

1. CONTRACT (Proc. Inst. Ident.) NO. NRC-10-81-361	2. EFFECTIVE DATE 11/3/80	3. REQUISITION/PURCHASE REQUEST/PROJECT NO. RFPA No. ADM-81-361	4. CERTIFIED FOR NATIONAL DEFENSE UNDER BDSA REG. 2 AND/OR DMS REG. 1. RATING:
5. ISSUED BY U. S. Nuclear Regulatory Commission Division of Contracts Washington, D. C. 20555		6. ADMINISTERED BY (If other than block 5)	7. DELIVERY FOB DESTINATION <input checked="" type="checkbox"/> OTHER (See below)

8. CONTRACTOR NAME AND ADDRESS Joseph Stone 6816 Meadow Lane Chevy Chase, MD 20015	9. DISCOUNT FOR PROMPT PAYMENT Net 30
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11. SHIP TO/MARK FOR U. S. Nuclear Regulatory Commission ATTN: Paul E. Bird, Director Division of Organization & Personnel, ADM Washington, D. C. 20555	12. PAYMENT WILL BE MADE BY U. S. Nuclear Regulatory Commission Office of the Controller Washington, D. C. 20555
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13. THIS PROCUREMENT WAS ADVERTISED, NEGOTIATED, PURSUANT TO: 10 U.S.C. 2304 (a)(1) 41 U.S.C. 252 (c)(3)

14. ACCOUNTING AND APPROPRIATION DATA
Appropriation No.: 31X0200.401 B&R No.: 45-20-25-702 Amount: \$1,500.00

17. QUANTITY	18. UNIT	19. UNIT PRICE	20. AMOUNT
15. ITEM NO.			
16. SUPPLIES/SERVICES			
Arbitration Hearing - Examine Facts in an Employee Grievance, Hold a Hearing, Make a Written Report with a Final and Binding Decision.			

21. FIXED PRICE TOTAL AMOUNT OF CONTRACT \$1,500.00
CONTRACTING OFFICER WILL COMPLETE BLOCK 22 OR 26 AS APPLICABLE

22. <input checked="" type="checkbox"/> CONTRACTOR'S NEGOTIATED AGREEMENT (Contractor is required to sign this document and return 3 copies to issuing office.) Contractor agrees to furnish and deliver all items or perform all the services set forth or otherwise identified above and on any continuation sheets for the consideration stated herein. The rights and obligations of the parties to this contract shall be subject to and governed by the following documents: (a) this award/contract, (b) the solicitation, if any, and (c) such provisions, representations, certifications, and specifications, as are attached or incorporated by reference herein. (Attachments are listed herein.)	26. <input type="checkbox"/> AWARD (Contractor is not required to sign this document.) Your offer on Solicitation Number _____ including the additions or changes made by you which additions or changes are set forth in full above, is hereby accepted as to the items listed above and on any continuation sheets. This award consummates the contract which consists of the following documents: (a) the Government's solicitation and your offer, and (b) this award/contract. No further contractual document is necessary.
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23. NAME OF CONTRACTOR BY Joseph M. Stone (Signature of person authorized to sign)	27. UNITED STATES OF AMERICA BY Joyce Perlman (Signature of Contracting Officer)
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24. NAME AND TITLE OF SIGNER (Type or print) ARBITRATOR Joseph M. STONE	25. DATE SIGNED 11/1/80	28. NAME OF CONTRACTING OFFICER (Type or print) Joyce Perlman	29. DATE SIGNED 11/4/80
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ARTICLE I - STATEMENT OF WORK

The Contractor, as Arbitrator, shall examine the facts in an employee grievance; hold hearings, including taking of testimony and acceptance of evidentiary material; and furnish a written report of findings and determinations regarding resolutions of the grievance. The Arbitrator's decision is final and binding for the NRC. The arbitration process is in accordance with procedures described in The Interim Agreement Between NRC and the National Treasury Employees Union (NTEU) Chapter 208, July 5, 1979.

1. General

The Arbitrator shall be responsible for:

- a. examining all relevant facts of the grievance;
- b. hold hearings as appropriate;
- c. keeping a record of examinations;
- d. making written findings of fact;
- e. interpreting NRC regulations; and
- f. furnishing a written decision which is final and binding on the NRC. In accordance with Article 2, Section 4 of the above Interim Agreement, "An Arbitrator will strive to issue a decision within fifteen (15) workdays of the close of the record."

2. Conduct of Hearing

Any personal presentations before the Arbitrator by the aggrieved employee or by any other party shall be conducted in the form of a hearing. The Arbitrator shall conduct such hearings in accordance with the Interim Agreement. The Interim Agreement governs such matters as attendance, witnesses, presentation of evidence, and hearing records.

3. Written Report

- a. The Arbitrator's written report shall contain:
 - (i) statement of purpose of the examination;
 - (ii) issues considered;
 - (iii) analysis of evidence;
 - (iv) findings of fact;
 - (v) conclusions; and
 - (vi) final decision.

- b. One (1) copy of the written report of findings and recommendations of the Grievance Review Arbitrator shall be furnished to the U. S. Nuclear Regulatory Commission, Director, Division of Organization and Personnel, Office of Administration, Washington, D. C. 20555, within fifteen (15) workdays of the close of the record, if possible.

ARTICLE II - PERIOD OF PERFORMANCE

The period of performance under this contract shall commence on the effective date of the contract and shall continue for sixty (60) days thereafter at which time all effort under the contract shall be completed.

ARTICLE III - CONSIDERATION

In full consideration of the Contractor's performance hereunder, the Contractor shall be paid a fixed rate of \$300.00 per man-day estimated to be ten (10) man-days.

