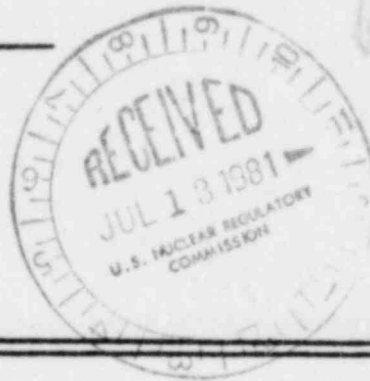


NUCLEAR REGULATORY COMMISSION

ORIGINAL



In the Matter of:

SOUTHERN CALIFORNIA EDISON COMPANY,  
ET AL.,  
(SAN ONOFRE NUCLEAR GENERATING  
STATION, UNITS 2 & 3

)  
) DOCKET NOS. 50-361, OL  
) and 50-236, OL  
) <sup>362</sup>  
)

DATE: July 1, 1981 PAGES: 2577 thru 2793  
AT: San Diego, California

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ADD:  
M. MOE / OGC 881-55  
J. Mitchell / OPE H-1007  
original to:  
A. Mc Namara

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UNITED STATES OF AMERICA

NUCLEAR REGULATORY COMMISSION

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In the Matter of:                   :  
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SOUTHERN CALIFORNIA EDISON COMPANY,    : Docket Numbers:  
ET AL.                                    :  
:  
  : 50-361 OL  
(San Onofre Nuclear Generation Station,   : 50-362 OL  
Units 2 and 3)                            :  
:  
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Stardust Room  
Stardust Hotel and Country Club  
950 Hotel Circle North  
San Diego, California

Wednesday,  
July 1, 1981

Evidentiary hearing in the above-entitled matter  
was reconvened, pursuant to recess, at 9:00 a.m.

BEFORE:

- JAMES L. KELLEY, Esq., Chairman  
Atomic Safety and Licensing Board
- DR. CADET H. HAND, JR., Member
- MRS ELIZABETH B. JOHNSON, Member

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APPEARANCES:

ON BEHALF OF THE APPLICANTS, SOUTHERN CALIFORNIA  
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GLENN BARLOW  
Consultant on Geology  
Friends of the Earth

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APPEARANCES (Continued):

ON BEHALF OF THE REGULATORY STAFF:

LAWRENCE J. CHANDLER, Esq.  
Deputy Assistant Chief Hearing Counsel  
Office of Executive Legal Director  
Nuclear Regulatory Commission  
Washington, D.C.

I N D E X

<u>WITNESSES</u>	<u>DIRECT</u>	<u>CROSS</u>	<u>REDIRECT</u>	<u>RE CROSS</u>
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Lawrence H. Wight (Recalled)				
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(Pigott)			2616	
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(Wharton)				2627
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(Wharton)				2635
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Robert L. McNeill (Recalled)				
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(Pigott)			2647	
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Jay Smith (Recalled)				
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(Barlow)	2650	2656		
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EXHIBITSFOR IDENTIFICATIONIN EVIDENCEApplicant's

A-35		2617		2647
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A-25 through A-27				2652
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P R O C E E D I N G S

(9:00 a.m.)

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2  
3 JUDGE KELLEY: On the record. As the first order  
4 of business we are going over our rather voluminous filings in  
5 the area of emergency planning to insure the completeness of  
6 our respective files. I am going to ask Mr. Chandler first  
7 to simply list the various filings the Staff has in this area  
8 and then we will do the same across the room and also look at  
9 what we had from the Intervenor GUARD to see that we all have  
10 everything that we need to have.

11 Off the record.

12 (Brief discussion off the record.)

13 JUDGE KELLEY: Back on the record.

14 Mr. Chandler, why don't you go ahead with the  
15 Staff's papers.

16 MR. CHANDLER: Mr. Chairman, in going through our  
17 files and checking with our office, I believe the Staff has  
18 made three filings since the prehearing conference regarding  
19 emergency planning.

20 The first is dated June 22nd, 1981. It is entitled,  
21 "NRC Staff Use With Respect to Questions Posed by the Atomic  
22 Safety and Licensing Board for the Area of Emergency Planning."

23 JUDGE KELLEY: Yes.

24 MR. CHANDLER: The second document is a letter dated  
25 June 23rd, 1981 to the Board from Richard K. Hoefling, copies

1 to the parties, which attaches the affidavit of Robert T. Jasky  
2 which had been omitted from the previously mentioned filing.

3 JUDGE KELLEY: Yes.

4 MR. CHANDLER: The third document is dated  
5 June 26, 1981 and is entitled, "NRC Staff Use With Respect to  
6 Issuance of a Low Power License for San Onofre Units 2 and 3."

7 JUDGE KELLEY: Yes, we have that.

8 MR. CHANDLER: I believe those are the only three  
9 filings made since the prehearing conference by the Staff,  
10 Mr. Chairman.

11 JUDGE KELLEY: For the sake of maintenance, I think  
12 there are some additional filings which, although filed before  
13 the prehearing, still bear directly on issues that we have before  
14 us. Let me just mention what they are, at least from my file.

15 I have the NRC Staff's answer to the motion to con-  
16 solidate which is pending.

17 MR. CHANDLER: Yes.

18 JUDGE KELLEY: I have a memorandum to the Board  
19 from Daryl Eisenhut (ph.) dated June 11, 1981 entitled, "Board  
20 Notification of Emergency Planning" and this is a document  
21 which speaks in a rather general way to the way in which the  
22 Staff considers earthquake hazards in this context of emergency  
23 planning.

24 MR. CHANDLER: Correct. I believe that document  
25 was previously provided to the Board by Mr. Hoefling. I may be

1 mistaken but I do know a Board notification confirmed that.

2 JUDGE KELLEY: In any event it would appear to have  
3 a bearing on a question we raised and sought memoranda on cer-  
4 tain aspects of earthquake effects and emergency planning.

5 MR. CHANDLER: Yes.

6 JUDGE KELLEY: Then of course Mr. Hoefling gave us  
7 all the interim findings of FEMA.

8 MR. CHANDLER: Right.

9 JUDGE KELLEY: Did you include the -- yes, I am  
10 sure you did. The June 22nd filing speaks to, I take it, the  
11 size of the EPZ and earthquakes?

12 MR. CHANDLER: That is right. Both of those issues  
13 were addressed by the Staff in a single filing.

14 JUDGE KELLEY: Low Power License was distributed  
15 just a couple of days ago?

16 MR. CHANDLER: Yes, sir, I distributed that document  
17 on Monday.

18 JUDGE KELLEY: Maybe the simplest way is, if one or  
19 more of us find it, and I think we very well may, and we are  
20 missing one or more of these pieces of paper, we might just go  
21 to the individual party individually and see if we can't arrange  
22 for a xerox. It might be the simplest way to do it.

23 MR. CHANDLER: That would be fine.

24 JUDGE KELLEY: Mr. Wharton, do you want to go over  
25 what we should have from you?



1 MR. WHARTON: We have points and authorities re-  
2 garding the size of the EPZ dated June 17, 1981.

3 I have the addendum to that dated June 22nd.

4 JUDGE KELLEY: That is correct.

5 MR. WHARTON: A revised Intervenor's posed conten-  
6 tion RE emergency planning revised contention dated June 22nd  
7 with an addendum attached to it.

8 JUDGE KELLEY: Yes.

9 MR. WHARTON: The Intervenor Carstens et Al. posi-  
10 tion regarding consideration of a major earthquake and emer-  
11 gency planning at SONGS 2 and 3 dated June 22, 1981.

12 JUDGE KELLEY: Yes.

13 MR. WHARTON: Intervenor Carstens et Al. memorandum  
14 of points and authority RE issuance of low power license dated  
15 June 29th, 1981.

16 JUDGE KELLEY: That was distributed just the other  
17 day; right?

18 MR. WHARTON: Yes, it was distributed Monday, I  
19 believe it was. I have other copies if you need them right now.

20 JUDGE KELLEY: Fine, that would be helpful; thank  
21 you. Okay, I think we have all those.

22 MR. WHARTON: You are not referring to the comments  
23 on res judicata collateral estoppel?

24 JUDGE KELLEY: No.

25 MR. WHARTON: This is a June 17th filing also.

1 This is answer to Applicant's motion to order consolidation  
2 of Intervenors and designated lead Intervenor.

3 JUDGE KELLEY: Yes, I have that.

4 MR. WHARTON: And there is an accompanying declara-  
5 tion of A. S. Carstens.

6 JUDGE KELLEY: Yes.

7 MR. WHARTON: That is all that I have as far as  
8 emergency planning.

9 JUDGE KELLEY: Let me just ask you, your revised  
10 contention which I know at least added citations to the FEMA  
11 report and citations to 50.47, I think it is, does that entirely  
12 supercede the earlier?

13 MR. WHARTON: Yes.

14 JUDGE KELLEY: So we can just focus on the latter?

15 MR. WHARTON: Right.

16 MR. CHANDLER: Can I get some clarification of that,  
17 Mr. Chairman? The contentions of 6-22 entirely supercede the  
18 contentions of 6-15?

19 MR. WHARTON: I am talking about the emergency  
20 planning contention. I am trying to remember if there were  
21 other contentions at that time. This revised contention is a  
22 revision of the previous contention that we had submitted re-  
23 garding FEMA review. That is what it supercedes, the previous  
24 contention regarding FEMA.

25 MR. CHANDLER: When you refer to the previous

1 contention, Mr. Wharton, is that the contention that you sub-  
2 mitted at the prehearing conference?

3 MR. WHARTON: Yes, that is correct.

4 JUDGE KELLEY: That reminds me, though, and I don't  
5 seem to have it here right now but I know that I probably have  
6 it in my room, you had a special, if you will, separate conten-  
7 tion regarding the size of the EPZ.

8 MR. WHARTON: That is correct.

9 JUDGE KELLEY: Is that in your memo on size?

10 MR. WHARTON: The contention restated, you mean?  
11 I don't believe that it is.

12 JUDGE KELLEY: Then we are to have in hand a  
13 separate sheet of paper concerning a contention about the size  
14 of the EPZ.

15 MR. WHARTON: Yes, I believe so. I don't believe  
16 that I brought that with me. I can get it at lunch time.

17 MR. CHANDLER: I can provide a copy to the Board.

18 JUDGE KELLEY: Thank you. Does that cover your  
19 filings, Mr. Wharton?

20 MR. WHARTON: Yes, it does.

21 JUDGE KELLEY: Mr. Casey?

22 MR. CASEY: Thank you, Mr. Chairman. Applicants  
23 have filed counterparts to all the briefs mentioned. They are  
24 as follows:

25 We filed our brief on the earthquake emergency

1 planning issue, without reading the title, on June 22nd.

2 JUDGE KELLEY: Yes, and that is a document -- I  
3 won't read the whole thing -- but it imposes exercise of  
4 sui sponte authority in the area of earthquakes and emergency  
5 planning; is that correct?

6 MR. CASEY: That is correct.

7 That same day, June 22nd, Applicants also filed  
8 their memorandum in reply to Intervenor's memoranda in opposi-  
9 tion to motion for consolidation of Intervenors.

10 JUDGE KELLEY: I am sorry.

11 MR. CASEY: That was June 22nd, Mr. Chairman.

12 JUDGE KELLEY: Back-dating, I have got your motion  
13 for order to consolidate and that is much earlier.

14 MR. CASEY: Yes, that is June 9.

15 JUDGE KELLEY: Okay, and then tell me again what  
16 you just did?

17 MR. CASEY: Then on June 22nd we served and filed  
18 with the Board Applicant's memorandum in reply to Intervenor's  
19 memoranda in opposition to motion for consolidation of Inter-  
20 venor's.

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JUDGE KELLEY: I for one am going to ask for<sup>2588</sup>  
another copy of that. I don't seem to see it --  
MR. CASEY: We lodged it with the Board on the  
22nd or -- I believe the 22nd.  
JUDGE KELLEY: I am sure you did. I am just asking  
you to lodge it again if you could.  
MR. CASEY: Yeah. Get a copy to you right away.  
JUDGE KELLEY: Thank you.  
MR. CASEY: Then on June 22nd, Applicants filed  
their -- and served on the Board Applicants' memorandum of  
law, on appropriate means for determining size of the plume  
exposure and ingestion pathway emergency planning zones for  
SONGS 2 and 3?  
JUDGE KELLEY: We have that.  
MR. CASEY: And then finally the last brief on  
June 29, we filed --  
JUDGE KELLEY: Low power license?  
MR. CASEY: Our low power license brief.  
JUDGE KELLEY: We have that.  
MR. CASEY: Without going into the title.  
JUDGE KELLEY: Right.  
MR. CASEY: But it might be helpful to the Board  
to give just a little chronology of how the Board might piece  
together what contentions, so to speak, are on the table, and  
where we are.

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JUDGE KELLEY: Yeah, I was just going to ask you about -- maybe you remember this package at the meeting, and so on.

Probably the trickiest thing thing to get one's hand around and get resolved is this whole area of contentions, and if you could give us a chrono without -- we don't want to -- are obviously not arguing contentions at this point, but just what is before us and where things stand, and I think that might be useful at this point.

MR. CASEY: I will take a shot at it, and appreciate hearing from the parties if --

JUDGE KELLEY: If they want to, if either party-- of the other parties -- wants to comment, they will be free to.

MR. CASEY: The best place to start, Mr. Chairman, is going to the chronological listing of the development of the contentions as we had them just prior to that last pre-hearing conference, which is contained as an attachment to our motion for consolidation, and it is also found attached to our letter, which was the agenda.

JUDGE KELLEY: The June 15 letter, as to the background and contentions?

MR. CASEY: Yeah. I would like to take this time to bring to the Board's attention a typographical error in that listing.

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JUDGE KELLEY: All right.

MR. CASEY: There is a typographical error in GUARD's admitted second contention. We omitted the following language, purely by oversight, which stated including -- I will just give you the quote of the phrase: "Including if necessary, evacuation, particularly considering the unique geographic constraints in these areas." It is a matter of Board record that that is what the contention under memorandum of order, January 27, 1977, said; when we did this chronology, we just had a typographical error there.

JUDGE KELLEY: I am sorry, I am not sure I located that. I am looking at your letter of June 15, and you were referring to GUARD's second contention?

MR. CASEY: Yes, the contentions that were GUARD's admitted contentions --

JUDGE KELLEY: Yes.

MR. CASEY: If you go down to their second admitted contention.

JUDGE KELLEY: Beginning with "as a consequence of?"

MR. CASEY: Yes.

JUDGE KELLEY: That is where I was looking, but I didn't see what you were saying, I --

MR. CASEY: And go down approximately five lines, you begin, probability protective measures could be taken on behalf of individuals, do you see that section in there?

1 JUDGE KELLEY: "Reasonable probability protective  
2 measures could be taken," and so on?

3 MR. CASEY: Yeah. "In these areas," and then when  
4 you read ours, we had excluded by oversight the words "includ-  
5 ing, if necessary, evacuation, particularly considering the  
6 unique geographic constraints in these areas."

7 JUDGE KELLEY: All right.

8 MR. CASEY: I just want to --

9 JUDGE KELLEY: All right.

10 MR. CASEY: -- put that on the record.

11 Moving along from that chronological listing, we  
12 then come to the chronology of FOE's revision to its admitted  
13 contentions, and we have the sheet of paper that I will  
14 provide to the Board on their EPZ contention, dated 6-17-81.

15 JUDGE KELLEY: Yes.

16 MR. CASEY: Then they had their first revision,  
17 which has now been superseded, which was 6-15-81, and then we  
18 have their current revised emergency planning contentions,  
19 which are 6-22-81.

20 JUDGE KELLEY: Yes.

21 MR. CASEY: Then we came to the pre-hearing  
22 conference, and presented to the Board as the afternoon  
23 session began, as you recall, Applicants' proposed -- I guess  
24 we would call them consolidated contentions, which you have  
25 in front of you, I just saw you hold them up.



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JUDGE KELLEY: Yeah, that is where I hadn't

studied these papers since the 18th of June. I am sure if I went back to the transcript and then read the papers, it would all come back to me, but could you perhaps briefly summarize -- well, I am not sure we want to get into this.

MR. CASEY: The chronology goes a little further, just for the record.

JUDGE KELLEY: And this is something we will just have to do anyway, so chronology and papers are one thing, and getting into where these stand is something else, and Mrs. Gallagher and Mr. McClung aren't here, so let us keep this to sort of indexing type of stuff this morning.

MR. CASEY: Continuing with the chronology since the pre-hearing conference --

JUDGE KELLEY: Yes.

MR. CASEY: -- in terms of papers that have been filed --

JUDGE KELLEY: Yes.

MR. CASEY: -- there is a document which I believe was attached to GUARD's earthquake memorandum, dated 6-23-81, which presents to the Board GUARD's proposed contentions on earthquake emergency planning, emergency planning generally, and evacuation planning. Those are all on the same page, and they are dated 6-23-81.

JUDGE KELLEY: Yes.

MR. CASEY: Okay?

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JUDGE KELLEY: Yes.

MR. CASEY: Finally, since GUARD proposed its contentions, we have been in ongoing negotiation to refine those, and to report to the Board as we are sitting here, we have two forms of stipulated contentions which we are going to try to boil down to one before the end of the day, so that is where we stand right now, and we will be prepared this afternoon at our session to present the Board with the next iteration of this process.

JUDGE KELLEY: Well, that sounds useful. Just for the record, we don't have here a representative of GUARD, and for that reason we are just keeping this to a sort of an inventory process. Let me just say for the record, though, that I have three filings of theirs. One is their filing of June 23, concerning the earthquake question, which has attached to it, as Mr. Casey has just said, some new contentions or some revised contentions.

I also have their comments on the size of the EPZ, which is the other legal issue we asked for comment on. I do not believe I have anything on low licensing from them.

MR. CASEY: We have not received any memorandum on that subject.

JUDGE KELLEY: You might ask Mr. McClung or Mrs. Gallagher about that later today, and then I also have their

1 opposition to the motion to consolidate.

2 Well, I think this has been helpful for us. We  
3 know what we have or need copies of. We had talked informally,  
4 not on the record, about spending a little time later on today  
5 talking about where we are in the area of emergency planning,  
6 and particularly with regard to contentions, and that seemed  
7 to be a useful idea. I am advised that it will be Mr. McClung  
8 representing GUARD this evening, and that he cannot be here  
9 before about seven o'clock. We had thought to have a session  
10 from 4:30 to 5:30 on this.

11 I suppose, depending on what we attempt to cover,  
12 that might still be possible. Let me ask -- perhaps I could  
13 just go left to right -- for some brief statement of what  
14 Counsel thinks we should try to get done in an hour this  
15 afternoon on emergency planning. I think it was Mr. Pigott's  
16 suggestion in the first place, but I will let you bat cleanup.

17 Mr. Chandler -- now, Mr. Chandler, you have not  
18 been Counsel upon this subject, so to speak, right?

19 MR. CHANDLER: I am generally familiar with what  
20 has transpired in recent weeks on emergency planning, but no,  
21 you are correct, I am not intimately familiar with the  
22 contents of all these documents, and for that matter, I don't  
23 have most of them with me. I will have to try to obtain some  
24 during the day from the various parties.

25 I do think it would be useful, though, if we did

1     sometime today spend some time discussing the status of  
2     contentions. I think that certainly with GUARD, as Mr. Casey  
3     indicated, we perhaps can come to some resolution of conten-  
4     tions rather quickly. Only because I don't have Mr. Wharton's  
5     papers, I can't make the same representation regarding his  
6     contentions, but assuming I am able to obtain them, and go  
7     through them at least briefly, I think it would also be useful  
8     to discuss what he has proposed.

9             JUDGE KELLEY: Well, I don't see why we can't  
10     certainly work with Mr. Wharton's contentions, as -- well, any  
11     differences you may have with either Staff or the Applicant  
12     without GUARD being here. The broader questions we could hold,  
13     if we need to talk about them at all, until later on.

14             Okay, thank you. Mr. Wharton?

15             MR. WHARTON: Yes, since Mr. Pigott asked for the  
16     meeting, I had some -- I suppose had some things in mind as  
17     to what he wanted to do, I would like to find out what he had  
18     in mind for the meeting and now he wants to conduct it, and  
19     if I could respond to that.

20             JUDGE KELLEY: Okay.

21             MR. CASEY: I will respond to that, Mr. Chairman.  
22     Having taken a look at this chronology which we have just gone  
23     through, it appears to Applicants now that the issues are  
24     pretty well briefed, and we are ready to submit it, you know,  
25     on the papers, with the understanding that we will probably be

1 able to get something in as regards a stipulation with GUARD  
2 today, but we are ready to submit it on the papers, and we  
3 want to move this along. We are looking for a final pre-  
4 hearing conference order, as you know, and I think the parties  
5 views are well-ventilated, so we would just like to submit it  
6 on that basis.

7 JUDGE KELLEY: I would want to be awfully sure,  
8 this is the package I have, that was sort of glued and pasted  
9 together, I think, at the conference. Well, I guess I can  
10 come back to you if there is any -- or all of us can, if there  
11 is any doubt in my mind about what this all represents. I  
12 believe I understand Mr. Wharton's contentions. He has got  
13 his revised version of June 22, plus the EP2 contention, and  
14 that is what you would like to have.

15 MR. WHARTON: That is correct, yes. That is what  
16 I have submitted. I believe, as I agree, we have briefed  
17 everything. I don't know if you are contemplating extensive  
18 oral argument this afternoon regarding that. I don't know  
19 that that is something that I am going to be terribly  
20 prepared to do, but if we can get into it, I can do some,  
21 because of what I am working on --

22 JUDGE KELLEY: It is not easy to shift gears  
23 from where we have been, I think for any of us who have been  
24 involved in seismic. Okay?

25 MR. CASEY: Mr. Chairman?

1 JUDGE KELLEY: Yes?

2 MR. CASEY: Perhaps it would be best if we just  
3 set a date, let us say Monday, for submission of all  
4 additional views to the Board, and put it at issue in that way.  
5 If there are any additional views, Applicants as we sit here  
6 don't anticipate any additional papers, but may want to  
7 respond to anything that comes up before that time.

8 MR. WHARTON: Mr. Chairman, there is one thing  
9 along there, that Mr. Casey had presented, and that is there  
10 was a question regarding the difference between the contention  
11 that we proposed regarding FEMA, and the contention as proposed  
12 by Mr. Pigott, and I have -- I had prepared a memo for this,  
13 not in final form, and I do think -- it is a memo that I have  
14 of the research memo, that I would like to be able to present  
15 in final form as our final statement, and I would think with  
16 this, there is really nothing more for us to say. We have  
17 said everything we can say about the issues, and if I could  
18 just -- if I could file those, if we are talking about a  
19 Monday date, or sometime, if I could file that with the Board,  
20 I think that would end anything that we have to argue further  
21 and leave the decision up to you.

22 JUDGE KELLEY: Well, now we are adjourning tomorrow  
23 and reconvening next Wednesday the 8th, I believe. As a  
24 practical matter, the Board wouldn't see such papers until they  
25 got back here the night of the 7th at the earliest. If you

1 have something further you want to say, and it is -- <sup>2598</sup> this is a  
2 fairly what, brief single point sort of thing that you are  
3 filing?

4 MR. WHARTON: What I am proposing is, there was a  
5 question as far as the difference, and I am just proposing --  
6 I have a short brief regarding which ones are different, and  
7 why we want the wording the way it is, rather than the way  
8 Mr. Pigott has proposed it, that is all.

9 JUDGE KELLEY: I believe I recall that.

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1 JUDGE KELLEY: You mean the introductory wording?

2 MR. WHARTON: There was introductory wording, but  
3 introductory wording and which ones of the subsections were  
4 the same as Mr. Pigott's and which ones were different.

5 JUDGE KELLEY: Okay. Why don't you go ahead and  
6 file that in final form with the Applicants.

7 Are the Applicants going to be in this neighborhood  
8 come next Monday?

9 MR. CASEY: Yes, we will be in the neighborhood.

10 JUDGE KELLEY: So that it would be possible for  
11 Mr. Wharton not merely to file in the mail but to have it in  
12 your hands by next Monday?

13 MR. CASEY: I would suggest that Mr. Wharton deliver  
14 it to the Sheraton Harbor Island Hotel.

15 JUDGE KELLEY: That is here in town?

16 MR. CASEY: Yes.

17 JUDGE KELLEY. All right. And then, any response  
18 you would want to make, and I am assuming this is pretty short  
19 and to the point, by Wednesday?

20 MR. CASEY: Fine. The only response we would like  
21 to make is that we really desire an Order, so that we can get  
22 on with witness preparation and get this thing to hearing.

23 JUDGE KELLEY: Well, I think it is in everybody's  
24 interest to get an Order here. We did take some time on the  
25 low-power issue, partly at the request of the Applicants. But



1 I think the Board now, with all these filings in hand, is<sup>2600</sup>  
2 prepared to turn to them and issue an Order.

3 Let me ask a question. I don't have in front of  
4 me the Commission's recent rule changes empowering Boards to  
5 issue oral rulings. What I have been thinking about, if I can  
6 do it, is giving a ruling on the record post pre-hearing  
7 conference, and I think, if I did that, I would want the  
8 understanding that I could look at it the next day and make  
9 a few changes or additions, the fallibilities of oral expression  
10 being what they are.

11 But I can assure you that you will get an Order  
12 a lot faster, if that is what everybody wants, than you will  
13 get through the drafting and revision and revision process  
14 that would otherwise go into such a document.

15 If I have to write a formal Order, I will do it,  
16 but I don't know whether this recent amendment extends to a  
17 post pre-hearing conference Order; I just don't know.

18 MR. CHANDLER: Mr. Chairman, this is not directly  
19 responsive to your question at the moment, but I would indicate  
20 that I will also be available in this area, and I would like  
21 to obtain a copy of Mr. Wharton's memorandum, and would ask  
22 if we could also, if we had any comments, file by Wednesday.

23 JUDGE KELLEY: Yes.

24 MR. CHANDLER: Thank you.

25 JUDGE KELLEY: Do you think you have got someplace

1 that oral ruling rule? We don't need to hold up the proceedings  
2 now.

3 MR. CHANDLER: No, I think I do. I am not going  
4 to hold it up. I am just thumbing through it.

5 JUDGE KELLEY: Fine. But it would be my fault if  
6 it were held up. You could maybe show it to me later, and I  
7 will take a look at it.

8 MR. CHANDLER: I believe I have a copy of it,  
9 Mr. Chairman, here.

10 JUDGE KELLEY: Okay.

11 MR. CHANDLER: I will have a copy of this made  
12 for the Board.

13 JUDGE KELLEY: Thank you.

14 Before calling our first witness this morning,  
15 reference has been made earlier to the request by the Carstens  
16 Intervenors for a subpoena to Dr. Henrique Luco, who is with  
17 the University of California at San Diego, Scripps Institution.  
18 Dr. Luco, among other things, has served as a consultant to  
19 the Advisory Committee on Reactor Safeguards of the NRC and,  
20 by virtue of that fact, he falls within Section 720, Subsection  
21 H2, of the Commission's rules on subpoenas, which say, in  
22 effect, that employees or consultants of the NRC may not be  
23 subpoenaed by name, in the absence of a showing of "exceptional  
24 circumstances."

25 The NRC Staff has opposed the Carstens' application

1 for a subpoena, arguing that there has been no showing of  
2 exceptional circumstances in this case. In addition to paper  
3 filings, we did hear oral argument from Counsel for the Staff  
4 and Mr. Wharton last Friday. Carstens Intervenors referenced  
5 several areas of Dr. Luco's expertise. However, the only  
6 real particularization is with regard to his past experience  
7 and familiarity with a Tera Delta Study concerning modeling  
8 of ground motion at Unit 1 of San Onofre, and also, I believe,  
9 some supplements to that Study.

10 We understand, from the Carstens statement, that  
11 Dr. Luco has done a considerable amount of work in the area  
12 of modeling strong ground motion. That is a very important  
13 area in this case.

14 We have had testimony from Dr. Frazier, and we  
15 a study and several supplements relating to predicted ground  
16 motion at San Onofre. It is our understanding that predicting  
17 strong ground motion is still a relatively new discipline.  
18 It is certainly a developing area. One would assume there are  
19 not a lot of people who know a great deal about it. It is  
20 also an area in which there appear to be significant areas  
21 of uncertainty.

22 The Board believes that Dr. Luco's apparent  
23 knowledge and experience in this area, while perhaps not unique,  
24 could add a significant dimension to the record on this  
25 important issue.

1                   While the rule, when speaking of "exceptional  
2 circumstances" gives the example of unique knowledge, that is  
3 not the only thing that can qualify; that is merely one, and  
4 perhaps the most obvious example.

5                   In considering whether the facts before us here  
6 amount to "exceptional circumstances," we think it is appropriate  
7 to consider the underlying purpose of the Rule and also conditions  
8 that might be attached in calling Dr. Luco as a witness, if,  
9 indeed, he is to be called.

10                   While the Rule does cover both Staff members and  
11 people like ACRS consultants, the rationale for the Rule is  
12 a good bit different for these two classes of people.

13                   In the case of a Staff member, it is essentially  
14 a rationale of administrative control, controlling your own  
15 workload, and who is going to do what, and that is a very  
16 important consideration if you are the Branch Chief of the NRC,  
17 but it hasn't got very much to do with an academic who  
18 consults to the ACRS. There, it seems to us, it really comes  
19 down to the potential inconvenience that testifying can  
20 represent for ACRS consultants and, in that regard, there is  
21 background on this very narrow question.

22                   We referred earlier to the Diablo Canyon decision,  
(phon.) 23 involving the testimony of Drs. Luco and Trifunac, and although  
24 the Commission never wrote an opinion on this, I think I can  
25 not improperly comment on what seemed to be the motivating

1 considerations at the time.

2           Subpoenas were sought. The ACRS was strongly  
3 opposed to their issuance, and they took their case to the  
4 Commission. This was a couple of years ago. And I happened  
5 to participate in that discussion at the time as the Acting  
6 General Counsel of the NRC, and the whole argument was, if they  
7 have to go, the word will get around, and we won't be able  
8 to get good consultants, because it will take up all their time,  
9 and they won't be able to do their other academic things,  
10 and that would injure the ACRS.

