, and administrative management. Plans and develops policies for NRC's international activities. Interacts with the other Assistant Directors to resolve or recommend resolution of policy and Office-level programmatic issues. Provides Office resource and administrative support responsibilities which includes establishing resource priorities, schedules, and allocations; and performing long and short-range planning, budget development and execution, personnel, ADP, FOIA, administration of the Representation Fund, international cables, international travel, quarterly reports, and training.</p>	
Assistant Director	James R. Shea

ASSISTANT DIRECTOR FOR COOPERATIVE EXCHANGE PROGRAMS	
<p>Recommends policies concerning international cooperation and assistance in nuclear safety and radiation protection. Plans, develops, and implements programs to carry out policies established in these areas. Assures that international activities within these programs are consistent with NRC and U.S. policies, and are well coordinated within the Commission and throughout the U.S. Government. Establishes and maintains working relationships with individual countries and international nuclear organizations and with U.S. Government agencies involved in international activities.</p>	
Assistant Director	Albert P. Kornblat

ASSISTANT DIRECTOR FOR EXPORTS, SECURITY, AND SAFETY COOPERATION	
<p>Recommends policies concerning nuclear exports and imports, international safeguards, international physical security, nonproliferation matters, and international cooperation and assistance in nuclear safety and radiation protection. Plans, develops, and implements programs to carry out policies established in these areas. Coordinates international regulatory and research agreements with Executive Branch agencies. Obtains, evaluates, and uses pertinent information from other NRC and U.S. Government offices in processing nuclear export and import license applications, and carrying out activities.</p>	
Assistant Director	Ronald D. Hauber

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U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF THE SECRETARY OF THE COMMISSION

Provides executive management services to support the Commission and its staff on the planning, scheduling, and conduct of Commission business; and maintains the official adjudicatory docket of the Commission. Directs and administers the NRC Historical Program; operates and manages the NRC Public Document Room (PDR); and integrates automated data processing and office automation initiatives into the Commission's administrative systems. The Assistant Secretary performs the Federal Advisory Committee Management Officer and maintains liaison with certain boards and advisory committees.

Secretary
Assistant Secretary

Samuel J. Cohn
John C. Howie

POLICY OFFICE

The Policy Studies and Monitor's development and publication of procedures for the conduct of Commission business; coordinates SECY branches; assists in program development and budget formulation; and conducts reviews and analyses of SECY activities.

Executive Assistant
Sandra M. Joosten

HISTORY STAFF

Under Commission direction, develops policies and procedures for the NRC history program and related records or archives; provides historical research and writing services; and establishes content, format, and structure for publication of NRC history.

Historian
J. Samuel Walker

Correspondence and Records Branch

Maintains Commission's correspondence system; reviews, processes, and dispatches Agency's Congressional correspondence and all principal correspondence addressed to the Chairman, Commission, and Secretary; maintains status of all communications pending action by the Commission and seeks to resolve differing views to facilitate the decision-making process; serves as Agency liaison with the House on Presidential records; maintains Commission's official records; coordinates FOIA requests among Commissioners; and monitors Commission responses to FOIA Appeals; serves as classified document control officer for Commission and Secretary; and reviews and transmits Commission documents for publication in the Federal Register.

Chief
Andree L. Bates

Docketing and Services Branch

Develops and maintains official Commission calendar and interagency docket lists; issues all orders and other proceedings of the Commission and the Licensing Board; receives all filings in adjudicatory proceedings; maintains an on-line bidding system; maintains a document ordering system; provides contractor, copying and document delivery services without cost to the government; and coordinates efforts related to public release of special classes of NRC records with other affected NRC offices.

Chief
E. Ann Tipton

Public Document Branch

Plans, directs, and administers the programs of the NRC Public Document Room; maintains the facility for receiving, organizing, retrieving, processing, and retrieving documents for the public; creates and maintains indices to NRC's public documents; announces newly released documents on a daily basis; maintains and operates an on-line bidding system; retrieves and documents ordering system; provides contractor, copying and document delivery services without cost to the government; and coordinates efforts related to public release of special classes of NRC records with other affected NRC offices.

Chief
Elizabeth J. Yeates

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U.S. NUCLEAR REGULATORY COMMISSION

COUNSEL TO THE INSPECTOR GENERAL	
Provides authoritative legal advice, assistance, and support to the Inspector General and OIG staff in the areas that support audits, investigations, and other critical and complex OIG activities. Serves as the designated agency Special Counsel for all OIG matters, operating as the Commission and OIG legal experts in all OIG areas of responsibility.	
Counsel	Maryann L. Brodin

OFFICE OF THE INSPECTOR GENERAL	
Provides policy direction for and conducts, supervises, and coordinates audits and investigations relating to all NRC programs and operations; reviews existing and proposed legislation and regulations and makes recommendations concerning their impact on the economy and efficiency of NRC programs and operations and on the prevention and detection of fraud and abuse in such programs and operations; recommends policies for and conducts, supervises, or coordinates other activities for the purpose of promoting economy and efficiency in the administration of, or preventing and detecting fraud and abuse in, NRC programs and operations; recommends policies for and conducts, supervises, or coordinates relationships between the NRC and Federal, State and local agencies, and non-governmental entities relating to the promotion of economy and efficiency in the administration of, or prevention and detection of fraud and abuse in, NRC programs and operations or identification and prosecution of participants in fraud or abuse; keeps the Chairman and Congress fully and currently informed concerning fraud and other serious problems, abuses and deficiencies relating to the administration of NRC programs and operations; recommends corrective actions and reports on the progress made in implementing corrective actions; complies with GAO standards for audits of Federal establishments, organizations, programs, activities and functions; and expeditiously refer criminal matters to the Department of Justice.	
Inspector General	David C. Williams

ASSISTANT INSPECTOR GENERAL FOR INVESTIGATIONS	
Responsible for supervising the performance of investigative activities relating to NRC programs and operations.	
Assistant Inspector General Deputy Assistant Inspector General	Lee J. Norton Robert A. Watkins

ASSISTANT INSPECTOR GENERAL FOR AUDITS	
Responsible for supervising the performance of auditing activities relating to NRC programs and operations.	
Assistant Inspector General Senior Level Assistant for Policy, Planning and Reporting	Thomas J. Barchi Robert W. Shideler

Resource Management and Operations Support Staff	
Provides all administrative and operations support for Office of the Inspector General (OIG) programs. Administrative support includes personnel, resource management, ADP, space, property, telecommunications, accounting, and security. Responsible for policy development on each of the above areas. Provides direct operations support to both the audit and investigative staff. Prepares the OIG semi-annual report. Serves as liaison on all Presidents's Council on Integrity and efficiency matters.	
Director	Mona S. Hicks

INVESTIGATIVE TEAMS A, B, C and D	
Directs the investigative program including the development of policies, standards, and procedures; prepares reports of possible violations of criminal laws and other irregularities in the administration of Commission programs and activities; maintains liaison with law enforcement agencies and other investigative organizations in criminal and other investigative matters; and assists and maintains close liaison with all elements within NRC in the conduct and supervision of investigations involving conduct of NRC employees and contractors. In addition to the above duties and responsibilities, Team C includes an inspection staff, which along with its investigative duties, conducts narrowly focused and quick turnaround inquiries that examine the Agency's operational capability.	
Team A Leader Team B Leader Team C Leader (Acting) Team D Leader	Thomas J. Blatchford William J. Stryker George A. Muley Jack Taylor

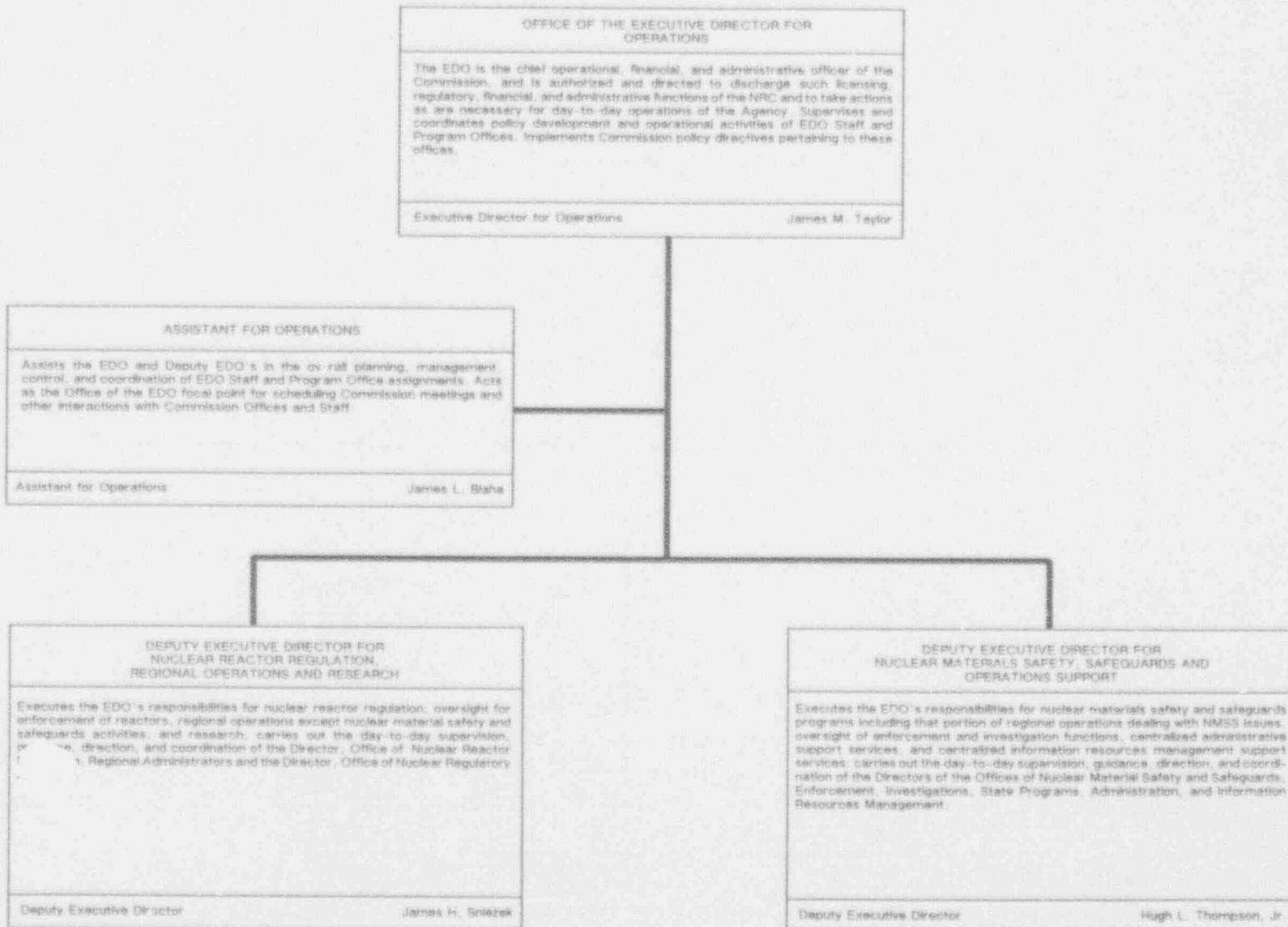
TEAM A	
Plans, organizes, directs, conducts, and controls continuing audits of NRC's financial management activities and contracting functions. Performs Agency audits required by the Federal Managers' Financial Integrity Act and Chief Financial Officer's Act as well as other recurring financial issues. Prepare reports of audits setting forth findings and appropriate recommendations for corrective action; determines that reports have been reviewed and properly acted upon and are in accordance with the GAO standards for auditing; and follows-up on audit recommendations and brings to the attention of proper officials any matters on which corrective action has not been taken. Perform assessment of the Agency's implementation of audit report recommendations.	
Team Leader	William L. Glenn

TEAM B	
Plans, organizes, directs, conducts, and controls audits of NRC programs and operations with primary emphasis on the Offices of Nuclear Reactor Regulation, Nuclear Materials Safety and Safeguards, and Nuclear Regulatory Research. Prepare reports of audits setting forth findings and recommendations for corrective action; determines that reports have been reviewed and properly acted upon and are in accordance with the GAO standards for auditing; and follows-up on audit recommendations and brings to the attention of proper officials any matters on which corrective action has not been taken. Perform assessment of the Agency's implementation of audit report recommendations.	
Team Leader	Richard J. Donovan

TEAM C	
Plans, organizes, directs, conducts, and controls audits of special and major emerging issues identified by the OIG planning process. Prepare reports of audits setting forth findings and recommendations for corrective action; determines that reports have been reviewed and properly acted upon and are in accordance with the GAO standards for auditing; and follows-up on audit recommendations and brings to the attention of proper officials any matters on which corrective action has not been taken. Perform assessment of the Agency's implementation of audit report recommendations.	
Team Leader	Anthony C. Lipums

