UNITED STATES OF AMERICA NUCLEAR REGULATORY COMMISSION

BEFORE THE ATOMIC SAFETY AND LICENSING BOARD

In the Matter of	
METROPOLITAN EDISON COMPANY) Docket No.) (Restar
(Three Mile Island Nuclear Station, Unit No. 1))

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STAFF and LIC 5/6/81

UNITED STATES OF AMERICA NUCLEAR REGULATORY COMMISSION

BEFORE THE ATOMIC SAFETY AND LICENSING BOARD

In the Matter of METROPOLITAN EDISON COMPANY (Three Mile Island Nuclear Station Unit No. 1)

Docket No. 50-289 (Restart)

NRC STAFF'S AND LICENSEE'S JOINT PROPOSED

INTRODUCTORY FINDINGS

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INTRODUCTION AND BACKGROUND

A. Commission Hearing Orders

 Metropolitan Edison Company (Licensee or Met Ed) is holder of Facility Operating License No. DPR-50 which authorized the operation of the nuclear power reactor known as Three Mile Island Nuclear Station, Unit No. 1 (the facility or TMI-1), at steady state power levels not in excess of 2535 megawatts thermal (rated power). The facility is a Babcock and Wilcox (B&W) designed pressurized water reactor (PWR) located at the Licensee's site ten miles southeast of Harrisburg, Pennsylvania.

2. On March 28, 1979, TMI-1's sister unit, Three Mile Island Nuclear Station, Unit No. 2 (TMI-2) experienced a severe accident. On that date TMI-1 had been shut down for refueling and had not yet returned to power. Licensee voluntarily kept TMI-1 shut down until July 2, 1979, at which time the Nuclear Regulatory Commission (NRC or Commission) ordered that "the Unit No. 1 facility, presently in a shutdown condition, shall remain shutdown until further order of the Commission itself." The Commission determined it was in the public interest that a hearing precede restart of TMI-1.

3. In an Order and Notice of Hearing on August 9, 1979, <u>Metropolitan Edison Company</u> (Three Mile Island Nuclear Station, Unit No. 1), CLI-79-8, 10 N.R.C. 141 (1979), the Commission specified its concerns that gave birth to the July 2, 1979 Suspension Order, appointed this Atomic Safety and Licensing Board (Board) to conduct the hearing, and specified the procedures to govern this proceeding. On the basis of the TMI-1 hearing and the recommendations of this Board, the Commission is to determine whether restart of TMI-1 will be permitted and, if so, under what conditions.

4. The August 9, 1979 Crder noted that the NRC Staff's evaluation of the accident at TMI-2, which also uses a B&W designed PWR, had ascertained "that B&W designed reactors appear to be unusually sensitive to certain off-normal transient conditions originating in the secondary system." 10 N.R.C. 141 at 143. Because of certain design features, B&W designed reactors were said to place more reliance than do other PWR designs on the reliability and performance characteristics of the auxiliary feedwater system, the integrated control system, and the emergency core cooling system (ECCS)

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performance to recover from frequent anticipated transients. This was viewed as placing a larger burden on the plant operators in the event of off-normal system behavior during such transients. 10 N.R.C. 141 at 143.

5. The August 9th Order further explained that after a preliminary review of the TMI-2 accident, the NRC Staff initially identified several human errors that occurred during the accident contributing significantly to its severity. The NRC Staff began an immediate reevaluation of the design features of B&W reactors to determine if additional safety corrections or improvements were necessary. As a result of the evaluation a bulletin was issued by Inspection and Enforcement (IE) instructing owners of B&W designed reactors to take certain actions concerning B&W's unusual sensitivity to certain off-normal transient conditions originating in the secondary system. Besides the items identified for other B&W reactors, the NRC Staff identified additional safety concerns for TMI to be resolved prior to restart.¹

6. Based on these reviews and concerns, the Commission's Director of Nuclear Reactor Regulation (ARR) recommended that

¹ These concerns resulted from (1) potential interaction between Unit 1 and the damaged Unit 2, (2) questions about the management capabilities and technical resources of Metropolitan Edison, including the impact of the Unit 2 accident on these, (3) the potential effect of operations necessary to decontaminate the Unit 2 facility on Unit 1, and (4) recognized deficiencies in emergency plans and station operating procedures. 10 N.R.C. 141 at 143-44.

certain "short-term actions" be required of the Licensee to resolve the Commission's concerns and to permit a finding of reasonable assurance that the facility can safely resume operation.² The Commission said it had additional concerns,

2 The "short-term" actions:

 The licensee shall take the following actions with respect to TMI-1:

- (a) Upgrade the timeliness and reliability of the Emergency Feedwater (EFW) system by performing the items specified in Enclosure 1 of the Licensee's June 28, 1979 letter. Changes in design will be submitted to the NRC Staff for review.
- (b) Develop and implement operating procedures for initiating and controlling EFW independent of Integrated Control System (ICS) control.
- (c) Install a hard-wired control grade reactor trip on loss of main feedwater and/or on turbine trip.
- (d) Complete analyses for potential small breaks and develop and implement operating instructions to define operator action.
- (e) Augment the retraining of all Reactor Operators and Senior Reactor Operators assigned to the control room including training in the areas of natural circulation and small break loss of coolant accidents including revised procedures and the TMI-2 accident. All operators will also receive training at the BSW simulator on the TMI-2 accident and the licensee will conduct a 100 percent reexamination of all operators in these areas. NRC will administer complete examinations to all licensed personnel in accordance with 10 C.F.R. 55.20-23.

(2) The licensee shall provide for NRC review and approval of all applicable actions specified in IE Bulletins 79-05A, 79-05B, and 79-05C.

(3) The licensee shall improve his emergency preparedness in accordance with the following: which, though they need not be resolved prior to resumption of

(continued)

- (a) Upgrade emergency plans to satisfy Regulatory Guide 1.101 with special attention to action level criteria based on plant parameters.
- (b) Establish an Emergency Operations Center for Federal, State and Local Officials and designate a location and an alternate location and provide communications to plant.
- (c) Upgrade offsite monitoring capability, including additional thermoluminescent dosimeters or equivalent.
- (d) Assess the relationship of State/Local plans to the Licensee plans so as to assure the capability to take emergency actions.
- (e) Conduct a test exercise of its emergency plan.

