JAN 5 1990

Docket No: 50-193

Rhode Island Atomic Energy Commission ATTN: Mr. A. Francis DiMeglio, Director Nuclear Science Center South Ferry Road Narragansett, Rhode Island 02882

Gentlemen:

SUBJECT: REVISED NRC FORMS 396 AND 398

Enclosed is a copy of the revised NRC Form-398 (Enclosure 1), Personal Qualifications Statement - Licensee and revised NRC Form-396 (Enclosure 2), Certification of Medical Examination By Facility Licensee.

All changes to the NRC Form-396 are detailed in Enclosure 3. Changes to NRC Form-398 are detailed in Enclosure 4.

All applications for licenses are to be submitted on these revised forms no later than February 1, 1990.

The enclosed applications are for your use. Additional copies can be obtained by contacting Beverly Martin, U.S. Nuclear Regulatory Commission, by telephone (301) 492-8138 or by writing to her, U.S. Nuclear Regulatory Commission, Information and Records Management Branch, Mail Stop NMBB 7714, Washington, D.C. 20555.

If you have any questions regarding these forms, please contact Richard J. Conte at (215) 337-5120 or Peter W. Eselgroth at (215) 337-5211.

Sincerely,

Original Signed By:

Robert M. Gallo, Chief Operations Branch Division of Reactor Safety

Enclosures: As stated

H005

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396 & 398 FORMS - 0051.0.0 12/14/89 Rhode Island Atomic Energy Commission

cc w/encl: Professor George Seidel, Chairman, RIAEC Dr. Stephen Mecca, Commissioner, RIAEC John S. Pascalides, Commissioner, RIAEC Dr. Vincent C. Rose, Commissioner, RIAEC Dr. Stanley J. Pickart, Physics Department Dr. William Vernetson, Director of Nuclear Facilities, University of Florida Public Document Room (PDR) Local Public Document Room (LPDR) Nuclear Safety Information Center (NSIC) State of Rhode Island bcc w/o encl:

Region I Docket Room (with concurrences) Management Assistant, DRMA (w/o encl) E. McCabe, DRP W. Raymond, SR1 - Millstone 1 P. Kauffman, DRP D. Haverkamp, DRP OL Facility File

DRS:RI Gallo/pb 01/02/900 6 90 YC

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396 & 398 FORMS - 0052.0.0 12/14/89

INSTRUCTIONS FOR COMPLETING NRC - JRM 390 PERSONAL QUAL:FICATION STATEMENT-LICENSEE TO REMAIN VALID, THIS FORM MUST NOT BE ALTERED

TYPE OF APPLICATION

199

es pro

- 2.4 NEW "X" IF YOU ARE A NEW APPLICANT. COMPLETE EACH CATEGORY OF THE FORM COMPLETELY, FOLLOWING THE INSTRUCTIONS BELOW. THIS IS TO INCLUDE ALL EDUCATION, TRAINING AND EXPERIENCE THAT YOU HAVE RECEIVED UP TO THE DATE OF THIS APPLICATION. NOTE: SEE ITEM 14 - THERE IS AN EXCEPTION. ALSO, THIS BLOCK IS TO BE MARKED IF PREVIOUS NEW APPLICATION WAS WITHDRAWN. PLEASE WRITE "WITHDREW" NEXT TO "NEW."
- 2.6 thru 2.0 FOR 2.5 THRU 2.0, COMPLETE EACH CATEGORY COMPLETELY, BUT INDICATE ONLY THE EDUCATION, TRAINING, AND EXPERIENCE YOU HAVE RECEIVED SINCE YOUR LAST APPLICATION, NOTE: SEE ITEM 14 - THERE IS AN EXCEPTION.

2.6 RENEWAL - "X" IF YOU ARE RENEWING CURRENT LICENSE.

- 2. UPGRADE "X" IF YOU HOLD A RO LICENSE AND ARE NOW APPLYING TO UPGRADE YOUR LICENSE TO A SRO.
- 2.8 MULTI-UNIT "X" IF YOU CURRENTLY HOLD A LICENSE AT YOUR FACILITY AND ARE APPLYING TO AMEND YOUR CUR-RENT LICENSE TO ADD AN ADDITIONAL UNIT.

