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UNITED STATES OF AMERICA
NUCLEAR REGULATORY COMMISSION

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254th GENERAL MEETING OF THE
ADVISORY COMMITTEE ON REACTOR SAFEGUARDS

- - -

Nuclear Regulatory Commission
Room 1046
1717 H Street, N.W.
Washington, D. C.

Friday, June 5, 1981

The Committee met, pursuant to notice, at 8:30

a.m.

BEFORE:

- J. C. MARK, Committee Chairman
- P. SHEWMON
- M. PLESSET
- C. P. SEISS
- D. MOELLER
- M. BENDER
- W. KERR
- M. CARBON
- W. M. MATHIS
- D. WARD
- J. C. EBERSOLE
- D. OKRENT
- H. LEWIS
- J. J. RAY

DESIGNATED FEDERAL EMPLOYEE:

E. G. IGNE

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PROCEEDINGS

(8:30 a.m.)

MR. MARK: The meeting will come to order.

This is a continuation of the 254th meeting of the Advisory Committee on Reactor Safeguards. During today's meeting the Committee will hear reports on and discuss primary coolant system piping failure criteria, requirements for qualification of nuclear power plant equipment, the integrity of reactor pressure vessels and other nuclear power plant components. The Committee will discuss the NRS safety research program and other topics and hear reports from various Subcommittees.

The Committee also plans to meet with the NRC Commissioners to discuss planning guidance for FY '83 and the ACRS budget and staffing for FY '81 and '82.

Mr. E. G. Igne is the Designated Federal Employee for this portion of the meeting. We have not received any written statements or requests to make oral statements from members of the public regarding today's sessions.

A transcript of the meeting is being kept and it is requested that each speaker first identify himself or herself and speak with sufficient clarity and volume so that he or she can be readily heard.

We will now proceed with the meeting. The first item will be a report from the staff on proposed changes for

1 pipe failure criteria and some discussion of the leak before
2 break.

3 MR. JOHNSTON: Mr. Chairman, I am William
4 Johnston. This morning in this particular connection Dr.
5 Pawlicki will speak for the staff. I would say at the
6 beginning that this morning we are only planning to give you
7 a progress report or status report. Our review is not
8 complete of these reports that are referenced here.

9 The SER is under review and Dr. Pawlicki will tell
10 us -- will tell you where we stand in those connections. And
11 the we will, of course, be interested in hearing the
12 comments and questions that the Committee has.

13 MR. MARK: Thank you. I was also about to say
14 that what we shall hear is a status report, not at this
15 point an officially adopted position of NRR, but there is an
16 SER in preparation so that it is a status report on the
17 staff's position.

18 I call upon Dr. Pawlicki.

19 MR. PAWLICKI: My name is Stefan Pawlicki. I am
20 Chief of the Materials Engineering Branch. The topic of my
21 presentation today, which as Dr. Johnston said will be
22 brief, we will primarily discuss this report, on the
23 evaluation of the blowdown loads on PWR primary systems.

24 In 1975, the NRC staff was informed of some newly
25 defined asymmetric loads. Now, the loads were -- the

1 internal loads result from depressurization of the coolant
2 and the external loads from the pressurizing --
3 overpressurization in the reactor vessel and the surrounding
4 shield.

5 Both the internal loads and the external loads can
6 cause severe stresses in the internal components of the
7 reactor vessel and the fuel elements, as well as in the
8 external supports like reactor vessel support itself. As a
9 matter of fact, under these postulated conditions core
10 geometry could be so impaired that core meltdown could
11 result despite functioning of the ECCS.

12 In 1978 we had asked the PWR owners to evaluate
13 their plants for us. Their responses were submitted in July
14 1980 and the results of these plant analyses indicate that
15 some plants will require extensive modifications.

16 At this point I would like to briefly summarize
17 what modifications we are really talking about, what
18 modifications would be involved. The design modifications
19 being proposed by several licensees illustrate the
20 difficulties in achieving a balanced approach to mitigation
21 of asymmetric loads.

22 The remedies proposed by the applicants as
23 necessary to take care of these loads would involve certain
24 pipe restraints both with the inspection of the primary
25 system, maintenance of the plant, and in many instances

1 would actually compromise the integrity of the primary
2 piping by restraining it from normal motion under thermal
3 stresses.

4 MR. OKRENT: I am trying to understand from what
5 you are saying whether this discussion is restricted to the
6 question of asymmetric loads inside the reactor vessel, or
7 is it a general discussion of primary system piping?

8 MR. PAWLICKI: This discussion is limited to the
9 asymmetric load.

10 MR. OKRENT: Thank you.

11 MR. PAWLICKI: The safety evaluation report that
12 we are preparing, we are still reviewing, and it handles or
13 deals only with the asymmetric blowdown load as such. So
14 basically, the remedial measures to cope with these blowdown
15 loads are not necessarily a conservative approach, but may
16 result in the lowering of the safety of the plant due to the
17 limitation on maintenance, inspection, and limitation on the
18 normal expansion of the primary system.

19 Now, how to cope with the asymmetric loads. Some
20 owners have given us potential modifications that may be
21 required. They engaged Westinghouse to make a mechanistic
22 fracture evaluation. They assumed the double-ended pipe
23 rupture is not credible, not a credible event for PWR
24 primary pipes.

25 We have received a report from Westinghouse,

1 WCAT-9558 and WCAT-9787. The analysis performed by
2 Westinghouse in WCAT-9558 was performed to demonstrate on a
3 deterministic basis that the potential for double-ended
4 failure of the stainless steel primary piping -- and again,
5 this is limited only to PWR piping, primary piping, and
6 stainless steel of this type -- the report demonstrates or
7 intends to demonstrate that the probability of double-ended
8 break need not be considered -- I am sorry. The probability
9 of a double-ended break is so low that it does not have to
10 be considered as a design basis for designing structural
11 loads or resolving unresolved safety issue A2.

12 MR. PLESSET: Let me ask you a question. This
13 report 9558 was issued in '79, August. I wonder why it is,
14 as far as I know I have never seen it until today.

15 MR. MARK: Dr. Plesset observes that this report
16 was issued in '79 and it would have been of interest to him,
17 and he is wondering why it only comes into his hands today.

18 MR. PAWLICKI: I may have problems answering some
19 of your questions, primarily because of the fact that the
20 report was reviewed by one of my men who has resigned his
21 position since that time, and he will know the story much
22 better than I do.

23 Nevertheless, the fact is that the report was
24 submitted in a draft form about two years ago. It has been
25 discussed by our people, our experts, and who recommended

1 certain recommendations and revisions. So when I am talking
2 about January 1981, I am talking about the final version of
3 the Westinghouse report, 9558.

4 Revision 1 was submitted last year and some drafts
5 two years ago.

6 MR. HARK: Yes.

7 MR. MacINERNEY: John MacInerney of Westinghouse.

8 Revision 2 of that report is currently being
9 printed and will be submitted to the staff in a week or
10 two. This Revision 2 should be the final revision. It was
11 intended to incorporate additional staff comments that we
12 had not received up until Revision 1.

13 MR. HARK: And the work on this final revision has
14 included work throughout the last several months?

15 MR. MacINERNEY: Yes.

16 MR. PAWLICKI: This will be Revision 3, right?

17 MR. MacINERNEY: 2, I believe 2.

18 MR. PAWLICKI: Okay.

19 MR. PLESSET: Have you made essential changes in
20 the report?

21 MR. MacINERNEY: No, there have been no basic
22 changes in the methodology.

23 MR. PLESSET: Or the results?

24 MR. MacINERNEY: Or the results. It just addresses
25 certain areas in a little more detail and addressed

1 additional questions that had been raised by the staff.

2 MR. BENDER: Could you clarify the matter of the
3 distribution? Westinghouse prepared the report. Has it
4 been submitted to the regulatory staff for review?

5 MR. MacINERNY: Yes. Generally what we have done,
6 the history of this report, is we have throughout the
7 revision, we have given the staff various draft revisions of
8 the report. That was always followed up by a formal
9 submittal to the staff, both proprietary versions and
10 nonproprietary versions of the report.

11 Now, we address the report only to the staff. I
12 believe the staff has some kind of internal distribution for
13 reports of that nature.

14 MR. MARK: Proceed.

15 MR. PAWLICKI: Okay. Now, as I mentioned, I don't
16 know if I made it clear --

17 MR. BENDER: I do not know how I have gotten my
18 opportunities to see it, to be honest about it, but I
19 presume that there is a channel that distributes these
20 things, unless the regulatory staff has held it as an
21 incomplete kind of report.

22 MR. FRALEY: Mr. Chairman, we have received copies
23 of this report along the way and we have distributed to it
24 in accordance with our selected distribution list, which
25 means that some members may have seen it and others may have

1 not. But if there is a particular interest in it, we can
2 see that everybody gets copies.

3 MR. MARK: Well, I think at this point that has
4 just been covered.

5 MR. FRALEY: I did not want to leave the
6 impression that people were overlooked. Dr. Plesset might
7 not have been on the right list. We will correct that.

8 MR. MARK: Well --

9 MR. PLESSET: I just wondered why I was not on
10 that right list.

11 (Laughter.)

12 MR. PLESSET: I get a lot of report that I do not
13 care about. I think this is not a problem for Pawlicki.

14 MR. MARK: Why don't you proceed.

15 (Laughter.)

16 MR. PAWLICKI: Now, repeating my last statement,
17 so the next one follows from it, that WCAP-9558 addresses
18 only the problem of asymmetric blowdown loads and has no
19 connection with programs like -- assumptions like
20 double-ended pipe break or other aspects of large break
21 LOCA's involving issues such as containment sizing,
22 radiological release or ECCS design. And I think this
23 distinction is important.

24 You realize we are talking about a limited
25 application of this report to show that the potential for

1 double-ended pipe break is very small.

2 MR. WARD: Could you explain why it does not apply
3 to -- over here. Could you explain why it does not apply
4 more generally?

5 MR. PAWLICKI: I was thinking about it myself and
6 I have not discussed this with the gentlemen who prepared
7 the SER, but we are going to. But my interpretation is the
8 assumption of the double-ended pipe break, it is still
9 conservative. We all knew about it. Therefore, the
10 intention was to make it conservative.

11 The asymmetric blowdown loads result from a very
12 fast double-ended pipe break under certain conditions and
13 they impose enormous loads on the structures, which to cope
14 with would make it less safe actually than if we make
15 somewhat more realistic assumptions. On the other hand, a
16 slow leak rather than instantaneous double-ended pipe break
17 can also pressurize the containment and also release
18 radioactivity into containment. And the ECCS design should
19 be able to cope with the large LOCA.

20 So the reason we are limiting it to this
21 application is primarily we feel for this application it is
22 not unrealistic -- not only overly conservative, but
23 unrealistic, unrealistic loads, which require modifications
24 in the plant, which can interfere with the inspection
25 and maintenance and safety.

1 MR. WARD: So it is a question of the rate of
2 failure.

3 MR. PAWLICKI: Yes. Now, our evaluation of these
4 twp Westinghouse reports includes the definition of general
5 criteria that could be used to evaluate the integrity of
6 piping for large postulated loads. But for the time being,
7 we are only talking, again, about PWR's, stainless steel
8 piping, and design of the system.

9 Based on our review and evaluation, we have
10 tentatively concluded that sufficient technical information
11 has been presented to demonstrate that large margins against
12 unstable conditions exist for stainless steel PWR piping,
13 postulated for large flaws and subjected to safe shutdown
14 earthquake and other plant loads.

15 MR. OKRENT: What does that statement mean now?
16 What do you mean by "large margins"? And quantify it
17 probabilistically for me. What is the estimated likelihood
18 of some kind of failure at some rate occurring, with what
19 confidence, or you know, something of this sort?

20 MR. PAWLICKI: I used the word probability and I
21 was incorrect. The study is based on deterministic -- based
22 on deterministic bases. Probability did not enter into the
23 study at all. Probability of double-ended pipe break -- I
24 should have said potential for double-ended pipe break.

25 MR. OKRENT: Let me offer a comment, then, now,

1 before you complete action on this. And I am not
 2 unsympathetic or sympathetic to any particular approach with
 3 regard to asymmetric loads. If I had to guess, I guess I
 4 would say the chance of it occurring right there so fast is
 5 rather small, is my guess, okay.

6 Now, this is my intuition, but I think there is
 7 building up a body of information about flaws and chances of
 8 finding flaws and not finding flaws, and there is some kind
 9 of knowledge about what is the likelihood of an SSE, for
 10 example, and therefore what is the likelihood of something
 11 larger than an SSE. And there may even be some estimates on
 12 what the likelihood of other things that might be a source
 13 of unusual forces.

14 In this case, I do not know if water hammer is
 15 important, but if you look elsewhere in the system, as you
 16 implied, in this system that could be important. And I
 17 think, rather than saying what you call just a deterministic
 18 basis, at least you should see what probabilistic analysis
 19 tells you. It may tell you the uncertainties are 10^{-3} to
 20 10^{-11} , which is what we saw recently as an estimate in
 21 another study. If that is the case, all right, that is the
 22 case.

23 Or it may say, in fact for what you are looking
 24 at, although the uncertainties are large, they all -- the
 25 whole band lies at 10^{-5} or less, which would be

1 interesting, you know. I think a look of that kind would
2 help place this in a less subjective -- it will still be
3 subjective, plenty subjective, but at least you would have
4 taken advantage of what little information there is and what
5 techniques there are.

6 MR. PAWLICKI: Let me -- I am sorry.

7 MR. JOHNSTON: Mr. Chairman, if I could comment on
8 what Dr. Okrent said. Outside of the scope today, there
9 have indeed been programs of the sort that you were
10 inquiring about sponsored by the research office. Programs
11 as I understand it have been conducted at Lawrence Livermore
12 which did look at it from a probabilistic point of view;
13 also, another program that was conducted at Battelle
14 Columbus, which is more deterministic in nature.

15 They are in a sense outside of the scope of what
16 we are trying to do today, which is to talk about our review
17 of this particular input that Westinghouse has asked to have
18 reviewed. So indeed, some of the things that you are
19 talking about are going on in the background and there is
20 extensive other work that we are really not talking about
21 today.

22 MR. OKRENT: I do not understand. You are telling
23 me there is research going on, but we are not going to use
24 it? Is that what you are saying?

25 MR. JOHNSTON: No.

1 MR. OKRENT: Then all right, what are you telling
2 me?

3 MR. PAWLICKI: I can offer my own point. It may
4 not be final or even correct. I am not an expert in this
5 area. But when you take a deterministic base, if you
6 compare say stress intensity factor in some plate or member,
7 if you know the toughness, the toughness of the material is
8 three times as high, then deterministically you can conclude
9 that the plate or component will not fail.

10 Now, of course if there is certain uncertainty
11 about fracture toughness of the loads, you could perform
12 some kind of probabilistic studies saying what is the
13 probability that if, generally speaking, that this component
14 would fail, given that there is one-third of the load that
15 is calculated and tested. And this is the kind of thing we
16 are doing here.

17 MR. OKRENT: Well again, there is the statement in
18 this WCAT on page 8 that says, the overall conclusion that
19 under the worst combination of loadings, including the
20 effects of safe shutdown earthquake, a realistic postulated
21 flaw will not propagate around the plates in question. This
22 may not be important if the toughness is -- if the toughness
23 is enough, as you have indicated.

24 On the other hand, it does not say right here what
25 the chance of the toughness not being enough is. I

1 certainly know that the probability of the SSE is not so
2 small that I want to not consider something larger if it
3 could lead to a PWR one or two category release.

4 Now, if all it is going to do is lead to a LOCA or
5 something, that is a very different story.

6 MR. PAWLICKI: Yes, I understand.

7 MR. OKRENT: Okay.

8 MR. PAWLICKI: Now, as far as -- I do not have the
9 whole report here, the SER that is being prepared. But I
10 have some excerpts in here and the aspect of selection of
11 the flaw size is covered in the report, and basically what
12 it says is that for pressurized water reactor piping there
13 is a good experience that cracking is not very likely and
14 there will be very few service problems for the primary
15 system tubes.

16 Our criteria for acceptance of these analyses
17 indicate that the flow lengths through the wall should be at
18 least twice as long as the thickness of the pipe. Now this
19 is somewhat arbitrary, at least the way I see it now, but
20 also it should be of such lengths that the leakage from the
21 flaw should be larger than 10 gpm, which is the limit on the
22 allowed leakage.

23 What I am saying is, the flaw should be large
24 enough that it would be definitely detected by the detection
25 system. When I say definite, there is a question again. It

1 could be argued that there is some probability that it could
2 not be detected.

3 MR. OKRENT: Well, I think in BWR's we have flaws
4 of the size you just talked about. I do not know whether
5 you should expect it in PWR's. I am willing to be convinced
6 of the fact that they will not occur. But I think just to
7 state, you know, deterministically, I do not know what that
8 means.

9 MR. PAWLICKI: As far as you mentioned, Dr.
10 Okrent, in boiling water reactors the conclusion section, to
11 which I am coming slowly -- we have one statement which
12 maybe I should read now, that although the safety evaluation
13 has been written exclusively for the primary system piping
14 at the PWR facilities, some of the report is concerned with
15 the generic application. And it says, piping systems other
16 than PWR primary systems have some service history of
17 observed cracking. For these systems consideration should
18 be given to assuming flaw sizes different from those
19 specified for the pressurized water reactor primary system,
20 depending on the history of observed service cracking, the
21 potential for cracking and leak detection capabilities.

22 So we are only again limiting it to pressurized
23 water reactor systems.

24 Now, I call the conclusions we have reached so far
25 tentative, from the point of view that so far they have been

1 reviewed by only the other member of the SER, who is no
2 longer with us. It has not been subjected to peer review
3 yet or approval by our manager.

4 In view of the situation, review is scheduled by
5 June 20. Maybe I am optimistic, but in two weeks time or so
6 we should have it commented upon by people that participated
7 in this study and understand it a little better than say I
8 would at this time.

9 I would anticipate that the SER would be issued by
10 mid-July 1981 or around this time. We should get management
11 concurrence and it should be published some time in July.

12 Now, after this overall summary, maybe I would
13 like to describe very briefly what WCAT-9558 includes and
14 what factors can be considered, analyzed, described and so
15 on. Now, WCAT-9558 includes a definition of the plant
16 specific primary piping loadings, includes analysis to
17 define the potential for fracture from rupture and unstable
18 flaw extension. It describes materials tests to define the
19 material toughness and tensile properties and production of
20 leak rate from flaws that are postulated to exist in PWR
21 primary system piping.

22 As far as the loads are concerned, the loads
23 acting on the reactor coolant pressure boundary piping
24 during various plant conditions includes the weight of the
25 piping and its contents, system pressure, restraint of

1 thermal expansion, operating transients, in addition to
2 startup and shutdown and postulated seismic events,
3 specifically safe shutdown earthquake.

4 In the design of this piping, the limiting loading
5 combination must be determined, and Westinghouse has
6 prepared a table that -- I have a copy of it and
7 unfortunately I cannot show it to you today. But anyway, it
8 includes a list of 12 plants that were included in the
9 owners group considerations on this topic. It includes
10 location for maximum load, and in 11 cases out of 12 it is
11 the reactor pressure vessel nozzle.

12 It includes axial loads calculated for those
13 plants and bending loads. The bending loads for these
14 plants are for the axial tension of 1800 hps and for bending
15 moment 1400 hps. These bending loads have been used in the
16 fracture analysis performed by Westinghouse and then
17 evaluated by us.

18 WCAT-9558 was performed to demonstrate large margins against
19 double-ended pipe break would be maintained for PWR
20 stainless steel primary piping that contains a large
21 postulated load and is subjected to large postulated
22 loadings. If the postulated flaw would grow larger on the
23 application of the load, if any additional crack growth that
24 might occur might be stable and not result in a complete
25 circumferential break -- I think point two is of most

1 greatest importance here. Even if the crack does grow, will
2 it be a stable growth or will it progress in a nonstable
3 manner.

4 The analysis was performed of the axial and
5 bending loads, the upper bounds of the loads, also in the
6 facilities listed in WCAT-9558. Now, in order -- I am not
7 going to go into details of fracture mechanics analysis, but
8 basically to decide whether the crack would grow at all
9 under the postulated loads, the method was used to check how
10 it compares with the critical load to decide whether the
11 crack, if it grew, whether it would grow in a stable manner
12 or unstable.

13 The approach that was used was what is called the
14 stability concept developed by Westinghouse and described in
15 NUREG-0311, which assumes of course that the mechanistic
16 flow extension -- in the last analysis -- in the last
17 analysis of the tearing modulus concept, a factor of three
18 margin of safety between tearing modulus as the proper
19 material -- the tearing modulus as calculated from pipe
20 loads. It is comparable to either stress intensity K_{13} --
21 basically, it is a margin of safety of K_{13} .

22 MR. SHEWMON: J or K? Are you expressing
23 our margin of safety in terms of stress intensity or what?

24 MR. PAWLICKI: It is on the Ttearing modulus
25 itself. So basically the tearing modulus of material is

1 based on tests of the fracture toughness properties of the
2 materials would be three times as high as the tearing
3 modulus calculated from the imposed loading. And I can see
4 that Dr. Johnston is there. Maybe he can help me.

5 Now, I would mention that the criteria that we
6 used to determine the postulated flaw size, I will repeat
7 again that we, based on the service experience and somewhat
8 on our judgment of course, that the flaw should be -- the
9 length of the flaw should be at least twice the pipe
10 thickness and should be long enough to have a calculated
11 leak rate of ten gallons per minute under normal operating
12 conditions. So it would be detectable, instantly
13 detectable, even before the crack.

14 MR. OKRENT: Now again, you have had experience
15 with flaws much longer than that which were not detectable,
16 as you know.

17 MR. PAWLICKI: In PWR's.

18 MR. OKRENT: In reactors. I find it at this stage
19 not for me defensible to assume that because a particular
20 kind of flaw that occurred in the BWR and did not show by
21 leaking, occurred in the BWR, that it is not applicable to a
22 PWR. In other words, if -- if a large flaw in a BWR in fact
23 did not show by leak -- and we have had cases like that --
24 at the moment I do not know why, if the flaw occurred in the
25 PWR, it also might not show by leak. Because as far as I

1 understand it, that is something related to how the flaw
2 exists in the pipe and whether it is held together tightly
3 and so forth and so on.

4 Whether or not you expect a flaw size and so forth
5 you may say differs among the two, but that is a different
6 question. But I have a problem with some of your bases.
7 That is one of them.

8 Let me give you an example of other kinds of
9 questions. Again, in looking at the Westinghouse
10 conclusion, it says they used identification of actual
11 minimum material properties based on the research of
12 Westinghouse quality assurance files. I think that is a
13 good beginning. But I think you have to ask yourself, what
14 goal are you looking for with regard to safety, what assurance
15 do I want to have, and are there ways in which by some
16 anomalous path in fact I have reached material properties
17 far worse?

18 And of course we have the example in pressure
19 vessels where some of the welds are far more susceptible to
20 radiation damage than other welds, and it could be that one
21 could have had the experience in pressure vessels, he looked
22 at a certain group of vessels and did not have any that was
23 and somebody else did. Do you understand what I am saying?

24

25

1 You are in fact interested, I believe, in a rather
2 high reliability or a very low probability that what you
3 assume will not occur really does not occur. And I cannot
4 tell that in fact somebody is asking are there ways in which
5 the assumptions here might have been defeated. Maybe you
6 have done it, but I have not heard it.

7 And then you give me the kind of statements you
8 have. And, you know, in looking -- I have also looked at
9 these reports from time to time, and I think you might be
10 able to well make the case probabilistically, but if you do
11 not do it and somebody has not thought about are there
12 things that are weak spots, you may end up vulnerable and
13 maybe even being wrong.

14 MR. EBERSOLE: May I ask -- right over here, right
15 this way. Is it possible to have a crack which is so small
16 from side to side that virtually no leakage when one
17 considers the contamination by crud or precipitated boron or
18 any other mechanism that you can think of, in fact it does
19 not leak enough that you can see it until it really gets big
20 or, for that matter, to have a crack which never does
21 penetrate the surface?

22 That sounds impossible to me. I think Dave was
23 thinking about Nine Mile Point, the case where the break was
24 almost, I think, 50 percent circumferential before they
25 found it by a drip. And certainly I think I can take a

1 cracked pipe, and if I carefully cracked it, I can put it in
2 a vise and squeeze it together in a vise so tightly it will
3 not leak.

4 I do not know whether cracks generate that way or
5 not. Because there is a structural crack does not mean
6 there is a physical leak of fluid.

7 MR. PAWLICKI: Yes. Basically, what you are
8 saying is you are assuming, say, a 7-inch -- Westinghouse is
9 assuming -- we are inclined to agree with them -- a 7-inch
10 through-the-wall crack, and that would leak at a certain
11 rate. But you are saying that the crack, 7-inch, not
12 through the wall.

13 MR. EBARSOLE: Or else it was through the wall --
14 that is right. I think I can take any crack generated, and
15 by appropriate stressing I can close it so it will not leak.

16 MR. SHEWMON: Not during the cycling of a plant,
17 you cannot; the first part of the cycle, at any rate.

18 MR. JOHNSON: My name is Richard Johnson. I am in
19 the Generic Issues Branch, Division of Safety Technology.

20 I would like to address the issue of fracture and
21 fracture prevention, which I believe Dr. Okrent is raising.
22 And I apologize, I am not sure that I followed with
23 precision the line of reasoning. So if I -- perhaps we
24 should have a dialogue rather than me trying to stand up and
25 answer a specific question.

1 But I think that the issue here centers about the
2 fact that we are talking about pipes with flaws, and we
3 recognize that these flaws may reach sizeable proportions in
4 service, flaws in pipes under accident conditions. One of
5 the things you asked, Dr. Okrent, was can we have a large
6 flaw that does not leak. And I think we are ready to
7 stipulate "Yes."

8 That is our experience, and that is why we are
9 postulating that we go into the accident with a nonleaking
10 large flaw. Now, large has to be -- it gets to be a little
11 arm-waving in here -- but I think when you see what the
12 staff has done in reviewing the Westinghouse report, I think
13 that the criterion that is set up for the size of the flaw
14 is large. It is certainly within the realm of things that
15 are ordinarily detectable and that the issue is what happens
16 under these large loads, accident loads.

17 The thing that we are trying to guard against --

18 MR. OKRENT: What do you mean by "accident loads"?

19 MR. JOHNSON: Seismic, for example.

20 MR. OKRENT: Okay.

21 MR. JOHNSON: For the maximum design loads, is
22 that the proper terminology?

23 MR. OKRENT: Seismic should not produce an
24 accident load.

25 MR. JOHNSON: Well, okay. What the ASME criterion

1 would call Level C and Level D.