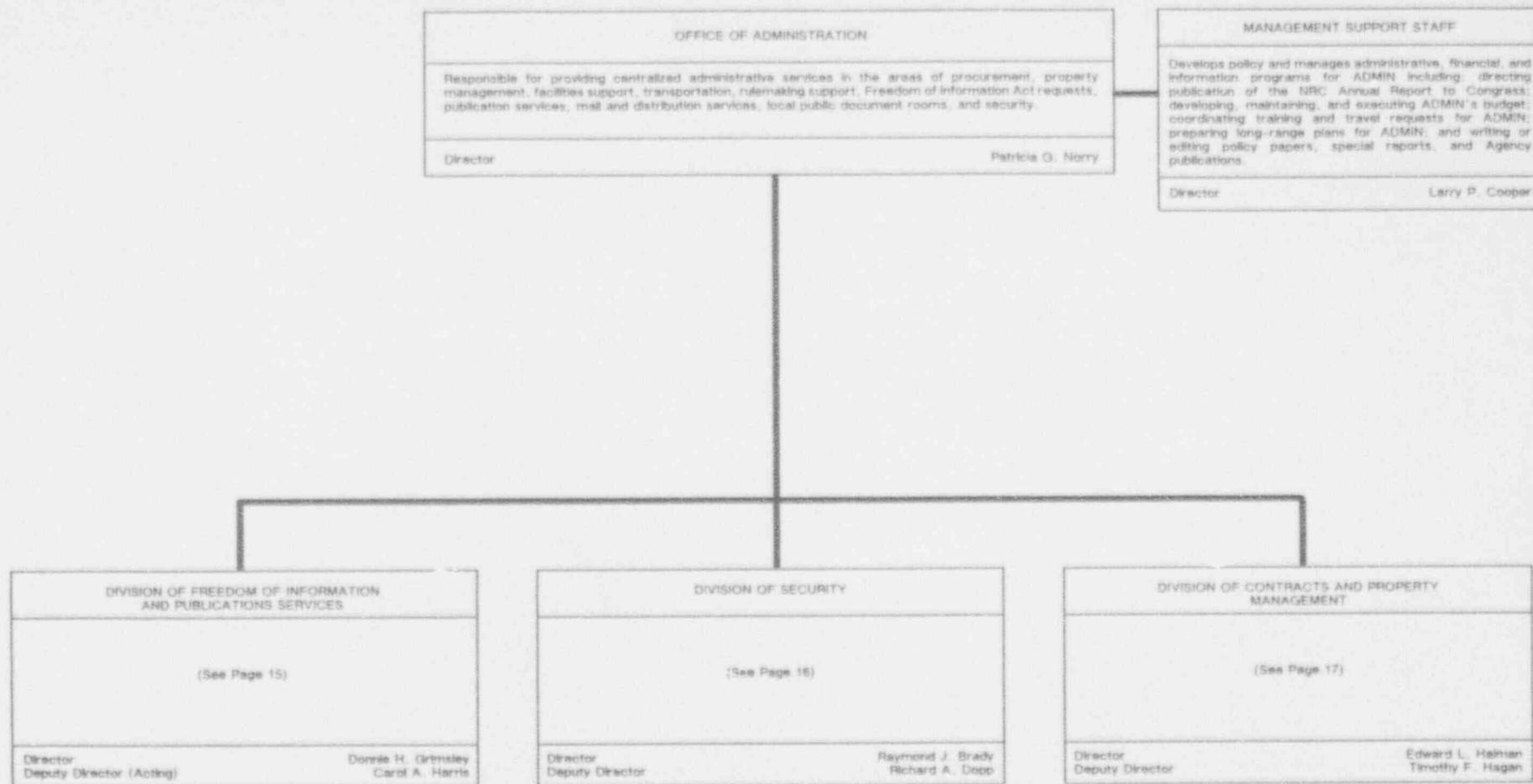
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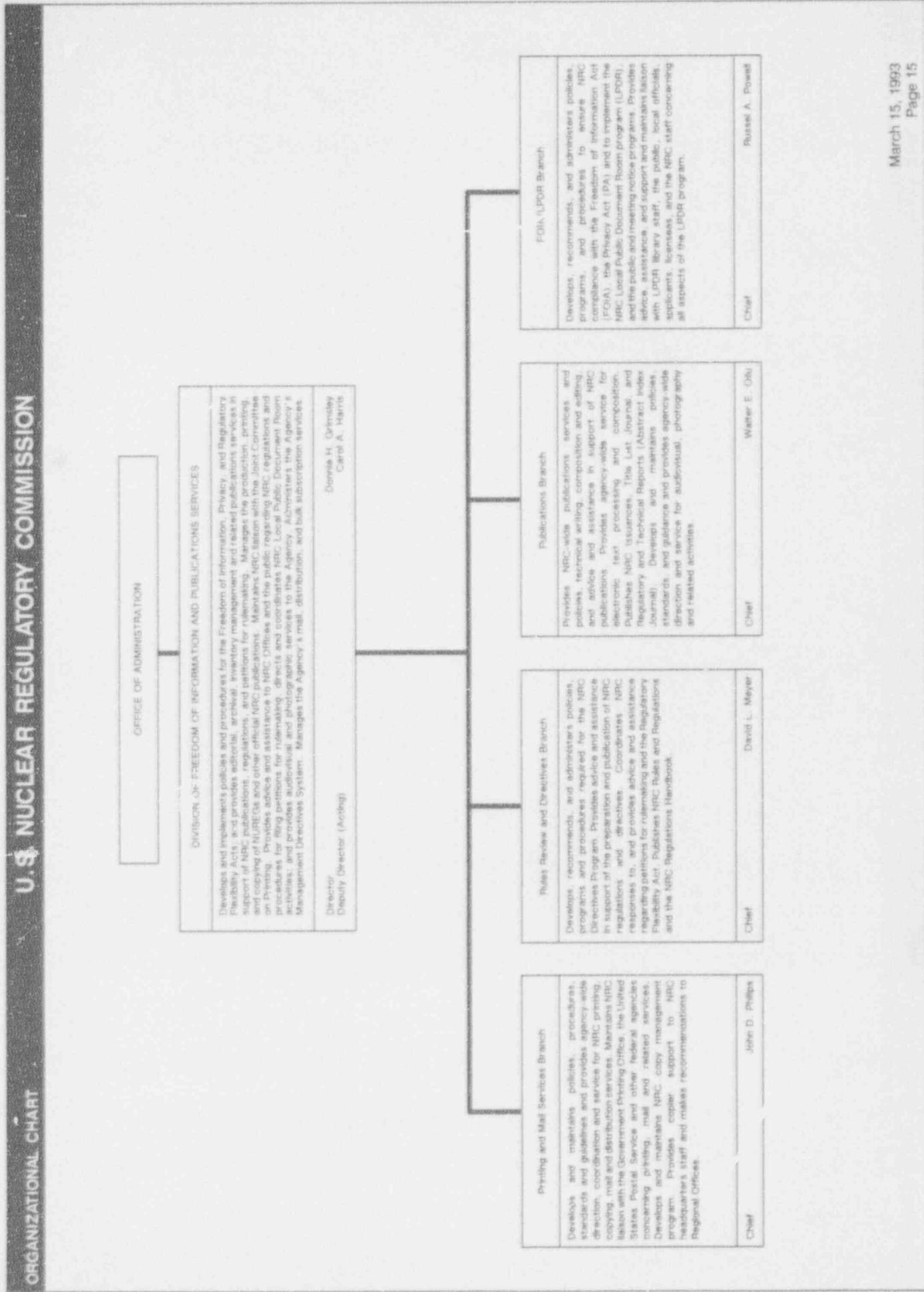
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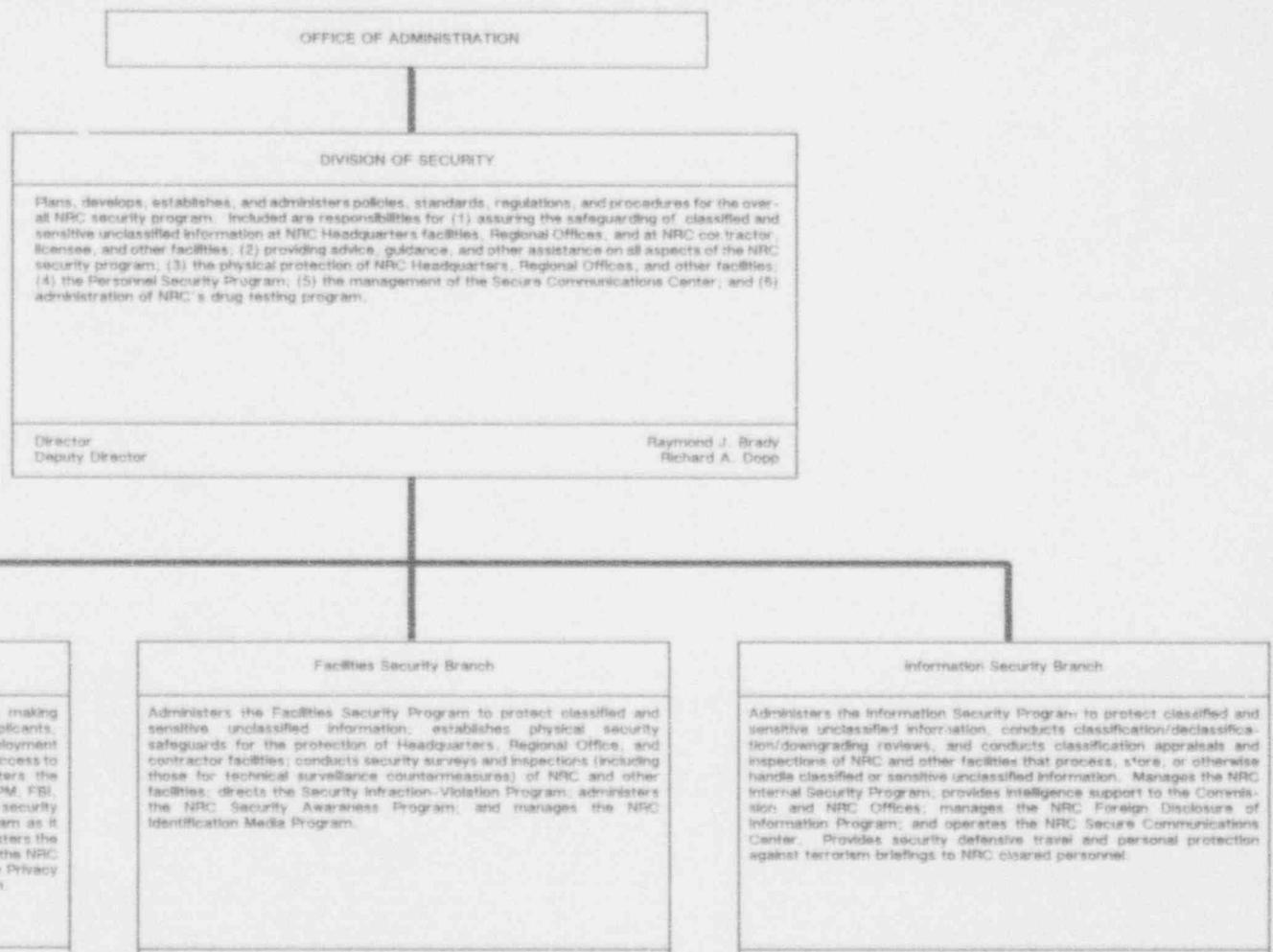
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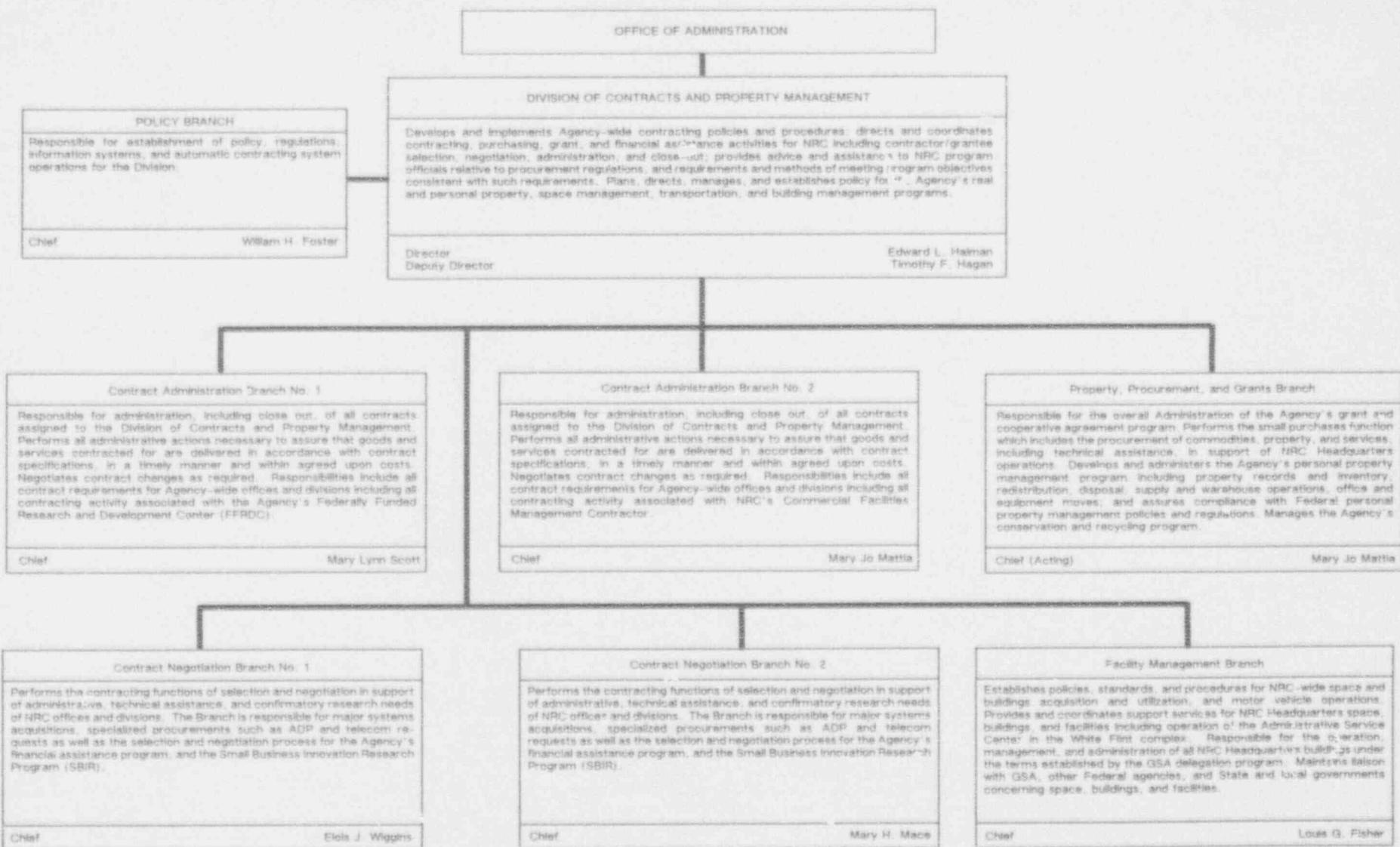
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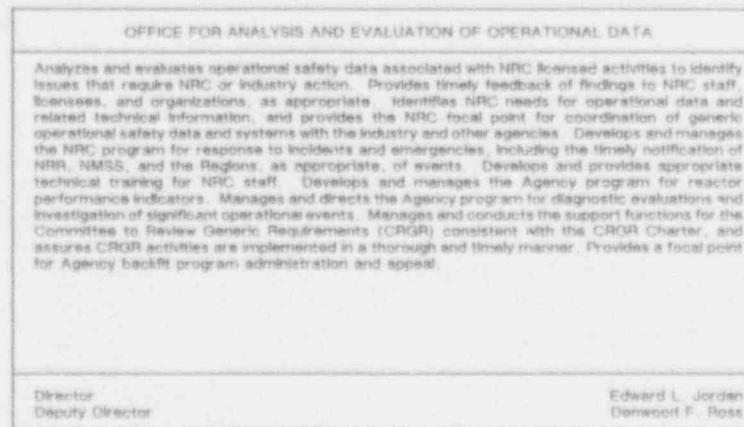
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OFFICE OF ANALYSIS AND EVALUATION OF OPERATIONAL DATA

DIVISION OF OPERATIONAL ASSESSMENT

Develops and directs the NRC program for diagnostic evaluation of licensee performance and evaluates the policy and framework for the technical training of NRC personnel. Directs and directs the NRC program for response to incidents, and in the Agency's incident response interface with FEMA and other Federal agencies. Exercises oversight of the Regional's response to incidents. Manages the NRC Operations Center. Receives reports, and promptly recommends corrective operational event information reported to the Operations Center in support of NMH, NEIS, and the Regions. As appropriate, to provide for timely feedback to and action by the cognizant offices.

Director
Technical Assessment

R. Lee Standard
(Vacant)

Incident Response Branch

Develops NRC policy, plans, programs requirements, and procedures for the NRC response to incidents. Ensures that the NRC's response is consistent with the NRC's role and licensee responsibilities. Is the Agency focal point for incident response activities with FEMA and other Federal agencies. Manages the NRC Operations Center. Develops, maintains, and integrates NRC response plans, procedures, and training for personnel and organizations. Conducts exercises to achieve and test readiness objectives. Provides operational support and contract management for Headquarters' response activities. Provides responsive planning and procedural guidance to Regional Offices and various Regional Office response capabilities. Provides staff priorities and controls for the receipt of reports of reactor events, non-reactor events, and other information at the Operations Center. Provides prompt feedback to NMH, NEIS, and the Regions regarding reported events that require immediate regulatory attention. Provides continuous shift manning of the Operations Center with systems engineer.

Chief
Kenneth E. Brinkman

Diagnostic Evaluation and Incident Investigation Branch

Manages the NRC program for conduct of the diagnostic evaluation of licensee performance. Such evaluations are conducted based on the results of NRC senior management's review of licensee performance and EOC direction. Develops and maintains the program, procedures, and team member roles for conduct of such evaluations. Coordinates the core personnel for conduct of the diagnostic evaluation teams consisting of NRC contractors and personnel from AEO, the Regions, NMH, NEIS, and NMETS, an independent contractor, and the nuclear assistance contractor for the program. Develops, policy, and maintains program requirements, procedures, and team member rosters for NRC investigations of significant operational incidents and ensures the investigations are conducted consistent with NRC authority and responsibility. Manages the program and provides training to achieve the program objectives. Provides operational support and contract management for NRC incident investigation activities.

Technical Training Center

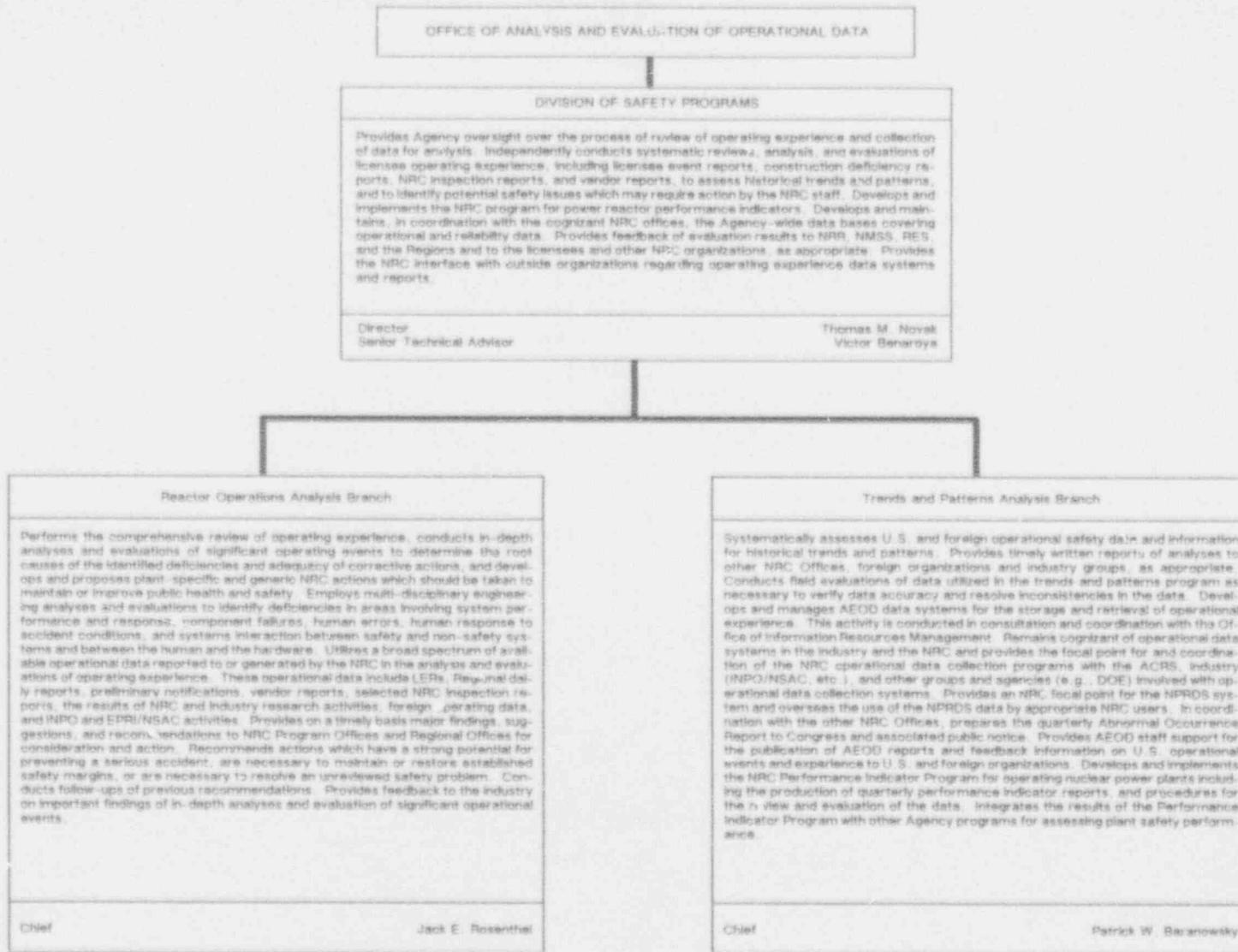
Coordinates with the NRC Headquarters' Offices and Regions in the development and implementation of NRC staff technical qualification programs. Provides technical training for NRC personnel, selected NRC contractors, and other Government organizations (as appropriate). Provides training to timely teach and continuously maintain NMH Inspectors' operational license examinations, reviewer, director, manager, operations officer, technical manager, and other NRC personnel with the level of knowledge of reactor technology, engineering support, health physics, safety codes, and inspection or examination techniques necessary to perform assigned Agency functions. Provides technical training for entry-level personnel for the reactor engineer, maintenance, and health physics disciplines. Manages the Technical Training Center facility. Manages the operation, maintenance, and upgrade of full scale reactor simulation and associated computer equipment in support of established training needs. Evaluates and implements alternate training methods, such as computer-based training, as appropriate. Develops, configures, and implements the use of hardware training aids, such as small plant components, and high technology training aids. Manages existing training contracts and arranges for new training contracts or small purchases in support of technical training for NRC personnel. Provides expertise in support of other NRC initiatives in the areas of reactor operations, design, and control.

Director
Kenneth A. Rughan

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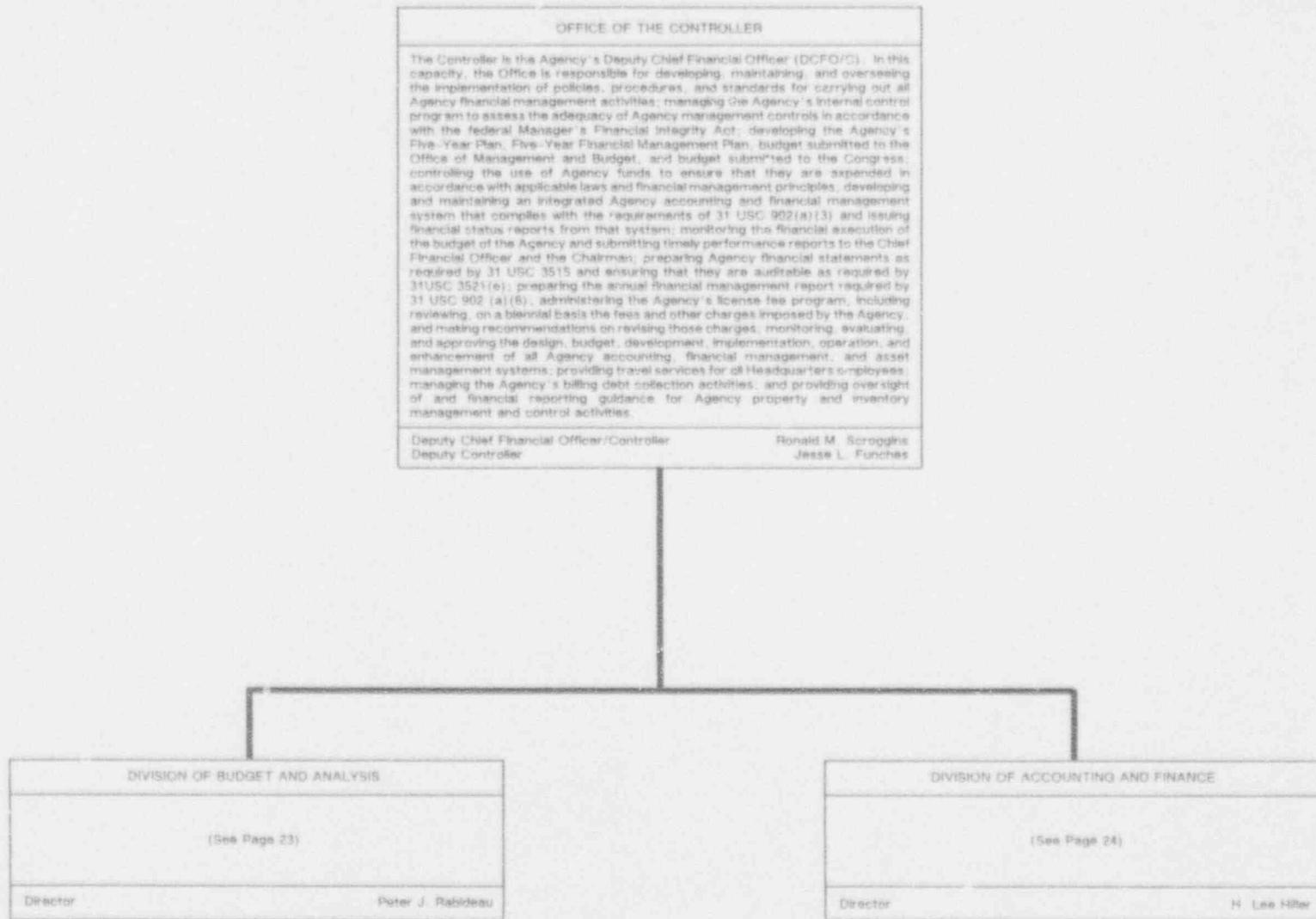


