



OFFICE OF THE
INSPECTOR GENERAL

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D.C. 20555-0001

December 11, 2019

MEMORANDUM TO: Margaret M. Doane
Executive Director for Operations

FROM: Dr. Brett M. Baker */RA/*
Assistant Inspector General for Audits

SUBJECT: STATUS OF RECOMMENDATIONS: AUDIT OF THE
NUCLEAR REGULATORY COMMISSION'S SPECIAL AND
INFREQUENTLY PERFORMED INSPECTIONS
(OIG-18-A-13)

REFERENCE: DIRECTOR, OFFICE OF NUCLEAR REACTOR
REGULATION, MEMORANDUM DATED DECEMBER 3,
2019

Attached is the Office of the Inspector General's (OIG) analysis and status of recommendations as discussed in the agency's response dated December 3, 2019. Based on this response, recommendation 5 is now closed while recommendation 1 remains open and resolved. Recommendations 2, 3, 4, and 6 were previously closed. Please provide a status update for the open and resolved recommendation by February 28, 2020.

If you have questions or concerns, please call me at (301) 415-5915, or Paul Rades, Team Leader, at (301) 415-6228.

Attachment: As stated

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Audit Report

AUDIT OF THE NUCLEAR REGULATORY COMMISSION'S SPECIAL AND INFREQUENTLY PERFORMED INSPECTIONS OIG-18-A-13

Status of Recommendations

Recommendation 1: Update Inspection Manual Chapter (IMC) 2515, "Light-Water Reactor Inspection Program – Operations Phase," Appendix C, "Special and Infrequently Performed Inspections," and applicable Office of Nuclear Reactor Regulation (NRR) guidance to reflect the requirement to ensure consistent and periodic reviews of IMC 2515 Appendix C inspection procedures.

Agency Response Dated
December 3, 2019:

As stated in the June 27, 2019, memorandum, the NRC staff is performing a holistic review of IMC 0307, Reactor Oversight Process Self-Assessment Program. As of the date of this memorandum, the staff has not completed issuance of the revised IMC 0307 that incorporates the resolution of this recommendation. However, the revision is expected to be complete by January 31, 2020, and will include revising IMC 0307 to match the 5-year review requirement periodicity specified in NRR policy OVRST-102.

CONTACT: Jeffrey R. Bream, NRR/DRO
(610) 337-5212

OIG Analysis: OIG will close this recommendation upon reviewing the updated IMC 0307 to verify requirements for consistent and periodic reviews of IMC 2515 Appendix C inspection procedures. This recommendation remains open and resolved.

Status: Open: Resolved.

Audit Report

AUDIT OF THE NUCLEAR REGULATORY COMMISSION'S SPECIAL AND INFREQUENTLY PERFORMED INSPECTIONS OIG-18-A-13

Status of Recommendations

Recommendation 5: Periodically test application controls in the RRPS – Inspections Module to ensure NRC staff is correctly coding inspections under IMC 2515 Appendix C.

Agency Response Dated
December 3, 2019: Complete

On November 4, 2019, IMC 0306, Planning, Tracking and Reporting of the Reactor Oversight Process (ROP), section 06.03.c was updated to include the following statement:

Requests for CAC assignments can be done by anyone with an RPS-Inspections user role. For the CAC to appear in an inspectors HRMS for time charging, it needs to be approved in RPS-Inspections by a Branch Chief/Approving Official or above. Responsible Branch Chiefs must verify that the appropriate IP and activity type is assigned to the correct inspection and is consistent with the associated Major Activity Codes when approving inspections in RPS-Inspections. A list of Major Activity codes can be found in the non-public ADAMS document.

The completion of this revision to IMC 0306 completes the remaining open aspect of this recommendation.

OIG Analysis: OIG verified documentation supporting the actions described in the staff's response and determined that these actions meet the intent of this recommendation. This recommendation is now closed.

Status: Closed.