2 MR. OKRENT: Excuse me. Seismic should not
3 produce Level D by itself; should it?

4 MR. JOHNSON: I do not know. I cannot -- it is
5 not proper for me to address that. I am a metallurgical
6 engineer and not a stress analyst.

7 (Laughter.)

8 So I will stay out of the field where I have no
9 business entering.

10 But the issue then is going into a condition with
11 large loads and a relatively large flaw, what is going to
12 happen and what we are concluding is that for the given
13 materials and given conditions, the flaw will grow in a slow
14 and stable fashion. It will indeed become a leak rather
15 than a catastrophic failure. Now, that is the point of all
16 this.

17 And is there something that still remains that we
18 ought to discuss, Dr. Okrent, within that frame?

19 MR. OKRENT: All right, I will try to state it
20 again simply. There is some chance -- it may be quite small
21 -- of a flaw larger than whatever it is you have assumed in
22 here. Now, it may be that the results are not sensitive, in
23 other words, that you would get the same result, in fact, if
24 you had a flaw four times as long as what you have said.

25 If so, you could say that, and that would say the

1 probability of our conclusion being right is not affected by
2 that. It may be that the material properties are worse than
3 you have assumed here. There is some probability of this.
4 You may be able to decide in fact that there is some lower
5 limit on material properties that you see no way of getting
6 -- you know, violating; even at that limit you might be okay.

7 ALL right, then, you can say it, or you can say
8 that -- you can argue on whatever reason the probability of
9 getting material property so that is acceptably low based on
10 some experience and so forth.

11 I would say the argument that at the SSE level you
12 are okay is not a very good one probabilistically because
13 the other parts of the staff are estimating the likelihood
14 of the SSE for most plants being in the vicinity of, let's
15 say, one in a couple of thousand per year.

16 We have a lot of plants -- that is a round number;
17 sometimes it is smaller, sometimes it is larger. But that
18 is not, by itself, a number that I or, I think, the staff
19 considers a very small likelihood if it automatically leads
20 to an uncontrollable event which has severe consequences.

21 So, I think, in fact a good case can be made, my
22 intuition tells me. But to avoid looking at this, in fact,
23 may leave something important out. When I say I think a
24 good case can be made, I think it can be made if you say we
25 are not generalizing it to all pipes. But now you have just

1 that much higher probability of something going wrong. You
2 talk about a selected number of pipes, pipes that are looked
3 at frequently, whatever, or something. And that is my guess.

4 MR. JOHNSON: As far as one aspect that you
5 brought up, the material properties, I think that some tests
6 that have been conducted say that indeed one is using not
7 average but a lower-limit fracture resistance. As far as
8 the flaw --

9 MR. OKRENT: Excuse me. What does the term "lower
10 limit" mean?

11 MR. JOHNSON: Within the bounds of the tests
12 conducted that the fracture --

13 MR. OKRENT: Yes, but -- okay, just so we
14 understand, you do not test every piece of material
15 actually, do you?

16 MR. JOHNSON: Sampling.

17 MR. OKRENT: Sampling for each and every pipe?

18 MR. JOHNSON: Sampling from representative heats.
19 There is a sampling problem that can be addressed.

20 MR. OKRENT: Again, maybe you can make a good case
21 both for the welds and for the material. You know, there is
22 no reason to assume -- in fact, the probability is zero that
23 the material can have lesser properties. I do not know if
24 you can make that case. If you can, that helps your
25 argument.

1 MR. JOHNSON: Well, then, you are talking about
2 the material properties and trying to look at them in a
3 probabilistic way. Then one can say, surely, since we have
4 not sampled every pipe that is in every reactor, there may
5 be something lower.

6 But one does a sensitivity study and says what if
7 the material were somewhat lower than what we have, seeing a
8 scatterband of material properties. Let us assume that, for
9 example -- and rational engineers do this all the time, as
10 we all know -- let us assume that although we think we have
11 a lower limit, there is a material with, say, 10 percent
12 less resistance, what does that do for our analysis?

13 And when you go through this particular analysis
14 for the piping, with the postulated flaw you find that a
15 little bit less fracture resistance does not really
16 significantly affect your conclusions; namely, that the
17 postulated flaw, if the material had a little less fracture
18 resistance, would still be leak-before-break.

19 And that gets back to what Mr. Pawlicki was
20 talking about when he said there is a margin in the tearing
21 modulus, which is a term, a measure of the materials
22 fracture resistance or stability. There is also the
23 possibility that there may be a larger flaw than what was
24 postulated, and the same thing is done with a sensitivity
25 analysis.

1 Supposing there were a larger flaw and the
2 conclusion is not going to be significantly affected by
3 that. Eventually, of course, with respect to the flaw size,
4 the largest flaw you postulate is where the flaw has already
5 come apart and you have to stop somewhere short of that
6 because you are assuming that the plant is operating when
7 you are going into the accident. So the pipe is performing
8 its function prior to the application of accident-level
9 loads. So there is a rational basis for how large a flaw
10 can be -- should be postulated.

11 And I am not really sure, speaking of that, that I
12 fully understand what you said when you alluded to there
13 being very large flaws that were not detected. The largest
14 ones that I know of in boiling water reactors were the flaws
15 in the recirc lines at Duane Arnold.

16 MR. OKRENT: You are not analyzing flaws
17 equivalent to that here, are you?

18 MR. JOHNSON: No, sir.

19 MR. OKRENT: The plant was running with those, was
20 it not?

21 MR. JOHNSON: And the plant was leaking like a
22 sieve.

23 MR. OKRENT: It was shut down at some point, and
24 those flaws were only, you know, somewhat smaller before it
25 was shut down. So you say it was leaking like a sieve, you

1 know, they have to shut down at about 5 gpm. And so I
2 assume they did not grow in a day -- I hope. I am not
3 suggesting that you need to use -- I am saying you might be
4 able to make a good case why in a PWR at this particular
5 site that is unlikely.

6 In fact, those flaws did exist, and you cannot
7 just wave them away. That is the point I am trying to make.

8 MR. JOHNSON: If one performed the analysis --

9 MR. OKRENT: Is there some other mechanism by
10 which you could lead to the same thing here? If there is
11 not, then the probability is low for what reason?

12 MR. JOHNSON: The Duane Arnold cracks occurred --
13 one can go through an analysis for the Duane Arnold type of
14 flaw and still come up with the same conclusion; that is,
15 that we would get leak before break.

16 MR. OKRENT: If you can do that -- in other words,
17 you say your conclusion depends on flaw size?

18 MR. JOHNSON: Not quite, but almost.

19 MR. OKRENT: You do not have to hinge it to the
20 flaw size. That is okay if you can do it that way. But you
21 have not -- what I have heard here --

22 MR. SHEWMON: Dave, this is not a complete
23 discussion of the topic. There have been a lot of reports
24 on that. We have had people here before, a month ago, or
25 years ago is more like it, talking about Duane Arnold and

1 how many degrees around and how deep it could have been and
2 whether it would have leaked before it broke.

3 I think you are doing them a mild disservice to
4 want an education more than you can absorb and more than
5 they are prepared to give all in four minutes or even 40.

6 MR. OKRENT: I am, in fact -- I am not sure they
7 have looked at this probabilistically. I have not heard
8 that they have looked at it probabilistically.

9 MR. SHEWMON: No, they have not often, although I
10 think they have done more than they are prepared to give.
11 There has been the study at Battelle on the cold-line break
12 and that got expressed probabilistically. I think, in
13 effect, you are asking them where the codes are done
14 deterministically to come back and express it in a different
15 language, and it makes them ill at ease.

16 Now, we can go out to Livermore someday, and they
17 are reinventing the ASME code expressed all in terms of
18 probabilistic analyses. And it is not clear whether they
19 are doing a better job or a worse job. It is just clear
20 that we are spending a lot of money for them to do much the
21 same thing on certain subprojects.

22 MR. OKRENT: I have a reason for suggesting that
23 they look at it probabilistically, just to provide, let us
24 say, another, oh, mechanism for seeing that they have not
25 overlooked some path. And you sort of ask yourself, you

1 know, have I covered all the ways, whatever it is that I can
2 get 10-5 chance of this occurring, and you ask what other
3 things that can do this, and so forth, and you satisfy
4 yourself there. I think you are just one step further along.

5 MR. SHEWMON: My point is you can solve some
6 problems with these functions and you can do the same thing
7 a lot of other different ways. And what bothers me a little
8 bit, are we asking have you done things in terms of these
9 functions as opposed to those functions?

10 MR. OKRENT: The chance of large flaws being in a
11 light-water reactor is not zero.

12 MR. SHEWMON: Nobody here argues with that. And
13 they have a formal way of going through that and coping with
14 it in their way. But it is not expressed in terms of, "That
15 gives us a probability of 10-8 per reactor-year plus or
16 minus 2."

17 MR. PAWLICKI: I also feel that is the best basis
18 for any probabilistic analysis is past experience.

19 MR. SHEWMON: Let me ask a couple of different
20 questions on this. There have been concerns on the part of
21 the staff about what are called "impertinences," I guess,
22 flow deflectors inside pipes, and so on. This may not be
23 part of it here, but it again has to do with what LOCA loads
24 may do. Is there any code that you know of which addresses
25 these things, or is that something the staff reviews or is

1 it something which is left to the AE's good judgment?

2 MR. PAWLICKI: I am not familiar with this aspect
3 but maybe someone --

4 MR. SHEWMON: You are standing.

5 MR. LEVIN: I was ready to discuss another
6 question before you got on that issue, just trying to set
7 the record straight as far as the history of the staff's
8 look at probabilistic evaluation. If you are ready to hear
9 that, I would be glad to --

10 MR. SHEWMON: Tell us who you are, and go ahead.
11 We will get on to the question.

12 MR. LEVIN: My name is Howard Levin. I am with
13 the Division of Engineering.

14 Back in '76 Combustion Engineering owners group
15 and B&W owners group submitted topical reports which were
16 completed by SAIs. And the staff completed its review of
17 them, if I recall, in 1978, and concluded that those reports
18 could not form the basis for walking away, so to speak, from
19 the asymmetric loads.

20 The reason, as I understand them, was although the
21 methodology was acceptable from a probabilistic point of
22 view, the data just did not support it. In other words,
23 there were not adequate data from a probabilistic point of
24 view, materials properties from a probabilistic point of
25 view.

1 So, in a nutshell, the conclusion was that that
2 data could not, although the approach was nice and provided
3 insights, could not form the basis for a licensing decision
4 to walk away from asymmetric loads. Therefore, in '78, the
5 staff sent a letter to the PWR owners, requesting them to
6 address asymmetric loads. In parallel to that effort,
7 Westinghouse presented this study.

8 I do not know if that gives you a little bit of
9 the history, but I think it is just basically a conclusion
10 that that was not an adequate basis at this time as a
11 licensing basis.

12 MR. OKRENT: I remember that study, in fact, and I
13 guess I do not want to hear of it today, but I think at some
14 point I would like to understand if in fact the data, you
15 know, is insufficient to satisfy the probabilistic
16 analysis.

17 I have trouble translating it into how you arrive
18 at the deterministic position, because in the deterministic
19 position either you are assuming you can handle any flaw
20 size, in which case the previous problem on inadequacy of
21 flaw-size data is unimportant, or you are saying the flaw
22 size will not be larger than a certain amount. And you will
23 say, "I am relying on engineering judgment," but you would
24 -- probabiistically, it seems to me the same kind of
25 thinking enters.

1 Okay, I remember that study quite well.

2 MR. MARK: Mike, could you --

3 MR. BENDER: Why don't we answer Paul's question?

4 MR. MARK: Fine.

5 MR. SHEWMON: My question has to do with there are
6 flow deflectors which are inside piping, and these come
7 loose, if not regularly, several times a year. And so the
8 probabilities are so high that I can grasp them easily. And
9 the question has to do with what design, what consideration,
10 if any, this has gotten in here?

11 And, if none, as a question of information,
12 whether there is any design code that has to do with these
13 or whether that is all left to the AE and the owner as to
14 how often they want to pick these things out of the steam
15 generator or the pump or wherever they collect.

16 MR. JOHNSTON: I cannot give you a direct answer
17 to that, Dr. Shevmon. I just do not know.

18 My understanding from what I have seen of this
19 report would -- that would not be included in the report.

20 Dick Johnson may have a different answer.

21 MR. JOHNSON: No, no, no, I do not have a
22 different answer. I only want to remind everyone here that
23 the scope of this problem has to do with violation of the
24 primary pressure boundary, and I believe the things you are
25 talking about are within and do not violate the primary

1 pressure boundary. And although I will not say it is an
2 unimportant problem, I think you are going beyond the scope
3 of the review.

4 MR. SHEWMON: Well, I could bring them into the
5 steam generator out of the feedwater, I suspect, if I had
6 to; and that would violate primary to secondary. Now, that
7 may not be the primary boundary, and it may be.

8 Let me ask a different question then --

9 MR. PARK: Would you picture how that would make a
10 double-ended pipe break in the pressure vessel and an
11 asymmetric blowdown load?

12 MR. SHEWMON: No, I would not. I am asking
13 another question. Let me come back a little bit closer to
14 this. As a result of going to asymmetric loads or
15 considering the question, I understand that what we did to
16 cope with this hypothetical accident was to increase the
17 strength of hold-down bolts substantially and increase the
18 load which the torque could take.

19 And also, that is not part of your presentation
20 today, but it will be a part of a presentation next month, I
21 am told. And I would like to have some of this firepower we
22 have here in fracture mechanics and what have you here next
23 month, I guess. And if you have not read of that party,
24 why, by all means, invite yourselves.

25 (Laughter.)

1 MR. BENDER: I have some sympathy with the
2 viewpoints that have been expressed here, both Dr. Okrent's
3 and Dr. Shevmon's. I find myself faced with the dilemma of
4 how to bring together these two approaches to addressing the
5 problem. The Livermore people did in fact do an analysis of
6 the piping loading question and came up with some very, very
7 low probabilities of the crack propagating, except they left
8 out a rather important element; namely, the uncertainties
9 associated with mistakes in design errors, detection
10 techniques, and that sort of thing.

11 I think that is the point Dr. Okrent is making,
12 and it is legitimate to say, "Well, if you are going to make
13 this argument, how much is it dependent upon things being
14 done right?"

15 Now, can you make that probabilistically? That is
16 the question I want to know, because I think that is the one
17 he is trying to get at.

18 MR. PAWLICKI: I do not know. I personally feel
19 that it could be expanded to include consideration of
20 probability of either the material fracture-resistance being
21 lower or the load being higher or the flaw being longer than
22 we are assuming. And so judgmental factors would enter:
23 How large or long a flaw do you assume?

24 MR. BENDER: That is a matter of how sure you are,
25 the basis on which you are making the judgment is the right

1 basis.

2 MR. JOHNSON: I would like to comment. I think we
3 have gotten off a little bit from what the nature of this
4 review is and what the assumptions are that are behind it.
5 What, as I understand, we are reviewing is a proposal by
6 Westinghouse, is we assume we have a crack that is large
7 enough that it is leaking at least ten gallons per minute.
8 And if we have a crack that large and we then apply certain
9 loads to it as caused by earthquakes or whatever, will that
10 crack grow in a catastrophic manner or will it grow slowly
11 an in a stable manner?

12 What Westinghouse is trying to show us is that if
13 the loads are less than a certain number, which is on the
14 order of 40,000 kps, and what we are trying to do is review
15 that proposition to see whether we agree with it or not, it
16 already assumes a large crack. It is not a tiny little
17 crack, it is a great big one. It is already leaking at a
18 rate which is detectable, because it is ten gallons per
19 minute. And that is above the tech spec limits. The
20 question is: Will that thing propagate in an unstable
21 manner? Given the kinds of loads that you might get under
22 that circumstance and given the material properties which
23 they have made some tests and offered us a lower limit.

24 So I think our problem is to evaluate that
25 proposition. It is not a question to us at this point of

1 probabilities and things like that. It is already assumed
2 to be of a certain size. And the question is will it grow?

3 MR. BENDER: No, I think that is not the whole
4 issue. That is part of the issue. I think the first
5 question is whether we have established the basis that we
6 know the leak will occur; and secondly, that we will
7 detect. There are some other issues involved; namely,
8 having to do with whether the crack would grow in a certain
9 way and the rate at which it would grow.

10 Those may be deterministic. As a matter of fact,
11 I think they are. But we have to start first with the
12 premise that we accept the idea that the leak is there and
13 that we can find it at some point. And I do not know.

14 I have heard the argument that we know that yet;
15 that is a postulate.

16 MR. PAWLICKI: The only thing I can answer to this
17 -- it is not very clear to me either at this time, but I am
18 limited only to the item I had. Nevertheless, to assure
19 that adequate leak detection systems are in place, the
20 facilities listed in the WCAT report -- the detection
21 capabilities should be listed in the report. I agree with
22 you that some, or many of us, have doubts whether the leak
23 detection systems that do exist are adequate.

24 MR. BENDER: I am just trying to separate the
25 thing into pieces. If you want to just listen to the

1 fracture mechanics argument, which is what I think you are
2 trying to present to us, then we have to set aside all the
3 probabilistic things and just say how willing are we to
4 accept the fracture mechanics? That is what you want us to
5 hear, and not be concerned about whether we understand the
6 asymmetric load thing. Okay, we will understand it in that
7 context.

8 MR. JOHNSON: It will have to be evaluated in the
9 larger context; that is correct. What we have received from
10 industry is a proposal that is attacking one portion of it,
11 and we are trying to evaluate that particular portion. But
12 in order to resolve the total A2 issue, the total picture
13 that I have been hearing from the committee this morning has
14 to be included. I did not mean to say that it was not.

15 MR. BENDER: I am not sure what you are trying to
16 resolve this morning.

17 MR. JOHNSON: We are not trying to resolve any of
18 it this morning.

19 MR. BENDER: I will stop.

20 MR. SHEWMON: Let me bring up one other thing
21 which is on at least my mind and, I think, several others'.
22 And that is, we have with this asymmetric load gotten
23 ourselves into the situation where there is a good chance we
24 are decreasing the safety of the plant, perhaps in
25 nonquantifiable loads, but with bolts that come loose and

1 pipes that cannot be inspected or things that hang up so
2 thermal expansion regularly overloads the pipes. And the
3 probability of that is nonquantified.

4 But there is still the suspicion, I think, that it
5 is reasonably commonly believed that maybe if we could get
6 rid of some of these things, we would be ahead, whether we
7 can nail it down in a firm quantified manner or not. I do
8 not what we could explicitly give that, but I think it is
9 there.

10 MR. BENDER: I support that view strongly. But I
11 suspect we are not going to get all that today. Next month
12 I expect to hear a lot more on that too.

13 MR. MARK: Go ahead, Mr. Pawlicki.

14 MR. PAWLICKI: Actually, basically, this completes
15 my presentation. I just wanted to maybe recapitulate the
16 conclusion we have reached, tentative conclusion as of
17 today. One, of course, is that based on compliance with our
18 acceptance criteria, we conclude that full double-ended pipe
19 break need not be considered as a design basis to resolve
20 generic problems. This applies only to asymmetric loads in
21 the reactor vessel cavity. This applies, of course, only to
22 PWRs with stainless steel piping. We are not even extending
23 this argument to ferritic piping. Maybe we will, but at
24 this time it is a very limited scope of this conclusion.

25 Now, the second conclusion is maybe of sort of

1 minor importance. Two domestic utilities have not performed
2 yet their seismic analysis. They are scheduled to complete
3 them by end of 1981. And as long as the maximum bending
4 moment will not exceed 42,000, again we feel that they would
5 be within the group of plants that are acceptable.

6 Finally, the conclusion that I would also mention
7 to you, just a few minutes ago, is we do require that the
8 leakage detection systems in these plants complies with
9 Regulatory Guide 1.45 in order to make reasonably certain,
10 let us say, that large leaks would be detected.

11 Now, my personal opinion, I have some reservations
12 about the leak detection systems. But it is something that
13 I may be wrong. And we will have to look at it.

14 Finally, as I already mentioned also before is
15 that the safety evaluation has been written exclusively for
16 the primary system piping at PWR facilities and
17 stainless-steel piping and for piping systems other than PWR
18 primary systems which do have some service history of
19 observed cracking — and this is putting it mildly.

20 Then, for those systems, consideration should be
21 given to considering flaw sizes different than those
22 specified for the PWR systems, depending on the potential
23 for cracking and leak detection capabilities. This is also
24 different for different plants. We do not have a uniform
25 type or quality or sensitivity of leak detection systems in

1 all the plants we are talking away.

2 Now, in a way coming to Dr. Okrent's argument,
3 which is definitely valid, but in order to establish either
4 the probability or likelihood of the thign happening, you
5 have to have to some data base, in the first place. And by
6 limiting this to PWR experience, primary piping, and
7 stainless steel, we have formed a set which is pretty well
8 known and can se- with reasonable confidence that there is
9 no major leakage or cracking in the PWR and the primary
10 system.

11 MR. OKRENT: How many, I suppose you would call
12 it, discontinuity years, or whatever is the right term, of
13 experience do you have that is applicable in this pipe size
14 and so forth? Do you have, you know, a million
15 discontinuity years, so that you can say a flaw like this
16 has not occurred, or is it a thousand?

17 Because, you know, until a couple of years ago we
18 did not have cracking in turbines in PWRs; right? So you
19 have to be a little bit careful about what your data base
20 is. You say in fact have quite a few discontinuity years,
21 or whatever measure you want to use, to argue that this is
22 the case. But you have to be a little bit careful when you
23 say it has not occurred so far.

24

25

1 MR. PAWLICKI: I remember that was the case. We
2 were told we had no cracking in the primary system and two
3 weeks later we were told we did. So that is a valid
4 argument. So I do not know how many discontinuity years or
5 whatever we had, but it could be estimated a thousand. Of
6 course, I think, as we all very well know, that the
7 probabilities calculated will depend on the size of the data
8 base. If it is small -- it could also be high. ⁻⁴ 10 were
9 based on 10,000 known years of operation.

10 The final -- just what Dr. Okrent was mentioning,
11 it is not a conclusion but sort of a comment, which says --
12 and I will read it -- that the parameters chosen by the
13 staff for our evaluation criteria are sufficient conditions
14 and are believed to be conservative. However, a
15 quantitative estimate of the degree of conservatism cannot
16 be defined without additional experimental data. It is
17 likely that experimental data would show that bending
18 moments higher than 42,000 kps would be allowed.

19 Experiments now being planned by the Office of
20 Research, NRC and industrial organizations such as EPRI
21 should help to clarify this matter in the future. These
22 additional data are not necessary to complete this review.

23 MR. OKRENT: If I can make one last comment. If I
24 try to translate the thinking from WASH-1400 to your
25 problem, I would ask for a common mode. At the moment the

1 most likely common mode that I can think of is that there is
2 a deficiency in the welding such that at one and the same
3 time you have material that is inclined to run if you have a
4 big enough crack and also it is inclined to develop a big
5 crack.

6 So that in fact these are not now random events
7 that you multiply the probability of, but in this particular
8 weld the one is connected with the other. And so the
9 question is in my mind, is there a chance that you could
10 have weld material of that kind? I have to rely on Shevmon
11 or someone else to tell me there is a chance or there is no
12 chance. I can raise the question. I cannot answer it.

13 MR. SHEVMON: Do you want an answer today?

14 MR. OKRENT: Any time you want.

15 (Laughter.)

16 MR. MARK: Can you put it in one word, like yes or
17 no?

18 MR. SHEVMON: Do we have any handout on this
19 presentation?

20 MR. PAWLICKI: No, not at this time.

21 MR. SHEVMON: In particular, I would like to see a
22 written version of what you said your principal conclusions
23 were about what could be used in the cavity for blowdown
24 loads. You read something off.

25 MR. MARK: There is an SER in the course of

1 preparation and that I think will cover the points.

2 MR. SHEWMON: That is the official document?

3 MR. JOHNSTON: That is the official document. It
4 should be available before your next meeting. And in fact,
5 if the Commission is interested we would be perfectly
6 willing to come down and give a more detailed technical
7 discussion. We are simply not ready at this time to do it.
8 We could meet with the Subcommittee or whatever.

9 MR. SHEWMON: But what he read about the
10 conclusion, I would like to see the words, and I can wait
11 until next month.

12 MR. MARK: At this moment it is sort of a draft,
13 unofficially unauthorized conclusion.

14 Thank you. I think this will probably be looked
15 at with interest and also receive more discussion.

16 Shall we go on to our next topic, which is
17 requirements for qualification of nuclear power plant
18 equipment, and it is a report from members of the staff on
19 proposed requirements for seismic qualification of equipment
20 in operating plants.

21 MR. BURNS: Gentlemen, my name is Jack Burns. I
22 am presently on detail to the Generic Issues Branch of the
23 Division of Safety Technology. My home base is the Division
24 of Engineering Technology over in Research.

25 Today I want to present to you a preliminary draft

1 of a task action plan related to the unresolved safety issue
2 A46.

3 (Slide.)

4 And that is related to the seismic qualification
5 of equipment in operating plants. What we are concerned
6 with here today is to present this preliminary draft to you
7 and look for your comments and suggestions on how to improve
8 this program as it stands at the present time.

9 You can see here on the first vugraph, the lead
10 organization is the Division of Safety Technology. I am
11 currently the task manager of this program. It is
12 applicable to all light water operating plants, and we
13 expect the program to be about a three-year effort.

14 Before we go any further, I want to say that we
15 want to split the definition of qualification into two
16 areas: one is the structural qualification of the
17 component, that is, to see that it does not break, it does
18 not distort beyond possible limits, that it does not become
19 unstable nor break loose from its basic foundation.

20 The second category would be operational
21 qualification or in-plant operability. To some degree these
22 will overlap with the structural qualification. An exact
23 breakdown in the definition of the two may be hard to come
24 by. But for qualification we are concerned about fluid flow
25 conditions, things like circuit breaker set points,

1 multi-control operation and processing signals and the
2 like. Obviously, with circuit breakers you could say that
3 this is a distortion causing the break. It could be
4 structural or it could be classified as strictly
5 operability. So that is one of the overlapping difficult
6 points.

7 (Slide.)

8 The objective of this task is to establish an
9 explicit set of guidelines that could be used to judge the
10 adequacy of the seismic qualification of mechanical and
11 electrical equipment at all operating plants.

