

AMENDMENT NO. ONE (1) TO GRANT NO. NRC-E-04-81-002

BETWEEN

AMERICAN INSTITUTE OF AERONAUTICS
AND ASTRONAUTICS

AND

THE U.S. NUCLEAR REGULATORY COMMISSION

- A. The purpose of this amendment is to expand the language of Clause No. 2, entitled, "Reporting Program Technical Performance" to Appendix A, "NRC Project Grant Provisions for Institutions of Higher Education and Other Nonprofit Organizations."
- B. Specifically, Clause No. 2, paragraph d, Publication of Results is amended as follows:
1. Paragraph 1 remains unchanged.
 2. Paragraph 2 becomes paragraph 5 under revised language.
 3. All other paragraphs are additional language.
- C. Accordingly, Clause No. 2, paragraph d now reads as follows:

Publication of Results

The general conditions of the assistance instrument will specify the publications requirements of the award and a provision for the granting to the Government a royalty free, nonexclusive, irrevocable license to reproduce, to translate, publish, use and dispose of all copyrightable material first produced or composed in the grantee's/cooperator's performance under the grant or cooperative agreement.

Grantees or co operators may be required to produce reports to be published by NRC in accordance with the provisions of Manual Chapter 3202 or be given the option of publication in a recognized technical journal.

Exercise of this option requires that the final draft (subsequent to peer review) of the journal article be submitted by letter to the NRC Division of Technical Information and Document Control (NRC/TIDC) for processing for accession by the Public Document Room at the time it is submitted to the journal. When the journal article is published, the draft must be replaced with a copy of the journal article by sending an author's copy, properly identified, to NRC/TIDC.

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Each journal article submission must be accompanied by the following statement, "The submitted manuscript has been authored by a grantee of the U.S. Government under Grant No. _____. Accordingly, the U.S. Government has a nonexclusive, royalty-free license to publish or reproduce the published form of this contribution, or allow others to do so, for U.S. Government purposes."

All open literature publications prepared under this grant shall contain the following statement:

This paper was prepared with the support of the U.S. Nuclear Regulatory Commission (NRC) under Grant No. _____. The opinions, findings, conclusions and recommendations expressed herein are those of the author(s) and do not necessarily reflect the views of the NRC.

If requested by the journal or other publisher to transfer the copyright, the author shall respond to the journal or other publisher, in writing, in accordance with the sample letter shown below:

Sample Letter on Copyright Agreement

Dear _____:

We recently received a document for signature assigning copyright and republication rights in the submitted article (title) to (name of publication). This letter is offered in lieu of the document as a means of completing the transfer of ownership. Accordingly, we hereby expressly transfer and assign our rights of ownership in the above cited work to (name of publisher).

You are advised, however, that the above assignment and any publication or republication of the above cited work is subject to the following Government rights:

The submitted manuscript has been authored by a contractor (grantee) of the U.S. Government under contract (grant) No. _____. Accordingly, the U.S. government has a nonexclusive, royalty-free license to publish or reproduce the published form of this contribution, or allow others to do so, for U.S. Government purposes.

Sincerely,

- D. All other terms and conditions under this grant remain the same.
- E. The revised language stated above has been incorporated into Appendix A- "NRC Project Grant Provisions for Institutions of Higher Education and Other Nonprofit Organizations" and a copy of the revised Appendix A is attached hereto.
- F. In Witness whereof, the parties have executed this document

United States of America

By: Ronald D. Thompson 6/3/81
 Ronald D. Thompson, Acting Chief
 Special Projects Staff
 Division of Contracts
 Office of Administration
 U.S. NRC

Grantee

FEDERAL INSTITUTE OF PERNAUTICS & ASTRONAUTICS, INC.
 By: Edward H. Seymour
 (Title)
 EDWARD H. SEYMOUR
 DEPT. GENERAL MANAGER & SECRETARY

(Seal)