(4) The licensee shall demonstrate that decontamination and/or restoration operations at TMI-2 will not affect safe operations at TMI-1. The Licensee shall provide separation and/or isolation of TMI-1/2 radioactive liquid transfer lines, fuel handling areas, ventilation systems, and sampling lines. Effluent monitoring instrument shall have the capability of discriminating between effluents resulting from Unit 1 or Unit 2 operations.

(5) The licensee shall demonstrate that the waste management capability, including storage and processing, for solid, liquid, and gaseous wastes is adequate to assure safe operations of TMI-1, and that TMI-1 waste handling capability is not relied on by operations at TMI-2.

(6) The licensee shall demonstrate his managerial capability and resources to operate Unit 1 while maintaining Unit 2 in a safe configuration and carrying out planned decontamination and/or restoration activities. Issues to be addressed include the adequacy of groups providing safety review and operational advice, the management and technical capability and training of operations staff, the adequacy of the operational Quality Assurance program and the facility procedures, and the capability of important support organizations such as Health Physics and Plant Maintenance.

(7) The licensee shall demonstrate his financial qualifications to the extent relevant to his ability to operate TMI-1 safely. TMI-1 operation, must be satisfactorily addressed in a timely manner. The Commission's Director of NRR recommended that certain "long-term actions" be required of licensee to permit a finding of reasonable assurance of long-term safety.³

7. In its August 9, 1979 Order the Commission set out the subjects to be included and considered at this hearing:

(A) Whether the "short-term actions" recommended by the Director of Nuclear Reactor Regulation are necessary and sufficient to provide reasonable assurance that TMI-1 can be

(continued)

(8) The licensee shall comply with the Category A recommendations as specified in Table B-1 of NUREG-0578.* See, 10 N.R.C. 141 at 144-45.

*NUREG-0578 is the TMI-2 Lessons Learned Task Force Status Report.

3 The "long-term" actions:

(1) Submit a failure mode and effects analysis of the ICS to the NRC staff as soon as practicable;

(2) Give continued attention to transient analysis and procedures for management of small breaks by a formal program set up to assure timely action of these matters;

(3) comply with the Category B recommendations as specified in Table B-1 of NUREG-0578; and,

(4) Improve emergency preparedness in accordance with the following:

- (a) modify emergency plans to address changing capabilities of plant instrumentation,
- (b) extend the capability to take appropriate emergency actions for the populations around the site to a distance of ten miles. See, 10 N.R.C. 141 at 145.

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operated without endangering the health and safety of the public, and should be required before resumption of operation should be permitted.

(B) Whether the "long-term actions" recommended by the Director of Nuclear Reactor Regulation are necessary and sufficient to provide reasonable assurance that the facility can be operated for the long term without endangering the health and safety of the public, and should be required of the licensee as soon as practicable. 10 N.R.C. 141 at 148.

8. The Commission's Order further guided the Board:

If the Board determines that operation can be resumed upon completion of certain specific short-term actions by the licensee, it shall consider the extert to which the licensee has demonstrated reasonable progress toward completion of the long-term actions described in this section. If it finds that the licensee has demonstrated reasonable progress, it shall recommend resumption of operation upon completion of the short-term actions. If it cannot make such a finding, it shall recommend that operation be resumed at a date that it believes appropriately reflects the importance of the action involved, the time lost because such progress had not been made on the prescribed schedule and the overriding need to provide adequate protection for the public health and safety. 10 N.R.C. 141 at 149.

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9. The August 9, 1979 Order further provided that the hearing before this Board should be conducted in accordance with the provsiions of the Commission's Rules of Practice governing adjudicatory licensing proceedings.

10. The Commission on March 6, 1980, issued another Order, CLI-80-5, providing further guidance regarding the management competence issues by specifying 13 specific issues which the Board should examine. These issues are individually discussed in the management capability section of this recommended decision.

11. On March 14, 1980, the Commission issued a further Order to make clear that it was intended by the Commission that any party to the proceeding might raise as an issue whether one or more safety concerns, not specifically listed as "shortterm" in the Commission's August 9, 1979 Order should be satisfactorily resolved prior to startup, so long as they satisfy the requirements (e.g. specificity and basis) applicable to contentions generally and there is a reasonable nexus between the issue and the TMI-2 accident. The Board's rulings on contentions had from the outset followed this guidance and continued to do so throughout the proceeding.⁴

12. Finally, on March 23, 1981, the Commission issued a further order (CLI-81-3) modifying its Auguat 9, 1979, Order

A Atomic Safety and Licensing Board Memorandum and Order, March 28, 1980.

and removing the matter of Licensee's financial qualifications from the scope of this proceeding.

B. Interventions and Appearances

13. Many entities filed petitions to intervene in August and September of 1979. The Board admitted the following petitioners as intervenors in this proceeding: Union of Concerned Scientists (UCS), Three Mile Izland Alert, Inc. (TMIA), Mr. Marvin I. Lewis,⁵ Ms. Marjorie Aamodt, Mr. Steven C. Sholly, Anti-Nucle r Group Representing York (ANGRY), Environmental Coalition on Nuclear Power (ECNP),⁶ Chesapeake Energy Alliance (CEA), Newberry Township TMI Steering Committee (Newberry Petitioners). The Commonwealth of Pennsylvania, the Pennsylvania Public Utilities Commission, the New Jersey Board of Public Utilities, the Pennsylvania Consumer Advocate and Dauphin County were admitted as special participants under 10 C.F.R. § 2.715(c). The Commonwealth of Pennsylvania participated actively and helpfully in all phases of the hearing and

⁵ The Board ruled that Mr. Lewis had not shown standing in the proceeding and therefore dismissed most of Mr. Lewis' contentions. However, as a matter of discretion, the Board did allow Mr. Lewis to intervene solely with respect to his contention on the adequacy of the TMI-1 filter system for radioactive effluents -- a contention not advanced by any other intervenor.