12 MR. EBERSOLE: May I ask a question? Is it within
13 your scope, since you are taking a broad view of the problem
14 here, to examine the plant mechanical and electrical
15 equipment and structural equipment, for that matter, to
16 validate that that equipment which is not seismically
17 qualified does not fall down and destroy that which is?

18 MR. BURNS: Not specifically, because we are not
19 going to be getting into plant-specific areas. What we are
20 going to be doing here primarily is evaluating equipment for
21 qualification.

22 MR. OKRENT: Can I ask, is the problem thought to
23 be generic in the sense that it is about the same level of
24 possible contribution to risk in all plants, or are there
25 some plants where it has been estimated that the problem has

1 been an order of magnitude more severe, either because of
2 the likelihood, the higher likelihood of the large
3 earthquake, or because of a much lesser degree of confidence
4 in the seismic qualification or some of this kind of stuff?

5 MR. BURNS: At the present time I cannot answer
6 that. After task one of the program, which is the initial
7 task of digging into seeing what the problem areas really
8 are, we may be able to answer it in greater detail.
9 However, this would not be a risk assessment type of
10 program.

11 MR. OKRENT: All right. Then let me put the
12 question this way: Would the advent of this particular task
13 A46, does that mean that for all plants the matter is
14 deferred until resolution of this item? Or are specific
15 plants being looked at to see whether they violate some
16 threshold?

17 MR. BURNS: Plants are right now being
18 specifically looked at, primarily through the SEP program.

19 MR. ANDERSON: Let me address that. You asked,
20 Dr. Okrent, in what sense it is generic. I think we see it
21 as generic in the sense that it would be a set of generic
22 criteria or guidelines, if you will, for the assessment of
23 the seismic adequacy of equipment in operating plants. As a
24 number of the old plants, of course, and equipment was
25 qualified many years ago to various types of standards,

1 there was concern that the techniques that were used to
2 qualify the equipment originally may not have been
3 adequate.

4 The whole thrust of this program is to develop the
5 guidelines that would be used to assess the equipment. Now,
6 as far as waiting until all of these guidelines are
7 developed before we do any implementation on the plan, that
8 is not going to happen. The Environmental Qualification
9 Branch in the Division of Engineering has a plan, program
10 plan, which is in final formulation at this point to address
11 qualification of equipment in the plants.

12 MR. OKRENT: I do not understand what you just
13 told me. You are developing guidelines for -- to get a
14 program in place or something. I missed something.

15 MR. LEVIN: Maybe I could clear that up for you,
16 Dr. Okrent. I think -- okay, this action plan that Newton
17 is referring to is in the final stages of concurrence within
18 NRR. And it is going to come out in the form of a
19 Commission paper.

20 But there are certain activities, and I would
21 characterize A46 as a subset of that activity. Let me give
22 you an example of the activities that are going to go on in
23 parallel, so to speak. Clearly, the first thing you have to
24 do in this area is find out what people did in the early
25 days, and that is like activity number one in the action

1 plan as far as the seismic goes. So there is basically
2 going to be a survey, an assessment of what the status of
3 qualification is and what did people do in the late 1950's
4 and early 60's.

5 I think the work that is being done under A46 will
6 try to address the question, given older standards in light
7 of new criteria, how do we resolve that and what do we do to
8 -- what do we have to do to determine that that older plant
9 is qualified? And that will come out in the form of generic
10 guidelines for assessment.

11 So these things can go on in parallel, and it is
12 not like we are going to do it in series, we are going to
13 wait until this is done and then we are going to go ask that
14 person a question on operating plants. Some of those
15 details I think on the action plan we can discuss later on.

16 MR. OKRENT: Let me ask two questions. The first
17 is with regard to the older plants and the evaluation
18 program, if you found that there is equipment important to
19 safety, if that is the right word, that is not seismically
20 qualified or maybe partly qualified, is there some process
21 by which you arrive at the conclusion whether or not you
22 need to do something, you know, and what is the process?

23 MR. LEVIN: First of all, I would like to
24 characterize the work we have done, and it is I think --
25 part of the genesis of A46, after having reviewed these 11

1 older plants, people learned something about equipment
2 qualification and certain suspicions were created.
3 Therefore, the recommendation was made to study the problem
4 a little bit further across the board.

5 As far as the existing evaluations, SEP is not
6 really making a conclusive finding on the functional
7 capability of the equipment. I think the judgment that was
8 made there was we can only take it so far in that program,
9 that a larger evaluation was needed. The structural adequacy
10 of anchorage and hold-down was addressed directly, some of
11 the mechanical considerations. But as far as functional
12 ability, it was not addressed in the detail that we think is
13 necessary.

14 And so what I would like to say is that some
15 judgments -- I would characterize them as engineering
16 judgments -- were made as to the functional capabilities of
17 those equipments, trying to make some argument -- but it was
18 not a systematic, as well documented as we think is
19 necessary. Therefore the SEP plants do not have a writeoff,
20 so to speak, on the functionability.

21 We have judgments of experts, we have judgments of
22 some staff, and a little bit of data. And really what we
23 need is a prescription, so to speak, coming out of A46 that
24 will tell us how to address functionability more directly.

25 MR. OKRENT: All right. Now I will ask Professor

1 Kerr's question: What was the basis for your judgment? Did
2 you have some kind of acceptance criterion that said, we are
3 willing to take a risk of something, whatever it is per
4 year, of core melt due to earthquake, or how did you do it?

5 MR. LEVIN: To make that kind of assessment, one
6 needs a lot of data and one of the things that we did not
7 have was a lot of data. And quite frankly, we were happy to
8 get our hands on anything that we could that would even
9 suggest that equipment had certain capabilities.

10 MR. OKRENT: How did you decide was it okay or not
11 okay for any specific piece of equipment?

12 MR. LEVIN: To be quite frank, okay, there was no
13 -- well, I would have to characterize it as more ad hoc.
14 There was no well-documented logical rational criterion.
15 That is what we are asking A46 to come up with.

16 MR. OKRENT: Let me ask this question. Were the
17 utilities in each of these cases asked to make the case for
18 why their equipment was good enough, then?

19 MR. LEVIN: As you recall from previous
20 discussions, plants fall into two groups. Some of the early
21 facilities have that burden. They were sent letters
22 requesting them to do this and they were involved in their
23 own programs and they have to do that.

24 Some of the later facilities, the licensees really
25 only got involved in providing -- accumulating as much data

1 as they could. And it was determined that the staff was to
2 perform that review.

3 MR. OKRENT: Now I will get to my final question.
4 Why aren't the utilities being asked to show that their
5 equipment is good enough, and why is the staff developing
6 these guidelines? This is where I was leading.

7 MR. LEVIN: Okay. They are -- and this is
8 certainly very tentative, because the program plan has not
9 been approved yet. But at least now there are plans for
10 requests of that nature to go out some time at the end of
11 July or August, that would say, tell us what you did, tell
12 us why you are okay.

13 But this program is directed toward developing
14 criteria to take a look at that and say, well, is that a
15 convincing enough argument or not. Now certainly the best
16 of all worlds would be that we had the criteria, here it is,
17 evaluate your plant. But that would be working the problem
18 -- there is some difficulty in a procedure like that because
19 the program would take much longer. I think it is going to
20 take longer than we all feel is adequate. So we are trying
21 to work the problem as much in parallel as possible.

22 MR. OKRENT: I don't mind you working in parallel.
23 I am just trying to understand. You feel this -- I am
24 trying to see whether this is needed or you can just ask the
25 utilities --

1 MR. LEVIN: We can ask them what they did, Dr.
2 Okrent, but --

3 MR. OKRENT: Not only what they did, but why is it
4 good enough. Are you going to ask them why it is good
5 enough or just what they did?

6 MR. LEVIN: I would assume we are going to ask
7 that question. That is the issue. But we have to develop
8 some basis for accepting that argument, and I think we are
9 at a point now where we need more study to do that. We have
10 to resolve the differences between the old criteria and the
11 new criteria.

12 MR. EBERSOLE: Mr. Chairman, may I ask a
13 question?

14 What do you do about this rather severe problem --
15 let me put it this way. A seismic event is the only event I
16 know which affects the whole plant simultaneously at one
17 time. There is very good reason that you have to postulate
18 a zero failure consequence for a seismic event, because if
19 you invoke a thing like the single failure criterion in the
20 presence of a common influence like that, then you are wide
21 open to have the next failure and only two will do you in.

22 So the staff has pretty much always said that
23 under a seismic event they will not accept any failure
24 whatsoever of any seismically designed equipment, realizing
25 if they did someone would immediately invoke the second

1 failure and the thing would be done. This implies a great
2 deal of conservatism for the seismic event in particular,
3 since that is the only particular event that I know of that
4 simultaneously affects the whole plant design, and the plant
5 has millions of pieces.

6 What is your general rationale for guaranteeing
7 zero failure in a seismic event, other than extreme
8 conservatism?

9 MR. JOHNSON: I will attempt to answer the
10 question. At present plants are reviewed against the IEEE
11 1971 to 1974 -- against, I believe it is, 1971-1974
12 criteria, depending upon the time at which the CP was
13 issued. And that is the basis for the present plan.

14 Industry already has a whole series of testing
15 programs under way which are being developed under their
16 funding, not ours, and which they have gotten together
17 various groups and so forth and they are funding the work.
18 The sequence for testing instrumentation, for example, calls
19 for a test in which it seems the various power runs, it is
20 aged, it has undergone the seismic-type shaking on a shaking
21 table, which is done by the industry, which we have to
22 review.

23 That is the kind of program that is presently
24 going on. That is already ongoing. We have some interim
25 acceptance criteria which will be discussed -- which is the

1 subject of a Commission paper, and I believe there is
2 something to come to you in the next month in this
3 connection, with our hope of issuing these criteria by the
4 end of this year. So you will be hearing details of
5 acceptance criteria for these type of tests, both for
6 seismic and dynamic loads.

7 All the instruments have to undergo and pass these
8 -- this test sequence, including large pieces of equipment,
9 which are either cut out of the system and put on the table
10 or which transducers are put onto it and shaken according to
11 particular frequencies and frequency distributions, and so
12 forth. That is all a part of the program plan which the
13 Equipment Qualifications Branch has under way.

14 There is a meeting the week of July 7 with all of
15 the industry to discuss this aspect of things, along with a
16 number of other areas of qualifications. It will be open
17 discussion of these interim criteria.

18 The final answer I think is yes, it is due -- as a
19 conservative basis, they are required to pass the test, and
20 if they pass the test they are deemed acceptable. That is
21 100 percent, of course.

22 MR. BURNS: I have a vugraph up on the board now
23 describing the program outline, again strictly for the A46
24 program.

25 (Slide.)

1 These are three consecutive tasks. The first one
2 is associated with the evaluation of equipment -- equipment
3 seismic qualification methods. This is a general task to
4 get us on our feet more or less, to find the pitfalls, the
5 errors, the need for improvements and so forth, in not only
6 the qualification of components as they have been qualified,
7 but also possible methods of requalifying components.

8 There are a number of programs that will fit into
9 this as complementary programs, including all those that
10 will be associated with the equipment qualification plan.
11 One of these in particular is a program which I will show in
12 just a second, which is related to a review of seismic
13 qualification methods in general. This is a research
14 program. This is currently being conducted at the Southwest
15 Research Institute.

16 The second one is the SEP structures program. As
17 was indicated a few minutes ago, this would be aimed
18 primarily at the structural components. The area which we
19 will be concerned with in this task and reviewing ourself in
20 the task will be primarily geared to methods of
21 requalification and merely using the others as supplemental
22 programs to provide the necessary information in that
23 regard.

24 What we are concerned about, number one, is the
25 fact that we have many kinds of mechanical and electrical

1 equipment to be concerned with and we cannot possibly do it
2 on a piece by piece basis. So in Task 1.A we are saying we
3 are going to develop a category of mechanical and electrical
4 components. Now, this category, I do not know how many it
5 will be yet. We have not completely formulated it. I
6 imagine it would be a category, something like those
7 presented in the SSERP program and the fragility
8 calculations in that program, although they may vary
9 depending on what we get into in this investigation in Task
10 1.A.

11 As I say, in Task 1.B it will be the evaluation of
12 methods used to seismically qualify the components
13 themselves, both the methods that have been used to qualify
14 the components as they now stand in plants and the
15 requalification of components.

16 In Task 1.C it is -- we would draw initial
17 conclusions and preliminary guidelines. It will be
18 exceedingly preliminary at that particular point. The
19 conclusions will be trying to point out the areas for need
20 for further development or further investigation of
21 qualification methods. Primarily, as I see it, because of
22 the complementary programs in research and such, we will be
23 concerned with primarily the requalification of components.

24 At the end of Task 1, which will be about a
25 six-month program, we hope to be able to get down and really

1 be able to define Task 2 at that particular time. Before I
2 go into discussing Task 2, though, let me show a couple of
3 other vignettes for a second, mainly on the research program
4 and the SEP program.

5 (Slide.)

6 This is the research program. It is an ongoing
7 program started as of June 1 at the Southwest Research
8 Institute. It is a general program on seismic qualification
9 of nuclear plant mechanical and electrical equipment. It is
10 not restricted at all to the review of methods used in
11 current operating plants, but also looking at the present
12 methods for the new plants.

13 There are about four or five tasks associated with
14 this program. The first two tasks will be used to feed
15 information into our particular task action plan. Task 1 is
16 concerned with the evaluation of methods of seismic
17 qualification of components, and here we are basically going
18 to be developing the advantages, disadvantages and anomalies
19 and so forth associated with the methods of both past and
20 present.

21 Task No. 2 will be the correlation of the seismic
22 qualification test methods as generated from Task 1, how
23 does one method compare to the other. If you had tested a
24 component or are planning on testing a component by one
25 method, how does it compare with today's criteria or how

1 would it compare with other methods and so forth in a
2 ranking type of order?

3 The correlation functions we have not decided as
4 yet. They will probably be related back to some type of
5 damage function. The other functions in this task are
6 associated with fragility methods and so forth, again
7 related to the overall equipment qualification program, not
8 specifically to this particular program.

9 The second program which we will definitely feed
10 information into this will be the SEP program. As indicated
11 before, there are two phases in this. The first phase
12 consisted of a five-plant review. It was review of existing
13 seismic documents and limited re-evaluation of those
14 documents.

15 The five plants -- I understand the results of
16 four of these studies have been released now in NUREG
17 reports. The fifth one is to be released very shortly.

18 Phase 2, the current phase, and this involves six
19 operating plants, the licensees are required to reanalyze
20 their facilities effectively and update if necessary their
21 seismic designs. This is primarily -- the second phase is
22 primarily concentrating on the structural aspect, not on the
23 operability aspect of qualification.

24 MR. OKRENT: Why is that? I am trying to
25 understand. It seems to me I read everywhere that the

1 licensee is responsible for the safety of the plant, we want
2 to improve management to know about the safety of the plant
3 and so forth. Why would you not want the licensee to look
4 at his plant and examine it and judge that it is okay and
5 tell you why, or in fact judge that maybe here or there he
6 needs to do something?

7 MR. BURNS: I cannot answer specifically why the
8 SEP group does not look at the qualification. In essence,
9 they are relying on other organizations, possibly the
10 Equipment Qualification Branch and so on, to do that aspect
11 of it. I have not been into it deep enough to understand
12 right now as to why they have not. I imagine it is
13 associated with manpower or other needs or something along
14 those lines.

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1 MR. OKRENT: I did not think the NRC staff had
2 excessive manpower.

3 MR. BURNS: In this particular branch I am talking
4 about.

5 MR. OKRENT: It is not clear to me you are taking
6 the Licensee's job here. I am trying to understand the
7 philosophicsI --

8 MR. LEVIN: Dr. Okrent, the SEP owners group has
9 initiated an effort. Together they are addressing this
10 concern, and in fact, they are ahead of the rest of the
11 operating reactors in the sense that they are getting
12 together, trying to develop a data base of information that
13 might be useful from one plant to another. That is step
14 number one that they have undertaken, and the burden has
15 been appropriately placed on them. They are working on it,
16 and they have got programs in place, generic cable tray
17 shaking program, etc. They have got -- they have been
18 trying to develop a data base for control centers and
19 various categories of equipment, and then the subsequent
20 steps will be to look at the specific plants and make
21 judgments as to primarily similarity arguments, why their
22 equipment can be qualified at looking at the data base of
23 information. And that is generally the steps that have been
24 taken.

25 MR. OKRENT: That sounds, I mean, good, but I am

1 trying to understand the NRC approach at the moment, to ask
2 the Licensees to look at the structural but not the
3 performance function ability.

4 MR. LEVIN: They have been asked, and that is why
5 they have instituted this program.

6 MR. OKRENT: They have been asked?

7 MR. LEWIS: Yes. There were 50.54F letters that
8 went out in, I cannot recall, January 1979 or something like
9 that. Don't quote me on the date, but certainly they went
10 out a while ago.

11 MR. MOELLER: You listed several items of input.
12 What about foreign studies and research?

13 MR. BURNS: We will be following the HDR program
14 through our research efforts. We have a program in
15 research. We will definitely follow that aspect. I
16 believe the same emphasis will be given to the Japanese
17 programs. Information that comes out of those two programs
18 will definitely feed back into this, especially for things
19 like the HTGR where they are actually putting explosives in
20 their plant as a method of -- which can be interpreted as a
21 methods of requalification.

22 Not judging adequacy or guidelines for
23 requalification, these will definitely be an input. We will
24 be following those as best we can.

25 MR. MOELLER: Is there any coordination with them

1 or are you just following?

2 MR. BURNS: In research we have a coordination
3 contract. John O'Brien is the fellow responsible for it. I
4 believe somebody from AMCO is over in Germany right now
5 through our funding seeing what is going on. I do not
6 believe we have anybody over in Japan right now. I could be
7 wrong in that regard. I just do not know.

8 MR. KERR: Is anyone within NRS looking to see,
9 given what I assume is the case, that a Licensee cannot do
10 all of the things that he is now being required to do
11 simultaneously, what is the most important, and what should
12 be given priority, or is it assumed that he can carry out
13 simultaneously all of the requirements that are being placed
14 on Licensees?

15 MR. BURNS: I think I could only say from our
16 guidelines -- from the guidelines standpoint, we would
17 probably put emphasis on the important areas and so on.
18 From the actual licensing standpoint, I am not involved with
19 that area. I cannot answer your question, the licensing
20 procedure.

21 MR. KERR: Who could I ask, short of the
22 Commissioners, who might know the answer to that question.

23 MR. ANDERSON: I do not know if I can give you a
24 very satisfying answer or not, but of course, when we put
25 too many requirements on the utilities, they are not

1 hesitant about telling us about it. The clearinghouse for
2 all of this would be the Division of Licensing, of course.
3 They are the people who are in principal contact with the
4 Licensees and the applicants. I am aware that in a number
5 of instances the Licensees have screamed because they do
6 have so many requirements, and they may very well have a
7 good point. But we do not have any real control over that
8 at the level we are working this problem. We certainly
9 intend to coordinate anything that we do through the project
10 managers on the plants, and if we get into the business of
11 dealing with the plants or with the Division of Licensing.

12 But I am afraid that we are not in a position to
13 do a lot about it right at this stage.

14 MR. SHEWMAN: On the top, if you just took off in
15 an effort to get through your presentation, I am interested
16 in the seismic qualification of supports for steam
17 generators or pumps, something whose toughness is of concern
18 on a different part of the forest at this point in time.

19 In what you are doing here, what you would do is
20 an elastic analysis, I presume, if elastically these things
21 were strong enough to cope with all the oscillations that
22 somebody might come with an earthquake, why, that would
23 certify them as being strong enough to keep the steam
24 generators and pumps in place.

25 MR. BURNS: The applicant is being asked to

1 reanalyze and submit analyses. I would think that today's
2 capabilities would probably be an elastic analysis, and
3 undoubtedly it will get down to considering the supports and
4 such. I think that this would have to be part of this
5 analysis to be acceptable from the SEP people, that
6 standpoint. Definitely, our guidelines definitely, supports
7 will be considered in our specific program, whether it comes
8 out of the structural end there or we come up with it on our
9 own. From my aspect, supports are an ultimate factor. If
10 you have support failure, the component is effectively
11 useless to a large degree. So we would have to consider
12 those.

13 MR. SHEWMAN: Thank you.

14 MR. BURNS: Let me go back now to the Task 2.

15 (Slide)

16 MR. BURNS: This is our A46 program. Here we say
17 we want to develop methods for qualifying equipment in
18 operating plants. Now, this is a limited type of program.
19 Remember, we do have the overall encompassing program of
20 equipment qualification, and this will in turn fund a number
21 of research and development areas and such to complement
22 this. What I anticipate that we will be concentrating on in
23 this task, it will be on the requalification methods. Our
24 current program is to go on the outside with a funding. I
25 don't know as yet if we have defined what the program really

1 will be after we finish Task 1, before we say whether we
2 want to go to a national laboratory or go out on bid or so
3 forth, but we will go in the direction of what seems most
4 appropriate to getting the best solutions to the problems as
5 we identify at the end of Task 1 and the start of Task 2.

6 But as I see it right now, since the other
7 programs like the research programs and such will be
8 concentrating on the basic methods of qualification, this
9 program here will probably concentrate more -- mainly on the
10 requalification from the operability standpoint for
11 equipment in operating plants.

12 MR. BENDER: I may be treading on ground that has
13 been covered maybe one way before, but let me try anyhow.

14 A great deal of what you can do in terms of
15 qualification has to do with being sure that the mounting
16 arrangement for the equipment is representative of
17 circumstances in the plant, and furthermore, you understand
18 the transmission of the forces.

19 MR. BURNS: Right.

20 MR. BENDER: Through the plant.

21 In trying to develop this task, how is it that you
22 plan to get such circumstances defined? If I wanted to go
23 buy a piece of equipment and somebody says, well, it is
24 seismically qualified according to Category 1 or Category 2
25 or whatever those things are, what is it that they have told

1 you? Have you thought about what you were going to get?

2 MR. BURNS: To me, if I were buying a piece of
3 equipment and somebody said it was qualified to Category 1
4 or 2, I would look to see what qualification, what that
5 really consisted of.

6 MR. BENDER: What is going to come out of this
7 when you catalogue all this equipment that need to be
8 seismically catalogued? I have got to ask well, now that I
9 have made the list, how do I convert the list into something
10 that represents a physical set of requirements, a circuit
11 breaker, for example? What is the qualification requirement
12 for a circuit breaker? Is it going to be that the breaker
13 has to open and close while the seismic event occurs or
14 what?

15 I am just using that as an illustration.

16 MR. BURNS: I think operability is to assure that
17 in a seismic event the component, whether it be electrical
18 or mechanical, will perform its function during and after
19 the seismic event.

20 MR. BENDER: That is easy to say, but I am trying
21 to say now -- I have said it, and now somebody is going to
22 show me that it will do that. What would you expect?

23 MR. ANDERSON: Let me try to answer that. I think
24 we do recognize the problem. I see the problem that you are
25 getting at. In two years maybe we can give you the answer.

1 The problem is the guidelines that are developed will have
2 to include both how you interpret the particular design
3 basis at the plant as well as the mounting of equipment, the
4 function of the equipment. How successful we will be in
5 coming up with a set of generic guidelines for this remains
6 to be seen. That is the problem that we are approachin. We
7 recognize it is a difficult problem, but it is something
8 that we feel is needed. You cannot take a piece of
9 equipment that has a statement tha it is seismically
10 qualified and move it from plant to plant and expect it to
11 have the same degree of assurance that it is going to
12 function.

13 MR. BENDER: That is where the concerns are
14 arising I think in the industrial element. Everybody says
15 we have to do it better, we have to do it different. But --
16 and so we are going to start this task action plan, and we
17 are gong to do it different and better. but when you get
18 down to asking, well, how is it going to be different and
19 better, all I can get from people is that it is going to
20 be. It does not seem to me like there has been such
21 analysis of what would have to be done to make it different,
22 better or both.

23 MR. ANDERSON: Another facet we see is to
24 determine how well we do it. Then the answer may very well
25 be not very well.

1 MR. BURNS: I think we will be in a better
2 position to answer your question after we have finished Task
3 1. I think we will have a much better handle as to where we
4 have to go when we get into Task 2.

5 Task 2 I would not attempt to define what we will
6 be doing in detail in that particular task in the way of a
7 work statement for a potential contractor.

8 MR. BENDER: Do you have a schedule for Task 1?

9 MR. BURNS: I am hoping to see it finished by
10 October, November, sometime in that time period.

11 MR. BENDER: Who is going to be doing it?

12 MR. BURNS: Basically in-house in conjunction with
13 the other programs, in specific, the SEP program.

14 One advantage for the research program, I am the
15 task manager on the research program also.

16 MR. LEWIS: Could I just follow up one thing that
17 Mike's question reminded me of, using circuit breakers as an
18 example? One of my memories of World War II is that when
19 you shot a gun, the circuit breakers opened on the ship.
20 That was simply a routine event, and they functioned just
21 fine. They did what they were supposed to do, which was
22 open, and then they would also well after the shot because
23 you would close them again. An obvious solution to that is
24 make them more resistant to opening, tighten up the
25 springs. But that produces safety problems of a different

1 kind.

2 Now, somewhere, somebody must be thinking through
3 these things, and if I understood the sense of what Mike's
4 question was, it was laying on reasonable criteria that are
5 directed toward the purpose of the instrument, and the
6 circuit breakers were a good example.

7 MR. BENDER: They happen to be a troublesome one,
8 too.

9 MR. LEWIS: That is my memory. That is my memory.

10 MR. BURNS: That would be beyond the scope of this
11 program here.

12 MR. LEWIS: I see.

13 (General laughter.)

14 MR. LEWIS: That is an extremely interesting
15 answer.

16 MR. ANDERSON: That is not really beyond the
17 scope. It is not really beyond the scope of this program,
18 part of the implementation. It is really part of the
19 implementation.

20 One of the key things you bring up here is the
21 time that it is required to function, and whether it can
22 live through an event and function later, or what its
23 function is supposed to be. A relay, for instance, may very
24 well open up in the chatter, but as long as it is there and
25 will function when required, it is also important. It is

1 one of the important facets of this program dealing with the
2 operability question.

3 Of course, the operability has to address the time
4 of function and the importance of the function and the
5 consequences of not functioning, are there alternate ways of
6 performing the same function? There are a number of facets
7 to it, and I think that although these may not all show up
8 in the guidelines, we are certainly aware of them and we
9 will consider them in the development of the guidelines.

