

UNITED STATES
NUCLEAR REGULATORY COMMISSION
WASHINGTON, D. C. 20555

INFORMATION REPORT SECY-80-440

September 19, 1980

For: The Commissioners

From: Harold R. Denton, Director, Office of Nuclear Reactor Regulation

Thru: Executive Director for Operations *C. Denton*

Subject: GUIDELINES FOR UTILITY MANAGEMENT STRUCTURE AND
TECHNICAL RESOURCES

Purpose: Provide the Commission with the status of work on minimum standards that utilities must meet in the areas of management and technical resources.

Discussion: In accordance with TMI Action Plan Item I.B.1.1, the NRR staff is developing guidelines to be used for evaluating the management structure and technical resources of utilities operating or having applications to operate nuclear power plants. Copies of a draft version of these guidelines were forwarded to the Commissioners for their information on February 13, 1980. Subsequently, these draft guidelines were discussed with the Executive Committee of the Edison Electric Institute and with a committee of the Atomic Industrial Forum.

Copies of the draft guidelines have been generally available to the industry and other interested parties since mid-February, 1980, although the staff has not attempted to assure wide distribution of the document. We did ask for, and have received, numerous comments from various parties, including the Commissioners, the industry and the staff, aimed at improving the document.

Since February 1980, the draft guidelines have been used by joint IE/NRR teams as a general guide in their evaluation of the management organizations and technical support resources available to a number of utilities involved in reviews for near term operating licenses.

Based upon the comments received on the February draft version of the guidelines and on the experience gained in applying the guidelines, the NRR staff has modified the guidelines, and they are now being published as NUREG-0731. An advance copy is enclosed.

Contact:
Lawrence P. Crocker
29437

8011060 353

We are issuing NUREG-0731 for interim use and comment. It will be noticed in the Federal Register. It is our intent to obtain comments from the industry and interested members of the public to help us refine or reshape the guidelines.

As a parallel effort, we now have received the final report from Teknekron Research, Inc., which also developed some guidelines for utility management and technical resources. This contractor report will be formally issued as a NUREG document for public comment. An earlier contract effort by Basic Energy Technology Associates, Inc., resulted in a report entitled "Power Plant Staffing," which was issued for public comment as NUREG/CR-1280 in April 1980.

Based upon the comments received on NUREG-0731 and comments received on the two contractor reports, we intend to revise the guidelines, as appropriate, and re-issue the guidelines in the form of a revised NUREG-0731 document for additional comments and interim use. The Action Plan, NUREG-0660, calls for the guidelines, as approved by the Commission, to be issued as requirements to all operating plants by March 1981.

We are also considering the possibility of obtaining additional consultant advice on developing a methodology or technique to aid us in conducting evaluations of the management capability of utilities.

EGC/dm 9/19/80

Harold R. Denton, Director
Office of Nuclear Reactor Regulation

Enclosure:
7/14/80 Draft "Guidelines for
Utility Management Structure
and Technical Resources"

Distribution
Commissioners
Commission Staff Offices
ACRS
Secretariat

ENCLOSURE