⁶ Regarding ECNP, in May of 1980 the Licensee moved for sanctions against ECNP based on this intervenor's failure to comply with a Board Order compelling discovery. We declined to dismiss ECNP as a party but did dismiss many of its contentions. <u>Met</u> ropolitan Edison Company, (Three Mile Island Nuclear Station, Unit 1) LEP-80-17, 11 N.R.C. 893 (1980).

presented direct testimony on the Commonwealth's emergency plan. The Pennsylvania Putlic Utilities Commission and the Pennsylvania Consumer Advocate participated in only limited phases of the hearing. Dauphin County and the New Jersey Board of Public Utilies elected not to attend any of the evidentiary hearing.

14. We deferred ruling on People Against Nuclear Energy (PANE) status as intervenors until the Commission determined whether psychological stress issues (the only issues sought to be litigated by PANE) could be considered. The Board certified this guestion to the Commission in Metropolitan Edison Company (Three Mile Island Nuclear Station, Unit 1), LEP-80-8, 11 N.R.C. 297 (1980), where we concluded the Commission within its discretion may and should consider psychological stress under NEPA for the purpose of mitigating community fears about the operation of TMI-1. The Commission in a Memorandum and Order, CLI-80-39 of December 5, 1980, was evenly divided on the question. A vote of 2-2 on this question constituted an effective denial of requests to admit the psychological stress issue. We were told to consider this a denial of these contentions and that "there is no authorization for the Board to admit psychological stress contentions." The Commission noted it would reconsider the question upon confirmation of a fifth Commissioner.

15. The Board denied petitions to intervene by Ms. Jane Lee, Ms. Frieda Berryhill, representing the Coalition of

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Nuclear Power Plant Postponement, and Victaulic Company, et al, either for lack of standing or failure to advance an acceptable contention, or both.

16. The Board received more than 1,000 written limited appearance statements directed either to the Board or to one or more Commissioners, which we considered and directed to be placed in the public record. In addition, the Board held special sessions to hear oral limited appearances on November 15, 16 and 17, 1979, and again on March 5, 1981. Subsequently the Board permitted additional limited appearances by appointment i.. the course of scheduled hearings. Over 200 individuals availed themselves of the opportunity to make statements.

17. The record of the hearing includes the written and oral testimony of witnesses presented by Licensee, the NRC Staff, the Commonwealth of Pennsylvania and by several intervenors. In the findings of fact below, citations to the direct written testimony received into evidence refer only to the last name of the witness(es) and to the transcript page immediately preceding the prepr ed testimony. For the convenience of the Board and parties, we have also compiled an alphabetical listing by witness, Appendix A to this decision, which fully identifies each piece of testimony sponsored by each witness and which identifies the location in the transcript of all of the written testimony.

18. The record also includes exhibits which were offered and received into evidence or rejected by the Board. Appendix

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B to this decision is a list of exhibits which were marked for identification and identifies those exhibits received or rejected by the Board.⁷

C. Rulings on Contentions

19. The contentions which were allowed by the Board are enumerated later in this decision and are not repeated here. Nor does the Board attempt to recite the disposition of each of the many contentions which were challenged by Licensee or the Staff and which were either disallowed or revised by the Board. We do recite, however, some of the main principles which guided the Board in its rulings on contentions.

a. <u>Scope of Proceeding</u>. The Board addressed the question of the scope of the hearing in its First Special Prehearing Conference Order, dated December 18, 1979. It rejected Licensee's position that only contentions related to the bases for suspending TMI-1's operating authority, as recited in the Commission's August 9, 1979 Order, should be allowed. It also rejected the position of several intervenors that any contention be allowed which would be allowable in an initial operating license proceeding. The Board ruled instead that it would admit any otherwise allowable contention having a reasonable nexus to the TMI-2 accident. This principle guided

⁷ The Appendices to this decision identify only the testimony and exhibits introduced by the close of the hearing session of May 1, 1981; supplemental Appendices will be provided at the close of the record.

the Board both in ruling on the allowability of contentions and in its subsequent rulings on the allowability of direct testimony and the scope of cross-examination.

b. <u>Class 9 Accidents</u>. The Board limited or rejected contentions based solely on the proposition that because the TMI-2 accident can be classified as a Class 9 accident (i.e., involving accident sequences outside the scope of the design basis accidents) the occurrence of a full spectrum of Class 9 accidents at TMI-1, up to and including accidents involving core melt and breach of containment, could simply be assumed as the basis for the contention. We did not limit intervenor contentions to design basis accidents, but we did insist that contentions based on Class 9 accidents specify specific accident scenarios naving a reasonable nexus to the TMI-2 accident.

c. <u>Basis and Specificity</u>. The Board was liberal in allowing initially a number of contentions which were at best marginal as to basis and specificity and which did not adequately put Licensee and the Staff on notice of the matters which needed to be addressed in testimony. These contentions were allowed subject to the qualification that further basis and specificity could be obtained by the Licensee and Staff through discovery. In several instances, particularly in the case of ECNP and CEA, the Board subsequently dismissed contentions where the intervenor failed to answer interrogatories inquiring into the bases and specifics of contentions and ignored Board Orders compelling them to respond.

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d. Withdrawn Contentions. During the course of the hearings a number of intervenors withdrew contentions, citing in many cases a lack of resources to pursue the contentions. It was the Board's practice to review contentions dropped by intervenors, to assess the importance of the contention and to ascertain whether issues raised by the contention were adequately covered by the contentions of other intervenors. In a number of instances, where the Board felt that the hearing record might otherwise be inadequate and incomplete, the Board adopted contentions as Board questions and required Licensee and the Staff to address the withdrawn contention in testimony. (Not infrequently the contention was withdrawn so late in the game that Licensee and the Staff had already filed testimony in response to the contention.) In some instances the Board also permitted intervenors to adopt contentions which had been withdrawn by another intervenor.