10 MR. LEWIS: That is precisely the collection of
11 things that were raised by Professor Kerr a few moments ago
12 because in order to do that, you can set your priority list
13 in such a way that these requirements then mesh with all the
14 other requirements on the safety of the plant. There has to
15 be ultimately a safety philosophy that tells you what you
16 are going to emphasize, what you are going to regard as more
17 important, and as you make changes in requirements, how they
18 will have an impact on other requirements.

19 And I must say, in the efforts I have made to find
20 that philosophy within NRC, because I am defective, I have
21 been unable to determine it.

22 MR. BENDER: Let me add a minor point maybe to
23 what you just said. Some members of this committee are
24 proponents of seismic scram. One of the bases on which
25 seismic scram will make sense is if it will get the plant

1 into a safe state so you don't have to worry about doing it
2 at the time when the worst shaking occurs. That is an
3 essential element of this evaluation.

4 If a plant can get itself into a state where the
5 seismic event cannot cause any worse problem than you
6 anticipate, then what the seismic qualification is may be
7 moot.

8 I do not want to press people to shake equipment
9 whose function has already taken place before the event has
10 reached the situation of -- where shaking is important, and
11 somehow or other you have to get to that logic. So far we
12 have not succeeded to do it, and I would hope this effort
13 would deal with that timing question as much as anything
14 else.

15 MR. BURNS: As I mentioned when I first came up,
16 one of my purposes here is to get your suggestions and
17 comments, and I have been writing like mad, and we will go
18 over the transcript and definitely want to incorporate or at
19 least consider as many of your comments as we can to improve
20 this program.

21 MR. RAY: What has been our experience in the
22 world? Do you have any information where there have been
23 rather severe earthquakes and nuclear power plants have
24 ridden through them, we know the pipes have not fallen down,
25 we know the structures have not failed? Has there been a

1 widespread malfunctioning of circuit breakers afterwards?

2 MR. BURNS: I do not know, but I can imagine there
3 would be some that may have tripped. They may have come
4 back on again with the design.

5 MR. LEVIN: All I can say is that a very limited
6 data base of experience with particular power plants -- I
7 guess you would have to characterize the experience as being
8 that there are no known failures of electrical control
9 systems or the ability to plan in total to get the plant
10 into a safe state.

11 On an isolated basis the information is sketchy.
12 We have had difficulty learning what happened at Fukushima
13 after the earthquake on a component basis. We have other
14 pieces of data at fossil units and things like that. It is
15 hard -- all you can really conclude is the plant in toto met
16 its function. At nuclear plants, you know, the way this
17 conversation has gone, in fact a circuit breaker may trip;
18 that may or may or not be a problem. You may have times to
19 go reset it, but the data base of experience is just so
20 small that I do not really think it can be addressed.

21 MR. RAY: It might be a good idea to set something
22 up so that the data base can start to be accumulated.

23 MR. LEVIN: We need a couple more earthquakes.

24 MR. RAY: They are happening.

25 MR. EBERSOLE: May I ask a question about Item 1A,

1 please? It says there catalogue of mechanical and
2 electrical equipment, and I can see a huge basket with
3 zillions of items in it that you are going to have.

4 I would like to ask, if you break that problem 1A
5 down into a system by system basis, for instance, the most
6 important systems at the plant under a seismic condition are
7 those which enable a successful shutdown without the
8 presence of any loss of coolant accident or whatever, and
9 that is a different set of systems than you have for
10 mitigation of LOCA. As a matter of fact, you could argue,
11 since you have to proclaim that seismic resistance is
12 perfect because you cannot have one failure because then you
13 will have two that you indeed will not have a LOCA. So why
14 do we spend all this money on having seismically qualified
15 LOCA mitigation equipment which we do?

16 The presence of seismically qualified LOCA
17 mitigation equipment is contrary to the fact that we are not
18 supposed to have any damage to that very good primary loop.
19 But there is a differential requirement in the matter of
20 safe shutdown equipment. It ought to be a great deal better
21 than the LOCA mitigation equipment, and the seismic safety
22 margin ought to reflect that fact in particular, that we
23 will not have any failures in that system, since to have one
24 implies a failure of more than one.

25 MR. BURNS: Very good point.

1 Yes, in the breakdown -- in the categories, we
2 will consider definitely the functional aspect, again
3 relating back to the systems and so forth. We will be
4 looking at the size of equipment and the methods of
5 qualification, the methods that have been used to qualify
6 equipment. The reason for sizing it in, some valves are
7 small enough you can test it on a machine. Others are too
8 big. You have to relate it back to a combination of
9 analyses and equipment testing. I understand what you were
10 saying. We will have to keep that in mind.

11 All right, in Task 3, that is the terminal stage,
12 and this will be the establishment of the actual
13 guidelines. We will base our guidelines on the results of
14 the Task 1 and 2 study. We will base them on the results of
15 the overall equipment qualification program, results of
16 those studies, including research study. We will base these
17 on the results of the SEP program.

18 We expect to be generating these guidelines in
19 final form in two or two and a half years from now. We will
20 release these guidelines, and in terms of a final NUREG
21 report, to have to go through the process for review and so
22 on, sending it out and so on, and upon completion of this we
23 will also try to endeavor to incorporate or at least
24 initiate changes, any necessary changes, any potential for
25 General Design Criteria Rule changes, and Standard Review

1 Plan changes and so forth because of this.

2 So that is the overall program we have.

3 I wanted to present just one more viewgraph, and I
4 will give you a rough idea of how these programs will flow
5 together.

6 (Slide.)

7 MR. BURNS: Essentially what I am showing here in
8 the center block is this generic issue program, this Task
9 A46. Again, we show Task 1 as being roughly a six month
10 phase. Task 2, we believe it will run for about a year or
11 so. And Task 3 will be about, we figure, a total of a year
12 to get it completed.

13 The object there, by the time we do all the
14 reviews and so on, it takes time. I would estimate that the
15 report, the preliminary NUREG report, will be finished
16 sometime in the summer of '83.

17 This also reflects back on the research program as
18 the bottom line below the dashed line showing the phases 1
19 and 2 and how these will reflect in to support the Task 1
20 and 2 of our program, and also the SEP creeping in.

21 In addition to this, obviously we have the overall
22 equipment qualification program. Things go on there which
23 will basically feed in continuously. We have a good handle
24 -- we will definitely have good coordination between the
25 research program and the NRR safety program, the generic

1 issues, because I am handling both of them. I am the task
2 manager on each.

3 That is about my presentation. I can answer any
4 more questions or receive any more comments or suggestions.
5 I would be happy to.

6 MR. MARK: I guess you have had a number of
7 questions. Thank you, Mr. Burns.

8 MR. BURNS: Thank you.

9 MR. MARK: I guess we will have a ten minute break.
10 (Whereupon, at 10:43 o'clock a.m., a brief recess
11 was taken.)

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1 MR. MARK: We are going to hear a report of the
2 DOE safety assessment of their own reactors. We will hear
3 from Colin Heath.

4 MR. HEATH: Thank you very much.

5 As Dr. Mark says, my name is Colin Heath. I am
6 with the Department of Energy. And I am here to give you a
7 report today on the activities at the Department of Energy
8 which have paralleled the investigations post-Three Mile
9 Island to assess the lessons learned from Three Mile Island
10 and to apply them to the Department of Energy-owned and
11 -operated nuclear reactors.

12 Let me start off by saying that I am normally
13 employed in the area of nuclear waste management. I have
14 addressed you before concerning radioactive waste disposal.
15 I am on temporary assignment, reporting to the
16 undersecretary of the department to spearhead a task force
17 that is working on implementing corrective actions that have
18 been identified as a result of the review conducted by the
19 department.

20 Let me say that in the audience I have here today
21 Mr. Jack Crawford, who was the chairman of the committee,
22 the nuclear facilities personnel qualification training
23 committee. I will not say that again; I will just call it
24 the "committee," the "Crawford committee," since we have the
25 Kemeny Commission and the Rogovin Report. Mr. Crawford was

1 the chairman of the group that wrote the report, and he has
2 agreed that if we get into very detailed discussions of some
3 of the individual assessments involved, he will assist me in
4 answering the questions, the detailed questions that might
5 come up.

6 (Slide.)

7 I think that you all have copies of the vugraphs
8 that I am using, but I will flash them on the screen
9 simultaneously.

10 First of all, I am just summarizing, of course,
11 the sequence of events beginning with the accident at Three
12 Mile Island on March 28, 1979. Now, let me say that because
13 of the amount of time in this presentation, the things I
14 will be describing to you today are what I would call the
15 "official" investigations and the "official" activities, and
16 in order to simplify the presentation, we will be focusing
17 on those things that are official.

18 I am sure that, as you are aware, that just as
19 individual utilities and the Nuclear Regulatory Commission
20 have had an ongoing series of reviews and activities
21 following the lessons learned from Three Mile Island, I want
22 you to know that that has also been the case at the
23 Department of Energy and that from -- as soon as the
24 information started to become available, individual field
25 office managers and responsible reactor operators were, of

1 course, conducting ongoing activities and evaluations.

2 And what we are focusing on today are those
3 official things that were done by the department.

4 The other thing, I would like to begin with the
5 premise you will observe that the focus in this
6 investigation, the studies are on the defects and
7 deficiencies. And I must say that as I have gotten involved
8 in this, one tends to get an impression that, "My God, there
9 is nothing going right. Everything is wrong." But I think
10 that is a result of the fact that the report is an
11 assessment whose job it is to find out those things that are
12 deficiencies. And I just wanted to give that as a caveat at
13 the beginning, because the conclusion of the report, as you
14 will see, is not that everything is terrible, but the focus
15 is on those things that do need to be corrected.

16 Referring just for a moment to the summary of
17 events, there was this committee established in October of
18 '79, shortly after the issuance and the availability of the
19 Rogovin Report. And this committee, its original charter
20 and the reason for the name derived from the fact that the
21 initial impression was that the problems at Three Mile
22 Island were caused by inadequate operator training. And so
23 the committee started out being the nuclear facilities
24 personnel qualification and training committee.

25 Now, shortly after the committee was formed, the

1 Kernen Commission report was issued, and it became apparent
2 that the problems were deeper than just personnel training.
3 And so the scope of the committee was expanded to include
4 all of the elements of the Kernen Commission report and not
5 just personnel qualification training.

6 The committee prepared a detailed plan of action
7 which was subsequently improved and, as you can see, spent
8 in excess of a year doing detailed evaluations and submitted
9 a report to the undersecretary of the department on March
10 10th of this year.

11 The undersecretary immediately responded to that
12 report and identified the necessity to respond to the report
13 and created a group to prepare an action plan to respond to
14 the findings of the report. And as you will see as I get
15 into the presentation, you will see that this was high
16 top-management people who were given the direct
17 responsibility to take whatever corrective action was
18 required.

19 This action plan was submitted to the
20 undersecretary on the 14th of May and was subsequently
21 approved on the 20th of May, and the Secretary of Energy was
22 briefed at the time so that he knew what was going on in
23 terms of corrective action.

24 And the task force that I am heading was created
25 on that day, and I am here to tell you about where we are

1 after about two weeks since this task force was created.

2 (Slide.)

3 Let me just mention in passing, the membership of
4 the committee that did the evaluation. The chairman was Mr.
5 Crawford, as shown here. The point that I want to
6 illustrate here is that high-level people within the
7 department were selected, all at the deputy assistant
8 secretary level. The two people who have the asterisks on,
9 they left the department with the change in in the
10 administration around the end of January, early February,
11 but they were participants in the early work of the report.

12 (Slide.)

13 Just to refresh your memory, since I have said
14 that the Kemeny Commission subjects were the subjects of the
15 report, you may recall that in the Kemeny Commission report
16 in their recommendations sections, they had these following
17 topics that were identified and the Crawford committee then
18 developed equivalent headings.

19 And the only changes that were necessary, of
20 course, is that where the Kemeny Commission was looking at
21 the functions of the Nuclear Regulatory Commission, the
22 Crawford committee was looking at the functions of the
23 department, safety overview functions; and where the
24 Commission was looking at the utility and its suppliers, the
25 equivalent entity within the department are those programs

1 that have the responsibility, the line programs within the
2 department, for example, the assistant secretary for nuclear
3 energy.

4 The other topics that are addressed are identical
5 to those covered by the Commission.

6 (Slide.)

7 Now, what are we talking about here in the scope
8 of what has to be addressed? How many reactors does the
9 Department of Energy own? It turns out the Department of
10 Energy owns and operates 84 reactors. Of these 84, 67 are
11 operable and 17 are on standby condition, many of which do
12 not even have fuel loaded into them.

13 Of the 67 operable reactors, they break down as
14 follows: 8 naval prototype; 22 test reactors -- this varies
15 from the FFTF down to the Argonne fast-source reactor which
16 is a very low power level. We have some production
17 reactors, two basic types: the NPR at Richland, with the
18 power level shown; and three reactors operating at Savannah
19 River, C, P, and K. The rest of the reactors out of the 67
20 are small critical research or zero-power reactors or
21 transient test reactors.

22 Now, when the committee addressed the scope and
23 the number of reactors the department had, it elected to
24 select from those a representative set for more detailed
25 evaluation. And they selected this set using these types of

1 criteria:

2 (Slide.)

3 They focused on those with the higher power
4 levels, on which would therefore represent the highest
5 inventory of fission products. They used the potential
6 off-site risk as a criterion, and they tried to maximize the
7 number of organizations which would be subject to the
8 review, the number of program organizations that were
9 dealing with reactors. Using these criteria, the committee
10 identified 13 reactors owned by the department which were
11 considered representative for the purposes of the survey.

12 Now, for comparison purposes, we put the key
13 parameters of the Three Mile Island reactor at the bottom of
14 the chart. You will see in this list in which the reactors
15 are listed in order of descending power level, the NPR has a
16 thermal power of 3800 megawatts thermal down to the bulk
17 shielding reactor with a power of 2 megawatts thermal.

18 I would like to call your attention to the
19 conditions that are indicated here by comparison to the
20 conditions at Three Mile Island. With the exception of the
21 LOFT facility, which, of course, is intended to duplicate
22 conditions in a commercial reactor; and then, of course, the
23 NPR, which has as one of its products steam as the
24 generation for electricity.

25 With the exception of those, the primary pressures

1 are significantly lower, and the average primary loop
2 temperatures are significantly lower than those that are
3 normally found in commercial reactors, with the obvious
4 exception, of course, of the liquid metal reactors, the FFTF
5 and EBR2. So these were the representative reactors
6 listed. And we also listed their location for completeness.

7 (Slide.)

8 Now, as part of the review done by the committee
9 of the 13 reactors, in addition to extensive review of the
10 documentation and the procedures and the incident reports
11 and the like, there were also conducted detailed on-site
12 review of four specific reactors. And the four reactors
13 that were subjected to this detailed review are the four
14 shown here: K production reactor at Savannah River, ATR in
15 Idaho; HFIR; and the HFBR.

16 Now, the obvious question is: Why was not the N
17 reactor analyzed? It had been planned to analyze the N
18 reactor, but during the time of the committee's primary
19 activity, there was a labor stoppage going on in the Hanford
20 area and it was just not possible to be able to get in there
21 and conduct an assessment during the time of the labor
22 problems.

23 However, the assessment of the N reactor is being
24 conducted and has been conducted by the field office
25 operations. And it was certainly not our intent to neglect

1 that. But the specific committee headed by Mr. Crawford
2 looked to these four.

3 In addition to extensive preparations, the field
4 reviews were conducted by nine to twelve people. Each
5 review team was headed by a member of the committee. It
6 included qualified consultants both within the Department of
7 Energy and from the private sector.

8 Nine reactors that were not visited, their
9 assessment by the committee was limited to document reviews
10 and interviews with key personnel.

11 (Slide.)

12 Turning to the major findings, first of all, of
13 the Crawford committee, I have reproduced them exactly here
14 with quotes. These are exactly the texts in the committee
15 report.

16 The first finding was that, "There was no evidence
17 that any of the DOE-owned reactors are being operated in an
18 unsafe manner or that any of these should be shut down
19 immediately." However, they did find a number of
20 significant deficiencies existing in the management
21 activities and both on-site and at the headquarters.

22 Now, the obvious question is: In the light of
23 these deficiencies, why did they find it acceptable not to
24 order the shutdown of the reactors? I think that in the
25 same sense of the reviews done of Three Mile Island did not

1 lead to a recommendation to shut down all operating
2 reactors, I think you have a similar situation here. The
3 report stated that they felt that the continued operations
4 were justified as long as there were expeditious corrections
5 of the defects that were identified in the report.

6 They also took note of the fact that the majority
7 of the DOE-owned reactors have significantly greater safety
8 margins because, first of all, many of them are very remote
9 locations in the middle of large government reservations.
10 Some of them have infrequent operation and, of course, the
11 properties of pressure and temperature that were previously
12 identified.

13 The other third major finding was there a need to
14 strengthen substantially both the technical and managerial
15 capabilities within the department, and that at the time of
16 the review many of the TBI "lessons learned" had not at that
17 time been adequately addressed or applied in the programs.

18 (Slide.)

19 Now, let me just point out -- I think I am going
20 to go out of order a little bit from what you have -- there
21 were some recommendations made by the committee that fell
22 into a specific category, and that had to do with the
23 organization.

24 Now, the subtask of the subcommittee of the
25 Crawford committee that wrote these recommendations

1 acknowledged that the recommendations did not necessarily
2 represent the only feasible solutions and acknowledged that
3 the reviewers were not in all cases not in the best position
4 to understand all the implications of the recommendation.
5 And so they characterized the recommendation as one of
6 several alternatives which could be considered to improve a
7 significant safety area.

8 Now, they have specific recommendations that it
9 was necessary to ensure continuous attention at the level
10 above the assistant secretary level in the Department of
11 Energy. As you will see shortly, the changes that are being
12 made in the department's organization will ensure that the
13 undersecretary receives information on a frequent basis and
14 is kept aware of the safety situation.

15 But the organization responsible for this will not
16 directly report to the undersecretary, rather, the assistant
17 secretary for -- and this is a a long title -- environmental
18 protection, safety, and emergency preparedness, which we
19 call "EP," for short; that this assistant secretary is a
20 secretarial officer who does report to the undersecretary,
21 and it would be his responsibility to ensure that top
22 management was kept aware of the safety activities in the
23 department.

24 There was another recommendation: to establish an
25 independent safety overview group reporting directly to the

1 undersecretary. And as you will see, it has been decided to
2 place the group within the ASEP organization. That has the
3 necessary skills and qualifications and is operating at a
4 level where they will be able to put their primary emphasis
5 on nuclear reactor safety alone.

6 And finally, the recommendation to establish a
7 group of experts external to the department to advise the
8 secretary. Now, in this regard, it was noted that this
9 committee, the MCRS, is available to work with the
10 department in the design of and review of new reactors, as I
11 understand has been done with the FFTF, for example. And so
12 it was not felt necessary to create, if you will, an ACRS
13 for the Department of Energy.

14 Now, then, I would like now to talk about the ways
15 in which the department has responded to the committee
16 report. And what I will be doing is focusing on the
17 findings of the committee as opposed to the recommendations,
18 trying to show you how the specific findings of the
19 committee were responded to by the department.

20 Now, as I said, rather than going through each of
21 the individual recommendations and comparing them to the
22 alternates that in some cases were selected and in some
23 cases the recommendations were accepted, I am going to go
24 back to the basic findings and compare those to the actions
25 taken by the department.

1 Let me just say, however, that as you can see
2 here, the report was submitted on the 10th of March, and the
3 undersecretary directed these officials of the department,
4 who were the assistant secretaries who were then there, as
5 you will see, they are practically all acting. but I think
6 this is because of the fact that as with many agencies in
7 the government permanent officials have not yet been
8 appointed and confirmed in many cases. So the people who
9 are acting in the role of assistant secretaries, these
10 people, of course, are the defense programs and the nuclear
11 energy and the director of the office of energy research.
12 These represent the heads of these program organizations
13 that have responsibility for operating nuclear reactors.

14 This is the very long title for the acting
15 assistant secretary for environmental protection, et al.,
16 that I mentioned, and then the deputy director of
17 administration in the department.

18 As this group began to review the Crawford report,
19 many of them, because of their line responsibilities, were
20 instructing people in their organizations to respond to
21 specific findings and to take corrective action on a routine
22 basis. However, the collective work of the assessment of
23 this group was represented in an action plan submitted to
24 the undersecretary, as shown, which after review and
25 consideration was approved. And at that time I was

1 appointed to head this task force for those things that were
2 called for to be done within headquarters.

3 Now, some of the things that needed to be done
4 needed to be done by the field office. And the
5 undersecretary the following day directed the field offices
6 in a written order to proceed with those things called for
7 in the action plan. That was their responsibility.

8 (Slide.)

9 Now, what I want to go into now is -- hopefully, I
10 will not get bogged down too terribly much in detail -- but
11 what I have done here is I have tried to describe the basic
12 findings that the committee found in each of the areas that
13 correspond to the chapter headings of the Kemeny Commission
14 report and characterize the type of findings that were made.

15 So, starting with the first function, which would
16 be equivalent to the NRC function in the Kemeny Commission
17 report but in this case it is the DOE safety overview, there
18 were six findings found in this category.

19 Now, the first one has to do with the direct
20 personal involvement in the program of the top management.
21 And the committee found that because the top management in
22 the department has so many other priority things that it is
23 responsible for, that it really did not have adequate focus
24 on nuclear reactor safety. And the committee called for a
25 higher level of attention by the top management.

1 The next one, referring to the independent reactor
2 safety overview and as illustrated in their main
3 recommendation, the finding was that this was not
4 technically an administratively as strong as it should be
5 and corrections were needed. And they also noted, as said
6 in the next finding there, that the organization level in
7 the department was too low. The reactor safety overview
8 function was at a level that, in their opinion, was too low
9 in the organization for top management visibility and should
10 be elevated.

11 The next finding speaks to the technical
12 capability within the DOE safety organization, a finding
13 reflected in the main finding that I have already shown you.

14 The next one had to do with the degree to which
15 the department's management directives, or what we call the
16 "DOE orders," was specific in their requirements. And there
17 was a finding that too many of these DOE orders were too
18 general, that the guidelines were too general, and that what
19 was needed was more special and specific requirements.

20 And finally, they observed that there was some
21 confusion in some cases in the line management authority
22 with regard to safety. Now, in some cases, for example,
23 defense programs, there is a very clear line of authority to
24 the assistant secretary for defense programs.

25 But in other cases where a field office operations

1 officer was reporting to the undersecretary and so was the
2 program assistant secretary, there was some clarity needed
3 in the organization. And they felt it was imperative that
4 that be straightened out.

5 Now, in response to this category of findings, the
6 department is proceeding with the following activities:

7 (Slide.)

8 First of all, we are clearly establishing a direct
9 line of authority in areas of safety to eliminate any
10 confusion in this regard.

11 (Slide.)

12 And as this diagram shows, the line of
13 responsibility for nuclear safety is being very specifically
14 and firmly designated to the operations programs, to the
15 programs that have the operational responsibility. And in
16 every case, the responsibility in safety will flow up from
17 the operations office managers through the program
18 secretarial officers to the undersecretary.

19 (Slide.)

20 Secondly, then, in terms of strengthening and
21 clarifying the safety overview responsibilities, the first
22 thing was to establish clearly that the departmentwide
23 overview has the responsibility of the assistant secretary
24 for environmental protection, safety, and emergency
25 preparedness, and that this official will have the lead

1 responsibility for clarifying and improving the required
2 policy and directives and conducting the independent
3 assessments.

4 And then an office of nuclear safety has been
5 established. And, as you can see in this diagram here, this
6 is an office that reports to the deputy assistant secretary
7 for environment, safety, and health.

8 (Slide.)

9 And this particular office previously was at a
10 division level. It is being elevated to a full office
11 level. And while I have the diagram up, just let me say
12 that later on we will discuss the fact that there has also
13 been created an office of quality assurance and standards.

14 (Slide.)

15 And finally, in response to the first findings, we
16 do have an ongoing activity to review and update the safety
17 directives. Within the last two weeks, we have had a team
18 of about 30 people, field specialists in. We have reached
19 the point where we have a first draft on clarified orders,
20 and we anticipate that we will have revised final orders
21 with clear delineation of safety responsibilities and
22 requirements before the end of this month.

23 (Slide.)

24 The next general area, as covered by the Kemeny
25 Commission, had to do with the utility, whereas in the case

1 of the department this would be the program line
2 management. And there were a number of findings made here,
3 12 in all. Let me just try to summarize them for you.

4 First of all, the first one had to do with the
5 uniformity of the quality assurance guidance. And it was
6 found that different sites and their quality assurance
7 guidance in many cases were making reference to different
8 basic DOE documents. There was a necessity to restore some
9 uniformity.

10 The second finding had to do with how the quality
11 assurance policy was being applied. They found that in some
12 cases quality assurance directives from headquarters were
13 merely handed on to the contractors without a detailed
14 analysis of what the specific application should be to the
15 unique characteristics of the site at which they would be
16 applied.

17 The next one had to do with the scope of the QA
18 program. There was a finding that just as the Kemeny
19 Commission faulted the Nuclear Regulatory Commission for
20 focusing only on those pieces of equipment which were
21 categorized as safety-related, there was a finding that the
22 quality assurance program had been tending to focus too much
23 on those things related to safety without giving equal
24 attention to things like maintainability and reliability and
25 the like.

1 The next finding had to do with the degree to
2 which organizational independence for quality assurance
3 personnel was being applied at the contractor level.
4 Observations were made that in some cases people were
5 performing quality assurance on a part-time basis in
6 addition to other duties that they had.

7 The next finding had to do with the
8 documentation. The citation was that there was considerable
9 diversity in the quality of the documentation for various
10 contractors.

11 The next one had to do with operating procedures.
12 The finding here was that in many cases the operating
13 procedures were not specific enough in requiring
14 second-party verification of things like valve lineup.
15 There was inadequate requirement for independent inspections
16 of systems after maintenance, and the like.

17 The next finding had to do with the adequacy of
18 the shift relief procedures and -- excuse me, I skipped the
19 operating procedures.

20 In the operating procedures the finding was that
21 they were not specific enough, that too often there were
22 citations of the operator was instructed to check the water
23 level or to check the operability of something without the
24 specific direction of what it was that that person was
25 supposed to be checking for.

1 Shift relief procedures, nonuniformity in
2 requirements to ensure that there was a conscientious
3 checking of the conditions at the plant during the time of
4 the shift relief.

5 The adequacy of control room procedures just has
6 to do with control of access to the control room, permission
7 to enter the control room, and the degree to which
8 activities could take place in the control room which might
9 distract from the main function of the control room.