In accordance with the Interim Agreement, the Arbitrator's fees shall be borne equally by the NRC and the NTEU. Therefore, the NRC shall reimburse the Contractor at the fixed rate of \$150.00 per man-day.

ARTICLE IV - OBLIGATIONS

The amount presently obligated by the NRC with respect to this contract is \$1,500.00.

ARTICLE V - PAYMENT

Payment shall be made in accordance with Clause 2 of the General Provisions entitled "Payments" as soon as possible after completion and acceptance of all of the work, upon submission by the Contractor of voucher(s) in a form satisfactory to the Contracting Officer; provided, however, that said payment(s) shall not be deemed to prejudice any rights which the Government may have by law or under other provisions of this contract.

ARTICLE VI - SPECIAL PROVISIONS

VI.1 PROJECT OFFICER

Performance of work hereunder shall be subject to the technical instructions issued by the U. S. Nuclear Regulatory Commission. The technical instructions shall be signed by the Project Officer.

The Project Officer is responsible for:

- (1) monitoring the Contractor's technical progress, including the surveillance and assessment of performance and recommending to the Contracting Officer changes in requirement;
- (2) interpreting the statement of work;

- (3) performing technical evaluation as required;
- (4) performing technical inspections and acceptances required by this contract; and
- (5) assisting the Contractor in the resolution of technical problems encountered during performance.

Within the purview of this authority, the Project Officer is authorized to approve payment vouchers for supplies/services required under the contract. The Contracting Officer is responsible for directing or negotiating any changes in terms, conditions, or amounts cited in the contract.

For guidance from the Project Officer to the Contractor to be valid, it must:

- (1) be consistent with the description of work set forth in this contract;
- (2) not constitute new assignment of work or change of the expressed terms, conditions, or specifications incorporated into this contract;
- (3) not constitute a basis for an extension to the period of performance or contract delivery schedule; and
- (4) not constitute a basis for any increase in the contract price.

If the Contractor receives guidance from the Project Officer which the Contractor feels is not valid under the criteria cited above, the Contractor shall immediately notify the Project Officer. If the Project Officer and the Contractor are not able to resolve the questions within five (5) days, the Contractor shall notify the Contracting Officer.

VI.2 INSPECTION AND ACCEPTANCE

Acceptance of the services and reports to be delivered herein will be made by the Project Officer.

VI.3 SUBCONTRACTS FOR WORK OR SERVICES

No contract shall be made by the Contractor with any other party for furnishing any of the work or services herein contracted for without approval of the Contracting Officer, but this provision will not be taken as requiring the approval of contracts of employment between the Contractor and personnel assigned for services hereunder.

VI.4 DISSEMINATION OF CONTRACT INFORMATION

The Contractor shall not publish, permit to be published, or distribute for public consumption any information, oral or written, concerning the results or conclusions made pursuant to the performance of this contract, without the prior written consent of the Contracting Officer. (Two (2) copies of the material proposed to be published or distributed shall be submitted to the Contracting Officer.)

VI.5 WORK FOR OTHERS

Notwithstanding any other provision of this contract, during the term of this contract, the Contractor agrees to forego entering into consulting or other contractual arrangements with any firm or organization the result of which may give rise to an actual or apparent conflict of interest with respect to the work being performed under this contract. The Contractor shall insure that all employees who are employed full time under this contract and employees designated as key personnel, if any, under this contract abide by the provision of this clause. If the Contractor believes with respect to himself or any such employee that any proposed consultant or other contractual arrangement with any firm or organization may involve a possible conflict of interest, the Contractor shall obtain the written approval of the Contracting Officer prior to execution of such contractual arrangement.

VI.6 PROPRIETARY INFORMATION

In connection with the performance of work under this contract, the NRC may furnish for the Contractor's review and evaluation or other use, certain trade secrets or confidential or privileged commercial or financial information. The Contractor shall hold such information in confidence and except as may be necessary under the terms of this contract, the Contractor shall not directly, indirectly, or otherwise, use, disclose, duplicate or disseminate the information in whole or in part to any other person or organization. The Contractor shall return this information to the NRC at the conclusion of the Contractor's use.

The Contractor shall also be responsible for safeguarding from unauthorized disclosure any information or other documents and material exempt from public disclosure by the NRC's regulations and made available to the Contractor in connection with the performance of work under this contract.

The Contractor agrees to conform to all regulations, requirements, and directions of the NRC with respect to all such material noted above.

VI.7 PRIVATE USE OF CONTRACT INFORMATION AND DATA

Except as specifically authorized by this contract, or as otherwise approved by the Contracting Officer, information and other data

developed or acquired by or furnished the Contractor in the performance of this contract, shall be used only in connection with the work under this contract.

VI.8 GOVERNMENT-FURNISHED MATERIAL

1. Grievance File
2. Vacancy Announcement File 80-0021

This information is provided for such information and assistance as it may provide the Contractor with regard to the general scope of work to be performed. Only the matter which is referred to above will be furnished by the NRC.

The Contractor shall have access to office space, if necessary, a conference room to conduct the hearing, and a court reporter as provided through the Contracting Officer or Project Officer.

ARTICLE VII - GENERAL PROVISIONS

This contract is subject to the attached Fixed Price Research and Development Contracts Provisions under \$10,000.00, dated November 14, 1977, which incorporates the FPR Changes and Additions and NRC Additions.

Clause 14 of the General Provisions entitled "Patent Rights - Acquisition by the Government", is deleted in its entirety.

POOR ORIGINAL