20. In addition to rulings in accordance with the foregoing general principles, the Board made a number of special rulings on specific contentions which are discussed later in this decision in connection with the specific contentions.

21. The Board and parties accepted Licensee's proposal to group contentions into the following major categories:

- a. Plant design and procedures.
- b. Separation of TMI-1 and TMI-2.
- c. Management qualifications of Licensee.
- d. Emergency planning.

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This grouping and sequence of contentions was followed generally in the presentation of evidence at the hearing, although a number of pieces of supplemental testimony were generated as a result of Board questions or intervenor crossexamination and were sandwiched into the proceeding as preparation time and hearing time permitted. A fifth category of contentions, i.e., those dealing with Licensee's financial qualifications, was eliminated from the hearing as a result of the Commission's Order of March 23, 1981.

22. The August 9, 1979 Crder instructed the Board to consolidate participation of parties pursuant to 10 C.F.R. § 2.715a to the maximum extent practicable consistent with the provisions of that regulation. All of the intervenors objected to consolidation and neither Licensee nor the Staff favored involuntary consolidation through Board order. The Board followed, instead, the practice of requiring that intervenors with similar contentions appoint a lead intervenor for the presentation of evidence and cross-examination on such issues.

D. Miscellaneous Rulings

23. In the period between the August 9, 1979 Crder and commencement of the evidentiary hearing on October 15, 1980, the Board was called upon frequently not only to resolve differences as to the allowability of contentions but to rule on discovery disputes, prehearing and hearing schedules and a wide variety of other procedural matters. Prehearing conference orders dealing with these matters were issued on

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December 18, 1980, January 11, 1980, January 25, 1980, February 29, 1980 and May 22, 1980. The final prehearing conference was held on August 12 and 13, 1980 in Harrisburg pursuant to 10 C.F.R. § 2.752, and the final prehearing conference order was issued August 20, 1980. In addition, the Board issued a large number of rulings on motions and requests submitted in separate filings. The total number of prehearing documents filed with or issued by the Board, exclusive of prefiled testimony, was well over 1,000.

24. The issuance of the Staff's safety evaluation report proved in this proceeding as in others to be a critical path item. Without attempting to assign blame or responsibility as between Licensee (who provided information required by the Staff to complete its evaluation) and the Staff (which generated detailed criteria for the short-term and long-term actions specified in the Commission's August 9, 1979 Order and reviewed materials submitted by Licensee), delay in the issuance of the safety evaluation report largely accounted for the delay in the commencment of the evidentiary hearing as compared to the target schedule attached to the Commission's August 9, 1979 Order. This delay enabled the Board to extend the discovery periods contemplated in the August 9, 1979 Order without delaying the commencement of the hearing. The Board also afforded intervenors an opportunity for supplemental discovery following the issuance of the safety evaluation report and major supplements and following several revisions

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made by Licensee in its emergency plans in the course of the proceeding.

25. Most of the intervenors requested financial assistance to support their interventions. These requests were initiall" denied by the Board as outside the scope of its authc.ity. On May 16, 1980, the Commission announced, in a departure from previous policy, that the then current Commission generally favored intervenor funding as a matter of policy, but it nevertheless denied a request to provide financial assistance to intervenors in this restart proceeding in light of Congressional disapproval of the use of appropriated funds for such purposes in fiscal year (FY) 1980. Metropolitan Edison Company (Three Mile Island Nuclear Station, Unit No. 1), CLI-80-19, 11 N.R.C. 700 (1980). On the same day in response to a certification to the Commission from this Board, the Commission announced it would not provide financial assistance to intervenors to address the psychological distress issue. Metropolitan Edison Company (Three Mile Island Nuclear Station, Unit No. 1), CLI-80-20, 11 N.R.C. 705 (1900). In another certification to the Commission dated August 8, 1980, we requested the Commission to extend its rule governing procedural assistance in adjudicatory licensing proceedings to this restart proceeding so as to allow the Board to consider intervenor requests for free transcripts. Metropolitan Edison Company (Three Mile Island Nuclear Station, Unit No. 1), LBP-80-23, 12 N.R.C. 227 (1980). As explained in its

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certification, the Board viewed such assistance as an important contribution to the efficiency of the hearing process. In a December 4, 1980 Memorandum, the Chairman cited a December 3, 1980 letter by the Comptroller General of the United States that the NRC procedural assistance program may not lawfully use anv FY 81 appropriation funds. The Commission directed the Board and the Staff to immediately cease such assistance program.

APPENDIX A

WRITTEN TESTIMONY RECEIVED INTO EVIDENCE

Witness	Following Transcript Page
Aamodt, Marjorie "Intervenor Marjorie Aamodt's [Testimony] Regarding Aamodt Contention No. 2 Con- trol Room Operator Training and Testing"	12931
"Aamodt Testimony to Support Aamodt Contention 4"	14517
Adler, Vernon E. "Testimony of FEMA's Vernon E. Adler and Frederick J. Bath on Contentions Related to Offsite Emergency Preparedness"	18975
Allenspach, Frederick R. "NRC Staff Testimony of Lawrence P. Crocker and Frederick R. Allenspach Relating to Contention Aamodt #2"	12653
Arnold, Robert C. "Licensee's Testimony of Mr. Robert C. Arnold Regarding CLI-80-5, Issue (1), ANGRY Contention No. IV and Sholly Contention No. 14(a) (Licen- see's Command and Administrative Structure)"	11434
Ballard, Blaine, Sr. "Licensee's Testimony of Daniel M. Shovlin, Melvin G. Snyder, Richard R. Harper, Dennis V. Dyckman and Blaine Ballard, Sr. in Response to CLI-80-5, Issue 2, TMIA Contention 5, Sholly Contention 14(e), and ANGRY Conten- tion IV (Maintenance at TMI-1)"	13533
Barley, Richard "Licensee's Testimony of William F. Itschner, Richard Barley, James Moore and Charles Pelletier in Response to the Lewis Contention and ANGRY Conten- tion No. V(D)(Filters)"	9919