11           After a good deal of discussion, the upshot was  
12 that those two people, Luco and Trifunac, were called as Board  
13 witnesses in Diablo Canyon.

14           So that, notwithstanding the possibility of some  
15 inconvenience, there is no absolute bar to the appearance of  
16 an ACRS consultant, if other circumstances suggest such an  
17 appearance is appropriate. But there is a strong underlying  
18 concern about unduly inconveniencing people and having what  
19 lawyers call a "chilling" effect on the willingness of people  
20 to be consultants to the ACRS.

21           Turning back to the facts of this case, apart  
22 from the subject matter that has been mentioned, it seems  
23 to us that we should evaluate the request in terms of the  
24 likely, necessary burden on Dr. Luco and see where that leads  
25 us.

1 I might say that I have talked to Dr. Luco about  
2 his appearing as a witness, and his general feeling is that  
3 he has many other projects, and he is over-extended as it is,  
4 and he is simply very reluctant to become involved, and that  
5 is a perfectly understandable attitude on his part, and it  
6 is not merely the number of hours he may come down here; it  
7 would also be, in his view, a matter of preparation, and it  
8 all looks like a burden, from his perspective.

9 Nevertheless, he said, if he has to come, he will  
10 come. You couldn't characterize him as a "willing" witness  
11 but, on the other hand, certainly not one who is going to  
12 really resist a conclusion that his presence would be helpful.

13 One factor that has a bearing is the wholly  
14 fortuitous circumstance that Dr. Luco is in San Diego,  
15 California. He is not in Chicago or in New York. So he  
16 doesn't have to spend a couple of days coming out here and  
17 getting back, and that is an obvious factor here that is  
18 fortuitous, but it seems to me it bears on inconvenience.

19 In addition, we would not envision calling him  
20 without certain conditions being attached, and I have certain  
21 conditions here, and Mr. Wharton, I would like you to focus  
22 on conditions, because when I get through telling you what  
23 the conditions are, my question will then be, do you want  
24 him on those conditions, and then it is either "yes" or "no."

25 In the first place, he would be a Board witness.

1 he would not be subpoenaed by any party. This is the way  
2 it was done in Diablo.

3 There is a possible problem, a conflict of interest  
4 here, that Mr. Chandler has pointed out in his filing, and I  
5 think, as a practical matter, it really wouldn't affect what  
6 you would be able to accomplish.

7 Secondly, there would be no probing of the collegial  
8 process of the ACRS. What he may have said or not said to  
9 the ACRS is irrelevant in this case, because their letter is  
10 in evidence in the case.

11 Thirdly, we would impose very specific time limits  
12 on an appearance, and to spell that out, I would not initially  
13 call Dr. Luco for more than four hours of his time, two of  
14 which would be allotted to the Carstens Intervenors, and one  
15 each to the Staff and the Applicants, and as a possibility,  
16 more time for anybody, on a good-cause showing, but basically,  
17 those would be the parameters that we would have in mind.

18 Beyond that, we would look to you to submit  
19 specific questions to the Board several days in advance, and  
20 I mean the actual questions you would ask him, not just areas  
21 of interest. This is aimed really at preparation, from his  
22 standpoint. There is an awful lot of paper he might have to  
23 read, unless he knows in advance exactly what he is going to  
24 be asked, and he doesn't have to read anything, but as a  
25 professional, he would want to, so I think it is only fair to

1 give him as much of an indication as possible of what he is  
2 going to be asked.

3           You could ask follow-up questions, related questions;  
4 I am not barring that. But I am saying that the examination,  
5 itself, should be written out.

6           Since you are in a very technical area, you would  
7 need your best qualified person to conduct cross-examination,  
8 from a technical standpoint, which, from what I have seen, would  
9 be Dr. Brune.

10           MR. WHARTON: That is correct.



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1 JUDGE KELLEY: With those understandings in mind,  
2 do you want to call Dr. Luco? I say call, but I don't know  
3 whether a subpoena is necessary or not, but would you want to  
4 have that kind of an opportunity to question?

5 MR. WHARTON: Yes, I would. Mr. Chairman, in fact  
6 I think it is probably the best way to handle it.

7 JUDGE KELLEY: Mr. Chandler, any comment?

8 MR. CHANDLER: Just a point of clarification. I  
9 was busy copying down notes and with my head cold, my hearing  
10 is not what it should be. Were the Chairman's comments also  
11 responsive, or did they include the fact, that he is a con-  
12 sultant to the Staff as well?

13 JUDGE KELLEY: I already focused on the ACRS. It  
14 struck me as the more sensitive consideration here. I under-  
15 stand he also worked for the Staff. I am not sure how that  
16 would effect where we come out. If you want to comment on that,  
17 go ahead.

18 MR. CHANDLER: I don't believe any further comment  
19 is necessary, Mr. Chairman. I believe I laid it out the other  
20 day.

21 JUDGE KELLEY: Yes, you did, and I am aware of it  
22 and simply in terms of giving a rationale I thought the focus  
23 or emphasis ought to be on the ACRS connection.

24 So Mr. Pigott, any comment?

25 MR. PIGOTT: Yes, I guess the two comments that

1 come to mind, first of all with respect to the time limitation<sup>2609</sup>  
2 on cross examination, hopefully an hour is reasonable and per-  
3 haps it is, but obviously we are going to have to reserve our  
4 rights to cross examine in the degree we think necessary to  
5 protect our case.

6 Secondly, with the idea of expediting that, if the  
7 questions are to be presented in writing in advance, I would  
8 suspect that they should also be circulated to the parties  
9 because that certainly aids the cross examination.

10 JUDGE KELLEY: Yes, I should have said that and I  
11 would expect that to be done.

12 Now in terms of -- well, go ahead, Mr. Wharton.

13 MR. WHARTON: Yes, I just wanted to clarify whether  
14 you are asking us to submit specific questions that we want the  
15 Board to ask?

16 JUDGE KELLEY: No, let me clarify. Here is what I  
17 want you to do. I would envision that Dr. Brune and the other  
18 parties would conduct the examination of Dr. Luco. The Board  
19 probably will have some questions and we won't take that out of  
20 anybody's time.

21 My timing is a little bit dependent on Dr. Luco.  
22 It now looks as though we will certainly . . . into your case the  
23 latter part of next week, the way this is going, I think.  
24 Everybody knows where we are and where we are going.

25 I think that both from the parties' standpoint and

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Dr. Luco's standpoint we would need -- certainly Dr. Luco<sup>2610</sup> would have the questions several days in advance so he could do whatever reviewing he wants to do.

With that in mind, if you could have your questions submitted to the Board and the parties by next Wednesday then we would look them over and then transmit them to Dr. Luco and I will talk to him and we will work out the most mutually beneficial convenient time for everybody for him to come.

It would probably be sometime in the following week, I would think.

MR. CHANDLER: Mr. Chairman, would the Board undertake to advise the Office of General Counsel? I believe that they traditionally represent the ACRS and it will be a little confusing because of his other relationship to the Staff and, to that extent, I guess I am involved.

JUDGE KELLEY: Yes, I will do that.

MR. CHANDLER: Thank you.

MR. PIGOTT: Has the Board considered a limitation on the area of inquiry, i.e., specifically to modelling studies, or is this a wide-ranging --

JUDGE KELLEY: I suspect I wasn't nearly as clear as I meant to be. I am glad you raised that. I was talking about the background here. It seemed to me that the overall particularization that we got in the request was in the area of strong ground motion modelling studies and let me make it

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1 explicit that since that was the basis -- the factual basis --  
2 the subject matter basis -- for granting this request, that  
3 the questioning itself will be limited to that area.

4 You mentioned the terrace studies. Obviously that  
5 is something that you may want to get into, but I think the  
6 guideline of modelling of strong ground motion studies is  
7 sufficient for this purpose, but not other areas.

8 Size of earthquakes, slip rates, I don't see  
9 Dr. Luco having been established as exceptional for those pur-  
10 poses.

11 MR. CHANDLER: I would then just note, Mr. Chairman,  
12 that I do believe that his functioning as a consultant to the  
13 Staff with respect to Unit 1, in certain respects, is probably  
14 the more sensitive consideration because that is, indeed, pre-  
15 cisely what his activity has been as a consultant to the Staff.

16 MR. PIGOTT: I would also submit that that is the  
17 only true modelling before this Board. There are other studies  
18 but I am not so sure that you would call, for instance, the  
19 testimony of either Dr. Idgress or Mr. Wight as models.

20 JUDGE KELLEY: I distinguish in my own mind rather  
21 roughly between empirical studies in ground motion and modelling  
22 studies. Is that, in your mind, a reasonable distinction?

23 MR. PIGOTT: That is the distinction I am trying  
24 to make sure is on the record. There is only one modelling  
25 witness, candidly, from the Applicant's side and that is Frazier.

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1 JUDGE KELLEY: That is the area that the Carstens  
2 Intervenors have cited. They have referred to a couple of  
3 others but that is the one they have elaborated on and that is  
4 what the Board -- it is the Board's understanding that Dr. Luco  
5 will be called to address himself to that area.

6 MR. WHARTON: Do I understand, Mr. Chairman -- we  
7 do have reference in points and authorities regarding Dr. Luco  
8 reviewing and criticizing the slip rate methodology and that is  
9 one of the basis on which we did want to call him.

10 MR. PIGOTT: You are certainly not unique there.

11 JUDGE KELLEY: Two answers. One, it is not our  
12 understanding, based on what we have heard, that that is nearly  
13 as unique an area, and, two, you didn't particularize that in  
14 any degree whereas you did with regard to the modelling study,  
15 so we are granting this request restricted to the area of the  
16 modelling study.

17 MR. CHANDLER: I would also note, Mr. Chairman, the  
18 Staff is presenting its own witness on that issue.

19 JUDGE KELLEY: Yes.

20 MR. CHANDLER: Mr. Chairman, before we get into the  
21 resumption of the evidentiary presentation, I do have two  
22 documents I would like to distribute to the Board. The first  
23 is attached to a letter dated June 11, 1981 from David B. Slemmons  
24 to Dr. Robert E. Jackson, Chief, and Mr. Tom Cardone, Geoscience  
25 Branch of the Division of Site Safety Environmental Analysis of

1 the NRC.

2 This letter, Mr. Chairman, contains a copy of  
3 Dr. Slemmons' report which is bound into Supplement No. 1 to  
4 the Staff Safety Evaluation Report as Appendix E and is referred  
5 to in the SER.

6 This document is substantively different. All that  
7 it reflects -- and the cover letter is self-explanatory -- is  
8 that it now has included the minor corrections reflected on the  
9 errata, so it is merely a re-typed and updated version.

10 I think it may make the use of Appendix E a little  
11 easier and it is my intention to then provide an additional  
12 copy to the reporter when Dr. Slemmons appears.

13 I will provide that to the Board and parties in a  
14 moment.

15 The next document I will preface by reading a letter  
16 which I received yesterday from Robert H. Moll, Staff Attorney,  
17 Division of General Law, U.S. Department of Interior, a letter  
18 dated June 29th.

19 Dear Mr. Chandler:

20 "Enclosed for appropriate filing and service are  
21 the original and ten copies of the Department's  
22 motion to quash subpoenas issued to Department of  
23 Interior employees Dr. William B. Joyner and  
24 David M. Boor (ph.) in this matter. Please make  
25 persons service upon the parties and Intervenors

1 as necessary.

2 "Though we hope that oral argument is not necessary,  
3 should the Atomic Safety and Licensing Board re-  
4 quire oral argument, we will assign a staff member  
5 from a Field Solicitor's Office in California to  
6 make the argument.

7 "Thank you for your assistance in this matter,"  
8 etcetera.

9 I would like the record to reflect that I have  
10 provided the Chairman with the original of the document as well  
11 as a copy.

12 JUDGE KELLEY: Well, the quashing paragraph does  
13 not refer to a response that, it seems to me, to be reasonable.  
14 If Mr. Wharton wants an opportunity to respond -- well, it is  
15 a motion like any other motion, if you want to read the Rules  
16 that way.

17 If you would like to file a response, I think you  
18 should do it pretty quickly in view of the fact that your own  
19 case is coming up pretty soon and these people are going to  
20 come. We had better resolve this as fast as we can.

21 Again, the Board is going to be out of town a few  
22 days. Could you have these ready for us next Wednesday morning?

23 MR. WHARTON: Wednesday morning, yes.

24 JUDGE KELLEY: Why don't we have a coffee break  
25 here before we get back to our litigation.

(Brief recess.)

1 JUDGE KELLEY: Back on the record.

2 Mr. Pigott?

3 MR. PIGOTT: Yes, I recall for redirect  
4 Mr. Lawrence Wight. I have spoken to Mr. Wharton who has no  
5 objection to his recall at this time in the absence of Dr. Brune.

6 Mr. Wight, it is not unfair to say, is a signifi-  
7 cant consultant to the Applicants. It is possible, and in  
8 fact likely, that Mr. Wight will be involved in these proceedings  
9 backstage or wherever as a technical consultant and aid in our  
10 putting on the Applicant's case, so it is possible that if there  
11 is cross examination with respect to this additional direct,  
12 that we may be able to make Mr. Wight available at some other  
13 time for cross examination.

14 I would also say the same of Dr. McNeill, who I  
15 will be calling for further redirect. I can't guarantee that  
16 they will be here at any particular time, but they probably  
17 will be here off and on for the balance of the proceeding.

18 JUDGE KELLEY: Very well.

19 MR. WHARTON: Before we start, I did contact  
20 Dr. Brune. He is not available this morning. I have reviewed  
21 it with him and based on what was suggested about convenience  
22 for Mr. Wight, it is okay to go ahead, and I appreciate  
23 Mr. Pigott stating that Dr. Wight will be available at some  
24 other time and possibly at that point, if Dr. Brune wants to  
25 question him further, he can.



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JUDGE KELLEY: Very good.

MR. CHANDLER: Yesterday the Applicant's provided a new exhibit, I believe, for Dr. Wight which was retrieved when Dr. Wight stepped out.

MR. PIGOTT: And will be redistributed when Dr. Wight steps back up.

Whereupon,

LAWRENCE H. WIGHT

was recalled as a witness herein, and having been previously duly sworn, was examined and testified further as follows:

REDIRECT EXAMINATION

BY MR. PIGOTT:

Q Would you restate your name for the record please?

A My name is Lawrence H. Wight.

Q Mr. Wight, during the course of your cross examination, and in fact in particular with some follow-up questions from Dr. Hand of the Board, there was some question of an instrument located in a flower box outside the Imperial County Services Building. Do you recall that examination?

A Yes, I do.

Q With respect to the further comments that you want to make on that subject, have you prepared an exhibit?

A Yes, I have.

MR. PIGOTT: May I have marked as Applicant's Exhibit No. 35 LHW-3 entitled "High Frequency Spectral

1 Amplitudes, Imperial Valley 1979 Free Field Stations." <sup>2617</sup>

2 (The document referred to was  
3 marked for identification as  
4 Applicant's Exhibit No. 35.)

5 MR. PIGOTT: That has been circulated to the parties.  
6 Upon its admission, assuming its admission, Mr. Chairman, we  
7 would undertake to serve the service list from our regular  
8 office in San Francisco.

9 JUDGE KELLEY: Very well.

10 BY MR. PIGOTT:

11 Q Mr. Wight, was this exhibit prepared either by you  
12 or under your supervision and direction?

13 A Yes, it was.

14 MR. PIGOTT: I would move the exhibit into evidence.

15 MR. WHARTON: Mr. Chairman, due to the technical  
16 nature of the particular document and inability to be able to  
17 thoroughly review the document, I would like to have it as an  
18 exhibit at this time and be able to confer with Dr. Brune after  
19 the basis for this not being admitted into evidence, but it to  
20 be used as an exhibit at this time and can be referred to so  
21 that further, for the record, it can be explained exactly what  
22 it means.

23 MR. PIGOTT: Mr. Chairman, if I may comment. I  
24 will withdraw my motion to move this into evidence at this time  
25 and reserve that until after the further direct examination.

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1 I would, however, indicate that I will oppose withholding it  
2 from evidence if every other requirement has been met other  
3 than Dr. Brune's review. I do not think that that is an ap-  
4 propriate reason for keeping something in or out.

5 It could be cross examined and motions to strike  
6 and a number of procedural motions could be made, but I would  
7 say that that is not one of the appropriate ones at this time.

8 BY MR. PIGOTT:

9 Q Mr. Wight, I think I have set the subject matter  
10 and that is the discussion of the instrument located in the  
11 flower box outside the Imperial Services Building. Are there  
12 any other comments you would like to make with respect to that?

13 A Yes, there are, thank you.

14 I reviewed the transcript regarding my answer to  
15 Dr. Hand's question concerning the instrument outside the  
16 Imperial County Services Building and I can see my answer in  
17 response to the question placed more emphasis than appropriate  
18 on the wetness of the soil. In that sense my answer was in-  
19 complete and perhaps misleading.

20 MR. WHARTON: May I get a reference on the trans-  
21 cript as to which part that is?

22 WITNESS WIGHT: Sure. I believe the question  
23 starts on line 20 of page 1690.

24 As I was saying, my answer placed inappropriate  
25 emphasis on the wetness of the soil and in that sense I might

1 have been misleading in my answer so I would like to take this  
2 opportunity to elaborate a little bit on that instrument and  
3 the record recorded on that instrument during the Imperial  
4 Valley earthquake in 1979.

5 Now by way of introduction to this clarification,  
6 I have prepared this figure. In fact, the figure was prepared  
7 about nine months ago as part of a report that we did. It is  
8 shown on the viewgraph as a black-and-white version of a color  
9 copy that has been distributed.

10 What the viewgraph shows are some seven or so re-  
11 sponse spectra calculated from seven components of acceleration  
12 recorded during the Imperial Valley earthquake.

13 They are keyed on the top in the legend and what is  
14 termed -- the first entry on the legend -- what is termed the  
15 El Centro Free Field Station is, in fact, the free field re-  
16 cording outside the Imperial County Services Building and all  
17 the other records are recorded within one to ten kilometers  
18 of the Imperial fault.

19 I should note that the free field instrument was  
20 some seven kilometers from the fault and therefore all the  
21 other recordings span distances around that which the Imperial  
22 County Services free field instrument was at.

23 These response spectra are calculated for five  
24 percent damping.

25 Now again, the black-and-white version won't show

1 it but hopefully the color one will. The bottom response  
2 spectra -- the lowest response spectra -- is the Imperial  
3 County Services free field and all the others -- the remaining  
4 six or so -- are about a factor of two above that instrument.

5 There are a number of hypotheses that one can ad-  
6 vance in trying to explain this but I think this is a most  
7 dramatic presentation as to the possible anomalous recording  
8 in that free field instrument.

9 One explanation might simply be scatter in data.  
10 We do see a scatter in the data of plus-or-minus some 50-or-so  
11 percent. You might attribute some of this difference to that  
12 fact, but it is certainly more suggestive of some other under-  
13 lying physical mechanism that caused a filtering of the ground  
14 motion outside the Imperial County Services Building.

15 I might remind you that the high frequency portion  
16 of the response spectra corresponds to the low period plots on  
17 this viewgraph and you can see that the difference between the  
18 Imperial County Services free field and all the other free  
19 field recordings seems to decrease with decreasing frequency  
20 suggesting in particular some sort of frequency filtering.

21 MR. WHARTON: I am sorry, I didn't hear the last  
22 sentence you said. I wasn't sure whether you said increase or  
23 decrease. Could you repeat that?

24 WITNESS WIGHT: Yes, that the difference between  
25 the free field instrument -- that the response spectra

1 calculated from the free field instrument outside the Imperial  
 2 County Services Building and the remaining six free field  
 3 components plotted on this viewgraph seems to decrease with  
 4 decreasing frequency or increasing period, as plotted here.

5 Now just to resummarize what I said thus far, one  
 6 explanation could be scatter, but there appears to be some  
 7 other underlying phenomena taking place and therefore many  
 8 people have gone out and looked at the instrument and tried  
 9 to ascertain whether there is something unique about its place-  
 10 ment.

11 It has been characterized as sitting in a flower  
 12 pot and I think I ought to better define that. It is actually  
 13 in a planter bed of dimensions, I recall, approximately 10 by  
 14 four feet in plan view.

15 MR. WHARTON: I would object, Mr. Chairman, unless  
 16 we get some foundation as to what his basis for this knowledge  
 17 is, whether it is something that somebody told him or whether  
 18 it is something that he knows of his own knowledge.

19 JUDGE KELLEY: Have you seen the actual site your-  
 20 self?

21 WITNESS WIGHT: I have seen photographs of the site.

22 JUDGE HAND: What were the dimensions?

23 WITNESS WIGHT: Approximately four feet by ten feet.

24 JUDGE HAND: It is a wooden box?

25 WITNESS WIGHT: No, I would like to go on and

1 explain this.

2 JUDGE KELLEY: Were these photographs within studies  
3 or could you elaborate on that a little bit?

4 WITNESS WIGHT: Yes, they were within studies and  
5 there were several studies performed in this area and many of  
6 them directed at the building and response itself; peripheral  
7 to that, an examination of the free field instrument.

8 MR. WHARTON: Mr. Chairman, I still don't know the  
9 basis of his understanding, whether this was something that  
10 someone told him, what the situation was, whether it was a  
11 published study that went into detail that he would refer to.

12 What we are having now is he is trying to explain  
13 away a feature -- a very important feature -- by statements  
14 that we do not know who to attribute these statements to, as  
15 far as what the box was like and where it was.

16 JUDGE KELLEY: I am not sure that he wasn't in the  
17 process of doing so, but if you could elaborate to the extent  
18 you can on your knowledge of this matter bearing in mind  
19 Mr. Wharton's comments.

20 WITNESS WIGHT: The source of my knowledge or the  
21 knowledge itself?

22 JUDGE KELLEY: Both.

23 WITNESS WIGHT: The source of my knowledge is dis-  
24 cussions with several people who actually visited the site  
25 during those discussions, viewing photographs they had taken,

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1 maps they had drawn of the area.

2 MR. WHARTON: Mr. Chairman, I would submit that any  
3 testimony regarding that would be hearsay and is inadmissible.

4 MR. PIGOTT: If I might respond, Mr. Chairman, I  
5 believe that when we are dealing with experts there is a level  
6 of hearsay that is offensive and I think we got to some of that  
7 yesterday.

8 There is hearsay, however, which is admissible and  
9 that is a hearsay objection not only in administrative pro-  
10 ceedings but in any court proceeding, and that being if it is  
11 the type of knowledge on which experts used to rely in coming  
12 to their opinions, the way they go about gathering the various  
13 input to their opinions.

14 I would submit that this is, in fact, that kind of  
15 hearsay and if the Board so desires, I will go into an examina-  
16 tion of Mr. Wight as to who the people were that he went to,  
17 whether it was done as part of a formal study, whether or not  
18 it is his usual custom to gather information in putting together  
19 his reports in this manner.

20 I believe all the answers would be, perhaps, use-  
21 ful but I would say that this is the kind of hearsay -- and it  
22 is hearsay that we are dealing with -- and I believe it is the  
23 appropriate kind of hearsay.

24 Now if the Board wants more foundation, we are  
25 certainly willing to give it.



1 JUDGE KELLEY: Well, technically we can admit  
2 just about any kind of hearsay and then say that, to the extent  
3 that it lacks circumstantial indications of reliability, that  
4 goes to the weight to be accorded to it rather than whether it  
5 comes in.

6 Having said that, though, it is a matter of degree.  
7 It does seem to me that you are talking about a fairly impor-  
8 tant data point. I wouldn't say that you couldn't get into it  
9 via hearsay in some fashion but I think we can take the time  
10 for some foundation here, the circumstances under which the  
11 witness found out about this and the detail of his knowledge  
12 and so forth, so why don't you go ahead and lay a little more  
13 foundation along those lines.

14 BY MR. PIGOTT:

15 Q Mr. Wight, first of all let me ask, the information  
16 that you have relied on, was that information gained by other  
17 people in the course of officially studying the earthquake and  
18 its effects in the Imperial Valley in 1979?

19 A Yes.

20 Q First of all, who were these people that you have  
21 talked to?

22 A My discussions have been largely with Mr. Douglas  
23 Hamilton of Earth Science Associates in Palo Alto.

24 Q Do you know whether, in fact, Mr. Hamilton was --  
25 what was Mr. Hamilton studying at the time he observed this

1 planter area, if you know?

2 A He was doing various geophysical studies analyzing  
3 the mechanical properties of the earth underneath these re-  
4 cording stations, visiting virtually all of the stations listed  
5 on this viewgraph.

6 Q Is it correct to say, Mr. Wight, that in preparing  
7 reports and rendering opinions, that conversations with persons  
8 such as Mr. Hamilton in this context would be something that  
9 you might find useful and use in your analyses?

10 A Most certainly and I would emphasize again that in  
11 particular, given that we had photographs and maps prepared by  
12 Mr. Hamilton to review --

13 Q Mr. Hamilton took the photographs?

14 A I believe.

15 Q Did Mr. Hamilton describe to you this flower box?

16 A I am sorry?

17 Q This planter area?

18 A This planter, yes.

19 MR. PIGOTT: Mr. Chairman, I would submit that  
20 foundation has been laid for appropriate expert discussion of  
21 the relevance of this particular small structure.

22 JUDGE KELLEY: I think it is adequate for some  
23 further discussion. It is hearsay. It may not be the best of  
24 all possible bases, but I think it is good enough for our pur-  
25 poses. Again, it goes to weight, so if you want to pursue it,

1 go ahead.

2 BY MR. PIGOTT:

3 Q Would you like to continue with your discussion?

4 A Thank you. I was to describe the characteristics  
5 of this planter. The planter was some 100 yards away to the  
6 east, as I recall, of the Imperial County Services Building.  
7 Between the planter and the building was a parking lot and on  
8 the other side -- the far side of the planter -- was a sidewalk.

9 The planter was surrounded by a concrete retaining  
10 wall, as I recall some foot tall. To my knowledge nobody has  
11 dug down to see how deep these retaining walls are, but I be-  
12 lieve standard practice would be that they are buried maybe  
13 another foot or so.

14 The instrument was inside the planter surrounded  
15 by the retaining wall on a pad of dimensions, as I recall, two  
16 feet square approximately and the retaining walls butted up  
17 against the sidewalk on one side and the parking lot on the  
18 other.

19 This is a most unusual location for an instrument  
20 and I believe that this has to be taken into account in trying  
21 to interpret the apparent anomalous character of the response  
22 factor and the peak ground acceleration recorded on that in-  
23 strument.

24 Now another parameter much less important -- and  
25 this is why I say there is under-emphasis in my answer -- is

1 the fact that that planter is sprinkled intermittently and,  
2 in particular, during the summer and while the water table is  
3 at some 100-foot depth underneath the instrument, there is  
4 an increased level of saturation in the near surface around  
5 the instrument pad itself and this would increase the defor-  
6 mability of the soil in response to shaking from earthquakes  
7 and tend to, in a sense, damp out motions.

8           These are two possible processes or circumstances  
9 that could have explained the anomalous character of these  
10 response spectra.

11           MR. PIGOTT: I don't believe I have any further  
12 questions.

13                           RE CROSS EXAMINATION

14           BY MR. WHARTON:

15           Q       You say you gained this information from Douglas  
16 Hamilton. When was that?

17           A       Approximately early August 1980.

18           Q       And where did that occur? Where were you with  
19 Mr. Hamilton at the time he gave you this information?

20           A       As I recall we were in a technical conference being  
21 held at Pacific Gas and Electric offices.

22           Q       And is Mr. Hamilton in any way a consultant to  
23 Southern California Edison?

24           A       Not to my knowledge.

25           Q       Have you ever gone to the site where this flower

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1 box or whatever-it-is is located?

2 A I have not.

3 Q You have never been there?

4 A No.

5 Q And what kind of instrument was there?

6 A It was the standard strong motion instrument, I  
7 believe. I believe it is termed SMA-1.

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1 BY MR. WHARTON:

2 Q Do you know who placed it there?

3 A I believe it was California Division of Mines and  
4 Geology. It could have been the geological survey, but I  
5 am more certain of the CDMG placement.

6 Q You are not certain, though.

7 A Not absolutely.

8 Q Did you contact anyone that you believed placed  
9 it there?

10 A I did not.

11 Q You never asked anyone why that particular  
12 instrument was placed at that location?

13 A Certainly Douglass Hamilton and I discussed this.  
14 It was a convenient place. The objective was to match the  
15 recording in the free field with records that might be taken  
16 inside the Imperial County Services Building in the event of  
17 an earthquake.

18 Q Well, you said that the location was an unusual  
19 location. I would think that if it is an unusual location,  
20 you would contact the person that put it there and find out  
21 what his reasons were for putting it there.

22 MR. PIGOTT: Do we have to argue with the witness?  
23 Just -- it is argumentative. Could we have questions?

24 MR. WHARTON: Yes.

25 ///

1 BY MR. WHARTON:

2630

2 Q You state that it was an unusual location, is that  
3 correct?

4 A In my judgment, yes.

5 Q The fact that it was an unusual location, did it  
6 raise questions as to why the particular -- it was placed at  
7 that particular location?

8 A Yes, it did.

9 Q Did you ever resolve those questions by going to  
10 whoever it was that placed the instrument there?

11 A No, we did not. It seemed to be a rather useless  
12 exercise at that point.

13 Q Did Douglass Hamilton have any knowledge of why  
14 the instrument was placed at that location?

15 A Again, he and I speculated as to reasons. I  
16 don't think he had discussions with anybody else regarding the  
17 logic for that placement.

18 Q You stated that you -- that the planter was  
19 water -- often watered. How do you know that?

20 A Knowing the meteorological environment in the  
21 valley there, summer season ends, late October. It was a  
22 planter box in which there were plants, as I recall the photo.