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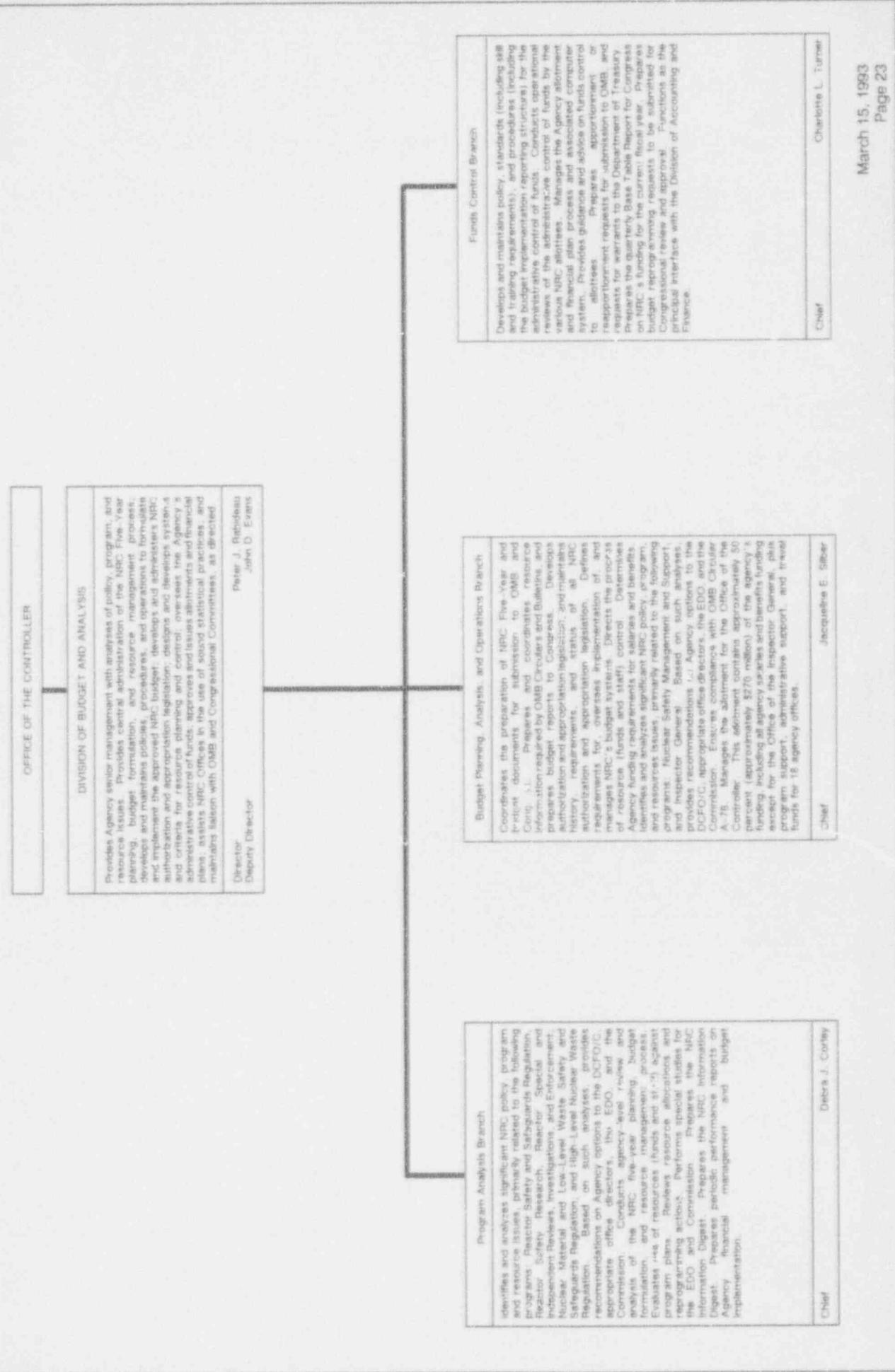
OFFICE OF CONSOLIDATION	
<p>Develops overall housing plans, spaces, design, and workstation standards for the two building complexes; develops and Justifies budget strategies for consolidation costs; implements policies and concepts approved by the Chairman and the Executive Director; coordinates NRC's inter-action with OSA, its contractor's and consultant's; reviews and approves planning documents developed by OSA, the design architect, interior space planners, construction contractor and its subcontractors and vendors; coordinates and facilitates actions of NRC's consultants and contractors; coordinates NRC's inter-action with local government authorities; assures that critical milestones are identified and scheduled activities are accomplished as planned; coordinates move planning and implementation related to consolidation; provides overall coordination for the procurement of goods and services required for consolidation; provides regular briefings to the Executive Director; Office Directors and employees on the progress of consolidation</p>	<p>Director Michael L. Springer</p>

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OFFICE OF THE CONTROLLER

DIVISION OF ACCOUNTING AND FINANCE

Develops and administers policies, principles, and standards, and procedures for financial management, accounting, and financial controls under NRC contracts; provides advice to the Commission, EDO, and to other NRC Offices on the interpretation and application of all financial and accounting matters, administers the license fee program in the NRC, and provides fiscal and travel services for the NRC.

Director
Deputy Director

H. Lee Hoffer
Eleanor K. Black

General Accounting Branch

Formulates policies, principles, and standards, and procedures for financial control, government and commercial activities, and related reporting requirements of the NRC. Interprets and implements the accounting and related financial requirements of government-wide accounting regulations. Maintains overall responsibility for automated accounting systems. Manages liaison with GAO, OPM, and other agencies on financial procedures and related matters. Develops, implements, operates, and maintains NRC's automated payroll system. Prepares special analyses and financial reports.

Chief
Anthony C. Rossi

Chief
Helen B. Daniels

Payroll Branch

Formulates policies, practices, and procedures for related fiscal services and reporting requirements of the NRC. Interprets and implements the accounting and related financial requirements of government-wide benefit regulations. Maintains operational responsibility for automated accounting systems. Manages liaison with GAO, OPM, and other agencies on financial procedures and related matters. Develops, implements, operates, and maintains NRC's automated payroll system. Prepares special analyses and financial reports.

Chief
Theresa A. Fratzer

License Fee and Debt Collection Branch

Administers NRC facilities and interests, license fee programs, policies, and procedures for debt collection activities. Interprets and implements debt collection regulations and authorized cash management efforts. Develops, implements, and maintains controls of all NRC billings and collections. Fossils license fee axis. Prepares fee policy papers to the Controller's immediate staff on license fee policy matters.

Chief
Helen B. Daniels

Travel Management Branch

Formulates policies, principles, and procedures for travel and related fund activities. Operates and maintains the centralized travel and related fiscal services accounting and reporting functions. Provides travel related information and change-of-station services. Plans, establishes, and maintains internal controls for travel activities. Establishes and maintains a NRC-wide management information and processing system for travel accounting. Coordinates travel services provided by the GSA designated travel management center. Manages travel administrative support contracts. Provides travel training to Headquarters travelers and support personnel. Prepares and processes waiver requests on travel related matters.

Chief
Carolyn C. Miller

Financial Systems Branch

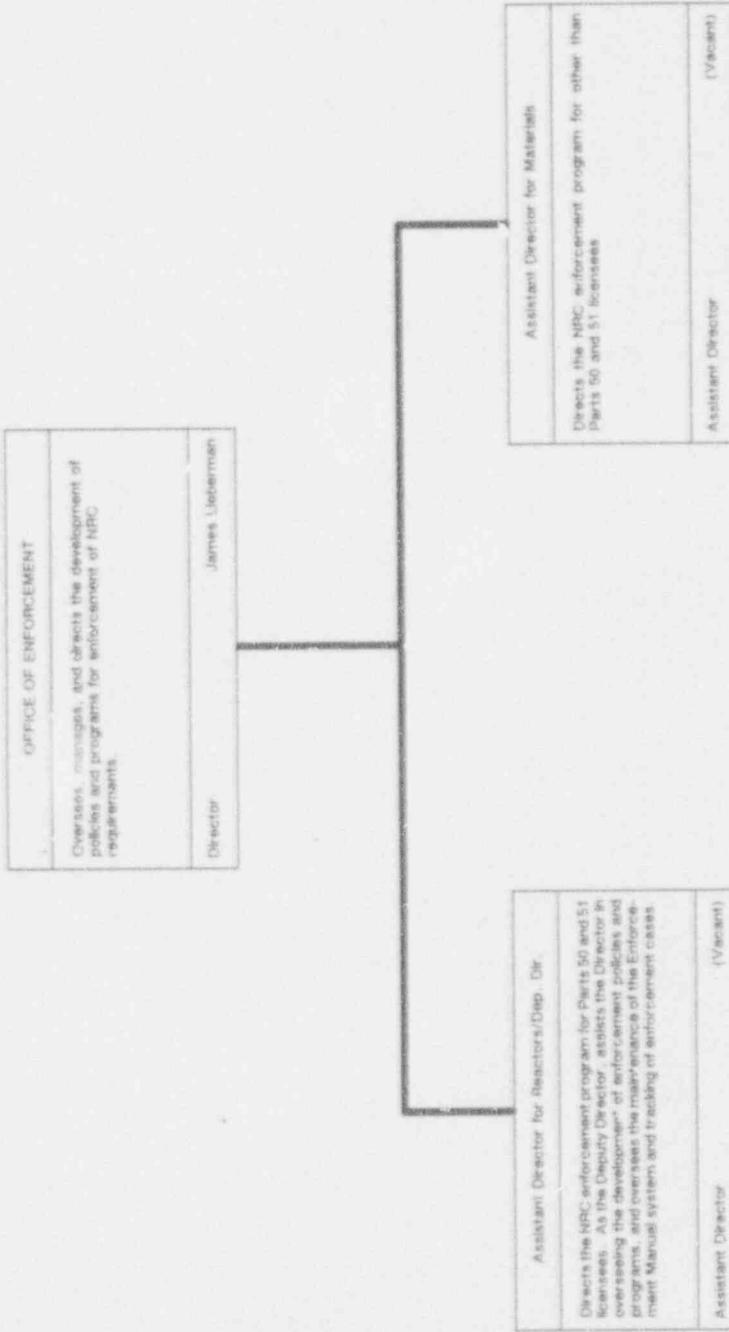
Develops conceptual framework for and implements NRC's automated financial management systems. Participates with GAO - Treasury, GAO, and other Federal agencies on financial management improvement initiatives; evaluates financial processing alternatives; and assesses financial reporting needs of NRC's Offices. Prepares special analyses and results of internal controls, cash management activities, and other Agency financial operations. Manages the on-site computer operations in the Division of Accounting and Finance. Develops cost principles and pricing policy. Administers all accounting requirements associated with the Chief Financial Officer (CFO) Act.

Chief
Robert E. Rakowski

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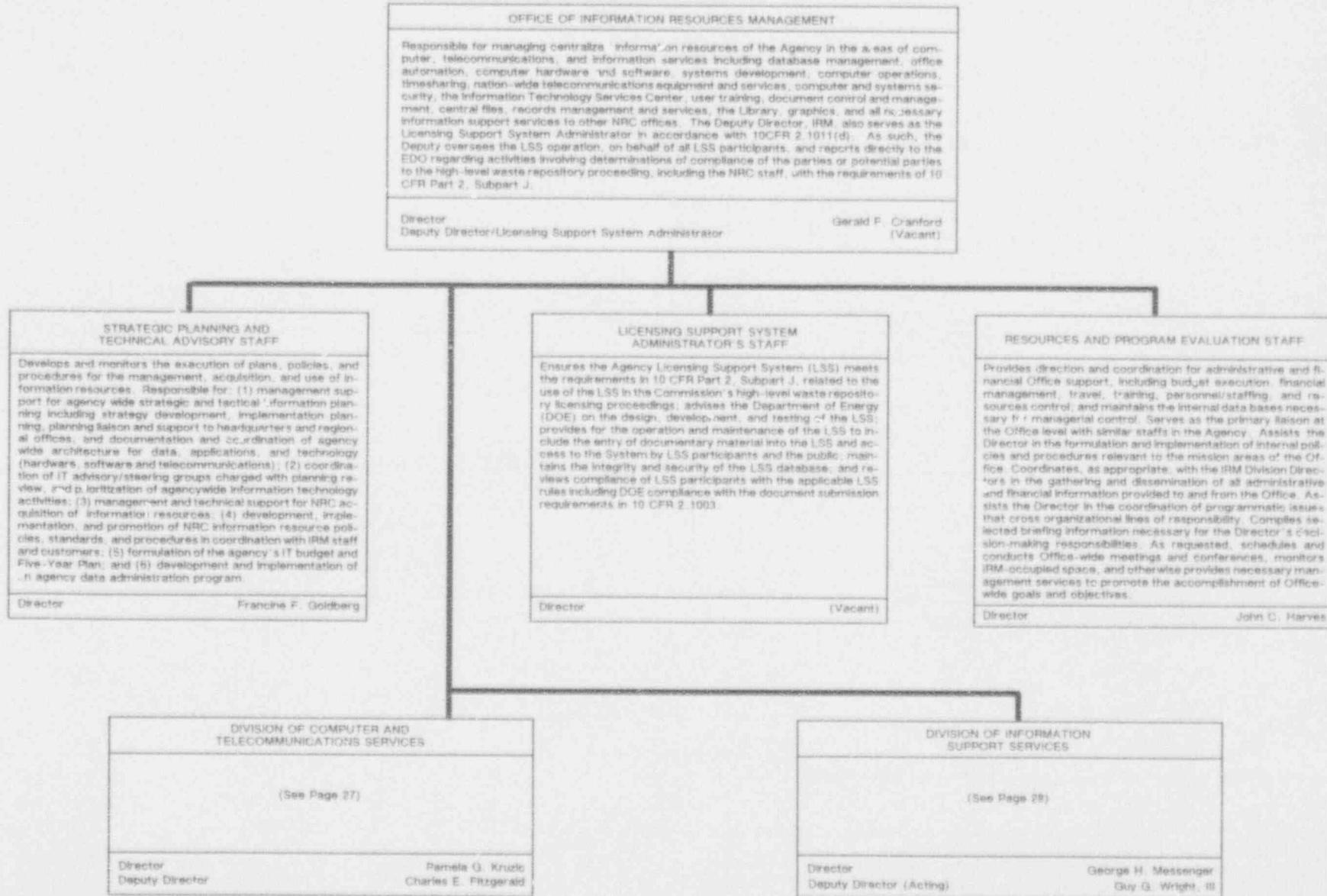
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OFFICE OF INFORMATION RESOURCES MANAGEMENT

DIVISION OF COMPUTER AND TELECOMMUNICATIONS SERVICES

Supervises all microcomputer and telecommunications equipment acquisition and development services, and Agency information systems development to assure the most effective delivery of services to NRC management and staff.

Pamela G. Krueger
James E. Fitzgerald
Director
Deputy Director

Office Automation and Network Development Branch

Analyzes the requirements of NRC Offices for automation and microcomputer systems. Designs and develops office automation and microcomputer systems to meet Agency information requirements. Prepares technical specifications for the procurement and acquisition of all microcomputer hardware, software, and technical assistance necessary for the design and development of office automation and microcomputer systems. Serves as property custodian for installed microcomputer systems and provides configuration management, information and inventory control for all Agency office automation and microcomputer equipment.

Chief (Acting)
James A. Shields

Telecommunications Branch

Analyzes the requirements of NRC Offices for telecommunications support. Researches, evaluates, recommends, and acquires all telecommunications equipment. Ensures continuity of service. Provides property custodian for all major telecommunications equipment. Provides telephone operator support to NRC personnel.

Chief (Acting)

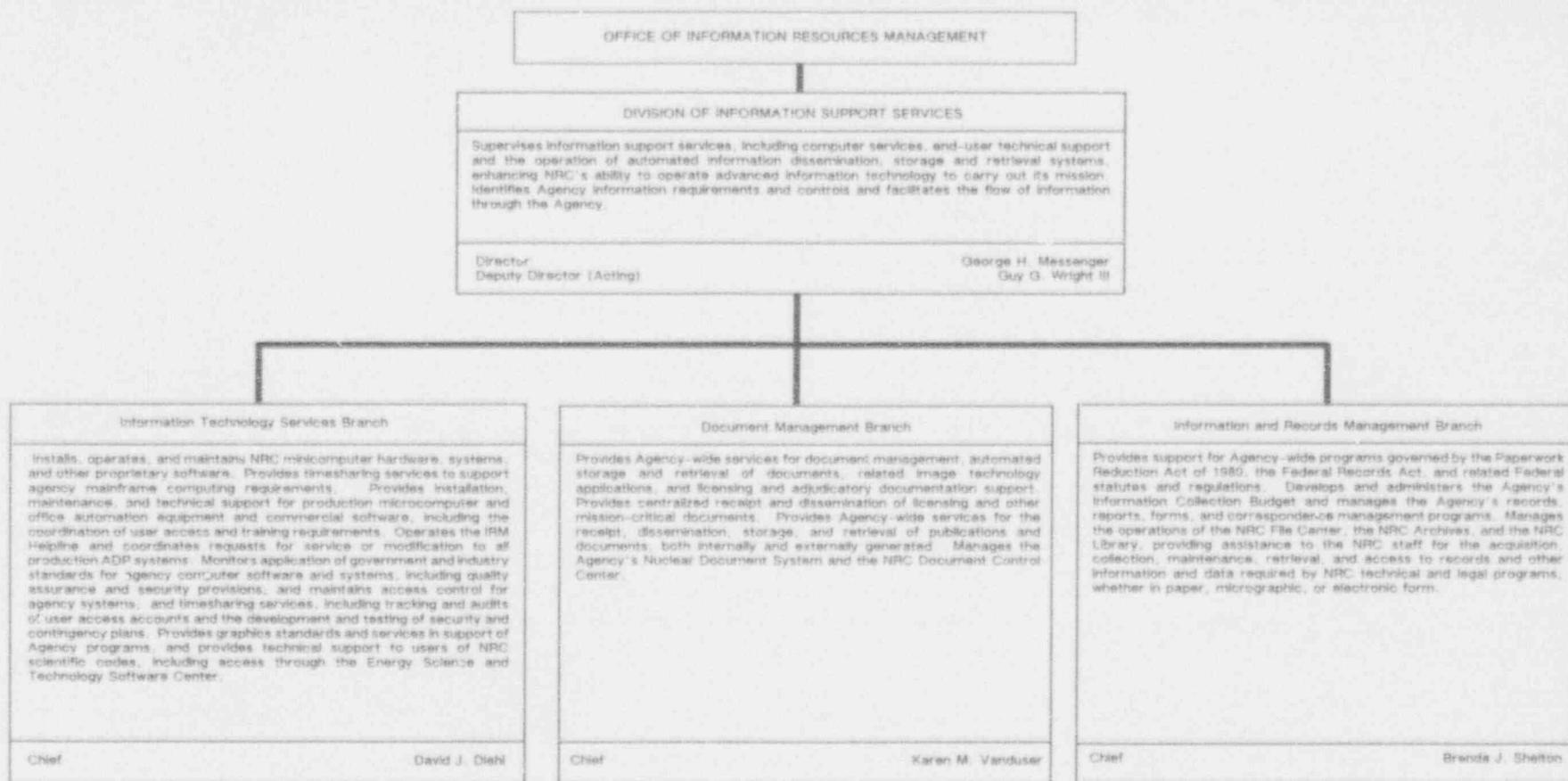
Systems Development Branch

Plans, develops, and maintains Agency wide data and system architectures that effectively support NRC functions and information needs. Implements data base management policies and procedures. Performs data and data base management activities necessary to support user and systems development needs and requirements. Develops data architecture through the development and maintenance of an integrated corporate data base. Develops systems development policies and procedures. Analyzes Handbooks and Regional office information requirements, performs systems development and implementation activities associated with all phases of the system life cycle, and implements the NRC systems architecture through the development and maintenance of Agency computer based information systems, including mainframe, microcomputer, and microcomputer based applications. Performs technology assessment and recommends acquisition of software development tools and data base management systems software required for the evolution of the NRC computing environment. Performs long and short-term data base and systems development planning in coordination with user office management to assure that OIRM is meeting and will continue to meet Agency information requirements.

Chief (Acting)
Christy Giannos, Jr.

