



*NURDCS*  
**RESPONSE TO FREEDOM OF INFORMATION ACT (FOIA) REQUEST**

**FOIA - 89-546**

RESPONSE TYPE

FINAL  PARTIAL

DATE **FEB 8 1990**

DOCKET NUMBER(S) (if applicable)

REQUESTER *Joseph M. Felton*

**PART I. - AGENCY RECORDS RELEASED OR NOT LOCATED** (See checked boxes)

- No agency records subject to the request have been located.
- No additional agency records subject to the request have been located.
- Requested records are available through another public distribution program. See Comments Section.
- Agency records subject to the request that are identified on Appendix(es) \_\_\_\_\_ are already available for public inspection and copying in the NRC Public Document Room, 2120 L Street, N.W., Washington, DC 20555.
- Agency records subject to the request that are identified on Appendix(es) \_\_\_\_\_ are being made available for public inspection and copying in the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number and requester name.
- The nonproprietary version of the proposal(s) that you agreed to accept in a telephone conversation with a member of my staff is now being made available for public inspection and copying at the NRC Public Document Room 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number and requester name.
- Agency records subject to the request that are identified on Appendix(es) \_\_\_\_\_ may be inspected and copied at the NRC Local Public Document Room identified in the Comments Section.
- Enclosed is information on how you may obtain access to and the charges for copying records placed in the NRC Public Document Room, 2120 L Street, N.W., Washington, DC.
- Agency records subject to the request are enclosed.
- Records subject to the request have been referred to another Federal agency(ies) for review and direct response to you.
- You will be billed by the NRC for fees totaling \$ \_\_\_\_\_.
- In view of NRC's response to this request, no further action is being taken on appeal letter dated \_\_\_\_\_ No \_\_\_\_\_.

**PART II. A - INFORMATION WITHHELD FROM PUBLIC DISCLOSURE**

Certain information in the requested records is being withheld from public disclosure pursuant to the exemptions described in and for the reasons stated in Part II, sections B, C, and D. Any released portions of the documents for which only part of the record is being withheld are being made available for public inspection and copying in the NRC Public Document Room, 2120 L Street, N.W., Washington, DC, in a folder under this FOIA number and requester name.

COMMENTS

9002120030 900208  
PDR FOIA  
FELTON89-546 PDR

SIGNATURE, DIRECTOR, DIVISION OF FREEDOM OF INFORMATION AND PUBLICATIONS SERVICES

*David H. Cheney*

PART II.B - APPLICABLE EXEMPTIONS

Records subject to the request that are described on the enclosed Appendix(es) D are being withheld in their entirety or in part under the Exemptions and for the reasons set forth below pursuant to 5 U.S.C. 552(b) and 10 CFR 9.17(a) of NRC Regulations.

1. The withheld information is properly classified pursuant to Executive Order (EXEMPTION 1)
2. The withheld information relates solely to the internal personnel rules and procedures of NRC. (EXEMPTION 2)
3. The withheld information is specifically exempted from public disclosure by statute indicated: (EXEMPTION 3)
  - Sections 141-145 of the Atomic Energy Act which prohibits the disclosure of Restricted Data or Formerly Restricted Data (42 U.S.C. 2161-2165)
  - Section 147 of the Atomic Energy Act which prohibits the disclosure of Unclassified Safeguards Information (42 U.S.C. 2167)
4. The withheld information is a trade secret or commercial or financial information that is being withheld for the reason(s) indicated: (EXEMPTION 4)
  - The information is considered to be confidential business (proprietary) information.
  - The information is considered to be proprietary information pursuant to 10 CFR 2.790(d)(1).
  - The information was submitted and received in confidence pursuant to 10 CFR 2.790(d)(2).
5. The withheld information consists of interagency or intraagency records that are not available through discovery during litigation: (EXEMPTION 5) Applicable Privilege:
  - Deliberative Process: Disclosure of predecisional information would tend to inhibit the open and frank exchange of ideas essential to the deliberative process. Where records are withheld in their entirety, the facts are inextricably intertwined with the predecisional information. There also are no reasonably segregable factual portions because the release of the facts would permit an indirect inquiry into the predecisional process of the agency.
  - Attorney work product privilege: (Documents prepared by an attorney in contemplation of litigation.)
  - Attorney-client privilege: (Confidential communications between an attorney and his/her client.)
6. The withheld information is exempted from public disclosure because its disclosure would result in a clearly unwarranted invasion of personal privacy: (EXEMPTION 6)
7. The withheld information consists of records compiled for law enforcement purposes and is being withheld for the reason(s) indicated: (EXEMPTION 7)
  - Disclosure could reasonably be expected to interfere with an enforcement proceeding because it could reveal the scope, direction, and focus of enforcement efforts, and thus could possibly allow them to take action to shield potential wrongdoing or a violation of NRC requirements from investigators. (EXEMPTION 7 (A))
  - Disclosure would constitute an unwarranted invasion of personal privacy (EXEMPTION 7(C))
  - The information consists of names of individuals and other information the disclosure of which could reasonably be expected to reveal identities of confidential sources (EXEMPTION 7 (D))
- OTHER