23 Q You don't have any direct knowledge of how often  
24 it was watered?

25 A No, I don't.

3  
1 Q So -- and aren't you really assuming that it<sup>2631</sup> was  
2 watered?

3 A The plants were alive in the photograph, which  
4 was taken right after the earthquake, so I am certain it was  
5 watered.

6 Q Okay, you are talking about from -- you are certain  
7 it was watered from looking at a photograph?

8 A Yes.

9 Q That is the basis of your knowledge about water-  
10 ing?

11 A Yes.

12 Q Now, you commented something else about the water  
13 table being saturated?

14 A I did say that the water table was approximately  
15 at a 100-foot depth.

16 Q Okay, are you then stating that from -- are you  
17 trying to say that because of watering, the ground was satur-  
18 ated and that decreased the ground motion?

19 A No. The ground was not saturated in a technical  
20 sense. It would be saturated beneath the water table, and  
21 any water poured on the surface or sprinkled on the surface  
22 would drain downwards. The saturation would be less than 100  
23 percent at any point above the water table, but the water  
24 content would be highest at the surface where irrigation is  
25 taking place.



1 Q Again, you are assuming that irrigation took place.  
2 You don't have any personal knowledge of that.

3 A If you can say that it is an assumption based on  
4 seeing plants alive in the planter box.

5 Q How big were these plants?

6 A I can't recall their sizes. There seemed to be,  
7 as I recall, quite a variety, ivy.

8 Q Do you have any knowledge of how much water it  
9 would take to keep these kinds of plants alive?

10 A No.

11 Q Okay, the chart that you have drawn up here, you  
12 stated this shows the accelerations, free fields, and I  
13 believe the spectrum at seven kilometers, is that correct?

14 A What I said was that the Imperial County Services  
15 free field instrument was approximately seven kilometers, and  
16 that is the lowest -- that corresponds to the lowest curve on  
17 this plot.

18 Q Do you have any other -- okay, what was the  
19 magnitude,  $M_S$ , of this particular earthquake?

20 A 6.9.

21 Q Do you have any comparable data that you could  
22 draw a chart -- such as this, showing the difference as you  
23 show on this chart, of another earthquake in the area of seven  
24 kilometers or less with an earthquake of around  $M_S$  6.9 or  
25 7.0?

1 A No.

2633

2 Q So this is the only data that you have showing  
3 this particular information?

4 MR. PIGOTT: I am going to object. The -- I  
5 think the purpose of this redirect was to discuss this data  
6 at this location, for this earthquake, at this time of the  
7 year, in El Centro. I think we are expanding it into far  
8 more than at least Applicants intended by way of clarification  
9 and amplification.

10 MR. WHARTON: Mr. Chairman, he submitted a new  
11 chart here. I think that I am entitled to go into this  
12 chart for whatever purpose, and --

13 MR. PIGOTT: I think we have left the chart. That  
14 is my point.

15 JUDGE KELLEY: Within reason, yes. I do think  
16 that you are -- this was redirect for a pretty narrow purpose  
17 and your recross should be correspondingly narrow. I will  
18 allow that particular question, but just keep that in mind.

19 MR. PIGOTT: Especially since I have quasi-  
20 volunteered that Mr. Wight would be back, and would very  
21 likely be available for their technical cross-examiner.

22 MR. WHARTON: I think the last question will do in  
23 this area.

24 JUDGE KELLEY: Excuse me?

25 MR. WHARTON: The last question that I asked would

1 be the only answer that I would require and I wouldn't<sup>2634</sup> go any  
2 further.

3 JUDGE KELLEY: Very well. Do you want to repeat  
4 it?

5 BY MR. WHARTON:

6 Q Do you recall the last question?

7 A I would appreciate it if you would repeat it.

8 Q Okay. Could we have it read back, just so I have  
9 it straight?

10 (Whereupon, the question of the previous transcript  
11 page, lines 2 and 3, was played back)

12 JUDGE KELLEY: Okay, go ahead.

13 WITNESS WIGHT: Your question refers to this  
14 particular kind of data. In terms of characterizing varia-  
15 bility of ground motion at a given distance, which this  
16 portrays, there is a lot of data.

17 BY MR. WHARTON:

18 Q I am talking about at this particular distance.

19 MR. PIGOTT: Could he finish the answer, please?

20 I don't believe the witness finished the answer.

21 JUDGE KELLEY: Yes, go ahead.

22 WITNESS WIGHT: There is a lot of data, not from  
23 one single earthquake, certainly this data being from one  
24 single earthquake, it more specifically addresses the  
25 variation around the Imperial fault, but there are -- there is

1 an opportunity, of course, to do a regression analysis<sup>2635</sup> on  
2 data from a variety of earthquakes, and there are many  
3 magnitudes, 6.5 to 7.7, in our data base, for example.

4 BY MR. WHARTON:

5 Q I am speaking of measurements, say, less than  
6 eight kilometers only.

7 A And there are data represented for the magnitude  
8 range six and a half to 7.7 and less than ten or eight kilo-  
9 meters in our data base, from which one can derive a  
10 statistical representation of the scatter. This level of  
11 variation is -- is not unusual compared to the scatter in the  
12 data base.

13 MR. WHARTON: Okay, I have nothing further at  
14 this time.

15 JUDGE KELLEY: Mr. Chandler?

16 MR. CHANDLER: Thank you.

17 RE-CROSS EXAMINATION

18 BY MR. CHANDLER:

19 Q Now, Mr. Wight, I believe on -- with respect to  
20 this figure 3-1, did you indicate that this was based on  
21 five percent damping?

22 A I did.

23 Q Do you recall the testimony of Dr. Idriss?

24 A Yes.

25 Q Do you know what damping value has used in his

8

1 testimony and the Exhibits he has offered?

2 A As I recall, two percent.

3 Q Could you explain why you have used five percent  
4 as opposed to two percent?

5 A I cannot explain why Dr. Idriss used two percent,  
6 but we have taken as a matter of standard practice in our  
7 office, to plot response spectra, for purposes of comparison,  
8 at five percent.

9 Q Do you know what effect the use of five percent  
10 rather than two percent has, on the spectra that are  
11 depicted?

12 MR. PIGOTT: I am going to object as to not going  
13 to -- well, I am going to object as beyond the scope of this  
14 particular limited redirect, and also the relevance with  
15 respect to this chart, which I believe shows relative levels  
16 of motion, and not going to any absolute prediction or any  
17 absolute numerical values, but rather the comparison of what  
18 was recorded in this particular planter area vis-a-vis-what  
19 was recorded in other free-field instruments during this  
20 earthquake, and I thin perhaps we are losing sight of that --  
21 of that limited objective.

22 MR. CHANDLER: Mr. Chairman, I recognize that  
23 there was a limited objective intended by this particular  
24 figure. At the same time, we have spectra on the record  
25 which are based on two percent values. I think if we are to

EXHIBIT

1 understand a relationship, even in a relative sense, 2637  
2 internally in this document, we ought to be able to talk on  
3 a common ground, at least understand what effect or what  
4 difference there may be in talking five percent or two  
5 percent.

6 We have some witnesses talking two and some  
7 talking five. I don't intend to probe this very deeply, by  
8 the way, Mr. Chairman?

9 JUDGE KELLEY: What is your technical view of the  
10 issue?

11 JUDGE HAND: I am curious, too. I had made a note,  
12 five percent damping, and I -- what does it do to the curve,  
13 I mean, to the line? Does it shift them somewhere? Does it  
14 change their relative position?

15 WITNESS WIGHT: In my opinion, it does not change  
16 their relative positions, but it does change their absolute  
17 level. There would be a detailed difference in the peaks and  
18 the valleys, how they would be represented on plots like this,  
19 but the overall character of this plot would, in terms of  
20 relative differences, would be unchanged.

21 BY MR. CHANDLER:

22 Q Is it just a straight linear or three percent  
23 difference across the board, or --

24 A It is not that simple, but it is a straight  
25 difference.

1 Q Does this -- does the use of a five percent  
2 damping factor tend to reduce the spectra?

3 A Yes.

4 Q Bear with me, having had little time to prepare,  
5 I am trying to see what Dr. Idriss's Exhibits might suggest.

6 MR. CHANDLER: I have no further questions of the  
7 witness.

8 JUDGE KELLEY: Cadet?

9 JUDGE HAND: That viewgraph says velocity in  
10 centimeters per second on the left-hand side, and mine -- my  
11 copy in front of me says velocity in centimeters per second  
12 times ten to the minus three, and --

13 THE WITNESS: That is correct.

14 JUDGE HAND: Which is correct?

15 THE WITNESS: Ten to the minus three. In other  
16 words, the ordinates should be multiplied by a thousand to  
17 get unit centimeters per second.

18 JUDGE HAND: And does this have something to do  
19 with peak acceleration, some of this in front of us?

20 THE WITNESS: Yes. Recall that the high frequency  
21 asymptote of the response spectra approaches the peak ground  
22 acceleration, on a --

23 JUDGE HAND: And that is the data on the lower  
24 left of that figure?

25 THE WITNESS: Yes, and more specifically, if you

11

1 could compare the asymptote of these spectra against the  
2 diagonal line, with an angle of 45 degrees, recall acceleration  
3 is plotted on that diagonal axis, going from your lower  
4 left to your upper right.

5 JUDGE HAND: Well, then is the acceleration at  
6 the County Service Building in that planter bed in fact higher  
7 than the rest of the data, is that --

8 THE WITNESS: Lower.

9 JUDGE HAND: It is lower than the rest of the  
10 data.

11 THE WITNESS: Exactly. Acceleration on this  
12 plot would increase in that direction with the axis of  
13 acceleration 90 degrees rotated.

14 JUDGE HAND: So the instrument in the planter  
15 recorded lower acceleration. Well, why did that concern  
16 people? Have I lost the thread here? I mean, what --

17 MR. WHARTON: Yes.

18 THE WITNESS: I think it is a matter of emphasis  
19 again. There was another instrument inside the building,  
20 and my testimony on Friday discussed the general phenomena of  
21 building reduction, that when we have records recorded in  
22 buildings, and records recorded outside buildings, both on a  
23 statistical and, say, a deterministic basis, one can say on  
24 the average that the building tends to reduce the ground  
25 motion. Now, the Imperial County Services building record



1 pair, between the building and the free field, was anomalous.<sup>2640</sup>

2 The different --

3 JUDGE HAND: I am back with you again. I have  
4 it back in my head.

5 THE WITNESS: Okay.

6 JUDGE HAND: I had lost it and I have --

7 THE WITNESS: And all of this discussion is with  
8 regard to the free field instrument alone.

9 JUDGE HAND: Yes.

10 THE WITNESS: There were other points, explanations  
11 that I offered regarding the record in the building.

12 JUDGE HAND: And one other thing, when you were  
13 talking about the location of this instrument in the flower  
14 bed, or box, or whatever it is to be called, you either said  
15 it was anomalous or unusual or something, you have got half  
16 a dozen other free instruments, free field stations. What --  
17 how do they differ? I mean, what are they, just put out in  
18 somebody's back yard, or --

19 THE WITNESS: Highly variable. Highly variable.  
20 I think we have to consider the manner in which CDMG or the  
21 USGS deploys instrumentation. They go out and find a  
22 location that is secure and available to them.

23 JUDGE HAND: Secure in the sense of being safe  
24 from --

25 THE WITNESS: Vandalism.

1 JUDGE HAND: -- pilferers and vandals. 2641

2 THE WITNESS: EXactly, exactly. And where the  
3 land would be available for their use. Even though it is a  
4 small piece of real estate, it is important, so frequently,  
5 they will place these instruments on governmental property,  
6 whether it be local, state or federal. Another instrument,  
7 for example, to give you a feel for the variability here,  
8 another instrument, I can't recall which one it is offhand,  
9 but one of them is recorded -- it is placed inside a school  
10 building, a two-story school building that previously was  
11 used to house a turbine and a pump for the irrigation district  
12 there.

13 They removed the turbine and the pump, and they  
14 used -- they refurbished the structure to be a schoolhouse.  
15 It is kind of interesting that that -- that the basement or  
16 the foundation of this schoolhouse is some, as I recall, 20  
17 feet thick poured concrete, and the fact that it recorded --

18 JUDGE KELLEY: Twenty feet thick?

19 THE WITNESS: Yes, to support the turbine. The  
20 turbine and the pump together weighed some tens of tons, and  
21 back in nineteen -- I believe the late '30s when it was  
22 installed, it was the largest turbine-pump combination in the  
23 world.

24 JUDGE HAND: But that is not on this chart, is  
25 it?

14 1 THE WITNESS: Well, the instrument that is now in  
2 the school building, sitting on top of that foundation, is,  
3 and it recorded a higher acceleration. Again, to further  
4 emphasize first, the variability, and second of all, the  
5 anomalous character of whatever was in the planter bed.

6 JUDGE HAND: Can that instrument be calibrated  
7 somehow, can it be taken into the laboratory and shaken so  
8 that you can -- with some determined force, so that you can  
9 find out whether or not it works properly?

10 THE WITNESS: And that is standard practice, in  
11 fact, after earthquakes, to go out and check the calibration  
12 level of instruments.

13 JUDGE HAND: And this was done with this instrument?

14 THE WITNESS: To my knowledge. The question, I  
15 don't think, is really the instrument, but rather the setting.

16 MR. WHARTON: Mr. Chairman, I believe there might  
17 be a clarification on him stating "to my knowledge," on  
18 whether or not the instrument was checked. Is he saying that  
19 he -- is he saying that he knows the instrument was checked  
20 afterwards, or not?

21 JUDGE KELLEY: Can you just repeat what you said?

22 THE WITNESS: I do -- what I did say is to my  
23 knowledge, the instrument was checked, and the basis for that  
24 is that it is standard practice within the organizations that  
25 operate these arrays, to go out and check them.

1 JUDGE KELLEY: So you in effect recalibrate<sup>2643</sup> them  
2 after an earthquake?

3 THE WITNESS: Yes.

4 JUDGE JOHNSON: Is there any sort of periodic  
5 calibration between earthquakes?

6 THE WITNESS: Yes.

7 JUDGE JOHNSON: Like once every six months or once  
8 every year?

9 THE WITNESS: I don't know the frequency, but  
10 they certainly maintain calibration levels periodically.

11 JUDGE KELLEY: Can you get variations in readings  
12 depending upon different intensities in the earthquake at  
13 different places on the fault? You know, you refer, for  
14 example, to a -- say a 6.9. As I understand it, let us say  
15 that involves a rupture of 30 kilometers. It isn't 6.9 along  
16 all 30 of those kilometers, or isn't necessarily, is it? It  
17 could be 7.2 or 6.4 at different places on the fault?

18 THE WITNESS: Well --

19 JUDGE KELLEY: I am not stating that. I am  
20 asking it. But it is my impression that it isn't --  
21 intensities aren't uniform all the way up and down the fault  
22 and therefore with respect to buildings that may be in the  
23 near field.

24 THE WITNESS: There are a couple of points here.  
25 With regard to magnitude, earthquakes have a given

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1 magnitude determined by organizations that have responsibili-  
2 ties to calculate that magnitude, and there is a -- so the  
3 magnitude for IV '79 is reported by the U.S. Government to be  
4 6.9, and --

5 JUDGE KELLEY: Okay, but doesn't that -- doesn't  
6 that involve some kind of averaging?

7 THE WITNESS: Yes, it does, certainly. Now, you  
8 use the word "intensity," implied intensity of ground motion,  
9 and it is true, the intensity of ground motion for a given  
10 earthquake does vary along the fault, and away from the fault,  
11 and that is -- that mechanism -- that results in some degree  
12 of scatter in the data.

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1 Q I think maybe I was a little careless in my choice  
2 of words. The earthquake measurement is really usually referred  
3 to as a magnitude measurement; right?

4 A Yes.

5 Q And there is one number of 6.5 or whatever in any  
6 given earthquake, and I am repeating myself and I know that,  
7 but it is my impression that typically in a long fault the mag-  
8 nitude of the earthquake, if you will, will vary.

9 A Yes.

10 Q And this, then, would produce different strong mo-  
11 tion measurements and depending upon whether you were on a  
12 certain angle of a fault or whatever; isn't that possible?

13 A That is quite true. A physical explanation for  
14 this has to do with the earthquake rupture process; that we  
15 envision the earthquake rupture rather chattering along, going  
16 through the earth where the earth contains different levels of  
17 local stress, for example, and when that local stress is re-  
18 lieved it results in variation of ground motion at that point.

19 You might imagine that if you have got a station  
20 looking at the fault in front of it, that the likelihood of a  
21 random occurrence of a high stress drop is going to be greatest  
22 at some point within an azimuthal arc in front of that station.

23 If there were a high stress drop at some point  
24 further away outside that azimuthal window of, say, 45 degrees  
25 resulting in a high frequency acceleration, that that

ghp 2

1 acceleration pulse would have to travel through a greater dis-  
2 tance of earth medium and attenuate greater. So it is a trade-  
3 off there and probablisticly one might hypothesize that the  
4 peak accelerations -- high frequency accelerations -- are coming  
5 from some nearby window on the fault.

6 Now there is no consensus on this matter but we  
7 have looked at it rather statistically ourselves trying to get  
8 a better feel for where that energy is coming from, and with  
9 respect to our data base that I have described last Friday,  
10 we find that the best statistical representation of distance  
11 is the closest distance to the rupture surface, not at the  
12 central or hypocentral corresponding to points related to the  
13 first energy release, but rather the point on the fault closest  
14 to the station.

15 I say on a statistical basis. By that I mean our  
16 standard error is reduced and the fit is improved.

17 I could say that when we calculate regression based  
18 on epicentral distance the scatter, the uncertainty in our fit,  
19 increases by, as I recall, 50 percent, which is very large.

20 JUDGE KELLEY: Are we through with Mr. Wight, at  
21 least, for this morning?

22 MR. PIGOTT: I would move the admission of  
23 Applicant's Exhibit No. 35, LHW-3 into evidence.

24 MR. WHARTON: I have no objection.

25 MR. CHANDLER: No objections.

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JUDGE KELLEY: So ordered.

(The document identified as Applicant's Exhibit No. 35 was received into evidence.)

MR. PIGOTT: Thank you, and I have no further questions for Mr. Wight.

JUDGE KELLEY: Thank you, Mr. Wight.

(Mr. Wight leaves stand.)

MR. PIGOTT: I would next call redirect for Dr. McNeill from yesterday. We have no redirect of Dr. Edress who was on Friday.

Whereupon,

ROBERT L. MC NEILL

was recalled as a witness herein, and having been previously duly sworn, was examined and testified further as follows:

REDIRECT EXAMINATION

BY MR. PIGOTT:

Q Dr. McNeill, you have had a chance over the evening recess and this morning to review the transcript of your testimony yesterday, have you not?

A Yes.

Q And I believe that there was some general questioning concerning the purpose of your testimony in this proceeding. I wonder if you would expand on that, if you would describe for us the position of your testimony in the overall



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1 scheme of Issue No. 4.

2 A Yes. To do that, let me describe the normal pro-  
3 cess of deriving site-specific spectra, that involves four  
4 steps. The first one is by the geologist who studies the area  
5 and identifies the various faults involved and also identifies  
6 their size, their sense of motion and their degree of activity.

7 The second step involves the geologist, quite often  
8 with a seismologist, in assessing the characteristics of those  
9 faults to determine the maximum magnitude that might occur on  
10 those particular features.

11 The third step is by the seismologist, usually in  
12 consultation with the earthquake engineer, to take those mag-  
13 nitudes and their appropriate distances and derive the instru-  
14 mental site-specific motions. Usually those are expressed in  
15 the form of a spectrum.

16 The fourth step is by the earthquake engineer, who  
17 takes those instrumental site-specific motions and, from those,  
18 derives a design spectrum which he furnishes to the designer.

19 Notice that up to this point no attention to details  
20 of any particular structure have been considered.

21 Now my function as an earthquake engineer is to  
22 serve to bridge the gap between the seismologist with an in-  
23 strumental acceleration and a designer who needs a design spec-  
24 trum and that is my purpose in being here, is to bridge that  
25 gap.

ghp 5

1 Q I believe also in your cross examination there  
2 was some question as to whether or not you were here to testify  
3 on providing assurance for public health and safety. I wonder  
4 if you could address the purpose of your testimony in that  
5 context?

6 A Yes, I view the purpose of my testimony in that  
7 context to be to quantify the level of conservatism but not to  
8 make judgements regarding public health and safety.

9 MR. PIGOTT: I have no further questions of  
10 Dr. McNeill.

11 JUDGE KELLEY: Mr. Wharton?

12 MR. WHARTON: I have nothing.

13 MR. CHANDLER: No questions, Mr. Chairman.

14 JUDGE KELLEY: Thank you, Dr. McNeill.

15 (Witness leaves stand.)

16 MR. PIGOTT: Mr. Chairman, subject to a few little  
17 nits that may have to be provided for the record, I think that  
18 actually concludes our presentation on the first of the four  
19 issues before us and we would move to call as Applicant's  
20 next witness Mr. Jay Smith, who will be addressing Issue No. 3  
21 as they have been ordered.

22 JUDGE KELLEY: Fine.

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1 Whereupon,

2 JAY SMITH

3 was recalled as a witness herein, and having been previously  
4 duly sworn, was examined and testified further as follows:

5 MR. PIGOTT: For the record Mr. Smith was previously  
6 sworn and his qualifications are set forth in his testimony on  
7 the earlier issues.

8 DIRECT EXAMINATION

9 BY MR. PIGOTT:

10 Q Do you now have before you, Mr. Smith, 19 pages of  
11 questions and answers and Figures JLS-N through JLS-Z?

12 A Yes, I do.

13 Q And if you were asked those questions today, would  
14 your answers be the same?

15 A Yes.

16 Q And the figures that accompanied that testimony,  
17 do you incorporate that as a part of your testimony?

18 A Yes, I do.

19 Q Do you have any corrections to make to the text  
20 of your testimony?

21 A Yes, I have two.

22 Q Okay, if you would give them to us?

23 A On page 2, line 18, within the quotes is the cap-  
24 tion for Figure JLS-O. That should be changed slightly. In-  
25 stead of reading, "photograph of an A feature," it should read,

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1 "photograph of A and B features." So the correction would be  
2 to delete the word A and insert the words A and B and add an  
3 "s" to feature.

4 Q And I assume that the same correction should be  
5 made to the caption of JLS-0?

6 A Yes, following the text of the testimony; that is  
7 correct.

8 Q What is your second correction, or did I steal it?

9 A Those are the two.

10 MR. PIGOTT: I would ask that the testimony and  
11 the accompanying figures be placed into the record as evidence  
12 at this point.

13 JUDGE KELLEY: Without objection, so ordered.

14 BY MR. PIGOTT:

15 Q Are you also sponsoring the exhibits with respect  
16 to this portion of your testimony?

17 A Yes, I am.

18 MR. PIGOTT: Mr. Chairman, I believe those exhibits  
19 were previously identified as Applicant's Exhibits No. 25,  
20 No. 26, No. 27, also bearing the designation JLS-1 through  
21 JLS-3.

22 BY MR. PIGOTT:

23 Q Mr. Smith, were those exhibits either prepared by  
24 you or under your supervision and direction?

25 A Yes, they were.

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1 MR. PIGOTT: I would ask that Applicant's Exhibits  
2 No. 25, No. 26 and No. 27 be introduced into evidence.

3 MR. WHARTON: No objection.

4 JUDGE KELLEY: So ordered.

5 (The documents identified as  
6 Applicant's Exhibits No. 25,  
7 No. 26 and No. 27 were received  
8 into evidence.)

9 BY MR. PIGOTT:

10 Q Mr. Smith, have you prepared a general introductory  
11 discussion of your testimony?

12 A Yes, I have.

13 MR. PIGOTT: If the Board has no introductory  
14 questions, I would suggest that Mr. Smith go ahead with his  
15 discussion.

16 JUDGE KELLEY: Go ahead.

17 WITNESS SMITH: I believe I can be very brief in  
18 presenting my synopsis by quickly going through some of the  
19 figures in my testimony. This first slide is of Figure JLS-N.  
20 It is simply a plan view of the excavated SONG site showing the  
21 locations of features that have been referred to as A, B, C  
22 and D.

23 The A, B features are the intersecting lines we  
24 see in the vicinity of the pointer here. The C feature is  
25 difficult to distinguish on this slide but it occurs at about

1 the upper tip of the pointer on the southeast side of the site. <sup>2653</sup>  
2 The D feature is that very irregular line traversing from south-  
3 east to northwest across the site.

4 My testimony describes the features as they were  
5 encountered, also the history of their discovery. It identifies  
6 their characteristics and draws conclusions on their age and  
7 origin. This figure, JLS-Q, is a log of a vertical trench ex-  
8 posure within the excavated site area.

9 It shows one of the A features at this point rising  
10 up through the San Mateo formation to the stage 5-E marine  
11 terrace platform at that point, clearing indicating that these  
12 features have formed prior to the development of the Stage 5-E  
13 terrace and are therefore older than about 125,000 years.

14 This viewgraph is of Figure JLS-S. It is simply a  
15 location map showing two areas referred to as Trail 6 and  
16 Dead Dog and Horno Canyons. These are at distances from three  
17 to five miles respectively south of the site.

18 They are areas where offsets had been encountered  
19 in the Stage 5-E marine terrace platform. Initially to some  
20 observers it suggested as possibly being fault offsets.

21 This slide is Figure JLS-U. It is one of many  
22 illustrations from many reports that clearly indicate that the  
23 displacements observed in that marine terrace platform are  
24 clearly within the boundary of a large old landslide on the  
25 sea cliff and are related to landslide motion in the sea cliff,

1 rather than faulting.

2 That slide that I just showed was of the Trail 6  
3 area.

4 This slide is from Figure JLS-W. It shows the  
5 Horno Canyon area where similar offsets were found and also  
6 shown to be part of a very large landslide, one of many common  
7 along this part of the coast.

8 This viewgraph is of Figure JLS-X. It is a location  
9 map of the Target Canyon area about six-and-a-half to seven  
10 miles down-coast from the SONG site. It is an area where some  
11 shear surfaces in the bedrock of the Monterey formation were  
12 found to extend short distances up into the overlying marine  
13 and non-marine terrace deposit.

14 The displacements and the shears across which they  
15 occurred are shown in this Figure JLS-Z which is a plan view  
16 of the Target Canyon area. These were found to be discontinuous  
17 shears. They have various orientations that are described in  
18 some detail in the testimony.

19 The weight of the evidence, in my opinion, favors  
20 a non-tectonic origin for these features. However, the inves-  
21 tigation and the available data was not sufficient to conclu-  
22 sively rule out some tectonic role in here.

23 Clearly these features, even if projected along  
24 their north trend some distance, would barely lie tangent to a  
25 circle of five-mile-radius centered on the SONG site.

1 In view of their discontinuity, their short<sup>2655</sup> length,  
2 their great age at last movement of at least several 10's of  
3 thousands of years, their distance from the site, my conclusion  
4 has been that they are not significant to SONG.

5 I think that is a fair summary of my testimony.

6 MR. PIGOTT: Thank you, Mr. Smith. Unless there  
7 are Board questions, I would tender the witness for cross  
8 examination.

9 JUDGE KELLEY: Just out of curiosity, where do  
10 some of these names come from; do you know? Dead Dog Canyon,  
11 I believe, is my favorite.

12 WITNESS SMITH: The Target Canyon was probably  
13 named for its use by the Marine Corps in gunnery practice. I  
14 really don't know where Horno Canyon comes from but I suspect  
15 one of my geologists who did the mapping in the canyon south  
16 of Horno was responsible for naming it Dead Dog for perhaps  
17 obvious reasons.

18 JUDGE KELLEY: Or for reasons that will become ob-  
19 vious.

20 WITNESS SMITH: Perhaps.

21 JUDGE KELLEY: Thank you for that little aside

22 MR. WHARTON: Mr. Chairman, I had my Voir Dire por-  
23 tion of cross examination with Mr. Smith before. We will go  
24 directly to Mr. Parlow for the technical part.

25 JUDGE KELLEY: Fine.



2 BY MR. BARLOW:

3 Q Mr. Smith, on page 5 of your testimony you state  
4 that the A features strike nearly north/south and dip vertically.  
5 Is this strike of Feature A parallel to the strike of the  
6 Cristianitos fault?

7 A Would you point the line to me?

8 Q I am sorry, on page 5, line 20 and 21.

9 A And your question again, please?

10 Q The question is, is the strike of Feature A parallel  
11 to the strike of the Cristianitos fault?

12 A I would say in general it is not.

13 Q Could you explain that answer?

14 A Yes, the Cristianitos fault has been mapped to have  
15 a generally north to slightly northwest trend overall averaging  
16 something on the order of north 15 degrees west. There very  
17 well may be places along the Cristianitos where it has a north  
18 strike, but in general overall plan, it is viewed by maps in  
19 the exhibits and by Dr. Heilig as having a west of north trend.

20 Q Does the Cristianitos fault bend in certain areas  
21 and take different strikes?

22 A I think I just indicated that it may have some  
23 variable strike from place to place. The extent to which it  
24 bends would depend on the place you are examining it and also  
25 the nature of the map that one was inspecting.

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1 Q Are you aware of reports by Applicants and  
2 consultants to Applicants which describe the Cristianitos  
3 fault zone as north/south trending?

4 A I'm not aware of reports that describe that as  
5 a dominant prevailing strike. As I've indicated from the  
6 mapping that I've done and observed of others, it has a  
7 variable strike which lies something west of due north.  
8 But I would not preclude there being some north strike to  
9 parts of the fault plane.

10 Q Okay. Thank you.

11 Are you aware of the strike of the so-called  
12 Cristianitos Zone of Deformation?

13 A I'm not aware that anyone has described a  
14 strike on the Cristianitos Zone of Deformation.

15 Q Are you aware of any description of it being  
16 north/south trending?

17 MR. PIGOTT: I think the witness has answered  
18 that question.

19 MR. WHARTON: I believe he answered as to the  
20 Cristianitos fault not the Cristianitos Zone of Deformation.

21 JUDGE KELLEY: I think that it's a fair question.  
22 The first one was asked about a strike and the witness said  
23 it doesn't have a strike.