Willard L. Madison

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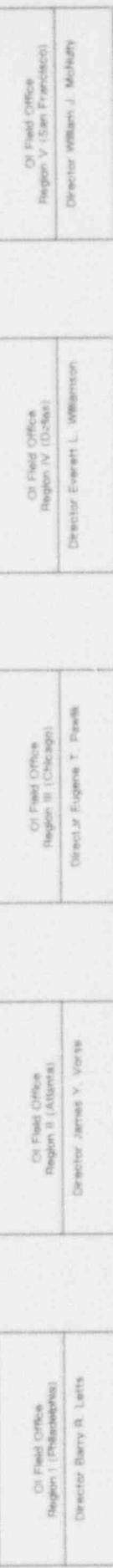


OFFICE OF INVESTIGATIONS

Conducts investigations of licensees, licensees' contractors or vendors, including the investigation of all allegations of wrongdoing by other than NRC employees and contractors. Develops policy, procedures, and quality control standards for the conduct of all OI investigations. Plans, coordinates, directs, and executes administrative affairs of the Office. Maintains current awareness of inquiries and inspections by other agency offices to identify the need for formal investigations. Keeps Commission principal currently informed of matters under investigation as they affect public health and safety matters. Makes appropriate referrals to the Department of Justice. Maintains liaison with other agencies and organizations to ensure the timely exchange of information of mutual interest; issues subpoenas where necessary or appropriate for the conduct of investigations.

Director
Deputy Director

Ben B. Hayes
Roger A. Fortuna



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OFFICE OF PERSONNEL	
Plans and implements NRC policies, programs, and services to provide for the effective organization, recruitment, placement, utilization, and development of the Agency's human resources. Provides for NRC health units. Administers the NRC-wide occupational health and safety and radiation protection programs, including workers' compensation; the employee assistance program, and the alcohol and drug abuse training program.	
Director	Paul E. Bird
Deputy Director	James F. McDermott
Associate Director for Employee Development and Training	Peter J. Goldman
Financial, Administrative and Resources Manager	R. J. Smith
Human Resources Information Systems Manager	Robert E. Losch
Assistant for Minority Recruitment and Career Development	Barbara D. Williams
Agency Health and Safety Program Manager	Randall E. Brown
Employee Assistance Program Manager	Patricia S. Kaplan

Executive and Operational Support Programs	
Plans, develops, and implements policies and programs for the recruitment, assignment, and appraisal of NRC executives. Implements executive orientation and leadership development policies and programs. Provides support for the Executive Resources Board and its committees. Coordinates assignments to international agencies. Administers the Agency's Distinguished Engineer, Distinguished Scientist, and Visiting Fellows programs. Provides centralized Office-wide paraprofessional support for personnel management and training operations. Maintains personnel records, including Official Personnel Folders, Employee Performance Files, and Medical/Radiation Records.	
Chief	Carolyn J. Swanson

Policy and Labor Relations	
Provides policy and labor relations guidance and supporting services to NRC staff. Develops and implements Agency labor-management relations policies and programs. Serves as Agency contact with union representatives. Negotiates and administers all collective bargaining agreements. Administers the agency grievance and appeal systems and Differing Professional Opinions process. Provides advice and management assistance on performance, conduct, disciplinary, and adverse action cases. Identifies, adopts, and implements statutes, regulations, GAO decisions, and OPM directives bearing upon NRC personnel management and labor relations. Provides personnel policy development and oversight. Conducts independent personnel management evaluations and program effectiveness reviews of agency personnel programs. Develops pay policies and distributes pay schedules. Develops and maintains Office personnel management delegations of authority. Administers FOIA and Privacy Act operations within the Office of Personnel.	
Chief	Michael J. Fox

Employment and Staffing Programs	
(See Page 31)	
Chief	Jacqueline F. Jackson

Organizational Development and Training	
(See Page 31)	
Chief	Eileen B. Mason

Workforce and Organization Analysis	
(See Page 31)	
Chief	James E. Horn

Recruitment, Incentive and Benefits Programs	
(See Page 31)	
Chief	Janice M. Clemens

Office Management Support	
(See Page 31)	
Chief	(Vacant)

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OFFICE OF PERSONNEL

Workforce and Organization Analysis

Provides personnel management program analysis. Collects, analyzes, and provides data on the characteristics, allocation, utilization, training and retention of NRC's workforce. Develops and implements the NRC Human Resources Strategic Plan. Develops and operates systems and procedures for processing, documenting, and recording personnel management, training and radiation exposure transactions. Develops, maintains, and provides appropriate access to Agency-wide human resource databases. Provides reports on personnel transactions, workforce trends, hiring separations, and FTE allocation and expenditures. Conducts a broad spectrum of personnel related information searches and reviews as necessary to assure the accuracy of compensation, currency, and usefulness of the data. Projects and analyzes workforce trends. Provides human resources data and analysis office support for Agency-wide EEO activities. Conducts position evaluation and position management review. Develops and implements policies and standards for organization and position realignment. Coordinates, reviews, and approves NRC Office staffing plans. Develops and administers management contract(s) for safety and security contractors. Conducts pay comparability surveys and administers initiatives and personnel issues.

Chief

James E. Horn

Employment and Staffing Programs

Provides employment and staffing advice and services to NRC managers and employees. Implements placement and merit promotion programs. Implements equal employment opportunity and affirmative action programs and activities. Administrates the intern, study in, active, upward mobility, and summer programs. Monitors FTE consumption levels and provides advice and recommendations concerning hiring strategies and FTE management at both the Agency and office levels. Evaluates significant qualifications. Plans and intergrates intra- and inter-agency rotational assignment activities.

Jacqueline F. Jackson

Chief

Organizational Development and Training

Provides organizational development, training, and career counseling services for NRC employees. Evaluates the human resources development factors contributing to an effective organizational environment. Plans, develops, and implements policies and programs for Technically-related, scientific, engineering professional training and apprenticeship programs. Provides technical training (other than Reactor Probabilistic Risk Assessment) provided by the Technical Training Center. Administrates the NRC Agency's Senior Fellowship Program. Provides consulting services to Agency employees. Administrates the data processing and office automation training programs to support upward mobility and equalized learning center goals. Provides and uses computer training for NRC accessible automated development and training programs. Provides alternative training media and methods and implements agency executive, management, and supervisory development programs. Provides information, assistance and counsel to employees on career development.

Chief

Eileen B. Mason

Recruitment, Incentive and Benefits Programs

Provides recruitment, incentive awards, and benefits services to the Agency. Develops and implements recruitment needs of the Agency. Develops and implements recruitment plans to attract women, minorities and persons with disabilities. Conducts and coordinates recruitment programs and maintains applicant files. Administrates the Agency's suggestion, incentive programs and activities. Provides information, assistance and counseling to Agency employees, former spouses and dependents on health and life insurance benefits. Manages the Agency's centralized recruitment and placement program. Manages the Agency's centralized recruitment and placement program. Administrates the Handicapped Employee Program.

Chief

Jeanne M. Clements

Office Management Report

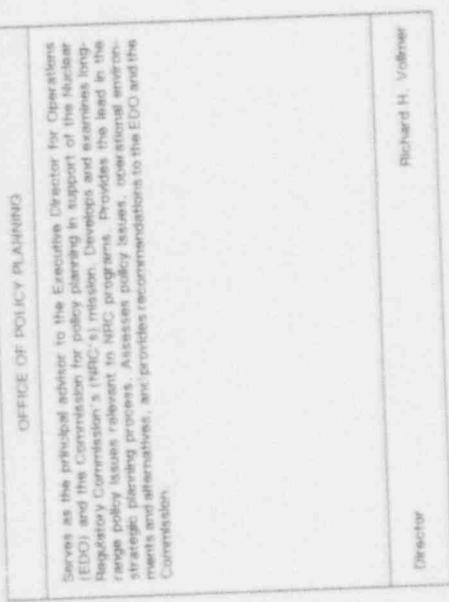
Provides centralized office-wide administrative, secretarial and clerical services. Manages and directs the Office-wide clerical staff.

Chief

(Vacant)

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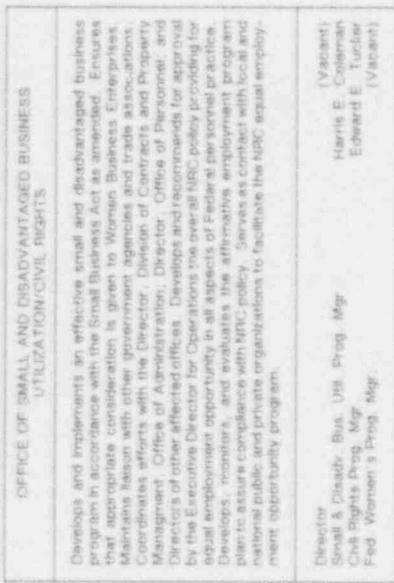


OFFICE OF POLICY PLANNING

Serves as the principal advisor to the Executive Director for Operations (EDO) and the Commission for policy planning in support of the Nuclear Regulatory Commission's (NRC's) mission. Develops and evaluates long-range policy issues relevant to NRC programs. Provides the lead in the strategic planning process. Assesses policy issues, operational environments, and alternatives, and provides recommendations to the EDO and the Commission.

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OFFICE OF STATE PROGRAMS

The Office of State Programs is responsible for establishing and maintaining good relations and working relationships between the NRC, States, Local Governments, other Federal Agencies and Indian Tribe Organizations. Serves as primary contact for policy matters between NRC and these external groups. Keeps the Agency apprised of these groups' activities as they may affect NRC and conveys to NRC management these Federal Agencies' views toward NRC policies, plans, and activities. Coordinates liaison with other Agreements Program; provides training and technical assistance to Agreement States; agrees Federal regulatory authorities, and maintains cooperative and liaison activities with States.

Director
Deputy Director
Federal Liaison

Carlton K. Kammeyer
Sheldon A. Schwartz
Maria Lopez-Otin

ASSISTANT DIRECTOR FOR STATE AGREEMENT PROGRAMS

Plans, implements, and manages the State Agreements program under the provisions of Section 274 of the Atomic Energy Act of 1954, as amended. Monitor the State Agreements program to evaluate its compatibility with the NRC regulation program and adequacy to protect the public health and safety. Provides and coordinates training and technical assistance to the Agreement States. Recommends and administers policy for the Agreement Officers located in Regional Offices. Provides technical support and guidance to other NRC offices where functional responsibilities require liaison with State Agreement programs for technical adequacy and consistency.

Vandy L. Miller
Assistant Director

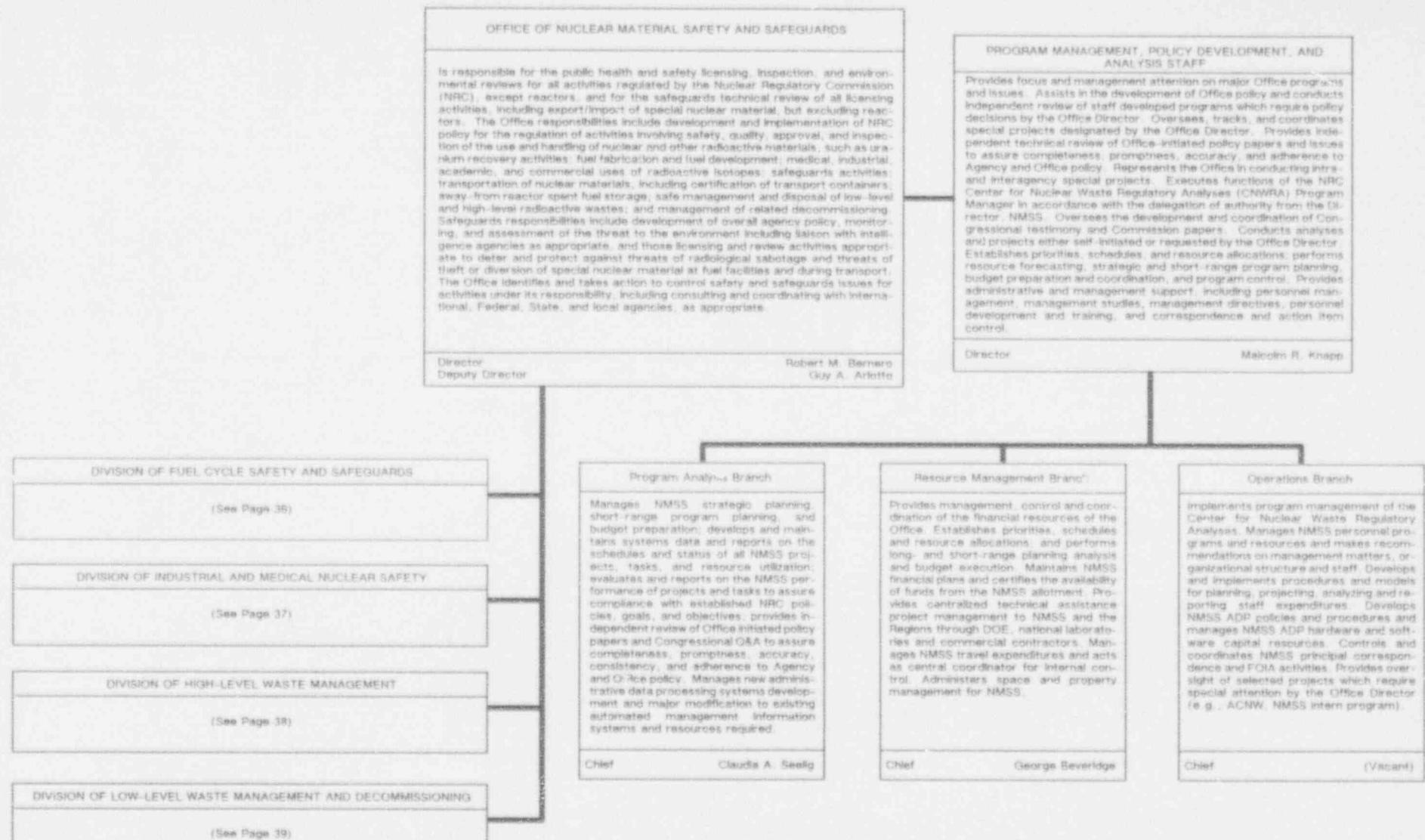
ASSISTANT DIRECTOR FOR STATE LOCAL AND INDIAN RELATIONS

Participates in formulation of policies involving NRC/State cooperation and liaison; develops, structures, administers and coordinates programs for cooperation and interagency Federal and State regulatory activities; maintains liaison between NRC, and state, interstate, regional, Indian Tribe, and quasi-governmental organizations on regulatory matters; monitors nuclear-related State legislative activities; and directs regulatory activities of State Liaison Officers located in Regional Offices. Participates in policy matters concerning State Public Utility Commissions (PUCs).

Sheldon A. Schwartz
Assistant Director

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OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS

DIVISION OF FUEL CYCLE SAFETY AND SAFEGUARDS

Develops, implements, and evaluates overall agency safety and safeguards policy for fuel cycle facilities licensed under the Atomic Energy Act of 1954, as amended, or certified in accordance with the Energy Policy Act of 1992. Directs the NRC's principal licensing, certification, inspection and regulatory activities associated with these facilities to assure adequate safety and safeguards. Coordinates with NRR to assure consistency in the implementation of the safeguards program for reactors. Reviews protection afforded weapons-usable special nuclear material in the licensed and license-exempt sectors to maintain comparability. Develops NRC's design basis threats, assesses threats to the domestic environment affecting all NRC licensed activities, and continually reviews the adequacy of design basis threats based on domestic and foreign events and intelligence information. Identifies and takes action to resolve safety and safeguards issues, and directs NRC contingency planning and emergency response operations dealing with accidents, events, incidents, threats, thefts, or radiological sabotage relating to licensed activities under its responsibility. Reviews the international safeguards and physical protection technical aspects of export/import licensing and retransfer requests. Conducts and coordinates NRC activities in support of implementation of IAEA safeguards at NRC licensed facilities. Conducts efforts to strengthen IAEA safeguards, and safeguards in other countries. Provides technical support for training and guidance to NRC regional offices and Agreement State licensing and inspection staff.

Director

Robert F. Burnett

DEPUTY DIRECTOR FOR FACILITIES

Deputy Director

John T. Greaves

Licensing Branch

Reviews programmatic activities and identifies technical and policy options for regulations, regulatory guides, and policy statements associated with licensing of fuel cycle facilities. Conducts health physics, radiation and nuclear safety, material control and accounting, physical protection, and other appropriate analyses, and follow-up reviews related to licensing of natural uranium conversion and production plants, and enriched uranium and plutonium fuel processing and fabrication plants. Conducts environmental assessments and prepares environmental impact statements related to licensing actions. Provides programmatic direction to the licensing programs in the Regions related to these activities. Provides technical support and guidance to the Regions on fuel cycle licensing activities, and upon request, to the Agreement States. Provides technical support for safety and safeguards incident management and emergency response at fuel cycle facilities.

Chief

(Vacant)

Enrichment Branch

Reviews programmatic activities and develops technical and policy options for regulations, certification criteria, regulatory guides and policy statements associated with enrichment facilities. Conducts safety, safeguards and other appropriate reviews, environmental assessments and impact statements, and analyses associated with the regulation and licensing or certification of enrichment facilities. Develops and implements certification procedures for gaseous diffusion enrichment plants, in accordance with the Energy Policy Act of 1992. Regulates atomic vapor laser isotope separation (AVLIS) activities and other advanced enrichment technologies. Provides technical support and guidance to the Regions regarding licensing or certification of enrichment facilities. Provides technical support for incident management and emergency response at enrichment facilities.

Chief

John Hickay

Operations Branch

Develops and directs implementation of policies and programs for inspection and oversight of fuel cycle facilities. Develops inspection procedures for and conducts criticality, process safety, and material control and accounting (MC&A) inspections at fuel cycle facilities. Leads fuel cycle facility safety and safeguards team assessments. Provides regional oversight, develops policies and procedures for assessing regional performance of fuel cycle licensing, certification and inspection activities, and conducts such assessments. Provides technical support and guidance to the Regions on fuel cycle facility inspection activities, and upon request, to Agreement States. Reviews inspection reports to identify safety and safeguards issues/actions. Plans, coordinates and manages contingency planning and emergency responses activities for safety and safeguards events at fuel cycle facilities. Manages the sensitive compartmented information facility at White Flint II. Coordinates with the intelligence community, assesses the threat to the environment affecting licensed activities, and reviews the adequacy of NRC's design basis threats based on domestic and foreign events and intelligence information. Conducts pattern and trend studies based on analysis of domestic and foreign events. Manages utilization of the Nuclear Materials Management and Safeguards System.

Chief

Charles N. Smith

Regulatory and International Safeguards Branch

Establishes requirements and develops technical bases for new safety and safeguards rules and regulatory guidance for NRC licensed fuel facilities; develops and issues Information Notices and Bulletins, and develops licensing criteria, in support of the regulations, that will be used by license reviewers. Conducts reviews of the international safeguards and physical protection technical aspects of export and import licensing and retransfer requests; conducts technical evaluations of the safeguards provisions of agreements for cooperation; conducts efforts, in conjunction with U.S. coordinated activities, to support the strengthening of International Atomic Energy Agency (IAEA) safeguards; conducts, with participation of other U.S. government agencies, on-site reviews of foreign physical protection programs; conducts and coordinates NRC activities in support of implementation of IAEA safeguards at selected NRC licensed facilities under the US/IAEA Safeguards Agreement; and conducts, in conjunction with U.S. coordinated activities, efforts to enhance safeguards programs in other countries including the republics of the former Soviet Union. Maintains a safeguards operational data analysis program which identifies characteristics, performance indicators, and precursors of trends in safeguards operational experience for NRC licensed facilities.