PART II. C - DENYING OFFICIALS

Pursuant to 10 CFR 9.25(b) and/or 9.25 (c) of the U.S. Nuclear Regulatory Commission regulations, it has been determined that the information withheld is exempt from production or disclosure, and that its production or disclosure is contrary to the public interest. The persons responsible for the denial are those officials identified below as denying officials and the Director, Division of Freedom of Information and Publications Services, Office of Administration and Resources Management, for any denials that may be appealed to the Executive Director for Operations (EDO).

DENYING OFFICIAL	TITLE/OFFICE	RECORDS DENIED	APPELLATE OFFICIAL	
			SECRETARY	EDO
Joseph Scunto	Deputy General Counsel for Hearings and Enforcement	D/1	X	
Robert Bernero	Director, NMSS	D/2		X

PART II. D - APPEAL RIGHTS

The denial by each denying official identified in Part II.C may be appealed to the Appellate Official identified in that section. Any such appeal must be in writing and must be made within 30 days of receipt of this response. Appeals must be addressed as appropriate to the Executive Director for Operations or to the Secretary of the Commission, U.S. Nuclear Regulatory Commission, Washington, DC 20555, and should clearly state on the envelope and in the letter that it is an "Appeal from an Initial FOIA Decision."

APPENDIX D  
DOCUMENTS BEING WITHHELD IN THEIR ENTIRETY

NUMBER	DATE	DESCRIPTION
1.	Undated	Two draft copies of Commission paper entitled, "Proposed Rule Holding Unlicensed Persons Accountable For Willful Misconduct," with <u>annotations</u> by the Office of the General Counsel. (89 pages) Exemption 5 [NOTE: The draft Commission paper was withheld in its entirety in the 1/24/90 response to your request, Item B/3.]
2.	7/28/86	Draft Commission paper from Stello, subject: System For Assuring That Identified Wrongdoers Do Not Transfer To Other Licensees' Operations. (5 pages) Exemption 5

# NUCLEAR LICENSING REPORTS

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Rockville, Maryland 20850  
(301) 424-4132

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P.O. Box 10866  
Rockville, Maryland 20850

December 15, 1989

Mr. Donnie H. Grimsley, Director  
Division of Freedom of Information  
and Publication Services  
Office of Administration  
U.S. Nuclear Regulatory Commission  
Washington, DC 20555

FREEDOM OF INFORMATION  
ACT REQUEST  
FOIA-89-546  
Rec'd 12-20-89

Dear Mr. Grimsley:

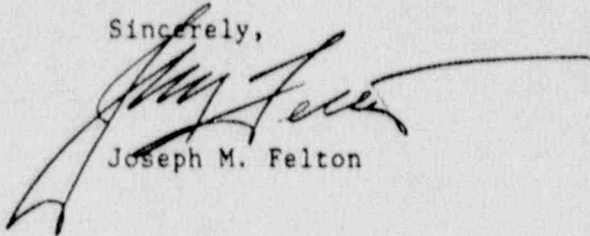
Pursuant to the Freedom of Information Act, Nuclear Licensing Reports requests copies of the records listed below.

Nuclear Licensing Reports is a monthly newsletter designed to inform NRC and Agreement State licensees about new and proposed changes in NRC and state regulations and policies in order that licensees may be better able to carry out their operations in a safe and cost effective manner. Included among its subscribers are NRC and Agreement State licensees, Federal and state regulatory agencies, Congressional committees, and members of the public.

Pursuant to the FOIA and NRC regulations, Nuclear Licensing Reports requests a waiver of search and processing costs, and the first 100 pages of copying costs, as a news media organization.

Please send the records directly to me at the Monroe Street address listed above. Should the number of pages exceed 100, please send the first 100 pages to me, and the remaining pages to the PDR reproduction contractor for copying and charge to my FMI account.

Sincerely,

  
Joseph M. Felton

Records Requested:

Records relating to the background and purpose of a rule being prepared by the Office of Enforcement on "Holding Unlicensed Persons Accountable for Willful Misconduct".

The memo to the EDO requesting approval of the rule.

The status of the rule.

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