24 So north/south trending I think is a somewhat  
25 different notion.

1 THE WITNESS: The Chairman is correct in that.

2 There is in the geologic terminology a distinct  
3 difference between strike and trend.

4 Strike is the direction of a horizontal line in  
5 a plane. Clearly the CZD is not a plane. It doesn't  
6 represent a fault and there's no single element from which  
7 one can measure a strike.

8 But as a zone comprising a number of structural  
9 elements, both folds and faults, one could generally describe  
10 that there is a trend of these individual features that  
11 is generally north -- north/south.

12 BY MR. BARLOW:

13 Q Is the strike of feature A parallel to the  
14 trend of the Cristianitos Zone of Deformation?

15 A Well you're talking about two different things  
16 here because strike -- let me think about that a moment.

17 Is the strike of feature A parallel to the  
18 trend of the CZD?

19 Q Correct.

20 A Well in more or less terms, yes.

21 Q Okay. On Page 6 of your testimony, Line 5,  
22 you state that the B feature strike about north 45 to 55  
23 west and they dip nearly vertically also.

24 Is the strike of feature B parallel to the  
25 strike of the Offshore Zone of Deformation?

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1 MR. BARLOW: Excuse me. Let me modify that  
2 question.

3 BY MR. BARLOW:

4 Q Is the strike of feature B parallel or sub-  
5 parallel to the strike of the OZD?

6 A Well I think, insofar as there is a high  
7 degree of variability of the strike of any single fault  
8 along the OZD, it would be not possible without your being  
9 more specific for me to answer that.

10 The OZD is a broad, sinuous zone of folds and  
11 faults and, again, such a zone would be impossible to  
12 characterize as having a strike or a single strike.

13 Q Okay. Let me rephrase the question.

14 Is the trend of the OZD north/west?

15 A It is generally north/west. There are  
16 deviations from that and I wouldn't want to get more specific  
17 unless you would like to say within how many degrees you  
18 want to define north/west.

19 Q Well let's just discuss it in terms of north/west  
20 without degrees.

21 Therefore, is the strike of feature B parallel  
22 to the general trend of the OZD?

23 A I would say only in those areas where the  
24 general trend of the OZD is north/west. As I indicated,  
25 there are some significant deviations from that.

1 Q In general, in Southern California, are there<sup>2660</sup>  
2 several fault zones, including the San Andreas fault zone,  
3 the San Jacinto fault zone, the Whittier-Elsinore fault zone  
4 and the Newport-Inglewood, Rose Canyon or OZD fault zone,  
5 which are, in general, trending northwest?

6 A Well, that was a pretty complex question. I am  
7 not sure there was only one question.

8 Q Okay, we could break it down.

9 Is the San Andreas plate boundary, in general,  
10 trending northwest in Southern California?

11 MR. PIGOTT: Could we have a definition of how  
12 "plate boundary" is being used in this particular instance?

13 JUDGE KELLEY: We have been talking about faults.  
14 Are you really asking the trend of the San Andreas fault?

15 MR. BARLOW: Yes, sir.

16 THE WITNESS: Is your question, does the San  
17 Andreas fault in Southern California trend northwest?

18 MR. BARLOW: I will make it even more specific.

19 BY MR. BARLOW:

20 Q Does the San Andreas fault, in the area opposite  
21 the San Onofre site to the east, trend northwest?

22 A Well, that would be in Southern California, and I  
23 would say south of the transverse ranges, at the latitude  
24 of San Onofre, yes, it has a general northwest trend.

25 Q Do other faults which are parallel to the San

1 Andreas fault, including the Whittier-Elsinore and the OZD,  
2 in general, trend northwest?

3 A Well, I want to take exception to your identification  
4 of the OZD as a "fault." It is not a fault. I have not  
5 described it as such, nor have any of Applicant's witnesses,  
6 and I am not sure any of the other witnesses I have heard.

7 But, with that caveat, I would say that there  
8 are other zones that have faults in Southern California that  
9 trend generally northwest.

10 JUDGE KELLEY: Let me interrupt and ask. You have  
11 had a series of questions about the parallel strike of various  
12 faults and zones, trends of zones. I am not real clear  
13 where you are taking us with the questions.

14 Could you give us an indication of the significance?  
15 Let's assume that you establish that a lot of faults and  
16 zones are more or less parallel. What will that tell us?

17 MR. BARLOW: Well, it is analyzing the ABCD features  
18 in the context of being between parallel northwest trending  
19 faults with north/south trending branches in this region.

20 JUDGE KELLEY: Would that lead one to -- what  
21 kind of a conclusion would that lead one to, assuming you  
22 establish it?

23 MR. BARLOW: It could lead to a conclusion that  
24 the A and B features were formed as a part of the regional  
25 tectonics that have formed these other faults, which are

1 parallel to the A and B features.

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2 JUDGE KELLEY: Go ahead.

3 BY MR. BARLOW:

4 Q I am not sure if we got an answer to the question  
5 that those other questions were attempting to lay a foundation  
6 for, so if I could go back and ask you this:

7 Is the strike of Feature B parallel to the trend  
8 of the OZD?

9 A I believe I answered that. I said, it is generally  
10 parallel to those parts of the OZD, and those elements of the  
11 OZD, that trend northwest.

12 I qualified my earlier answer by saying there are  
13 places where it deviates far enough from northwest that various  
14 people might say it is not northwest.

15 Q Does the Newport-Inglewood fault trend northwest?

16 A I have said in earlier testimony, in fact on the  
17 first day here, that I don't recognize the existence of a  
18 Newport-Inglewood fault, per se, crossing the Los Angeles  
19 Basin, but if you mean by that terminology the Newport-Inglewood  
20 zone of deformation, then I would say that it does have a  
21 general northwest trend.

22 Q Okay. Do you know what is the width of the  
23 Cristianitos fault zone at the portion where it has the  
24 Forrester Branch?

25 JUDGE KELLEY: Could you identify that a little

1 clearer for the record?

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2 MR. BARLOW: Yes, sir. I believe there is a map  
3 in the exhibits of Mr. Smith that shows this.

4 JUDGE KELLEY: Well, let's find it if we can.

5 MR. BARLOW: It is Drawing Number 1 in Exhibit 25,  
6 Applicant's Exhibit 25, Drawing Number 1, and Appendix C.

7 JUDGE KELLEY: And is that JLS, which number?

8 MR. WHARTON: JLS-1.

9 MR. PIGOTT: May I ask for a clarification of  
10 what the Interrogator is referring to as the "Cristianitos  
11 fault zone?" Applicant's have referred to a "Cristianitos  
12 fault onshore," and whether this is an attempt to make a  
13 "zone" out of a "fault," rather than a "fault" out of a "zone,"  
14 I would like some clarification on that.

15 JUDGE KELLEY: Clarifying the term would be  
16 useful. I am still looking for the map.

17 Is there any page reference?

18 MR. BARLOW: Well, it doesn't have a page number  
19 on it. It immediately follows Page C-7 in JLS-1.

20 JUDGE KELLEY: That helps.

21 MR. BARLOW: In general, in California, faults  
22 occur not just as one single line on a map, but occur as zones,  
23 with various branches, and the faults, with its branches, are  
24 referred to as "zones," "fault zones."

25 JUDGE KELLEY: I am not disagreeing with you. That



1 is just in terms of my own mind. I have heard of <sup>2664</sup> "faults"  
2 and I have heard of "zones of deformation."

3 Perhaps between you and the witness, we could  
4 reach agreement on proper terminology. Mr. Barlow's explana-  
5 tion of "fault zone" -- was that the term? Maybe you could  
6 restate that and see what your reaction would be.

7 BY MR. BARLOW:

8 Q In general, faults are not just simple lines on a  
9 map, but often include branches or splays, which, taken together,  
10 are called "fault zones." Do you agree with that definition?

11 A I think, in a strinct, general sense, that is  
12 correct, but I think in the relevant matters that are important  
13 here, it is very important to look at the context in which  
14 a zone is being defined, and if you are talking specifically  
15 about the Cristianitos fault, then we need to look specifically  
16 at that fault at various places to see how the zone might be  
17 defined.

18 Q Okay. Looking specifically at the Cristianitos  
19 fault and the Forrester fault on your map, following Page C7,  
20 would you say that the Forrester fault is a branch of the  
21 Cristianitos fault?

22 A I don't have an opinion on that. This figure was  
23 shown for location purposes. The mapping that I have been  
24 involved with has not extended that far north on the Cristianitos  
25 fault, so I have no personal knowledge of the Forrester fault

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1 or any postulated relationship to the Cristianitos.<sup>2665</sup> I believe  
2 Dr. Ehling has included that area in his study, and perhaps  
3 would be the better one to ask.

4 Q Why did you include this map in your --

5 MR. PIGOTT: I am going to object to the line of  
6 questions. It seems we are now reaching -- I think I have been  
7 rather patient with these questions, but it would appear that  
8 the examiner is more interested in the Cristianitos fault than  
9 the ABCD features, which are properly a part of the issue. The  
10 issue does not call for an examination of the Cristianitos  
11 fault, and although I can understand, as we have, some general  
12 discussion of it, I think we are beyond setting the stage, and  
13 we are now into an interrogation concerning that fault itself,  
14 and I object on the grounds it's beyond the scope of the issues.

15 JUDGE KELLEY: The issue itself now before us is  
16 Issue Number 3, which had to do with discoveries, meaning,  
17 really, geologic discoveries subsequent to issuance of the CP,  
18 and it enumerates several, including ABCD. While I think it  
19 is fairly within that issue to establish -- to attempt to  
20 establish if there be one some relationship between ABCD and  
21 the Cristianitos, I think the focus should be on ABCD.

22 It looks like a good time to eat lunch. Why don't  
23 we come back to this matter, in one hour, and not one-and-one-  
24 half hours, but at 1:00, and further to explore

25 ////

1 the ABCD features of this site.

2 (Whereupon, at 11:56 a.m., a luncheon recess was  
3 taken, and the hearing was scheduled to resume at 12:00 p.m.  
4 of the same day.)

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A P T E R N O O N   S E S S I O N

(1:06 p.m.)

1  
2  
3           JUDGE KELLEY: Back on the record. Let me inter-  
4 ject quickly just one short matter before we resume with  
5 Dr. Smith. I mentioned this morning the possibility of giving an  
6 oral on-the-record post-pre-hearing conference order under  
7 Section 752-C and there was some question in my mind, at least,  
8 about whether I had the authority to do that.

9           There has been a recent change to the NRC Rules  
10 authorizing Board's to rule orally on motions. 730(e) is now  
11 revised.

12           It does seem that, reading that section along with  
13 752-C, it leaves one with some ambiguity as to the answer to  
14 the question. 752-C talks about entering an order and arguably  
15 that means some sort of a written order.

16           On the other hand, it does seem that the objections  
17 to this order may be accomplished by an order dictated into  
18 the record just about as well. Again, I would propose this  
19 procedure. What I am leading up to is whether anybody would  
20 object to doing it that way.

21           What I would propose to do is state on the record  
22 the functional equivalent of a 752-C order regarding such  
23 matters as contentions, consolidations and whatever else we  
24 have got before us with reference to emergency planning.

25           This, of course, would be Board's rulings which

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1 would come from our deliberations, but which I would just state  
2 on the record.

3 I would then, the following day, take the transcript  
4 and undoubtedly have some additions and possibly some correc-  
5 tions, but as so amended and amplified upon, that would comprise  
6 the order contemplated by 752-C and upon which parties would  
7 have the right to comment within the specified number of days.

8 So I want to simply ask you to consider, and not  
9 answer now, but advise me perhaps by the close of the hearing  
10 tomorrow when we could raise this again, whether any party  
11 would have any objection to this procedure.

12 Do you understand what I am referring to, what I am  
13 describing?

14 MR. WHARTON: You are talking about using this pro-  
15 cedure further down the road after all submissions and to deter-  
16 mine the emergency planning issues and you are not proposing  
17 to make those rulings tomorrow.

18 JUDGE KELLEY: Oh, no. It is just that now the  
19 Board has all this paper and we are going to go our separate  
20 ways to read it and we are going to come back here and confer  
21 and deliberate and then do the parties want me to go back and  
22 start writing draft orders or do you want to find out what the  
23 result is.

24 You will get the same in substance, it seems to me,  
25 with maybe a little less elegance but in the interest of moving

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1 things along, that is what I am proposing to do.

2 We had, just at the break, a question by Mr. Barlow  
3 and an objection by Mr. Pigott, and I gave it a little thought  
4 and decided that we should go to lunch and come back here.

5 Could you restate your question, Mr. Barlow?

6 MR. BARLOW: To be honest --

7 JUDGE KELLEY: Or you could start fresh, approxi-  
8 mately where you were.

9 MR. BARLOW: I would appreciate it if we could have  
10 it read back.

11 JUDGE KELLEY: Do you remember about where you were?  
12 It takes time to find it and it isn't here anyway, so why don't  
13 you pick up about where you were.

14 MR. BARLOW: Your Honor, if I might explain the  
15 context and where this is leading to, the Intervenors have  
16 contended in the discovery process and in the list of conten-  
17 tions that was presented in the prehearing conference which we  
18 were told were being subsumed under this Contention 3, that we  
19 contend that the A, B, C, D features are related to the  
20 Cristianitos fault and the Cristianitos Zone of Deformation  
21 so that might explain the context that these questions are  
22 being offered.

23 JUDGE KELLEY: Very well, go ahead, bearing in  
24 mind -- let me say this. Of course the whole concept of sub-  
25 suming contentions was something that we discussed at length.

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1 I don't have them in front of me. Perhaps I should but I don't  
2 remember exactly what was subsumed under what. I would make  
3 the observation that we are basically here to cross examine  
4 this witness' testimony.

5 He has not testified, as far as I recall, to any  
6 such relationship and even under the argument that the relation-  
7 ship of these features to the Cristianitos is subsumable, do  
8 you have a witness to testify to that?

9 MR. WHARTON: Mr. Chairman, if I am not answering  
10 the question directly, what we are looking at is this whole  
11 area is regarding the A, B, C, D features. What are they?  
12 What could they be? What implications do they have?

13 I think that is one of the open questions that is  
14 being submitted by this testimony to try to explain away the  
15 A, B, C, D features as not having significance. I think, since  
16 you opened up the area of what they are, I think we should be  
17 able to go into determining whether or not there is any rela-  
18 tionship to other features, and if so, if the relationships  
19 have any implications.

20 So rather than treat it as being subsumed by an  
21 issue, I think it is covered simply by the direct testimony.  
22 What are the A, B, C, D features. What do they mean. What  
23 implications do they have, and that is the area that we want  
24 to get into.

25 JUDGE KELLEY: Even with that understanding I

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1 expect you to stay within reasonable distance of what this  
2 witness testified today.

3 MR. BARLOW: I will try to move along.

4 Whereupon,

5 JAY SMITH

6 the witness on the stand at the time of the recess, resumed  
7 the stand, and having been previously duly sworn, was examined  
8 and testified further as follows:

9 CROSS EXAMINATION (Resumed)

10 BY MR. BARLOW:

11 Q Mr. Smith, on page 6 of your testimony, line 21,  
12 you state that the A and B features are found in several loca-  
13 tions within about a five-square-mile area including that area  
14 well outside the center of the site. You say that they occur  
15 both near and far from the coast and without any particular  
16 pattern and displayed no zonal distribution nor maintained any  
17 close proximity to any known fault including the Cristianitos  
18 fault.

19 I would like to ask you a series of questions about  
20 this paragraph. First of all, I believe that in another portion  
21 of your testimony -- in fact the next sentence on page 7 --  
22 that the C and D features are relatively rare in contrast and  
23 they have not been found outside the site.

24 Going back to your line 21 on page 6, you noted  
25 that A and B type features are found in several locations



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1 within about a five-square-mile area. Could you tell us where  
2 these other several locations are in relation to the San Onofre  
3 site and in relation to the Cristianitos fault?

4 A You are asking here specifically about the other  
5 locations of the A and B features?

6 Q Yes, sir, where you have observed, or the people  
7 working with you have observed, the A and B type features that  
8 were found in several locations within about a five-square-mile  
9 area.

10 A As I understand it, now, those locations are iden-  
11 tified in my Exhibit JLS-1 which is Applicant's Exhibit No. 25.  
12 If you will give me a moment I will try to locate that spe-  
13 cifically.

14 Appendix C of that description is entitled,  
15 "Description of Type A and B Features in Off-site Areas."

16 JUDGE KELLEY: We are having a little trouble  
17 finding this.

18 MR. WHARTON: We have an extra copy.

19 MR. PIGOTT: He is looking at the title which is  
20 at C-1. It is that index. There are seven pages to Appendix  
21 C and they are numbered C-1 through C-7, which is a description.

22 JUDGE KELLEY: A description of Type A and B?

23 MR. PIGOTT: Yes.

24 JUDGE KELLEY: C-1?

25 MR. PIGOTT: Yes.

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1 WITNESS SMITH: And part of that Appendix includes  
2 some figures at the end of that exhibit, two figures identified  
3 as Drawing No. 25 and Drawing No. 26.

4 JUDGE KELLEY: Okay, thank you.

5 WITNESS SMITH: There is one more drawing that  
6 accompanies Appendix C and that is Drawing No. 27. They are  
7 the last three drawings of Appendix C.

8 Now to answer your question, Mr. Barlow, as to the  
9 location, Drawing No. 25, if you have it there, shows a square  
10 box that is hashed (ph.) to the northwest of the SONG site  
11 and identified as Area 1. That is along Bazalone (ph.) Road  
12 which lies along the north side of San Onofre Creek.

13 Area 2 is to the southwest of that and is in a  
14 rectangular hashed box at the mouth of San Onofre Creek just  
15 north of the Santa Fe Railroad tracks.

16 These are the two initial areas that were des-  
17 cribed in Appendix C. A and B features have been observed  
18 elsewhere outside the site. They have been observed on the  
19 ridge that lies in between San Mateo Creek to the northwest  
20 and San Onofre Creek to the southwest.

21 Area 1 is just sort of off the nose of that ridge.  
22 Specifically there is a pair of diagonal lines crossing that  
23 ridge just north of the number 13 above Bazelon Road. That  
24 represents the location of the power transmission lines.

25 There are a number of roads excavated along there

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1 that are unpaved and accompanied by cut slopes and these rather  
2 abundantly display the occurrence of A and B features.

3 A and B features have been found in one or two of  
4 the minor gulleys just southeast of the SONG site within per-  
5 haps a few hundreds or thousands of feet south of the southeast  
6 margin of the site. I don't recall which.

7 BY MR. BARLOW:

8 Q Excuse me, that last location that you were dis-  
9 cussing, is that between the reactors and the Cristianitos  
10 fault?

11 A I don't know if it is correct to characterize it  
12 as between. The gulleys on the sea cliff lie southeast of the  
13 site and they generally are between the Cristianitos and the  
14 site but I can't say that the features have an extent that  
15 would put them truly between the site and the fault.

16 And those are the areas that have been specifically  
17 identified and I observed. There may be others that I am not  
18 aware of or that have not been documented.

19 Q So you have discussed here four different areas  
20 where the A and B type features were found. If I could ask  
21 you about these A and B type features in these four sites,  
22 for example, in Area 1 which you discuss here in Appendix C  
23 of your exhibit -- Applicant's Exhibit No. 25 -- you say on  
24 page C-1, second paragraph from the bottom, that a total of  
25 1.5 days were spent in field observation and mapping at the

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1 selected locations. Does this 1.5 days represent your personal  
2 geologic research or does it include a group of people that you  
3 worked with or could you tell us who spent the 1.5 days?

4 A Well, the 1.5 days simply refers to the specific  
5 time spent plotting the observations of the features on the map.  
6 This was the result of many more days of observation and con-  
7 sideration of these features.

8 The geologists including myself that had mapped  
9 the vicinity prior to 1974 when these features at the site were  
10 found, had observed features and had also observed areas of  
11 extensive exposure of the San Mateo formation where they were  
12 not existing.

13 So as a consequence of recalling where good ex-  
14 posures of the features existed and where we knew they did not  
15 exist, the conclusion was drawn to direct the documentation at  
16 these two areas.

17 Q I am sorry, did I interrupt you?

18 A So I was involved in that. The geologists who were  
19 involved in the original mapping plus the geologists who did  
20 the field observations at Area 1 and 2 were all involved, as  
21 well as a number of others who I cannot specifically remember  
22 who have made observations.

23 So I wouldn't want you to be misled in thinking  
24 that only one-and-a-half days were spent in addressing the  
25 nature of the A and B features.

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1 Q Is it correct to interpret this to mean that there  
2 was other time spent analyzing these features, but one-and-a-half  
3 days were spent in the field observing the features and mapping  
4 them?

5 A Well, I guess I haven't made that clear yet. No,  
6 there were many days spent in the field observing and considering  
7 these features. The individual who prepared the map that ac-  
8 companies C-1 spent one-and-a-half days in preparing that map.

9 Q Oh, I see.

10 A But many other people spent many other days.

11 Q And this map and this study just looks at Area 1  
12 and Area 2? That is what this person is referring to, since  
13 on the map that is what is put in boxes?

14 A No, that wouldn't be entirely correct because the  
15 geologist who mapped those was intimately involved in the map-  
16 ping of the A and B and other features at the SONGS site and  
17 I believe there are some parts of the report that make some  
18 comparisons between what is observed at Areas 1 and 2 and what  
19 is observed at the site.

20 So I think it would not be a correct characteriza-  
21 tion to say that he only looked at those areas.

22 Q Thank you for explaining that. On this map I no-  
23 ticed that the map shows the Cristianitos fault zone and this  
24 morning we were discussing the Cristianitos fault zone and we  
25 were told that it is referred to Cristianitos fault, yet here

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1 in Applicant's Exhibit No. 25 it is referred to as the  
2 Cristianitos fault zone.

3           Could you explain to us, is the Cristianitos fault  
4 a fault zone, as indicated on map sheet 25?

5           A       What I indicated earlier was that the use of these  
6 terms has to be considered in the context in which it is being  
7 used. I am sure we have seen in this hearing that geologists  
8 have many terms for the same feature or the same term for many  
9 features but which are different so I think that the context  
10 is important.

11           The identification of the Cristianitos fault here  
12 is drawn from earlier mapping by geologists under my super-  
13 vision which attempted to bound the fault between two lines,  
14 not always observable in the field but inferred by a number  
15 of observations based on topography and geology.

16           The application for this map here was only in  
17 general to serve as a location map of the features and the site  
18 to the general location of the Cristianitos fault.

19           If you wanted to get into the specific characteri-  
20 zation at some scale other than the general location map like  
21 this, I could proceed to do that. We would have to start at  
22 the coastal exposure and go various places up along the north  
23 using another map.

24           Q       In the context of this map, is it called a fault  
25 zone because it has more than one trace on the surface?

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1           A        I don't recall exactly why it was referred to as a  
2 zone here. I don't think it is significant for this purpose,  
3 that it is a zone or a fault.

4                    You will notice that the lines that bound the fault  
5 there are solid lines with the exception of some sections that  
6 have dots.

7                    On the geologic map that was prepared much earlier  
8 in 1969 or '70 -- from which this map is taken, there are no  
9 such solid lines. Therefore, this map is simply a diagrammatic  
10 representation of the approximate location of the fault and  
11 there really is no intended implication about the nature of  
12 the fault or trying to define it as a zone or a sharp plane  
13 or anything else.

14            Q        Getting back to Area 1 and Area 2 on this map sheet  
15 25 in your exhibit, Area 1 is a sand quarry; is that correct;  
16 and on page C-3 at the bottom of the page, the last paragraph,  
17 you note that about 50 sheers were found in the geologic  
18 traverse along the quarry roads.

19                    On the average one sheer zone can be found per  
20 100-foot length of the road. The sheers are mostly vertical  
21 and northwesterly oriented and fewer are north/south aligned.  
22 The length of most sheers could be traceable along the strike  
23 for at least a few hundred feet.

24                    Could you, first of all, define for us sheers and  
25 sheer zones as you use them in the context of describing the

1 A and B features?

2 A Yes. I think that before I address that, since  
3 you are describing the orientation and the length along which  
4 these were traced, it would be appropriate to read the sentence  
5 that follows the one you quoted, which is as follows:

6 However, the disappearance of sheers within 50  
7 feet from the road was also noted

8 There are other sentences that are also important  
9 that refer to the relationship of one to another, but I don't  
10 think I need to read that into the record here.

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s10 1

1 BY MR. BARLOW:

2 Q Okay, could you define shears and shear zone for  
3 us, in the context of the A and B features?

4 A Well, a shear would be in general context, as  
5 well as here --

6 Q That is fine.

7 A -- a surface --

8 JUDGE KELLEY: Are you through? Go ahead.

9 WITNESS SMITH: Would be a surface along which  
10 there has been displacement parallel to the surface. Using  
11 the term shear or shear zone here is a matter of scale. If  
12 one can identify a discrete planar surface, something that is  
13 a millimeter or a fraction of an inch wide, that appears to  
14 be a single plane, there would be a tendency to call it a  
15 shear. If it had greater dimension in terms of width, and I  
16 can't give you a specific width, but some of these shears  
17 cumulatively or collectively lying subparallel to each other  
18 form a zone a few inches wide, and one would refer to that  
19 sort of a characteristic, whether it is width, as a shear zone.

20 These features, not only in the site and in the  
21 offsite areas, are jointlike, because of their orientation  
22 and planar nature, and their relationship to each other. They  
23 do exhibit some small amount of displacement parallel to the  
24 surface.

25 Q Now, are these approximately 50 shears discovered

1 in the quarry 1.7 miles northwest of the reactors, are they  
2 similar to the A and B features found beneath unit 2?

3 A In most respects. The report does describe, or  
4 the appendix, here, C, does describe the ways in which they are  
5 similar.

6 Q And the northwest oriented shears, are they  
7 parallel, or oriented in the same direction as feature A at  
8 the site?

9 A The northwest trending, is that what you are  
10 asking?

11 Q Yes. Wait, I may have them switched around. Are  
12 they oriented in the same way as feature A or feature B at  
13 the site?

14 A In this quarry at area 1, there are two  
15 orientations, one, essentially north-south, and another  
16 essentially northwest. The features A and B at the site have  
17 similar strike, essentially north-south for the A, and roughly  
18 northwest for the B features.

19 Q So in general, the 50 shears observed at the  
20 quarry site have the same strike or orientation as the A and  
21 B features at the site, that is correct?

22 A Yes, and they have other comparable characteris-  
23 tics.

24 Q Okay. Is this also true for area 2, where  
25 similar shears were observed?

3 1 A A fewer number of shears were found in area 2.  
2 All of them according to the description on page C-5, the  
3 first paragraph, are nearly vertical and strike about north-  
4 south, and the strike is indicated in parentheses as north  
5 eight east to north ten east, so that at area 2, only the --  
6 apparently only the strike that is similar to the A feature  
7 was found.

8 Q Okay, what about the other two areas? Would you  
9 identify it on this map as being near -- first of all, if we  
10 could call that area 3 near the number 13, that you described  
11 as containing a number of shears or shear zones, with A and  
12 B type features, would their orientation in this area 3 be  
13 the same or similar as the features A and B at the site?

14 A Yes, at that area 3 under the transmission line,  
15 they are -- they are the same orientation as the A and B  
16 features at the site.

17 Q Do you have any -- well, first of all, did you  
18 personally go on a field trip to area 3 as we are calling it?

19 A Well, I have participated in the mapping of this  
20 area on a number of occasions, and have made a number of  
21 examinations of various outcrops as a general process of  
22 understanding the geology here. If you want to call those  
23 field trips, I guess that is appropriate, but we often refer  
24 to a field trip as sort of a guided trip to go see any number  
25 of items, and --

1 Q Excuse my characterization of it. If you want  
 2 to call it field observation, or field work, that is fine, as  
 3 you described it. In your field work in area 3, did you make  
 4 an estimate of the number of shears or shear zones which were  
 5 similar to features A and B?

6 A No, I didn't make any specific estimate. There  
 7 were -- there were several of both orientations.

8 Q Was the number of shear features there similar to  
 9 the number in the quarry in area 1?

10 A Well, there could have been. I didn't specifically  
 11 count them.

12 Q I see. Were the orientations similar with north-  
 13 south and north-west orientations? Or strikes?

14 A I believe I answered that; yes.

15 Q In the fourth area which you described, southeast  
 16 of the reactors, does the same hold true in terms of the  
 17 nature and orientation of the features observed there?

18 JUDGE KELLEY: Could you tie down that location a  
 19 little better?

20 BY MR. BARLOW:

21 Q Perhaps the witness could describe once again the  
 22 location of what we might call area 4.

23 A I don't have a specific location that I can  
 24 identify on this map as 4, but my estimate would be that it is  
 25 approximately on the coast right at the line below where it

1 says BM-122.

2684

2 JUDGE KELLEY: Thank you.

3 BY MR. BARLOW:

4 Q Do you remember the question --

5 A Yes.

6 Q -- about area 4?

7 A Only a couple of features were found there, I  
8 think one of each, 1(a) feature observable on the seacliff  
9 adjacent to the gully, and a B feature observed in the gully  
10 wall itself, which cuts transverse to the cliff.

11 Q Could you estimate approximately how far from  
12 the reactor site that is?

13 A No, I can't. It would be hundreds of feet,  
14 rather than thousands.

15 Q Was any trenching or other digging done at the  
16 area 4 site?

17 A No, the seacliff and the gully wall provide  
18 excellent exposures, there, comparable if not better than a  
19 trench.

20 Q Was any trenching or digging done at areas in  
21 each of areas 1, 2, or 3?

22 A Well, area 1 was excellently exposed, because  
23 it was a quarry site which was the result of extensive  
24 excavation, far more than any trenching or simple road-cut  
25 could produce. Area number 2 is an excellent exposure, and

6

1 in a cliff produced by some excavation. I am not <sup>2685</sup> certain  
 2 whether it is an artificial slope or an excavated slope, but  
 3 an excellent exposure in any case, equal to or superior to  
 4 that produced by a trench.

