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IN ACCORDANCE WITH THE COLLECTION ACT, WE HAVE TAKEN THE FOILGRING MCTIGN ON THEM THEFFOILGRING

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December 19, 1980

DISTRIBUTION:
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WMSS s/f PPB2.11.4
P. Loysen, FC
(see attached list)
S. Kari, MPA
(see attached list)
Addressees for FC
Mailing LIst.

Allied Chemical Corporation
Attn: Mr. J. C. Bishop,
Vice President of Operations
Nuclear Services Division
P. O. Box 8005R
Morristown, New Jersey 07960

Dear Mr. Bishop:

The Office of Nuclear Material Safety and Safeguards is again updating its forecast of license applications to be submitted for resource planning and budget preparation. The forecasts are needed through FY87. Your input is important to help make our projections as realistic as possible and to assure that we will be prepared to review your applications expeditiously and efficiently when they are received.

Please complete as much as possible of the information requested on the enclosed form, including all anticipated activities (other than Safeguards) that may require licensing actions between receipt of this letter and October 1987. Safeguards matters will be handled by a separate telephone survey of appropriate licensees.

We appreciate that your long range plans may not be firm, but we do need your best estimates at this time. If a submittal date is uncertain or the application itself is tentative, please indicate this fact, and we will treat the data accordingly. In addition, if your longer range estimates only indicate a plan to construct a facility with no specifics, we would appreciate this information also. (Please indicate if the facility is anticipated to be in an Agreement State or a non-Agreement State since this will affect licensing responsibility.)

Please complete your response in time to reach this office by January 16, 1981.

We plan to repeat our request for this type of information about once a year. If you have any questions or require assistance in completing the survey form, please contact Mark Haisfield of the NHSS staff by telephone, (301) 427-4071 or 4520.

Sincerely,

15/

R. S. Brown, Jr.
Assistant to the Director and Chief,
Program Support Branch, Office of

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To Multiple Addressees See attached list

Skari

SUBJECT: NEW APPLICATIONS SURVEY

The NRC currently is developing data which will form the basis for our license application review scheduling, manpower and resource allocation planning, and budget preparation through 1983. As we have done in past years, we again are seeking your help in the form of certain information which this letter defines.

Please identify all applications and requests for review which you plan to submit to us between now and December 31, 1983, which should fall in one of the following categories:

- (1) reactor facility construction permit applications
- (2) reactor facility operating license applications Note that submittal of operating license applications should be targeted for between 30-36 months in advance of the most realistic expected construction completion date. Please indicate the construction completion date on which your submittal date is based.
- (3) nuclear steam supply system and balance-of-plant standard reference design applications for: (a) Preliminary Design Approval (PDA); (b) Final Design Approval (FDA); and (c) PDA Extension
- (4) applications for Preliminary Duplicate Design Approval (PDDA) under the duplicate plant standardization option
- (5) applications for Independent Spent Fuel Storage Installations, as covered by 10 CFR Part 72
- (6) limited early site review applications
- (7) full early site review applications
- (8) other special project reviews

OFFICE		 	
SURNAME		 	
DATE	1-12-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-	 *****	. res con exercise residente

For the above categories, we need the facility or project name, submittal data and type of review action requested for each item. Where applicable, we also would like to know the present stage of your planning; that is, are you making preliminary planning studies, have you requested proposals, have you let contracts?

Since antitrust information must be submitted from 9 to 36 months in advance of a construction permit application, please indicate, where appropriate, your planned submittal date for antitrust information on specific projects.

For those plants under construction permit review or under construction, which are not covered by item (2), above, please give us your most realistic current estimate of the construction completion date.

We appreciate that your plans regarding all the requested information might not be firm at this time. We would like the best estimates you can give. If a submittal date, or an application itself, or both are tentative, indicate that and we will treat the data appropriately.

In order for the requested data to be available in time to support budget cycle activities, we ask that you please respond to this letter on or before January 9, 1981 even if your response is a negative one. Should you have any questions concerning this letter or your response, please call Manny Licitra, Resources and Scheduling Branch, NRR, on 301-492-8968.

We make this forecast only once each year. Therefore, if your plans should change during the year, please let us know so that we can keep our data base current.

