

APR 22 1981



MEMORANDUM FOR: Commissioner Bradford
FROM: William J. Dircks, Executive Director for Operations
SUBJECT: LICENSEE COMMITMENTS

The following responds to the three requests in your April 2, 1981 memorandum concerning the process which ensures that licensee commitments are carried out.

Request 1

Please describe the process which ensures that commitments made by the applicant during the OL review are set forth in the SER. Are there any formal directions to the reviewers on this subject?

Response

Commitments made by the applicant during the OL review are transmitted by letter to the NRC. These commitments are incorporated by reference in the SER and, in some cases, by quotation or paraphrasing. There are no formal directions to the reviewers on this subject. However, reviewers are instructed to document the bases for acceptance, including any commitments.

Request 2

Please describe the process which ensures that I&E verifies the carrying out of post-FSAR commitments requiring pre-license action.

Response

Commitments made by the licensee that require pre-licensing action, such as modification of plant procedures or equipment, are transmitted from NRR to the Office of Inspection and Enforcement by means of a draft SER. I&E through a sampling and auditing program verifies that the plant has been

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completed in substantial agreement with docketed commitments and regulatory requirements. When NRR feels that emphasis should be given to a particular requirement, NRR requests I&E to verify fulfillment of the specific commitment.

Request 3

Please describe the process which ensures that pre-license commitments requiring post-license plant modification are made license conditions. Are there formal directions to the reviewers on this subject?

Response

Commitments requiring post-license plant modifications are identified in the SER and are incorporated into the license. There are no formal directions to reviewers on the subject. However, reviewers are instructed to identify future commitments (license condition candidates) relied upon to ensure completion of the action.

(Signed) E. Kevin Cornell

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William J. Dircks
Executive Director for Operations

cc: Chairman Hendrie
Commissioner Gilinsky
Commissioner Ahearne
L. Bickwit, OGC
E. Hanrahan, OPE
S. Chilk, SECY

DISTRIBUTION
Docket File (50-
TERA
NRC/PDR Snyder
L/PDR RVollmer
EDO Rdg. HThompson
LB#2 rdg. PFine
HDenton OELD
ECase MRushbrook
TMurley GERter
FSchroeder (EDO #
DEisenhut EHughes
RPurple MFudge
RTedesco LStowers
WJDircks OIE (3)
Cornell PPAS Rdg
Rehm rRoss
Shapar SCavanaugh
Stello SECY (3) (80-

WJ Dircks

SEE PREVIOUS YELLOW FOR PREVIOUS CONCURRENCES

OFFICE	<i>B#2</i>	<i>EDO</i>	<i>A/DO</i>	<i>D/DO</i>	<i>D/NRR</i>	<i>EDO</i>
SURNAME	<i>L. Kautner</i>	<i>M. J. ...</i>	<i>RL Tedesco</i>	<i>EG Eisenhut</i>	<i>HDenton</i>	<i>WJDircks</i>
DATE	<i>4/17/81</i>	<i>4/17/81</i>	<i>4/17/81</i>	<i>4/20/81</i>	<i>4/17/81</i>	

that the plant has been completed in substantial agreement with docketed commitments and regulatory requirements. ~~In some cases, license conditions may be recommended by I&E to ensure completion of certain requirements.~~

When NRR feels that emphasis should be given to a particular requirement, NRR requests I & E to verify fulfillment of the specific commitment.

Request 3

Please describe the process which ensures that pre-license commitments requiring post-license plant modification are made license conditions. Are there formal directions to the reviewers on this subject?

Response

Commitments requiring post-license plant modifications are identified in the SER and are incorporated into the license. There are no formal directions to reviewers on the subject. However, reviewers are instructed to identify future commitments (license condition candidates) relied upon to ensure completion of the action.

William J. Dircks
Executive Director for Operations

cc: Chairman Hendrie
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Docket File (50-
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RPurple MFudge
RTedesco LStowers
WJDircks OIE (3)
Cornell PPAS Rdg
Rehm DRoss
Shapar SCavanaugh
Stello SECY (3) (80-

*oral concurren
with change as noted above
I&E HK
E. Jordan*

4/17/81

OFFICE	LB #2 <i>HK</i>	LB #2 <i>AS</i>	A/DL	D/DOL	D/NRR	EDO	OELD
SURNAME	LLKintner:sp	ASchwencer	RLTedesco	EGEisenhut	HDenton	WJDircks	<i>Dlastad</i>
DATE	4/16/81	4/16/81	4/ /81	4/ /81	4/ /81	4/ /81	4/ /81

ROUTING AND TRANSMITTAL SLIP

Date **4/17/01**

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5.		

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As Requested	For Correction	Prepare Reply
Circulate	For Your Information	See Me
Comment	Investigate	Signature
Coordination	Justify	

REMARKS

Tom:
 We have reviewed this and have no legal objection. However, based on our experience, we question how consistently this procedure is followed. We also believe that IIE should review.

*Approved
 Submit to
 HRR*

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FROM: (Name, org. symbol, Agency/Post)	Room No.—Bldg.
E. S. Christenbury	Phone No.

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FROM: Commissioner Bradford		ACTION CONTROL	DATES	CONTROL NO. 10358
TO: William J. Dircks EDC		COMPL DEADLINE	4/17/81	DATE OF DOCUMENT
		ACKNOWLEDGMENT		4/17/81
DESCRIPTION <input type="checkbox"/> LETTER <input type="checkbox"/> MEMO <input type="checkbox"/> REPORT <input type="checkbox"/> OTHER Licensee Commitments		INTERIM REPLY		PREPARE FOR SIGNATURE OF
		FINAL REPLY		<input type="checkbox"/> CHAIRMAN
		FILE LOCATION		<input checked="" type="checkbox"/> EXECUTIVE DIRECTOR
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Senton, EDC	4/5/81	Dircks Case	ASSIGNED TO:	DATE
Bisanbur	4/6/81	Cornall Denton	4. Marley	P. Pine
		Zahn 1. PPAS	5. Snyder	
		Shajer 2. Hamner	6. Vollmer	
		Scalle 3. Ross		
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