5 The features that we found broadly distributed  
 6 around area 3 occur in a variety of excavations, mostly  
 7 the graded roads, and the cuts that lie adjacent to them.  
 8 These excavations were not specifically to investigate the  
 9 A and B features.

10 Of course, you know that at the site, we  
 11 excavated a number of trenches and drill holes to investigate  
 12 the features, but in all, they are very well-exposed, at all  
 13 areas.

14 Q In area 3, was -- could you estimate the  
 15 dimensions of area 3, in which you observed these type of  
 16 features, the width of it?

17 A No, I don't think I could in any meaningful way.  
 18 The features were not specifically plotted on a map, and  
 19 certainly not on drawing number 25 here, but it would occur,  
 20 these features were observed to occur in an area at least  
 21 having dimensions on the order of a quarter to a half a mile,  
 22 on the ridge crest there.

23 Q A quarter to a half a mile wide, the zone was --  
 24 I mean the --

25 A Wide radius. I didn't specify any particular

1 shape.

2686

2 Q Okay. Are there any reports or documents or  
3 maps in the record by the Applicant that reflect the field  
4 work done, or the observations of features in area 3?

5 A Not that I know of, no.

6 Q There is no record of these observations?

7 A Just my recollection, that is right, from  
8 observations. No plotting or mapping was done by anyone under  
9 my supervision.

10 Q What about in area 4, is there any record of the  
11 analysis of those features?

12 MR. PIGOTT: Are you talking only about Applicants  
13 records?

14 BY.MR. BARLOW:

15 Q Applicants' analysis of those features, yes.

16 A The one or two features, the two features found in  
17 area 4 may have been documented in a report that was part of  
18 the mapping done of the site area by Converse Davis and  
19 Associates back in, I think it was 1970, but I don't recall  
20 specifically. The reason I am speculating that the feature  
21 may exist there in that document is that a very careful log  
22 of the sea cliff was made from the Cristianitos fault to the  
23 SONGS site. This was done in great detail, and it is  
24 possible that the feature that was exposed on the sea cliff  
25 was identified on that document, but I don't recall it.

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Q Okay.

A I just might point out, to indicate some relevance of that, these -- these features when viewed in a vertical exposure, rather than planned view, are very innocuous looking, especially in area 4. They represent essentially a single white line within a tan sandstone, and it wouldn't surprise me at all that very little note was taken of these during the early mapping.

Q Thank you. Could you estimate the distance between the Cristianitos fault and area 3 and area 4?

MR. PIGOTT: I am going to object. I think that can probably be taken off the maps.

MR. BARLOW: Well, it would be hard for us to do it, since there is no record, I mean, areas 1 and area 2 we could do that with, Your Honor, because they are boxed in and their exact location is shown, but there is no written record or map of area 3 and area 4, and only the witness knows.

JUDGE KELLEY: The objection is overruled. I understand it will be an estimate, but if you could try one?

///



1 THE WITNESS: Well, the approximate <sup>2688</sup> distance from  
2 the Cristianitos fault to the features found in Area 3 would  
3 be about one mile; just a shade under a mile, and that would  
4 be measured in a southwesterly direction from the fault.

5 In Area 4, the distance would be about 4/10ths  
6 of a mile; just under half a mile, and that would be measured  
7 almost due west.

8 JUDGE KELLEY: Thank you.

9 BY MR. BARLOW:

10 Q On your measurement of the distance between the  
11 Cristianitos fault and Area 3, were you measuring the closest  
12 distance or a distance oriented parallel to the bottom of the  
13 page?

14 A I gave the direction as being southwest from the  
15 Cristianitos fault, so that would be as nearly normal to the  
16 trend as mapped here in this drawing. So that would be  
17 approximately the closest approach.

18 Q Okay. Going back to your testimony on Page 6,  
19 where we got into this Appendix C, you said that these A and B-  
20 type features are found in several locations within a five  
21 square mile area, including the area well outside the site,  
22 and you mentioned that there were these four areas that you  
23 were not clear about.

24 Are there other geologists or other consultants  
25 to the Staff or people who have worked for you at Fugro who

1 are aware of these other locations where A and B-type features  
2 occur within five square miles?

3 A Are you referring to the four areas that I have  
4 identified?

5 Q I am referring to the other areas, besides these  
6 four, where you said there were other places where these  
7 features occurred that you did not specifically recall, yourself.

8 A Oh, I see.

9 MR. PIGOTT: And you are asking who else knows  
10 about them, or if anybody else knows about them?

11 MR. BARLOW: Yes, I am asking if there were other  
12 geologists or employees at Fugro who went to these other sites  
13 and observed A and B-type features.

14 MR. PIGOTT: I question the relevancy.

15 MR. BARLOW: Well, Your Honor, since there is no  
16 record of these sites, and we are interested in these type  
17 features because of their apparent relationship with the  
18 Cristianitos fault zone, we would like to know where they are  
19 and how we could find out about them.

20 JUDGE KELLEY: The objection is overruled.

21 THE WITNESS: I don't know who else knows about  
22 them. I certainly don't recall them.

23 BY MR. BARLOW.

24 Q Were they discussed in meetings or mentioned in  
25 reports at any time during the work on the San Onofre area?

1           A       No, not specifically. I think my intent was  
2 simply to indicate that there may have been other features  
3 observed in other areas than have been specifically and  
4 generally identified here, but these two areas on Drawing  
5 Number 25 are the only ones that were specifically mapped  
6 and documented.

7                   I have identified two other areas where I personally  
8 have identified the same features, and in making those observa-  
9 tions, I have been accompanied by other people. For example,  
10 Area 3, Dr. Perry Ehlig has observed the features in that area  
11 along the power line.

12                   But aside from the geologists that did the mapping  
13 in the general area back in 1970, and the geologist who did  
14 the specific mapping of Areas 1 and 2, it would only be gross  
15 speculation on my part to try to identify individuals.

16           Q       On your Map Drawing Number 25 in Appendix C of  
17 your Exhibit 25, there is an initial of a person's name, where  
18 it says, "Checked by R.R.S." Is that Robert Strand?

19           A       I don't know.

20           Q       Was a Robert Strand working for you at the time?

21           A       Yes, he was.

22           Q       Is he currently a geologist with the California  
23 Energy Commission?

24           A       I don't know for certain, but I think he is.

25           Q       Do you know if Robert Strand analyzed the A and B-

1 type features in the unmapped areas, Areas 3 and 4?

2 A I don't know if he observed them. He may have  
3 been involved in the analysis, but I don't recall. He was not  
4 the geologist who was mapping Areas 1 and 2. That was Dr. Shingi  
5 Kunioshi.

6 Q What was the function of Robert Strand in this  
7 project at this time?

8 A He was one of several geologists who were carefully  
9 observing the progress of excavation at the SONGS site to  
10 identify the features, to document them, to photograph them,  
11 prepare maps and figures about them. It is very likely that  
12 he participated in the analysis, and perhaps even parts of  
13 the report that are represented by one or more of these  
14 exhibits, but I don't recall, and I don't believe he specific-  
15 ally is identified as an author.

16 Q Just one last question on that line before we go  
17 to something else.

18 Do you recall what year Robert Strand left Fugro  
19 and went to the State Government?

20 A No, I don't.

21 Q Okay, thank you.

22 On Page 4 of your testimony, Line 12, you say,  
23 "At several locations, trenches and 24-inch diameter borings  
24 were excavated across the features to allow in-place observation  
25 of the features below site grade and to determine the three-

1 dimensional nature and distribution of the features."

2 Can you tell us to what depth these trenches were  
3 dug?

4 A I think those depths are given in the exhibits. I  
5 don't recall, offhand.

6 Q Can you estimate or approximate the depth?

7 A The trenches are probably on the order of 5 to 10  
8 feet deep. The borings are probably something on the order of  
9 15 to perhaps 25 feet or more.

10 Q Can you tell us approximately how many trenches  
11 were dug in the analysis of the ABCD features?

12 A I don't recall, but I believe the number is stated  
13 in one of these exhibits, and I think there are also maps that  
14 show their location at various stages of the investigation.  
15 There were several.

16 Q More than 10, or less than 10?

17 A I don't recall. I think probably more than 10.  
18 But I would have to go through these to get the right number.

19 Q Well, I would appreciate knowing how many there  
20 were. I don't want to take a lot of time on this, but --

21 JUDGE KELLEY: It seems to me, if it is in the  
22 exhibit, you can find it. The witness can find it, and let's  
23 just go ahead.

24 MR. BARLOW: Okay.

25

1 BY MR. BARLOW:

2 Q Could you tell us whether or not, in these trenches  
3 and in the trench logs, did you find the bottom of the A and B  
4 features, or did they continue through the bottom of the trench?  
5 Did they go to the bottom of the trench?

6 A You mean, did we find the end of them in a vertical  
7 sense with depth?

8 Q Yes.

9 A No.

10 Q Did you make any effort to dig trenches deeper to  
11 find the vertical depth of features A and B?

12 A No, we did not attempt to find the base of these.  
13 There are many of them. It is our anticipation that they  
14 extend to considerable depth within the San Mateo formation.  
15 There was no particular reason to search for their full depth.  
16 These are very minor features.

17 While they have some characteristics different  
18 from a myriad of similar joints and fractures in the area,  
19 they are just one minor element here. We had very good  
20 exposure in both plan and vertical at the site and in the  
21 vicinity, and it didn't seem to us necessary to carry them  
22 to great depths.

23 Q You said that they extend to considerable depth.  
24 Can you characterize or specify more what the range is when  
25 you use the word "considerable" depth?

1           A       I would say, more than 100 feet. They occur on  
2 the ridge in Area 3, which is some 200 to 300 feet above sea  
3 level. They occur at the top of the Stage 5-E platform at  
4 the site, which is around 55 feet above sea level, and they  
5 extend at least to sea level and beyond.

6                       So, in vertical and stratigraphic extent, I would  
7 expect we are talking about something on the order of at least  
8 200 or 300 feet, but I don't know that for certain.

9           Q       When you say that you had no reason or no justi-  
10 fication for exploring the depth of these features, can you  
11 explain why you did not consider it reasonable to look for  
12 the depth of the features?

13                    JUDGE KELLEY: I believe he did when he said that.  
14 He said that he considered it a minor feature, and he said  
15 two or three other things.

16                    MR. BARLOW: Okay.

17                    BY MR. BARLOW:

18           Q       Could the A and B-type features be surface  
19 expression of a deep-seated shear zone?

20                    MR. PIGOTT: I am going to ask for a clarification  
21 of what we mean by "shear zone" in this context.

22                    JUDGE KELLEY: Yes. Could you give us a clarifica-  
23 tion?

24                    MR. BARLOW: Yes.

25

1 BY MR. BARLOW:

2 Q In your definition of "shearing," you say that  
3 "shearing" is an area in which there is displacement of the  
4 sediments or rocks, and a "zone" is where these displacements  
5 occur over a width of a few inches or more.

6 Using your definitions, is it possible that the  
7 A and B-type features are surface expressions of a deep-seated  
8 shear zone?

9 A Do you have some scale in mind when you say "deep-  
10 seated?"

11 Q Yes. Would they extend to the wave-cut platform?

12 MR. PIGOTT: Which wave-cut platform, please?

13 MR. BARLOW: The wave-cut platform or terrace,  
14 which is the area in which the CZD comes up to the surface of  
15 offshore.

16 MR. PIGOTT: I am going to object. This whole  
17 question is assuming facts not in evidence. It is completely  
18 speculative, without any basis.

19 MR. BARLOW: Your Honor, we have a crucial discussion  
20 regarding the terraces that the Cristianitos zone of deformation  
21 cuts through, up to a certain level in the stratigraphy. We  
22 are trying to find out if these A and B features go to that  
23 depth that the CZD comes up to.

24 JUDGE KELLEY: So that they meet, in effect?

25 MR. PIGOTT: If I might be heard further?



JUDGE KELLEY: I have a question.

A few minutes ago, you were asking about -- paraphrasing now -- how far down vertically these features went, and the witness said, I believe, 200 to 300 feet. And now your question about the shear zone and its being deep-seated, is that different than how deep do these go?

MR. BARLOW: Yes, sir. We were asking if there could be a deep-seated shear zone beneath 200 to 300 feet that would meet with the level at which the Cristianitos zone of deformation comes up to.

MR. PIGOTT: The offensive part, Mr. Chairman, is in the mixing of terraces somehow associated with the question in the questioner's mind, at least with the CZD, being significantly offshore and in a different direction. I am having trouble with the connection of unstated depths with something being alluded to literally several miles away.

Now, I am not saying you can't go into this area or that it isn't something you might not want to explore; I just do not like this very hazy and ambiguous phrasing of the question.

JUDGE KELLEY: Well, the ABCD, let's just stick to A and B for the moment; we have been talking about strictly as onshore features, and we have identified where, on this map, they have been found, and they go down more or less vertically. I believe we also have testimony to that effect.

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You are exploring a possible link to the CZD, correct?

MR. BARLOW: Yes, sir, and I think, if you look at the area described by the witness as within about a five-square mile area, in which he identified these Areas 1 through 4, and you measured the distance between the reactors in Area 3 and between the reactors in the CZD at its closest point to the shore, you would see that is very similar, and they could extend offshore. They could be beneath the water there.

JUDGE KELLEY: Well, taking into account that I think there are some factual or logical gaps here, I think the thrust of your question has been put, and why don't you then rephrase it, and I will allow the question.

BY MR. BARLOW:

Q Mr. Smith, could the A and B features be surface expressions of a deep-seated shear zone?

A My answer would be no, but I would like to explain that by saying that they are only the surface expression of themselves. They exist within the San Mateo formation because of the characteristics of the San Mateo formation. They do not, as I have described in my testimony, have any zonal distribution that would relate them to some master shear zone, or any particular shear zone, at depth.

They have no characteristics or -- yes, no characteristics that would permit me to relate them, associate

1 them, to known faults or other types of shears in the vicinity.  
2 They are not parallel to the Cristianitos fault or to other  
3 faults that we know. They do not maintain a constant proximity  
4 to the Cristianitos or any other fault in the area.

5 They have senses of motion along them that are not  
6 compatible with motion on the Cristianitos fault, or other  
7 faults in the immediate area, that we know of.

8 JUDGE KELLEY: You are including offshore faults  
9 when you say "other" faults?

10 THE WITNESS: Yes. As you know in the testimony,  
11 the evidence is strong that the sense of slip on the A and B  
12 features is entirely horizontal, with no vertical, and without  
13 going through an explanation of the mechanics of that, that  
14 is one of the reasons that I say we can't relate them to  
15 any shear zone, other than the shear zones that are the  
16 A-features, themselves, which we see expressed at the surface  
17 of the San Mateo formation.

18 So if your concern is, is there a fault or some  
19 zone of deformation within or beneath the San Mateo formation  
20 that these A and B features may be a surface manifestation  
21 of, then I would have to say no.

22 BY MR. BARLOW:

23 Q How deep is the San Mateo formation?

24 A Well, it varies in depth, as Dr. Ehlig has  
25 described, filling a basin or the embayment of the Capistrano

1 Embayment, as it were. At the site, the San Mateo formation  
2 is about 900 feet thick. It becomes thicker to the west,  
3 maybe somewhat thicker to the northwest, and in one of the  
4 exhibits, I think we made an estimate of the maximum thickness  
5 being on the order of about 2,000 feet, maximum stratigraphic  
6 thickness, but that was based on early mapping, and Dr. Ehlig  
7 would have a much more authoritative and updated view on that  
8 maximum thickness.

9 Q But you say, at the site, it is approximately 900  
10 feet deep?

11 A Yes.

12 Q And you said that the A and B features went to  
13 considerable depth, which you further clarified to be at least  
14 200 or 300 feet, is it possible that the A and B features  
15 extend up to 900 feet?

16 JUDGE KELLEY: I don't recall the "at least,"  
17 but perhaps it was during part of your earlier testimony.

18 You started out by saying 100, and I think you  
19 ended up maybe 200 to 300.

20 MR. BARLOW: Your Honor, I took notes of his  
21 exact words.

22 JUDGE KELLEY: Well, you may be right and maybe  
23 I am wrong.

24 MR. BARLOW: We can look back at the transcript,  
25 but I took notes of his exact words at the time.

1 THE WITNESS: Well, I think I answered both ways,  
2 Mr. Chairman. One would make an estimate based on data at  
3 various places, and across the region where there is topographic  
4 relief in the San Mateo formation, we can find them topographic-  
5 ally higher at some places than we do at others, and without  
6 seeing the lower extremities of these features, one could  
7 assume that they have a vertical dimension that is on the  
8 order of the vertical relief in the topography.

9 Where we see them in the sea cliff, we know they  
10 are at least 55 feet in vertical dimension. So that a range  
11 of somewhere from 55 to 200 to 300 feet in vertical dimension  
12 would be my estimate.

13 And I think, in answer to your question, Mr. Barlow,  
14 could they extend the full depth at the site of the San Mateo  
15 formation, I don't know, but I think they could; they might.

16 BY MR. BARLOW:

17 Q Thank you.

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1 Q On page 5 of your testimony, line 20 -- excuse me,  
2 actually, I will read that part too. I am interested in the  
3 length of the A features and you say here that the A features  
4 strike nearly north/south and vertically. The longest feature  
5 is about 800 feet.

6 On line 25 and 26 you say, suggesting that they  
7 are dying out as they reach the northern and southern margins  
8 of the site.

9 Now I would like you to explain to us about the  
10 northern and southern extent of the A features. Say the longest  
11 feature is about 800 feet. That is the longest single strand  
12 within the A-type features at the site?

13 A No, that is misleading. I can see that now as you  
14 read it, but I wonder if, before we leave this vertical extent,  
15 I would like to just add to my previous answer so I don't leave  
16 something unclear.

17 As to the vertical extent within the San Mateo  
18 formation at the site, I think it is likely that they extend  
19 down below the site a few hundred feet. If they go to the  
20 base of the San Mateo formation, which is speculation, but if  
21 they do, I would not expect them to continue beyond the base  
22 and extent into the Monterey formation.

23 I think that would be most unlikely, so I think  
24 there is a bottom limit, if you will, on the vertical extent  
25 of those features at the site.

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1 As to the length, I would like to refer you to  
2 Figure JLS-N which is a location map of those features at the  
3 site. There is a bar scale indicated there just to the upper  
4 left of the title of the figure.

5 The A and B features are found on the left-hand  
6 side of that figure. The A features are the ones that are  
7 approximately north/south and form an acute angle with the  
8 B features that are roughly parallel to the bottom of the page.

9 The reason that that 800-foot maximum dimension  
10 is misleading is because the A features everywhere we have  
11 found them are offset and interfered with or are interrupted  
12 by the B features.

13 The longest length of any A feature, of which there  
14 are several that you can see here, would be approximately  
15 the dimension between any two B features.

16 There may be a few B features that do not inter-  
17 rupt the A's but in almost every case where there is a B feature  
18 crossing an A feature, there is an interruption.

19 So to be quite correct, there first of all is no  
20 single feature that is 800-feet long. There is really no  
21 single feature which collectively would be 800-feet long, but  
22 when you add up the number of offset segments together, then  
23 you can trace a series of A features across the site from  
24 south to north that are at least some 700 or 800 feet long.

25 The other part of your question was they are dying

1 out, I believe.

2 Q Well, actually I would like you to explain how you  
3 determined the northern extent and southern extent of the A  
4 features. For example, at the southern extent, do the A features  
5 do into beach sand?

6 A The absolute end of the A features could not be  
7 found because of the thickness of saturated beach sand which  
8 precluded trenching but the decreasing progression of width  
9 to the A features as we approached the sea cliff from the cen-  
10 tral part of the site, indicated that there was a dying out.

11 Each individual feature or zone goes from about  
12 four to six inches wide in the central part of the site to  
13 something on the order of a quarter-of-an-inch to three-eighths  
14 of an inch when you reach the sea cliff.

15 It is just fortuitous that we ran out of exposure  
16 and were unable to excavate beyond that to find the absolute  
17 ends.

18 The B features, of course, which commonly offset  
19 the A features, we can, in most cases, find the absolute ter-  
20 mination of them. Most of them -- in fact all of them as far  
21 as we can tell -- die out within the site to the southeast and  
22 the nor'west.

23 Q Now you are talking about the B features. I am  
24 interested in the A features. Am I correct in understanding  
25 what you have just explained as saying you could not actually



1 determine the southern end of the A features because they run  
2 into saturated beach sand?

3 A That is right. We could not observe the absolute  
4 end but our deduction based on the very clear thinning of each  
5 feature led us to conclude that they must die out within a very  
6 short distance to the south of the sea cliff and that is partly  
7 based on our observation of the B features which are identical  
8 in physical characteristics, where we can see them much more  
9 broadly exposed.

10 So taking the nature of the B features and the way  
11 they terminate, knowing their relationship and comparability  
12 to A's, I think it is reasonable to conclude that the A's are  
13 dying out shortly to the south and our conclusion is similar  
14 to the north.

15 Q Is it not also reasonable to postulate that the  
16 A features continue to the south into the ocean?

17 A There may be A features that continue. There may  
18 be new A features that start up. As you can see on this map,  
19 there are A features that do have terminations. For example,  
20 just north of the Unit 2 excavation at the base of the cut slope  
21 adjacent to that bench which has the short vertical lines on  
22 it, there are two A features which trend south from there that  
23 die out within about 80 or 100 feet and they do absolutely  
24 terminate there.

25 So those we know terminate within the site. Other

ghp 5

1 A features take up and continue southward to the left and they  
2 show a progressive and distinct narrowing to the south very  
3 much as the others do and so we just come to the logical con-  
4 clusion that they are going to follow suit and die out very  
5 shortly.

6 Q Looking at your Figure JLS-N at the southern ex-  
7 tent of the mapped portion of the A features, I notice that --  
8 well, first of all, to the north of the reactor foundation the  
9 A features are of a certain width and then as they progress  
10 through the Unit 2 foundation they seem to widen and as they  
11 leave the foundation area they seem to be at their widest ex-  
12 tent there.

13 A No, I think you are misconstruing that. The features  
14 are not becoming wider. Other features are being picked up.  
15 These are additional A features.

16 Q Could you refer to these features as a sheer zone?

17 A I wouldn't refer to them as a sheer zone at this  
18 scale, no. They are not a sheer zone in that sense. At the  
19 scale of this drawing they are the traces of joints with very  
20 little amount of offset. I think it would be misleading to  
21 call them that.

22 Q Perhaps you missed the point I was trying to make.  
23 Could we call it a zone of features?

24 MR. PIGOTT: I believe that has been asked and  
25 answered. I think the witness put it into the context and this

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becomes argumentative.

MR. BARLOW: I think he is misunderstanding the point.

JUDGE KELLEY: I think Mr. Barlow is driving at something somewhat different, so I would ask you to restate the question.

BY MR. BARLOW:

Q If you looked at the width of the A features as they crossed the Unit 2 foundation, would you agree that as they progress from north to south the width on your map widens?

A There is a zone of individual sheers and small sheer zones a few inches wide which collectively could be described as a zone and is wider to the south, but when you have a mass of rock that is jointed, especially with a conjugate intersecting sets of joints as we have here, there are many different ways that you could define zones.

Indeed one could take a pair of A and B features and refer to that as a zone. It depends a lot on the scale with which you observe them, but I don't think you should read into the increasing number of A features to the south as indicating a greater magnitude of sheering because individually they do not indicate that.

JUDGE KELLEY: Let's take a 15-minute coffee break at this point.

(Brief recess.)

JUDGE KELLEY: Back on. So we are back on the record, and Mr. Barlow, you can resume.

BY MR. BARLOW:

Q Okay, we were discussing the links of the features labeled A type features, and I believe you said, Mr. Smith, that the -- at the southern end of the A features, they disappear into saturated beach sand.

A I would like to correct that implication. I may have incorrectly given you that impression, Mr. Barlow. I didn't mean to say that they died out into the beach sand. I merely meant to indicate that we were prevented by the beach sand from observing the features. The features do not exist in the beach sand. Were they to do that, it would carry an implication of recent age of formation, and of course as I have testified, and the documents clearly indicate, these features do not disturb the overlying stage 5E marine platform or its deposits, which are 125,000 years, so I don't wish to indicate that they in any way affect the beach sand.

Q Thank you for that explanation. I appreciate it. One thing I am having a hard time understanding, though, is you have said that previously, you said that the type -- A type features narrowed to the south, and yet when I look at your figure JLS-N, they seem -- the zone of features seems to widen as it goes from north to south. Could you explain how they could be both narrowing and widening at the same

time?

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A Well, you wish to characterize them as a zone, and I allowed as how you could. The features themselves do not widen. The features themselves become narrower. What you are seeing as you go southward is the addition of additional features lying sub-parallel to other A features. In defining a zone for some purpose, you have to look at the area as well as the scale at which you are talking, and for example, when you look to the north end of the A features, we have three A features there in a row that span a distance of, judging from the scale, some 60 feet, but I wouldn't define that as a zone. Certainly there are at least three parallel features over that width, but I wouldn't say there is a zone 60 feet wide, because indeed we know that two of those features to the east, of the longer A, die out, and don't continue to the south, and we are back to one, and then two, and then three and four, and probably as many as five or six as we go to the south.

Q By die out, you mean they go into the beach sand?

A No, the features I am talking about that die out, they stop and can no longer be found. They do not exist any farther south in the San Mateo formation than we map them, and these are the two that are to the east of the longer series of features, and indeed a series might have a less prejudicial implication than the term "zone."

1 Q Okay. I believe I understand what you are saying.<sup>2709</sup>  
2 The two that you say die out are at the top of the -- your  
3 diagram?

4 A That is right.

5 Q Is that correct? And the two that I misunderstood  
6 you to be talking about are at the bottom of the diagram.  
7 And -- okay, I would like to ask you, how did you determine  
8 the northern end of the A type features? You show them in your  
9 diagram as ending at a line that seems to truncate them,  
10 which is perhaps a structure there. Were there any trenches  
11 to the north of the area on your map labeled 84 and 85?  
12 At the northern end -- the northern extent of the A features  
13 on your diagram, figure JLS-N, where the numbers 84 and 85  
14 occur, were there -- you seemed to indicate in this map that  
15 the A features end around that area.

16 Did you or your assistants investigate with  
17 trenches and borings or anything else to the north of there?

18 A Well, to answer that, I would like to refer you  
19 to my exhibit JLS-1, Applicant's 25, drawing number 3. That  
20 drawing is a long fold-out. This drawing reflects the  
21 location of the features at a time earlier than figure JLS-N  
22 in my testimony, and depicts a little more clearly the nature  
23 of the geology, at the site. The A features and the B  
24 features are shown in less detail here because the excavation  
25 was in progress at the time drawing 3 was prepared, had not

1 reached the level either of excavation or detailed <sup>2710</sup> scrutiny  
2 that figure JLS-N represents, but on drawing number 3, you  
3 will see that at the north end of the series of A features,  
4 there is a line that runs parallel to the long dimension of  
5 the map that has above it a stippled pattern that is labeled  
6 QT-1B. This stippled pattern above that line, which goes  
7 across the A features, represents the extent of combined  
8 marine and non-marine terrace deposits across the site, that  
9 lie directly upon the erosional platform of the stage 5E  
10 terrace, and that platform and its immediately overlying  
11 deposits are the ones that have been dated at about 125,000  
12 years.

13           Those terrace deposits are quite thick there.  
14 They are well-exposed, not only in a cut slope, but in a  
15 couple of shallow trenches that we dug at this early stage,  
16 and while they demonstrated that the A features did not in  
17 any way affect the platform or the overlying deposits, they  
18 also prevented us from observing the A features farther to the  
19 north, so the feature as mapped here to the north does not  
20 stop at that line, but our ability to observe it stops.

21           The feature A at that point is a single feature.  
22 It occurs in a small, in a narrow zone about two to three  
23 inches wide, at the northern extremity immediately below the  
24 terrace deposit.

25           Q       Now, are these terrace deposits, which you said





1 Q No, my question is, if the A type features come  
2 up to the same marine terrace as the Cristianitos fault does,  
3 and both are approximately 125,000 years old, then are they  
4 not approximately the same age?

5 A No.

6 MR. PIGOTT: The terrace is the same terrace, and  
7 is the same age. Are you trying to ask whether or not the  
8 underlying fault is the same age? I think he has answered  
9 that about three times the same way.

10 BY MR. BARLOW:

11 Q Is the terrace the same age?

12 A The terrace is the same age. The features are not  
13 necessarily the same age as the Cristianitos, based on that  
14 relationship, and for other reasons that are described in the  
15 testimony, the A-B features are suggested to be -- to be  
16 hundreds of thousands of years old, whereas we know the  
17 Cristianitos was initiated some millions of years ago, and  
18 has not moved, most likely for several million years. The  
19 point to be made here, just for clarification, is that the  
20 lack of disturbance of the marine platform, and the overlying  
21 deposits puts an absolute minimum age, minimum age, on the  
22 formation of the A and B features.

23 It does the same thing for the Cristianitos fault,  
24 puts an absolute minimum age of last movement, and from that  
25 relationship alone, we can say that the A features, as well as

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1 the Cristianitos fault, and the B features, C and D, formed  
2 prior to the development of the stage 5E platform, which means  
3 prior to 125,000 years ago. It doesn't mean they formed at  
4 125,000. It means prior to.

5 Q If the Cristianitos fault comes up to the Marine  
6 terrace, does that mean that -- and you say that the minimum  
7 age of the Cristianitos fault is 125,000 years --

8 A That is not --

9 MR. PIGOTT: I am going to object to this line  
10 of questioning. I think we are now attempting to go into an  
11 investigation of the Cristianitos and not the A-B-C-D features.  
12 I can understand the clarification with respect to the use of  
13 terraces, but to now go into an examination of the Cristianitos  
14 is beyond the scope of this issue.