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2. REAPPLICATION - "X" IF YOU HAVE PREVIOUSLY BEEN DENIED A LICENSE AND ARE REAPPLYING.

2.1 WAIVER REQUESTED - "X" THE APPLICABLE WAIVER REQUESTED AND JUSTIFY IN COMMENTS SECTION (ITEM 17).

2.0 DATE PASSED GENERIC FUNDA: MENTALS EXAMINATION SECTION (GFES).

THIS IS NOT APPLICABLE TO RESEARCH REACTORS. ENTER THE MONTH AND YEAR THE GENERIC FUNDAMENTALS EXAMINA-TION SECTION OF THE WRITTEN EXAMINATION WAS PASSED. IF THE GEES WAS NOT TAKEN, YOU MUST HAVE PASSED AN NRC LICENSING EXAMINATION ON THE APPLICABLE REACTOR TYPE (PWR OR BWR) AFTER FEBRUARY 1, 1982, WHICH LED TO THE ISSUANCE OF A LICENSE. THIS DOES NOT INCLUDE INSTRUCTOR CERTIFICATION EXAMINATIONS OR REQUALIFICATION EXAMIN'TIONS.

- 11. EDUCATION INDICALS OTH ACADEMIC AND VOCATIONAL/TECHNICAL POST HIGH SCHOOL EDUCATION. FOR MAJOR AREA(S) OF STUDY, IND SATE THE NUMBER OF YEARS SPENT 'N EACH COLLEGE CURRICULUM AND THE HIGHEST DEGREE RECEIVED, USING THE DEGREE CODE PROVIDED, FOR VOCATIONAL/TECHNICAL EDUCATION, INCLUDE PROGRAMS SUCH AS NUCLEAR POWER SCHOOL, MILITARY TRAINING, AIR CONDITIONING/REFRIGERATION, DIESEL MECHANIC SCHOOL, ETC. INDICATE THE NUMBER OF MONTHS IN EACH PROGRAM AND WHETHER A CERTIFICATE OR DEGREE WAS AWARDED, IF ADDI-TIONAL SPACE IS NEEDED, CONTINUE UNDER COMMENTS (ITEM 17).
- 12. TRAINING INDICATE THE TRAINING YOU HAVE RECEIVED TO MEET THE REQUIREMENTS OF ANSI N18.1/ANS 3.1. THE BREAKDOWN OF TRAINING IN THIS CATEGO: Y PARALLELS THE ANS STANDARDS. REFER TO THE STANDARDS IF YOU NEED FURTHER CLARIFICATION. INCLUDE BOTH BEGINNING AND COMPLETION DATES AND THE TOTAL NUMBER OF WEEKS SPENT IN EACH TYPE OF TRAINING. THE NUMBER OF WEEKS IS PROVIDED, IN ADDITION TO BEGINNING AND COMPLETION DATES, TO ACCOUNT FOR INTERMITTENT TRAINING (FOR EXAMPLE, 4 WEEKS OF CLASSROOM TRAINING SPREAD OVER A 2-MONTH PERIOD). THEREFORE, THE DATE COLUMNS MAY INDICATE A LARGER TIME SPAN THAN THE ACTUAL NUMBER OF WEEKS SPENT IN FULL-TIME TRAINING. TIME IN TRAINING FOR THE LICENSE APPLIED FOR CANNOT BE DOUBLE COUNTED UNDER EXPERIENCE (ITEM 13).

ALL REQUALIFICATION TRAINING TIME IS TO BE ACCOUNTED FOR IN THE REQUALIFICATION ITEM. PLEASE DO NOT "DOUBLE LIST" THE TIME SPENT IN REQUALIFICATION TRAINING UNDER ITEM 12.6, REQUALIFICATION, EVEN THOUGH IT MAY INCLUDE CLASSROOM OR SIMULATOR TIME.