Chief

Theodore S. Sherr

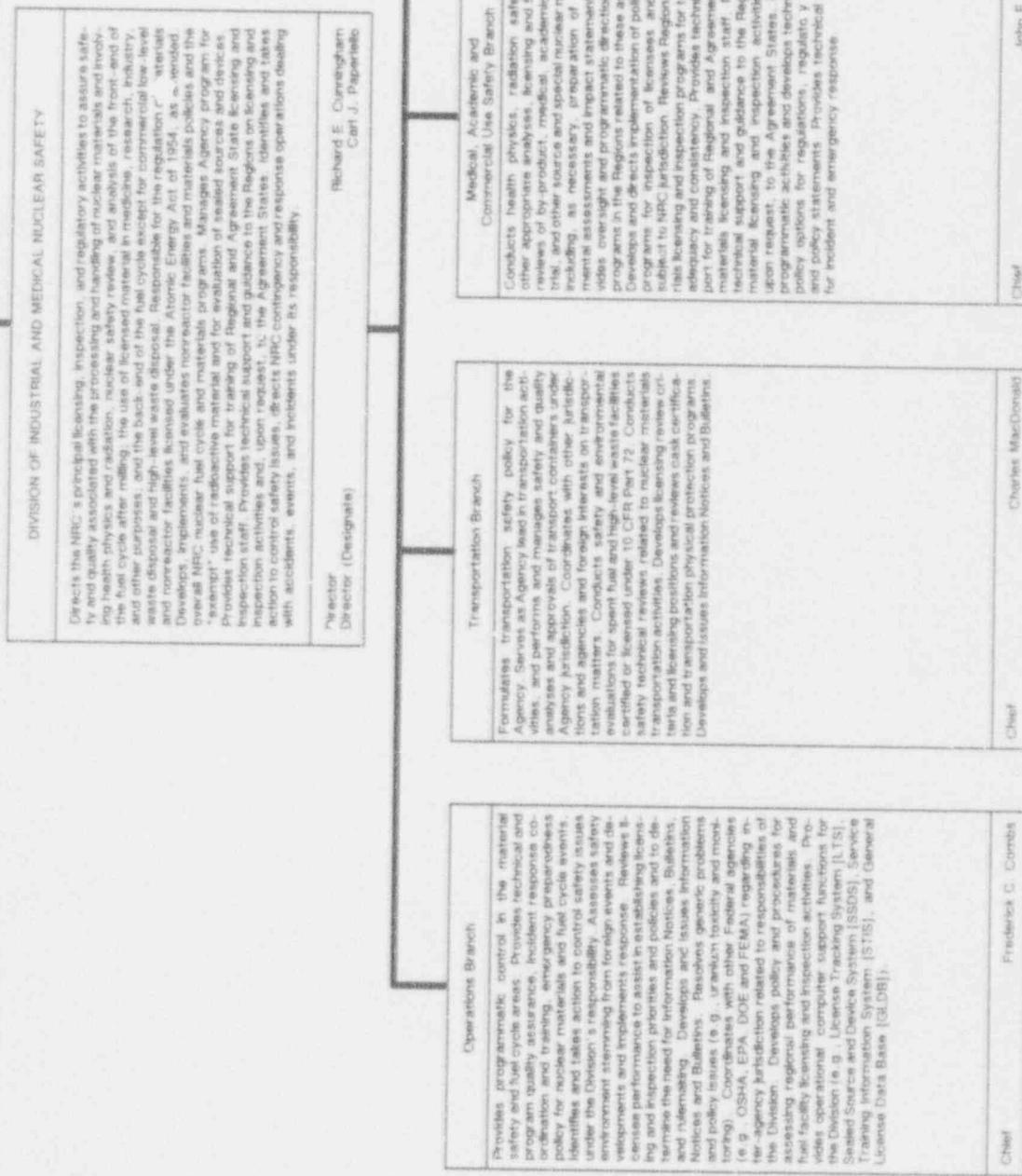
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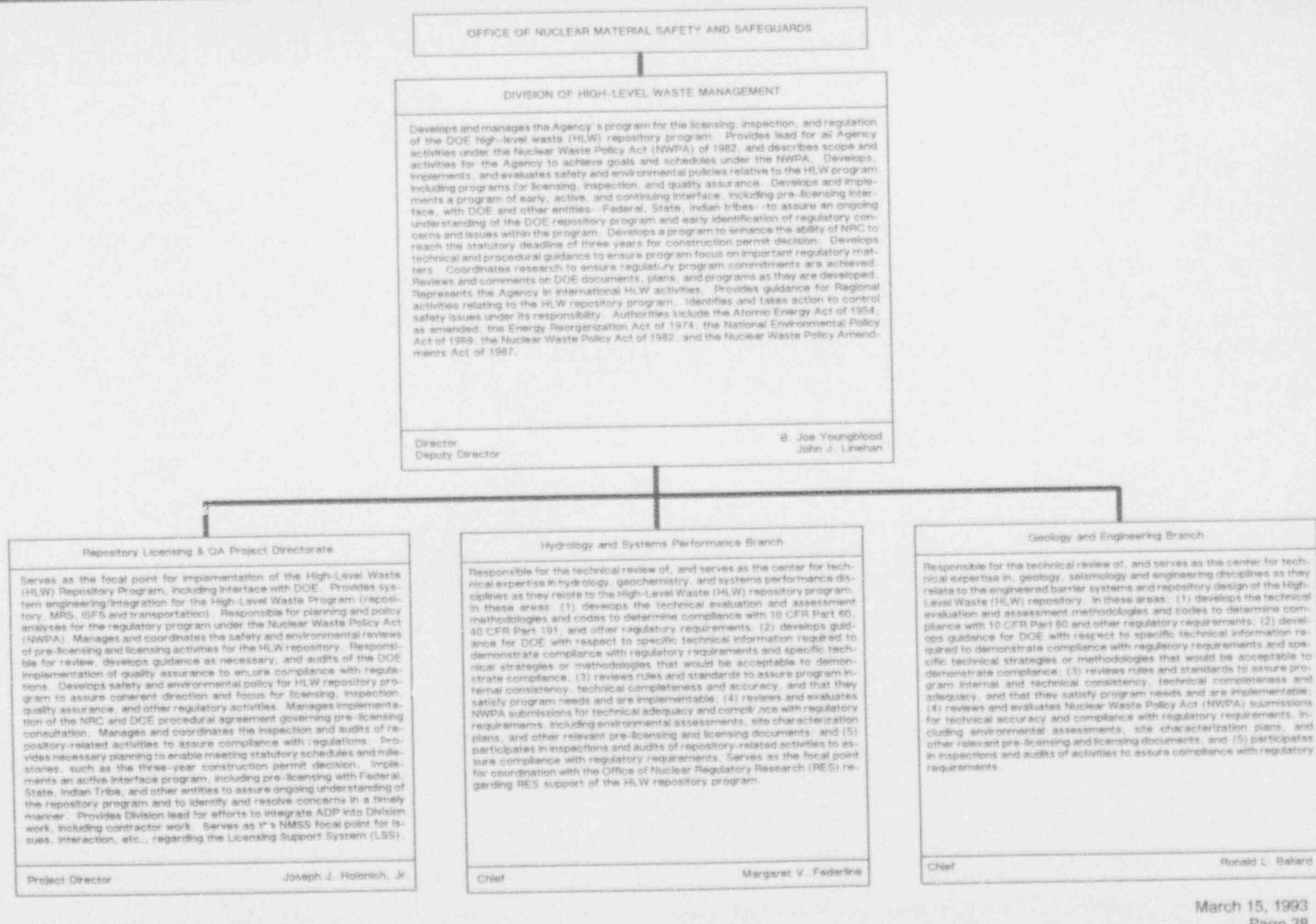
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OFFICE OF NUCLEAR MATERIAL SAFETY AND SAFEGUARDS

DIVISION OF LOW-LEVEL WASTE MANAGEMENT AND DECOMMISSIONING

Directs the NRC's program for the licensing, inspection, and regulation to assure safety and quality associated with the management, treatment, and commercial disposal of low-level nuclear waste (LLW), uranium recovery (UR) activities including mill tailings management, and material facility and power reactor decommissioning. Develops, implements, and evaluates safety and environmental policies and long-range goals for these activities. Identifies and takes action to control safety issues under its responsibility. Interacts with other NRC Offices, Federal and State organizations, and jurisdictions on matters under its cognizance. Coordinates within NRC so that consistent criteria are developed for acceptable LLW disposal, UR activities, and decommissioning practices. Coordinates research to assure regulatory program commitments are achieved. Represents the Agency in international LLW and UR activities; provides guidance for Regional activities relating to LLW disposal, UR activities, and decommissioning; and serves as Agency lead for DOE's Remedial Action Plans. Authorities include the Atomic Energy Act of 1954, as amended; the Energy Reorganization Act of 1974, as amended; the National Environmental Policy Act of 1969; the Uranium Mill Tailings Radiation Control Act of 1978, as amended; the Low-Level Radioactive Waste Policy Act of 1980; and the Low Level Radioactive Waste Policy Amendments Act of 1985 (LLRWPA).

Director
Deputy Director

Richard L. Bangert
E. William Brach

Low-Level Waste Management Branch

Manages and directs the NRC regulatory program associated with the management and disposal of LLW. Plans and directs the program for: (1) safety and environmental evaluation of applications for licenses for LLW disposal including site suitability, facility design, operations, engineered barriers, waste form, packaging, and closure and post-closure activities; (2) inspection and assessment of operational safety for existing facilities; (3) development of technical evaluation and assessment codes, policy options, technical positions, inspection procedures, and other guidance documents for evaluation of LLW management and disposal; (4) identification, collection, and maintenance of information on the status of LLW operations, management, and disposal from NRC and Agreement State licensees; and (5) oversight and review of Regional Offices' performance of LLW matters under the Division's cognizance. Provides assistance to the State Programs in the review of Agreement State LLW regulatory programs, provides provisions of technical assistance to States and Compacts consistent with the LLRWPA and in the Agreement States' regulation of LLW disposal facilities under their jurisdiction. Identifies research needs and serves as Division liaison with the Office of Nuclear Regulatory Research in developing and executing programs to fill these needs. Provides for direction of technical assistance contracts and consultants in support of the above functions.

Chief

Paul H. Lohaus

Uranium Recovery Branch

Serves as NRC focal point for licensing and operational safety activities associated with the management and disposal of UR activities including mill tailings management. Conducts health physics, geohydrologic, geotechnical, and other appropriate analyses in support of NRC's evaluation of and concurrence in the Department of Energy's Remedial Action Plans for cleanup of inactive uranium sites and contaminated vicinity properties as required under the Uranium Mill Tailings Radiation Control Act of 1978. Plans and directs the program for UR activities including mill tailings management and decommissioning that encompasses: (1) oversight and programmatic direction for UR programs in the Regions; (2) implementation of policies and programs for inspection of UR licensees and DOE's inactive uranium sites and contaminated vicinity properties; and (3) reviews Regional UR licensing and inspection programs for technical adequacy and consistency. Provides technical support and guidance to the Regions, and upon request, to the Agreement State UR licensing and inspection staff. Reviews programmatic activities and develops technical and policy options for regulations, regulatory guides, and policy statements. Provides technical support for incident and emergency response relating to UR operations.

Chief

John J. Surmaier

Decommissioning and Regulatory Issues Branch

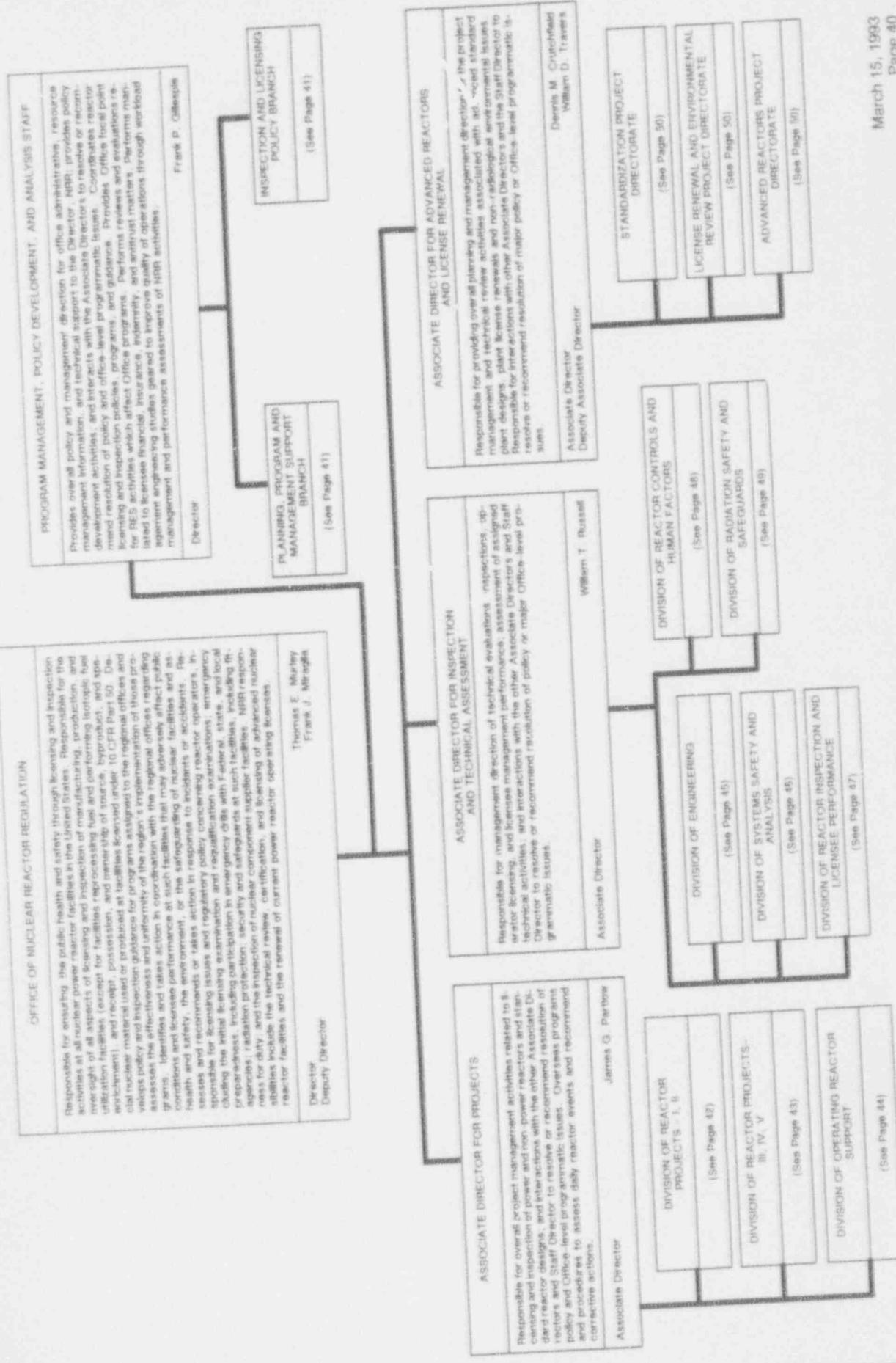
Manages and directs NRC regulatory development activities under the Uranium Mill Tailings Radiation Control Act of 1978 and the Low-Level Radioactive Waste Policy Amendments Act of 1985 (LLRWPA). Responsible for interagency and international coordination, development of policy, practices, and long-range goals, and initiates the development of standards and guides for matters under the Division's cognizance. Conducts special projects and develops policy options for improving regulatory programs for disposal of low-level wastes, uranium mill tailings, and decommissioning of nuclear facilities. Develops regulatory programs for management of mixed and greater-than-Class-C wastes, and for quality assurance of low-level waste disposal facilities. Plans and directs the program for financial assurance of licensees (other than Price-Anderson) and decommissioning of power reactor and materials licensees. Reviews and recommends action on decommissioning plans for power reactors prior to transfer from NRC to NMSS, except financial aspects. Plans, directs, and carries out all licensing reviews and actions for power reactors transferred to NMSS, and directs and oversees the related assistance for, and plans, directs, and oversees all regional licensing and inspection activities related to decommissioning.

Chief

John H. Austin

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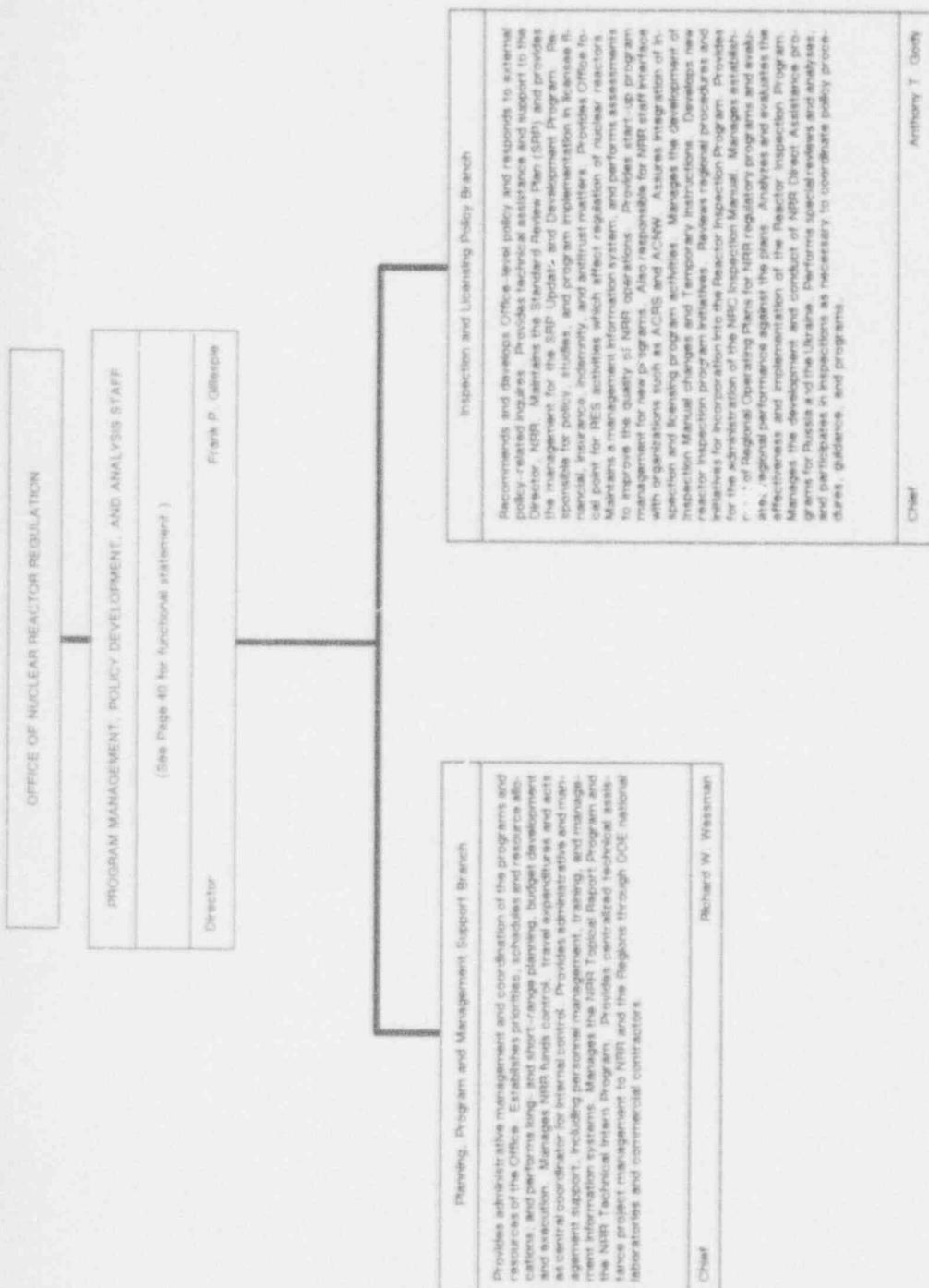
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OFFICE OF NUCLEAR REACTOR REGULATION

DIVISION OF REACTOR PROJECTS (1/1)

Implements the policy, programs, and activities, including coordinating licensing, inspection, technical review, and licensee performance associated with the overall safety and environmental protection management for nuclear power reactors located in Regions I and II. Participates in special team inspections, and assesses Regional project activities.

Director
Steven A. Varga

Assistant Director for Region I Projects

Plans, directs, and supervises the project management activities and monitors daily reactor operations for power reactors located in Region I.

Assistant Director
Jose A. Calvo

Assistant Director for Region II Projects

Plans, directs, and supervises the project management activities and monitors daily reactor operations for power reactors located in Region II.