Bath, Frederick J.	
"Testimony of FEMA's Vernon E. Adler and Frederick J. Bath on Contentions Related to Offsite Emergency Preparedness"	18975
"Joint Testimony of NRC Staff's Stephen Chesnut and FEMA's Frederick J. Bath on Contentions Related to Onsite/Offsite Emergency Preparedness"	19626
Belser, Adolph L. "Joint Testimony of Adolph L. Belser (PEMA), Randy L. Curry (York County) and Michael E. Wertz (Dauphin County) Pertaining to York and Dauphin County Emergency Planning (Contentions EP-6, EP-14 and EP-16)"	20787
Beyea, Jan "Direct Testimony of Dr. Jan Beyea on Behalf of the Anti-Nuclear Group Representing York Regarding A.N.G.R.Y. Contention No. III B(D)"	18350
Boger, Bruce A. Page 5, "NRC Staff Testimony of J. Wermiel, W. Jensen, E. Lantz and B. Boger Regarding Emergency Feedwater System Reliability (Board Question 6)"	5616
"NRC Staff Testimony of Walton L. Jensen Jr., John C. Voglewede, Bruce A. Boger, and Peter L. Hearn Relative to Instru- ment Ranges (ECNP Contention 1d)"	7548
"NRC Staff Testimony of Bruce A. Boger Regarding Bypass and Inoperable Status Indication (UCS Contention 9)"	9893
"NRC Staff Testimony of Bruce A. Boger Regarding Licensed Operator Training (Aamodt Contention #2)"	12770
"NRC Staff Testimony of Bruce A. Boger Regarding Licensed Operator Training (CEA Contention No. 13)"	12772
Bonetti, Dennis J. "Direct Testimony of Dennis J. Bonetti," (TMIA Contention 5)	3310

Brasher, Jesse W.	
"Licensee's Testimony of Richard Heward, William E. Potts, Ronald A. Knief, Jesse W. Brasher, and Richard Dubiel Regarding CLI-80-5 Issue (4) and Related Board Questions (Health Physics Program)"	16292
Braulke, George R. Excerpts from "Licensee's Testimony of Robert W. Keaten, George R. Braulke and George J. Brazill in Response to UCS Contention No. 12, UCS Contention No. 14 and UCS Contention No. 3 (Safety Classi- fication)"	6802
"Licensee's Testimony of George R. Braulke in Response to Board Questions on UCS Contention 12"	6802
Brazill, George J. Excerpts from "Licensee's Testimony of Robert W. Keaten, George R. Braulke and George J. Brazill in Response to UCS Contention No. 12, UCS Contention No. 14 and UCS Contention No. 3 (Safety Clas- sification)"	7558
Broughton, T. Gary "Licensee's Testimony of Robert C. Jones, Jr. and T. Gary Broughton in Response to UCS Contention No. 8 and ECNP Contention No. 1(e) (Additional LOCA Analysis)"	5038
"Licensee's Testimony of Robert C. Jones, Jr. and T. Gary Broughton in Response to the Board Question on UCS Contention No. 8"	5039
"Licensee's Testimony of T. Gary Broughton, Gerald J. Sadauskas and Luther L. Joyner in Response to Sholly Contention No. 6(a) (Inte- grated Control System)"	6949
"Licensee's Testimony of T. Gary Broughton, Richard W. Dubiel and Victor H. Willems in Response to Sholly Contention No. 5 and ECNP Contention No. 1(d) (Instrument Ranges (In Plant))"	7509

"Licensee's Testimony of T. Gary Broughton in Response to Board Question No. 11"	10941
Cable, John W. "Commonwealth of Pennsylvania Testi- mony of Dr. Max A. Van Buskirk, Jr. and Dr. John W. Cable Regarding Con- tentions EP-2, EP-4(a) and EP-5(g) (Livestock Evacuation)"	18296
Capodanno, Gary R. "Licensee's Testimony of Gary R. Capodanno, Louis C. Lanese and Joseph A. Torcivia in Response to Board Questions 6.a, 6.b, 6.c, 6.g, 6.h, 6.i, 6.j and 6.k"	5642
Capra, Robert A. "NRC Staff Testimony of Dr. Denwood F. Ross, Jr. and Robert A. Capra in Response to the Board Question on UCS Contention 8"	15806
Check, Paul S. "NRC Staff Testimony of Jack Rosenthal and Paul S. Check Relative to UCS Con- tention 13"	11158
* <u>Chesnut</u> , Stephen H. "NRC Staff Testimony of Stephen H. Ches- nut on Contentions Related to Onsite Emergency Planning and the Licensee's Emergency Plan"	15007
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EXHIBITS

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	<pre>GP 1008 (QA/QC List) TMI Nuclear Station Work Request Approval (TMI-19, 2-74) Work Request Approval- TMI Nuclear Station (AP 1016, Sect. 6.0; Att #11, Rev. 5) Work Request Approval- TMI Nuclear Station (AP 1016; TMI-59, Rev. 4-75) Work Request Approval- TMI Nuclear Station (AP 1016; Att. 11; 9/13/76; Rev. 10) Work Request Approval- TMI Nuclear Station (102) Rev. 3, 7/22/77) Work Request Approval- TMI Nuclear Station (102)</pre>	DESCRIPTIONAT TRANSCRIPT PAGEGP 1008 (QA/QC List)3739TMI Nuclear Station3740Work Request Approval (TMI-19, 2-74)3741Work Request Approval- TMI Nuclear Station (AP 1016, Sect. 6.0; Att. #11, Rev. 5)3741Work Request Approval- 1016; TMI-59, Rev. 4-75;3741Work Request Approval- 1016; TMI-59, Rev. 4-75;3741Work Request Approval- 1016; Att. 11; 9/13/76; Rev. 10)3742Work Request Approval- 1016; Att. 11; 9/13/76; Rev. 3, 7/22/77;3742Work Request Approval- 1016, Request Approval- 37423742TMI Nuclear Station (1026, Rev. 3, 7/22/77;3742

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Board Ex. 3.	"Criteria for Preparation and Evaluation of Radio- logical Emergency Response Plans and Preparedness in Support of Nuclear Power Plants," NUREG-0654, Appendix 5	4463	4463