15 MR. BARLOW: It is merely to compare the A type  
16 features to the Cristianitos fault, Your Honor.

17 JUDGE KELLEY: I am sustaining the objection. It  
18 seems to me that you have crossed over into another area that  
19 is not before us today, at least not from this witness.

20 BY MR. BARLOW:

21 Q Mr. Smith, would it have been possible for you and  
22 your assistants to further examine the northern extent or  
23 length of the A type features, if you had dug trenches to the  
24 north of this area on your map and on your drawing number  
25 three?

8 1 A Well, I think the answer to that is a function of  
2 how practical it becomes to dig a trench very deeply. The  
3 terrace deposits that overly the features everywhere we find  
4 them adjacent to the features, in this area north of the  
5 site are very thick. They are -- they approach 60 feet or  
6 more, in thickness, and it -- that is very impractical to  
7 excavate a trench that deep, and I won't say it is impossible.  
8 I suppose it can, but that would be extraordinary. I have  
9 never been involved with an exploratory trench like that.

10 Q In your work over the past ten years on the San  
11 Onofre site, can you estimate how much trenching has been  
12 done within five miles of the San Onofre reactor site?

13 MR. PIGOTT: I am going to question the relevance  
14 of that particular question.

15 MR. WHARTON: Mr. Chairman, one of the issues  
16 assumes adequacy of investigation. We are looking at some  
17 features here that have some implications and we are wanting  
18 to find out how much research they did, how much work they  
19 did to determine the full implications and the full --

20 MR. PIGOTT: Objection. There is -- if I might  
21 comment further, there is no issue here on adequacy of  
22 investigation. That is a mischaracterization of the issue.

23 MR. WHARTON: Mr. Chairman, they were -- if I  
24 can get the letter out, if we want to refer to that at this  
25 point, on the order, but certain issues such as this were

9 1 subsumed in other issues, and I believe this is one of them.

2 JUDGE KELLEY: There was earlier discussion.  
3 Certainly the Intervenor at an earlier stage wanted specific  
4 contentions on adequacy of investigation. There were  
5 pleadings from both the Applicant and the Staff addressed to  
6 various of those contentions. I seem to recall at least the  
7 Staff saying that the adequacy at least of their investigations  
8 could be looked into within the context of the contentions we  
9 ultimately admitted.

10 I don't recall your position, Mr. Pigott, in this  
11 regard. It does seem to me that the concept of adequacy of  
12 investigation has a place in a hearing of this kind. It is  
13 the kind of a thing that if you pursue it very long, you can  
14 very quickly put more into it than what it is going to get  
15 you will warrant, so that I approach the whole subject with a  
16 certain amount of caution.

17 There was an interrogatory on trenching, which I  
18 believe I allowed over objection, involving trenching here,  
19 as opposed to trenching at the Vallecitos fault, my  
20 recollection serves me. But that was much more specific.  
21 Your question now, of how much trenching has been done at the  
22 San Onofre site, over the last five years, was that the  
23 question?

24 MR. BARLOW: Since the construction permit was  
25 issued.

1 JUDGE KELLEY: Okay. Over the last --

2 MR. PIGOTT: I would question the relevancy of  
3 trenching since the construction permit. If -- what are they  
4 driving at? The level of investigation with respect to the  
5 A-B-C-D feature? That is fine, I have no objection to that.  
6 I mean, the A-B-C-D features are clearly an issue. The  
7 question of general adequacy of investigations, I objected to  
8 that previously. I think it was sustained. There was no  
9 basis, no showing that that should be an issue in this  
10 proceeding.

11 JUDGE KELLEY: Oh, I certainly meant to say no-  
12 thing to the contrary to that.

13 MR. PIGOTT: Okay.

14 JUDGE KELLEY: When one talks of inadequacy, one  
15 has to say inadequacy with respect to what, and for what  
16 reason, and so on, and get pretty specific. Otherwise, it  
17 is just a morass. I do think your question as phrased, and  
18 maybe you want to restate it, but I think it is too broad,  
19 but restate it.

20 MR. CHANDLER: Mr. Chairman, before Mr. Barlow  
21 does that, just for the record, I am not sure I would agree  
22 with the Chairman's characterization of the staff's position  
23 on the contentions on adequacy. We need not get into that,  
24 I think.

25 JUDGE KELLEY: I don't think we do, because it

1 wasn't crucial to what we are --

2717

2 MR. CHANDLER: Exactly.

3 JUDGE KELLEY: That was just background. Go  
4 ahead. Could you restate that question?

5 MR. BARLOW: Yes, sir, and just to put it in  
6 context, we are discussing contention number 3, which  
7 involves discoveries, geologic discoveries subsequent to the  
8 issuance of the construction permit --

9 JUDGE KELLEY: Yes.

10 MR. BARLOW: -- of a list of geologic features,  
11 perhaps the question was -- well, the question was rather  
12 broad, but I was addressing it to all of these features,  
13 rather than just the A-B-C-D features. I could break it  
14 down into --

15 JUDGE KELLEY: I don't think that is a useful  
16 question. It seems to me that one feature may require one  
17 certain amount of trenching, and some other feature may  
18 require none at all, and some sort of aggregate number of X  
19 hundreds or thousands of feet of trenching, I think is  
20 irrelevant, and we have talked about trenching to some extent  
21 with respect to A-B-C-D already, and perhaps enough, but that  
22 broad question about total trenching in the past, since the CP,  
23 I think is too broad, and not meaningful anyway, so I am  
24 going to sustain the objection to that.

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BY MR. BARLOW:

Q. Mr. Smith, were vibro site studies conducted in relation to the ABCD features?

A. Vibro sites being a land-based seismic reflection profiling type of investigation? No.

/////



14rpl

1 Q Were any seismic reflection and refraction  
2 studies conducted by the Applicants' consultants onshore  
3 within a five-mile radius of the site?

4 MR. PIGOTT: Is this just another general  
5 question or is this going to the ABCD features?

6 MR. BARLOW: Well we've discussed on Page 6 of  
7 the witness' testimony -- he discusses several locations  
8 within a five-square-mile area where there are A and B  
9 type features, and I'm asking --

10 JUDGE KELLEY: Could you repeat your question,  
11 please?

12 MR. BARLOW: Yes.

13 Were seismic reflection and refraction studies  
14 conducted by the Applicants' consultants onshore within  
15 the five-mile radius of the site?

16 JUDGE KELLEY: Do you mean with respect to  
17 ABCD?

18 MR. BARLOW: Well I would like to know if they  
19 were conducted with respect to any of these features that  
20 we're looking at.

21 JUDGE KELLEY: You have to tie it down.

22 MR. BARLOW: Okay.

23 BY MR. BARLOW:

24 Q Were any of these type studies conducted with  
25 respect to ABCD type features?



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1           A.       No, they were not and there really would be no  
2 purpose in doing so. These are such minor features, there  
3 would be no way of observing them on those types of seismic  
4 reflection profiles.

5                    On the other hand, some limited amount of seismic  
6 reflection work has been done in the region of the  
7 Capistrano Embayment. The exact location and nature of the  
8 lines I'm not very familiar with, but it has been done  
9 for petroleum exploration purposes in the region and some of  
10 that data has been reviewed by consultants to the Applicant,  
11 but certainly not for any evaluation of these small ABCD  
12 features.

13           Q        Were any of these type of seismic studies  
14 conducted by the Applicants or their consultants in regard  
15 to the Cristianitos fault zone?

16                    MR. PIGOTT: I'm going to object.

17                    First of all, it's again going back to try to  
18 get into a question of Cristianitos fault without any kind  
19 of a showing, with any kind of an issue.

20                    I'm also objecting to the form of the question,  
21 "these kinds of seismic studies".

22                    I don't think we have any showing as to whether  
23 or not we're talking about something relevant or, for that  
24 matter, not much of a showing on the record as to precisely  
25 what these studies are, what they might accomplish or

1 whether there is something that should be concerned.  
2 They're extremely undefined.

3 JUDGE KELLEY: Can I step back and ask  
4 Mr. Smith a question.

5 You mentioned some studies of this kind to look  
6 for oil in a certain area near the site.

7 Now, apart from that, do you know of any onshore  
8 seismic studies, if you will, with respect to SONGS 2 and  
9 3 et al.?

10 THE WITNESS: I know of none that were  
11 conducted onshore specifically for the Applicant. There  
12 may have been some but certainly not to look for these  
13 kind of features or --

14 JUDGE KELLEY: Right. I understood it why  
15 you said that you couldn't find the small feature on one  
16 of these --

17 THE WITNESS: Right. But those that did exist  
18 in the area is part of a comprehensive investigation where  
19 there were attempts to obtain that, to observe them, to  
20 correlate that with surface mapping and drill a hole data,  
21 both shallow and deep, in order to come up with a  
22 comprehensive understanding of the three dimensional  
23 geology and evolution of the site and time.

24 JUDGE KELLEY: Mr. Barlow, your last question  
25 to which objection was made, was that, to refresh my memory,

4  
1 a question about seismic type research or broader?

2 MR. BARLOW: Seismic research, seismic  
3 reflection or refraction studies.

4 JUDGE KELLEY: I think he's pretty well  
5 covered the waterfront as to what he knows about that kind  
6 of research.

7 MR. BARLOW: Okay. Just one last question in  
8 that area.

9 BY MR. BARLOW:

10 Q The Capistrano Embayment seismic research that  
11 you mentioned, that was done for an oil company or petroleum  
12 research; is that what you said?

13 A It's my understanding that the seismic reflection  
14 profiles done in the vicinity of the Capistrano Embayment  
15 were done for or by one or more oil companies for purposes  
16 of petroleum exploration.

17 MR. BARLOW: If I may ask one more question  
18 along this line.

19 BY MR. BARLOW:

20 Q Are you aware of information or data from  
21 recent wildcat oil well drilling being conducted by a major  
22 oil company inland from the SONG site?

23 MR. PIGOTT: I'd like to know what the  
24 relationship is of this to the ABCD features or any of the  
25 other features? We're on a witch hunt.

5  
1 MR. BARLOW: It's my understanding that this  
2 drilling is being done along the Cristianitos fault zone  
3 near the SONG site. I'm wondering if the Applicants'  
4 consultants have analyzed the data from the drilling.

5 JUDGE KELLEY. What does that have to do with  
6 the ABCD features?

7 MR. BARLOW: Well, on Page 7 of the witness'  
8 testimony, he discusses -- well he states, beginning on  
9 Page 6, that, even those these A and B type features are  
10 found in several locations within a five-square-mile area,  
11 that, in his opinion, they are not in any zonal distribution  
12 or any constant proximity to the Cristianitos fault, and he  
13 has referenced the Cristianitos fault quite a few places.  
14 In fact, in Exhibit 25 --

15 JUDGE KELLEY: Okay. Are you aware of any such  
16 exploration?

17 THE WITNESS: No, I'm not.

18 MR. BARLOW: Okay.

19 BY MR. BARLOW:

20 Q On Page 13 of your exhibit JLS-1 -- well,  
21 beginning on Page 12, you begin to discuss the Cristianitos  
22 fault, and, on Page 13, you say at the top of the page,  
23 "The maximum displacement is estimated to be 5,000 feet at  
24 its mid-point," and, in the next sentence, you say that  
25 the Cristianitos fault zone contains two main branches which

6  
1 are nearly parallel and range from 200 to 800 feet apart.

2           When you're discussing branches here, are you  
3 discussing the branches in the sense that -- on your Map  
4 Sheet 25 in Appendix C to this exhibit, you have a map of  
5 the Cristianitos fault zone with two lines representing  
6 the zone. Are these the two branches that you're discussing  
7 here that are nearly parallel and range from 200 to 800  
8 feet apart?

9           A.       The lines that are drawn on Drawing 25 to  
10 depict the Cristianitos fault were drawn so to represent  
11 generally the location of the fault, not for the purposes  
12 of specifically depicting the characteristics of the fault.

13           The Figure 25 was based on a generalization of  
14 drawing number 2 of that exhibit, which is a reproduction  
15 of an earlier geologic map prepared in 1970 which shows the  
16 fault in somewhat different manner.

17           Subsequent mapping in more detail with a greater  
18 regional knowledge of not only the stratigraphy and structure  
19 but the evolution of the Capistrano Embayment which was  
20 done by Dr. Ehlig has added further detail to our knowledge  
21 of the Cristianitos fault.

22           The text on Page 12 and 13 attempts merely to  
23 summarize in a general way the Cristianitos fault as it was  
24 known at that time and as it was taken from the earlier  
25 report prepared by Converse, Davis & Associates back in

7  
1 1970. So its purpose here was simply to describe in general  
2 the fault as background for further discussion of the ABCD  
3 features. It was not purported to be the definitive  
4 characterization of the Cristianitos.

5 At any rate, it was a description of the  
6 Cristianitos as we knew it at that time and I think it's  
7 significant to note that Dr. Ehlig's portrayal of it is  
8 the current and updated version.

9 Q. Thank you. Before we leave that Page 13 in your  
10 exhibit, the seventh line from the bottom of the page --

11 A. Which page? I'm sorry.

12 Q. Page 13 in Exhibit 1. It's your Exhibit 1,  
13 Applicants' Exhibit No. 25.

14 You state on that line that -- well that line  
15 says the Cristianitos is older than 33,000 years. The  
16 context above it says that carbon 14 dating of shell  
17 fragments taken from correlative terrace deposits about  
18 four and a half miles south of the site and 17 miles  
19 northwest of the site indicates that the terrace overlaying  
20 the Cristianitos is older than 33,000 years.

21 And then you go on to say that, "Another dating  
22 technique of shell materials indicates the terrace is at  
23 least 70,000 to 130,000 years old.

24 Now is this terrace the same one that you're  
25 now saying is 125,000 years old?

1 MR. PIGOTT: I'm going to object to this as --

2 MR. BARLOW: As capping the ABCD features.

3 MR. PIGOTT: -- an absolute refusal, apparently,  
4 to listen to the rulings of this Board with respect to  
5 staying out of the Cristianitos fault an issue per se. I  
6 submit Intervenors are just attempting once again to make  
7 a new issue and I object.

8 MR. BARLOW: Your Honor, the witness testified  
9 that there is a terrace capping the ABCD features which is  
10 the same terrace capping the Cristianitos fault.

11 JUDGE KELLEY: Yes, I understand that.

12 This statement of 33,000 is exactly where?

13 MR. BARLOW: Page 13, the seventh line from  
14 the bottom.

15 MR. WHARTON: Mr. Chairman, again I would like  
16 to point out that the exhibit is part of his testimony.  
17 It's being presented for the truth of it here.

18 The Cristianitos is referred to all through  
19 this exhibit. He's tying it together and I think we should  
20 be able to pursue what he says in this exhibit regarding  
21 the Cristianitos.

22 JUDGE KELLEY: Well I'm not so sure about that,  
23 so let's talk about it for a minute.

24 This exhibit was put in, as I recall it, the other  
25 day in connection with Mr. Smith's initial appearance and

9  
1 Mr. Smith, at that time, spoke very generally to the  
2 geography of the entire area. I believe that this exhibit  
3 was primarily in connection with that.

4 Now, let me just go on.

5 In connection with ABCD --

6 MR. PIGOTT: Mr. Chairman --

7 JUDGE KELLEY: Yes.

8 MR. PIGOTT: -- a correction before we go any  
9 further.

10 I believe that this exhibit did come in with  
11 today's --

12 JUDGE KELLEY: Then I'm wrong.

13 MR. PIGOTT: Yes. It came in with today's  
14 testimony not the previous one.

15 JUDGE KELLEY: Very well.

16 MR. WHARTON: It says Analysis of Geologic  
17 Features at San Onofre --

18 JUDGE KELLEY: Then I'm simply wrong about that.

19 MR. PIGOTT: I don't think it changes the  
20 context, but I did want the record --

21 JUDGE KELLEY: Well I appreciate your correcting  
22 me. I was simply wrong.

23 Were there any exhibits the other day in  
24 connection with your opening presentation, Mr. Smith?

25 MR. PIGOTT: Exhibits, not figures.



10 1 THE WITNESS: No, I don't believe there were.

2 JUDGE KELLEY: Okay.

3 MR. WHARTON: Mr. Chairman, if you would turn to  
4 the exhibit, there's a whole section on the Cristianitos  
5 fault, Page 12, 13, end of 14. This is part of thier  
6 testimony.

7 JUDGE KELLEY: After 12, 13 and 14, we get on  
8 into A and B.

9 MR. WHARTON: That's correct.

10 MR. CHANDLER: Mr. Chairman, may I be heard?

11 JUDGE KELLEY: Yes.

12 MR. CHANDLER: Thank you.

13 I think when one looks at the references that  
14 Mr. Wharton just made, Pages 12 and 13 for example, it  
15 suggests strongly to us that this discussion of the  
16 Cristianitos fault appears to be here for purposes of  
17 context rather than an evaluation of the Cristianitos fault.

18 It certainly does provide a geologic context  
19 and I certainly would agree, for example with Mr. Pigott,  
20 that this is not the appropriate time for consideration of  
21 something th seems more appropriately reserved for other  
22 parts of t case, if at all.

23 At the same time, I think the way in which  
24 Mr. Barlow's question was phrased is appropriate. I happen  
25 to agree with what he's raising -- it's here for purposes of

11 1 dating, not so much the Cristianitos fault, but with  
2 reference to the ABCD features at the site as --

3 JUDGE KELLEY: Well, in a narrower question  
4 itself, given the fact that we've heard 125,000 times and  
5 again in various contexts and now we've got a statement of  
6 33, just for clarity of the record, we can find out what  
7 that means.

8 What I find a little more troublesome is the  
9 extent to which we're going to get into the Cristianitos  
10 fault again in the context of what at least started out to  
11 be a discussion of the ABCD features given some earlier  
12 questions about their comparative alignments and the like,  
13 but I think --

14 I also agree with you, Mr. Chandler that, at  
15 least on the basis of counting pages, three pages double  
16 spaced is not exactly an in-depth exploration of the  
17 Cristianitos fault.

18 The thrust of this is the ABCD and what they're  
19 all about.

20 MR. PIGOTT: I would like to point out one  
21 additional thing and that is, just to put it in context, the  
22 exhibit was done -- well the ABCD features were discovered  
23 subsequent to the CP at the time of excavation. That was  
24 back in 1974. This report was done in 1974.

25 One of the issues with respect to the

12 1 investigation at that time was, of course, extremely  
2 comprehensive. It had a tremendous impact on the  
3 construction of the site.

4 You would expect that, in reports generated at  
5 that time for that purpose there is going to be a very  
6 wide-ranging and general discussion of the terrain in  
7 order to be able to focus on what has actually occurred at  
8 the site. This is a 1974 report prepared for that purpose  
9 and now we have an issue in front of us purely and simply  
10 with respect to the ABCD features and I think it's an  
11 improper use of the document to use it as a launching pad  
12 for a new issue.

13 With respect to the 33,000 year old -- it'd  
14 take less time to answer it than to argue about it.

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1 JUDGE KELLEY: I am in general agreement with that,  
2 too. So why don't you put your question about clarifying the  
3 33,000 and what that means. I don't think it is appropriate,  
4 in this context at least, to get into the Cristianitos and I  
5 don't think it is at all necessitated by the fact that there  
6 are some references in this exhibit to it.

7 By the way I might add that I find nothing to this  
8 effect in your cross examination plan which I would expect to  
9 find there if you were going to go off in that direction, so  
10 go ahead with the 33,000 question.

11 BY MR. BARLOW:

12 Q Mr. Smith, could you explain the discrepancy be-  
13 tween the numbers 33,000, 70,000, 130,000 and 125,000 in the  
14 context of this discussion on page 13 of your exhibit and on  
15 previous references to the age of the terrace?

16 A There is no discrepancy but I can put into the  
17 correct context the different ages that are given. I think  
18 ultimately it will become clear when Dr. Shlemon testifies  
19 because he has done the really definitive work on correlating  
20 and dating the terraces and it is his work. In that regard it  
21 should be relied upon.

22 In part because of the reference at the end of that  
23 paragraph on page 13 to the PSAR, the implication that this  
24 information was taken from what had been presented in the PSAR.  
25 It does reflect what we knew about the age of the terraces or

ghp 2

1 of the terrace at that time.

2 The terrace that is being examined and its overlying  
3 deposit here is what is now known as the 5-E terrace from  
4 Dr. Shlemon's studies. Prior to 1974 fossils had been col-  
5 lected four-and-a-half miles south of the site and some 17  
6 miles northwest of the site.

7 As you probably realize, it takes a great deal of  
8 searching to find fossils in terrace deposits. The searching  
9 that had been accomplished to that date was able to locate two  
10 areas where dating could be done.

11 Carbon 14 dating of shell fragments, of course,  
12 is limited effectively to some 30 to 40 thousand years and  
13 the statement that the terrace overlying Cristianitos is older  
14 than 33,000 years simply means that the age dating capability  
15 of the carbon 14 method was exceeded at that location and that  
16 the deposits containing the shells were older than that.

17 Using another system of dating -- lythorium pro-  
18 dactinium (ph.) dating which Dr. Shlemon, I believe, goes into  
19 in some detail -- was able to extend the age range for those  
20 sediments overlaying the terrace platform to a period of  
21 70 thousands to 130 thousand years old.

22 Subsequent studies in, I think, 1976 or 1977 by  
23 Fugro in response to some NRC questions about this very subject  
24 of correlating the terraces provided a tighter time range on  
25 the age of that terrace platform at about 120 thousand years.

ghp 3

1           The current date, which should be relied upon, as  
2 I said, is 125 thousand years.

3           So the different dates that are obtained are simply  
4 a function of the age-dating methods. They are all consistent  
5 with one another and the cumulative result now is that we very  
6 firmly have a very important time line through the site and  
7 in the region that allows us to say what the minimum age of  
8 last movement on faults and other features are for 125 thousand  
9 years.

10           Q       Earlier in the discussion you pointed to a place  
11 where the A-type features contact the marine terrace and are  
12 capped by the marine terrace. Could you tell us to what extent  
13 geologic research methodologies are used to search for the  
14 A-type features inland from that point to ascertain their  
15 length?

16                   Excuse me, could you tell us what sort of geologic  
17 research methodologies were conducted inland from the A-type  
18 features to ascertain their length?

19           A       Well, I presume you mean geologic activities.  
20 The geologic activities included those observations made  
21 generally in the mapped area of Drawing No. 2 of my Exhibit  
22 No. JLS-1 plus specific observations at a number of localities  
23 since 1970 to ascertain the nature of the San Mateo formation  
24 and any discontinuities that might exist within it.

25                   Since 1974 there have been a number of observations

ghp 4

1 in the vicinity mapped on Drawing No. 2 that have been for  
2 the purpose of locating A, B, C and D features outside of the  
3 site and I have already described the areas where they were  
4 found.

5 I haven't pointed out all the areas where they  
6 are not found, but I certainly could do that, because there  
7 are extensive areas where the San Mateo formation is excellently  
8 exposed.

9 MR. PIGOTT: I will object to my witness' answer  
10 if he tries to do that.

11 WITNESS SMITH: Okay, I will curtail that.

12 JUDGE KELLEY: Overruled.

13 WITNESS SMITH: The San Mateo formation is really  
14 remarkable in terms of its lack of fracturing and jointing so  
15 we have made many observations outside of the site. We have  
16 done no specific excavation or drilling to search for any of  
17 these features because largely we have had sufficient exposure  
18 to judge their orientation and their geometry and their re-  
19 lationships to each other.

20 BY MR. BARLOW:

21 Q What level of confidence would you ascribe to your  
22 conclusion that the A-type features die out at the place where  
23 they are capped by that terrace?

24 A I can't give you any quantitative level, if that  
25 is what you are seeking. I don't know how to do that.

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Q Let me rephrase the question. Is it reasonable to deduce that the A-type features could extend further to the north than you have mapped, beneath the terrace?

A I think it would seem to me that the features that we see at the site do not extend very far beyond the extremities where we have mapped them.

We do know that there are other A features in the region and I already discussed those too long, I think. So we know that they exist elsewhere at least northwest of the site.

Q Did you make any attempt to see if the projected strike of the A-type features at the site lined up with the projected strike of the A-type features in Area 3?

A No, I didn't. That would be impossible for them to line up. If they are both north/south striking, as I indicated, and if you are talking about the A features, and they lie at the positions I have indicated on the map, there is really no way that they could align with each other with the Area 3 lying to the northwest.

Q Do any of the A-type features have northwest trends?

A No.

Q Has any research been conducted by the Applicants or their consultants regarding the A, B, C, D-type features since the discovery of the Cristianitos Zone of Deformation in 1980?

MR. PIGOTT: I think I will object as going beyond



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1 the scope of this gentleman's direct. As a matter of fact,  
2 that question is answered by Dr. Shlemon in his work.

3 MR. WHARTON: Your Honor, the Applicants here would  
4 like us to consider A, B, C, D features by themselves as just  
5 little marks on the ground that have no significance. What we  
6 are saying here is that there has been a new discovery of  
7 Cristianitos Zone of Deformation which trends toward the shore  
8 and we are asking here to put the A, B, C, D features in the  
9 context of the CZD that projects on shore to see whether there  
10 is any possible connection, or if there is any relationship,  
11 between the two. I think it is an area that needs to be pur-  
12 sued.

13 MR. PIGOTT: And it is pursued and it is not pur-  
14 sued by this witness.

15 MR. WHARTON: He knows the features.

16 JUDGE KELLEY: I will allow the question.

17 MR. PIGOTT: Objection; he does not know the CZD  
18 features. He is not a CZD witness. He knows the A, B, C, D  
19 features.

20 JUDGE KELLEY: Isn't he your researcher on A, B, C, D?

21 MR. PIGOTT: On A, B, C, D, but the question goes  
22 to whether or not there is connection with the CZD at the shore-  
23 line and what I am saying is, there is another researcher who  
24 looked at that, whether or not there is any expression of the  
25 CZD in the sea cliffs and that is Dr. Shlemon.

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MR. WHARTON: That is not the same question.

JUDGE KELLEY: Your question, if I heard it correctly, was whether any research had been done on A, B, C, D since the discovery -- I will put discovery in quotes -- characterization of the CZD as such in 1980; is that the question?

MR. BARLOW: Yes, Your Honor.

JUDGE KELLEY: Bearing in mind your point, Mr. Pigott, I will allow the witness to answer.

MR. WHARTON: Mr. Chairman, I am wondering whether you could expect an objection when we start talking to Mr. Shlemon about whether the CZD has anything to do with the A, B, C, D features because he doesn't know anything about the A, B, C, D features.

I think it is very unfair to have it segmented as to people we can talk to when it is a big picture we have to look at.

JUDGE KELLEY: I said you could ask the question. We will cross that bridge when we come to it.

BY MR. BARLOW:

Q Did you get the question, Dr. Smith?

A I think so. I think the question was, has there been an analysis of the A, B, C, D features since discovery, to use your terms, of the CZD, meaning Cristianitos Zone of Deformation.

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1           Insofar as I am aware of the Cristianitos Zone of  
2 Deformation, so called, and where it exists and what some of  
3 its general characteristics are, I can say, first of all, I  
4 think it has been indicated, the CZD was not discovered in 1980.  
5 It was named in 1980.

6           The features that that zone constitutes have been  
7 known since the earliest off-shore geophysical studies by Marine  
8 Advisors. They named it something else.

9           Subsequent studies in the few years following the  
10 Marine Advisors by the Board of Technical Review, of which I  
11 was a part, identify the features that lie along it and it was  
12 not referred to as the Zone of Deformation.

13           So I think to make it clear here, that discovery,  
14 as you mentioned it, is misleading. However, I have considered --  
15 I have not made an in-depth analysis because I don't think it  
16 requires it -- I have considered whether or not there is any-  
17 thing about the A, B, C, D features that might relate them to  
18 any of the features we see off-shore in the vicinity of the  
19 so-called CZD and my conclusion is no, there is nothing.

20           There may be some features off-shore that have an  
21 orientation that is similar to some of the features, but that  
22 by itself is not a strong indication of anything except their  
23 geographic orientation and I can give you a number of reasons  
24 why there would be no relationship but it is true. I have not  
25 studied in depth the CZD.

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1                   That is not only Dr. Moore's area but specifically  
2 looking on-shore for evidence of CZD-type features, that has  
3 been the pervue of Dr. Shlemon.

4                   What observations I have made over some 10 or 12  
5 years would conclude that I see no evidence of CZD on shore.

6           Q        Mr. Smith, as a geologist, if you projected the  
7 strike of the A-type features off-shore, would they intersect  
8 with the CZD?

9                   MR. PIGOTT: Sir, could we make sure that the wit-  
10 ness has a frame of reference what you are talking about,  
11 A-type features?

12                   BY MR. BARLOW:

13           Q        The A features that you have mapped in the A, B,  
14 C, D features at the site.

15                   MR. PIGOTT: I thought you used the word off-shore.

16                   MR. BARLOW: Yes.

17                   BY MR. BARLOW:

18           Q        If you projected the strike of the A-type features  
19 at the site into the off-shore region.

20                   MR. PIGOTT: Not to be mixed with something called  
21 an A feature all day yesteruay.

22                   MR. BARLOW: Correct.

23                   JUDGE KELLEY: Yes, that was a fault on the map;  
24 right?

25                   MR. PIGOTT: Right.

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JUDGE KELLEY: Fine.

WITNESS SMITH: I can't answer that, Mr. Barlow.

One can project the orientation of a feature that has a north/south strike to the north or the south in this case and I can't say how far those features go, but you can make a geometrical projection on a piece of paper or on a map to infinity to see what they intersect with but I don't know the meaning of that intersection and I could not make a projection that would imply there is an intersection. There is just not enough information.

BY MR. BARLOW:

Q Let me ask you this. If you took the map prepared by Dr. Greene and Dr. Kennedy and looked at the trend of the Cristianitos Zone of Deformation, which has been described as trending north/south and you looked at your maps of the A, B, C, D features beneath Unit 2, and you looked at the strike of the A-type features and you drew a straight line on a map from the A-type features to the CZD, would they line up?

MR. PIGOTT: I am going to object. I believe the witness just answered and not only just answered, he has answered why it has no significance.