Assistant Director
Gus C. Laikeis

Project Directorates I-1 through II-4

Perform the overall safety and environmental project management and monitor daily operations of nuclear reactors in Region I. Assist Region II in monitoring and performing routine and special inspection activities. (Reactors owned by one utility or related utilities will be assigned to the same Project Directorate.) Manage the review and processing of applications for licensed work authorizations, construction permits, operating licenses, implementation of agency approvals, requirements, and license amendments. Serve as Headquarters contact with licensees and applicants. Coordinate the preparation of Safety Evaluation Reports and Environmental Impact Statements. Coordinate and provide presentations to the Commission, ACPS, industry groups, and other government offices on specific projects and subjects. Participate in special inspections.

Directorate I-1 - Director
Directorate I-2 - Director
Directorate I-3 - Director
Directorate I-4 - Director

Robert A. Capia
Charles L. Miller
Walter R. Butler
John F. Stratz

Project Directorates II-1 through II-4

Perform the overall safety and environmental project management and monitor daily operations of power reactors in Region II. Assist Region III in monitoring and performing routine and special inspection activities. (Reactors owned by one utility or related utilities will be assigned to the same Project Directorate.) Manage the review and processing of applications for licensed work authorizations, construction permits, operating licenses, implementation of agency approvals, requirements, and license amendments. Serve as Headquarters contact with licensees and applicants. Coordinate the preparation of Safety Evaluation Reports and Environmental Impact Statements. Coordinate and provide presentations to the Commission, ACPS, industry groups, and other government offices on specific projects and subjects. Participate in special inspections.

Directorate II-1 - Director
Directorate II-2 - Director
Directorate II-3 - Director
Directorate II-4 - Director

Elmer G. Alderson
Herbert N. Berkow
David B. Matthews
Frederick J. Hebdon

ORGANIZATIONAL CHART

U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF NUCLEAR REACTOR REGULATION

DIVISION OF REACTOR PROJECTS: III/IV/V

Implements the policy, programs, and activities, including coordinating licensing, inspection, technical review, and licensee performance associated with the overall safety and environmental related management for individual power reactors located in Regions III, IV, and V. Participates in special team inspections, and assesses Regional project activities.

Director

Jack W. Roe

Project Directorates III, I through III, 3

Perform the overall safety and environmental management and monitor daily operations of power reactors in Region III. Assist Region II in monitoring and performing routine and special inspection activities. (Reactors owned by one utility or related utilities will be assigned to the same Project Directorate.) Manage the review and processing of applications for limited work authorizations, construction permits, operating licenses, and license amendments. Serve as Headquarters' contact with licensees and applicants. Coordinate the preparation of Safety Evaluation Reports and Environmental Impact Statements. Coordinate and provide presentations to the Commission, ACRS, industry groups, and other government offices on specific projects and subjects. Participate in special inspections.

Project Directorate III-1 — Director
Project Directorate III-2 — Director
Project Directorate III-3 — Director

John A. Zemeloff

Assistant Director for Region III Reactors

Plans, directs, and supervises the project management activities and monitors daily reactor operations for power reactors located in Region III.

Assistant Director

Martin J. Virgin

Assistant Director for Region IV and V Reactors

Plans, directs, and supervises the project management activities and monitors daily reactor operations for power reactors located in Region IV and V.

Assistant Director

John T. Larkins

Project Directorates IV-1, IV-2 and V

Perform the overall safety and environmental project management and monitor daily operations for power reactors in Regions IV and V. Assist Regions IV and V in monitoring and performing routine and special inspection activities. (Reactors owned by one utility or related utilities will be assigned to the same Project Directorate.) Manage the review and processing of applications for limited work authorizations, construction permits, operating licenses, implementation of agency environmental requirements, and license amendments. Serve as Headquarters' contact with licensees and applicants. Coordinate the preparation of Safety Evaluation Reports and Environmental Impact Statements. Coordinate and provide presentations to the Commission, ACRS, industry groups, and other government offices on specific projects and subjects. Participate in special inspections.

Project Directorate IV-1 — Director

Project Directorate IV-2 — Director

Project Directorate V — Director

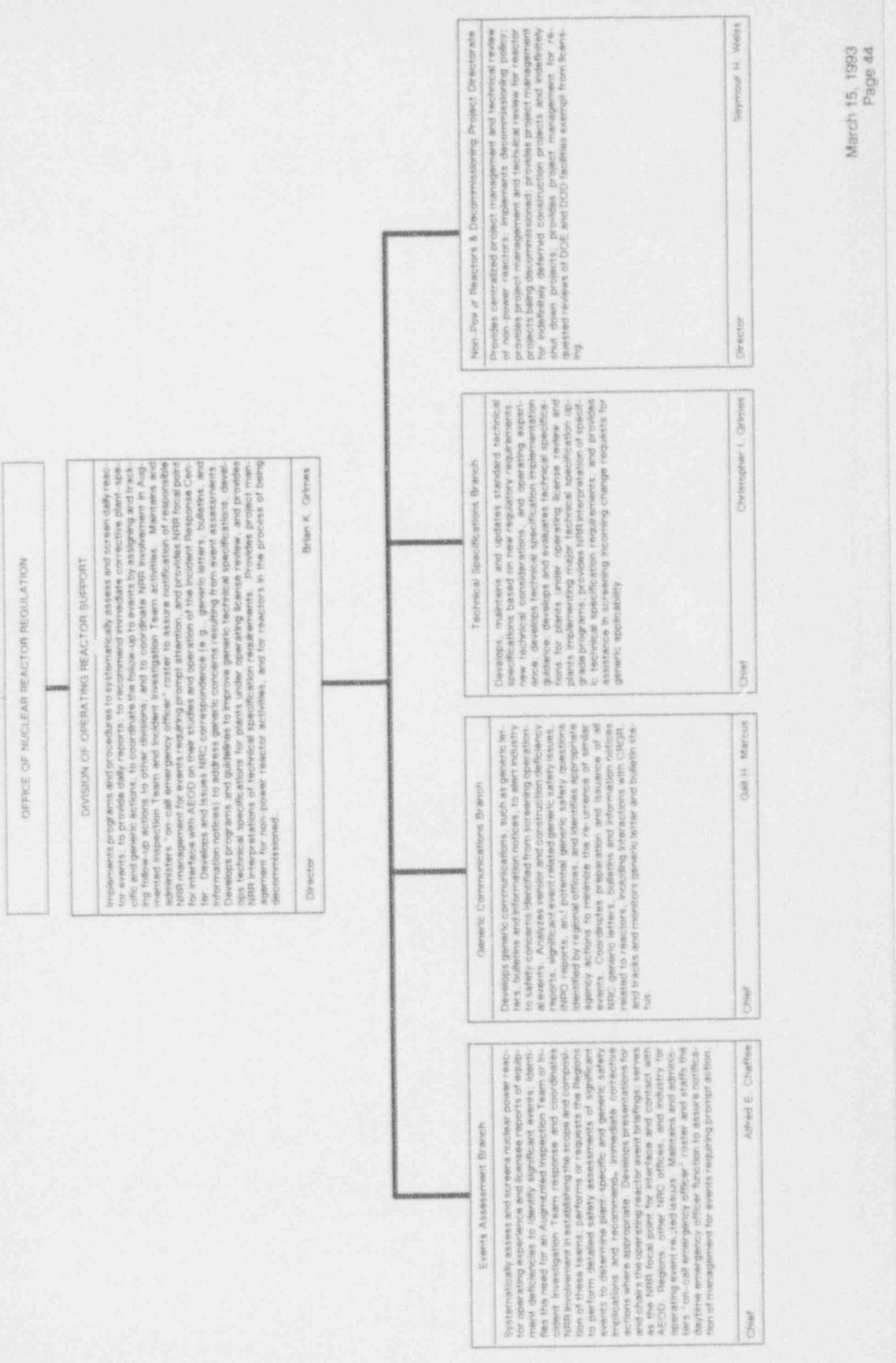
Suzanne C. Black

Theodore R. Quay

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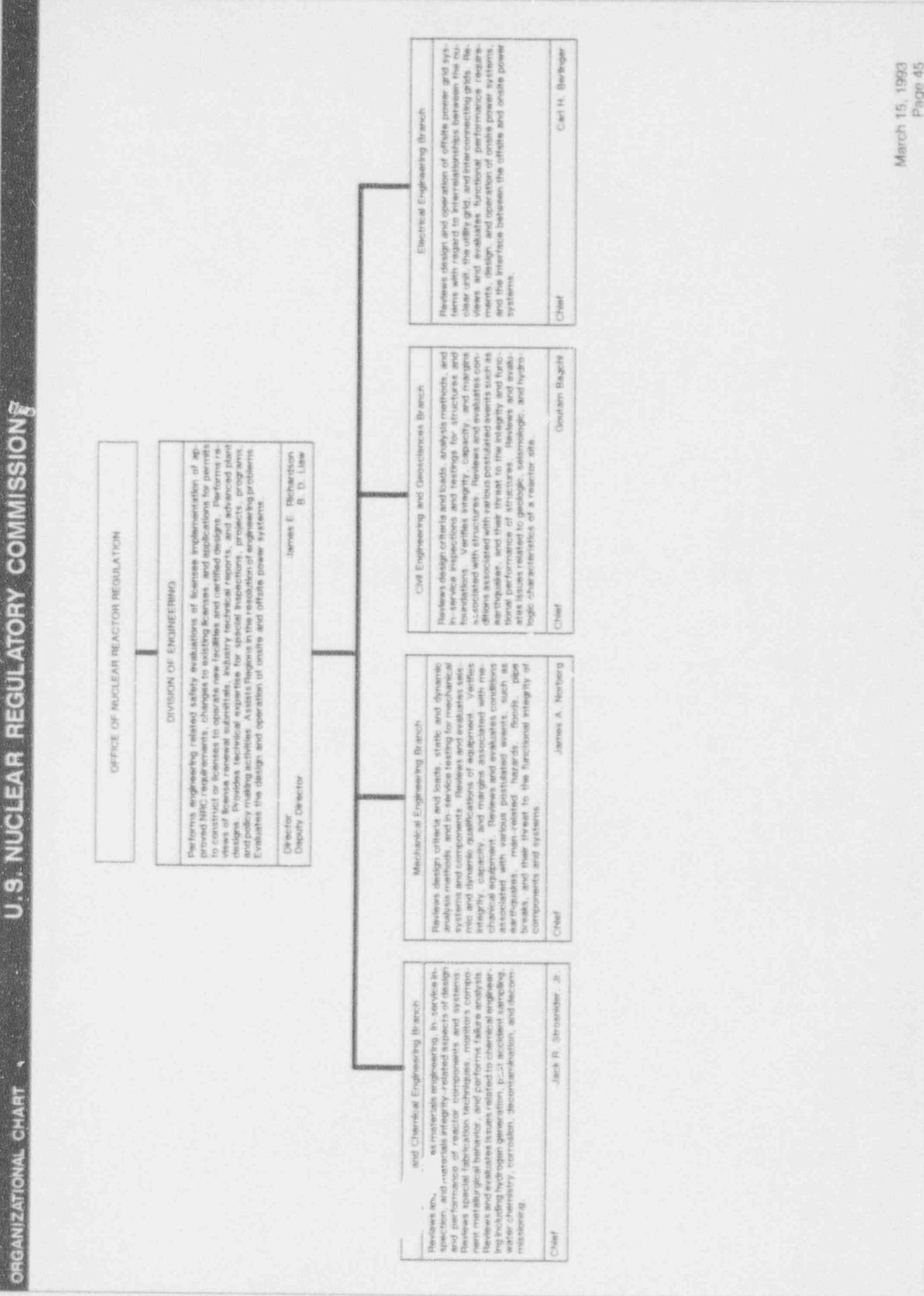
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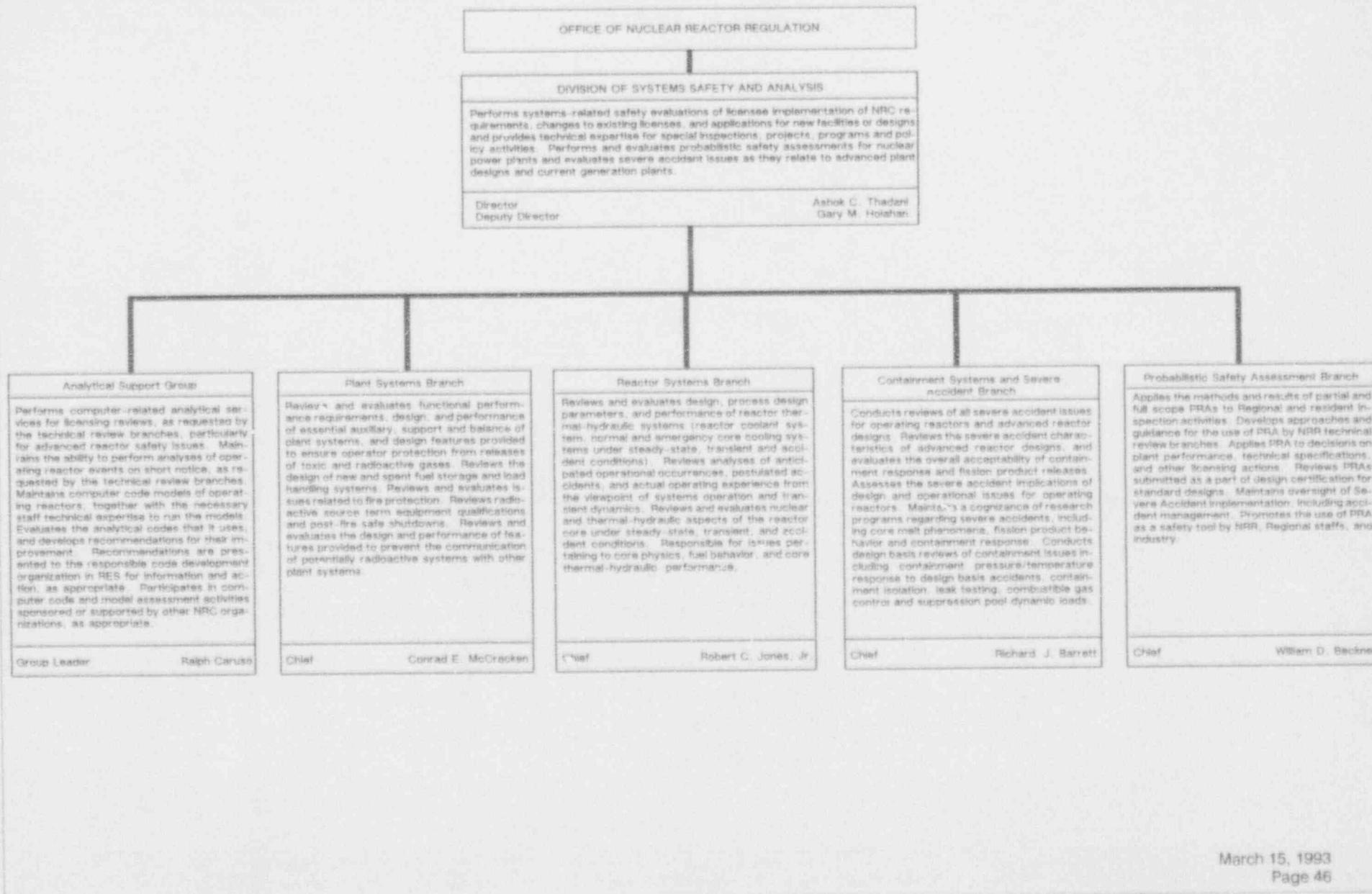
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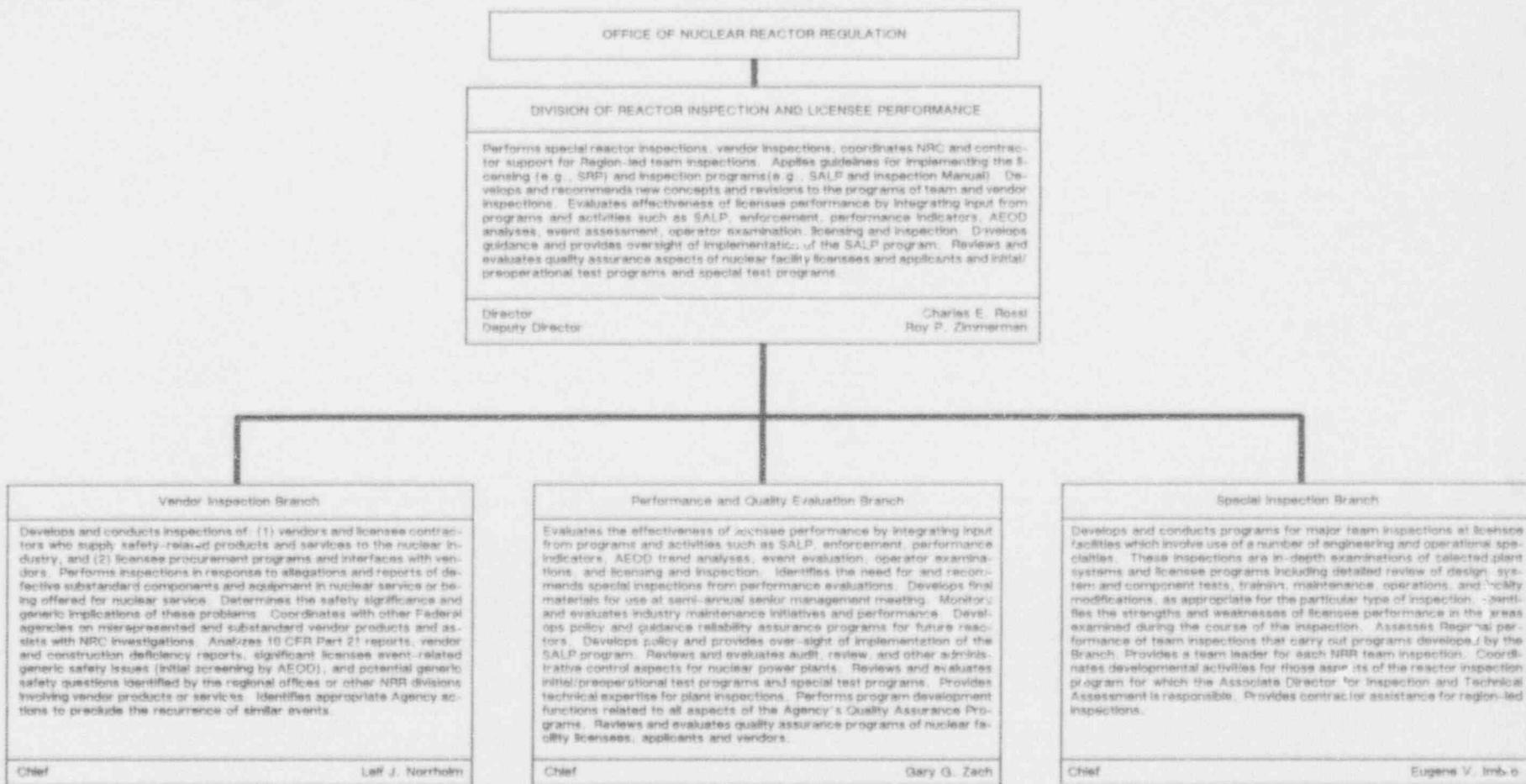
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U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF NUCLEAR REACTOR REGULATION

DIVISION OF REACTOR CONTROLS AND HUMAN FACTORS

Reviews and evaluates the functional performance requirements, design, and performance of nuclear power plant instrumentation and control systems. Develops programs and conducts reviews to ensure the effective consideration of human factors, including in nuclear power plant design and operation, and the adequacy of facility training programs and emergency operating procedures. Develops policies and guidance, and implements the National program for the licensing of nuclear reactor power plants.

Director
Deputy Director

Bruce A. Boger
Carol G. Thomas, Jr.

Instrumentation and Controls Branch

Reviews and evaluates functional performance requirements, design, and performance of reactor trip systems, engineered safety features activation systems, and instrument and control systems provided to protect the essential safety support systems, and instrumentation of safe shutdown systems. Reviews and evaluates functional performance requirements, design, and performance of plant instrumentation providing information regarding manually initiated and controlled safety functions.