- 13. EXPERIENCE A MINIMUM OF 6 MONTHS AT THE SITE FOR WHICH THE LICENSE IS SOUGHT IS REQUIRED. FOR EACH POSITION HELD, COMPLETE ITEM 16. DO NOT DOUBLE COUNT TIME. IF YOU HAD OVERLAPPING DUTIES, THE MONTHS SHOULD REFLECT THE PROPORTIONATE AMOUNT OF TIME YOU WERE ASSIGNED TO THOSE PARTICULAR DUTIES. IN NO CASE SHOULD THE NUMBER OF MONTHS REPORTED FOR A PARTICULAR TIME PERIOD EXCEED THE NUMBER OF MONTHS THAT ARE IN THAT TIME PERIOD.
- 14. FACILITY OPERATOR TRAINING PROGRAM INDICATE & GRADUATE OF INPO ACCREDITED OPERATOR TRAINING PROGRAM; AND b. CERTIFIED (ON NRC FORM 474) OR NRC APPROVED SIMULATION FACILITY IS USED IN THE OPERATOR TRAINING PRO-GRAM, IF "YES" IS CHECKED IN BOTH ITEMS 14.# AND 14.b, THEN ITEMS 11 (EDUCATION), 12 (TRAINING), 13 (EXPERIENCE), AND 16 (EXPERIENCE DETAILS) DO NOT HAVE TO BE DOCUMENTED. NEW APPLICATIONS MUST STILL INCLUDE THE NUMBER OF SIGNIFICANT CONTROL MANIPULATIONS UNDER ITEM 12.3. NOTE: INPO ACCREDITED MEANS ACCREDITATION BY THE NATIONAL NUCLEAR ACCREDITING BOARD AND MEANS THAT AT LEAST THE MINIMUM REQUIREMENTS OF REGULATORY GUIDE 1.8, REV. 2, ARE MET.
- 15. FOR RENEWALS ONLY (1) ENTER THE APPROXIMATE NUMBER OF HOURS SINCE PREVIOUS RENEWAL OR ISSUANCE OF LICENSE IF FIRST RENEWAL. (2) ENTER DATE AND RESULT OF MOST RECENT NRC ADMINISTERED REQUALIFICATION EXAMI-NATION.
- 16. EXPERIENCE DETAILS INCLUDE POSITION TITLE, TIME PERIOD-FROM/TO, FACILITY, AND A BRIEF DESCRIPTION OF DUTIES PERFORMED WHILE SERVING IN THAT POSITION. IF MORE SPACE IS NEEDED, USE COMMENTS (ITEM 17), OR IF NECESSARY, ATTACH ADDITIONAL INFORMATION.
- 17. COMMENTS USE THIS SPACE TO INCLUDE ANY EXTRA INFORMATION OR CLARIFICATION FOR OTHER ITEMS ON THE APPLI-CATION FORM. IF THE SPACE PROVIDED IS NOT SUFFICIENT, YOU MAY ATTACH EXTRA INFORMATION WITH YOUR APPLICA-TION.
- 18. NRC FORM 396, CERTIFICATION OF MEDICAL EXAMINATION BY FACILITY LICENSEE MUST ACCOMPANY THIS APPLICATION.
- 19. SIGNATURES SIGN AND DATE ITEM 19.8. OBTAIN YOUR TRAINING COORDINATOR'S SIGNATURE AND THAT OF YOUR SENIOR MANAGEMENT REPRESENTATIVE ON SITE.

DETACH THESE INSTRUCTIONS AND SUBMIT THE COMPLETED NRC FORMS 398 (ORIGINAL AND TWO COPIES EACH) TO THE APPRO-PRIATE REGIONAL ADMINISTRATOR.