One could search for features in Nevada, Nebraska and New York that all pointed toward San Onofre and line them up with a ruler and that has no probative value and we have gone through this over and over and over again. I object.

MR. BARLOW: Your Honor, this is probably the most

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1 probative question of the day. Do the CZD features and the  
2 A, B, C, D features line up and connect.

3 MR. CHANDLER: I think we have had an answer to  
4 that question a couple of times, though, Mr. Chairman.

5 MR. WHARTON: Mr. Chairman, I believe also the  
6 question was asked in the form of a hypothetical. That is,  
7 given that they go off-shore, where do they go. We are not  
8 saying that that is the case, but where would they go. Given  
9 all these things that we do know, where would they go. I think  
10 it is an appropriate question and it is one that you are going  
11 to have to consider.

12 JUDGE KELLEY: Will that conclude your questioning  
13 on the A, B, C, D features provided I allow this question?

14 MR. BARLOW: On the outline I have two or three  
15 other questions, but I could restrict it to that.

16 JUDGE KELLEY: The outline of points frequently  
17 represented a lot more questions than that. They are more  
18 like subjects. Finish up A, B, C, D in the next five minutes  
19 and you can ask that question you just asked.

20 BY MR. BARLOW:

21 Q Can you answer the question?

22 A I don't see that they line up. I don't think that  
23 it would be a reasonable projection.

24 Q Have you attempted to make that projection on a map?

25 A I have now. I have only generally made the

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1 observation in the past and I don't see any alignment.

2 Q Have you ever taken your map of the A-type features  
3 and projected the strike of the A features off-shore as far  
4 as the CZD?

5 A No, I haven't done that and I don't see the rele-  
6 vance in doing so. I didn't go into all the reasons why the  
7 two features are not relevant but I could clearly do that.

8 Q When the A, B, C, D type features were discovered  
9 and mapped, did the Applicant's consultants make a recommenda-  
10 tion to the Applicants that the reactors be designed for sur-  
11 face faulting beneath the reactors on the A, B, C, D features?

12 MR. PIGOTT: I am going to object. I think this  
13 goes far beyond the scope of this witness' examination or direct  
14 examination and is not relevant.

15 JUDGE KELLEY: Apart from scope, I think it is an  
16 understandable -- I think it is a relevant question and I think  
17 it is allowable.

18 MR. PIGOTT: May I have it read back? I would like  
19 to have it fully in mind.

20 (Tape is played back for requested portion.)

21 BY M. BARLOW:

22 Q Just a yes or no please.

23 A I definitely did not make the recommendation in  
24 that direction. I don't think any of the other consultants  
25 did but you would have to ask them.

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1 Q On page 8 of your testimony you described the  
2 D features as having a displacement in a reverse sense with  
3 the northern part being left --

4 JUDGE KELLEY: I am sorry, I didn't get the page.

5 MR. BARLOW: Page 8.

6 BY MR. BARLOW:

7 Q You say the displacement on the D features is in  
8 a reverse sense with the northern part being left. Is this  
9 the same sort of displacement that one would observe on a  
10 reverse fault?

11 A Well, I don't understand your putting it into the  
12 context of a reverse fault. Reverse sense means exactly what  
13 it means, that the hanging wall is up over the fault. That is  
14 simply a generic description of the sense of motion and that  
15 is the only manner in which it is intended here in that sentence.  
16 The D feature is not a fault.

17 Q If the D features were a fault and the displacement  
18 was in a reverse sense, could it be a reverse fault?

19 MR. PIGOTT: Calling for speculation.

20 JUDGE KELLEY: Overruled.

21 WITNESS SMITH: I think essentially what you are  
22 saying is, if it is a reverse fault, is it a reverse fault,  
23 and I think the answer is a little absurd, but yes.

24 BY MR. BARLOW:

25 Q One final question. If the Cristianitos is a



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1 normal fault as described by Dr. Ehlig, is it possible that  
2 the A, B, C, D features could have formed as surface expression  
3 of this listric normal fault at depth?

4 A No, I don't see how they could.

5 MR. BARLOW: I think that is it on A, B, C, D.

6 JUDGE KELLEY: Okay, let's just see where we are  
7 in terms of timing and subject matters and the like. I think  
8 we might as well take another coffee break here but wait just  
9 a minute until we establish a couple of things.

10 After the break you have got the subjects of  
11 Target Canyon and Horno Canyon. Now Target Canyon and Horno  
12 Canyon, you only list a couple or three points of interest.  
13 How long do you think that might take?

14 MR. BARLOW: Well, actually there are other ques-  
15 tions to do with that. This outline was prepared last week  
16 before the field trip with David Phifer.

17 JUDGE KELLEY: That is a good point. Should we  
18 get into that at all? I am not sure that we should. Everybody  
19 knows what that involved in a general way. It is up in this  
20 neck of the woods. We were going to get some preliminary  
21 assessment from the Applicants and the Staff.

22 MR. PIGOTT: Mr. Chairman, if I might address that,  
23 we do not consider that what Mr. Phifer brought to the atten-  
24 tion of the Board in his limited appearance impacts this issue  
25 as phrased, and that is as addressed by our witnesses and we

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1 would request that we proceed on the issues as defined and  
2 as stated and without -- well, obviously they can try and cross  
3 examine based on whatever knowledge they may have at the time  
4 they asked the question, but with respect to expanding the  
5 issue to include anything that Mr. Phifer might have indi-  
6 cated, I would think that in the absence of either a threshold  
7 showing by the Intervenors or a determination by the Board that  
8 there has been a threshold showing, that that kind of con-  
9 sideration is inappropriate at this time.

10 MR. CHANDLER: I think, Mr. Chairman, we would  
11 share that point of view. I think that we ought to go forward.  
12 There was, as the Board indicated in its order, the opportunity  
13 to the Intervenors to make such a threshold showing and if,  
14 in fact, they somehow succeed then certainly it may be appro-  
15 priate to expand this contention.

16 We do, of course, intend to advise the Board of  
17 at least the status of our review as time goes on. At this  
18 time it is certainly premature to suggest when that might be.  
19 As soon as we have some further information from the Applicants  
20 we will be in a better position to advise you on that.

21 MR. WHARTON: We would just like to comment that  
22 we would like to reserve our rights as far as the issues raised  
23 by Mr. Phifer. We should, at least, have the report from  
24 the NRC Staff regarding Mr. Phifer's findings and then raise  
25 the issues ourselves.

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1 So I would think at this time, too, going into  
2 Mr. Phifer may not be appropriate but I would like to reserve  
3 it as a possible issue and to recall this witness regarding  
4 these issues since he is their expert in this area.

5 MR. PIGOTT: Applicants have no problem with that.

6 JUDGE KELLEY: It seems sensible and counsel, I  
7 think, are in essential agreement. We will go ahead and try  
8 this issue for now on the basis of the submissions that are  
9 now before us.

10 It may be that Mr. Phifer's information would lead  
11 to, or itself constitute, evidence that -- I would think that  
12 the best way to -- the Board itself, needless to say, we are  
13 here to look into safety issues that are within our jurisdic-  
14 tion and we will look into what Mr. Phifer said and what  
15 this all develops, whether any party wants to raise it or not.

16 But in addition, if a party wants to pursue it and  
17 wants to call him as a witness, I think the vehicle would be  
18 under this contention whereby some new feature comes out and  
19 then you make some sort of showing justifying its being brought  
20 into the case.

21 MR. WHAKTON: That is correct. That is how we  
22 anticipate doing it.

23 JUDGE KELLEY: It seems to me that it would be  
24 simpler and neater also not to, in terms of cross examination,  
25 to not get into those matters at this time.

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MR. WHARTON: I agree.

MR. BARLOW: Your Honor, for clarification, on the Section 3 in the outline and one of the questions in Section 2 are for the next witness, Perry Ehlig.

JUDGE KELLEY: I was going to ask you about the Elmo Dana fault. Is that Ehlig?

MR. BARLOW: I think he would be the one to ask about that, yes.

JUDGE KELLEY: All right, I think that is helpful. Let's take a 15-minute break and then take up again.

(Brief recess.)

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1 JUDGE KELLEY: Back on. We are back on the  
2 record. Mr. Barlow will resume with cross.

3 BY MR. BARLOW:

4 Q Mr. Smith, the next section of your testimony  
5 after A-B-C-D deals with geologic features found at trail 6.  
6 You say that these features on page 13 of your testimony, you  
7 say that these features, starting on -- let us start on line  
8 1, page 13, you say they were noted during 1977 by one of the  
9 geologists for the California Energy Commission, although the  
10 offsets were small, one or two feet, they occurred across  
11 planar fractures trending north-northwest, comparable with  
12 the attitude of the Cristianitos fault, and they were  
13 therefore suspected of being faults of tectonic origin.

14 Could you tell us how far these features are from  
15 the Cristianitos fault? Approximately?

16 A They are about two and a half to perhaps three  
17 miles, closer to two and a half miles, I think, downcoast  
18 from the coastal exposure of the Cristianitos fault.

19 Q And they trend north-northwest?

20 A Yes.

21 Q On page 14, the last paragraph, you say, while  
22 there are some planar fractures that trend north-northwest,  
23 the dominant strike is northwest, with some fractures  
24 striking --

25 A I am sorry, what line are you on?

2 1 Q Oh, line 20, is where it began. Dominant strike  
2 is northwest, then I am more interested in the next sentence,  
3 the trace of a link to the fractures responsible for the  
4 offsets is about 80 feet, and a northwest projection of them  
5 intersects the back scarp of the main landslide.

6 Now, if the northwest projection of these trail  
7 features intersects the back scarp of the main landslide,  
8 and if these fractures were caused by faulting or had  
9 tectonic origin, how would you be able to tell whether or not  
10 there is a fault beneath the back scarp of the main landslide?

11 MR. PIGOTT: The question of course is compound  
12 and complex, but I won't object if the witness has it in mind  
13 and thinks he can give a sensible answer, at least check with  
14 the witness's perception of the question.

15 JUDGE KELLEY: Do you think you can understand  
16 and respond to the question?

17 THE WITNESS: No, I am afraid it is not clear to  
18 me.

19 JUDGE KELLEY: Could you break it down, then, a  
20 little?

21 MR. BARLOW: Okay, certainly. Perhaps the next  
22 sentence would help put it in context. You say, beginning  
23 bottom of page 14, going to page 15, the bedrock marine  
24 terrace deposit contact is not exposed at the back scarp. Now,  
25 what I am interested in is how you analyze the back scarp of

3  
1 this main landslide and the northwest projection of the  
2 fractures, to distinguish whether or not there was faulting,  
3 whether the trail 6 fractures were of faulting origin or  
4 landslide origin.

5       A       Okay, the question is how did we analyze them to  
6 determine what is stated on pages 14 and 15. We analyzed them  
7 by a combination of geologic mapping in considerable detail  
8 on the ground surface, by the excavation of a number of  
9 backhoe trenches and hand-excavated trenches at critical  
10 points, and by examination of aerial photographs that were  
11 flown specifically for this study.

12             You repeated the directions a sufficient number of  
13 times that I think it implies some significance, and I would  
14 like to perhaps clarify that.

15             When those fractures were identified at the  
16 sea cliff, they indeed at the sea cliff trend north-northwest.  
17 However, the geologic mapping that was done showed that they  
18 are arcuate in plan, and curve farther to the west as they  
19 are traced away and into the cliff area, and landward, so  
20 that the strike is changing.

21             This curvilinear trend in plan coincides with the  
22 surface and the subsurface observations that we were able to  
23 make, to indicate that they lay along the shear zone of a  
24 large landslide, large landslide that is arcuate in plan,  
25 and also arcuate in profile.

4 1           The marine terrace platform, which is 5E, here is  
2 not exposed in the scarp of the landslide. It comes very  
3 close to being exposed, however. So as a result we cannot see  
4 the marine platform, but we can see the overlying non-marine  
5 terrace deposits which are many tens of thousands of years  
6 old, and certainly give us some -- some indication as to  
7 whether there are any planar features that cut those  
8 deposits as they are moderately well-exposed in the scarp  
9 itself, and the statements that you are reading from page 14  
10 and 15 was intended to describe that no offsets were found  
11 in the terrace deposits overlying the platform, and that  
12 farther away from the margin of the landslide, as was obvious  
13 from the mapping, and the aerial photo observation, no  
14 similar fractures or offsets could be found, either in type or  
15 in orientation, which led us to the conclusion that they were  
16 not faults, but landslide features.

17           Q       Would you agree that in California, faulting along  
18 fault zones often results in landsliding?

19           A       Well, if you are talking about the dynamic  
20 movement of faults causing landslides, it certainly has been  
21 known to occur. I think if you wish to imply here, perhaps,  
22 that movement along the fault, and the dynamics of that  
23 motion, caused this landslide, then I would have to say that  
24 is not applicable here, and would be entirely out of context.

25           The other element about the occurrence --



5 1 MR. WHARTON: Mr. Chairman, I don't believe the  
2 is answering the question as posed to him. There was a --

3 MR. PIGOTT: How do we know until he finishes it?

4 MR. WHARTON: -- as to whether he agreed or  
5 disagreed with a particular statement.

6 JUDGE KELLEY: The question was whether landslides  
7 go with faults, that perhaps oversimplifies it.

8 MR. BARLOW: The question was, does he agree that  
9 in California, faulting along fault zones often results in  
10 landslides.

11 JUDGE KELLEY: All right, and he was in the  
12 process of answering, and I think he should be allowed to  
13 complete his answer.

14 THE WITNESS: Well, it is a grossly simplified  
15 statement. There are many landslides along faults because  
16 of the weakened condition of the rock. There are orders of  
17 magnitude more landslides where there are not faults,  
18 because of the inherent weakness of the rock, combined with  
19 undermining by streams or ocean waves, which -- the latter  
20 of which is clear to the case here, and if you are talking  
21 just hypothetically, generally anyplace in the world, do you  
22 see landslides along faults, and of course that is true, but  
23 I would like to point out there are many places where faults  
24 do not have landslides, and more places where landslides are  
25 not associated in any way with faults.

6  
1 BY MR. BARLOW:

2 Q Is it possible for landslides to cover a fault?

3 A Again, if you are talking about anyplace  
4 hypothetically in the world, of course, that is possible.  
5 That is certainly not the case here.

6 Q Does this occur in California, where landslides  
7 cover faults?

8 MR. PIGOTT: I question the probative value of  
9 these questions unless they are tied in to the features in  
10 question.

11 MR. BARLOW: Your Honor, in -- on page 15, and  
12 in several of these features, the witness concludes that al-  
13 though the features could either be of tectonic origin or of  
14 landslide origin, he in all cases concludes that they are of  
15 landslide origin.

16 JUDGE KELLEY: When you say, Mr. Barlow, cover, do  
17 you mean -- I am not sure what you mean, the dirt just covers  
18 up the rupture in the surface, is that what you are asking?

19 MR. BARLOW: Yes, sir.

20 JUDGE KELLEY: And could you restate the last  
21 question, please?

22 BY MR. BARLOW:

23 Q Is it common in California that landslides cover  
24 faults?

25 MR. PIGOTT: I am going to object unless there is

7 1 some connection to what we are talking about here. These are  
2 just questions without any relevance.

3 MR. BARLOW: Okay, I will relate it to a page  
4 in the testimony, then.

5 JUDGE KELLEY: All right.

6 BY MR. BARLOW:

7 Q On page 15 of your testimony, you state, beginning  
8 on line 11, quote, "My conclusion from the investigation is  
9 that the displacements at trail 6 are of landslide origin,  
10 and are not faults of tectonic origin. Consequently, they  
11 are of no significance to the SONGS site." End quote.

12 Mr. Smith, is it possible that there could be a  
13 fault that was covered by a landslide, and you would not see  
14 the fault?

15 A You mean here at trail 6? Because if that is what  
16 you mean, I would have to say no.

17 Q Well, to lay a foundation for this, I asked the  
18 question, does this occur anywhere in California, to your  
19 knowledge?

20 MR. PIGOTT: My same objection. I don't think  
21 it is of any value of any relevance to talk about covering  
22 up --

23 JUDGE KELLEY: Well, aren't you -- isn't your  
24 question whether these landslides at these points that are  
25 being talked about, isn't your question whether they might have

1 been covered up, whether they might have covered up a fault?

2 MR. BARLOW: Well, yes, sir, but he has already  
3 expressed his opinion that in his opinion it is a landslide  
4 and not a fault, and the question is going to the possibility  
5 that it is a fault covered by a landslide, and to lay a  
6 foundation for that, I asked if -- does this occur in  
7 California, where faults are covered by landslides?

8 JUDGE KELLEY: Well --

9 MR. PICOTT: He answered that.

10 JUDGE KELLEY: I realize that he has expressed  
11 his opinion that these landslides were landslides, and not of  
12 seismic tectonic origin. I think, nevertheless, a fair  
13 question for you to ask, whether they might not -- these  
14 particular landslides might not have covered up a fault, and  
15 one can guess where the answer would be, but the question is  
16 fair enough, I think, if that is what you are trying to  
17 ask, and if you are not trying to ask that, then I don't know  
18 what you are trying to ask, and you had better move on.

19 MR. BARLOW: I am not sure if I understand which  
20 question you are ruling on, because I believe that the  
21 question that was objected to was, does this occur in  
22 California where a fault is covered by a landslide, and every  
23 time I asked --

24 JUDGE KELLEY: Right, and I will sustain that  
25 objection because we are not in the whole State of California.

1 We are at these particular points, but I am indicating, <sup>2756</sup> if  
2 you want to ask this witness whether or not it is possible  
3 that these particular points may have involved landslides  
4 that covered up a fault that that is an allowable question.

BY MR. BARLOW:

6 Q Mr. Smith, is it possible that the northwest-  
7 trending fracture zones observed at trail 6 could be caused  
8 by faulting that was covered by a landslide?

9 A No.

10 Q Why do you say it is not possible?

11 A Well, on the basis of all the observations we  
12 have made, from the surface mapping, the excellent exposure  
13 in the seacliff that was augmented by our trenching, all of  
14 my observations lead me to conclude that these fractures  
15 and these offsets are definitely part of a landslide. They  
16 are not part of a fault. It is not a matter of the landslide  
17 being -- covering up something. The mapping in this area is  
18 very detailed, at very large scale, and shows no evidence of  
19 faulting. In most places it shows demonstrable positive  
20 evidence of no faulting, particularly at the location of the  
21 fractures.

22 Everything we see is consistent.

23 Q You have investigated these features at trail 6.  
24 Have you also investigated the features at trail 5?

25 A I don't recall whether I have or not.

1 Q Are you aware of a letter from the California  
2 Divisions of mines and geology --

3 MR. PIGOTT: I am going to object at this stage to  
4 going beyond the scope of the issue. I don't know of any  
5 issue with respect to trail 5. I haven't heard any kind of a  
6 showing that we should be expanding this issue to trail 5.  
7 The objection is: going beyond the scope of the issue.

8 JUDGE KELLEY: The objection I will sustain.  
9 However, subject to your making some indication of where is  
10 trail 5 and how does it bear on trail 6, we are litigating  
11 trail 6. If trail 5 is in some -- is some possibly relevant  
12 in relationship to trail 6, then that might be a different  
13 matter, so with that, could you tell us something about trail  
14 5?

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1 MR. BARLOW: There's a letter from the  
2 California Division of Mines and Geology to the NRC  
3 Geosciences Branch regarding observation of possible  
4 faulting or landsliding. There's a debate whether it's  
5 landsliding or faulting at Trail 5, and there were field  
6 trips made there. I want to know if this witness was one  
7 of the people who investigated that.

8 MR. CHANDLER: Mr. Chairman, I do object to  
9 the characterization of that letter. I don't have a copy  
10 before me. My recollection of the substance of it is  
11 somewhat different apparently than Mr. Barlow's.

12 JUDGE KELLEY: Is Trail 5 nearby? Can you give us  
13 some idea of where it's located?

14 MR. BARLOW: Yes, Your Honor. It is nearby.  
15 It's the next trail in the San Onofre State Park. But  
16 I've been advised that we don't have any evidence of it  
17 being directly linked to the features at Trail 6 and it  
18 may come under the Section 3 of Additional Features.

19 MR. CHANDLER: Mr. Chairman, I have a copy now.  
20 I'd be happy if the Board wants to look at it --  
21 we can even make it an exhibit.

22 "In conclusion, it appears that the offset  
23 feature is the result of the intersection of a slip plane  
24 with the wall at the arroyo and is not a fault feature of  
25 tectonic significance." And it continues.

2  
1 MR. WHARTON: Mr. Chairman, I believe it would  
2 be best if we leave this particular area of Trail 5, what  
3 the connection is, not because of the letter. I was  
4 already going to state that. It doesn't appear to be  
5 covered by the Trail 6 issue at this time.

6 JUDGE KELLEY: Okay.

7 MR. PIGOTT: I'd like to be on record as  
8 agreeing with Mr. Wharton.

9 MR. WHARTON: Let us note that for the record.

10 (Laughter)

11 MR. CHANDLER: In view of the opposition of  
12 the Applicants and the Intervenors, I withdraw my suggestion.

13 JUDGE KELLEY: Let the reporter type that  
14 in solid caps.

15 Okay. Go ahead.

16 BY MR. BARLOW:

17 Q The next section of your testimony, Mr. Smith,  
18 deals with the Horno/Dead Dog Canyon offsets beginning on  
19 Page 15. You were asked in Question 25, beginning Line 16,  
20 "Would you describe the features known as Horno/Dead Dog Canyon  
21 offsets." You say, "Offsets of the 125,000-year-old bedrock  
22 marine terrace contact exists near the mouth of Horno and  
23 Dead Dog Canyons approximately five miles southeast of the  
24 site."

25 First of all --



3

1 MR. PIGOTT: I'm sorry. Did you say Line 16?  
2 Were you reading from Line 16?

3 MR. BARLOW: Page 15 beginning Line 16.

4 MR. PIGOTT: Oh, I'm sorry. Okay.

5 MR. BARLOW: Question and answer.

6 THE WITNESS: If you give me just a moment,  
7 I'd like to read it.

8 BY MR. BARLOW:

9 Q Sure.

10 A Yes, I've read it.

11 Q First of all, could you tell us approximately  
12 how far apart Horno and Dead Dog Canyons are?

13 A I would say about two miles.

14 Q Okay. Could you describe for us the offsets  
15 of the terrace and tell us why you reached the conclusion  
16 that these are results of landslides rather than faulting?

17 A The conclusion was the result of the combined  
18 investigations of the Horno/Dead Dog Canyon area and the  
19 coastal seacliff that included detailed geologic mapping  
20 and examination of aerial photographs and included in the  
21 detailed mapping is very careful examination of the seacliffs  
22 and the canyon walls where offsets at various places can be  
23 observed as though they were exposed in trench walls.

24 Figure JLS-W is an aerial photograph -- and  
25 oblique aerial photograph of the mouth of Horno Canyon.

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1 Dead Dog Canyon is not observable here. It's just off the  
2 photograph to the right, but we see again the generally  
3 arcuate topography, hummocky expression of the ground  
4 surface accompanied by down-dropped and backward rotated  
5 surfaces typical of landslides. Geologic mapping was able  
6 to correlate offsets of the terraces with fractures that  
7 are curvilinear both in plan and in section coincide with  
8 the margins or internal parts of various landslide blocks.

9           The exposures revealed no evidence of faulting.  
10 The mapping of terrace and bedrock units revealed an  
11 absence of faulting. The topography and all of the  
12 observations together was consistent with sliding.

13           And, for that reason, the conclusion was  
14 reached that the offsets are the result of landsliding.

15           Q.     How far inland was Horno Canyon explored for  
16 faulting?

17           A.     Horno Canyon was investigated relative to the  
18 disturbance by the landsliding and any other features that  
19 we could examine for -- well I don't have a dimension  
20 specifically and I don't have a map of adequate scale to  
21 pick it off, but it was equal to a distance -- it was a  
22 distance at least twice the depth of the arcuate features  
23 that we see in figure JLS-W. And, if I estimate from that,  
24 I would say something on the order of 1,000 feet, perhaps  
25 more.

5

1 Q. Therefore, did it not go east of the freeway?

2 A. No.

3 Q. Did you examine aerial photos of the marine  
4 terraces on both sides of the freeway?

5 A. In general, I have in the past, but not in  
6 the context of investigating the landslides at Horno and  
7 Dead Dog Canyon.

8 Q. You did not.

9 Are you aware of an echelon faulting patterns  
10 on the marine terraces north of Horno Canyon and parallel  
11 to the Canyon?

12 A. No, I'm not.

13 Q. On Page 16 of your testimony, you begin  
14 discussing Target Canyon offset and, on the bottom of Page 17,  
15 beginning Line 24, you say, "The displacements are chiefly  
16 dip-slip normal with minor apparent horizontal and reverse  
17 slip on some shears."

18 Is this the same sort of displacement that's  
19 observed on the Cristianitos fault, dip-slip normal?

20 MR. PIGOTT: I'm sorry. Is the question --  
21 it seems to have changed. What is the question, Mr. Barlow?

22 MR. BARLOW: Let me rephrase the question.

23 BY MR. BARLOW:

24 Q. Does the Cristianitos fault have dip-slip  
25 normal faulting?

1 A. Yeah.

2 Q. Or dip-slip normal displacements?

3 A. Yes.

4 Q. Is it possible that the dip-slip normal  
5 displacements in Target Canyon offsets are of the same  
6 origin as the displacements on the Cristianitos fault?

7 A. I don't know.

8 Q. Okay. Moving on to Page 18, Line 17, you  
9 say the gradual dying out upward of the displacements  
10 tends to support this possibility of landsliding, I assume,  
11 rather than that of fault origin which would more likely  
12 have displacements indicating abrupt, episodic movements.

13 Then you're asked, "What conclusions do you  
14 draw from your investigation?" You say, "At this time, a  
15 conclusion that these offsets have either a tectonic or  
16 non-tectonic origin can be supported.

17 And then you go on to state that your opinion is  
18 that it's non-tectonic.

19 What evidence is there that indicates a possible  
20 interpretation of the offsets as being of tectonic origin?

21 A. Well very little, really. The only suggestion  
22 would be their discontinuous, linear distribution with  
23 individual shears being quite widely separated from each  
24 other and not forming a systematic joint or fracture  
25 pattern, but displaying vertical offset relatively far

7  
1 removed from one another.

2           The evidence against faulting of a tectonic  
3 origin is greater, in my opinion -- and that's why I said  
4 the weight of the evidence supports a non-tectonic origin.

5           Q       Would part of the evidence for tectonic origin  
6 be the fact that there are displacements with chiefly  
7 dip-slip normal displacements?

8           A       That's part of it because we have exposed in  
9 the bottom of the Canyon some San Onofre breccia, a small  
10 nob that has an orientation similar to the general trend  
11 of the shears that we see and it exists in that area  
12 as a stratigraphic high across which the layers of the  
13 Monterey Formation were deposited. And it's a rather  
14 sharp, ridge-like feature. The overlying sediments are  
15 much softer and incompetent compared to the hard and  
16 well-cemented San Onofre Formation.

17                   The orientation of the shears is roughly  
18 parallel to this elongate, varied ridge, if we can call it  
19 that. The sense of slip on either side is compatible with  
20 settlement and differential compaction on either side  
21 across that ridge so that the inclination of these minor  
22 faults parallel to the ridge. These minor shears are such  
23 that they dip away from the ridge, indicating greater  
24 movement downward on either side of the ridge and less  
25 movement across the crest of the buried ridge.

8

1           The fact that these shears did not display  
2 episodic type different displacements of overlying sediments  
3 of different age suggest that the shearing took place  
4 over some period of time but of a more continuing nature  
5 rather than as short, jerking, stick-slip motions as is  
6 common along faults.

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T19 1 It also suggests that the downward motion,  
2 presumably through compaction across this high ridge, ceased  
3 at a certain point in time, some tens of thousands of years  
4 ago; I don't know exactly when, when either no more compaction  
5 could be accommodated by the sediments or there was no further  
6 load to drive the compaction above them, because they clearly  
7 die out before they reach the ground surface.

8 Added to that are the observation that if one  
9 wants to assume perhaps that these a fault, you project it  
10 to the north, where we have some exposures across the highway  
11 to the east.

12 Dr. Ehlig's mapping has shown a number of strati-  
13 graphic horizons in the hillside there, which appear to be  
14 continuous across the projected trend of these features,  
15 suggesting that if it is a fault, it doesn't go that far, or  
16 its displacement is so small that it doesn't offset these  
17 continuous horizons.

18 I think that is the majority of reasons that I  
19 felt tended to support the non-tectonic origin more than the  
20 tectonic origin.

21 Q If these features were of a tectonic origin, would  
22 they have been formed by a similar stress mechanism as that  
23 which formed the Cristianitos fault zone?

24 MR. PIGOTT: I am sorry, but by "similar," you  
25 are not putting it in any time relationship; is that correct?

2  
1 MR. BARLOW: That is correct; only the stress  
2 mechanism.

3 JUDGE KELLEY: Aren't stress mechanisms, at least  
4 in some sense, a function of time?

5 MR. BARLOW: Well, in geologic time, you have  
6 alternation and stress mechanism.

7 JUDGE KELLEY: That is what I was thinking of.

8 MR. BARLOW: You could get this same stress  
9 mechanism at different times, as I understand it.

10 JUDGE KELLEY: Yes.

11 THE WITNESS: I don't think you can really tell  
12 here at Target Canyon the nature of the shears. Their short,  
13 discontinuous nature just doesn't give you enough information,  
14 for me at any rate, to decide on what tectonic mechanism might  
15 be producing them. Perry Ehlig would be a better one to  
16 answer that, since I think he is aware of the features in this  
17 map and the region.

18 BY MR. BARLOW:

19 Q In your opinion, could these features be a result  
20 of wrench faulting?

21 MR. PIGOTT: I am going to have to ask for a  
22 basis of what we are talking about when you are using the  
23 term "wrench faulting."