Chief
(Vacant)

Human Factors Assessment Branch

Develops training and qualification programs at nuclear reactors, and monitors and evaluates industry initiatives regarding personnel training. Provides technical expertise for emergency operating procedures and guidelines. Provides technical inspections, merit investigations, projects, programs, assessments of management effectiveness, and policy activities. Provides assessments of management effectiveness, and human machine interfaces. Develops Human Factors engineering design of the control room and control centers outside of the main control room. Reviews or grants approvals to facilities and contractors of operating reactors. Develops and evaluates implementation of policy issues such as training, working hours, and human performance.

Chief
(Vacant)

Operator Licensing Branch

Responsible for overall licensing and regulatory policy pertaining to licensing of operating reactors pursuant to 10 CFR Part 55. Responsible for new power and power reactor license applications and operations. Conducts non-power reactor operator evaluations nationwide. Provides program guidance to regional offices for administration of evaluations of operator and vendor evaluations and initiation of requalification programs. Develops and validates testing techniques and standards for evaluating candidates. Evaluates adequacy of facilities and standards used in the conduct of operator examinations. Assesses effectiveness and uniformity of regional office licensure programs assigned to the Regions.

Chief
Robert M. Gallo

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U.S. NUCLEAR REGULATORY COMMISSION

OFFICE OF NUCLEAR REACTOR REGULATION

DIVISION OF RADIATION SAFETY AND SAFE GUARDS

Coordinates and oversees the reactor safety and emergency preparedness, and radiation protection inspection and licensing programs. Performs licensing reviews and safety evaluations, and interacts with F/E/MAs for emergency preparedness at reactor facilities. Develops program guidance and inspection procedures. Assesses effectiveness and currency of Regional office implementation in protection areas of responsibility and provides technical expertise to support special inspections.

Director
Deputy Director
Frank J. Congel
Edward J. Butcher

Emergency Preparedness Branch

Reviews and evaluates emergency plans associated with construction permits and operating license for power reactors. Performs final evaluations and generic plans for research and test reactors. Coordinates with F/E/MAs on emergency preparedness matters, and reviews and evaluates F/E/MAs findings and determinations relating to off-site preparedness of State and local governments. Provides guidance to Programs on emergency preparedness requirements, directives, and emergency preparedness policies and supports regional inspection and exercise observations.

Chief
Robert A. Erikson

Safeguards Branch

Coordinates safeguards technical and regulatory activities related to lower power reactors. Reviews physical security programs, and monitors license requests for changes in those programs. Develops and oversees the reactor safeguards inspection program carried out by the regional offices. Reviews inspection and evaluation reports to identify general reactor safeguards issues. Develops guidance for use by regions, offices, and states on reactor safeguards and uniformity of regional office implementation of programs assigned to the regions, including fitness for duty processes, changes to NRC safeguards rules, and developing inspection guidelines for carrying out such changes, when appropriate.

Chief
Philip P. Moore

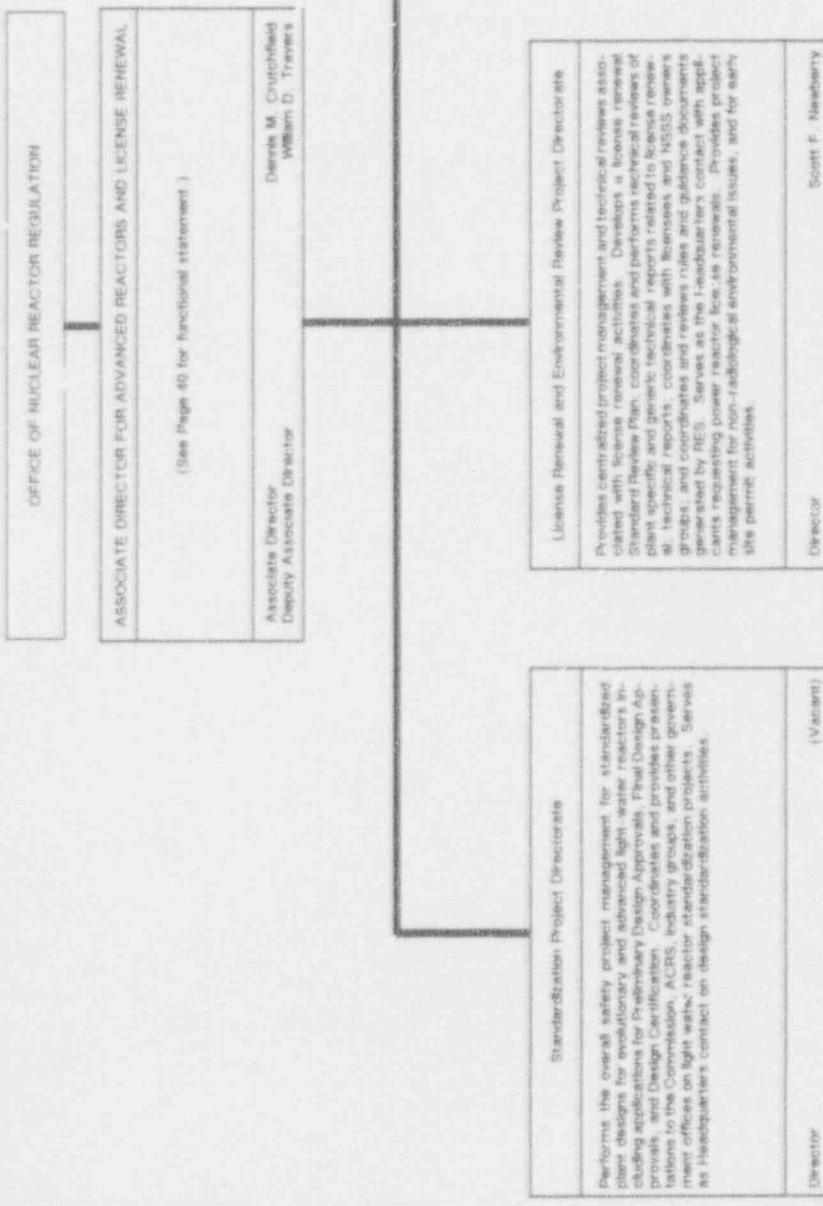
Radiation Protection Branch

Develops policy, programs, and guidelines for radiation protection areas, provides technical expertise for radiological issues, interpretations, and regional assessments; conducts technical and regulatory reviews of applications and amendments for radiation protection areas and interactions among all branches. Reviews and evaluates radiation health effects on the public and plant personnel resulting from plant related accidents and normal operations. Provides technical expertise for special inspections conducted by Headquarters and the Regions. Maintains technical contacts with other Federal Agencies, national and scientific advisory groups, and industry on radiological protection programs. Develops inspection requirements and assesses effectiveness and uniformity of Regional inspection programs of radiation protection programs.