We appreciate your assistance.

Sincerely,

Hugh L. Thompson, Acting Director Planning & Program Analysis Staff Office of Nuclear Reactor Regulation

\*See Previous Yellow for Concurrence

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NRR/R	SB NRR/PPAS/D	
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# UNITED STATES NUCLEAR REGULATORY COMMISSION

# NUCLEAR FUEL CYCLE INDUSTRY\*\*\*FUTURE ACTIVITY SURVEY

Pplicant or Licensee:  Mailing Address:  Data Furnished By: Title: Contact for Add'l Information:			RETURN TO: R. S. Brown, Jr., Assistant to the Director and Chief			
			Program Support Branch Office of Nuclear Material			
		ion:	Safety and Safeguards U.S. Nuclear Regulatory Commis Washington, D.C. 20555			
Title: Phone:		)				
-		Description of Survey Da	ta Items			
Type of R	equest:	New Facility, major modification, amendment, renewal, or decommissioning				
Desciptio	n of Activity:	Indicate clearly the type transportation package, Also describe the propose or other anticipated requ	or independent spent fue ed process addition or t	el storage modification,		
Estimated	Application Date	Month and year application expected to be submitted		mation is		
		Description of Activ		Estimated		
Type of Request	Docket No. &/or Location	Type of Fuel Cycle Facility/Package Type	Proposed Activity	Application Submittal Dat		

hours: \$281.204 Federal cost: 1 form: not applicable under 3504(h) arbara F. Young, 202-395-6880

In order to identify potentially ffected workers, District offices will be istructed to ask all DIB applicants hether they are receiving another isability benefit. Responser will be oted in the "remarks" section of the SA-16, and depending on the type of ther disability benefit received, the ase will be coded. Upon enactment of legacap legislation, the allowed claims sted under the code would be reviewed or further development and possible nposition of "Offset."

#### evisions

Health Care Financing Administration ummary of Deficiencies Not Corrected ICFA-2567E

nnually

usinesses or other institutions ledicare and medicaid providers and suppliers of services

IC: 805, 806

mall businesses or organizations lealth: 7,100 responses; 568 hours; \$44,588 Federal cost; 1 form; not

applicable under 3504(h) ichard Eisinger, 202-395-6880

Used when deficiencies noted during outine survey remain uncorrected. nformation from this form is used to take decisions concerning certification f health care facilities participating in iedicare/medicaid programs.

### EPARTMENT OF THE INTERIOR

gency Clearance Officer-Vivian A. eado-202-343-6191

Bureau of Land Management 3 CFR Part 3250-Utilization of Geothermal Resources

idividuals or households/State or local governments/businesses or other ins eothermal lease applicants or

geothermal leasees

IC: multiple

onservation and land management: 6 responses; 72 hours; \$6,000 Federal cost; 0 form; NPRM under 3504(h) obert Shelton, 202-395-7340

The proposed rulemaking would iminate unnecessary, burdensome, correct, unclear or outdated rovisions. It would also allow licensing f nonelectrical geothermal use projects nder the regulations already used for ectrical projects.

#### DEPARTMENT OF TRANSPORTATION

Agency Clearance Officer-John Windsor-202-426-1887

· Urban Mass Transportation Administration

Maintenance of Effort Requirement Annually

State or local governments/businesses or other institutions

Public and private mass transportation agencies in urban areas

SIC: 411

Ground transportation: 250 responses; 2,000 hours: \$12,500 Federal cost: 1 form; not applicable under 3504(h) Mahesh Podar, 202-395-7340

Transit operations must show that the total of State and local funds and certain nonfare box mass transit revenues applied to eligible operating expenses in the funding year are not less than the average contribution for the previous two local fiscal years.

· Urban Mass Transportation Administration

Supporting Services—Cost Allocation Plan

On occasion

State or local governments Local governments, transit authorities, some State agencies

SIC: 411

Ground transportation: 100 responses; 8,000 hours; \$50,000 Federal cost; 0 form; not applicable under 3504(h) Mahesh Podar, 202-395-7340

This plan/proposal must be submitted if a grantee wishes to claim administrative costs as allowable costs under a grant. Plans are submitted to the cognizant agency for review and approval. Revisions are needed for significant changes.