24 BY MR. BARLOW:

25 Q Were you here yesterday when we discussed the



3

1 definition of "wrench faulting" with Dr. Greene?

2 A It was a long discussion. I recall that. So I  
3 was definitely here.

4 Q As I understand it, "wrench faulting" include --  
5 well, looking back at your testimony, on Page 17, you indicate  
6 displacements of dip/slip normal, horizontal and reverse slip  
7 on some shears and, as I recall, the definition of "wrench  
8 faulting" included all three of these type of displacements  
9 and shears.

10 A Well, the definition of "wrench faulting" included  
11 a lot more things than that, as well, depending upon the  
12 context in which one uses it. I don't think that it is proper  
13 to identify them as typical of wrench faulting simply because  
14 they have certain orientations and certain senses of slip.  
15 I think it is necessary -- in the context, it is important  
16 for us here to know the mechanism and the structural connections  
17 between various features in order to come to some conclusion  
18 on wrench faulting.

19 Q If you projected the strike of these features to  
20 the south, would they extend offshore?

21 A If you simply projected the direction? Is that  
22 your question?

23 Q Yes.

24 A Yes. Their strike is oblique to the coastline,  
25 so any southerly projection would take them offshore. But we

4 1 only have one feature exposed, as shown on JLS-2, that even  
2 reaches the seacliff.

3 Q Okay, thank you.

4 MR. BARLOW: I have no further questions of this  
5 witness, Your Honor.

6 JUDGE KELLEY: Mr. Chandler.

7 CROSS-EXAMINATION

8 BY MR. CHANDLER:

9 Q Mr. Smith, at Page 5 of your testimony, and again,  
10 I believe at Page 15 of Exhibit 25, JLS-1, you describe a  
11 length of about 800 feet to the longest of the A-features,  
12 and I believe you, in response to a question to Mr. Barlow,  
13 gave some explanation of that length.

14 Could you, if you would one more time, explain  
15 what the basis for 800 feet was?

16 A In very simple fashion, it was the measurement  
17 off of one of the maps where the A-features were plotted, to  
18 measure their longest exposed length. As I explained further,  
19 and as perhaps implied on Page 15 in the exhibit by the  
20 Features portions that are identified in the parenthesis  
21 as A, A-1, A-2, A-3, that this is an additive length of  
22 individual A-features and portions of A-features.

23 So that there really is no A-feature that is  
24 800 feet long. There really is no A-feature that is more than  
25 several tens of feet long without interruption by the B-features.

5 1 Q Are you suggesting that the B-features broke up  
2 what was previously a continuous A-feature into separate  
3 segments, or rather that the A-features were formed separately  
4 and are thus additive?

5 A I am not sure there -- I don't think there ever  
6 was one continuous, long A-feature. The A- and B-features  
7 occurred simultaneously as a result of compression with the  
8 almost simultaneous formation of one, so that a slight amount  
9 of offset, greater on one, would cause offset of the other.

10 As that compressional stress is relieved and  
11 accumulates, the other feature could slip and offset the other.  
12 This is displayed in some of the figures; not only in the  
13 testimony, but in the exhibits. It is described as "mutual  
14 offsetting." The A-features offset the B-features, and vice  
15 versa. It is a mutual offset, and it indicates a number of  
16 episodes of readjustment of the San Mateo formation to  
17 compressive stresses.

18 Q Just to help me out for a moment, if we look at  
19 Figure JLS-N and we locate, say, feature A-6, which is up  
20 on the upper left-hand portion near Number 84, do I understand  
21 you to be saying that you would not trace A-6 as a single,  
22 through-going feature to where A-6 appears at the bottom to  
23 the right of the word "excavation?"

24 A Yes, that is right. At this scale, it would appear  
25 to be continuous, but at much higher magnification it would not

6 1 be, and I can explain that by first referring you to Figure  
2 JLS-P in my testimony, which is a photograph of an A- and B-  
3 feature.

4 Now, the white streaks that you see in the photo-  
5 graph that are parallel to the bottom of the figure and trend  
6 from left to right, they represent a B-feature.

7 The diagonal white streaks that you see going from  
8 the upper left to the lower right represent an A-feature. You  
9 can see at the intersection there, which is an X-shaped or  
10 cross-shaped intersection, that the boundaries or the A-feature  
11 do not exactly match -- the A-feature above the B-feature do  
12 not exactly match across it, indicating an offset, a right  
13 lateral offset.

14 But if you look at the B-feature, you will see that,  
15 as it passes the A-feature, it doesn't exactly match, either.  
16 So what this indicates is, there has been a series of mutual  
17 offsets, of first A over B, and B over A, and the latest  
18 movement, as indicated here, would be, the last shift or  
19 adjustment here was such that B offset A.

20 Now, that is not the case at all intersections,  
21 but there are many intersections where B offsets A.

22 Q So, in short, at no time -- if we are looking,  
23 for example, at JLS-P, at no time was the A-feature depicted  
24 here a single, through-going feature?

25 A Yes, I think that is correct.

7  
1 Q On Page 6 of your testimony, you mention areas  
2 outside the San Onofre site at which the A- and B-features  
3 have been found. I believe, in response to some questions  
4 by Mr. Barlow, you drew on, or you made reference to Drawing 25  
5 of JLS-1 and indicated two additional areas in which these  
6 A- and B-features were found; Areas 3 and 4. Is that correct?

7 A Yes.

8 Q We located Area 3 approximately at Number 13; is  
9 that correct?

10 A No.

11 Q All right.

12 A The Number 3 area would be a circle having a radius  
13 of something on the order of a quarter mile. That would be  
14 on the ridgecrest of the ridge that is north of that Number 13  
15 you see on the map. It is the ridgecrest lying between San  
16 Onofre Creek on the south and San Mateo Creek on the north.  
17 It is a northeast/southwest-trending ridge. That ridge is  
18 crossed by a pair of lines with dots along it on this map,  
19 which is the transmission line, and where it crosses the  
20 crest of the ridge, there is a vicinity of about a quarter-  
21 mile radius where a number of A- and B-features are exposed.

22 Q That would be just northeast of Elevation 100?

23 A I am going to challenge my eyesight here, now.

24 Q Or is it at the 200-foot elevation?

25 A Oh, no. It is up on the crest of the ridge,

1 generally above Elevation 300.

2 Q Okay.

3 A It is not down on the flank of the ridge at 100,  
4 or where the Number 13 is.

5 Q Okay. And Area 4 was essentially northwest of the  
6 word "San" in "San Onofre?"

7 A That is right, and below the letters "BM."

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1 A It is up on the crest of the ridge generally above  
2 elevation 300. It is not down on the flank of the ridge at  
3 100 or where the number 13 is.

4 Q But Area 4 was essentially north of the word San  
5 in San Onofre?

6 A That is right, and below the letters, B M.

7 Q Now I believe in JLS-3 at page 3 under the paragraph,  
8 "Description of Investigation," you have a number of items  
9 identified, the fourth one being, mapping of features in  
10 San Mateo formation at two areas outside of site property.  
11 Does that have reference to Areas 1 and 2?

12 A Yes.

13 Q Does JLS-3 represent a report prepared by Fugro  
14 for submission to the Nuclear Regulatory Commission?

15 A Yes.

16 Q That is also true of JLS-1 and 2?

17 A Yes.

18 Q Were Areas 3 and 4 ever identified in JLS-1, 2 or 3?

19 A I don't believe so.

20 Q Can you explain why those areas were not included?

21 A No, I don't know the reason for that. It would be  
22 speculation on my part. We were not aware of the features at  
23 that time, particularly in Area 3.

24 Q When were the Area 3 and 4 identified, if you know?

25 A I don't know exactly. I have observed them on two

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1 or three occasions in that vicinity. The last time was in  
2 early this year. The earliest time would have been in the pre-  
3 ceding one or two years.

4 Q To your knowledge, was the existence of Areas 3  
5 and 4 ever provided to the Staff in the form of a report or  
6 the SFAR Applicant's Exhibit No. 1?

7 A I am not aware that it was. We never prepared a  
8 report on it. Fugro never did. I was never involved in any  
9 report preparation. The observations there were essentially  
10 of a confirmatory nature. The exposures at 1 and 2 were really  
11 excellent and I saw no reason to prepare any reports regarding  
12 them.

13 Q Were Areas 3 or 4 trenched at all?

14 A No, only insofar as the access roads for the trans-  
15 mission lines would represent excavations to expose them.

16 Q But not deliberate trenching with respect to A and  
17 B features?

18 A That is correct.

19 Q You talk about the ages of these features in your  
20 testimony, for example pages 9 and if you look at page 22 of  
21 JLS-3. Is it fair to summarize your conclusion that the  
22 A, B, C, D features are capped by the 120 or 125 thousand-year-  
23 old marine terrace?

24 A Yes.

25 Q Is that true at all areas, including Areas 3 and 4?



ghp 3

1           A       It is certainly true at 4. I don't know if it is  
2 true at 3 because that marine terrace platform doesn't exist  
3 at 3 and we have not tried to trace the features to the nearest  
4 location of the terrace platform.

5                    Let's see, you asked me about 1 and 2 as well; is  
6 that correct?

7           Q       Yes.

8           MR. PIGOTT: I am sorry, didn't you start by saying  
9 that 1 and 2 were capped? I have lost the thread of this  
10 questioning, Mr. Chairman, I am sorry.

11           MR. CHANDLER: Well, the question was, I think,  
12 quite simple. I just wanted to assure that in all areas we  
13 are talking about the same thing.

14           MR. PIGOTT: Okay.

15           BY MR. CHANDLER:

16           Q       Was any dating work done in Area 3?

17           A       No.

18           Q       Page 10 of your testimony, just one final ques-  
19 tion, you have used the word, joint, on a number of occasions  
20 in your testimony and in your response to a number of  
21 Mr. Barlow's questions. Could you first define a joint?

22           A       Yes, I can. A joint is a fracture across which  
23 there is little or no dislocation parallel to the orientation  
24 of the fracture.

25           Q       No offset?

ghp 4

1           A       Little or no. In a very strict definition accor-  
2           ding to some glossaries and textbooks, joints are defined as  
3           having no displacement, but in structural geologic textbooks  
4           that address joints in the field, particularly conjugate joints,  
5           it is not -- that is not a practical definition. There will  
6           always be a very small amount of displacement along joints.

7                     There are different kinds of joints, of course.  
8           Conjugate joints, in particular, are formed by compression.  
9           That compression and the subsequent yielding to produce the  
10          cross-shaped intersections are implicitly indicated so it must  
11          be sheer or offset. The question is, is it a small amount.

12          Q        I have just one more question. I am afraid I am  
13          going to take you back to the length question one more time.  
14          I believe you responded to Mr. Barlow that in certain areas  
15          trenching is either impractical or just impossible; is that  
16          correct?

17          A        That was north of the site to trace the A features,  
18          yes.

19          Q        Given a lack of ability to trace features in cer-  
20          tain areas, what assurance do you have that there may not be  
21          some offset below a level which is observable? That is to say,  
22          I think we have heard testimony on other features that we have  
23          been considering in this proceeding where certain surface  
24          manifestation just doesn't exist.

25          A        Part of the reason is that the motion on these

ghp 5

1 features is strictly horizontal. There is positive evidence  
2 against vertical so that the kind of compressive forces that  
3 would tend to cause displacements are being exerted over a  
4 large area and that movement on an A feature at one location  
5 would be observable at another location.

6 Let me put it this way. The movement at one part  
7 of the A feature should result in movement at another part of  
8 the A feature so that when you are able to put a cap on that  
9 movement as represented by the 5-E, then you can effectively  
10 preclude any motion having occurred along that feature anywhere.

11 One would not expect to find no movement on part  
12 of an A feature and sub-movement on another part of an A feature.

13 MR. CHANDLER: Thank you; I have no further ques-  
14 tions.

15 JUDGE HAND: I have one question, Mr. Smith. I  
16 have lived in California long enough to know that slumps,  
17 slips and landslides get into the headlines every so often.  
18 Highway 1 falls in, a bunch of houses on the top of a sea  
19 cliff start down toward the seashore and maybe it is inland  
20 from the seaside.

21 These nuclear units are sitting in an area that  
22 apparently is prone to slumping in some sense all the time.  
23 What assurance do we have that the whole site isn't going to  
24 slump?

25 WITNESS SMITH: I think that is a very pertinent

ghp 6

1 question. The evidence at the site as depicted by the  
2 San Mateo formation and the S age 5-E marine terrace platform  
3 indicates not only has landsliding not been occurring except  
4 in a very gross regional sense as Dr. Ehlig has described, but  
5 it certainly hasn't been occurring for in excess of 125 thousand  
6 years in response to whatever forces may be continuing to work  
7 on this region of Southern California, be it tectonic forces  
8 or heavy rainfall which is the mechanism, together with gravity,  
9 that is responsible for most of the sliding.

10           Secondly we have a formation that is quite solid.  
11 It is very firm. It is an excellent foundation rock for the  
12 site. There is abundant evidence of no landsliding involving  
13 that rock at the site or in the immediate vicinity.

14           The landslides that you refer to in the region  
15 clearly are occurring where the softer portions of the sedimen-  
16 tary section represented by the Capistrano formation and the  
17 Monterey formation are undermined along the coastline or along  
18 the gullies by erosion, either due to the ocean or streams.

19           Also the gravity needs some topographic relief for  
20 the ground to move in the form of a landslide. We are in an  
21 area of low relief, both along the coast on shore as well as  
22 off shore.

23           As I indicated in my testimony the first day, the  
24 slope of the sea floor for several miles out to the edge of the  
25 shelf is very very flat, only a percent or two of slope.

ghP

1 So there isn't really anyplace for anything to go  
2 and really no place for it to come from.

3 JUDGE HAND: The Chairman just asked me if I could  
4 call a three-minute break. I think you have answered my ques-  
5 tion and we will be back in just a moment. Thank you.

6 (Brief recess.)

7 JUDGE KELLEY: Back on the record.

8 I have just one question, maybe more, for the  
9 reassurance of the record. You testified that the A and B  
10 features were 125-thousand-plus years according to the location  
11 of the marine deposit, I believe, a marine terrace.

12 I don't know that we need to get into any elaborate  
13 explanation, but does the record and perhaps your exhibit set  
14 forth the age dating technique that was used here?

15 WITNESS SMITH: The age dating technique for the  
16 A and B features?

17 JUDGE KELLEY: Well, to get to the 125,000, right.  
18 You explained that the carbon technique didn't work past 30  
19 thousand or so and you mentioned a couple of other techniques,  
20 but what technique was used to establish that date?

21 MR. PIGOTT: Excuse me, Mr. Chairman, I think that  
22 will probably be established by Dr. Roy Shlemon, whose testi-  
23 mony does go to the age of those terraces. I won't answer for  
24 the witness but I believe he does rely on that testimony.

25 WITNESS SMITH: That is correct.

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1 JUDGE KELLEY: You do look to Dr. Shlemon?

2 WITNESS SMITH: Yes.

3 JUDGE KELLEY: Fine.

4 WITNESS SMITH: My testimony did not directly describe all the techniques.

5  
6 JUDGE KELLEY: I knew it didn't and I just wondered  
7 whether that was coming and now I know. So thank you very  
8 much, Mr. Smith.

9 Do you have redirect or will you have redirect?

10 MR. PIGOTT: I would like again the liberty of the  
11 evening recess.

12 JUDGE KELLEY: Very well, you are excused, at least  
13 for today.

14 (Mr. Smith leaves stand.)

15 JUDGE KELLEY: Do you have your next witness here?

16 MR. PIGOTT: Yes, I do.

17 JUDGE KELLEY: Why don't we go ahead and at least  
18 get through the overview part and I don't know -- well, we will  
19 see where that takes us. We are prepared to go for a while yet  
20 this afternoon but not too terribly long.

21 MR. PIGOTT: I will call as Applicant's next witness  
22 in order Dr. Perry Ehlig; actually recall Dr. Perry Ehlig to  
23 the stand.

24 /////

25 /////

ghp 3

1 Whereupon,

2

PERRY EHLIG

3

was recalled as a witness herein, and having been previously  
4 duly sworn, was examined and testified further as follows:

5

## DIRECT EXAMINATION

6

BY MR. PIGOTT:

7

Q Dr. Ehlig, you were previously sworn. Would you  
8 identify yourself for the record?

9

A Yes, I am Perry L. Ehlig.

10

Q And you did, in fact, appear earlier and testify  
11 on another issue before this board?

12

A That is right.

13

Q Do you have before you a document entitled,

14

"Testimony of Dr. Perry L. Ehlig," consisting of four pages of  
15 questions and answers and one figure entitled, PLE-Q? Do you  
16 have those before you?

17

A Yes, I do.

18

Q Do you have any corrections to make in either the  
19 text or the figure?

20

A No, I don't.

21

Q And if you were asked those questions, would your  
22 answers be the same today?

23

A Yes, they would.

24

MR. PIGOTT: I would ask that the identified testi-

25

mony of Dr. Perry L. Ehlig be admitted as evidence in this

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1 proceeding.

2 MR. CHANDLER: No objections.

3 JUDGE KELLEY: Hearing no objections, so ordered.

4 MR. PIGOTT: I might note that all of this testi-  
5 mony has been previously provided to the Court Reporter and has  
6 been copied into the transcript to this time.

7 JUDGE KELLEY: Yes.

8 BY MR. PIGOTT:

9 Q Dr. Ehlig, do you have a general description of  
10 the testimony you are presenting at this time?

11 A Yes, it deals with the E and F faults.

12 Q Would you proceed?

13 A This is the Figure PLE-Q and it is taken from my  
14 1977 geologic map which I understand is in the FSAR.

15 The plant site is located on the coast. The E fault  
16 runs along this line and the F fault over here. The F fault  
17 can be mapped relatively accurately for a distance of approxi-  
18 mately 2,000 feet.

19 It has dropped down the unconformity or contact  
20 between the Monterey formation and underlying San Onofre braccia  
21 approximately 25 feet with the west side of the fault down.

22 The fault strikes approximately north 15 degrees  
23 west.

24 The fault is well exposed in this area where  
25 quarrying of sand which forms the base of the Monterey has



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1 exposed the unconformity between the Monterey and underlying  
2 San Onofre braccia.

3 The quarrying operations have removed the sand  
4 right to the unconformity and at the rear of the quarry face  
5 the fault itself is exposed and then above that quarrying has  
6 again removed the sand to the base of the unconformity.

7 The fault plain that is exposed has slickened sides  
8 in various directions but predominantly they are down-dipped.  
9 It is a normal fault which dips steeply to the west and again  
10 it has approximately 25 feet of displacement.

11 The fault cannot be traced at least on surface  
12 exposures beyond the point indicated by the pointer here where  
13 the most northerly exposure of the Monterey formation occur.  
14 Beyond that it would be entirely within San Onofre braccia  
15 where, last seen, it has a relatively small displacement, less  
16 than 25 feet as far as its displacement of the unconformity  
17 and there is no expression in the topography beyond that point.

18 To the south of its occurrence in the quarry  
19 it is overlaid by non-marine terrace cover that rests above  
20 the 125-thousand-year-old erosional bench of marine origin.

21 The E fault is approximately 2,000 feet further  
22 west. It can be mapped relatively accurately for a distance  
23 of a little over 4,000 feet. Again, it down drops, or in this  
24 case, it down drops the unconformity between the Monterey  
25 formation in the San Onofre braccia down on the east side.

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1 So it is just the reverse of the down drop on F fault.

2 The unconformity does not extend to the fault on  
3 the west side so it is necessary to project the unconformity  
4 from exposures further to the west along the flank of the hill.  
5 When those are projected upwards and then distances taken be-  
6 tween the unconformity on either side of the fault, it would  
7 be between 300 and 400 feet of dip separation.

8 The fault dips eastward. It was exposed in a trench,  
9 in a trench that runs across a ridge here. The trench exposed  
10 two possible traces of the fault. One was rather steeply  
11 dipping to the east and the other one was dipping to the east  
12 at about 45 degrees.

13 That particular trench was cut in an area where  
14 there is a marine bench, wave cut bench, which has some gravel  
15 on it -- some old marine gravel -- however, it seems not to be  
16 in place, but there is a well-developed soil horizon that is  
17 rich in hematite on top of the bench.

18 The soil horizon and the bench appear to be un-  
19 disturbed by faulting. The bench at that location stands at  
20 about 360 feet above sea level.

21 Marine bench at that position is probably on the  
22 order of 300 thousand or more years of age. Dr. Shlemon can  
23 go into the basis for this. Very roughly the coastal bench has  
24 been dated at approximately 125 thousand years old.

25 In using sea level curves based on oxygen isotope

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1 determinations, the previous high stand and sea level, a major  
2 one, occurred at what is called Stage 9, which would be approxi-  
3 mately 300 to 350 years ago.

4 Another stage is Stage 11, which is about 400 to  
5 450 thousand years ago.

6 The stacking of marine benches in this area sug-  
7 gests that this bench would be at least Stage 9, and conse-  
8 quently at least 300 thousand years old.

9 As one follows the fault to the north, there are  
10 no other marine benches that it crosses. However, there is no  
11 topographic expression whatsoever along it except that which is  
12 due to erosion or soft parts of the Monterey formation.

13 When one goes beyond this point there is no ex-  
14 pression of a fault along here. However, further west there  
15 is another area where a small amount of the base of the  
16 Monterey formation has been down dropped.

17 In my original mapping I projected a fault across  
18 to that point, connected with that one, and then there is  
19 another very small spot of Monterey far over toward San Onofre  
20 Canyon and I projected the area to the west of it is up and I  
21 projected the fault over to that.

22 There is only one natural exposure of the fault.  
23 That is on this ridge. The fault dips steeply to the east.  
24 It has slickened sides on the fault surface which are trending  
25 down dipped, so again I concluded it is a normal fault.

1           We cut a trench through this area. It exposed  
2 the fault, but because of the very deep weathering, the  
3 slickensides were not preserved, and we were not able to get  
4 any data on the direction of movement along that trench. That  
5 was also true of the other trench I mentioned. It was not  
6 possible to get information on the direction of movement.

7           The combination of the two faults indicate a  
8 small block of the San Onofre breccia has dropped down. It  
9 would be what we might term a Groeben structure.

10          The dating on the age of the faulting is fairly  
11 open between about the age of the Monterey, which in this area  
12 is 14 to 15 million years old, fairly precisely dated by micro-  
13 fossils, and the terrace that I mentioned, which is probably  
14 older than 300,000 years.

15          The best estimate as to the age is that it probably  
16 is about the same age as the Cristianitos fault; within the  
17 age range of 4 to 10 million years ago and may well be the  
18 result of bending down of this area during the early stages  
19 of development of the Cristianitos fault.

20          The Cristianitos fault started by slippage along  
21 its base, along a bedding plain, and then propagated upward.  
22 It is very common, when landslides do this sort of thing,  
23 that there will be cracking along quite a few traces near  
24 the ground surface as the ground surface is stretched, as  
25 the material starts to move out, and then one crack will

2  
1 propagate to the surface and become the main slide head, and  
2 the others that are most upslope from it will be simply be  
3 abandoned. That is one possible way in which this may have  
4 formed.

5 MR. PIGOTT: The witness is tendered for cross-  
6 examination.

7 MR. WHARTON: Mr. Chairman, we would like to do  
8 cross-examination tomorrow, so that we could do it all at one  
9 time. Mr. Barlow indicated to me it would be at least an hour.  
10 He has been cross-examining all day. It is 10 after 5:00.  
11 I would suggest we do it tomorrow.

12 JUDGE KELLEY: Well, the Board, too, needs to save  
13 a little bit for this evening's activities.

14 MR. PIGOTT: One minor thing. I believe we would  
15 like to reflect circulation to the parties of the forms of  
16 the stipulation of issues with respect to emergency planning.

17 JUDGE KELLEY: That would be helpful, and then  
18 perhaps you could state exactly who stipulates and --

19 MR. PIGOTT: I was looking for Mr. Casey, who has  
20 to do that.

21 They will be circulated if we need further  
22 explanation on the record tomorrow morning.

23 JUDGE KELLEY: Yes, I think that would be okay.

24 I might just state for the record that we are  
25 going to adjourn now and come back here at 7:30 for a continuation

3  
1 of our limited appearance session of the other day. The  
2 Board will be here. Will we have the pleasure of your company,  
3 Mr. Pigott?

4 MR. PIGOTT: There will be representatives from  
5 the Applicant.

6 MR. WHARTON: I believe Mr. Carstens will be here.

7 JUDGE KELLEY: Okay. And Mr. Chandler, we can  
8 count on you, I know?

9 MR. CHANDLER: You can count on me, Mr. Chairman,  
10 and Mr. Rood will also be here.

11 JUDGE KELLEY: Fine. Here is Mr. Casey now, if  
12 he wants to talk a bit about his stipulation.

13 MR. CASEY: Mr. Chairman, in view of the decision  
14 to just submit this thing, I did want to come back on the  
15 record and present the Board and the parties with the result  
16 of rather lengthy negotiation on GUARD's and the Applicant's  
17 stipulated contentions.

18 JUDGE KELLEY: Yes. We need to have on the record  
19 just what these papers represent and where we are, and so  
20 please do tell us.

21 MR. CHANDLER: Could we go off the record for a  
22 couple of minutes, Mr. Chairman?

23 JUDGE KELLEY: Yes.

24 (Recess.)

25 JUDGE KELLEY: Back on the record.

4 1 MR. CASEY: Mr. Chairman, I have presented the  
2 parties and the Board with two forms of stipulation on GUARD's  
3 contentions.

4 Let's go back a little bit.

5 GUARD was basically agreeable to the proposed  
6 consolidated Intervenor's contentions which we proposed at  
7 the final pre-hearing conference but wanted to have more  
8 opportunity to review them.

9 They then filed a brief, their earthquake brief,  
10 and attached to it revised contentions, and then that led to  
11 further negotiation, and the product of that negotiation is  
12 now in front of you.

13 The first form of stipulation is a form of  
14 language which is agreeable to Applicants and GUARD.

15 JUDGE KELLEY: Would you differentiate between  
16 the two documents? Which one are you referring to?

17 MR. CASEY: Well, there is one document in front  
18 of you which shows that it is a stipulation only between  
19 Applicants and GUARD, and the other document, almost identical,  
20 indicates that it is a stipulation between Applicants and the  
21 Nuclear Regulatory Commission.

22 JUDGE KELLEY: Line 4 has typed in, "NRC," or  
23 Nuclear Regulatory Commission.

24 MR. CASEY: Yes.

25 MR. CHANDLER: That is an error.

5 1 With all due respects, Mr. Chairman, I cannot speak  
2 for the Commission. I can speak for the Commission's Staff.

3 MR. CASEY: I stand corrected.

4 JUDGE KELLEY: Okay. Go ahead.

5 So one form is acceptable to you and GUARD, and  
6 the second one is acceptable to you and GUARD and the Staff?

7 MR. CASEY: I am afraid not. The second form is  
8 acceptable to Applicants and the Staff.

9 So there we are, Mr. Chairman. We are asking for  
10 the Board to resolve this quandary by Order.

11 JUDGE KELLEY: Well, let me just thank all of you  
12 who were involved for your efforts. I think this is a useful  
13 step toward getting to where we need to get, and we will do  
14 what we need to do.

15 MR. CASEY: One more thing. The only difference  
16 between the two contentions as far as Applicants are concerned  
17 are semantics. We think the first contention means the same  
18 thing.

19 JUDGE KELLEY: Fine. Now, I do not understand  
20 you to have been involved in these particular discussions. Is  
21 that correct?

22 MR. WHARTON: No, I have not, Mr. Chairman.

23 JUDGE KELLEY: And what you would like is what  
24 we have before us from you, and there probably is some overlap  
25 between the two but, in any event, you were not involved in



6 1 these negotiations?

2 MR. WHARTON: No.

3 JUDGE KELLEY: Okay. There is one other thought.  
4 Here is our problem: Tonight we are having this session, as  
5 you know, and we had a gentleman from our Docketing and Service  
6 Section here to run the sign-up sheets and help us, generally.  
7 He went home. Does anybody volunteer?

8 You saw a hand raised? Oh, fine. I appreciate  
9 it very much. Maybe we can get together. We will be here  
10 a little before 7:30 and figure out the logistics.

11 Thanks a lot.

12 MR. CHANDLER: P. T. Barnum was right.

13 MR. PIGOTT: I think that is the responsibility  
14 of NRC Staff.

15 JUDGE KELLEY: That was meant in jest.

16 MR. CHANDLER: Mr. Chairman, just for the record,  
17 I have one request to make. I am sure my colleague, Mr. Ketchen,  
18 appreciates the notoriety he continues to get.

19 JUDGE KELLEY: He withdrew.

20 MR. CHANDLER: Yes, sir; several weeks ago.

21 JUDGE KELLEY: I am sure, by the end of the case,  
22 we will have him removed from all the service lists.

23 I think we would like to start a little late  
24 tomorrow, for the simple logistical reason, we are going to  
25 be working here tonight, we don't know how late, and a couple

1 of us are flying tomorrow night and have some packing to do,  
2 and so on. How about 9:30 tomorrow morning as a starting time  
3 here?

4 Okay, thank you.

5 (Whereupon, at 5:18 p.m., the hearing was adjourned  
6 and was scheduled to resume the following day, Thursday, July 2,  
7 1981, at 9:30 a.m.)  
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This is to certify that the attached proceedings before the  
NUCLEAR REGULATORY COMMISSION

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in the matter of: SAN ONOFRE NUCLEAR GENERATING STATION

Date of Proceeding: July 1, 1981

Docket Number: 50-361/362 OL

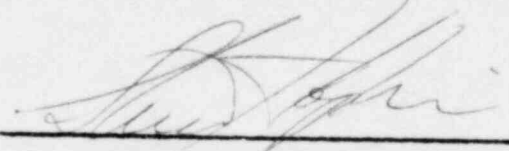
Place of Proceeding: San Diego, California

were held as herein appears, and that this is the original transcript thereof for the file of the Commission.

Steve Hopkins

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Official Reporter (Typed)



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Official Reporter (Signature)