10 The next finding had to do with the adequacy of
11 incident reporting, a finding that that is was uneven, that
12 in some cases incident reports were being faultily prepared
13 and not adequately distributed.

14 The next finding had to do with, as I said before,
15 at the time of the review the "lessons learned" at TMI on
16 operating procedures had not been fully implemented and
17 looked at by the department people.

18 And finally, this goes back to the comment of the
19 Kemeny Commission about the use of words like
20 "safety-related" and "systems important to safety" and just
21 a finding that it was going to be necessary to clarify this
22 and use a common set of definitions within the -- all the
23 department programs.

24 (Slide.)

25 Now, the responses that the department is making

1 to this -- I think, as you sense, most of these findings are
2 the kinds of things that have to be corrected by classifying
3 the specific instructions or regulations within the
4 department, if you will.

5 However, in addition to that, the responsibility
6 for the coordination of quality assurance in the department
7 is being moved to this office. I identified for you before
8 an office of quality assurance and standards, and there will
9 be -- this office will have the primary responsibility for
10 issuing clear standards and guidelines.

11 However, in the meantime, as part of this task
12 force activity, we are conducting a rewrite of the basic
13 quality assurance order, addressing those standards which
14 should be uniformly applied. And a serious activity in this
15 office will further identify standards which will be made
16 mandatory for across-the-board use in the department.

17 In terms of the operating procedures, the
18 operations officers are to report to the program secretarial
19 officers and to the assistant secretary for the environment
20 by the end of this month on an assessment of the operating
21 procedures. And there will be an assessment of these
22 reactor operating procedures set up on a continuing basis.

23 The uniform reporting system will be established
24 under the assistant secretary for environmental protection,
25 and we will be establishing a uniform DOEwide system to

1 ensure that these reports are prepared, that they are
2 analyzed for their impact and distributed with the
3 associated analysis to ensure that incidents that occur at
4 one location, that if they show some trend or some "lessons
5 to be learned," that they are recognized at other locations.

6 And finally, an organizational introduction is to
7 establish a safety council which will ensure a continuing
8 management overview and to allow the exchange of experience
9 and key data in the safety area, probably on a six-months'
10 basis.

11 (Slide.)

12 The next area then had to do with training, a
13 number of findings here, I think, if I can summarize them.
14 There was a call for a more uniform set of providing
15 unambiguous requirements for selection, training, and
16 qualification; a need to have a departmentwide definition of
17 minimum requirements; and a call to have a specific office
18 at headquarters for that function. And this, of course,
19 will require the revision and the upgrading of the
20 management directive in this area.

21 There was a finding that it was not clear that
22 there was a comparability between the personnel requirements
23 on operators of DOE reactors to those imposed on the
24 operators of licensed reactors, and that a finding about the
25 way in which operator performance assessments were

1 conducted, a concern that too often this was left to the
2 operator's immediate supervisor and the next line of
3 management above; and a call that more independent review
4 and assessments needed to be conducted; a finding that the
5 knowledge in many cases of the basic fundamentals needed by
6 reactor operators was in many cases was not what it should
7 be.

8 One particular finding that I found particularly
9 interesting was a finding that there was inadequate
10 knowledge of what the meaning was of the operating limits
11 that had been set on the reactor and what the implications
12 would be if the operating limits were exceeded.

13 Finally, a recommendation, a finding about
14 casualty drill training, that in terms of radiological
15 emergencies, many of the drills that were conducted were not
16 exercised on those in which significant accidents had taken
17 place and a need to do that.

18 And finally, a finding about the use of written
19 and oral examinations and a strong finding that written
20 examinations should be prepared anew each time and not just
21 use the same examination each time a group of operators is
22 qualified.

23

24

25

1 (Slide.)

2 The response of the Department, here again, in
3 many of these cases you will see, the response is to improve
4 the Department regulations. And although that sounds kind
5 of trite, obviously that is the first place you start to
6 make sure that the requirements are clear and specific. The
7 Office of Nuclear Safety will have the program and overview
8 responsibility. The operations officers will be provided
9 the capability and expertise to appraise the contractor's
10 performance. And the -- as I say, the Department of Energy
11 standards will be developed on an expedited schedule, and
12 they are being addressed by the task force that I am
13 directing.

14 (Slide.)

15 In the area of technical assessment, these
16 findings were in a slightly different category. The first
17 one was similar to ones previously made, and that is at the
18 time of the review these technical assessments by the
19 individual contractors and by the individual field officers
20 were not yet complete and in many cases had not been got
21 to. And the committee found that this was the case and
22 urged that these be made promptly.

23 The next one had to do with the fact that a number
24 of DOE reactors are located in ventined confinement
25 buildings, rather than containment buildings. And the

1 committee observed that in the case of a large uncontrolled
2 release that occurred at TMI, that such a release could lead
3 to significant doses possibly, although not necessarily
4 exceeding emergency limits.

5 And the finding said that that needed to be looked
6 at in more detail. They did point out that in some cases of
7 release of radioactivity there may be a possibility of
8 difficulty of access to the required operating stations.
9 However, while making that finding, the committee also
10 observed that because of the nature of many of the DOE
11 reactors, the power level for example, the inherent heat
12 capacity, that in many cases it may be possible to provide
13 walk-away type protection and that what was needed was a
14 detailed review of the decay heat removal system to see if
15 indeed this could be provided.

16 The next finding had to do with the fact that one
17 of the phenomenon at TMI that of course caused a problem
18 with gas was the zirconium-water reaction, and the Committee
19 found that most of the DOE reactors are aluminum fuel and
20 they pointed out that the temperatures required for an
21 aluminum-water reaction exceeded the melting point of the
22 aluminum fuel, and so that they felt that a more detailed
23 analysis of what the gas generation potential might possibly
24 be in DOE reactors needed to be conducted.

25 And then finally, a finding that the human

1 factors, which of course was a significant problem at TMI,
2 needed to be more systematically evaluated for the DOE
3 reactors.

4 (Slide.)

5 The response in this area is primarily in terms of
6 conducting these assessments.

7 MR. KERR: Excuse me. May I ask a question,
8 please.

9 MR. HEATH: Beg your pardon. Yes, sir.

10 MR. KERR: The impression one gets is that many of
11 these evaluations did not exist or were not available,
12 for example walk-away type protection features, evaluation
13 of aluminum-water reactions. They simply had not been done
14 for many of the reactors. One did not find the evidence
15 that these things had been investigated, is that the
16 implication?

17 MR. HEATH: My understanding -- and perhaps Mr.
18 Crawford could help me here -- my understanding was that in
19 some cases they had been done, but in some cases it was felt
20 that perhaps a more rigorous analysis might be called for
21 than the analysis which was available.

22 Would you like to amplify on that, Jack?

23 MR. CRAWFORD: Many of them have been started,
24 well advanced in some cases, but not brought to a sufficient
25 state of completion or not done with sufficient

1 thoroughness, at least in our view, that one could draw a
2 definitive conclusion from it that they ought to be pursued
3 with more rigorous completeness.

4 MR. KERR: Thank you.

5 MR. HEATH: I think that, Dr. Kerr, you are
6 focusing on something which I think I have realized in the
7 preparation of this material and reading the report. If I
8 have not done so, I think it is important to stress that if
9 the finding -- if the finding of non-uniformity for one or
10 two reactors did not seem to be up to snuff, you know, a
11 finding would be made that, okay, it is not comparable. And
12 it is very possible that there are some reactors where there
13 exists an excellent review and an excellent calculation.

14 And the key findings seem to be that, okay, there
15 are clearly some cases where excellent reviews have been
16 done, and so this demonstrates that they can be done. And
17 the point was, however, that the Department was not
18 requiring that this level of review be conducted at all its
19 facilities.

20 MR. CRAWFORD: One important aspect is our feeling
21 in many cases, by doing a more systematic and orderly
22 analysis and taking full advantages of developments that had
23 occurred since these current reactors were first put into
24 operation, that in point of fact our reactors, many of them
25 would show a better advantage, the status of safety would

1 appear to be better than it is based on present
2 documentation.

3 MR. KERR: Well, I asked because I am not quite
4 certain what I am supposed to conclude from this report. It
5 is rather general. I do not know whether I am supposed to
6 conclude, for example, does a finding that all of the
7 reactors need further investigation or that some of the
8 reactors need further investigation, that things are in
9 reasonably good shape or that things might be in reasonably
10 good shape if one investigated further.

11 I am looking for something that will permit me to
12 draw some sort of conclusion. Can you help me?

13 MR. CRAWFORD: I think I can offer this solution
14 for you, Dr. Kerr. I would suggest that perhaps the thing
15 to do would be to provide Dr. Kerr or anyone else who is
16 interested with the detailed backup report in the specific
17 area of technical assessment. I have a copy with me.

18 MR. KERR: I think I am being told that I really
19 should not draw any conclusions from this report, then.

20 MR. CRAWFORD: You can draw general ones. But I
21 think if you are looking for more detailed specifics on the
22 individual reactors, that you could find them in the backup
23 report. I mean, I think it would be very -- it would be
24 impossible today here to discuss --

25 MR. HEATH: Maybe I can answer directly the

1 conclusion I would hope you would draw from the briefing.
2 Given the length of time of the briefing, clearly it is not
3 -- I mean, I am already going -- as you can see, there are
4 many, many different findings. It is just not possible to
5 go into a great degree of detail.

6 I think what I would like you to draw from the
7 briefing is, first of all, that the Department at the
8 highest management level takes the issue of reactor safety
9 very seriously, and that they think that the report has
10 served a useful purpose in that it has identified a number
11 of areas in which the safety program needs to be
12 strengthened, and that we are moving vigorously to
13 strengthen that program as is needed.

14 Now, that is really the overall impression that I
15 think, within the time of the briefing, that I would like to
16 give you.

17 MR. OKRENT: Can I ask, does DOE have some kind of
18 a quantitative safety goal?

19 MR. HEATH: I am not aware of one that has a
20 number assigned to it. But perhaps I am not understanding
21 your question.

22 MR. OKRENT: No, I think you understood the
23 question. I am just trying to see how DOE is going to judge
24 that an acceptable level of safety exists in relation to --
25 how some measure -- the question was whether there is any

1 intent of applying probabilistic risk analysis to any other
2 reactors.

3 MR. HEATH: Let me answer the first part of the
4 question, and that is that there is a Department policy that
5 says that in terms of NRC regulations, that it is the
6 Department's policy to apply an equivalent requirement to
7 comparable reactors that the Department is operating. And
8 so one of the things specifically that we are going to
9 establish in the Office of Nuclear Safety is a function in
10 which the specific NRC regulations will be analyzed in
11 detail for their applicability to the DOE-owned reactors.

12 And if it is found that the basis for the NRC
13 requirement is common to some feature of a DOE-owned
14 reactor, it is the Department's policy to apply an
15 equivalent requirement to its own reactors.

16 Now, in terms of a probabilistic safety
17 assessment, I am personally not aware of any such activity.
18 But let me hasten to add that I have been working on this
19 management task force for about two weeks now. So I do not
20 know if any of the other DOE people here are in a position
21 to advise me on it, but I am not aware of any such
22 activity. Are you, Jack?

23 MR. CRAWFORD: I am under the impression -- I am
24 under the impression that -- in fact, I know in some cases
25 that for their relative value the proper -- the

1 probabilistic techniques are used by individual contractors,
2 but certainly as a Department policy I am not aware of any
3 such thing.

4 MR. EBERSOLE: May I ask a question? Your report
5 appears to step off from the TMI-2 incident and the Kemeny
6 Report and so forth, and to be oriented toward doing good
7 things that can be done by improvement of operations and
8 generally the managerial aspects of improving reactor
9 safety.

10 Prior to TMI-2, did you have a standard base from
11 which to proceed such as our GDC's, our general design
12 criteria, and our other regulatory requirements as you know,
13 the GDC's and the regulatory guides, et cetera, et cetera,
14 as a basis for starting and both considering the operational
15 as well as the design aspects of your machine?

16 MR. HEATH: Well, I think the answer to that is
17 yes, that there were and always have been a series of
18 management directives. The old ERDA manual chapters were
19 the basis for providing these basic safety directives, and
20 so we are not starting from a clean slate, if you will.

21 If I can characterize the findings, the findings
22 seem to be that the specific requirements were not being
23 adequately and rigorously applied, and in some cases this
24 was because, as orders have been redrafted, that perhaps in
25 some places we at one time said --

1 MR. SHAO: People tended to stick in there --

2 MR. HEATH: And it was a matter of making sure
3 that these things are followed up on.

4 The answer is yes, I believe there was a basis for
5 that, but what we are addressing now is whether or not that
6 basis is being adequately carried through.

7 MR. EBERSOLE: Do you have, for instance, a set of
8 general design criteria?

9 MR. HEATH: I will have to defer to one of the
10 safety people here, and either we have a set of general
11 design criteria equivalent to -- what are there, 67 in the
12 NRC?

13 MR. EBERSOLE: 80, I believe, 87.

14 MR. HEATH: This is Andrew Pressesky, Nuclear
15 Energy Group.

16 MR. PRESSESKY: Yes, in the -- at least for the
17 reactors for which the Assistant Secretary for Nuclear
18 Energy is responsible, we do have general design criteria
19 for at least all the large and more recent reactors, like
20 the advanced test reactor and the FFTF and the EBR-II.

21 What we did in this case is we took the NRC
22 general design criteria and asked our contractors to develop
23 the analogous set, because obviously the general design
24 criteria for light water reactors might not be entirely
25 applicable to liquid metal reactors, for instance.

1 MR. EBERSOLE: NRC found it necessary to expand
2 those originally in a form called safety guides, and
3 subsequently into regulatory guides, which are now great
4 stacks of things, and then imposed other regulatory
5 requirements by other documentation. Do you have such an
6 operation going on, too?

7 MR. PRESSESKY: To a certain extent, we do,
8 perhaps not to the legal detail that NRC does. But the
9 general design criteria are then reflected in system design
10 descriptions for specific reactors. Since our reactors do
11 not have much in common with each other, it is difficult to
12 develop a library of requirements to apply to all reactors.
13 There are some common elements.

14 MR. EBERSOLE: As a case in point, can your
15 reactors sustain a rather long sustained loss of all AC
16 power without damage?

17 MR. PRESSESKY: Again, speaking for the more
18 recent reactors I am familiar with, like the FFTF, yes, that
19 is correct. Yes, we have analyzed that.

20 MR. EBERSOLE: What about the production
21 reactors?

22 MR. PRESSESKY: I am not responsible for the
23 production reactors, so I really cannot tell you.

24 MR. SHEWMON: Isn't DOE responsible for production
25 reactors? What are we talking about here today?

1 MR. PRESSESKY: Yes. I represent a rather narrow
2 aspect.

3 MR. HEATH: I think the answer to that question is
4 we do not have a person from the Defense Programs Office
5 here today, and I think we owe you an answer on that. I
6 personally do not have personal knowledge of that and Mr.
7 Perseski does not, either.

8 Yes, the Department is responsible, and I think
9 what we should agree to do is to supply you with an answer
10 on that because I do not know the answer.

11 MR. PRESSESKY: I suspect the N-Reactor does have
12 this capability, since it was evaluated by the NRC and by
13 yourselves, I imagine. So I think that question is probably
14 ---

15 MR. EBERSOLE: However, I do not think our
16 analyses have brought an answer to that question very well
17 on our own reactors. I know your own concepts of power
18 reliability are quite different from ours, and your design
19 methods are entirely different and maybe a lot better. I am
20 not sure about that.

21 MR. HEATH: I just do not know the answer to that
22 question on production reactors. I think we owe you a
23 response.

24 MR. CARBON: This safety group that you said you
25 were going to set up to make some sort of comparability to

1 NRC safety standards and DOE safety standards, how large a
2 group would that be?

3 MR. HEATH: Well, one of the tasks that is faced
4 by my task force is to identify exactly what the scope of
5 work needs to be for these groups, what the required skill
6 levels are, and finally to go out and recruit the people.
7 One of the -- I think one way to encapsulate some of the
8 findings of Mr. Crawford's report is that many of the
9 problems that they observed have to do with the capabilities
10 of the people.

11 And one of the things that has happened
12 historically is that the Department of Energy has evolved
13 from an agency that was primarily a nuclear agency, and many
14 of the specialists in the nuclear area have now found
15 themselves working in other energy technologies. And one of
16 the things that I am tasked to do is to identify precisely
17 what our requirements are in terms of skills and numbers and
18 the like, and then go out and recruit them. So I do not
19 have that answer yet, but I hope to have one in about two
20 weeks.

21 MR. CARBON: Do you have a feeling in general for
22 the approximate magnitude? Are you talking a group of five
23 people or 50 people, or do you have any feeling?

24 MR. HEATH: The working groups that went home
25 yesterday, that gave us some preliminary estimates, if I

1 remember correctly they had recommended that the Office of
2 Nuclear Safety have about 30 people in it at headquarters.
3 But whether or not that is a valid number or, you know,
4 whether it is inflated or it is too small, I am not in a
5 position to answer that because I have not yet been able to
6 analyze it in detail.

7 MR. CARSON: Thank you.

8 MR. BENDER: The last time you came in here was to
9 discuss the waste management program. I want to
10 congratulate you on attracting these more, what,
11 controversial type of assignments.

12 (Laughter.)

13 But I wanted to ask a question. Dr. Kerr made
14 earlier reference to the analysis of various kinds of
15 accidents. It is true that in many cases the analyses that
16 were done, and reviewed by this Committee, incidentally,
17 were based on premises that have changed since the TMI
18 event. How is that question being addressed?

19 That is, for example, the idea of hydrogen
20 generation is not a new subject, but the consequences that
21 came out of the TMI accident showed that hydrogen occurred
22 after the reactor was shut down. Is somebody looking at
23 those contingencies?

24 MR. HEATH: The answer is yes. The people,
25 obviously, who have the most expertise, are the most

1 familiar with the specific design details, are the people at
2 the operations offices and the reactor operators. And yes,
3 as part of the slide I just took down, one of the findings
4 was that at the time the committee went out in the field --
5 that was last summer -- they felt that these assessments had
6 not been carried through fully.

7 And one of the assignments is to ensure that these
8 assessments are done according to -- when you say according
9 to TMI lessons learned, yes, it is in these categories. So
10 there is a requirement now being imposed at the field office
11 level for the reactor operators, the contractors, for
12 example, to perform those detailed assessments. And then
13 they will then report back to the headquarters function and
14 provide those assessments, and then an independent judgment
15 will be made as to whether those have been adequately
16 applied.

17 Sr, yes, the Committee found that at the time they
18 went out into the field, that people really had not got
19 going too well on that, and they really needed to get a
20 kick, if you will. And so, yes, there is a specific
21 requirement to assess those reactors according to those
22 lessons learned.

23 MR. BENDER: Thank you.

24 MR. SHEWMON: Let me ask a different question.
25 Some of these reactors are rather venerable. I am not

1 familiar with the dates of all of them, but certainly some
2 are 20 years old, some older. Is there any program to do a
3 once a decade review on some of these to see which
4 particular corrosion problems should be inspected for or
5 what kinds of basic changes in design philosophies, or how
6 long you want to keep them, how you want to try to update
7 these things to current criteria?

8 MR. HEATH: Well, I think the answer to that is
9 there is nothing like an automatic once a decade. There is
10 a requirement, and one which we intend to follow up on more
11 rigorously, to have a continuing assessment on the technical
12 capability of these reactors.

13 Now, the issue about the age and whether you
14 continue to keep another one running or you go into Congress
15 to give you the money to build another one, I think that is
16 a continuing assessment that takes place and is really one
17 of the prime functions of the management of the agency, and
18 that is the responsibility that is continuing.

19 MR. SHEWMON: I guess my concern is not so much
20 that it be an economic one as that it be an engineering one,
21 for certain kinds of degradation that occur and change the
22 safety criteria or the ability to meet them that was there
23 when the plant was new or the component was new.

24 MR. HEATH: Let me take that as a piece of good
25 advice. Until such time as I am successful in recruiting

1 somebody, I am going to be the Acting Director of the Office
2 of Nuclear Safety, and I will take that as a piece of good
3 advice.

4 (Slide.)

5 If I can move on, if there are no other questions
6 for a while, the next category in the Kemeny Commission had
7 to do with worker and public health and safety. The first
8 finding here again addressed the adequacy of the
9 headquarters function and support in this area. Basically
10 this comes down to a finding about the number of people,
11 professional health physicists, for example, and the scope
12 of work they had, and I think the finding was that this was
13 an area that needed to be beefed up.

14 The next finding had to do with control of
15 radiation and radioactivity, and I think this is a finding
16 that paralleled that in the Kemeny Commission, that there
17 needs to be more emphasis on the control of radiation and
18 radioactivity at times other than during emergencies.

19 And the next finding addresses the same area, and
20 that is the need to put more emphasis on ALARA, as low as
21 reasonably achievable, during the routine operations, not
22 just during the emergency things. And in that finding
23 specifically there was a finding for a more precise
24 requirement on minimum standards for dosimetry practices.

25 The next finding had to do with the ALARA

1 program. Here again it was a case of uniformity. In other
2 words, one case found where significant reductions in
3 personnel exposure had been achieved over the past few years
4 and another case where the personnel exposure was really
5 very unchanged. So the finding was that there should be a
6 more rigorous application of methods to reduce personnel
7 exposure.

8 A finding about the requirement for drills for
9 radiological control people, the need to be more of them,
10 and here again the emphasis on what I would call more day to
11 day situations. There was a phrasing which I had difficulty
12 with which talked about day to day emergencies, which I
13 think is kind of a contradiction, but I think what was
14 intended there was if you have a minor spill or, for
15 example, there needs to be more drill on that type of
16 activity.

17 And then finally, a finding that the contractor's
18 own internal audit programs were non-uniform, that in some
19 cases the schedules were too infrequent.

20 MR. MOELLER: Are you familiar with and do you
21 plan to utilize the health physics appraisals of the
22 commercial nuclear power plants which have recently been
23 implemented?

24 MR. HEATH: I am not personally familiar with
25 them, but that was one citation that was made in the action

1 plan report, that there are -- there is one at the
2 Albuquerque operations office which is felt to be quite
3 good, and there was reference made to these commercial
4 ones. And a recommendation was made that those might very
5 well serve as the model for a standard DOE one.

6 (Slide.)

7 So in terms of, you know, what are we doing about
8 all that, I think here again you have to -- the focus
9 obviously is on making sure that the standards are there and
10 that these things are required and that they are followed
11 through on. There is an ALARA guide that has been used on a
12 trial basis, and it is our intent to issue that as the
13 standard and to impose that and more emphasis on the field
14 office auditing the contractors' programs to make sure that
15 their assessments are more frequent and more rigorous.

16 (Slide.)

17 In the area of emergency planning and response,
18 the basic finding here was that all of the reactors have
19 emergency plans. But what was found was there was here
20 again a non-uniformity. There had not been at the time of
21 the review the preparation of a DOE-wide plan for response
22 to a nuclear emergency.

23 As a result, the levels of emergency varied from
24 site to site, some confusions as to who would have what
25 role, what the appropriate role would be for the

1 headquarters people versus the field office people, who the
2 appropriate spokesman would be; in the case of other federal
3 agencies, particularly FEMA, a need to establish in the case
4 of an accident at a nuclear facility who would be the
5 spokesman and who would be in charge of the interface with
6 the local communities; and finally, a finding that there
7 were places in which improvements were made in the emergency
8 preparedness area.

9 In response to that, let me say that that has been
10 a specific topic of one of our working groups in the task
11 force.

12 (Slide.)

13 It is generally found that the emergency plan that
14 exists at the Hanford site is excellent and that has been
15 used as a model for developing a standard requirement for
16 emergency plans for all the other sites, and that will be
17 translated into a directive.

18 We do intend to proceed with a modified memorandum
19 of understanding with FEMA to make it clear as to who will
20 play what role in the case of an accident, and then finally
21 we will impose as a requirement that we will have at least
22 annual drills in cooperation with state and local
23 governments on emergencies at Department of Energy reactor
24 sites. Some of these drills have taken place, but we see a
25 need to impose it as a requirement on a regular basis.

1 MR. NOELLER: In this subject area, how many DOE
2 facility emergency plans has FEMA reviewed?

3 MR. HEATH: I do not know the answer to that. Do
4 you know the answer to that, Jack?

5 MR. CRAWFORD: No.

6 MR. HEATH: I guess that is another one we owe you
7 an answer on. I do not know.

8 MR. NOELLER: And it is also mentioned in the
9 comments on the Crawford report that DOE will assist the
10 states in emergency planning. Do you have a formal program
11 there?

12 MR. HEATH: We have -- we have a formal agreement
13 with FEMA. One of the issues that is being addressed now is
14 whether or not we have provided sufficient resources to the
15 field offices to allow them to follow up on that agreement.
16 The field offices in the various regions have the
17 responsibility, so that if a state is seeking assistance,
18 for example, the State of New York would have to work with
19 the Chicago operations office. There is an issue in the
20 Department right now as to whether or not adequate resources
21 have been supplied to the field office. And as part of our
22 review of the organizational requirements, this is an area
23 that is being addressed.

24 MR. NOELLER: You mention in your review the
25 objectives of emergency planning. I wondered if you might

1 send us the list of DOE's objectives in emergency planning,
2 as well as this Richland report which serves as your
3 example, the Richland emergency plan.

4 MR. HEATH: Fine. What I think I would also like
5 to agree to do is, I really do anticipate a uniform DOE plan
6 being approved within the month and I will send you that.

7 MR. MOELLER: And you talked about the problem of
8 FEMA and public affairs. Could you elaborate on what that
9 was?

10 MR. HEATH: Well, yes. I think one of the lessons
11 from the Three Mile Island incident was some confusion as to
12 who the spokesmen were and what the access to the press
13 were, and the finding of the committee was that when they
14 visited the particular site and they said, well okay, when
15 you have an emergency who is going to be the principal
16 spokesman, it was not always very clear who that was going
17 to be.

18 MR. MOELLER: Thank you.

19 MR. EBERSOLE: Concerning emergencies, I note that
20 one of your big plants has the capacity to be able to be
21 operated, safely shut down from a point ten miles away. I
22 think that is a fascinating idea and certainly one that we
23 do not have now.

24 Does that mean that if you have a large disastrous
25 fire in the control room in such a plant, that you have an

1 independent capacity ten miles away to shut it down?

2 MR. HEATH: I am not sure which plant you are
3 referring to, but that is my understanding.

4 MR. EBERSOLE: Thank you.

5 MR. HEATH: Which plant would that be?

6 MR. EBERSOLE: Savannah River.

7 MR. HEATH: Yes, that is the intent.

8 (Slide.)