#### ACTION

Agency Clearance Officer-Mr. Don Romine-202-254-8523

· Action/Title I, Part C Project Progress Report

Action A-1035

Quarterly, annually

State or local governments/businesses or other institutions

Low-income, socio-econ. poor people needing self-sus., etc.

SIC: multiple

Small businesses or organizations Social services: 2,980 responses: 5,960 hours; \$20,000 Federal cost; 1 form; not

applicable under 3504(h)

Diane Wimberly. 202-395-6880

This form is to be used on a quarterly basis by the sponsoring organization for the express purpose of measuring

project well-being or defining deficiencies (where applicable) as stated in the sponsor's goal and objectives cited in the project work plan.

#### COMMODITY FUTURES TRADING COMMISSION

Agency Clearance Officer-Joseph G. Salazar 202-254-9735

Now

· Regulation of Domestic Exchange-Traded Commodity Options

Monthly

Business or other institutions Commodity option exchanges & futures com. merchants, etc.

SIC: 622

Other advancement and regulation of commerce: 1 response: 1 hour. \$858,640 Federal cost; 1 form; not applicable under 3504(h) Robert Veeder, 202-395-4814

Reporting and recordkeeping requirements are being imposed by this proposal to assure that the commodity option exchanges and the commission have the information needed to safeguard these markets and that the investing public receives adequate disclosure of data on commodity options investments.

#### FEDERAL RESERVE SYSTEM

Agency Clearance Officer-Carolyn B. Doying-202-452-3512

Extensions (No Change)

· Advance Report of Deposits From Large Banks FR 2000 FR 2001 Weekly

Businesses or other institutions Commercial banks SIC: 602

Small businesses or organizations General Government: 61,620 responses; 55,032 hours: \$2,718,180 Federal cost; 2 forms; \$825,480 public cost; not applicable under 3504(h) Kevin Broderick, 202-395-6880

Report collect information on selected items reported on the FR 2900 in advance of the FR 2900 schedule from a sampler of large commercial banks. This report provides preliminary deposit data used to construct early estimate of the monetary aggregates.

## NUCLEAR REGULATORY COMMISSION

Agency Clearance Officer-Stephen Scott-301-492-8585

 Caseload Planning Projection Nonrecurring Businesses or other institutions

NRC licensees

SIC: 493
Energy information, policy, and regulation: 170 responses; 680 hours; \$20,000 Federal cost; 1 form; not appliable under 3504(h)
Jefferson B. Hill, 202–395–7340

NRC management requests licensees to provide information on their anticipated activities (i.e., new applications) to be used for internal planning, budgeting and control.

Technical Specification for Class 1E
 Vital Instrument Buses

Nonrecurring

Businesses or other institutions NRC licensees (PWR facilities)

Energy information, policy, and regulation: 45 responses; 1,800 hours; \$216,000 Federal cost; 2 forms; not applicable under 3564(h)

Jefferson B. Hill. 202-395-7340 NRC plans to issue a letter requesting

additional data from licensees on technical specifications for class 1E vital instruments buses.

#### SECURITIES AND EXCHANGE COMMISSION

Agency Clearance Officer—George G. Kundahl—202-272-2142

New

 Retention of Fingerprint Cards (17 CFR 240, 17F-2(D)

On occasion

Businesses or other institutions

Mbrs. of a Nat'l Sec. Exchange, Brokers,

Dealers, Etc.

SIC: 821, 828

Small businesses or organizations
Other advancement and regulation of
commerce: 123,149 responses; 10,263
hours; \$102,630 public cost; 1 form; not
applicable under 3504(h)

Robert Veeder, 202-395-4814

Processed fingerprint cards, together with criminal histories (if any) returned by the FBI to the entities. This requirement serves several purposes: (1) An employer receives and retains the information in order to make informed employment decisions and (2) the cards and other records illustrate compliance or non-compliance with rule 17F-2. These rules were adopted 3/16/76.

 Fingerprinting Plans of Self-Regulatory Organizations (17 CFR 240, 17F-2(C)

Nonrecurring

Businesses or other institutions Nat'l Sec. exchangers: The Amer., Boston, Midwest, etc.