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YEARS OF COLLEGE	
12. TRAINING (SINCE LAST APPLICATION - SEE INSTRUCTIONS) 13. EXPERIENCE (DO NOT DOUBLE COUNT - SEE INSTRUCTIONS)	
	MUMBEN
1 - NUCLEAR POWER PLANT FUNDAMENTALS room	
2 - PLANT SYSTEMS CLASSROOM 2 · EWS/PPWS 3 · EWS/PPWS	
OBSERVATION 4-ERS/CRW	
3- OPERATING PRACTICE 5- OTHER (Specify)	
CONTROL ROOM OPERATIONS ON SHIFT	
SIMULATOR OPERATING (Includes Caseroom) FOSSIL	
SIMULATOR NAMES	
a. <u>7 · SUPERVISOR</u>	
0, CERTIFIED STARTUP PROGRAM COMPLETED YES NO 9-OTHER (Specify)	
PROGRAM COMPLETED TES NO 9-OTHER (Specify)	
COMMERCIAL NUCLEAR (Including Research/Test Reactor)	
4 - SRO INSTRUCTION 10 - REACTOR OPERATOR (Licensed)	
5 - EXTRA PERSON ON SHIFT IN CONTROL ROOM 11 - SENIOR OPERATOR (Licensed)	
a. TIME ON SHIFT ABOVE 20% POWER a. (6-WEEK MINIMUM) 6 - REQUALIFICATION 13. STAFE (SHIFT ENGINEER // immed) 13. STAFE (SHIFT ENGINEER // immed)	
14 - AUX./EQUIP. OPERATCH (Nonlicensed) 15 - PLANT STAFF	
16 - OTHER (Specify)	
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			14. FACI	LITY OPERA	TOR TRAINING PROGRAM			
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				15. FOR RE	NEWALS ONLY			
	¥;				6. DATE AND RESULT OF MOST RECENT HRC ADMINISTERED REQUAL FICATION EXAMINATION	DATE	PASS	FAIL
				16. EXPER	IENCE DETAILS	i		
POBITION TITLE	FROM	TO	1. F	ACILITY		. DUTIES		
17. COMMENTE isometry the in	en number to which	You are descare	ning Attach a	dilitions' sheets as i	The second s			
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15s. I certify under pensity of pr (2) any instance where I hav results exceeded the cutoff	njury that the inform to been tested by a He levels established pur	ealth and Huma	scument and at on Services (HH R Fart 26: (3) (ttachments is true a (5) Certified Drug T any instance where	IMENTS, MAY BE SUBJECT TO CIVIL nd correct. I further certify that I have notified esting Laboratory or a Licenses's testing facility I have been arrested for the sale, use or possession horize the NRC to submit the results of examina-	my current employer of for elcohol or a controlle on of a controlled substance	(1) all previous em	FR Part 26
CHECK APPLICABLE BOX	emet individual hes	successfully cor	mpieted the fac	cility licenses requi	rements to be licensed as an Operator/Senior O	peretor pursuent to Title 1	0. Code of Federal	Regulations
Pert 56; and that the ind penalty of perjury that t	widue! has a need for	r an Operator/S	enior Operator	license to perform	his/ner assigned duties and that the desility will	be made evaluable for example	minetion. I also cer	nity under
th	ertify that the above at he/she has dischar- true and correct.	anemed individu gad his/her licer	ual meets the a need responsibil	pproved requalifica lities competently a	tion program (with exceptions noted in Item 13 and safely. I also certify under penalty of perju-) as required by section 50 y that the information in	5.54 (i-l) of 10 CFF this document and	R 50, and attachments
	TRAINING COO	RDINATOR				MENT REPRESENTAT	IVE ON SITE	
PRINTED OR TYPED NAME				PRINTED OR TYPED NAME				
SIGNATURE			DATE		SIGNATURE		DATE	
							1	
	And in case of the local division of the loc			FOR	NRC USE		1	
The second se	Check or Compl	iete items, as a	applicable)	FOR	MEETS REQUIREMENTS	DOES NOT MEET	REQUIREMENT	S (Explain below)
The second se	GRANTED BY		And the second se	ED BY	surfacements and a surface and some surgery and	DOES NOT MEET		S (Explain below)
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U.S. NUCLEAR REGULATORY COMMISSION

CERTIFICATION OF MEDICAL EXAMINATION

BY FACILITY LICENSEE

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APPROVED BY OMB NO. 3150-0024 EXPIRES 1:31-81 ESTIMATED BURDEN PER RESPONSE TO COMPLY WITH THIS INFORMATION COLLECTION REQUEST 25 HB FORWARD COMMENTS REGARDING BURDEN ESTIMATE TO THE INFORMATION AND RECORDS MANAGEMENT BRANCH IPS301, US NUCLEAR REGULATORY COMMIS-SION, WASHINGTON DC 20555, AND TO THE PAPERWORK REDUCTION PROJECT (3150-0024) OFFICE OF MANAGE-MENT AND BUDGET WASHINGTON DC 20503.