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Board Ex. 4	NUREG-0565, "Generic Evaluation of Small Break Loss of Coolant Accident Behavior in Babcock & Wilcox Designed 177-FA Operating Plants"	5613	5613
Board Ex. 5	Draft, York County Radio- logical Emergency Response Plan for Incidents at the Three Mile Island Nuclear Power Station, April 14, 1981.	20790	20790
Board Ex. 6	Draft, Dauphin County Radio- logical Emergency Response Plan for Incidents at the Three Mile Island Nuclear Power Station, April 7, 1981		20791
Board Physical Ex. A	Three Mile Island Nuclear Power Station, Middletown, PA; 50-Mile Radius Area Population Density Map; 1977 Estimate, by Census County Subdivision. July 1980, U.S. Geological Sur- vey	19373	19373
Board Physical Ex. B	Land Use and Land Cover Map, Harrisburg, PA. 1972, U.S. Geological Survey	19373	19373

EXHIBIT NUMBER	DESCRIPTION	IDENTIFIED TRANSCRIPT PAGE	ADMITTED AT TFANSCRIPT PAGE
Board Physical Ex. C	Computer Plotted Map of Land Use and Land Cover, Three Mile Island and Vicinity, with Census Tracts. U.S. Geological Survey	19373	19373
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Lic. Ex. 2	Definitions of Recommended Priorities	4136	4136
Lic. Ex. 3	Topical Report, BAW-10103A Rev. 3, "ECCS Analysis of B&W's 177-FA Lowered Loop NSSS," July 1977	, 5039	5105

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Lic. Ex. 4	Letter dated July 18, 1978 from J.H. Taylor, Babcock & Wilcox, to S.A. Varga, NRC, attaching additional ECCS small break analyses	5040	5105
Lic. Ex. 5	"Evaluation of Transient Behavior and Small Reactor Coolant System Breaks in the 177 Fuel Assembly Plant, Vol. I, Sect. 6, "Small Brea Analyses," May 7, 1979		5105
Lic. Ex. 6	"Small Break in the Pres- surizer (PORV) with No Auxiliary Feedwater and Single Failure of the ECCS," Supp. 1 to the May 7, 1979 Small Break Analyses, (May 12, 1979)	5040	5105
Lic. Ex. 7	"Small Break in the Pres- surizer (PORV) with No Auxiliary Feedwater and Single Failure of the ECCS with Realistic Decay Heat," Supp. 2 to the May 7, 1979 Small Break Analyses (May 12, 1979)	5041	5105
Lic. Ex. 8	"Auxiliary Feedwater Flow Required for LOCA," Supp. 3 to the May 7, 1979 Small Break Analyses (May 14, 1979	5041	5105

EXHIBIT NUMBER	DESCRIPTION	IDENTIFIED TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Lic. Ex. 9	B&W Document 86-1103585-00 "System Response to Total Loss of SG Heat Sink," (August 7, 1979)	5041	5105
Lic. Ex. 10	Report, "Analysis Summary i Support of an Early RC Pump Trip," (August 21, 1979)		5105
Lic. Ex. 11	"Supplemental Small Break Analysis," Supplement to th August 21, 1979 Analysis in Support of an Early RC Pump Trip (September 12, 1979)	1	5105
Lic. Ex. 12	B&W Document 69-1106001-00 "Small Break Operating Guidelines," November, 1979	5042	5105
Lic. Ex. 13	B&W Document 86-1117679-000 "Small Break with Failed PORV," (February 11, 1980)	0 5042	5105
Lic. Ex. 14	Figure 6-1, TMI-1 FSAR "Simplified Schematic Diagram of Engineered Safe- guards System for Core & Building Protection."	5049	5105
Lic. Ex. 15	"TMI Emergency Feedwater System" (except outline)	5643	5645
Lic. Ex. 16	IEEE-279(1971)	6466	6587

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Lic. Ex. 17	Cimplified Schematic of TMI Unit 1	. 6954	16603
Lic. Ex. 18	BAW-1564 "Integrated Control System Reliability Analysis, August, 1979	6988 "	6988
Lic. Ex. 19	Diagram, Electrical Supply to ICS/NNI System	o 7010	7022
Lic. Ex. 20	UCS's Answer to Interrogatory 14-1, Licensee's First Set of Interrogatories, March 17, 1980	y 8131	
Lic. Ex. 21	Excerpt from the Deposition (Robert D. Pollard, April 11, 1980	of 8232	
Lic. Ex. 22	IEEE Standard 384-1977, "Criteria for Independence of Class IE Equipment and Circuits"	9112	9481
Lic. Ex. 23	"A Review of the Three Mile Island Unit 1 Control Room from a Human Factors View- point," December, 1980	10235	10236
Lic. Ex. 24	Excerpts from the testimony of Bruce A. Wilson given on May 12, 1980 in the matter of Sacramento Municipal Utility District, Rancho Seco Nuclear Generating Sta- tion, Docket No. 50-312	10852	

EXHIBIT NUMBER	DESCRIPTION	IDENTIFIED AT TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Lic. Ex. 25	Excerpts from the NRC Staff's Proposed Findings of Fact and Conclusions of Law in the form of an Initial Decision, submit- ted in the Matter of Sac- ramento Municipal Utility District, Rancho Seco Nuc- lear Generating Station, Docket No. 50-312, August 22, 1980	10855	
Lic. Ex. 26-A-K	Statements of Professional Qualifications of the Members of the TMI-1 GORB	1 12119	12119
Lic. Ex. 27	"Report of TMI-1 Operator Accelerated Retraining Program Review Committee, June 1, 1980	12412	12412
Lic. Ex. 28	"Licensee's Response to Board Questions Concerning 10,000 Gallon Spill at TMI-1 in June, 1980"	13434 g	13434
Lic. Ex. 29	"Licensee's Response to the Board Question Con- cerning Maintenance Practices in the Sample Year, 1978"	13661	13661
Lic. Ex. 30	GPU Nuclear Emergency Plan for TMI-1, Revision 3, Jan 1981		13759