9 The final chapter or the final heading of the
10 Kemeny report was, of course, the public's right to
11 information. And I think I've already touched on this. It
12 mostly has to do with whether or not the public affairs
13 people had adequate planning.

14 One of the key things was if you have an incident,
15 say at Richland or at Savannah River, who is going to be the
16 responsible person at headquarters? We do have an emergency
17 operations center at headquarters. It is clear that we need
18 to establish more standard procedures.

19 One of the things, for example, that is going to
20 be put into the new orders is that we are going to establish
21 emergency plans for each of the centers and have control
22 copies of them, and to ensure that control copies of the
23 emergency -- of the current emergency plans are available in
24 the central emergency response headquarters in Germantown,
25 so that in the case of an emergency the officials in

1 Washington are looking at the same plans as the officials in
2 the field.

3 (Slide.)

4 So these are the kinds of things that are under
5 way to promulgate specific policy responsibilities and
6 procedures. And then finally, as part of the annual
7 meetings, a review of emergency procedures, to get together
8 with state and local officials, and also cover the public
9 affairs aspect.

10 (Slide.)

11 Now one final thing that had to do with the task
12 force, but of course it was not a chapter in the Kemeny
13 Commission, is this is all great but what about the
14 resources. And many of the people in the field offices are
15 very concerned that if the Department is going to undertake
16 to do these things, will we be sure to have the resources
17 available, the right number of people, the right number of
18 personnel slots, if you will, and the money.

19 And that is a major concern expressed both in Mr.
20 Crawford's cover letter to the Under Secretary and also in
21 the action plan, and the agency has agreed that as part of
22 the output of my task force we will identify specifically
23 what those requirements will be and that we will make those
24 requirements known to the Assistant Secretaries and the
25 Under Secretary. And the Assistant Secretary for Management

1 and Administration and the Under Secretary have agreed that
2 within this fiscal year they will review those requirements
3 and reprogram people and funds if that is required.

4 So I think that is a summary. I see I have
5 already run over my prescribed time. But Mr. Crawford and I
6 are available for further questions.

7 MR. MARK: You probably may not have gotten to
8 this yet, but I believe you indicated that the training and
9 perhaps some harmonization of requirements for perhaps
10 reactor operators or people doing the same thing for one
11 reactor or another would be reviewed and possibly in some
12 cases upgraded.

13 I think you called attention to someone not
14 knowing what the technical operating limits applied to were,
15 even. Do you see a need in connection with operators to
16 bring them to some level of knowledge of the technical
17 subjects that are involved in the operator -- in the reactor
18 behavior?

19 MR. HEATH: Yes, I do. Quite frankly, this has
20 been the subject of quite a bit of debate. I think there is
21 -- on the one hand, there is a firm recognition that you
22 cannot qualify an operator like a commercial pilot and he
23 can therefore go and run any reactor. In DOE reactors
24 particularly, they vary immensely from one to another, and
25 they are just basically different characteristics, the K

1 Reactor versus EBR-II, for example.

2 So there is a clear recognition that you need a
3 degree of certification and qualification that is specific
4 to individual reactors. However, there is also a belief
5 that we need to establish a minimum set of fundamental
6 requirements, basic heat transfer knowledge, for example,
7 fluid mechanics, reactor physics, whatever. And one of the
8 things that the Office of Nuclear Safety is going to address
9 is to what those minimum fundamental requirements should be,
10 and then we are going to address how those should be
11 applied.

12 And we are looking at the possibilities of having
13 some kind of approval of curriculum, some kind of a standard
14 course. Those are various things that are being looked at.

15 MR. MARK: So one would think that you would
16 expect a person to be at least a high school graduate so far
17 as his general knowledge of reading and writing was
18 concerned, and then above that courses which would fringe on
19 some particular items, as what we might refer to as college
20 level of information.

21 MR. HEATH: Yes, that is correct. It would be our
22 intent to define a clear baseline, you know, across the
23 board. But obviously there is another degree that is --

24 MR. MARK: That will bring itself in sight in half
25 a year or something like that.

1 MR. HEATH: That is probably a reasonable
2 estimate. I am not -- there has been some work done in the
3 past. There was a contract with a university looking at
4 that and I am not intimately familiar with all the details.
5 There is some previous work that has been done that is going
6 to be carried forward.

7 It is clearly our intent to establish a baseline
8 minimum set of fundamental requirements.

9 MR. MARK: Thank you.

10 MR. MOELLER: You mentioned your unusual -- you
11 did not, but your report did -- the unusual occurrence
12 reports filed by the DOE reactor operations group. Do you
13 know if those are provided to the NRC or whether the NRC
14 makes use of those? Could someone tell me?

15 MR. HEATH: I do not know. Do you know, Jack?

16 MR. MOELLER: We can--

17 MR. HEATH: First of all, let me say that it is my
18 understanding that the ones that will be distributed
19 throughout the Department will be available, and so it seems
20 to me that it is most reasonable that they will be supplied
21 to the NRC.

22 MR. MOELLER: It is something -- you mention in
23 here analyses that DOE conducts of the LER's from commercial
24 nuclear power plants. Can anyone tell us, either now or
25 later, what sort of studies you have done and what you found

1 out?

2 MR. HEATH: Well, I think I am not aware as to
3 whether or not this has been very rigorously done in the
4 past. What I am saying is that we intend to have a function
5 in headquarters that will systematically, on a routine basis
6 when these LER's are available, we will review them and make
7 a finding as to whether or not they are relevant to the
8 Department of Energy operations. I do not know what has
9 been done so far.

10 MR. CRAWFORD: I would like to make two comments
11 on that. One, what we found in too many cases was the
12 frequency of reporting unusual occurrence reports -- in one
13 case over a year's time only two would come out of a site
14 when there were many more than that.

15 Secondly, in the years since the separation of AEC
16 into ERDA and NRC, there has been a gradual but nevertheless
17 perceptible -- perception that the various elements within
18 DOE have kept track of ongoing developments in NRC and in
19 the utility community.

20 The purpose for which we recommended this
21 establishment of a central activity would be to effect a
22 greater transfusion both ways between our experiences over
23 into the NRC domain and conversely, particularly with
24 emphasis to our desire to get that experience and feed it
25 out for its potential applicability for our sites. And that

1 would be the focus of this group to which Mr. Heath
2 referred.

3 MR. MOELLER: One last question. You mentioned
4 that you did not intend to establish within DOE or set up by
5 DOE an external review group, since there does exist the
6 ACRS. In what ways might you possibly call upon this
7 Committee?

8 MR. HEATH: Well, I think you may be in a better
9 position to know this than I. It is my understanding, for
10 example, during the design of the FFTF that there was a
11 review by the NRC staff of the design and some
12 recommendations made which were incorporated. Now, I do not
13 know whether the FFTF was the subject of an ACRS meeting or
14 not. But I suspect that it very likely was.

15 And that is the intention, that when we go forward
16 with a new reactor, as FFTF, that we would take advantage of
17 that.

18 MR. MOELLER: At previous times we used to every
19 couple of years review, for example, the Savannah River
20 reactors and so forth. And this has not been done in recent
21 years, but it used to be done.

22 MR. HEATH: I see. Are there further questions?

23 MR. CRAWFORD: A comment I would like to make.
24 With respect to that question that you just raised in
25 proposing the establishment of a group of experts external

1 to DOE, but reporting to an advisor to the Secretary of
2 Energy, it was our thought, the committee's thought, that we
3 needed a group reporting to the Secretary whose reviews of
4 the whole DOE safety situation would permit them to apprise
5 the Secretary of how things were going, basically. And we
6 expected them to attend not only to design, relevant
7 research and development that was done, but a whole spectrum
8 of activities that really add up to nuclear safety. I mean,
9 how the construction efforts were being carried out,
10 fabrication, testing, maintenance, a look, a comprehensive
11 look at the whole activity and an independent view available
12 to the Secretary to say, you are doing well in these
13 regards, you need beefing up in these regards. That was our
14 purpose.

15 And the second point I would like to make is that
16 I hope you will not infer from what has been said here today
17 that I have changed the position or any member of the
18 committee has changed the position we put forward, the need
19 for this committee to which I have just referred and also
20 for the need for this oversight group within DOE, the
21 continuing one of which Colin is now head, to report to the
22 Under Secretary.

23 MR. HEATH: Let me just say as part of the
24 clarification that at the present time as the head of the
25 task force I am reporting to the Under Secretary. But it is

1 the intent that after we have completed the task force phase
2 of the work, that the organization will permanently reside
3 and be responsible to the Assistant Secretary for
4 Environmental Protection and --

5 MR. BENDER: I am just inclined to ask a question
6 that we often ask of NRC applicants, and that is: What kind
7 of criteria is the NRC laying on its own administration in
8 terms of the capabilities -- excuse me, DOE, to deal with
9 the safety issues? If the Assistant Secretary for
10 Environment and Health has a responsibility, what level of
11 knowledge is implied by that?

12 MR. HEATH: Well, the Assistant Secretary is a
13 presidential appointee and the one -- I think one of the
14 reasons for putting the emphasis on the director of the
15 office of nuclear safety is the fact that that is where the
16 specific requirements will be directed.

17 Now one of my tasks, quite frankly, is to find
18 somebody so that I do not end up being the head of this
19 office. And I will be the first to admit that I have a
20 Ph.D. in nuclear engineering, but I do not have many years
21 of reactor operating experience. Now one of my jobs is to
22 find that kind of a person, who combines the academic
23 credentials with hopefully the many years of reactor
24 operating experience.

25 And one of the things that the agency -- that we

1 are empowered to do is we are going to try to define
2 precisely the kind of person we want for this job and then
3 go find them. Now, I have a crosswalk, if you will, of
4 everybody in the Department of Energy with nuclear
5 engineering backgrounds, and we are going to search through
6 that. And if we are unable to find somebody that we think
7 meets the requirements, then we will have an intensive
8 recruiting activity, and hopefully we can find somebody who
9 is willing to come in at the civil service pay level and who
10 walks on water and performs that function.

11 (Laughter.)

12 MR. CRAWFORD: Could I make one comment on that?
13 I would like to make one comment on that. The committee's
14 report pointed out the possibility at least that you would
15 not be able to -- you would not -- the agency would not find
16 it possible to recruit -- restore the technical management
17 capability within the civil service rules.

18 We were very mindful of the fact of what we were
19 able to do under the civil service -- under the personnel
20 rules under which the AEC was allowed to operate, under
21 which we believe the NRC currently operates. The type of
22 people that we are talking about really have to be -- have
23 the qualifications I think you have in mind, Mike, and it
24 will be tough to get them, and some extraordinary measures
25 may have to be taken.

1 MR. HEATH: Let me just say, privately if
2 individual members of this Committee are in a position to
3 make recommendations of some sterling individual for this
4 job, I would be delighted to hear them.

5 MR. MARK: You mean is somebody about to resign
6 here?

7 (Laughter.)

8 I am afraid I am going to have to switch toward
9 our next agenda item. I would like to thank the people from
10 DOE, who really gave a very interesting picture of their
11 taking this matter up. Thank you, Mr. Heath.

12 (Whereupon, at 2:50 p.m. the Committee was
13 recessed.)

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1 MR. MARK: The meeting will continue. We will
2 take up the topic of the integrity of reactor pressure
3 vessels and other matters. Paul?

4 MR. SHEWMON: There is under tab 13 a summary of
5 the meeting that the Subcommittee on Metal Components had
6 the other day, and there was an interesting variety of items
7 that came up. The meeting was set off by a request from the
8 AIF group that had been wrestling with the question of
9 supports for steam generators and reactor coolant pumps and
10 such things.

11 You may remember that this started with North Anna
12 when they realized, with LOCA loads and such things, the
13 supports under some of these critical components in the
14 primary system might collapse. And the staff came back then
15 with a ruling saying, if you go to appreciably tougher
16 steels or exclude all those that might be brittle, then
17 everything will be all right.

18 Once they got to looking at this more carefully,
19 they decided that that is a rather awkward way for them to
20 skin the cat. So there really was not a very tidy meeting
21 with regard to things we could get hold of, because the
22 staff in the next month or so will come out with what their
23 revised set of requests would be. And there was palpable
24 dis-ease on the part of the utilities because it was not
25 focused as well as it might have been.

1 I guess I got some interest in this because it
2 slowly sank through my head that one could indeed probably
3 have a LOCA or something very awkward if the support of one
4 of these major components did collapse during say an SSE.
5 And so I guess on that one there is the A12 group. We are
6 waiting for the next installment and there is active
7 interest.

8 A different item, which I guess we will hear about
9 in more detail, sort of came up -- focused for the first
10 time at that meeting, and this had to do with bolts. We
11 often have asked about the specifications which apply to
12 hold-down bolts that are there to assist in the safe
13 shutdown earthquake and other things, and it seems to me we
14 have gotten answers before, it is not clear that they were
15 valid answers, but one of the interesting -- what happened,
16 apparently, historically, is that when asymmetric loads came
17 in there was a certain generation of plants that already had
18 concrete poured and support thing put on the steam
19 generators and pumps for the bolts. And after the
20 asymmetric loads came in -- or let me postulate this is the
21 way it is. I think it is accurate.

22 Somebody decided that they needed a lot more
23 hold-down force, so they ordered stronger bolts. And one
24 can certainly buy stronger bolts. There are some very
25 strong materials developed in later years.

1 But these bolts have an interesting
2 characteristic, and that is that if you torque them up to
3 actually a lot above the yield, when you get up to the
4 high-strength levels and put them in a moist atmosphere, they
5 will break. And it may take months, but cracks develop and
6 grow. And we had one or two of these.

7 And so this is something which has people's
8 attention. And on that one the staff said they would like
9 to come back next time because -- and that means next
10 month. It is partly a matter of, can we get rid of these
11 very strong bolts, and the other is, do we have to tension
12 them on to seven-tenths of the yield or seven-tenths of the
13 code applicable situation, which has been the situation for
14 relatively lower-strength bolts, I think, where you have --
15 you cannot develop near these kind of loads in the bolts.
16 So that we will hear about next time.

17 The third one that came up and was touched on had
18 to do with a different set of bolts, this time holding the
19 -- in the primary boundary, and these can be either holding
20 onto the pumps or I guess manholes on steam generators
21 sometimes. And people have been doing inspections on some
22 of these and find that they have appreciably thinned. And
23 so -- I do not know.

24 One story I heard was that if you put a borated
25 water solution on and then dry it off, and then moisten it

1 up again, you can get this problem. I have not heard a good
2 explanation of why. But there will be more inspection of
3 this.

4 This is not an inspection where you can put an
5 electronic transducer on it. And so the only way to inspect
6 them with certainty that is available now is to go in and
7 look. And so that was another interesting item that came
8 up, sort of as a second installment, or first installment of
9 something that people will be looking at again.

10 The third -- the fourth item, and the thing we
11 will take up today in more detail, or the staff will, has to
12 do with thermal shock and repressurization. Thermal shock
13 of the pressure vessel has been a source of some concern for
14 a long time and I guess it has been post-TMI-2 or actually,
15 better, post-Rancho Seco, maybe, that it has dawned on
16 people that one can get significant cooling.

17 And post-TMI-2 there was an order that if the ECCS
18 comes on in a small break LOCA that the operator would put
19 on his high-pressure pumps and leave them on for 20 minutes
20 or some appropriate time like that. And if you are cooling
21 down the inside of a pressure vessel, it could in the
22 future, as pressure vessels get older, I believe is the
23 conclusion now, go below its ductile-brittle transition
24 region, which means with repressurization you could get
25 thermal shock.

1 If the pressure vessel is old enough and has
2 enough copper in it, it could go all the way through. And
3 so some of the scenarios that are coming out, the
4 calculations being done at Oak Ridge, the HSST program, is
5 that it looks okay for this year and next year, but the year
6 after that we are not so sure. And I think that those
7 people are trying to decide what they want to cope with
8 next. I hope we hear more about it today.

9 MR. CARBON: Paul, are those very, very
10 conservative calculations or are they somewhat realistic?

11 MR. SHEWHON: Well, you can ask the staff that.
12 After Rancho Seco, they are credible at least for B&W
13 plants. As I understand it, they have after Rancho Seco put
14 some sort of an approximation on it, that kind of pressure
15 excursion and with repressurization, then it would crack and
16 the crack could in that environment radiate and go far
17 enough to do some sort of serious damage. So you would not
18 know what would happen when it came to the other side.

19 MR. BENDER: I do not know that I understand
20 everything I ought to know about this business, but when we
21 say that under the Rancho Seco conditions if we irradiated a
22 couple of more years the vessel would have cracked, that is
23 a pretty strong statement. It seems to me that there is
24 still a lot of materials questions that are being addressed
25 in a very conservative way that influence that answer.

1 For example, when they establish the radiation
2 dose to the vessel they assume that the dose that it gets on
3 the inside surface, that it goes throughout the vessel, and
4 that is not obvious to me.

5 MR. SHEWHON: No.

6 MR. BENDER: No.

7 MR. SHEWHON: They assume it is the same all the
8 way around. And you might say it is where the core is
9 closest to the vessel. But there is an increase in the
10 critical stress intensity.

11 MR. BENDER: They have in fact determined that the
12 toughness of the vessel is known for the condition that
13 exists, recognizing a range of influence that exists across
14 the wall thickness --

15 MR. SHAO: (Inaudible.)

16 MR. BENDER: I know they calculate that. I guess
17 my question is, do they know the behavior of the material or
18 are we assuming something?

19 MR. SHEWHON: We will get into that in more
20 detail. Let me just say that, whereas before I think it was
21 felt that this -- you could say that under the extreme
22 transients it was incredible, and now I suspect it is going
23 to have to be more qualifications on making it incredible.

24 MR. BENDER: I guess my point is, I think the
25 transient -- I do not have to think the transient is

1 credible from the standpoint of, somebody has measured the
2 temperature. That is something that has happened once. You
3 have to say it is credible again unless there is a change in
4 design.

5 But I am not clear that we know that much about
6 the materials properties. I hope we will hear more about
7 that, because I still think we are using very conservative
8 assumptions concerning the materials properties.

9 Warren is nodding, either because he understands
10 me or because he agrees, I am not sure which.

11 MR. SHEWHON: Are there any other -- that covers
12 what I wanted to say by way of introduction. If anybody who
13 was there has other comments or questions?

14 (No response.)

15 I have not seen an agenda for what the staff is
16 going to present.

17 MR. MURLEY: Well, we had not planned to go into
18 the kind of detail -- what I thought I would do is go
19 through the calculations that led us to where we are today,
20 and then summarize what we are doing and the responses we
21 have gotten from the industry groups, and then respond to
22 questions, because I know there are some, like Dr. Bender
23 has. Okay.

24 By way of background, I will just mention that I
25 think this Committee knows that for years we focused on the

1 large loss of coolant accidents for thermal shock and even
2 through analyses and even some tests at Oak Ridge we
3 concluded that a crack cannot propagate through the vessel
4 in a large loss of coolant accident. There have been,
5 though, concerns about other transients, primarily the steam
6 line break where, due to the overcooling nature of the
7 coolant that rushes out of the steam generator, it cools the
8 primary system down quite rapidly. And that has been a
9 concern; and also with the small loss of coolant accident,
10 where the high-pressure injection can overcool the system.

11 We have, as a result of the Three Mile Island
12 accident, one of the action plan items in the TMI action
13 plan, II.k.2.13, we have asked the BWR licensees to address
14 that issue and they are doing that. With this background of
15 pressurized thermal shock, we have a program in the budget
16 -- in the research program to look at pressurized thermal
17 shock and run a test.

18 In fact, there was money included in the fiscal
19 1980 budget to start such a test at Oak Ridge. In February
20 of 1980, when I was still in the Office of Research, one of
21 my staff, Demetrios Basdekas, came to me and one of his
22 concerns at that time, and still is, was the control system
23 failures and their impact. And he postulated what would
24 happen if there were a failure of the main feedwater control
25 system whereby the main feedwater were to keep on, let's

1 say, after the reactor tripped. Then you would cool down
2 the primary system and cool down the vessel quite
3 substantially.

4 So we talked about it, my staff and I, and at that
5 time I decided to -- let's do a detailed analysis of the
6 thermal hydraulics and fracture mechanics. I thought at the
7 time that we had the capability and the codes to do that.
8 So we asked Brookhaven to do a calculation for the Oconee
9 plant.

10 This was meant to be a bounding calculation and
11 there were deliberate conservatisms in that calculation, on
12 the basis that if we could show the vessel was not
13 threatened by such a bounding calculation we would have some
14 degree of confidence that we had time to work on the
15 problem.

16 So the transient used by Brookhaven was a turbine
17 trip where the feedwater flow was kept in, in fact kept on
18 longer than even realistic for the Oconee plant. This led
19 to a 450-degree Fahrenheit cooldown in 20 minutes, with a
20 subsequent repressurization. That is, the high pressure
21 injection system came on and it was allowed to repressurize
22 the vessel.

23 These thermal conditions and metal temperatures
24 were then given to Oak Ridge, where our experts are on
25 fracture mechanics. They then carried out a fracture

1 mechanics calculation. Again, this was meant to be a
2 bounding calculation. They used end of life vessel
3 properties for that calculation. Keep in mind, this was
4 done about a year ago now, 1980.

5 The analyses showed that a vessel failure was
6 likely under those conditions. And this, I have high
7 confidence in the capability of the Oak Ridge group to be
8 able to predict vessel failure. They have done this on many
9 occasions. They failed many vessels and I think they know
10 where the conservatisms are, and if their analyses show that
11 vessel failure is likely then I tend to believe that.

12 So we asked ourselves, well, we had better -- we
13 were not successful in showing that a bounding calculation
14 showed no problem, therefore we had to look at more
15 realistic calculations. So the staff then took the actual
16 pressure and temperature conditions for the Rancho Seco
17 transient of March 20, 1978. This was a 310 degree
18 Fahrenheit cooldown in 60 minutes, with subsequent
19 repressurization.

20 If you recall, in this transient there was a
21 failure of the non-nuclear instrumentation system which led
22 to this behavior. It also caused the operator to be blinded
23 in the control room because some of his instruments were
24 out.

25 With those actual pressure and temperature

1 conditions, then, Oak Ridge did a calculation, which at the
2 time they reported that vessel failure was likely after
3 about ten effective full power years. That was based on
4 critical crack depth curves generated at 10^{19} neutrons per
5 square centimeter, 10^{19} fluents, which was assigned
6 approximately a value of ten effective full power years.

7 I think they would modify that now to be perhaps
8 12 effective full power years for B&W plants. I do not
9 think that would change the nature of the conclusions
10 substantially.

11 MR. SHEWMON: That was probably for the highest
12 copper welds.

13 MR. MURLEY: No, they used the Oconee vessel.

14 MR. SHAO: .3 percent copper content. That is
15 very high.

16 MR. MURLEY: Excuse me, I think I misspoke. That
17 was for the actual Rancho Seco weld content, was it not?

18 MR. SHEWMON: The report I saw did high and low
19 calculations.

20 MR. MURLEY: My understanding of this from talking
21 with Jack Strosnyder -- perhaps Warren or someone can help
22 me -- is one finds a wide variation in copper content even
23 in the same weld, and even around the vessel. So that there
24 is not a unique --

25 MR. SHAO: Rancho Seco is about .35.

1 MR. MURLEY: Okay, Rancho Seco is about .35. So
2 that is high copper content.

3 And these results came -- were found about
4 February of this year. We reported it to Harold Denton and
5 suggested that we might want to talk with the industry and
6 find out their response of it. So he called a meeting of
7 the B&W regulatory response group on March 31 of this year.
8 We had a meeting with them, told them of our concerns, and
9 asked them, do you have the same concerns and what is your
10 analysis of the problem.

11 And we had a progress briefing on April 21 with
12 them. And about a couple of weeks ago we had submittals
13 from each owners group. And I will talk about their
14 findings in just a moment.

15 We talked with the Subcommittee about the
16 overcooling transients. In summary, I think we can say that
17 the severe overcooling transients have occurred. Rancho
18 Seco is an example. And they seem to be more likely in B&W
19 plants.

20 The staff's estimate -- and this is the research
21 staff as well as my own staff -- conclude that the
22 probability for B&W-designed plants that they will
23 experience a severe overcooling transient similar or greater
24 to the magnitude of that of Rancho Seco is about 10⁻³ per
25 reactor year. We believe that the probability for such

1 transients in CE or Westinghouse-designed reactors is lower,
2 perhaps by an order of magnitude, than for B&W-designed
3 reactors.

4 MR. BENDER: Excuse me, Tom. I did not mean to
5 interrupt you, but I would like to understand the
6 temperature value a little better. Does the 310 degrees
7 apply to the temperature of the vessel, the temperature of
8 the vessel wall, the temperature of the coolant?

9 MR. MURLEY: The temperature of the coolant and
10 therefore of the vessel, the inside vessel wall.

11 MR. BENDER: Vessel surface.

12 MR. MURLEY: Yes.

13 MR. BENDER: What does that mean to the vessel
14 itself, do we know that?

15 MR. MURLEY: Yes. It means a fairly steep
16 gradient. The gradient through the wall of course changes
17 with time.

18 MR. BENDER: We are talking about an hour or so,
19 as I understand it.

20 MR. MURLEY: Yes, yes. And so that the magnitude
21 of the stresses changes with time. Initially, of course,
22 you have high tensile stresses on the inside of the wall due
23 to the thermal gradient. That moves into the wall with
24 time.

25 The repressurization, of course, adds a hoop

1 stress and that is enough in the calculation --

2 MR. EBERSOLE: Do you have any data as to, in a
3 parametric sense as to how much hoop stress you have to get
4 of before you rub out the problem?

5 MR. MURLEY: I do not think so, no.

6 MR. EBERSOLE: What is suggested here is you
7 should not repressurize when you are cold.

8 (Laughter.)

9 MR. EBERSOLE: And there are various ways of not
10 doing that, you know --

11 MR. MURLEY: I must say that when I talked with
12 the materials people they say, send out a procedure to the
13 operator, don't let him repressurize. But the same operator
14 has gotten a procedure that tells him that under indications
15 of loss of coolant he is supposed to turn that high-pressure
16 injection on and leave it on.

17 MR. EBERSOLE: That is right.

18 MR. MURLEY: So it is not an easy matter. I will
19 get to the operator in a minute.

20 Okay, so to sum up then --

21 MR. EBERSOLE: Maybe you need two operators, then,
22 one to follow that procedure and --

23 (Laughter.)