NAME OF APPLICANT

ACILITY			
and the second		A. MEDICAL EXAMINATION CERTIFICATIO	AND A DESCRIPTION OF ADDRESS
HIS IS TO CERTIFY THAT T	HE ABOVE NAMED AP	PLICANT FOR AN OPERATOR/SENIOR OPERATOR	LICENSE HAS BEEN EXAMINED BY A PHYSICIAN.
RINTED NAME (of physician)		STATE AND LICENSE NUMBER	EXAMINATION DATE
APPLICANT'S PHYSICAL CO AND SAFETY, I CERTIFY TH OLLOWED AND THAT DOC ON THE BASIS OF THE RECO	NDITION AND GENERA AT IN REACHING THIS UMENTATION IS AVAIL	AL HEALTH ARE NOT SUCH THAT IT MIGHT CAUSE	PUICANT, THE PHYSICIAN HAS DETERMINED THAT THE OPERATIONAL ERRORS ENDANGERING PUBLIC HEALTH N ANSI/ANS 3.4 1983, OR ANSI/ANS 15.4-1977 (N380) WAS T'S OPERATOR LICENSE BE CONDITIONED AS
OLLOWS			
1. NO RESTRICTIONS			
2. CORRECTIVE LENS	ES BE WORN WHEN PER	REGRMING LICENSED DUTIES	
3. HEARING AID BE W	ORN WHEN PERFORMI	NG LICENSED DUTIES	
		ovide details below and attach supporting medical evidence	
5. RESTRICTION CHAN PROPOSED WORDING OF RE		SUBMITTAL Provide details below and attach support in	ng medical evidence for NRC review
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RELATIONSHIP OF RESTRIC	TION TO DISQUALIFY	ING CONDITION (Bristly indicate how restriction will c	correct the disqualitying condition (
REMARKS FOR RESTRICTIO	ON CHANGE (Block 5 M	0.e.	
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ENCLOSURE 3

SUMMARY OF CHANGES TO NRC FORM 396

Medical Examination Certification - Added block "Restriction Change From Previous Submittal" plus Remarks section.

Non-Medical Certification

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- Changed non-medical certification statement to: Power Reactors-This certifies that the applicant has been found to meet the safeguards' and fitness for duty requirements of this this facility for licensed operators. Nonpower-This certifies that the applicant has been found to neet the safeguards' requirements of this facility for licensed operators and I have no knowledge of the applicant exceeding the cutoff levels for alcohol or controlled substances as established pursuant to 10 CFR 26.

ENCLOSURE 4

SUMMARY OF CHANGES TO NEC FORM 398

- Item 4.d Added clarifying statement to indicate this is to be checked only if application is to amend license to add additional unit(s).
- Item 4.f Added "(Category)" to Operating. Added "Medical".
- Item 4.g Added a new item "Date Passed Generic Fundamentals Examination Section".
- Item 12.3 Changed wording to "Certified Startup Program Completed" for clarification.
- Item 12.5 Changed wording to "Extra Person On Shift In Control Room (13-week minimum)" for clarification.
- Iten 12.5a Added a new item "Time On Shift Above 20% Power (6-week minimum)".
- Item 14.a Added the words "That Is Bened Upon A Systems Approach to Training" for clarification.
- Item 15 Added "Date and Result of Most Recent NRC Administered Regualification Examination".
- Iten 19.a

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Item 19.b and Item 19.c

19.c - Moved 19.b and 19.c together. Applicable box must now be checked. Also added block for typed name of Training Coordinator and Senior Management Representative On Site.

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FOR NRC USE

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- Under weiver category added "Medical".