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Lic. Ex. 31	Three Mile Island Nuclear Station, Unit No. 1, Administrative Procedure 105 Emergency Equipment Readines Revision O, Dated January 7, 1981	s,	14839
Lic. Ex. 32a	Letter dated January 29, 198 from Bernard J. Snyder, NRC, to Gale Hovey, Metropolitan Edison Co., enclosing Amendm No. 12 to License DPR-73 (Th Mile Island, Unit 2)	ent	15317
Lic. Ex. 32b	Letter dated February 3, 198 from G.K. Hovey, Metropolita Edison Co., to Lake Bar.ett, NRC, regarding reserve waste water tankage for Three Mile Island, Unit 2	n	15317
Lic. Ex. 33	Letter dated January 21, 198 from H.D. Hukill, Metropolit Edison Co., to R.W. Reid, NF regarding Human Factors Engineering (response to NUF 0752)	an RC,	15547
Lic. Ex. 34	"Operating Reactors 0737 1-1-81 Responses, Status as of 1-09-81"	15968	16040

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EXHIBIT NUMBER	DESCRIPTION	IDENTIFIED AT TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Lic. Ex. 35	Letter dated December 1980 from Southern Calif Edison Co., to D.M. Crut NRC, Response to NUREG-0 II.F.2, for San Onofre 1	chfield, 737,	16040
Lic. Ex. 36	Letter dated January 2, from W.O. Parker, Duke P to H.R. Denton, NRC, Res NUREG-0737, II.F.2, for 1, 2 and 3	ower Co., sponse to	16040
Lic. Ex. 37	Letter dated December 15 from J.J. Mattimoe, SMUD D.G. Eisenhut, NRC, Resp NUREG-0737, II.F.2, for Seco), to bonse to	16040
Lic. Ex. 38	Letter dated December 30 from R.P. Crouse, Toledo Co., to D.G. Eisenhut, N Response to NUREG-0737, for Davis-Besse	Edison NRC,	16040
Lic. Ex. 39	Letter dated December 23 from R.E. Uhrig, Florida & Light Co., to D.G. Eis NRC, Response to NUREG-0 II.F.2, for St. Lucie 1	Power senhut,	16040
Lic. Ex. 40	Letter dated December 15 from Baltimore Gas & Ele Co., to D.G. Eisenhut, N Response to NUREG-0737, for Calvert Cliffs 1 and	RC, II.F.2,	16040

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Lic. Ex. 41	Letter dated December 19, 1980 from Consumers Power Co. to D. Crutchfield, NRC, Response to NUREG-0737, II.F.2, for Palisa	м.	16040
Lic. Ex. 42	Letter dated January 5, 1981 from Wisconsin Public Service Corp. to D.G. Eisenhut, NRC, Response to NUREG-0737, II.F.2 for Kewaunee	15984	16040
Lic. Ex. 43	Letter dated July 2, 1980 from L.D. White, Rochester Gas and Electric Corp., to D.M. Creatfield, NRC, regarding recessor vessel level instrumentation for R.E. Ginna plant		16040
Lic. Ex. 44	Letter dated December 15, 1980 from J.E. Maier, Rochester Gas and Electric Corp., to D.M. Crutchfield, NRC, Response to NUREG-0737, II.F.2, for R.E. Ginna		16040
Lic. Ex. 45	Emergency Procedure 1202-4, Reactor Trip; Revision 20, 3/13/81	16570	16604
Lic. Ex. 46	Emergency Procedure 1202-5, OTSG Tube Leak/Rupture; Revision 11, 2/25/81	16571	

EXHIBIT NUMBER	DESCRIPTION	IDENTIFIED AT TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Lic. Ex. 47	Emergency Procedure 1202-6 Loss of Reactor Coolant/Re Coolant Pressure Within Ca of Makeup System (PC Press Above ESAS Setpoint); Revi 3/13/81	actor pability ure	16604
Lic. Fx. 48	Emergency Procedure 1202-6 Loss of Reactor Coolant Pr sure (Small Break LOCA) Ca Automatic High Pressure In Revision 7, 3/19/81	es- using	16604
Lic. Ex. 49	Emergency Procedure 1202-2 Loss of Steam Generator Fe to Both OTSG's; Revision 1 3/13/81	ed	16604
Lic. Ex. 50	Emergency Procedure 1202-2 Pressurizer System Failure Revision 15, 3/13/81		16604
Lic. Ex. 51	Emergency Procedure 1202-3 Inadeguate Core Cooling (N LOCA); Revision 4, 3/13/81	0	16604
Lic. Ex. 52	"Evacuation Time Estimates for the Plume Exposure Pathway EPZ of Three Mile Island Nuclear Generating Facility," 3/3/81	17406	17408

EXHIBIT NUMBER	DESCRIPTION A	IDENTIFIED T TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Commonwealth Ex. l	Pages 59 through 67, "Three Mile Island, A Report to the Commissioners and to the Public", NRC Special Inquiry Group, Mitchell Rogovin, Director	16194	16200
Commonwealth Ex. 2.a.	Commonwealth of Pennsyl- vania Disaster Operations Plan, Annex E, Fixed Nuclear Facility Incidents, dated February 23, 1981	17814	17815
	Revised Appendix 7 to the Commonwealth of Pennsyl- vania Disaster Operations Plan, Annex E, Fixed Nu- clear Facility Incidents, dated February 23, 1981	20400	20400
Commonwealth Ex. 2.b.	Map, excerpt of the Common- wealth of Pennsylvania Disaster Operations Plan, Annex E, Fixed Nuclear Facility Incidents, dated February 23, 1981	17814	17815
Commonwealth Ex. 3	Commonwealth of Pennsyl- vania brochure entitled "Emergency Information: What You Should Know About Nuclear Radiation Incidents issued 11/79	18072	18208