SIC: 621, 628

Small businesses or organizations
Other advancement and regulation of
commerce: 1 response; 1 hour, 1 form;
not applicable under 3504(h)

Robert Veeder, 202-395-4814

Paragraph (c) of Rule 17f-2 (adopted 3/16/76) allows a national securities exchange or association to file with, and get approved by, the Commission plans allowing these entities to act as centers for collecting and disseminating fingerprint cards. This process in more effective and efficient because the FBI is not required to deal on a individual basis with thousands of submitting institutions.

 Application for and Registration of a National or an Affiliated Securities Association—Rule 15aa-1 and Form X-15aa-1

15aa-1 X-15aa-1 893

Nonrecurring State or local governments

Organizations seeking registration as a NSA Assc.

SIC: 623

Small businesses or organizations
Other advancement and regulation of
commerce: 1 response; 150 hours;
\$5.400 Federal cost; 1 form; \$6,000
public cost; not applicable under
3504(h)

Robert Veeder, 202-395-4814

Under Sections 15A and 19 of the Securities Exchange Act of 1934 (the "act"), the Commission must make certain specified findings before it can grant an application for registration as a national securities association or as an affiliated securities association. Rule 15aa-1 and form X-15aa-1 adopted in 1939, are designed to provide the Commission with information which is necessary to enable it to make the required findings.

 Notice Pursuant to Rule 17f-2 (17 CFR 240.17f-2(e)

On occasion

Business or other institutions

Members of NSE and registered clearing agency

SIC: 621, 628

Small business or organizations
Other advancement and regulation of
commerce: 150 responses; 75 hours;
\$1,350 Federal cost; 1 form; \$750
public cost; not applicable under 3504
(h)

Robert Veeder, 202-395-4814

Rule 17f-2(e), adopted on March 16, 1976, requires covered entities (see No. 23 below) to submit a notice identifying cases of persons claimed to be exempt from the statutory fingerprinting requirement. The information is used to ensure that appropriate persons are fingerprinted and that only fingerprinted persons have access to the handling and processing of securities, monies and original books and records relating thereto.

 Registration of Reporting Institutions in the Lost and Stolen Securities Program (17 CFR 240.17f-1(b))

Nonrecurring

Businesses or other institutions NSE and members and banks insured by FDiC

SIC: 621, 623, 628

Small businesses or organizations
Other advancement and regulation of
commerce: 1,400 responses; 700 hours;
\$8,853 Federal cost; 1 form; \$30,800
public cost; not applicable under 3504
(h)

Robert Veeder, 202-395-4814

Rule 17f-1(b), adopted on May 23, 1979, requires approximately 18,000 entitites involved in the securities industry to register in the lost and stolen securities program in order to obtain access to a confidential data base set up to fulfill a mandatory statutory requirement that those entities repost and inquire to missing, lost, stolen or counterieit securities. This information is needed to determine compliance with rule 17f-1.

 Fingerprinting Requirements for Securities Professionals (17 CFR 240.17f-2(a))

On occasion

Individuals or households/businesses or other institutions

Partners, dir., ofr., and employ. of mbrs of nat'l sec., etc.

SIC: 621, 628

Small businesses or organizations
Other advancement and regulation of
commerce: 123,149 responses; 61,575
hours; \$1,490,600 Federal cost; 1 form;
\$923,625 public cost; not applicable
under 3504(h)

Robert Veeder, 202-395-4814

Rule 17f-2(a), which was adopted by the Commission on March 16, 1976, requires that securities professionals be fingerprinted. This requirement serves (1) to identify security risk personnel, (2) to allow an employer to make fully-informed employment decisions and (3) to deter possible wrongdoers from seeking employment in the securities industry.

 Records To Be Made by Broker-Dealers Rule 17a-3 (17 CFR 240.17a-3) Other—see SF83
Businesses or other institutions
Registered broker-dealers
SIC: 621

Control of the second secon

Small businesses or organizations
Other advancement and regulation of
commerce: 1,750,000 responses;
3,500,000 hours; \$40,595 Federal cost; 1
form: \$50,000,000 public cost; not
applicable under 3504(h)

Robert Veeder, 202-395-4814