Chief
L.J. Connolly

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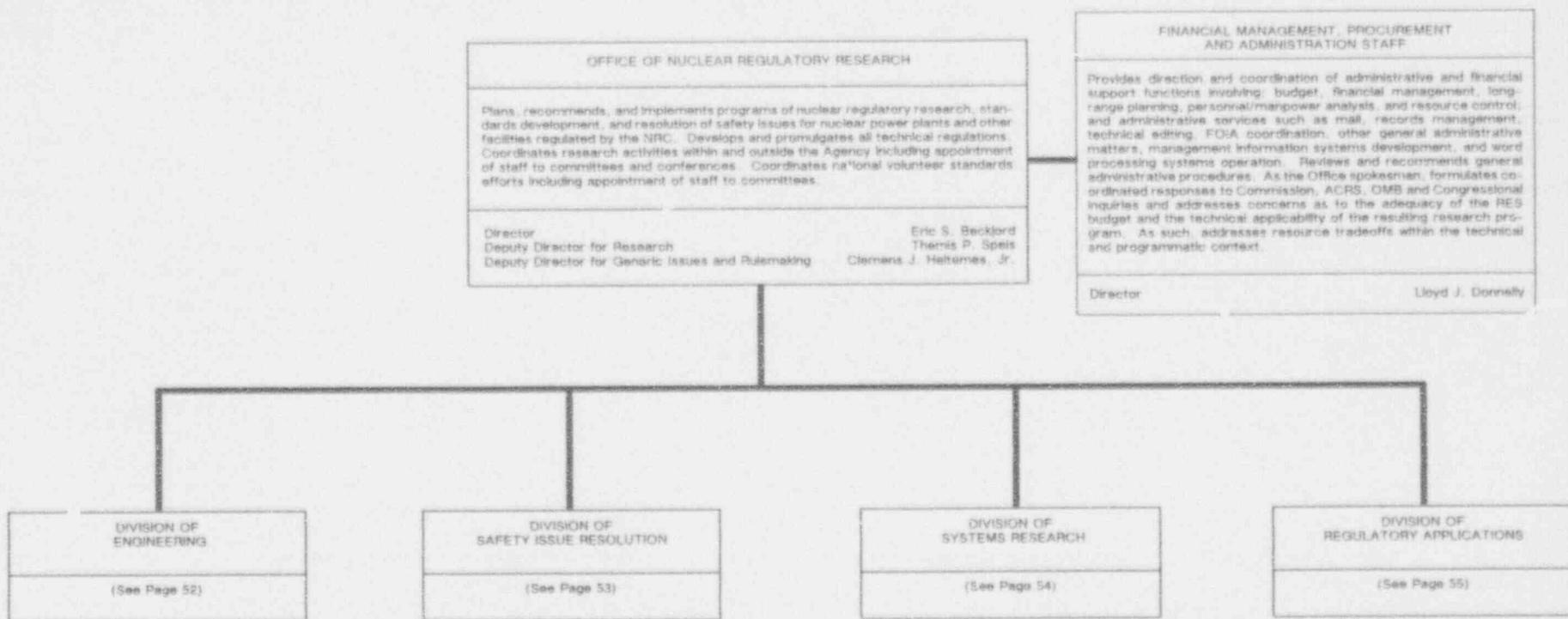
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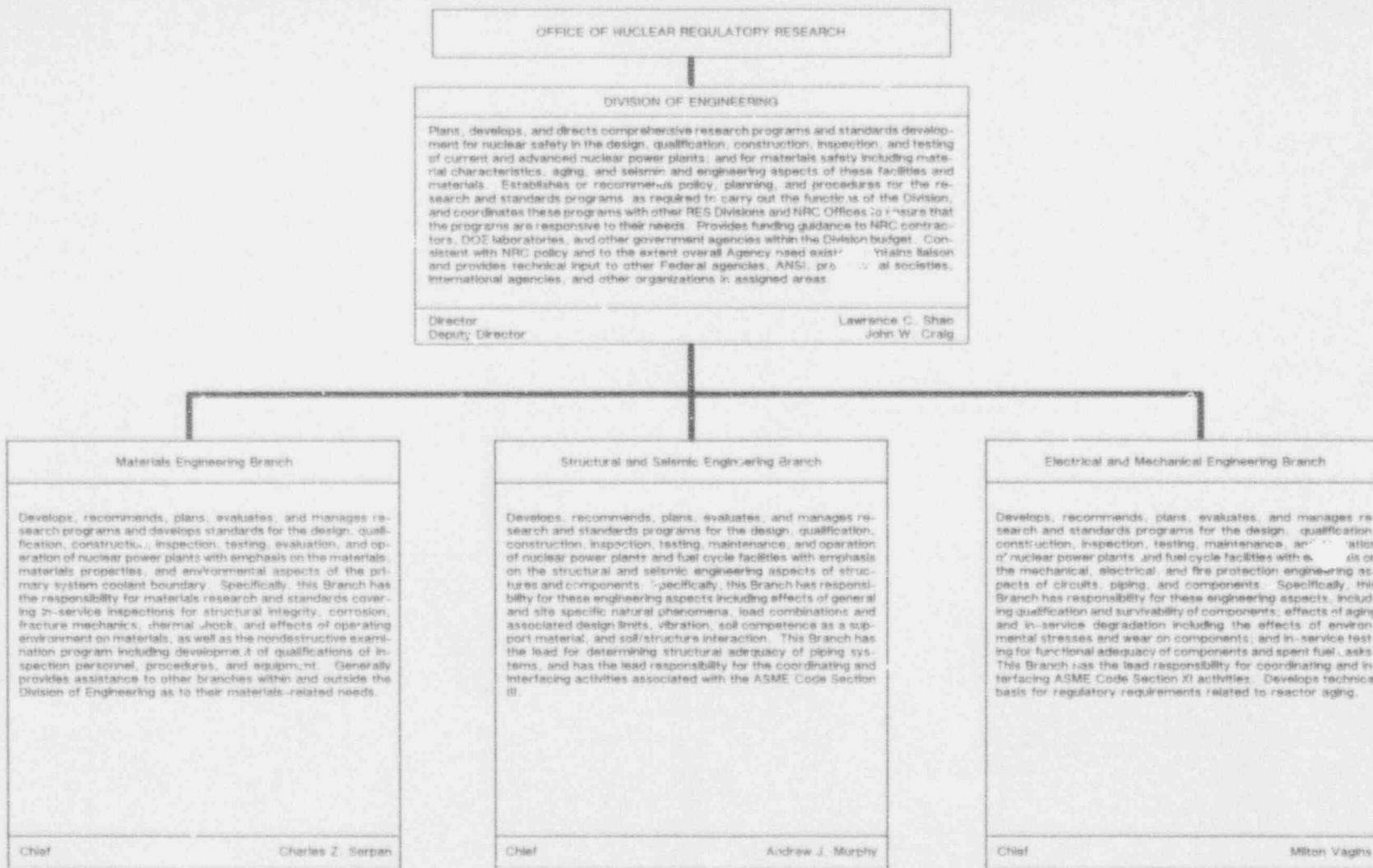
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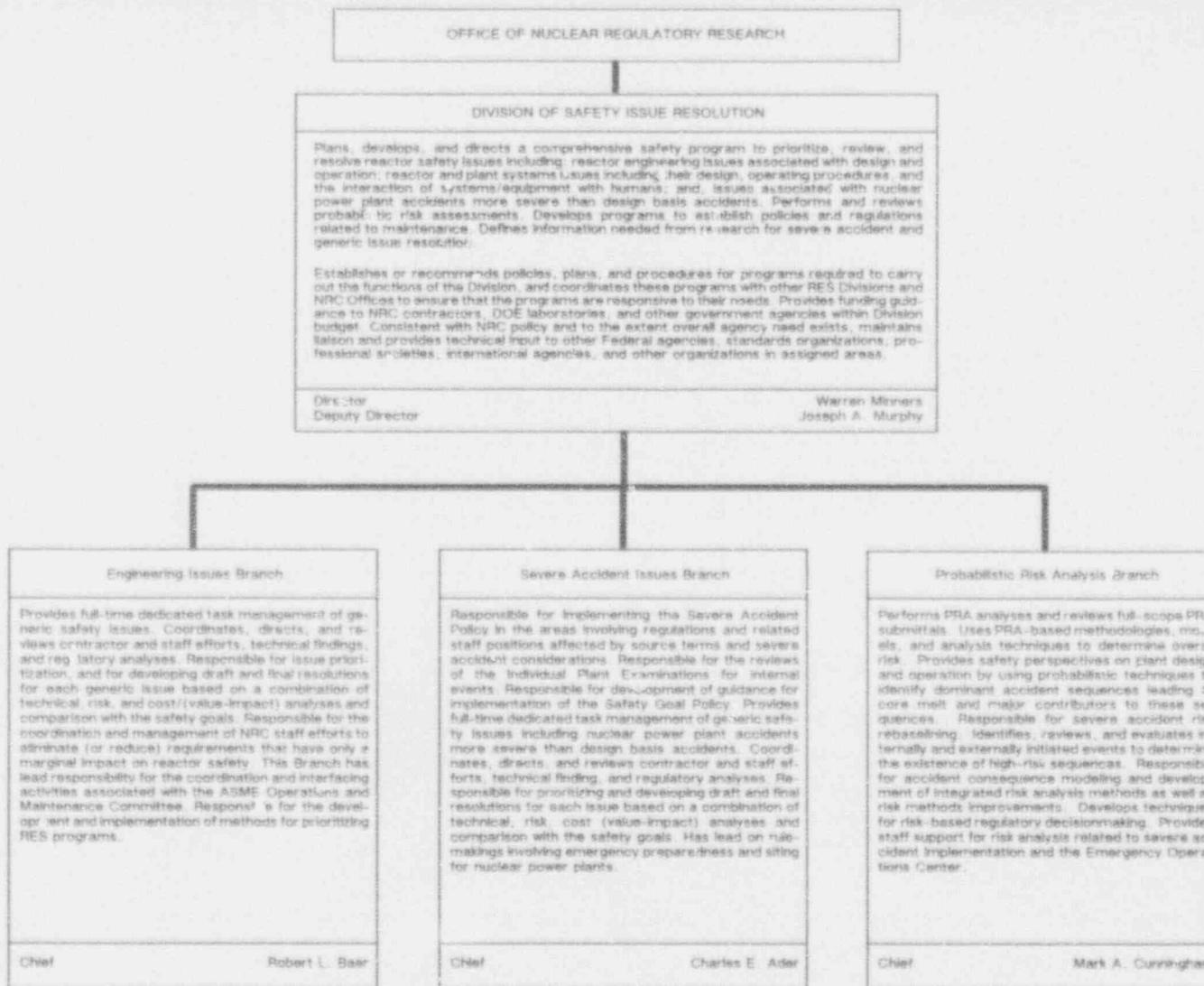
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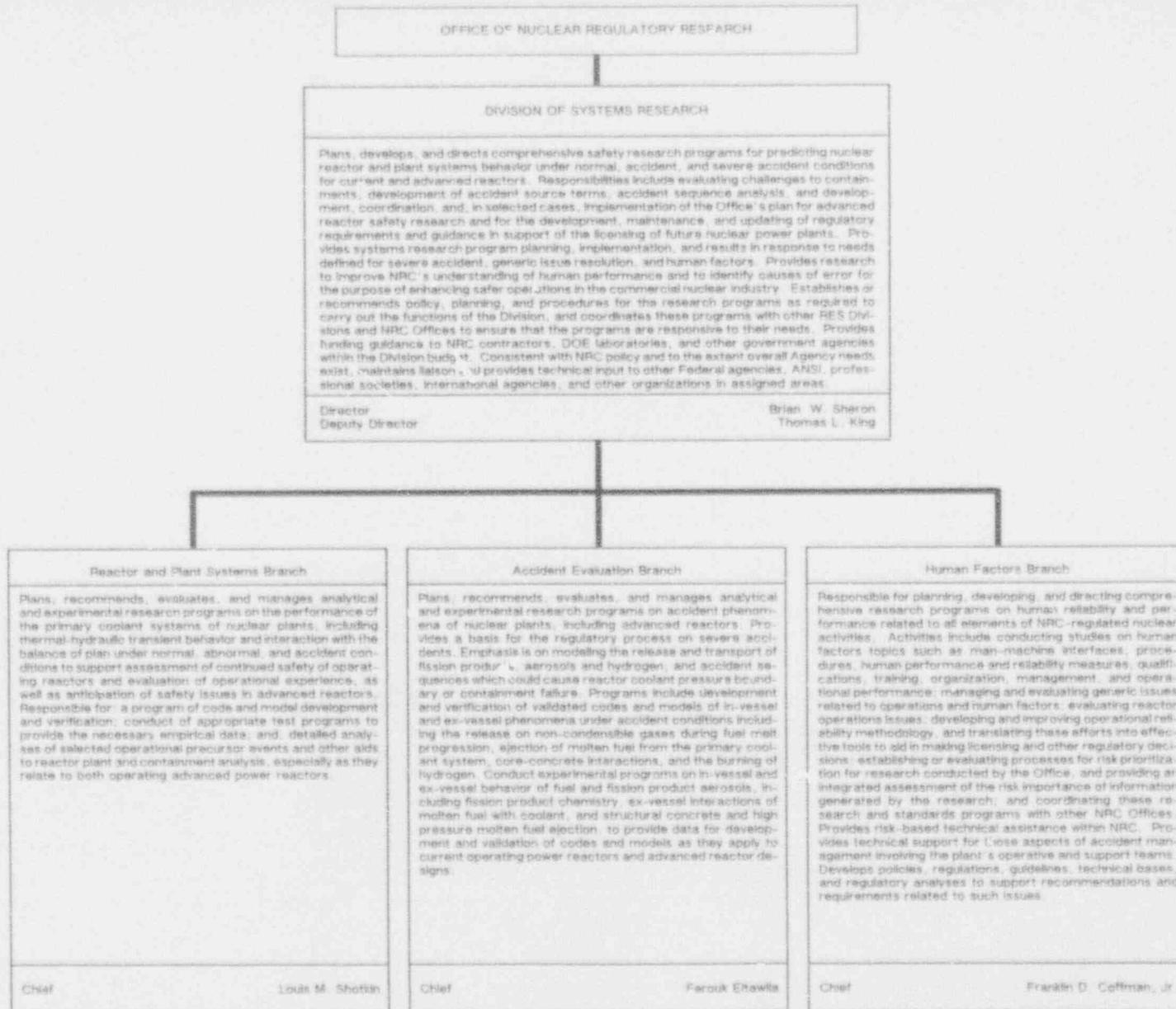
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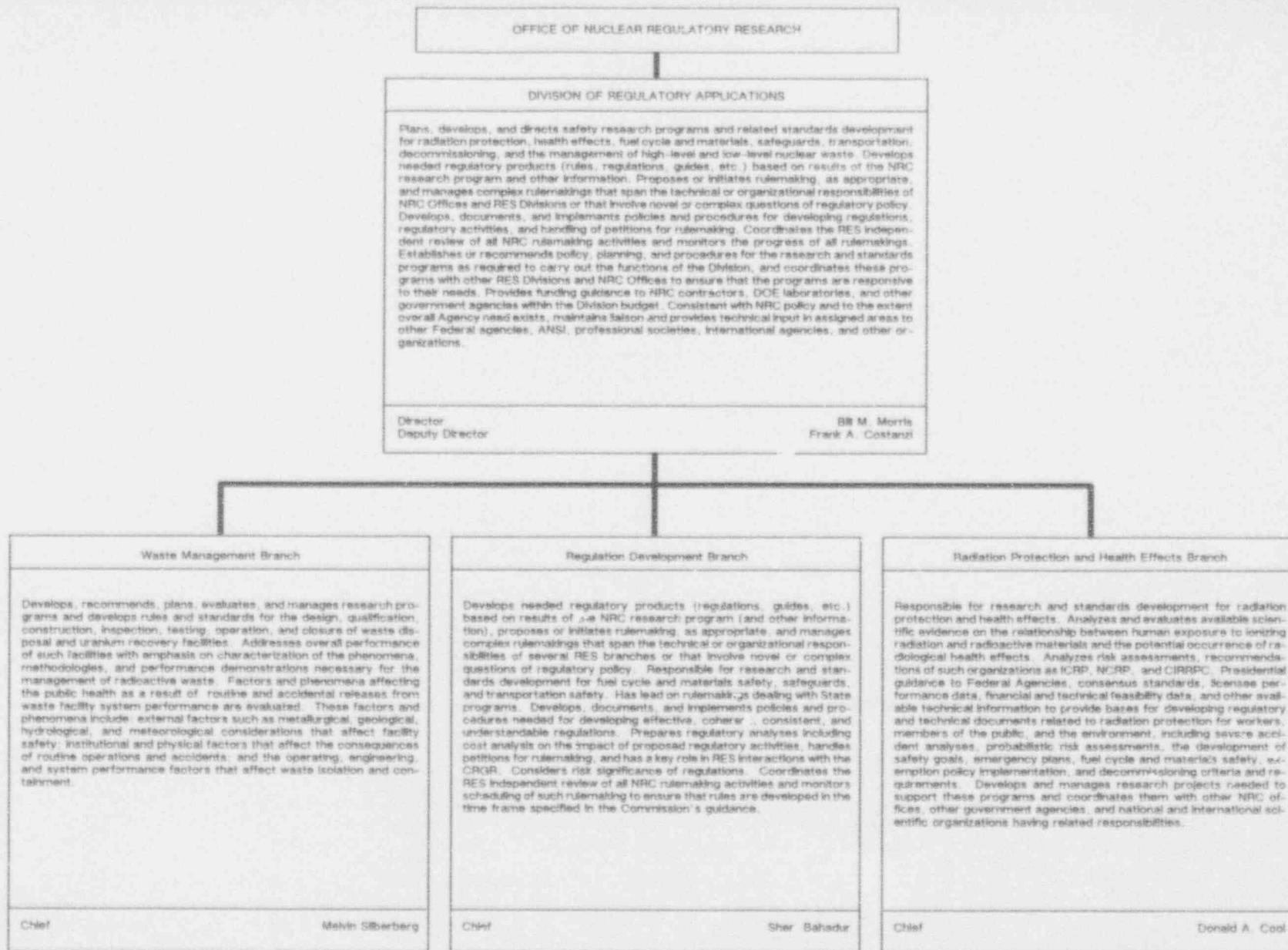
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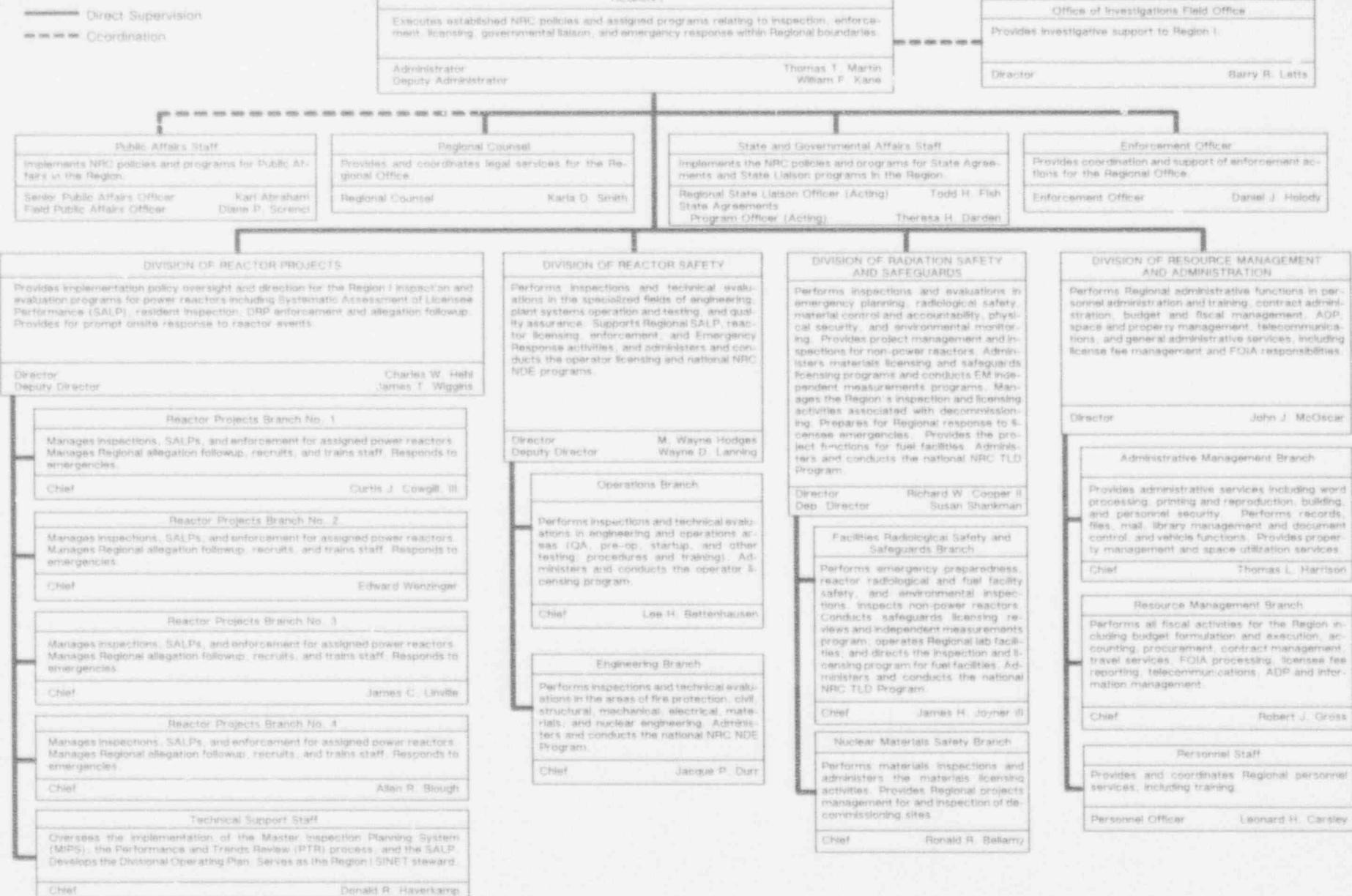
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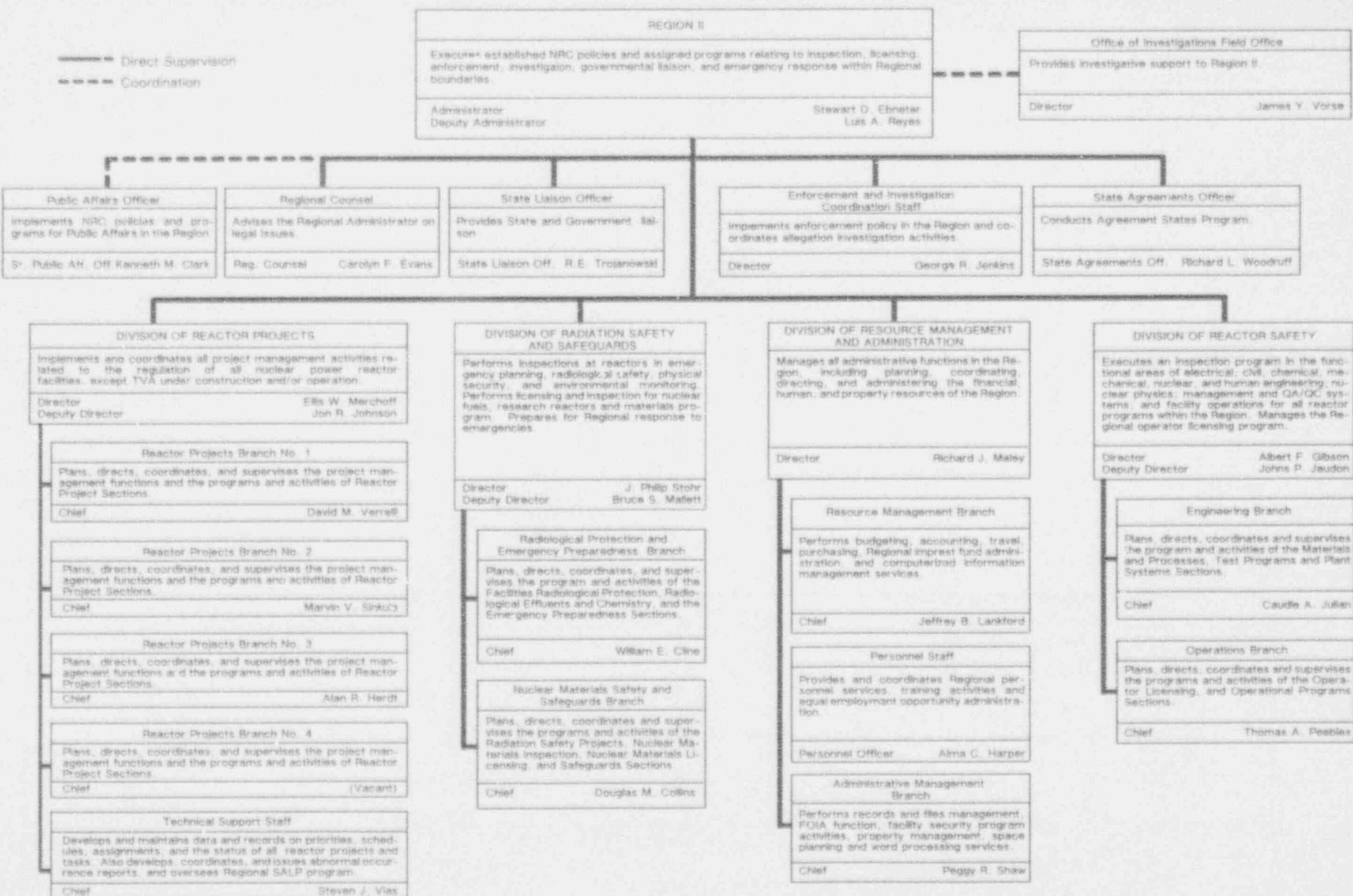
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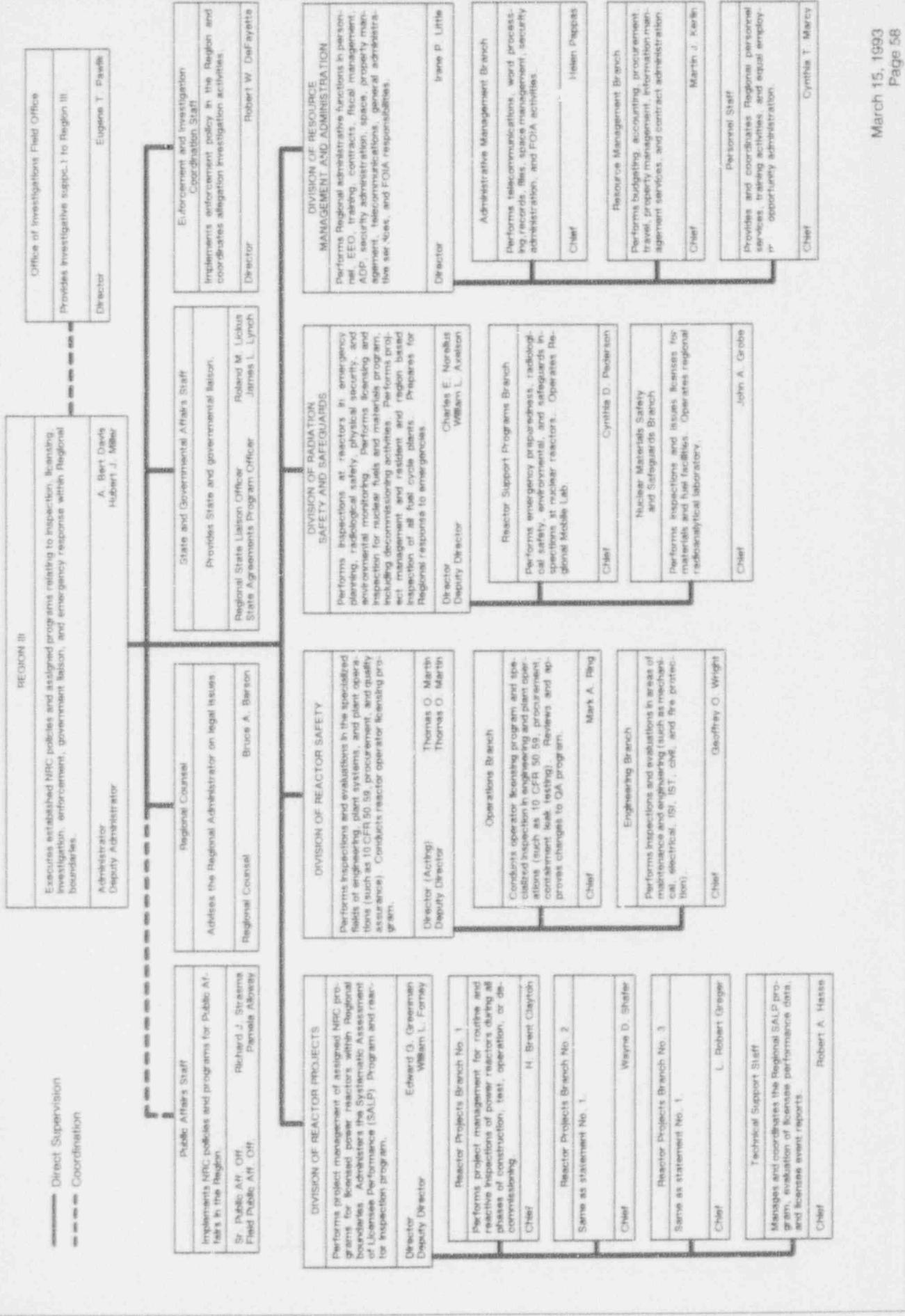
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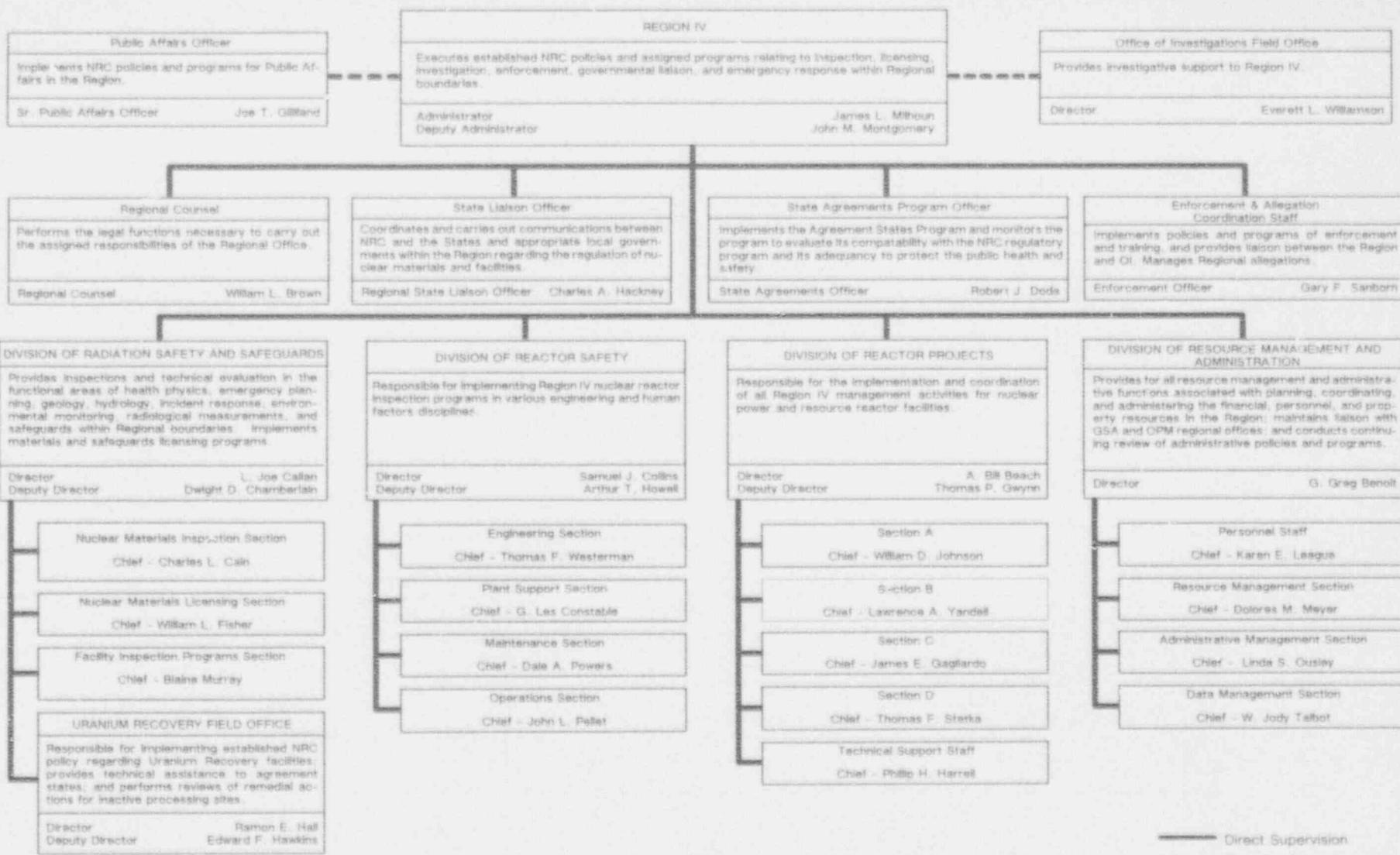
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(See instructions on the reverse)

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Functional organization charts for the U.S. Nuclear Regulatory Commission offices, divisions, and branches are presented.

12. KEY WORDS/DESCRIPTORS (List words or phrases that will assist researchers in locating the report.)

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