24 MR. MURLEY: Yes. Severe overcooling transients
25 are -- have occurred. They have some probability of

1 occurrence, and they seem to be more likely in B&W plants.

2 There have been control improvements in B&W
3 plants.

4 MR. MARK: You say they have occurred and you
5 refer to the one at Rancho Seco, which indeed is a proof of
6 such a statement. Are there other members of this class?

7 MR. MURLEY: Yes.

8 MR. MARK: Like roughly how many?

9 MR. MURLEY: There have been about a dozen.

10 MR. MARK: Okay.

11 MR. MURLEY: Primarily in B&W plants. We are
12 searching now through the LER records for Westinghouse and
13 Combustion plant transients, but we are sure they are much
14 less frequent and none at least come to mind that the staff
15 about. Because of the thermal inertia of the steam
16 generators in Westinghouse and Combustion plants, they will
17 be less subject to this.

18 Now we, as I said, discussed with the owners
19 groups what they thought about it and what their conclusions
20 were. The Combustion owners group sent in their responses
21 as the following. They say that for their plants the steam
22 line break transient is the bounding transient, that there
23 are numerous conservative assumptions in the calculation of
24 that transient, and that the vessel with the longest service
25 life and high residual element weld metal has experienced

1 about five effective full power years as of today.

2 They claim that all vessels in CE plants will
3 maintain their integrity during this bounding stream line
4 break transient without an operator action for at least ten
5 effective full power years, which is to say they assert that
6 they have a margin of five effective full power years even
7 in their worst vessel.

8 MR. OKRENT: What is the confidence that they make
9 that statement with, or you made your estimate of the
10 likelihood of an overcooling transient? Is that a best
11 estimate in their case and in your case, or what?

12 MR. MURLEY: Okay. You have asked two different
13 questions there. The confidence in our estimate of the
14 frequency of the transient, is that one of your questions?

15 MR. OKRENT: Yes.

16 MR. MURLEY: That is based really on historical
17 data, with some credit given for improvements in B&W plant
18 control systems that we have mandated. Now, I personally
19 called the resident inspector at every B&W plant and asked
20 our resident inspector, have there been improvements in the
21 reliability of the non-nuclear instrumentation power
22 supply. And in every case I was assured, yes, there have
23 been some improvements.

24 And furthermore, there have been improvements in
25 most, if not all of the B&W plants with regard to redundancy

1 of signals coming into the control room. So that we have
2 some confidence, I would say relatively high confidence,
3 that there have been improvements in the control system that
4 will prevent or lessen the probability of the kind of
5 transient at Rancho Seco and at Crystal River; and that
6 furthermore, that even if that were to occur the operator
7 would have better information than they had in those two
8 instances.

9 So taking credit for those two, that led us to the
10 ⁻³ estimate. I would not place a real high confidence
11 on this. I do not know just how to quantify that.

12 MR. OKRENT: Well, I have read the, you know,
13 memoranda, so --

14 MR. MURLEY: Okay.

15 MR. OKRENT: I will ask the next question. What
16 confidence do you think the regulatory staff has to have in
17 its estimate of the probability that an overcooling
18 transient is not a problem this year? In other words, I
19 heard you say earlier, you know, you take 10 full power
20 years or 12 full power years or in some cases it may be one
21 or two full power years. But what confidence -- when you
22 make a statement like that, with what confidence do you
23 have to make it for it to be used as a basis of
24 decisionmaking?

25 MR. MURLEY: Yes. There are two aspects to that,

1 to that answer. The first part is, if the probability of
2 this transient is about 10^{-3} for a B&W plant and there are
3 about ten plants out there, chances are we are not going to
4 see, over the next year, we are not going to see a severe
5 transient like Rancho Seco or worse. So that is one. And
6 even less likely for Westinghouse and CE plants.

7 The other aspect of our confidence is that even if
8 such a transient were to occur, the staff does not believe
9 that the vessel would fail, even the worst vessel.

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1 MR. OKRENT: That is a zero probability of
2 failure, given the worst case.

3 MR. MURLEY: Not zero probability. I do not -- we
4 are in a new area here. We do not have a probabilistic
5 model for vessel failure under these conditions.

6 MR. OKRENT: The reason I am pressing you is the
7 fact that this is not a trivial issue. The accident that
8 would follow a failure of the vessel could be one of the
9 larger-release scenarios, as you well know.

10 MR. MURLEY: It would be dramatic, yes.

11 MR. OKRENT: So, presumably, if in fact -- I have
12 to assume that you want to achieve some probability of this
13 scenario, which is like what is in WASH-1400, where it is
14 the same kind of scenario. And in WASH-1400 it was, you
15 know, a few times 10^{-6} . Of course, that was not a -- the
16 upper -- that was their median value.

17 But in other words, what I am getting at is you do
18 not look for the same number as for, I suppose, what you
19 might think of as core melt, because you do not know with
20 very high confidence that your containment is going to be
21 useful.

22 MR. MURLEY: It is these kinds of considerations
23 that lead us to the conclusion that something will have to
24 be done. But we think that we can look at it more carefully
25 over the next year.

1 MR. SHEWMON: One other question, Bill Kerr: What
2 sort of failure do we get? Is this the vessel falling in
3 little pieces on the floor, or does a crack develop out of
4 which water will leak, or does anybody know?

5 MR. SHEWMON: That is a good question and one that
6 is currently, or up to now, has been unthinkable and, I
7 think, still is. But my feeling is we are now beginning to
8 look at it.

9 If you wanted to be somewhat optimistic, you could
10 say, "Well, you have got a weld which opens up and runs
11 until it bumps into tougher metal." That could be out of
12 the core, it can be into a different course. It can be into
13 a different part of the weld which has less copper and has
14 not embrittled as much. It is not falling in little pieces,
15 but there is a fair-sized hole in the side of it.

16 MR. MURLEY: I asked my staff the same question
17 and essentially got the same answer: that it could range
18 from a relative -- if it cracks at a higher temperature, let
19 us say, it could be a relatively benign opening that
20 relieves pressure, and one might even concede that you could
21 maintain water in the vessel. If the crack were to occur at
22 very low temperatures where it is very brittle, one can
23 imagine missiles being generated and thrown about.

24 So there is a wide range. We just do not know.
25 It depends on the scenario.

1 MR. BENDER: The question -- the postulate was the
2 worst condition was a full steam line break.

3 MR. MURLEY: Yes.

4 MR. BENDER: Is there a B&W postulate?

5 MR. MURLEY: Yes, I will get to that.

6 MR. BENDER: Okay, I will wait then.

7 MR. EBERSOLE: Did the Combustion postulate assume
8 main feedwater run-on?

9 MR. MURLEY: I do not know. It is a Chapter 15
10 steam line break.

11 MR. EBERSOLE: That is with main feedwater run-on.

12 MR. MURLEY: I do not know what the assumptions
13 are.

14 MR. EBERSOLE: A main feedwater run-on makes it
15 worse. It will make it worse.

16 MR. MURLEY: Yes. Westinghouse --

17 MR. OKRENT: They did not get a confidence
18 estimate, did they?

19 MR. MURLEY: No. As a matter of fact, we
20 understand that these are relatively old calculations,
21 Westinghouse, it is about a 1975 analysis. And they have
22 not -- CE. And so they are still relying on that. In fact,
23 they showed a much greater mileage. They showed about 20
24 effective full-power year back then. And as a result of
25 the Haine Yankee recent inspection where they found that

1 their fluence calculations may have been off by a factor of
2 2, they said even if it is off by a factor of 2 we have
3 still got ten effective full-power years.

4 So I am taking that into consideration when I
5 mention it. We will be looking at every one of these
6 vessels over the next year or so. I will give you the story
7 with Westinghouse and then Babcock & Wilcox.

8 Westinghouse owners group says that a specific
9 vessel may be limited in lifetime by one of three
10 transients. One is a large LOCA. It could be limited by a
11 small LOCA or a large steam line break; it depends on the
12 plant, whether it is two-loop, three-loop, four-loop. Of
13 course, they have either a Combustion vessel or a B&W vessel
14 or, I think, perhaps they even have some Rotterdam vessels.

15 They assert that all of the vessels in the
16 Westinghouse-designed plants can sustain the Rancho Seco
17 transient for a minimum of at least three more effective
18 full-power years. They cautioned they do not expect that
19 they can get a Rancho Seco-type transient because their
20 system is not as susceptible to that. But nevertheless,
21 they did the calculation giving the pressure temperature
22 input for the Rancho Seco transient, and even for that
23 transient they say they have at least three more effective
24 full-power years.

25 They also say that all domestic Westinghouse

1 vessels can sustain the most limiting severe thermal shock
2 at least through 1982. Now, as near as I have been able to
3 understand, we have to get back to the owners groups. They
4 apparently do depend on operator action to limit
5 repressurization during this most limiting transient.

6 MR. BENDER: Now, with B&W, their bounding case is --

7 MR. BENDER: I missed the transient again. What
8 is it?

9 MR. MURLEY: For Westinghouse, it depends on the
10 type of Westinghouse design. But it could be either a large
11 LOCA; that would be the worst transient. A small LOCA with
12 high pressure -- cold ECC water; that would be the most
13 limiting transient. Or a large steam line break.

14 MR. EBERSOLE: A large steam line break, though,
15 without repressurization. You just said they depend on
16 operator action.

17 MR. MURLEY: It is not clear in the report to us
18 whether they depend on operator action. My reading of it is
19 they do depend on operator action.

20 MR. BENDER: We need to get it down.

21 MR. MURLEY: Now, with B&W, it is quite clear that
22 they depend on operator action to prevent repressurization.
23 Let me read their bounding case.

24 They assumed a small loss-of-coolant accident
25 where natural circulation stops at ten minutes into the

1 transient. The downcomer fluid temperature ramps down to 90
2 degrees Fahrenheit in 60 seconds. So this is a cooldown
3 rate of 406 degrees Fahrenheit per minute.

4 They assume no repressurization. The operator
5 throttles the high-pressure injection to maintain the core
6 outlet less than 100 degrees Fahrenheit subcooled.

7 They have also looked at the large steam line
8 rupture, but it is less severe for a B&W plant because of
9 the smaller inventory in their steam generator than the
10 Westinghouse or Combustion.

11 MR. EBERSOLE: What about main feedwater run-on?
12 That makes it compounded worse. They are flooding a dry
13 boiler.

14 MR. MURLEY: They claim that the bounding case
15 that I gave you, that the small-LOCA case that I gave you,
16 is a bounding transient.

17 MR. EBERSOLE: That is because they did not look
18 at main feedwater run-on. Main feedwater run-on into a
19 normally dry boiler will really do it in.

20 MR. MURLEY: As I said, we did that calculation
21 also as a bounding calculation at Oconee, but we used
22 end-of-life vessel properties. So we do not have enough
23 information to back up what you say, but we will certainly
24 look into it.

25 MR. SHEWMON: Jesse, you are talking now about a

1 CE or Westinghouse?

2 MR. EBERSOLE: B&W.

3 MR. SHEWMON: Can you not get water or the pumps
4 continuing to run in the Westinghouse or CE plants?

5 MR. EBERSOLE: Yes. It does not matter as much
6 for them as it does for a boiler, because it is normally
7 much less full than the others. The others are completely
8 full, and they evaporate at the top. This is just normally
9 just a cylindrical boiler that evaporates on the way up, and
10 when you have continued run-on it becomes a tremendous
11 chilling agent.

12 MR. MURLEY: I will amplify that. I think the B&W
13 steam generators are normally only about one-third filled, I
14 think. And if you have, let us say, a turbine trip and the
15 main feedwater pumps keep running, then you have two
16 effects: You can go from a heat transfer -- you triple the
17 heat transfer area in the steam generator. Also, I
18 understand, the feedwater preheating is lost, so the
19 temperature of the feedwater goes down.

20 Those two effects can severely overcool the
21 primary system.

22 MR. EBERSOLE: Right.

23 MR. MURLEY: Okay. So that is then what we have
24 received from the owners groups. We have, subsequently
25 gotten in material from each one of our licensees, PWR

1 licensees. They generally just support the owners group
2 response.

3 We have not gotten a lot of additional detail from
4 the licensees themselves, although there are some cases
5 like, for example, Davis Besse says that they have no
6 longitudinal valves in their reactor pressure vessel. That
7 is just one example of the variations that we have to look
8 at. We will be looking at every vessel by itself.

9 Okay. The NRR staff would not expect the vessel
10 with the worst material properties to fail today even in the
11 event of an overcooling transient as severe as the Rancho
12 Seco event.

13 MR. OKRENT: You said that several times, but you
14 have not told us whether you have looked at, you know, what
15 it takes for somewhat more severe overcooling as Jesse
16 Ebersole has just identified, like the Rancho Seco one that
17 has occurred. It is not impossible, though it may be
18 improbable. Rancho Seco, I do not think, was a limiting
19 overcooling event. Am I correct?

20 MR. MURLEY: I believe that is correct. It is the
21 worst we have seen. But one can postulate worse transients,
22 that is what I am saying.

23 MR. OKRENT: I do not know what it means when you
24 make a statement -- I mean I know what it means when you are
25 saying something in terms of the Rancho Seco event, but I do

1 not know how to translate this to regulatory positions if I
2 cannot say, "Well, but could there be something, could it be
3 an hour faster, whatever? Is that tolerable, intolerable?"

4 MR. BENDER: We need to know more than just
5 whether it is tolerable, intolerable, likely, unlikely. If
6 we are going to deal with this thing probabilistically, then
7 we ought to try to put some probabilities on the
8 combinations of events that have to be dealt with.

9 MR. MURLEY: Okay. I guess I will have to --

10 MR. BENDER: I doubt you can answer that.

11 MR. MURLEY: But the research staff is developing
12 a probabilistic model similar to the Octavia model you
13 recall that they developed several years ago to deal with
14 the low-temperature repressurization problem. This one is
15 much more complex. One has to deal with variations of
16 material properties, variations in fluent through the
17 thickness and probabilities like that as variations around
18 the vessel.

19 It is quite complex, yes. But they will do that.
20 And I think such a model is absolutely necessary if we are
21 ever going to understand margins. And we hope to have that
22 over the next several months, at least preliminary versions.

23 MR. EBERSOLE: A comment. They are supposed to be
24 safety-grade protection, instantaneous run-on of the water
25 pumps in order to protect the containment sump. But if the

1 hypothetical break is outside of containment, I do not think
2 those things work. In other words, there is a safety-grade
3 system for cutting off feedwater flow, but I think it works
4 only when it discharges into the containment.

5 MR. SHEWMON: Yes. I can promise you will hear
6 about this problem again.

7 MR. EBERSOLE: Okay.

8 (Laughter.)

9 MR. MURLEY: Okay. During this next year the
10 staff will be looking at each PWR pressure vessel in the
11 sense that we have asked each of the licensees to submit
12 reports dealing with the capability of their pressure vessel
13 to withstand thermal shock. We will have the licensees
14 continue to analyze the problem. We will try to get an
15 assessment of the margins for each vessel. And we will be
16 looking at potential improvements because I think we can say
17 with some confidence that relying on operator action is not
18 going to be a long-term solution.

19 That is not a firm regulatory position. I guess
20 you can say that is my view. But also I think it is shared
21 by Steve Manauer and quite a few others. Relying on the
22 operator not to repressurize the vessel is not a long-term
23 solution.

24 Some of the fixes that we will be looking at are --

25 MR. KERR: Is it a short-term solution?

1 MR. MURLEY: Well, in the sense that -- first, let
2 we say my understanding is that the B&W has notified their
3 utilities, their own B&W plants, their customers, that they
4 should throttle back on HPI on indication of 100 degrees
5 subcooling.

6 Now, one has to ask what is the probability that
7 the operator will make a mistake and not do that? There is
8 some number; I do not know what it is. But it is clearly
9 too high to rely on for a number of plants over their
10 lifetime.

11 MR. JOHNSON: You asked whether it is long-term or
12 short-term.

13 MR. KERR: I asked if it were a short-term
14 solution.

15 MR. JOHNSON: Okay. In a sense, it is a good
16 short-term solution when you consider the irradiation
17 effect. The status of the most highly irradiated vessels
18 today and you consider the gradient of toughness -- let me
19 try this on you.

20 MR. KERR: You are giving me a lot of information
21 which I do not know what to do with.

22 MR. JOHNSON: I will tell you in a second. The
23 point being that the thermal shock will, for today's
24 vessels, not propagate a crack clean through the wall.
25 Therefore, after the thermal shock, you still have a vessel

1 which will hold water, and if the operator will throttle
2 back you can keep the core covered and the core cooled.

3 MR. KERR: My question really had to do with
4 whether you were going to put the operator in jail if he did
5 not follow his instructions, which are to continue to let
6 the high-pressure injection system run. That was the only
7 question I had.

8 MR. MURLEY: He answered a different question, I
9 think. He said that if the operator does not repressurize,
10 then you are okay. The question was, I think, can you rely
11 on him?

12 MR. KERR: Under the present rules, is he
13 permitted not to repressurize?

14 MR. MURLEY: Yes.

15 MR. KERR: I asked this same question last month,
16 and the answer was: Under present rules, he must
17 repressurize.

18 MR. MURLEY: He must maintain 50 degrees
19 subcooling.

20 MR. KERR: And if he has to repressurize to do it,
21 he repressurizes?

22 MR. MURLEY: Yes. But not --

23 MR. KERR: So he has to be fairly skillful.

24 MR. MURLEY: My understanding is that he --

25 MR. KERR: It may not be bad, because it means he

1 has to be really on his toes. You cannot do it with one
2 hand.

3 MR. MURLEY: If he understands exactly the
4 transient he is dealing with, yes. That is the key. I
5 think you are talking about a --

6 MR. BENDER: We are needing you excessively
7 here. Let us let Tom get on with it.

8 MR. KERR: I am simply trying to find out what the
9 present status of things is, and I think I have discovered
10 that the operator has some fairly difficult choices to make.

11 MR. EBERSOLE: In the case where we have
12 (inaudible).

13 MR. MURLEY: No, the Rancho Seco vessel was not
14 inspected, but they asked the licensee to do a careful
15 analysis before they were allowed to start up. And, of
16 course, it was quite a fresh vessel in 1978, and the staff
17 is fairly confident that there was no damage.

18 MR. OKRENT: At least there had not been any
19 through-cracks showing a leak yet.

20 (Laughter.)

21 MR. MURLEY: There are four, at least four, items
22 that we will be looking at over the next year for ways to
23 improve this, because, as I say, I think no one that I know
24 of is claiming that these vessels can uniformly go 40 years
25 without some improvements. Perhaps some vessels can, I do

1 not know. But I do not think anyone is claiming that they
2 all can. And as I said, Westinghouse says they are only
3 confident through, at this time, 1982.

4 Okay, so we are looking at the possibility of
5 removing fuel from the outer rows of the core. This can cut
6 down the fluents to the vessel by a factor of perhaps 2 to
7 3. That means quite a bit in the lifetime.

8 We are looking at, or will be looking at, perhaps,
9 control systems or protection systems that could prevent
10 repressurization.

11 Another option that has been talked about is
12 raising the temperature of the water in the borated water
13 storage tank so that the thermal shock is not quite as great.

14 Also, there is a program at EPRI on in-place
15 annealing if all other things fail, annealing at somewhere
16 around 650 or 750 degrees Fahrenheit to gain back the
17 toughness of the vessel.

18 None of these are sufficiently attractive or are
19 either attractive or will solve the problem that it is a
20 clear-cut decision what should be done. The research
21 program will be continuing over the next year to do
22 independent analyses and will attempt to assess the margins,
23 as I said, develop a probabilistic model that will help us
24 at least assess the margins for vessel failure.

25 Also, they plan on conducting a thermal shock

1 test, pressurized thermal shock test, at Oak Ridge next year
2 which will allow us to test our models under such conditions.

3 So that sums up what I had to say.

4 MR. BENDER: One other variant that I did not
5 hear. It may be impossible, but I will ask it anyhow. My
6 interpretation of what I have been told, it seems to me it
7 is conceivable to say we will sleeve the vessel or isolate
8 the vessel in that particular location by putting a metal
9 membrane over that surface, just so it does not see the
10 temperatures. Is that out of the question?

11 MR. SHAO: (Inaudible.) I think this is a good
12 point. You can put some local shielding, just as Mike
13 said. Maybe there are two things you can do: either
14 isolate against thermal shock; or put on something like
15 shielding, local shielding, to reduce the fluents.

16 MR. BENDER: Anything to divert the cold water
17 away from it would probably solve the problem.

18 MR. SHAO: The core, like to receive the cold
19 water, the reactor vessel could not take cold water. So
20 essentially, you want this specific area to isolate.

21 MR. MURLEY: As a matter of fact, we have -- not
22 now, but we had -- paper going through the mill which will
23 probably come down to you in about a month, which will then
24 go to the Commission, recommending it be an unresolved
25 safety issue. So, to me, that is a logical way to deal with

1 it.

2 MR. SHEWMON: A different question: The finns in
3 the Westinghouse, so-called, had a problem something like
4 this, and they took out 10 percent of the fuel, especially
5 that closest to the pressure vessel, and thought that they
6 had decreased the rate of radiation and transition
7 temperature change. Have you heard of any discussions of
8 that?

9 MR. MURLEY: The report that I have is
10 confidential, and I do not know how much is public knowledge
11 and how much is not. If you say it, why -- yes, they use
12 that method for cutting down the fluents, they say, by a
13 factor of 3 in the vessel. They also heated up their
14 high-pressure injection water.

15 MR. SHEWMON: I was just telling Professor
16 Plesset, on my left, that we can then increase the power out
17 of the remaining fuel and transform it into an ECCS question.

18 MR. MURLEY: Right.

19 (Laughter.)

20 MR. SHEWMON: Okay, are there any other questions?

21 MR. OKRENT: You said we will hear about it
22 again. I guess I am interested in knowing how the staff is
23 going to decide how it sets its priorities for this problem
24 and the time scale for resolution and the rationale for
25 resolution.

1 And I would like not to wait half a year to hear
2 them. And while I think, in fact, you probably will have it
3 as an unresolved safety issue, I do not think that will take
4 a year.

5 MR. MURLEY: I will reiterate that there are two
6 bases, there are two parts of the bases, for our actions and
7 the timing of our actions. One is our assessment of the
8 probability that a transient as severe as Rancho Seco or
9 worse is about 10^{-3} for a B&W plant but substantially less
10 for Westinghouse and Combustion plants. That, coupled with
11 the fact that even if a Rancho Seco event were to occur we
12 would not expect the worst type of -- worst vessel to fail
13 today, it gives us confidence that we can deal with this
14 problem.

15 We have at least a year to deal with this
16 problem. I believe personally there are more conservatisms
17 in the calculation, and we are going to try to quantify
18 those and find out better what the margins are over the next
19 six months, let us say. If it turns out that the margins
20 are not as great as we thought, then we will maybe have to
21 take some actions.

22 MR. OKRENT: Well, actually what you gave was part
23 of a rationale. I think if you went back and looked at the
24 transcript, you would not accept it from a licensee as an
25 adequate basis.

1 (Laughter.)

2 Let me leave it at that. I am not saying that I
3 know what time there is to resolve this. But what I am
4 saying, it warrants some thought early on as to just what
5 you know and what your conservatisms are and on what basis
6 you are making a judgment about the time as best you can
7 now. And it is not clear to me necessarily, based on what
8 you said today, that you know you have a year in terms of
9 some particular safety goal. I think that safety goal has
10 to be pretty small, you know, the probability to --

11 MR. SHEWMON: Jesse, did you have a question?

12 MR. EBERSOLE: Would it help very much to trip the
13 main coolant pumps?

14 MR. MURLEY: Oh, I do not think we have
15 investigated that in detail, Jesse. But at first blush, it
16 makes it -- first, because with the pumps running you at
17 least get some mixing.

18 MR. EBERSOLE: That is what you do not want.

19 MR. MURLEY: No. Thermal mixing of outlet --

20 MR. EBERSOLE: I was thinking of keeping the cold
21 water from creeping in.

22 MR. MURLEY: It depends. It depends on the
23 transient. If it is a loss-of-coolant accident where you
24 are injecting cold water, you would like to mix it. If it
25 is a steam line break -- yes?

1 MR. BENDER: I think I have never understood the
2 way in which cooling is treated in these analyses. Was
3 there a vessel temperature measurement at Rancho Seco?

4 MR. MURLEY: No, I think they used the cold-leg
5 temperature.

6 MR. BENDER: So they had to do some analysis based
7 on how the water was channeling down around the vessel.

8 MR. MURLEY: Yes. But, you see, this was an
9 hour-long event, and we are talking about bulk coolant
10 temperatures. So I think the question of mixing and all
11 that stuff is not --

12 MR. BENDER: It has to do with how fast the vessel
13 is being cooled. And I think that has to do with turbulence
14 of the water at the surface of the vessel and things like
15 that that I do think are heat-transfer phenomena. They have
16 to be understood better than we understand them now.

17 MR. MURLEY: If we are talking about an injection
18 of ECC water, I would agree. Here we are talking about bulk
19 primary coolant temperatures, and I do not think that you
20 are going to see variations like you would in a
21 loss-of-coolant accident.

22 MR. BENDER: I know the heat-transfer rate is very
23 high, but the heat is being drawn out of the vessel at some
24 rate.

25 MR. SHEWMON: Drawn out by the vessel, you mean.

1 MR. BENDER: In this case, being drawn out of the
2 vessel.

3 MR. MURLEY: Okay.

4 MR. BENDER: And the rate at which that
5 temperature is changing is a function of the heat transfer
6 at the surface.

7 MR. MURLEY: Yes.

8 MR. BENDER: And it is not just bulk temperature;
9 it is the turbulence, all of those things that go with it.

10 MR. SHAO: It is the regular heat transfer --

11 MR. BENDER: I do not know if it is or not.

12 MR. SHAO: (Inaudible.)

13 MR. SHEWMON: Fine.

14 You had a question, Milt?

15 MR. PLESSET: I was going to ask, Tom, is there
16 some thought being given to ways of getting the operator
17 information so that he can fairly quickly tell the
18 difference between a small break on the primary side and a
19 cooldown from the transient on the secondary side? That is
20 pretty important. Is there a way for him to get this
21 information fairly quickly? Any thought to how this might
22 be made available to him? Because otherwise, initially, he
23 does not know.

24 MR. MURLEY: I cannot give you a definitive
25 answer. The information is available to him right now in

1 the control room, clearly, to dope out what is happening.