EXHIBIT NUMBER	DESCRIPTION	IDENTIFIED AT TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Commonwealth Ex. 4	Lancaster County brochure entitled "Emergency In- formation for Lancaster County"	18073	18208
Commonwealth Ex. 5	York County brochure en- titled "Emergency Infor- mation for York County"	18074	18208
Commonwealth Ex. 6	Commonwealth's TMI Fault Tree Procedures	18580	18912
Commonwealth Ex. 7	Dauphin County brochure entitled "Emergency Infor mation for Dauphin County		19683
Staff Ex. 1	NUREG-0680, "TMI-1 Re- start Evaluation of Li- censee's Compliance with the Short and Long Term Items of Section II of NR Order Dated August 9, 197 Metropolitan Edison Com- pany, et al., Three Mile Island Nuclear Station Un 1, Decket No. 50-289"	9	20122
Staff Ex. 2	NUREG-0752, "Control Room Design Review Report for TMI-1"	10453	10454
Staff Ex. 3	"TMI~l Potential Core Dam age Accident Seguences an Preventive and Mitigative Measures"	đ	11228

EXHIBIT NUMBER	DESCRIPTION AT	IDENTIFIED TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Staff Ex. 4	NUREG-0680, Supplement No. 1	11941	11941
Staff Ex. 5	NUREG-0760, "Investigation into Information Flow During the Accident at Three Mile Island"	13075	13075
Staff Ex. 6	NUREG-0746, "Emergency Preparedness Evaluation for TMI-1"	15009	15009
Staff Ex. 7	NUREG-0654, "Criteria for Preparation and Evaluation of Radiological Emergency Response Plans and Preparedness in Support of Nuclear Power Plants"	15010	15010
Staff Ex. 8	NUREG-0696, "Functional Criteria for Emergency Response Facilities" with attached letter dated March 1981 from Darrell G. Eisenhu to all licensees of operatin plants and holders of construction permits (Generi Letter No. 17)	t g	15433
Staff Ex. 9	Chart, "Actions Currently Being Implemented at TMI-1 that Address the Substance of the NUREG-0667 Recommen- dations"	15803	15803

EXHIBIT NUMBER	DESCRIPTION	IDENTIFIED TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Staff Ex. 10	NUREG-0728, "Report to Congress: NRC Incident Response Plan", September 1980	16109	16110
Staff Ex. 11	Letter dated April 22, 1981 from John F. Stoltz, NRC, to H.D. Hukill, Metropolitan Edison Co., with attached "Safety Evaluation Reports for Items Contained in NUREG-0694 Outside of the Content of the Commission's Orders of August 9, 1979 and March 6, 1980 Required by Restart (October 1981)"	20120	20122
Staff Ex. 12	Letter dated April 22, 1981 from John F. Stoltz, NRC, to H.D. Hukill, Metropolitan Edison Co., with attached "Safety Evaluation Reports for Items Contained in En- closure 1 to NUREG-0737, Outside of the Scope of the Commission's Orders of Augus 9, 1979 and March 6, 1980, Required by Restart (October 1981)"	t	20122
Staff Ex. 13	NUREG-0680, Supplement No. 2	20121	20122
Staff Ex. 14	NUREG-0680, Supplement No. 3	20121	20122

EXHIBIT NUMBER	DESCRIPTION AT	IDENTIFIED TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Staff Ex. 15	NUREG-0752, Supplement No. 1	20123	20123
Aamodt Ex. 1	Memorandum, "Table for Radar Ordinance in a Vertical Plan," dated November 17, 1952	13201	13203
Aamodt Ex. 2	M.S. Aamodt report dated February 15, 1954 regarding dialing errors	13201	13201
Aamodt Ex. 3	Memorandum dated June 30, 1955, "Preference Study of Seven-Digit All Numeral Dialing Used by Subscribers in Actual Telephone Use in their Homes, Case 38933"	13201	13201
Aamodt Ex. 4	Dr. Molholt's Testimony with Appendices 1, 2 and 3 and technical gualifications	14111	REJECTED, 14111
Aamodt Ex. 5	TMI-1 Emergency Plan Procedur No. 1004 (Rev. 1, Sec. 2, 1/16/78), pages 5.0, 6.0, 7.0 8.0		

* Aamodt Ex. 5 has not been admitted and will not be admitted until the necessary number of copies are provided. Tr. 14,106.

EXHIBIT NUMBER	DESCRIPTION	IDENTIFIED TRANSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Aamodt Ex. 6	Letter dated April 21, 1981 from Guy R. Hodge to Marjorie Aamodt with attached pages 12 133 and 140 of FEMA report, "Evacuation Planning in the TMI Accident," dated Janu- ary 1980		REJECTED, 20331
ANGRY Ex. 1	Three Mile Island Public In- terest Resource Center doc- ument dated October 28, 1980, entitled, "Published Findings Related to the Management Competence of Metropolitan Edison Co."		REJECTED, 20653
ANGRY Ex. 2	Letter dated February 4, 1980 from J.G. Herbein, Metropolitan Edison Co., to B.K. Grimes, NRC, enclosing Pennsylvania DOT evacuation study	13815	
Sholly Ex. 1	December 19, 1979 from D.F. Thatcher Sum- marizing Meeting of October 23, 1979 regarding the Babcock & Wilcox ICS Reliability Analysis	7124	7124
Sholly Ex. 2	Oak Ridge National Labora- tory Review Report.	7099	7124

EXHIBIT NUMBER		ENTIFIED NSCRIPT PAGE	ADMITTED AT TRANSCRIPT PAGE
Sholly Ex. 3	EPRI Report NP-1118, "Human Factors Methods for Nuclear Control Room Design," Volume 1, "Human Factors Enhancement of Existing Nuclear Control Rooms," November, 1979	10307	
TMIA Ex. 1	Summary - Work Requests deferred in excess of one year	3313	REJECTED, 3364
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UCS EX. 11	SECY-80-325A, "Inclusion of Steam Generator Transients As An Unresolved Safety Issue," dated November 7, 198		
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UCS Ex. 32	Letter dated December 11, 19 from M. Plesset, ACRS, to Chairman J. Ahearne, NRC, re TMI-1 Restart.		16885