2 The trouble is you have too much information.

3 MR. PLESSET: That is right; too much to make a
4 decision fairly quickly.

5 MR. MURLEY: Is your question, "Is there a
6 computer or a logic that analyzes it for him?" Then I think
7 not.

8 MR. PLESSET: "A small break on the primary side,"
9 or "This is a secondary-side transient which is dropping the
10 pressure in the primary loop"?

11 MR. MURLEY: No. As I said, I think the
12 information is available if he analyzes it correctly. But
13 there are many things going on, many alarms going on, and
14 that is what has the staff that I talked with concerned with
15 relying on the operator to dope it out properly.

16 MR. PLESSET: And to do it fairly quickly.

17 MR. MURLEY: Yes.

18 MR. PLESSET: It seems to me you need something
19 like that; don't you agree?

20 MR. MURLEY: It could be. One of the things, as I
21 said, we would be looking at is a protection system that
22 could analyze this, and one could take a combination of
23 measured temperatures and pressures and prevent
24 repressurization, allow the operator to maintain the
25 high-pressure injection system on to get 50 degrees

1 subcooling but not take it, say, beyond 100 degrees
2 subcooling or something like that.

3 That could be built into the protection system.
4 It is not a favorite of mine, because I do not like the
5 idea. I do not think it is a good idea generally to go in
6 and monkey with those.

7 MR. SHAGS (Inaudible.) The repressurization
8 essentially is to drive the crack all the way through the
9 wall. But thermal shock mainly damage vessel very badly.

10 MR. SHEWMON: Any other questions?

11 (No response.)

12 MR. SHEWMON: Fine. Thank you very much.

13 (Whereupon, at 5:30 p.m., the committee was
14 adjourned.)

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NUCLEAR REGULATORY COMMISSION

This is to certify that the attached proceedings before the

in the matter of: ACRS/254th General Meeting

Date of Proceeding: June 5, 1981

Docket Number: _____

Place of Proceeding: Washington, D.C.

were held as herein appears, and that this is the original transcript thereof for the file of the Commission.

David S. Parker

Official Reporter (Typed)



(SIGNATURE OF REPORTER)

OFFICIAL INVESTIGATIONS OF REACTOR OPERATIONS, POST-TMI

SUMMARY OF EVENTS

- MARCH 28, 1979 ACCIDENT AT THREE MILE ISLAND, UNIT 2
- JULY, 1979 ISSUANCE OF ROGOVIN REPORT
- OCTOBER 24, 1979 NFPOT COMMITTEE ESTABLISHED BY DOE UNDER SECRETARY
- OCTOBER 30, 1979 ISSUANCE OF KEMENY COMMISSION REPORT
- NOVEMBER 6, 1979 NFPOT COMMITTEE SCOPE EXPANDED TO ALL ELEMENTS OF KEMENY COMMISSION REPORT
- FEBRUARY 1, 1980 COMMITTEE PLAN OF ACTION APPROVED
- MARCH 10, 1981 COMMITTEE REPORT SUBMITTED TO DOE UNDER SECRETARY
- MARCH 12, 1981 UNDER SECRETARY DIRECTS CREATION OF ACTION PLAN TO RESPOND TO REPORT FINDINGS
- MAY 14, 1981 ACTION PLAN SUBMITTED TO UNDER SECRETARY
- MAY 20, 1981 ACTION PLAN RESPONDING TO COMMITTEE REPORT APPROVED BY DOE UNDER SECRETARY

NUCLEAR FACILITIES PERSONNEL QUALIFICATION AND TRAINING COMMITTEE

MEMBERS

JOHN W. CRAWFORD, JR. (CHAIRMAN)

PRINCIPAL DEPUTY ASSISTANT SECRETARY FOR NUCLEAR ENERGY, DOE

LYNDA L. BROTHERS*

DEPUTY ASSISTANT SECRETARY FOR PROGRAMS, ENVIRONMENT, DOE

PHILIP E. COYLE III*

DEPUTY ASSISTANT SECRETARY, DEFENSE PROGRAMS, DOE

JAMES S. KANE

ASSOCIATE DIRECTOR, BASIC ENERGY SCIENCES, DOE

WILLIAM R. VOIGT, JR.

DIRECTOR, URANIUM RESOURCES AND ENRICHMENT, DOE

* RESIGNED DOE FEBRUARY 1981,

TOPICS ADDRESSED
BY KEMENY COMMISSION

EQUIVALENT HEADINGS
IN NEPQT REPORT

THE NUCLEAR REGULATORY COMMISSION

DOE SAFETY OVERVIEW FUNCTION

THE UTILITY AND ITS SUPPLIERS

DOE PROGRAMMATIC FUNCTION

TRAINING OF OPERATING PERSONNEL

TRAINING OF OPERATING PERSONNEL

TECHNICAL ASSESSMENT

TECHNICAL ASSESSMENT

WORKER & PUBLIC HEALTH & SAFETY

WORKER & PUBLIC HEALTH & SAFETY

EMERGENCY PLANNING AND RESPONSE

EMERGENCY PLANNING AND RESPONSE

PUBLIC'S RIGHT TO INFORMATION

PUBLIC'S RIGHT TO INFORMATION

SCOPE OF REACTOR ASSESSMENT

- 84 DOE-OWNED REACTORS:

 - 67 OPERABLE

 - 17 STANDBY

- OF THE 67 OPERABLE REACTORS:

 - 8 NAVAL PROTOTYPE REACTORS

 - 22 TEST REACTORS

 - E.G., FFTF (400 MWt), EBR-2 (62.5 MWt), AFSR (.001 MWt)

 - PRODUCTION REACTORS

 - NPR (3800 MWt) AT RICHLAND, WA

 - C. P. K (2200 MWt) AT SAVANNAH RIVER

 - REMAINING ARE SMALL CRITICAL RESEARCH FACILITIES OR
TRANSIENT TEST REACTORS OF NEGLIGIBLE POWER.

13 REPRESENTATIVE REACTORS ASSESSED

SELECTION CRITERIA

1. POWER LEVEL,
2. POTENTIAL OFF-SITE RISK,
3. PROGRAM PARTICIPATION (MAXIMIZE NUMBER OF ORGANIZATIONS ASSESSED),

13 REPRESENTATIVE REACTORS ASSESSED

<u>NAME</u>	<u>POWER (MWt)</u>	<u>PRIMARY PRESSURE (PSIG)</u>	<u>AVERAGE PRIMARY TEMPERATURE (°F)</u>	<u>LOCATION</u>
1 NPR	3800	1730	475	RICHLAND, WA
2 SRP-K	2200	5	154	SAVANNAH R., SC
3 FFTF	400	ATMOSPHERIC	940	PEDL, WA
4 ATR	250	355	146	INEL, ID
5 ETR	175	200	124	INEL, ID
6 HFIR	100	650	140	ORNL, TN
7 EBR-II	62.5	ATMOSPHERIC	900	INEL, ID
8 LOFT	55	2250	576	INEL, ID
9 HFBR	40	250	140	BNL, NY
10 ORR	30	38	126	ORNL, TN
11 PBF	28	ATMOSPHERIC	200	INEL, ID
12 OWR	8	12.5	112	LASL, NM
13 BSP	2	ATMOSPHERIC	107	ORNL, TN
<hr/>				
FOR COMPARISON				
TMI-2	2772	2185	582	TMI, PA

ON-SITE REACTOR ASSESSMENTS*

1. K
2. ATP
3. HFIR
4. HFBR

- EXTENSIVE PREPARATION PRECEDED FIELD REVIEWS.
- FIELD REVIEW TEAM - 9 TO 12 PERSONS; HEADED BY DOE COMMITTEE MEMBER; INCLUDED QUALIFIED CONSULTANTS.

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- * BASIS FOR ASSESSING NINE REACTORS NOT VISITED - DOCUMENT REVIEWS, PERSONNEL INTERVIEWS.

NFPQT COMMITTEE MAJOR FINDINGS

1. "NO EVIDENCE WAS FOUND THAT ANY OF THE DOE-OWNED REACTORS ARE BEING OPERATED IN AN UNSAFE MANNER OR THAT ANY OF THESE REACTORS SHOULD BE SHUT DOWN,"
2. "A NUMBER OF SIGNIFICANT DEFICIENCIES EXIST IN DOE'S REACTOR SAFETY MANAGEMENT ACTIVITIES, AS REVEALED BY THE COMMITTEE'S ON-SITE REVIEWS AND BY ASSESSMENTS OF SITE AND HEADQUARTERS DOCUMENTS,"
3. "THERE IS A NEED TO STRENGTHEN SUBSTANTIALLY THE TECHNICAL AND MANAGERIAL CAPABILITIES OF DOE HEADQUARTERS AND FIELD ORGANIZATIONS WHICH HAVE REACTOR SAFETY RESPONSIBILITIES,"
4. "MANY OF THE 'TMI LESSONS LEARNED' HAVE NOT BEEN ADEQUATELY ADDRESSED OR APPLIED IN DOE REACTOR PROGRAMS,"

DOE RESPONSE TO NFPQT COMMITTEE REPORT

- MARCH 10, 1981 - SUBMISSION OF REPORT ON A SAFETY ASSESSMENT OF DOE NUCLEAR REACTORS TO THE UNDER SECRETARY (US)
- MARCH 12, 1981 - US DIRECTS CREATION OF ACTION PLAN TO RESPOND TO REPORT FINDINGS
 - ACTING ASSISTANT SECRETARY FOR DEFENSE PROGRAMS
 - ACTING ASSISTANT SECRETARY FOR NUCLEAR ENERGY
 - ACTING ASSISTANT SECRETARY FOR ENVIRONMENTAL PROTECTION, SAFETY, AND EMERGENCY PREPAREDNESS
 - ACTING DIRECTOR OF OFFICE OF ENERGY RESEARCH
 - DEPUTY DIRECTOR ADMINISTRATION
- MAY 14, 1981 - ACTION PLAN SUBMITTED TO US
- MAY 20, 1981 - US APPROVED ACTION PLAN
 - APPOINTS C. A. HEATH TO HEAD IMPLEMENTATION TASK FORCE FOR HEADQUARTERS ACTION
- MAY 21, 1981 - US DIRECTS FIELD OFFICES TO COMPLETE ITEMS IN ACTION PLAN ASSIGNED TO FIELD OFFICES

ORGANIZATIONAL RECOMMENDATIONS

- ENSURE CONTINUOUS ATTENTION AT LEVEL ABOVE ASSISTANT SECRETARIES,
- ESTABLISH INDEPENDENT SAFETY OVERVIEW GROUP REPORTING TO UNDER SECRETARY,
- ESTABLISH GROUP OF EXPERTS EXTERNAL TO DOF TO ADVISE SECRETARY,

A. DOE SAFETY OVERVIEW FUNCTION - NFPQT FINDINGS

- A-1: DOE TOP MANAGEMENT INVOLVEMENT,
- A-2: THE INDEPENDENT REACTOR SAFETY OVERVIEW CAPABILITY,
- A-3: THE HEADQUARTERS REACTOR SAFETY OVERVIEW ORGANIZATION LEVEL,
- A-4: STRENGTH OF TECHNICAL CAPABILITY WITHIN DOE SAFETY ORGANIZATIONS,
- A-5: BROAD GUIDELINES VS. SPECIFIC REQUIREMENTS,
- A-6: CLARITY OF LINE MANAGEMENT AUTHORITY,

A. DOE SAFETY OVERVIEW FUNCTION - DOE RESPONSE

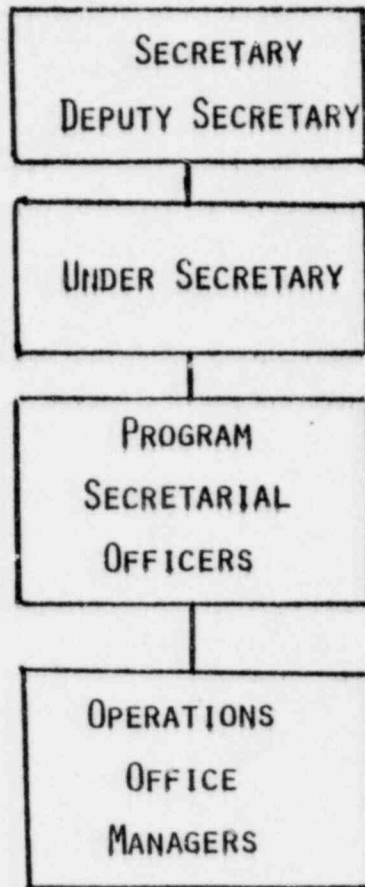
CLARIFICATION OF LINE OF RESPONSIBILITY FOR SAFETY

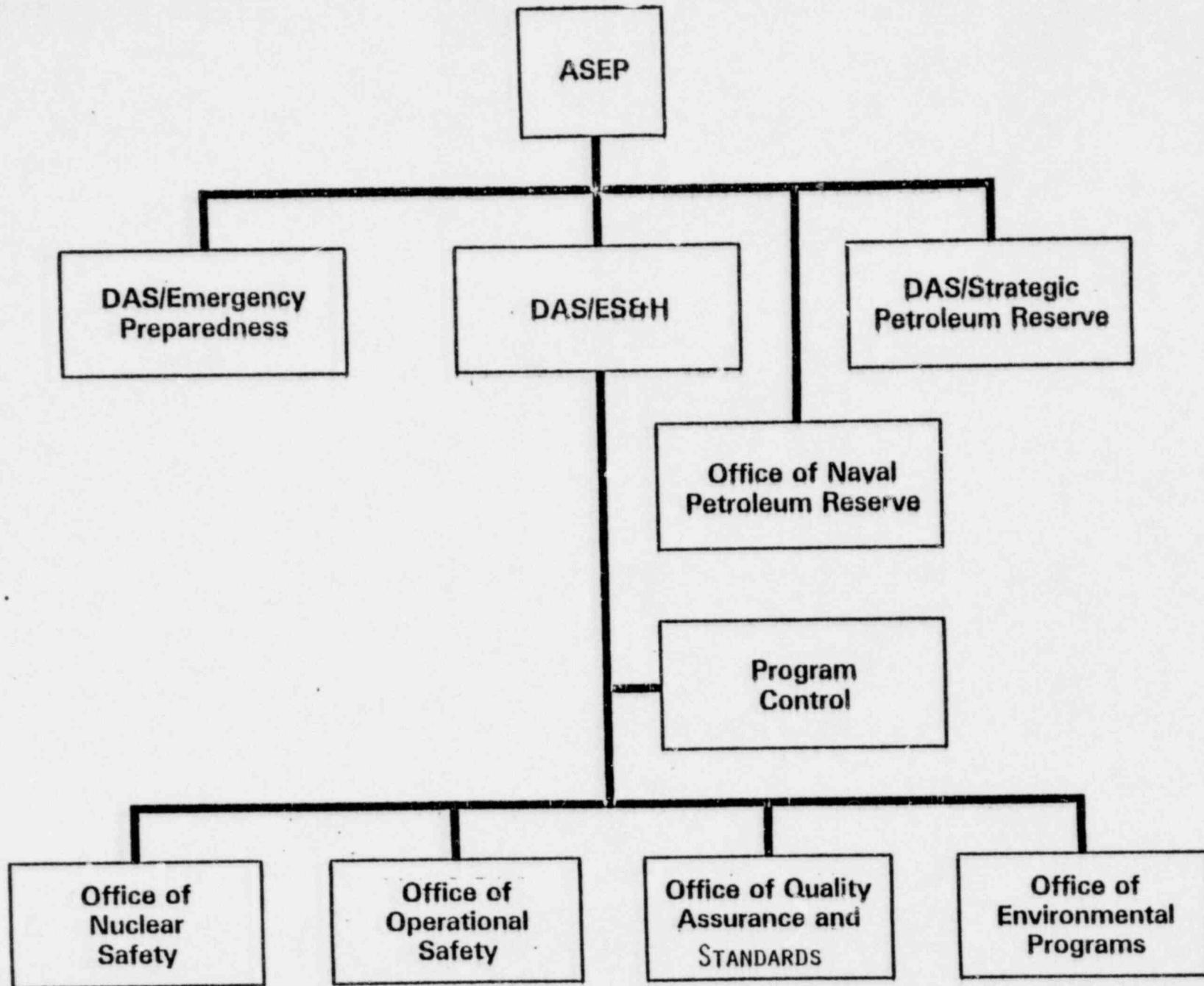
- FIELD OFFICE MANAGERS ARE RESPONSIBLE TO PROGRAM SECRETARIAL OFFICERS FOR SAFE CONDUCT OF THEIR PROGRAMS,

STRENGTHEN AND CLARIFY NUCLEAR SAFETY OVERVIEW RESPONSIBILITIES AND FUNCTIONS

- ASEP HAS DEPARTMENT-WIDE OVERVIEW; LEAD RESPONSIBILITY FOR POLICY AND DIRECTIVES, CONDUCTING ASSESSMENTS, AND ASSEMBLING AND DISSEMINATING INFORMATION,
- ESTABLISH AN OFFICE OF NUCLEAR SAFETY (ONS) REPORTING TO THE DAS FOR ES&H,
- ONGOING ACTIVITY TO REVIEW AND UPGRADE SAFETY DIRECTIVES, STANDARDS AND GUIDES,

LINE OF DOE RESPONSIBILITY OR NUCLEAR SAFETY





B. DOE PROGRAM FUNCTION - NFPQT FINDINGS

- B-1: UNIFORM QUALITY ASSURANCE (QA) GUIDANCE.
- B-2: APPLICATION OF QA POLICY AND INSTRUCTIONS TO SPECIFIC SITES.
- B-3: QA PROGRAM SCOPE.
- B-4: ORGANIZATIONAL INDEPENDENCE FOR QA PERSONNEL.
- B-5: DOCUMENTATION OF DOE QA PROGRAMS.
- B-6: INDEPENDENT VERIFICATION IN OPERATING PROCEDURES.
- B-7: ADEQUACY OF OPERATING PROCEDURES.
- B-8: ADEQUACY OF SHIFT RELIEF PROCEDURES.
- B-9: ADEQUACY OF CONTROL ROOM PROCEDURES.
- B-10: ADEQUACY AND USE OF INCIDENT REPORTING.
- B-11: APPLICATION OF THE TMI LESSONS LEARNED TO OPERATING PROCEDURES.
- B-12: COMMON NUCLEAR SAFETY TERMINOLOGY.

B. DOE PROGRAM FUNCTION - DOE RESPONSE

STRENGTHEN QUALITY ASSURANCE (QA) FUNCTIONS

- MOVE RESPONSIBILITY FOR COORDINATION FROM US TO EP.
- ESTABLISH AN OFFICE OF QA AND STANDARDS UNDER DAS FOR ES&H.
- QA STANDARDS AND GUIDELINES.
- ONGOING ACTIVITY TO PROVIDE UNIFORM QA.

REVIEW OF CONTRACTORS OPERATING PROCEDURES FOR CLARITY AND COMPLETENESS AND TAKE CORRECTIVE ACTION

- OPERATIONS OFFICES TO REPORT TO PROGRAM SECRETARIAL OFFICERS AND ASEP.
- OPERATIONS OFFICES TO COMPLETE ASSESSMENT OF REACTOR OPERATING PROCEDURES.

ASEP TO ESTABLISH INFORMATION EXCHANGE SYSTEM

- ACTIONS UNDERWAY TO ESTABLISH DOE-WIDE SYSTEM TO OBTAIN, ANALYZE AND DISTRIBUTE OCCURRENCE AND INCIDENT REPORTS RELATED TO NUCLEAR SAFETY.

ASEP TO ESTABLISH A DOE SAFETY COUNCIL

- MANAGEMENT OVERVIEW.
- EXCHANGE KEY DATA.

C. TRAINING OF OPERATING PERSONNEL - NFPQT FINDINGS

- C-1: REQUIREMENTS FOR SELECTION, TRAINING, AND QUALIFICATION OF OPERATING PERSONNEL,
- C-2: DOE HEADQUARTERS OFFICE FOR OPERATING PERSONNEL TRAINING,
- C-3: REVISION OF DOE ORDER ON REACTOR PERSONNEL TRAINING,
- C-4: COMPARABILITY OF DOE REACTOR PERSONNEL TRAINING PROGRAMS TO THOSE FOR LICENSED REACTORS,
- C-5: DETAILED TRAINING REVIEWS AND OPERATOR PERFORMANCE ASSESSMENTS,
- C-6: THE KNOWLEDGE OF REACTOR OPERATORS AND REACTOR SUPERVISORS,
- C-7: REACTOR PLANT CASUALTY DRILL TRAINING,
- C-8: INDEPENDENCE OF CERTIFICATION PROCESS FOR OPERATING PERSONNEL,
- C-9: ADMINISTRATION OF WRITTEN AND ORAL EXAMINATIONS,

C. TRAINING OF OPERATING PERSONNEL - DOE RESPONSE

ESTABLISH STRONG POLICY AND PROGRAM FOR TRAINING AND QUALIFICATION OF REACTOR OPERATIONS PERSONNEL

- DOE ORDER ON SAFETY (5480,1) TO BE REVISED,
- OFFICE OF NUCLEAR SAFETY TO HAVE PROGRAM AND OVERVIEW RESPONSIBILITY,
- OPERATIONS OFFICES TO HAVE EXPERTISE TO APPRAISE CONTRACTOR PERFORMANCE AND COMPLIANCE,
- OPERATIONS OFFICES TO PERFORM SHORT-TERM ASSESSMENTS,
- EXPEDITED DEVELOPMENT OF DOE STANDARDS.

D. TECHNICAL ASSESSMENT - NFPOT FINDINGS

- D-1: POST-TMI DOE TECHNICAL REVIEWS,
- D-2: IMPACT OF RELEASES IN VENTED CONFINEMENT BUILDINGS,
- D-3: ACCESS TO OPERATING STATIONS IN EVENT OF RADIOACTIVITY RELEASE,
- D-4: "WALKAWAY-TYPE" PROTECTION FEATURES AT MANY OF THE DOE REACTORS,
- D-5: EVALUATION OF ALUMINUM-WATER REACTOR COMPARED TO ZIRCONIUM-WATER REACTION,
- D-6: TECHNICAL EVALUATION OF "HUMAN FACTOR" ISSUES,

D, TECHNICAL ASSESSMENT - DOE RESPONSE

DEVELOP AND IMPLEMENT PLAN FOR COMPREHENSIVE REVIEW AND ASSESSMENT OF DOE-OWNED NUCLEAR FACILITIES

- CONDUCT ON-SITE REVIEW OF REMAINING NINE REACTORS LISTED IN NFPQT COMMITTEE REPORT,
- ONGOING DEVELOPMENT OF PLANS FOR ASSESSMENTS OF OTHER DOE-OWNED NUCLEAR FACILITIES,

E. WORKER AND PUBLIC HEALTH AND SAFETY - NFPQT FINDINGS

- E-1: DOE HEADQUARTERS SUPPORT OF THE RADIOLOGICAL PROTECTION FUNCTION.
- E-2: CONTROL OF RADIATION AND RADIOACTIVITY DURING NORMAL OPERATIONS.
- E-3: COMPLETENESS OF APPRAISALS OF RADIOLOGICAL CONTROLS PROGRAM.
- E-4: IMPLEMENTATION OF ALARA PROGRAM.
- E-5: DRILLS FOR RADIOLOGICAL CONTROL PERSONNEL.
- E-6: CONTRACTORS' INTERNAL AUDIT PROGRAMS.

E. WORKER AND PUBLIC HEALTH AND SAFETY - DOE RESPONSE

DEVELOPMENT OF MINIMUM REQUIREMENTS FOR HEALTH PHYSICS ASSESSMENTS

- ONGOING REVISION OF APPROPRIATE ORDERS,
- FORMAL ISSUANCE OF DOE ALARA GUIDE AS A REQUIRED STANDARD,
- FIELD OFFICE AUDIT OF CONTRACTOR PROGRAMS,

F. EMERGENCY PLANNING AND RESPONSE - NFFOT FINDINGS

F-1: OVERALL DOE POLICY DIRECTIVES FOR EMERGENCY RESPONSE,

F-2: DOE ORGANIZATIONAL ROLES IN AN EMERGENCY,

F-3: THE ROLE OF OTHER FEDERAL AGENCIES IN REVIEW OF STATE
AND LOCAL EMERGENCY PLANS FOR THOSE JURISDICTIONS
SURROUNDING DOE FACILITIES,

F-4: IMPROVEMENTS TO EMERGENCY PREPAREDNESS AT DOE REACTOR
FACILITIES.

F. EMERGENCY PLANNING AND RESPONSE - DOE RESPONSE

IMPROVE CAPABILITY FOR RESPONDING TO A NUCLEAR ACCIDENT

- ONGOING ACTIVITY TO PREPARE DIRECTIVE ON RESPONDING TO TO A NUCLEAR EMERGENCY AT A DOE SITE,
- CLARIFICATION OF THE RESPECTIVE ROLES OF FEMA AND DOE FOR EMERGENCY RESPONSES AT DOE SITES,
- EXPAND PERIODIC EXERCISES AT DOE SITES TO TEST EMERGENCY RESPONSE PLANS.

G. PUBLIC'S RIGHT TO INFORMATION - NFPQT FINDINGS

- G-1: PUBLIC AFFAIRS PLANNING FOR EMERGENCIES BY HEADQUARTERS,
- G-2: IMPORTANCE OF PUBLIC AFFAIRS EMERGENCY PLANNING,
- G-3: DOE POLICY GUIDANCE TO GOVERN PUBLIC AFFAIRS PROGRAMS,
- G-4: THE LESSONS OF THREE MILE ISLAND RELATING TO PUBLIC INFORMATION.

G. PUBLIC'S RIGHT TO INFORMATION - DOE RESPONSE

IMPROVE PUBLIC COMMUNICATIONS DURING A NUCLEAR ACCIDENT

- PROMULGATE POLICY RESPONSIBILITIES AND PROCEDURES FOR PUBLIC AFFAIRS ACTIONS DURING A NUCLEAR EMERGENCY,
- PREPARE PLANS FOR ANNUAL MEETINGS WITH STATE AND LOCAL OFFICIALS.

APPLICATION OF APPROPRIATE DOE RESOURCES TO NUCLEAR SAFETY

ACTION PLAN

MAJOR CONCERN EXPRESSED THAT ADEQUATE RESOURCES BE APPLIED
TO IMPLEMENT PLAN.

DOE RESPONSE

REVIEW UNDERWAY BY TASK FORCE.

REQUIREMENTS BEING IDENTIFIED BY ASEP AND BY FIELD OFFICES,

ASMA AND US TO ASSESS NEEDS FOR REPROGRAMMING AS REQUIRED.